NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date: November 13, 2018
Time: 2:00 p.m.\(^1\)
Location: Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment Period\(^2\)

<table>
<thead>
<tr>
<th>Action</th>
<th>议题</th>
</tr>
</thead>
<tbody>
<tr>
<td>I-1 Discussion</td>
<td>Review of Asset Classes, Risk, and Operations</td>
</tr>
<tr>
<td>I-2 Action</td>
<td>Adoption of Investment Policy Statement and Asset and Risk Allocation Policy for the Blue and Gold Endowment</td>
</tr>
</tbody>
</table>

Investments Subcommittee Membership: Regents Anderson, Anguiano (Vice Chair), Leib, Park, and Sherman (Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla;

\(^1\) All times indicated and the order of business are approximate and subject to change.

\(^2\) This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff’s Office by 10 a.m. on Thursday, November 8, 2018.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.
Advisory members Bhavnani, Simmons, and Um; Staff Advisor Main; Student Advisor Huang; Advisory member Zager
NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: November 14, 2018
Time: 8:30 a.m.¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment Period²

Approval of the Minutes of the Meeting of September 26, 2018

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

B-1 Discussion Update on the President’s Student Housing Initiative

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NOTICE OF MEETING

The Regents of the University of California

PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE

Date: November 14, 2018
Time: 10:00 a.m.¹
Location: Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of September 26, 2018

P1 Discussion 2018 Midterm Election Review

P2 Discussion Federal Update

P3 Discussion Review of California Budget Allocation: Hunger Free Campus Funding

P4 Discussion Community Outreach and Impacts, Santa Barbara Campus

P5 Discussion Regents Engagement Plan

Committee Membership: Regents Anderson, Blum, Butler, Graves (Vice Chair), Guber, Lansing, Leib, Ortiz Oakley (Chair), Rendon, Sherman, and Torlakson; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Christ, Hawgood, May, and Wilcox; Advisory members Bhavnani, Simmons, and Um; Staff Advisor Klimow; Student Advisor Huang

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: November 14, 2018
Time: 10:00 a.m.¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of September 26, 2018

C1 Discussion Annual Report on Internal Audit Activities, 2017-18

C2 Discussion Report on Independent Assessment of Audit Implementation Status

C3 Discussion Annual Report of External Auditors for the Year Ended June 30, 2018

Committee membership: Regents Anguiano (Vice Chair), Cohen, Elliott (Chair), Estolano, Makarechian, Morimoto, Newsom, Park, Pérez, Tauscher, and Zettel; Ex officio members Brown and Kieffer; Chancellors Block, Gillman, Khosla, Leland, and Yang; Advisory members May and Weddle; Staff Advisor Main

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NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: November 14, 2018
Time: Upon adjournment of the open session meeting¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 26, 2018

C4(X) Discussion Annual Consultation with Regents’ Auditors Concerning Performance of University Personnel

Closed Session Statute Citation: Personnel matters
[Education Code § 92032(b)(7)]

C5(X) Discussion Overview of Compliance and Audit Personnel Matters

Closed Session Statute Citation: Personnel matters
[Education Code § 92032(b)(7)]

C6(X) Discussion Cybersecurity Audit Activities Update

Closed Session Statute Citation: Security matters [Government Code § 11126(c)(18) and Education Code § 92032(b)(1)]

Committee membership: Regents Anguiano (Vice Chair), Cohen, Elliott (Chair), Estolano, Makarechian, Morimoto, Newsom, Park, Pérez, Tauscher, and Zettel; Ex officio members Brown and Kieffer; Chancellors Block, Gillman, Khosla, Leland, and Yang; Advisory members May and Weddle

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C7(X)  Action  Recommended Settlement for Board Action

Flores v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C8(X)  Discussion  Appellate, Trial Court Developments and Updates

1. ADLOUNI, et al. v. REGENTS – Hearing Set for Preliminary Settlement Approval – Privacy – Los Angeles
2. ALSAYYAD v. REGENTS – Writ Petition and Civil Complaint Filed – Faculty Discipline – Berkeley
3. BIRDEN v. REGENTS – Race Discrimination – Trial to Commence – UCLA Medical Center
4. CAMBRIDGE RETIREMENT SYSTEM v. WILLIS TOWERS WATSON plc, et al. – Appeal of Dismissal Filed – Affirmative Recovery – Office of the President
5. DOE v. REGENTS, ET AL. – Oral Argument Scheduled – Negligent Supervision – San Diego
6. DOE v. REGENTS, ET AL. – Oral Argument Scheduled – Faculty Discipline – San Diego
7. ELLIOT v. REGENTS, JEFFERSON v. REGENTS – Motion for Summary Judgment Granted – Invasion of Privacy – San Francisco
8. JONES v. WANG – Case Dismissed – Professional Liability – Los Angeles
9. KLEIN and AMERICAN FEDERATION OF STATE, COUNTY, AND MUNICIPAL EMPLOYEES, LOCAL 3299 v. REGENTS – Complaint Filed – California Environmental Quality Act – Davis
11. MALTBY v. REGENTS – Trial to Be Commenced – Employment – San Diego
12. NASSAR v. REGENTS – Trial Commenced – Medical Malpractice – Los Angeles Medical Center
13. POPOVICH v. REGENTS – Trial Verdict for Regents – Medical Malpractice – Davis Medical Center
14. REGENTS v. AISEN – Trial Date Set – Breach of Fiduciary Duty – San Diego
Process Claims Challenging Rescission of DACA – Systemwide

16. REGENTS v. TRAYER – Resolved – Property Damage – Berkeley

17. REQUA, et al. v. REGENTS – Trial Date Set – Impairment of Implied Contract for Retiree Health Benefits – Office of the President

18. ROBLES v. REGENTS, et al. – Dismissal of Regents and University Employees – Violation of First Amendment and Personal Injury – Berkeley

19. ROSEN v. REGENTS – California Court of Appeal to Hold Oral Argument – Negligence – Los Angeles

20. STUDENT SEXUAL MISCONDUCT CASES – Various Activity – Various Campuses

21. General Counsel’s Update on Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]
NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: November 14, 2018
Time: 12:30 p.m.¹
Location: Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of September 26, 2018
A1 Discussion Assessing and Ensuring Academic Quality on Campus and Systemwide
A2 Discussion Update on Implementation of the Recommendations of the Regents Total Cost of Attendance Working Group
A3 Discussion Serving Those Who Serve: The Student Veteran Experience at the University of California

Committee membership: Regents Butler, Elliott, Estolano, Graves, Lansing, Morimoto, Newsom, Ortiz Oakley, Pérez (Chair), Rendon, Tauscher (Vice Chair), and Zettel; Ex officio members Brown, Kieffer, Napolitano, and Torlakson; Chancellors Block, Christ, Leland, Wilcox, and Yang; Advisory members May and Weddle; Staff Advisor Klimow

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NOTICE OF MEETING

The Regents of the University of California

NATIONAL LABORATORIES SUBCOMMITTEE

Date: November 14, 2018
Time: Upon adjournment of the Academic and Student Affairs Committee
Location: Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of September 26, 2018

N1 Action Amendment of Regents Policy 7104 – Selection of Laboratory Directors, Regents Policy 7105 – Appointments of Individuals to the Executive Committees of the Boards of Governors of Los Alamos National Security, LLC and Lawrence Livermore National Security, LLC, and Bylaw 22.2 – Specific Reservations

N2 Discussion Lawrence Berkeley National Laboratory – UC Berkeley Collaboration on Climate, Energy, and the Environment

Committee Membership: Regents Estolano, Morimoto, Napolitano, Newsom, Ortiz Oakley, Tauscher (Chair), and Zettel (Vice Chair); Ex officio member Pérez; Chancellors Block, Christ, and Yang; Advisory member May

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NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: November 14, 2018
Time: 12:30 p.m.¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 26, 2018

F1(X) Discussion Retail Space Lease with Target Corporation at Price Center, San Diego Campus

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

F2(X) Action Amendment of Approval of Ground Lease Business Terms and Financing for the West Village Transfer Student and Continuing Undergraduate Student Housing Project, Davis Campus

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

F3(X) Action Approval of Business Terms of Ground Lease and Space Leaseback, Bakar BioEnginuity Hub at Woo Hon Fai Hall, Berkeley Campus

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

Committee membership: Regents Anderson, Anguiano, Blum, Cohen, Leib, Makarechian (Chair), Park (Vice Chair), Rendon, and Sherman; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Bhavnani, Simmons, and Um

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NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: November 14, 2018
Time: Upon adjournment of the closed session meeting
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of September 26, 2018

F4 Action Approval of Design Following Action Pursuant to California Environmental Quality Act, Bakar BioEngineering Hub at Woo Hon Fai Hall, Berkeley Campus

F5 Action Approval of the 2018-28 Capital Financial Plan

F6 Action University of California Financial Reports, 2018

F7 Action Approval of Budget and Scope, Approval of External Financing and External Financing Supported by State General Funds, and Approval of Design Following Action Pursuant to the California Environmental Quality Act, Teaching and Learning Complex, Davis Campus

F8 Action Consent Agenda:

A. Approval of the University of California 2019-20 Budget for State Capital Improvements

Committee membership: Regents Anderson, Anguiano, Blum, Cohen, Leib, Makarechian (Chair), Park (Vice Chair), Rendon, and Sherman; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Bhavnani, Simmons, and Um; Staff Advisor Main; Student Advisor Huang

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B. Approval of Preliminary Plans Funding, Classroom Building, Santa Barbara Campus

C. Amendment of Preliminary Plans Funding, UCSF Research Building at Zuckerberg San Francisco General Hospital, San Francisco Campus

D. Approval of Preliminary Plans Funding, Scope, and Working Drawings Funding, Roadway and Site Improvements at Zuckerberg San Francisco General Hospital, San Francisco Campus

E. Approval of Preliminary Plans Funding, Hillcrest Campus Outpatient Pavilion and Parking, San Diego Campus

F9 Discussion UC San Diego 2019 Long Range Development Plan, Hillcrest Campus, San Diego Campus

F10 Discussion Ambulatory Care Center Expansion with Eye Center, Davis Health Campus

F11 Discussion Report of Budget to Actual Expenditures for Fiscal Year 2017-18 for the Office of the President; and First Quarter Fiscal Year 2018-19 Results

F12 Discussion UCPath Update

F13 Discussion Annual Actuarial Valuations for the University of California Retirement Plan and Its Segments and for the 1991 University of California-Public Employees’ Retirement System Voluntary Early Retirement Incentive Program

F14 Discussion Annual Actuarial Valuation of the University of California Retiree Health Benefit Program

F15 Action Approval of the University of California 2019-20 Budget for Current Operations
The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: November 14, 2018
Time: 4:00 p.m.¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 26, 2018

G1(X) Action Appointment of Advisory Member to the Health Services Committee

Closed Session Statute Citation: Nomination of officers and members
[Education Code §92032(e)]

G2(X) Discussion Collective Bargaining Matters

Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]

Committee membership: Regents Blum, Elliott, Lansing, Makarechian, Ortiz Oakley (Vice Chair), Pérez, Sherman (Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano

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The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: November 14, 2018
Time: Upon adjournment of the closed session meeting
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of September 26-27, 2018

G3 Action Establishment of a New Position in the Senior Management Group of Associate Vice President – Chief Clinical Officer, UC Health, Office of the President, and the Corresponding Market Reference Zone for the Position

G4 Action Amendment of Bylaws and Committee Charters, Establishment of an Investments Committee and Adoption of Investments Committee Charter, and Establishment of a Special Committee on Nominations

G5 Action Establishment of Regents’ Special Committee on Basic Needs

G6 Action Amendment of Regents Policy 1202 – Appointment of Student Regent and Adoption of Student Regent Nomination Procedures

G7 Discussion Overview of Executive Compensation Policies

Committee membership: Regents Blum, Elliott, Lansing, Makarechian, Ortiz Oakley (Vice Chair), Pérez, Sherman (Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano

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THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: November 15, 2018
Time: 8:30 a.m.¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meetings of September 26 and 27, 2018

Committee Reports Including Approval of Recommendations from Committees:

Compliance and Audit Committee

Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]

Personnel matters [Education Code §92032(b)(7)]

Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]

Finance and Capital Strategies Committee

Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]

Governance and Compensation Committee

Closed Session Statute Citations: Nomination of officers and members
[Education Code §92032(e)]

Collective bargaining matters [Government Code §3596(d)]

Health Services Committee (meeting of October 9)

Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]

Personnel matters [Education Code §92032(b)(7)]

Acquisition or disposition of property [Education Code §92032(b)(6)]

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Officers’ and President’s Reports:
Report of Interim, Concurrence, and Committee Actions
Personnel Matters

Acquisition or disposition of property [Education Code §92032(b)(6)]

Personnel matters [Education Code §92032(b)(7)]
NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: November 15, 2018
Time: Upon adjournment of the closed session meeting
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment

Roll Call

Approval of the Minutes of the Meeting of September 27, 2018

Committee Reports Including Approval of Recommendations from Committees:

- Academic and Student Affairs Committee
- Compliance and Audit Committee
- Finance and Capital Strategies Committee
- Governance and Compensation Committee
- Health Services Committee (meeting of October 9, 2018)
- Public Engagement and Development Committee
- Investments Subcommittee
- National Laboratories Subcommittee

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Resolutions in Appreciation

Notable Honors and Achievements

B2 Discussion Preliminary Planning for a Multi-Year Framework

B3 Action Approval of the University of California 2019-20 Budget for Current Operations

B4 Action Approval of UC San Diego 2018 Long Range Development Plan Following Action Pursuant to California Environmental Quality Act, La Jolla Campus, San Diego Campus

B5 Discussion Health Services Committee Delegated Authorities and Oversight Responsibilities

Officers’ and President’s Reports:

- Report of Materials Mailed Between Meetings
- Report of Interim, Concurrence, and Committee Actions