

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **November 16, 2022**
Time: **Upon adjournment of the open session meeting¹**
Locations: **Robertson Auditorium, UCSF–Mission Bay Conference Center,
San Francisco campus**
**Teleconference meeting conducted in accordance with California
Government Code §§ 11133**

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 21, 2022

C4(X) Discussion Annual Consultation with Regents’ Auditors Concerning
Performance of University Personnel

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

C5(X) Discussion Compliance and Audit Personnel Matters

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

C6(X) Discussion Update on the Pension Administration Project

*Closed Session Statute Citations: Personnel matters [Education Code
§92032(b)(7)] and Litigation [Education Code §92032(b)(5)]*

...continued

Committee membership: Regents Anguiano, Blas Pedral, Cohen, Elliott (Vice Chair),
Makarechian, Matosantos, Park, Pérez, Pouchot, Rendon,
Sherman, and Sures (Chair); Ex officio members Leib and
Newsom; Chancellors Christ, Gillman, Hawgood, Khosla, May,
and Yang; Advisory member Cochran

¹ All times indicated and the order of business are approximate and subject to change.

- C7(X) Action Recommended Settlements for Board Action
1. Tate v. Regents – Proposed Settlement – Personal Injury – Los Angeles
 2. United States v. Regents – Proposed Consent Decree – Online Accessibility – UC Berkeley
 3. Zuckerman v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles Medical Center

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

- C8(X) Discussion Appellate, Trial Court Developments and Updates
1. AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES v. REGENTS, et al.; MAKE UC A GOOD NEIGHBOR et al. v. REGENTS, et al.; BERKELEY CITIZENS FOR A BETTER PLAN v. REGENTS, et al. – Petitions Denied/Appeal Filed – California Environmental Quality Act – Berkeley
 2. BURKS v. REGENTS – Settlement – Medical Malpractice – San Francisco Medical Center
 3. CITY OF GOLETA v. REGENTS; COUNTY OF SANTA BARBARA v. REGENTS – Complaints Filed – Breach of Contract – Santa Barbara
 4. DALO v. REGENTS – Trial Continued – Medical Malpractice/Fraud – San Diego Health Center
 5. DAVILA v. REGENTS – Trial Commenced/Proposed Settlement – Medical Malpractice – San Francisco Medical Center
 6. DICKERSON v. REGENTS – Trial Commencing – Medical Malpractice – Los Angeles Medical Center
 7. DOES v. REGENTS, et al. – Lawsuits Pending – Sexual Misconduct – Los Angeles Medical Center and Campus Health
 8. FENIMORE v. REGENTS – Trial Commencing – Elder Abuse – UCLA Medical Center
 9. FORDE v. REGENTS – Plaintiff's Verdict – Sexual Harassment and Retaliation – Irvine Health
 10. HABITAT and WATERSHED CARETAKERS v. REGENTS, et al. – Continued Hearing Date Set – California Environmental Quality Act – Santa Cruz
 11. JANZEN v. REGENTS – Trial Commenced – Retaliation – UCLA Medical Center

12. LIANG v. REGENTS – Trial Loss – Wrongful Death – Irvine Health Center
13. POSJENA v. REGENTS – Trial Loss – Medical Malpractice – San Francisco Medical Center
14. REGENTS, et al. v. CITY OF SANTA CRUZ – Motion for Summary Judgment – Breach of Contract – Santa Cruz
15. SABAT v. REGENTS – Trial Commencing – Medical Malpractice – San Diego Medical Center
16. SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. (SBN II) – Appeal Pending – California Environmental Quality Act – Berkeley
17. SEXUAL MISCONDUCT CASES (COMPLAINANTS) – Various Activity – All Campuses
18. SEXUAL MISCONDUCT CASES (RESPONDENTS) – Various Activity – All Campuses
19. SCHOTZ v. REGENTS – Trial Win – Elder Abuse – San Diego Medical Center
20. STEVENS, et al. v. REGENTS, et al. – Appeal Filed – Breach of Settlement Agreement/ Declaratory Relief – Santa Cruz
21. WALTERS v. REGENTS – Voluntary Dismissal – Alleged Failure to Provide 2021 COVID-19 Supplemental Sick Leave and Accurate Wage Statements
22. WENTWORTH v. REGENTS – Trial Commencing – Wrongful Termination – Berkeley
23. GENERAL COUNSEL’S UPDATE ON LITIGATION

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C9(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from August 1, 2022 to September 30, 2022

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]