NOTICE OF MEETING
The Regents of the University of California
INVESTMENTS SUBCOMMITTEE

Date: September 12, 2017
Time: 2:00 p.m.\(^1\)
Location: Price Center, San Diego Campus

Agenda – Open Session

Public Comment Period\(^2\) (20 minutes)

<table>
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<tr>
<th>Action</th>
<th>Approval of the Minutes of the Meeting of July 11, 2017</th>
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<tbody>
<tr>
<td>I-1 Discussion</td>
<td>Update on Investment Products</td>
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</table>

**Investments Subcommittee Membership:** Regents Anguiano, Lemus, Sherman (Chair), Varner, and Zettel (Vice Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Anderson and May

\(^1\) All times indicated and the order of business are approximate and subject to change.

\(^2\) This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff’s Office by 10 a.m. on Thursday, September 7, 2017.

**Guidelines**

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.
NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date: September 12, 2017
Time: Upon adjournment of the open session meeting
Location: Price Center, San Diego Campus

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of May 16, 2017
I-2(X) Discussion Update on the Office of the Chief Investment Officer Regarding Organization and Personnel

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

Investments Subcommittee Membership: Regents Anguiano, Lemus, Sherman (Chair), Varner, and Zettel (Vice Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Anderson and May

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NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: September 13, 2017
Time: 8:30 a.m.\(^1\)
Location: Price Center, San Diego Campus

Agenda – Open Session

Public Comment Period\(^2\) (20 minutes)

Approval of the Minutes of the Meeting of July 12, 2017

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

Notable Honors and Achievements

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NOTICE OF MEETING

The Regents of the University of California

PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE

Date: September 13, 2017
Time: 9:30 a.m.¹
Location: Price Center, San Diego Campus

Agenda – Open Session

Action Approval of the Minutes of the Meeting of July 12, 2017

P1 Discussion Follow Up Discussion on Public Outreach Campaign

P2 Discussion Community Outreach and Impacts, Riverside Campus

P3 Discussion Regents Legislative Engagement Overview

Committee Membership: Regents Blum, Lansing (Vice Chair), Lozano (Chair), Mancia, Monge, Ortiz Oakley, Park, Pattiz, and Reiss; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Block, Christ, Hawgood, Leland, May, and Wilcox; Advisory members Main, May, and Morimoto

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NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: September 13, 2017
Time: 9:30 a.m.¹
Location: Price Center, San Diego Campus

Agenda – Open Session

Action Approval of the Minutes of the Meeting of July 12, 2017

C1 Discussion Ethics and Compliance Activities Report

C2 Discussion Internal Audit Activities Report

C3 Discussion Report on Independent Assessment of Audit Implementation Plans

C4 Discussion State Audit of Contracted Employees and Contracting Practices

C5 Discussion Update on Risk Services and Fiat Lux Captive Insurance Company

C6 Discussion Cyber Incident Response Process

Committee membership: Regents Anguiano, De La Peña, Elliott (Vice Chair), Lemus, Makarechian, Newsom, Pérez, Sherman, Tauscher, Varner, and Zettel (Chair); Ex officio members Brown and Kieffer; Chancellors Blumenthal, Gillman, Khosla, and Yang; Advisory members Anderson, Graves, and White; Staff Advisor Valdry

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: September 13, 2017
Time: Upon adjournment of the open session meeting
Location: Price Center, San Diego Campus

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of July 12 and the Special Meeting of August 3, 2017

C7(X) Discussion Cyber Incident Response Process

Closed Session Statute Citation: Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]

C8(X) Action Recommended Settlements for Board Action

1. Flores, et.al. v. Regents – Proposed Settlement – Negligence – Los Angeles


Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

…continued

Committee membership: Regents Anguiano, De La Peña, Elliott (Vice Chair), Lemus, Makarechian, Newsom, Pérez, Sherman, Tauscher, Varner, and Zettel (Chair); Ex officio members Brown and Kieffer; Chancellors Blumenthal, Gillman, Khosla, and Yang; Advisory members Anderson, Graves, and White

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1. BERKELEY CEMENT v. REGENTS – Appeal Pending – Breach of Contract – Merced
2. CITY AND COUNTY OF SAN FRANCISCO v. REGENTS, et al. – Petition for Review Filed with California Supreme Court – Action to Compel Payment of Municipal Parking Taxes – San Francisco
3. CONSOLIDATED OLYMPUS DUODENOSCOPE CASES – Related Trial Update – Medical Malpractice – Los Angeles
4. DALE-JABLOWSKI, et al. v. REGENTS – Complaint Filed – Medical Malpractice – San Francisco
5. DOE v. REGENTS – Motion to Dismiss Denied – Student Sexual Misconduct – Santa Barbara
7. FERNANDEZ v. REGENTS – Preliminary Approval Hearing Held – Consumer Privacy Statute – Los Angeles
8. GAO v. REGENTS – Defense Trial Verdict – Medical Malpractice – Irvine
9. GOPEN v. REGENTS – Trial Commenced – Civil Rights – Irvine
10. GRANEY v. REGENTS – Oral Argument of Appeal Scheduled – Petition for Writ of Mandate Regarding Termination Hearing – San Francisco
11. HATTEN-MILHOLIN, et al. v. REGENTS and JOE BENGFORST – Answer Filed – Employment Discrimination – San Francisco
13. THE KOALA v. KHOSLA, et al. – Second Amended Complaint Dismissed and Notice of Appeal Filed – First Amendment – San Diego
14. KRISHNA LUNCH OF SOUTHERN CALIFORNIA, INC., et al. v. REGENTS, et al. – Motion to Dismiss Second Amended Complaint Filed – First Amendment – Los Angeles
15. NIXON v. REGENTS – Motion to Dismiss Filed – Student Discipline – Santa Cruz
17. REGENTS v. CALIFORNIA CLINICAL TRIALS – Lawsuit Stayed – Systemwide
COMPLIANCE AND AUDIT (X)

18. REGENTS v. LAKE – Rulings on Motions in Limine; Settlement Discussions – Breach of Contract (for Damages) and Peremptory Writ of Mandate (to Compel the Processing and Payment of Valid Claims for Medical Services) – Davis Health System

19. ROBLES v. REGENTS, et al. – Lawsuit Filed, Dismissed, and Refiled – Violation of First Amendment and Personal Injury – Berkeley

20. STUDENT SEXUAL MISCONDUCT CASES – Various Activity – Various Campuses


22. SWEENEY v. REGENTS – Jury Verdict – Negligence – Los Angeles


24. YOUNG AMERICA’S FOUNDATION, et al. v. JANET NAPOLITANO, et al. – Motion to Dismiss Filed – Constitutional Claims Regarding Campus Speakers – Berkeley

25. General Counsel’s Update on Pending or Threatened Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C10(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from June 3, 2017 to August 4, 2017

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C11(X) Discussion Conflicts of Interest in the Health Enterprise

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]
NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: September 13, 2017
Time: 11:30 a.m.¹
Location: Price Center, San Diego Campus

Agenda – Closed Session

| Action | G1(X) Discussion | Salary Adjustments for Chancellors and the Director of the Lawrence Berkeley National Laboratory |

*Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]*

| G2(X) Discussion | Incentive Compensation Using Non-State Funds for Fiscal Year 2016-17 for Chief Investment Officer and Vice President – Investments, Office of the President |

*Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]*

| G3(X) Discussion | Collective Bargaining Matters |

*Closed Session Statute Citation: Collective bargaining matters [Government Code §3596(d)]*

Committee membership: Regents Blum, Elliott, Lansing, Lozano, Ortiz Oakley (Vice Chair), Pattiz, Pérez, Reiss (Chair), and Varner; Ex officio members Brown, Kieffer, and Napolitano

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NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: September 13, 2017
Time: Upon adjournment of the closed session meeting
Location: Price Center, San Diego Campus

Agenda – Open Session

Action Approval of the Minutes of the Meeting of July 13, 2017

G1 Action Approval of Salary Adjustments for Chancellors and the Director of the Lawrence Berkeley National Laboratory as Discussed in Closed Session

G2 Action Approval of Incentive Compensation Using Non-State Funds for Fiscal Year 2016-17 for Chief Investment Officer and Vice President – Investments, Office of the President as Discussed in Closed Session

G4 Action Suspension of Bylaw 21.7 for the Limited Purpose of Enabling the Los Angeles Campus to Reappoint Regent Guber to Part-Time Teaching and Advisory Board Positions, Provided that Any Such Positions are Uncompensated

G5 Action Resolutions to Exclude Access to Federal Classified Information

G6 Action Approval of Dates of Regents Meetings for 2019

Committee membership: Regents Blum, Elliott, Lansing, Lozano, Ortiz Oakley (Vice Chair), Pattiz, Pérez, Reiss (Chair), and Varner; Ex officio members Brown, Kieffer, and Napolitano

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NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: September 13, 2017
Time: 1:00 p.m.1
Location: Price Center, San Diego Campus

Agenda – Open Session

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<th>Action</th>
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<tr>
<td>A1</td>
<td>Action Appointment of Regent as Executive Committee Governor and Chair of the Board of Governors of the Los Alamos National Security, LLC and Lawrence Livermore National Security, LLC</td>
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<tr>
<td>A2</td>
<td>Discussion Implementation Update on Regents Policy 3103: Policy on Professional Degree Supplemental Tuition</td>
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<tr>
<td>A3</td>
<td>Discussion Campus Admissions Verification Processes and Policies</td>
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<tr>
<td>A4</td>
<td>Discussion Enhancing Science, Technology, Engineering and Mathematics (STEM) Preparation Through Community Partnerships: Launch Academy Summer STEM Program</td>
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<tr>
<td>A5</td>
<td>Discussion Basic Needs Security: Year Three Update</td>
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<tr>
<td>A6</td>
<td>Discussion Update on Regents Policy 3501: Student Athletes and Guiding Principles to Enhance Student-Athlete Welfare</td>
</tr>
<tr>
<td>A7</td>
<td>Discussion University of California’s Land-Based Observatories</td>
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</table>

Committee membership: Regents De La Peña, Elliott, Lansing, Lozano (Vice Chair), Mancia, Monge, Newsom, Ortiz Oakley, Pattiz, Pérez (Chair), Reiss, Rendon, and Tauscher; Ex officio members Brown, Kieffer, Napolitano, and Torlakson; Chancellors Block, Christ, Leland, Wilcox, and Yang; Advisory members Graves, Main, and White

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NOTICE OF MEETING

The Regents of the University of California

NATIONAL LABORATORIES SUBCOMMITTEE

Date: September 13, 2017
Time: Upon adjournment of the Academic and Student Affairs Committee
Location: Price Center, San Diego campus

Agenda – Open Session

Action Approval of the Minutes of the Meeting July 12, 2017

N1 Discussion Update on the Los Alamos National Laboratory Contract

N2 Discussion Update on the Lawrence Berkeley National Laboratory Contract Reform

Committee Membership: Regents De La Peña (Vice Chair), Mancia, Napolitano, Newsom, Ortiz Oakley, Pattiz (Chair), and Tauscher; Ex officio member Pérez; Chancellors Block, Christ, and Yang; Advisory member White

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NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: September 13, 2017
Time: 1:00 p.m.¹
Location: Price Center, San Diego Campus

Agenda – Open Session

Action Approval of the Minutes of the Special Meeting of June 21 and the Meeting of July 12, 2017

F1 Action Consent Agenda

Approval of Preliminary Plans Funding, Northern Regional Library Facility Phase 4 Expansion, Systemwide

F2 Action Amendment of the Budget and Scope, Approval of External Financing, and Approval of Design following Action Pursuant to the California Environmental Quality Act, Interdisciplinary Science and Engineering Building, Irvine Campus

F3 Action Approval of Budget Amendment and External Financing, Precision Cancer Medicine Building at Mission Bay, San Francisco Campus

F4 Discussion Kresge College Project, Santa Cruz Campus

F5 Discussion Engineering Interdisciplinary Building, San Diego Campus

F6 Discussion Emerson Hall Housing Replacement Project, Davis Campus

F7 Discussion Teaching and Learning Complex, Davis Campus

Committee membership: Regents Anguiano, Blum, Lemus, Makarechian (Chair), Park, Rendon, Sherman (Vice Chair), Varner, and Zettel; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Anderson, May, and Morimoto; Staff Advisor Valdry

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F8  Discussion  Student Success Center Project, Riverside Campus

F9  Discussion  Parnassus Heights Health Sciences Instruction and Research Buildings Seismic Improvements, San Francisco Campus

F10 Discussion  University of California 2018-19 Budget for State Capital Improvements

F11 Discussion  Preliminary Discussion of the University’s 2018-19 Budget
NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: September 14, 2017
Time: 9:30 a.m.¹
Location: Price Center, San Diego Campus

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of July 13, 2017

Committee Reports Including Approval of Recommendations from Committees:

Compliance and Audit Committee

Recommended Settlements for Board Action

Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]

Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]

Governance and Compensation Committee

Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)]

Collective bargaining matters [Government Code §3596(d)]

Health Services Committee (meeting of August 16)

Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)]

Litigation [Education Code §92032(b)(5)]

Investments Subcommittee

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

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Officers’ and President’s Reports:
Report of Interim, Concurrence, and Committee Actions
Personnel Matters

Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]

Acquisition or disposition of property [Education Code §92032(b)(6)]

Personnel matters [Education Code §92032(b)(7)]
NOTICE OF MEETING
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: September 14, 2017
Time: 9:45 a.m.¹
Location: Price Center, San Diego Campus

Agenda – Open Session

Public Comment²

Roll Call

Approval of the Minutes of the meeting of July 13, 2017

Committee Reports Including Approval of Recommendations from Committees:

Academic and Student Affairs Committee

Appointment of Regent as Executive Committee Governor and Chair of the Board of Governors of the Los Alamos National Security, LLC and Lawrence Livermore National Security, LLC

Compliance and Audit Committee

Finance and Capital Strategies Committee

Approval of Preliminary Plans Funding, Northern Regional Library Facility Phase 4 Expansion, Richmond Field Station

Amendment of the Budget and Scope, Approval of External Financing and Approval of Design Following Action Pursuant to the California Environmental Quality Act, Interdisciplinary Science and Engineering Building, Irvine Campus

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Approval of Budget Amendment and External Financing, Precision Cancer Medicine Building at Mission Bay, San Francisco Campus

**Governance and Compensation Committee**

Approval of Salary Adjustments for Chancellors and the Director of the Lawrence Berkeley National Laboratory, as Discussed in Closed Session

Approval of Incentive Compensation Using Non-State Funds for Fiscal Year 2016-17 for Chief Investment Officer and Vice President – Investments, as Discussed in Closed Session

Suspension of Bylaw 21.7 for the Limited Purpose of Enabling the Los Angeles Campus to Reappoint Regent Guber to Part-time Teaching and Advisory Board Positions, Provided that any Such Positions are Uncompensated

Resolutions to Exclude Access to Federal Classified Information

Approval of Dates of Regents Meetings for 2019

**Health Services Committee (meeting of August 16, 2017)**

Approval of Salary Adjustment Using Non-State Funds for Chief Executive Officer, UC San Diego Health System, San Diego Campus as Discussed in Closed Session

Approval of Salary Adjustments Using Non-State Funds for Certain Members of the Senior Management Group within the UC Health System as Discussed in Closed Session

**Investments Subcommittee**

**Public Engagement and Development Committee**

**National Laboratories Subcommittee**

**B1** Discussion Annual Update on Investments Products

**B2** Discussion Carbon Neutrality at the University of California

**Officers’ and President’s Reports:**

Report of Interim, Concurrence, and Committee Actions
Report of Materials Mailed Between Meetings