

## NOTICE OF MEETING

### The Regents of the University of California

#### INVESTMENTS SUBCOMMITTEE

Date: **September 12, 2017**  
Time: **2:00 p.m.**<sup>1</sup>  
Location: **Price Center, San Diego Campus**

#### Agenda – Open Session

#### **Public Comment Period**<sup>2</sup> (20 minutes)

	Action	Approval of the Minutes of the Meeting of July 11, 2017
I-1	Discussion	Update on Investment Products

Investments Subcommittee Membership: Regents Anguiano, Lemus, Sherman (Chair), Varner, and Zettel (Vice Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Anderson and May

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<sup>1</sup> All times indicated and the order of business are approximate and subject to change.

<sup>2</sup> This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, September 7, 2017.

#### *Guidelines*

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

## NOTICE OF MEETING

**The Regents of the University of California**

### **INVESTMENTS SUBCOMMITTEE**

Date: **September 12, 2017**  
Time: **Upon adjournment of the open session meeting<sup>1</sup>**  
Location: **Price Center, San Diego Campus**

#### Agenda – Closed Session

	Action	Approval of the Minutes of the Meeting of May 16, 2017
I-2(X)	Discussion	Update on the Office of the Chief Investment Officer Regarding Organization and Personnel

*Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]*

Investments Subcommittee Membership: Regents Anguiano, Lemus, Sherman (Chair), Varner, and Zettel (Vice Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Anderson and May

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## NOTICE OF MEETING

### THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **September 13, 2017**  
Time: **8:30 a.m.**<sup>1</sup>  
Location: **Price Center, San Diego Campus**

#### Agenda – Open Session

**Public Comment Period**<sup>2</sup> (20 minutes)

**Approval of the Minutes of the Meeting of July 12, 2017**

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

Notable Honors and Achievements

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## NOTICE OF MEETING

### The Regents of the University of California

#### PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE

Date: **September 13, 2017**  
Time: **9:30 a.m.**<sup>1</sup>  
Location: **Price Center, San Diego Campus**

#### Agenda – Open Session

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|----|------------|---|
|    | Action     | Approval of the Minutes of the Meeting of July 12, 2017 |
| P1 | Discussion | Follow Up Discussion on Public Outreach Campaign        |
| P2 | Discussion | Community Outreach and Impacts, Riverside Campus        |
| P3 | Discussion | Regents Legislative Engagement Overview                 |

Committee Membership: Regents Blum, Lansing (Vice Chair), Lozano (Chair), Mancina, Monge, Ortiz Oakley, Park, Pattiz, and Reiss; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Block, Christ, Hawgood, Leland, May, and Wilcox; Advisory members Main, May, and Morimoto

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## NOTICE OF MEETING

**The Regents of the University of California**

### **COMPLIANCE AND AUDIT COMMITTEE**

Date: **September 13, 2017**  
Time: **9:30 a.m.**<sup>1</sup>  
Location: **Price Center, San Diego Campus**

#### Agenda – Open Session

	Action	Approval of the Minutes of the Meeting of July 12, 2017
C1	Discussion	Ethics and Compliance Activities Report
C2	Discussion	Internal Audit Activities Report
C3	Discussion	Report on Independent Assessment of Audit Implementation Plans
C4	Discussion	State Audit of Contracted Employees and Contracting Practices
C5	Discussion	Update on Risk Services and Fiat Lux Captive Insurance Company
C6	Discussion	Cyber Incident Response Process

Committee membership: Regents Anguiano, De La Peña, Elliott (Vice Chair), Lemus, Makarechian, Newsom, Pérez, Sherman, Tauscher, Varner, and Zettel (Chair); Ex officio members Brown and Kieffer; Chancellors Blumenthal, Gillman, Khosla, and Yang; Advisory members Anderson, Graves, and White; Staff Advisor Valdry

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## NOTICE OF MEETING

### The Regents of the University of California

#### COMPLIANCE AND AUDIT COMMITTEE

Date: **September 13, 2017**  
Time: **Upon adjournment of the open session meeting<sup>1</sup>**  
Location: **Price Center, San Diego Campus**

#### Agenda – Closed Session

- Action Approval of the Minutes of the Meeting of July 12 and the Special Meeting of August 3, 2017
- C7(X) Discussion Cyber Incident Response Process
- Closed Session Statute Citation: Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]*
- C8(X) Action Recommended Settlements for Board Action
1. Flores, et.al. v. Regents – Proposed Settlement – Negligence – Los Angeles
  2. Montgomery v. Regents – Proposed Settlement – Medical Malpractice – San Diego
  3. Regents v. California Berry Cultivars, LLC et al. – Proposed Settlement – Breach of Contract, Conversion and Patent Infringement – Davis

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

...continued

Committee membership: Regents Anguiano, De La Peña, Elliott (Vice Chair), Lemus, Makarechian, Newsom, Pérez, Sherman, Tauscher, Varner, and Zettel (Chair); Ex officio members Brown and Kieffer; Chancellors Blumenthal, Gillman, Khosla, and Yang; Advisory members Anderson, Graves, and White

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C9(X)	Discussion	Appellate, Trial Court Developments and Updates
		1. BERKELEY CEMENT v. REGENTS – Appeal Pending – Breach of Contract – Merced
		2. CITY AND COUNTY OF SAN FRANCISCO v. REGENTS, et al. – Petition for Review Filed with California Supreme Court – Action to Compel Payment of Municipal Parking Taxes – San Francisco
		3. CONSOLIDATED OLYMPUS DUODENOSCOPE CASES – Related Trial Update – Medical Malpractice – Los Angeles
		4. DALE-JABLONOWSKI, et al. v. REGENTS – Complaint Filed – Medical Malpractice – San Francisco
		5. DOE v. REGENTS – Motion to Dismiss Denied – Student Sexual Misconduct – Santa Barbara
		6. FELARCA, et al. v. BIRGENEAU, et al. – Ninth Circuit Oral Argument Scheduled – Claims Arising from Police Response to Campus Protests – Berkeley
		7. FERNANDEZ v. REGENTS – Preliminary Approval Hearing Held – Consumer Privacy Statute – Los Angeles
		8. GAO v. REGENTS – Defense Trial Verdict – Medical Malpractice – Irvine
		9. GOPEN v. REGENTS – Trial Commenced – Civil Rights – Irvine
		10. GRANEY v. REGENTS – Oral Argument of Appeal Scheduled – Petition for Writ of Mandate Regarding Termination Hearing – San Francisco
		11. HATTEN-MILHOLIN, et al. v. REGENTS and JOE BENGFORT – Answer Filed – Employment Discrimination – San Francisco
		12. JACOBS, et al. v. REGENTS, et al. – Petition for Review Filed – Concealed Weapon Endorsements – Systemwide
		13. THE KOALA v. KHOSLA, et al. – Second Amended Complaint Dismissed and Notice of Appeal Filed – First Amendment – San Diego
		14. KRISHNA LUNCH OF SOUTHERN CALIFORNIA, INC., et al. v. REGENTS, et al. – Motion to Dismiss Second Amended Complaint Filed – First Amendment – Los Angeles
		15. NIXON v. REGENTS – Motion to Dismiss Filed – Student Discipline – Santa Cruz
		16. REGENTS v. AISEN – Remand Order and Appeal – Breach of Fiduciary Duty – San Diego
		17. REGENTS v. CALIFORNIA CLINICAL TRIALS – Lawsuit Stayed – Systemwide

18. REGENTS v. LAKE – Rulings on Motions in Limine; Settlement Discussions – Breach of Contract (for Damages) and Peremptory Writ of Mandate (to Compel the Processing and Payment of Valid Claims for Medical Services) – Davis Health System
19. ROBLES v. REGENTS, et al. – Lawsuit Filed, Dismissed, and Refiled – Violation of First Amendment and Personal Injury – Berkeley
20. STUDENT SEXUAL MISCONDUCT CASES – Various Activity – Various Campuses
21. SUNDQUIST, et al. v. RECONSTRUCT COMPANY, N.A., et al. – Proposed Mediation – Affirmative Recovery – Berkeley, Davis, Irvine and Los Angeles
22. SWEENEY v. REGENTS – Jury Verdict – Negligence – Los Angeles
23. YALLEY, et al. v. LIBERTY LIFE ASSURANCE COMPANY OF BOSTON, et al. – Complaint Filed – Workers’ Compensation Benefits – Systemwide
24. YOUNG AMERICA’S FOUNDATION, et al. v. JANET NAPOLITANO, et al. – Motion to Dismiss Filed – Constitutional Claims Regarding Campus Speakers – Berkeley
25. General Counsel’s Update on Pending or Threatened Litigation

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

C10(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from June 3, 2017 to August 4, 2017

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

C11(X) Discussion Conflicts of Interest in the Health Enterprise

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*



## NOTICE OF MEETING

### The Regents of the University of California

#### GOVERNANCE AND COMPENSATION COMMITTEE

Date: **September 13, 2017**  
Time: **11:30 a.m.**<sup>1</sup>  
Location: **Price Center, San Diego Campus**

#### Agenda – Closed Session

Action Approval of the Minutes of the Meeting of July 12-13, 2017

G1(X) Discussion Salary Adjustments for Chancellors and the Director of the Lawrence Berkeley National Laboratory

*Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]*

G2(X) Discussion Incentive Compensation Using Non-State Funds for Fiscal Year 2016-17 for Chief Investment Officer and Vice President – Investments, Office of the President

*Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]*

G3(X) Discussion Collective Bargaining Matters

*Closed Session Statute Citation: Collective bargaining matters  
[Government Code §3596(d)]*

Committee membership: Regents Blum, Elliott, Lansing, Lozano, Ortiz Oakley (Vice Chair), Pattiz, Pérez, Reiss (Chair), and Varner; Ex officio members Brown, Kieffer, and Napolitano

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## NOTICE OF MEETING

### The Regents of the University of California

#### GOVERNANCE AND COMPENSATION COMMITTEE

Date: **September 13, 2017**  
Time: **Upon adjournment of the closed session meeting<sup>1</sup>**  
Location: **Price Center, San Diego Campus**

#### Agenda – Open Session

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|----|--------|--|
|    | Action | Approval of the Minutes of the Meeting of July 13, 2017  |
| G1 | Action | Approval of Salary Adjustments for Chancellors and the Director of the Lawrence Berkeley National Laboratory as Discussed in Closed Session  |
| G2 | Action | Approval of Incentive Compensation Using Non-State Funds for Fiscal Year 2016-17 for Chief Investment Officer and Vice President – Investments, Office of the President as Discussed in Closed Session               |
| G4 | Action | Suspension of Bylaw 21.7 for the Limited Purpose of Enabling the Los Angeles Campus to Reappoint Regent Guber to Part-Time Teaching and Advisory Board Positions, Provided that Any Such Positions are Uncompensated |
| G5 | Action | Resolutions to Exclude Access to Federal Classified Information  |
| G6 | Action | Approval of Dates of Regents Meetings for 2019   |

Committee membership: Regents Blum, Elliott, Lansing, Lozano, Ortiz Oakley (Vice Chair), Pattiz, Pérez, Reiss (Chair), and Varner; Ex officio members Brown, Kieffer, and Napolitano

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## NOTICE OF MEETING

### The Regents of the University of California

#### ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: **September 13, 2017**  
Time: **1:00 p.m.**<sup>1</sup>  
Location: **Price Center, San Diego Campus**

#### Agenda – Open Session

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|----|------------|--|
|    | Action     | Approval of the Minutes of the meeting of July 12, 2017  |
| A1 | Action     | Appointment of Regent as Executive Committee Governor and Chair of the Board of Governors of the Los Alamos National Security, LLC and Lawrence Livermore National Security, LLC |
| A2 | Discussion | Implementation Update on Regents Policy 3103: Policy on Professional Degree Supplemental Tuition   |
| A3 | Discussion | Campus Admissions Verification Processes and Policies  |
| A4 | Discussion | Enhancing Science, Technology, Engineering and Mathematics (STEM) Preparation Through Community Partnerships: Launch Academy Summer STEM Program                                 |
| A5 | Discussion | Basic Needs Security: Year Three Update  |
| A6 | Discussion | Update on Regents Policy 3501: Student Athletes and Guiding Principles to Enhance Student-Athlete Welfare  |
| A7 | Discussion | University of California’s Land-Based Observatories  |

Committee membership: Regents De La Peña, Elliott, Lansing, Lozano (Vice Chair), Mancía, Monge, Newsom, Ortiz Oakley, Pattiz, Pérez (Chair), Reiss, Rendon, and Tauscher; Ex officio members Brown, Kieffer, Napolitano, and Torlakson; Chancellors Block, Christ, Leland, Wilcox, and Yang; Advisory members Graves, Main, and White

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## NOTICE OF MEETING

**The Regents of the University of California**

### **NATIONAL LABORATORIES SUBCOMMITTEE**

Date: **September 13, 2017**  
Time: **Upon adjournment of the Academic and Student Affairs Committee<sup>1</sup>**  
Location: **Price Center, San Diego campus**

#### Agenda – Open Session

	Action	Approval of the Minutes of the Meeting July 12, 2017
N1	Discussion	Update on the Los Alamos National Laboratory Contract
N2	Discussion	Update on the Lawrence Berkeley National Laboratory Contract Reform

Committee Membership: Regents De La Peña (Vice Chair), Mancina, Napolitano, Newsom, Ortiz Oakley, Pattiz (Chair), and Tauscher; Ex officio member Pérez; Chancellors Block, Christ, and Yang; Advisory member White

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## NOTICE OF MEETING

### The Regents of the University of California

#### FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **September 13, 2017**  
Time: **1:00 p.m.**<sup>1</sup>  
Location: **Price Center, San Diego Campus**

#### Agenda – Open Session

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|----|------------|--|
|    | Action     | Approval of the Minutes of the Special Meeting of June 21 and the Meeting of July 12, 2017   |
| F1 | Action     | Consent Agenda   |
|    |            | Approval of Preliminary Plans Funding, Northern Regional Library Facility Phase 4 Expansion, Systemwide  |
| F2 | Action     | Amendment of the Budget and Scope, Approval of External Financing, and Approval of Design following Action Pursuant to the California Environmental Quality Act, Interdisciplinary Science and Engineering Building, Irvine Campus |
| F3 | Action     | Approval of Budget Amendment and External Financing, Precision Cancer Medicine Building at Mission Bay, San Francisco Campus   |
| F4 | Discussion | Kresge College Project, Santa Cruz Campus  |
| F5 | Discussion | Engineering Interdisciplinary Building, San Diego Campus   |
| F6 | Discussion | Emerson Hall Housing Replacement Project, Davis Campus   |
| F7 | Discussion | Teaching and Learning Complex, Davis Campus  |

...continued

Committee membership: Regents Anguiano, Blum, Lemus, Makarechian (Chair), Park, Rendon, Sherman (Vice Chair), Varner, and Zettel; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Anderson, May, and Morimoto; Staff Advisor Valdrey

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|-----|------------|---|
| F8  | Discussion | Student Success Center Project, Riverside Campus  |
| F9  | Discussion | Parnassus Heights Health Sciences Instruction and Research Buildings Seismic Improvements, San Francisco Campus |
| F10 | Discussion | University of California 2018-19 Budget for State Capital Improvements  |
| F11 | Discussion | Preliminary Discussion of the University's 2018-19 Budget   |

## NOTICE OF MEETING

### THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **September 14, 2017**  
Time: **9:30 a.m.**<sup>1</sup>  
Location: **Price Center, San Diego Campus**

#### Agenda – Closed Session

Action Approval of the Minutes of the Meeting of July 13, 2017

#### **Committee Reports Including Approval of Recommendations from Committees:**

##### **Compliance and Audit Committee**

Recommended Settlements for Board Action

*Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]*

*Security matters [Government Code §11126(c)(18) and  
Education Code §92032(b)(1)]*

##### **Governance and Compensation Committee**

*Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)]*

*Collective bargaining matters [Government Code §3596(d)]*

##### **Health Services Committee (meeting of August 16)**

*Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)]*

*Litigation [Education Code §92032(b)(5)]*

##### **Investments Subcommittee**

*Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]*

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**Officers' and President's Reports:**

Report of Interim, Concurrence, and Committee Actions

Personnel Matters

*Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]*

*Acquisition or disposition of property [Education Code §92032(b)(6)]*

*Personnel matters [Education Code §92032(b)(7)]*



## NOTICE OF MEETING

### THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **September 14, 2017**  
Time: **9:45 a.m.**<sup>1</sup>  
Location: **Price Center, San Diego Campus**

#### Agenda – Open Session

#### **Public Comment**<sup>2</sup>

#### **Roll Call**

#### **Approval of the Minutes of the meeting of July 13, 2017**

#### **Committee Reports Including Approval of Recommendations from Committees:**

##### **Academic and Student Affairs Committee**

Appointment of Regent as Executive Committee Governor and Chair of the Board of Governors of the Los Alamos National Security, LLC and Lawrence Livermore National Security, LLC

##### **Compliance and Audit Committee**

##### **Finance and Capital Strategies Committee**

Approval of Preliminary Plans Funding, Northern Regional Library Facility Phase 4 Expansion, Richmond Field Station

Amendment of the Budget and Scope, Approval of External Financing and Approval of Design Following Action Pursuant to the California Environmental Quality Act, Interdisciplinary Science and Engineering Building, Irvine Campus

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Approval of Budget Amendment and External Financing, Precision Cancer Medicine Building at Mission Bay, San Francisco Campus

### **Governance and Compensation Committee**

Approval of Salary Adjustments for Chancellors and the Director of the Lawrence Berkeley National Laboratory, as Discussed in Closed Session

Approval of Incentive Compensation Using Non-State Funds for Fiscal Year 2016-17 for Chief Investment Officer and Vice President – Investments, as Discussed in Closed Session

Suspension of Bylaw 21.7 for the Limited Purpose of Enabling the Los Angeles Campus to Reappoint Regent Guber to Part-time Teaching and Advisory Board Positions, Provided that any Such Positions are Uncompensated

Resolutions to Exclude Access to Federal Classified Information

Approval of Dates of Regents Meetings for 2019

### **Health Services Committee (meeting of August 16, 2017)**

Approval of Salary Adjustment Using Non-State Funds for Chief Executive Officer, UC San Diego Health System, San Diego Campus as Discussed in Closed Session

Approval of Salary Adjustments Using Non-State Funds for Certain Members of the Senior Management Group within the UC Health System as Discussed in Closed Session

### **Investments Subcommittee**

### **Public Engagement and Development Committee**

### **National Laboratories Subcommittee**

**B1 Discussion Annual Update on Investments Products**

**B2 Discussion Carbon Neutrality at the University of California**

### **Officers' and President's Reports:**

Report of Interim, Concurrence, and Committee Actions  
Report of Materials Mailed Between Meetings