

NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS COMMITTEE

Date: **September 15, 2020**
Time: **1:00 p.m.**¹
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

Public Comment Period²

- | | |
|---------------|---|
| Action | Approval of the Minutes of the Meeting of July 28, 2020 |
| I1 Discussion | Review of Fiscal Year 2019/2020 Performance of UC Pension, Endowment, Retirement Savings Program, Short Term and Total Returns Investments Products |
| I2 Action | Approval of UC Pension Asset Allocation, Amendment of Investments Policy Statements (Regents Policy 6101) and Rescission of Asset and Risk Allocation Policies (Regent Policy 6401) |
| I3 Discussion | Update on UC Investments Activities Towards Diversity, Equity, and Inclusion and Sustainable Investing |

Committee Membership: Regents Anguiano (Vice Chair), Blum, Cohen, Elliott, Leib, Makarechian, Muwwakkil, Park, Sherman (Chair), Stegura, and Zettel; Ex officio members Drake, Newsom, and Pérez; Advisory members Regent-designates Lott and Torres; Faculty Representative Horwitz; Chancellors Hawgood, Khosla, Muñoz, and Wilcox; Zager; and Staff Advisor Tseng

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. Members of the public who wish to offer public comment by phone may do so by providing their phone number in advance to regentsoffice@ucop.edu. The Secretary and Chief of Staff will call the number provided if and when your name is called from the list. Please be sure to be available beginning at 1:00 p.m. to answer the call. Efforts will be made to accommodate each individual who has signed up to speak. However, given time constraints, there is no guarantee that all who have signed up will be able to address the Regents. The [Guidelines for Public Comment](#) can be found on the Regents website.

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NOTICE OF MEETING

The Regents of the University of California

SPECIAL COMMITTEE ON BASIC NEEDS

Date: **September 15, 2020**
Time: **4:00 p.m.** ¹
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

Action **Approval of the Minutes of the Meeting of July 28, 2020**

S1 Discussion **Special Committee on Basic Needs Report**

Committee membership: Regents Butler, Cohen, Leib, Muwwakkil (Chair), Park, Stegura, Thurmond; Ex officio members Drake, Newsom, and Pérez; Advisory members Regent-designate Zaragoza; Faculty Representative Gauvain; and Chancellor May

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

Date: **September 16, 2020**
Time: **8:30 am¹**
Locations: **Teleconference Meeting Conducted in Accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

Public Comment Period (20 minutes)²

Approval of the Minutes of the Meeting of July 29, 2020

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

B1 Discussion **Update of COVID-19 Impact on the University of California: UC Health Issues**

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NOTICE OF MEETING

The Regents of the University of California

NATIONAL LABORATORIES COMMITTEE

Date: **September 16, 2020**
Time: **11:00 am¹**
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

Action	Approval of the Minutes of the Meeting of July 29, 2020
N1 Discussion	Overview of the UC National Laboratory Fees Research Program

Committee Membership: Regents Cohen, Estolano, Kieffer, Kounalakis, Mart, Ortiz Oakley, Reilly, Sures (Vice Chair), and Zettel (Chair); Ex officio members Drake, Newsom, and Pérez; Advisory members Faculty Representative Gauvain; Chancellors Hawgood, Khosla, and Larive; Staff Advisor Jeffrey; Non-voting advisory member Powell

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **September 16, 2020**
Time: **11:30 a.m.**¹
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

Action	Approval of the Minutes of the Meeting of July 29, 2020
C1 Action	Amendment of Internal Audit Charter

Committee membership: Regents Anguiano, Butler, Cohen (Vice Chair), Elliott (Chair), Estolano, Makarechian, Park, Rendon, and Sures; Ex officio members Newsom and Pérez; Advisory members Regent-designate Zaragoza, Faculty Representative Gouvain; Chancellors Christ, Gillman, Hawgood, Khosla, and Larive; Expert Financial Advisor Schini; Staff Advisor Jeffrey

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **September 16, 2020**
Time: **12:15 p.m.**¹
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

- Action Approval of the Minutes of the Meeting of July 29, 2020
- C2(X) Action Recommendation for Board Action
1. A.B., et al. v. REGENTS, et al. – Proposed Settlement – Sexual Misconduct – Los Angeles Medical Center and Campus Health
 2. SIEVERS v. REGENTS – Proposed Settlement – Medical Malpractice – San Francisco Medical Center
- Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*
- C3(X) Discussion Appellate, Trial Court Developments and Updates
1. COMPLAINANT SEXUAL MISCONDUCT CASES – Various Activity – All Campuses
 2. DOES v. REGENTS – Lawsuits Pending – Sexual Misconduct – Los Angeles Medical Center and Campus Health
 3. EAST MEADOW ACTION COMMITTEE v. REGENTS, et al. – Judgment and Motion for Reconsideration – California Environmental Quality Act (CEQA) – Santa Cruz
 4. HARRISON, et al. v. REGENTS – Case Stayed Pending Appeal – Wage and Hour Class Action – UC Davis Medical Center
 5. THE KOALA v. KHOSLA, et al. – Settlement Reached – First Amendment – San Diego

...continued

Committee membership: Regents Anguiano, Butler, Cohen (Vice Chair), Elliott (Chair), Estolano, Makarechian, Park, Rendon, and Sures; Ex officio members Newsom and Pérez; Advisory members Regent-designate Zaragoza, Faculty Representative Gauvain; Chancellors Christ, Gillman, Hawgood, Khosla, and Larive; Expert Financial Advisor Schini

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6. REGENTS, et al. v. U.S. DEPARTMENT OF HOMELAND SECURITY – Status Conference Set Following New DHS Memorandum – Administrative Procedure Act and Due Process Claims Challenging Rescission of DACA – Office of the President
7. REGENTS, et al. v. U.S. DEPARTMENT OF HOMELAND SECURITY – Litigation Regarding International Students Pending – Administrative Procedure Act – Office of the President
8. REGENTS, et al. v. FEMA – Opening Brief Filed – Appeal of Federal District Court Dismissal – Berkeley
9. REGENTS v. WILLIS TOWERS WATSON plc, et al. – Class Certification Pending – Affirmative Recovery – Office of the President
10. RESPONDENT SEXUAL MISCONDUCT CASES – Various Activity – All Campuses
11. RIFFEL v. REGENTS – Case Pending – Admissions Fraud – Los Angeles
12. SANDER v. REGENTS – Hearing on Petition Scheduled – California Public Records Act – Systemwide
13. SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. – Petition for Review – California Environmental Quality Act – Berkeley
14. SAVE BERKELEY’S NEIGHBORHOODS, et al. v. REGENTS, et al. – Trial Postponed – Breach of Clark Kerr Campus Covenants – Berkeley
15. SMITH v. REGENTS and NAPOLITANO – Motion for Preliminary Injunction Filed – State Equal Protection Claims Challenging Use of SAT and ACT Test Scores in Admissions – Office of the President
16. STEVENS, DON, et al. v. REGENTS, et al. – Demurrer Filed – Breach of Settlement Agreement/Declaratory Relief – Santa Cruz
17. TUITION AND FEES REFUND CLASS ACTIONS – Federal Complaints Amended to Add President as Defendant and Motions to Dismiss and Coordinate are Pending – Claims Seeking Refunds Based on COVID-Related Actions – Systemwide
18. General Counsel’s Update on Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C4(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from June 1, 2020 to July 31, 2020

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

NOTICE OF SPECIAL MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **September 16, 2020**
Time: **Concurrent with the regularly scheduled meeting¹**
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

C2(X) Action	Recommendations for Board Action
	3. FORD v. REGENTS – Proposed Settlement – Employment Discrimination – San Diego

Committee membership: Regents Anguiano, Butler, Cohen (Vice Chair), Elliott (Chair), Estolano, Makarechian, Park, Rendon, and Sures; Ex officio members Newsom and Pérez; Advisory members Regent-designate Zaragoza, Faculty Representative Gauvain; Chancellors Christ, Gillman, Hawgood, Khosla, and Larive; Expert Financial Advisor Schini

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE COMMITTEE

Date: **September 16, 2020**
Time: **1:15 p.m.**¹
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

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| Action | Approval of the Minutes of the Meeting of July 7 and July 29, 2020 |
| G1(X) Discussion | Retroactive Approval for Athletic Contract Components that Exceeded September 2008 Parameters

<i>Closed Session Statute Citation: Personnel Matters
[Education Code §92032(b)(7)]</i> |
| G2(X) Discussion | Incentive Compensation Using Non-State Funds for Fiscal Year 2019-20 for Chief Investment Officer and Vice President – Investments, Office of the President

<i>Closed Session Statute Citation: Personnel Matters
[Education Code §92032(b)(7)]</i> |
| G3(X) Discussion | Compensation for Director of Intercollegiate Athletics, Los Angeles Campus

<i>Closed Session Statute Citation: Personnel Matters
[Education Code §92032(b)(7)]</i> |
| G4(X) Discussion | Collective Bargaining Matters

<i>Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]</i> |

Committee membership: Regents Anguiano, Drake, Elliott, Estolano (Vice Chair), Kieffer, Lansing, Leib, Makarechian, Newsom, Pérez (Chair), Sherman, and Zettel

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NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE COMMITTEE

Date: **September 16, 2020**
Time: **2:15 p.m.**¹
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

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| | Action | Approval of the Minutes of the Meeting of July 29-30, 2020 |
| G1 | Action | Approval of Retroactive Approval for Athletic Contract Components that Exceeded September 2008 Parameters, as Discussed in Closed Session |
| G2 | Action | Approval of Incentive Compensation Using Non-State Funds for Fiscal Year 2019-20 for Chief Investment Officer and Vice President – Investments, Office of the President, as Discussed in Closed Session |
| G3 | Action | Approval of Compensation for Director of Intercollegiate Athletics, Los Angeles Campus, as Discussed in Closed Session |
| G5 | Action | Amendment of Bylaw 21.7 – Regent Compensation - Regarding Regents' Participation in Uncompensated University-Affiliated Positions |
| G6 | Action | Dates of Regents Meetings for 2022 |
| G7 | Action | Resolution to Exclude Access to Federal Classified Information |

Committee membership: Regents Anguiano, Drake, Elliott, Estolano (Vice Chair), Kieffer, Lansing, Leib, Makarechian, Newsom, Pérez (Chair), Sherman, and Zettel

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NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **September 16, 2020**
Time: **2:45 p.m.**¹
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

- Action **Approval of the Minutes of the Meetings of July 30, 2020**
- F1 Action Consent Agenda:
- A. Preliminary Plans Funding, Irvine Campus Medical Complex, Irvine Campus
 - B. Preliminary Plans Funding and External Financing, Health and Behavioral Sciences Building, Merced Campus
 - C. Approval of Design Following Action Pursuant to the California Environmental Quality Act, Welcome Center Building on Lawrence Berkeley National Laboratory's Main Campus in Berkeley
 - D. Preliminary Plans Funding Amendment, Working Drawings Funding, Scope, and External Financing, Hillcrest Outpatient Pavilion and Parking, San Diego Campus
 - E. Conforming Amendment to University of California 2020-21 Budget for State Capital Improvements and Approval of University of California 2021-22 Budget for State Capital Improvements

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Committee membership: Regents Blum, Cohen, Estolano, Kounalakis, Leib, Makarechian (Chair), Muwwakkil, Park (Vice Chair), Reilly, and Sherman; Ex officio members Drake, Newsom, and Pérez; Advisory members Regent-designate Lott, Faculty Representative Gauvain; Chancellors Christ, Gillman, Hawgood, Khosla, Muñoz, and Wilcox; Staff Advisor Jeffrey

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- F2 Action Budget, Scope, External Financing, and Design Following Action Pursuant to the California Environmental Quality Act, Theatre District Living and Learning Neighborhood, San Diego Campus
- F3 Action New Markets Tax Credits for “the U” in Downtown San Diego, San Diego Campus
- F4 Discussion Data Hub New Academic Building, Berkeley Campus
- F5 Discussion UC Davis 2020 Long Range Development Plan and 2020 Physical Design Framework Amendment, Sacramento Campus
- F6 Discussion Update on the 2020-21 Operating Budget

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **September 16, 2020**
Time: **At the conclusion of the open session¹**
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

- Action Approval of the Minutes of the Meeting of July 30, 2020
- F7(X) Action Consent Agenda:
- A. Ground Lease Amendment for the Welcome Center Building on Lawrence Berkeley National Laboratory's Main Campus In Berkeley²
 - B. Acquisition of an Office Building and External Financing, Irvine Campus³

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

Committee membership: Regents Blum, Cohen, Estolano, Kounalakis, Leib, Makarechian (Chair), Muwwakkil, Park (Vice Chair), Reilly, and Sherman; Ex officio members Drake, Newsom, and Pérez; Advisory members Faculty Representative Gauvain; Chancellors Christ, Gillman, Hawgood, Khosla, Muñoz, and Wilcox

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² This item is of interest to the National Laboratories Committee

³ This item is of interest to the Health Services Committee

NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: **September 16, 2020**
Time: **2:45 pm**¹
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

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| Action | | Approval of the Minutes of the Meeting of July 30, 2020 |
| A1 | Discussion | Planning and Evaluation of COVID-19 Academic and Student Impacts |
| A2 | Discussion | University of California Student Academic Preparation Strategies |
| A3 | Discussion | UC Scout: Online A-G Courses to Support Student Academic Preparation |
| A4 | Discussion | Update on Undergraduate Admissions Test Feasibility Study |

Committee membership: Regents Anguiano (Chair), Butler (Vice Chair), Elliott, Kieffer, Lansing, Mart, Ortiz Oakley, Rendon, Stegura, Sures, and Zettel; Ex officio members Drake, Newsom, Pérez, and Thurmond; Advisory members Regent-designates Torres and Zaragoza; Faculty Representative Horwitz; Chancellors Block, Larive, May, and Yang; and Staff Advisor Tseng

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NOTICE OF MEETING

The Regents of the University of California

Date: **September 17, 2020**
Time: **8:30 a.m.**¹
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

Public Comment Period²

Approval of the Minutes of the Meetings of **July 7 and July 30, 2020**

Remarks from Student Associations

- B2 Discussion [Strategic Plan for UC Health Division, Office of the President](#)
- B3 Discussion [2020 UC Accountability Report: Highlights and UC 2030 Updates](#)
- B4 Discussion [Review of 2009 Budget Actions](#)
- B5 Discussion [Proposition 209: Primer on UC History and Impacts](#)

. . . continued

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- B6 Action Adoption of Regents Policy Prohibiting Use of Quotas and Caps in Public Contracting, Employment and Admissions
- B7 Action Authorization to Join Multi-University Member LLC to Facilitate Licensing of University Patent Assets

Committee Reports Including Approvals of Recommendations from Committees:

Academic and Student Affairs Committee

Compliance and Audit Committee

Finance and Capital Strategies Committee

Governance Committee

Health Services Committee (meeting of August 24, 2020)

Investments Committee

National Labs Committee

Special Committee on Basic Needs

Officers' and President's Reports:

Report of Materials Mailed Between Meetings

NOTICE OF MEETING

The Regents of the University of California

Date: **September 17, 2020**
Time: **At the conclusion of the Board open session¹**
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of July 30, 2020

Committee Reports Including Approvals of Recommendations from Committees:

Compliance and Audit Committee

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Finance and Capital Strategies Committee

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

Governance Committee

Closed Session Statute Citation: Personnel Matters [Education Code §92032(b)(7)] and Collective bargaining matters [Government Code §3596(d)]

Health Services Committee (meeting of August 24, 2020)

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Officers' and President's Reports:

Personnel Matters
Report of Materials Mailed Between Meetings
Report of Interim, Concurrence, and Committee Actions

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