

**\*Revised\***  
**Deletions shown by strikethrough**

**NOTICE OF MEETING**

**The Regents of the University of California**

**COMPLIANCE AND AUDIT COMMITTEE**

Date: **November 17, 2021**  
Time: **Upon adjournment of the open session meeting<sup>1</sup>**  
Location: **Robertson Auditorium**  
**UCSF-Mission Bay Conference Center, 1675 Owens Street, San Francisco**  
**Teleconference meeting conducted in accordance with California**  
**Government Code §§ 11133**

**Agenda – Closed Session**

	Action	Approval of the Minutes of the Meeting of September 29, 2021
C3(X)	Discussion	Annual Consultation with Regents' Auditors Concerning Performance of University Personnel

*Closed Session Statute Citation: Personnel matters*  
*[Education Code §92032(b)(7)]*

C4(X)	Discussion	Compliance and Audit Personnel Matters
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*Closed Session Statute Citation: Personnel matters*  
*[Education Code §92032(b)(7)]*

C5(X)	Discussion	Update on the Pension Administration Project
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*Closed Session Statute Citations: Personnel matters [Education Code*  
*§92032(b)(7)] and Litigation [Education Code §92032(b)(5)]*

...continued

**Committee membership:** Regents Anguiano, Cohen, Elliott (Chair), Makarechian, Park,  
Pérez, Rendon, Sures, and Zaragoza; Ex officio members Estolano  
and Newsom; Chancellors Christ, Gillman, Hawgood, Khosla, and  
Yang; Advisory members Horwitz and Pouchot

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<sup>1</sup> All times indicated and the order of business are approximate and subject to change.

C6(X)      Discussion      Update on Implementation of Recommendations from UCLA Health and Student Health Special Committee Report

*Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)] and Litigation [Education Code §92032(b)(5)]*

C7(X)      Action      Recommended Settlements for Board Action

1.      Recinos v. Regents, et al. – Proposed Settlement – Class Action Claim Regarding Late Fees – Systemwide
2.      Regents v. CMC – Proposed Settlement – Breach of Contract – San Francisco
3.      Roy v. Regents, et al. – Proposed Settlement – Medical Malpractice – San Francisco Medical Center
4.      Samimi v. Regents – Settlement – Retaliation, Discrimination, Harassment, Failure to Accommodate – UCLA Medical Center
5.      ~~Vanya v. Regents, et al. – Proposed Settlement – Medical Malpractice – San Francisco Medical Center – Zuckerberg San Francisco General Hospital~~

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

C8(X)      Discussion      Appellate, Trial Court Developments and Updates

1.      AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES v. REGENTS, et al.; MAKE UC A GOOD NEIGHBOR et al. v. REGENTS, et al.; BERKELEY CITIZENS FOR A BETTER PLAN v. REGENTS, et al. – Petitions Filed – California Environmental Quality Act – Berkeley
2.      AMERICA’S FRONTLINE DOCTORS, et al. v. CHANCELLOR WILCOX, CHANCELLOR GILLMAN, REGENTS, et al. and PRESIDENT DRAKE – Petition to Supreme Court Pending; Amended Complaint Filed – Privacy, State Created Danger, and State Law Claims in Response to University COVID-19 Vaccine Policy – Office of the President
3.      BLACKHORSE HOA, et al., v. REGENTS, et al. – Settlement – California Environmental Quality Act (CEQA) – San Diego

4. COMPLAINANT SEXUAL MISCONDUCT CASES – Various Activity – All Campuses
5. DALEY v. REGENTS – Adverse Verdict – Medical Battery – San Francisco Medical Center
6. DOES v. REGENTS, et al. – Lawsuits Pending – Sexual Misconduct – Los Angeles Medical Center and Campus Health
7. JACKSON v. REGENTS – Trial Commencing – Discrimination and Retaliation – Los Angeles
8. KHERIATY v. PRESIDENT DRAKE – Preliminary Injunction Denied; Privacy and Equal Protection Claims in Response to University COVID-19 Vaccine Policy – UC Irvine / Office of the President
9. KRISHNA LUNCH, et al. v. REGENTS, et al. – Free Speech Challenge to Campus Food Service Restrictions – UCLA
10. MOSLEY v. REGENTS – Trial Commencing – Medical Malpractice – San Francisco Medical Center
11. POSJENA v. REGENTS – Trial Commencing – Medical Malpractice – San Francisco Medical Center
12. REGENTS, et al. v. UNDER AMOUR – Demurrer Overruled; Trial Date Set; Cross-Complaint Filed – Breach of Contract/Affirmative Recovery – Los Angeles
13. RESPONDENT SEXUAL MISCONDUCT CASES – Various Activity – All Campuses
14. ROUIS et al. v. REGENTS – Summary Judgment Granted – Personal Injury – Los Angeles
15. SAVE BERKELEY’S NEIGHBORHOODS et al. v. REGENTS, et al. – Trial Postponed/Service on Benefitted Estate – Breach of Covenants – Berkeley
16. SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. (SBN I) – Remand to Trial Court – California Environmental Quality Act – Berkeley
17. SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. (SBN II) – Notice of Appeal Filed – California Environmental Quality Act – Berkeley
18. GENERAL COUNSEL’S UPDATE ON LITIGATION

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

C9(X)      Information      Settlements and Separation Agreements Under Delegated Authority Reported from August 1, 2021 to September 30, 2021

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*