NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **November 14, 2018**
Time: **Upon adjournment of the open session meeting**[1]
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

	Action	Approval of the Minutes of the Meeting of September 26, 2018
C4(X)	Discussion	Annual Consultation with Regents' Auditors Concerning Performance of University Personnel
		Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]
C5(X)	Discussion	Overview of Compliance and Audit Personnel Matters
		Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]
C6(X)	Discussion	Cybersecurity Audit Activities Update
		Closed Session Statute Citation: Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]

…continued

Committee membership: Regents Anguiano (Vice Chair), Cohen, Elliott (Chair), Estolano, Makarechian, Morimoto, Newsom, Park, Pérez, Tauscher, and Zettel; Ex officio members Brown and Kieffer; Chancellors Block, Gillman, Khosla, Leland, and Yang; Advisory members May and Weddle

[1] All times indicated and the order of business are approximate and subject to change.

C7(X) Action Recommended Settlement for Board Action

Flores v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C8(X) Discussion Appellate, Trial Court Developments and Updates

1. ADLOUNI, et al. v. REGENTS – Hearing Set for Preliminary Settlement Approval – Privacy – Los Angeles
2. ALSAYYAD v. REGENTS – Writ Petition and Civil Complaint Filed – Faculty Discipline – Berkeley
3. BIRDEN v. REGENTS – Race Discrimination – Trial to Commence – UCLA Medical Center
4. CAMBRIDGE RETIREMENT SYSTEM v. WILLIS TOWERS WATSON plc, et al. – Appeal of Dismissal Filed – Affirmative Recovery – Office of the President
5. DOE v. REGENTS, ET AL. – Oral Argument Scheduled – Negligent Supervision – San Diego
6. DOE v. REGENTS, ET AL. – Oral Argument Scheduled – Faculty Discipline – San Diego
7. ELLIOT v. REGENTS, JEFFERSON v. REGENTS – Motion for Summary Judgment Granted – Invasion of Privacy – San Francisco
8. JONES v. WANG – Case Dismissed – Professional Liability – Los Angeles
9. KLEIN and AMERICAN FEDERATION OF STATE, COUNTY, AND MUNICIPAL EMPLOYEES, LOCAL 3299 v. REGENTS – Complaint Filed – California Environmental Quality Act – Davis
10. KRISHNA LUNCH OF SOUTHERN CALIFORNIA, INC., et al. v. REGENTS, et al. – Appellate Briefing Schedule Extended – First Amendment – Los Angeles
11. MALTBY v. REGENTS – Trial to Be Commenced – Employment – San Diego
12. NASSAR v. REGENTS – Trial Commenced – Medical Malpractice – Los Angeles Medical Center
13. POPOVICH v. REGENTS – Trial Verdict for Regents – Medical Malpractice – Davis Medical Center
14. REGENTS v. AISEN – Trial Date Set – Breach of Fiduciary Duty – San Diego
15. REGENTS, et al. v. U.S. DEPARTMENT OF HOMELAND SECURITY, et al. – Request for Ruling by Defendants – Administrative Procedures Act and Due

Process Claims Challenging Rescission of DACA – Systemwide

16. REGENTS v. TRAYER – Resolved – Property Damage – Berkeley
17. REQUA, et al. v. REGENTS – Trial Date Set – Impairment of Implied Contract for Retiree Health Benefits – Office of the President
18. ROBLES v. REGENTS, et al. – Dismissal of Regents and University Employees – Violation of First Amendment and Personal Injury – Berkeley
19. ROSEN v. REGENTS – California Court of Appeal to Hold Oral Argument – Negligence – Los Angeles
20. STUDENT SEXUAL MISCONDUCT CASES – Various Activity – Various Campuses
21. General Counsel's Update on Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C9(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from August 14, 2018 to October 2, 2018

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]