

## NOTICE OF MEETING

### The Regents of the University of California

#### COMPLIANCE AND AUDIT COMMITTEE

Date: **September 14, 2016**  
Time: **Upon adjournment of open session**  
Location: **Luskin Conference Center, Centennial Hall, Salons C & D,  
Los Angeles Campus**

#### Agenda – Closed Session

Action Approval of the Minutes of the Regents Only Meeting of the Committee on Compliance and Audit of July 19 and the Regents Only Meeting of the Committee on Finance of July 20, 2016

C5(X) Discussion Risk Management Activities Arising from Cyber Security Litigation

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

C6(X) Action Recommended Settlements for Board Action

1. Bishop v. Regents – Proposed Settlement – Medical Malpractice – San Francisco
2. Regents v. RMF Engineering, Inc, et al. – Authorization to Settle Dispute – Preliminary Utility Plant Design and Construction Defect Litigation – San Francisco

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C7(X) Discussion Appellate, Trial Court Developments and Updates

1. BREEN v. REGENTS – Demurrer Overruled – Employment – Irvine
2. BROOME v. REGENTS – Class Certification Motion Filed – Benefits – Systemwide
3. DEMAREE v. REGENTS – Motion to Dismiss Briefed and Awaiting Decision – Civil Rights Violation Claim – Los Angeles
4. DOE v. REGENTS – Demurrer Overruled – Personal Injury – Santa Barbara

5. DOE v. REGENTS – Dismissal Affirmed on Appeal – Personal Injury – Santa Barbara
6. DOE v. REGENTS – Oral Argument Scheduled – Student Sexual Misconduct – San Diego
7. FEDERATED UNIVERSITY POLICE OFFICERS’ ASSOCIATION, et al. v. REGENTS, et al. – Class Certification Denied – Claim by Police Officers of Illegal Recording Devices – Irvine
8. FELARCA, et al. v. BIRGENEAU, et al. – Extension Granted on Plaintiffs’ Brief – Claims Arising from Police Response to Campus Protests – Berkeley
9. KARASEK, et al. v. REGENTS – Motion to Dismiss Granted – Sexual Misconduct – Berkeley
10. LARKIN v. REGENTS – Plaintiff Verdict – Medical Malpractice – Los Angeles
11. LLM BAR EXAM, LLC v. BARBRI, INC., et al. – Complaint Served – Antitrust – Berkeley
12. MCMATH, JAHl v. CHRco – Petition for Review – Medical Malpractice – San Francisco
13. MISSION BAY ALLIANCE, et al. v. HAWGOOD – Demurrer Hearing Set and Related Writ Denied – Taxpayer Petition for Writ of Mandate Challenging Memorandum of Understanding – San Francisco
14. NAM v. REGENTS – Denial of Anti-SLAPP Motion Affirmed on Appeal – Employment – San Diego
15. SNYDER v. REGENTS – Defense Verdict – Medical Malpractice – Irvine
16. SORRELL v. REGENTS et al. – Demurrer Sustained With Leave to Amend – Sexual Harassment – Berkeley
17. TIDWELL v. REGENTS – Petition Denied – Student Sexual Misconduct – Los Angeles
18. WU v. REGENTS – Trial Commenced – Medical Malpractice – Los Angeles
19. General Counsel’s Update on Pending or Threatened Litigation

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C8(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from May 28 to July 27, 2016

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