

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON COMPLIANCE AND AUDIT

Date: **October 31, 2012**
Time: **2:15 p.m.**¹
Locations: **1111 Franklin Street, Lobby 1, Oakland**
Covel Commons, South Bay Room, Los Angeles Campus
University Center Building 401, San Diego Campus (no Regent at this site)
Henley Board Room, 3104 Mosher Alumni House, Santa Barbara Campus

Listen live during the meeting: http://california.granicus.com/ViewPublisher.php?view_id=2

Agenda – Open Session

Public Comment Period²

	Action	Approval of the Minutes of the Meeting of September 11, 2012
A4	Discussion	Annual Report of External Auditors for the Year Ended June 30, 2012
A5	Discussion	Annual Report on Internal Audit Activities, 2011-12
A6	Discussion	Campus View: Annual Report on Internal Audit Activities
A7	Discussion	Internal Audit Quality Assessment Review (QAR)
A8	Discussion	Ethics and Compliance Activities Report

Committee membership: Regents De La Peña, Kieffer, Lozano, Makarechian, Mendelson, Ruiz, Stein, and Zettel (Chair); Ex officio members Brown and Lansing; Advisory members Feingold and Powell; Staff Advisors Barton and Smith

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, October 25.

Guidelines

In fairness to all who wish to address the Committee, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced.