

## NOTICE OF MEETING

The Regents of the University of California

### COMPLIANCE AND AUDIT COMMITTEE

Date: **November 14, 2018**  
Time: **Upon adjournment of the open session meeting<sup>1</sup>**  
Location: **Robertson Auditorium**  
**UCSF–Mission Bay Conference Center**  
**1675 Owens Street, San Francisco**

#### Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 26, 2018

C4(X) Discussion Annual Consultation with Regents’ Auditors Concerning Performance of University Personnel

*Closed Session Statute Citation: Personnel matters  
[Education Code §92032(b)(7)]*

C5(X) Discussion Overview of Compliance and Audit Personnel Matters

*Closed Session Statute Citation: Personnel matters  
[Education Code §92032(b)(7)]*

C6(X) Discussion Cybersecurity Audit Activities Update

*Closed Session Statute Citation: Security matters [Government Code §11126(c)(18) and  
Education Code §92032(b)(1)]*

...continued

Committee membership: Regents Anguiano (Vice Chair), Cohen, Elliott (Chair), Estolano, Makarechian, Morimoto, Newsom, Park, Pérez, Tauscher, and Zettel; Ex officio members Brown and Kieffer; Chancellors Block, Gillman, Khosla, Leland, and Yang; Advisory members May and Weddle

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<sup>1</sup> All times indicated and the order of business are approximate and subject to change.

- C7(X)      Action      Recommended Settlement for Board Action
- Flores v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles
- Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*
- C8(X)      Discussion      Appellate, Trial Court Developments and Updates
1.      ADLOUNI, et al. v. REGENTS – Hearing Set for Preliminary Settlement Approval – Privacy – Los Angeles
  2.      ALSAYYAD v. REGENTS – Writ Petition and Civil Complaint Filed – Faculty Discipline – Berkeley
  3.      BIRDEN v. REGENTS – Race Discrimination – Trial to Commence – UCLA Medical Center
  4.      CAMBRIDGE RETIREMENT SYSTEM v. WILLIS TOWERS WATSON plc, et al. – Appeal of Dismissal Filed – Affirmative Recovery – Office of the President
  5.      DOE v. REGENTS, ET AL. – Oral Argument Scheduled – Negligent Supervision – San Diego
  6.      DOE v. REGENTS, ET AL. – Oral Argument Scheduled – Faculty Discipline – San Diego
  7.      ELLIOT v. REGENTS, JEFFERSON v. REGENTS – Motion for Summary Judgment Granted – Invasion of Privacy – San Francisco
  8.      JONES v. WANG – Case Dismissed – Professional Liability – Los Angeles
  9.      KLEIN and AMERICAN FEDERATION OF STATE, COUNTY, AND MUNICIPAL EMPLOYEES, LOCAL 3299 v. REGENTS – Complaint Filed – California Environmental Quality Act – Davis
  10.     KRISHNA LUNCH OF SOUTHERN CALIFORNIA, INC., et al. v. REGENTS, et al. – Appellate Briefing Schedule Extended – First Amendment – Los Angeles
  11.     MALTBY v. REGENTS – Trial to Be Commenced – Employment – San Diego
  12.     NASSAR v. REGENTS – Trial Commenced – Medical Malpractice – Los Angeles Medical Center
  13.     POPOVICH v. REGENTS – Trial Verdict for Regents – Medical Malpractice – Davis Medical Center
  14.     REGENTS v. AISEN – Trial Date Set – Breach of Fiduciary Duty – San Diego
  15.     REGENTS, et al. v. U.S. DEPARTMENT OF HOMELAND SECURITY, et al. – Request for Ruling by Defendants – Administrative Procedures Act and Due

- Process Claims Challenging Rescission of DACA – Systemwide
16. REGENTS v. TRAYER – Resolved – Property Damage – Berkeley
  17. REQUA, et al. v. REGENTS – Trial Date Set – Impairment of Implied Contract for Retiree Health Benefits – Office of the President
  18. ROBLES v. REGENTS, et al. – Dismissal of Regents and University Employees – Violation of First Amendment and Personal Injury – Berkeley
  19. ROSEN v. REGENTS – California Court of Appeal to Hold Oral Argument – Negligence – Los Angeles
  20. STUDENT SEXUAL MISCONDUCT CASES – Various Activity – Various Campuses
  21. General Counsel’s Update on Litigation

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

C9(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from August 14, 2018 to October 2, 2018

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*