

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **November 16, 2016**
Time: **9:00 a.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Community Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment Period² (20 minutes)

Approval of the Minutes of the Meeting of September 14, 2016

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

Notable Honors and Achievements

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, November 10, 2016.

Guidelines

In fairness to all who wish to address the Committee, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: **November 16, 2016**
Time: **10:00 a.m.**¹
Location: **Fisher Banquet Room West**
UCSF–Mission Bay Community Center
1675 Owens Street, San Francisco

Agenda – Open Session

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| | Action | Approval of the Minutes of the Meeting September 14, 2016 |
| A1 | Discussion | Continuation of Discussion on Demographic Trends in California and Implications for Enrollment and Diversity |
| A2 | Discussion | Improving College Readiness in the California Public School System System |

Committee membership: Regents Brody, De La Peña, Island (Chair), Lansing, Newsom, Ortiz Oakley (Vice Chair), Pattiz, Pérez, Ramirez, Reiss, and Rendon; Ex officio members Brown, Lozano, Napolitano, and Torlakson; Advisory members Chalfant, Mancina, and Monge; Chancellors Block, Dirks, and Wilcox; Staff Advisor Valdry

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NOTICE OF MEETING

The Regents of the University of California

NATIONAL LABORATORIES SUBCOMMITTEE

Date: **November 16, 2016**
Time: **Upon adjournment of the Academic and Student Affairs Committee¹**
Location: **Fisher Banquet Room West
UCSF–Mission Bay Community Center
1675 Owens Street, San Francisco**

Agenda – Open Session

Action	Approval of the Minutes of the Meeting September 14, 2016
N1	Discussion National Laboratories Update and Presentation on the State of Lawrence Livermore National Laboratory

Committee Membership: Regents De La Peña (Vice Chair), Napolitano, Pattiz (Chair), and Schroeder; Ex officio member Island; Advisory Members Chalfant and Mancia; Chancellors Khosla and Yang

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **November 16, 2016**
Time: **10:00 a.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

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| | Action | Approval of the Minutes of the Meeting of September 14, 2016 |
| F1 | Discussion | Update on Student Housing and Plans for the Continued Development of the West Village Neighborhood, Davis Campus |
| F2 | Discussion | Update on Student Housing and Plans for Middle Earth Expansion and East Campus Student Apartments Phase 4, Irvine Campus |
| F3 | Discussion | Preliminary Discussion of the 2017-18 Budget |
| F4 | Action | Approval of Three-Year Financial Sustainability Plan |
| F5 | Action | University of California Financial Reports, 2016 |
| F6 | Action | Amendment of Regents Policy 5305, University of California Mortgage Origination Program, and Regents Policy 5306, University of California Supplemental Home Loan Program |

...continued

Committee membership: Regents Blum, Elliott, Gould, Kieffer, Makarechian (Chair), Schroeder, Sherman, Varner (Vice Chair), and Zettel; Ex officio members Brown, Lozano, and Napolitano; Advisory members Lemus and White; Chancellors Blumenthal and Hawgood; Staff Advisor Richmond

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| F7 | Discussion | Annual Actuarial Valuations for the University Of California Retirement Plan and Its Segments and for the 1991 University of California-Public Employees' Retirement System Voluntary Early Retirement Incentive Program |
| F8 | Discussion | Annual Actuarial Valuation of the University Of California Retiree Health Benefit Program |
| F9 | Action | Consent Agenda |
- A. University of California Debt Policy
 - B. Approval of the University of California 2017-18 Budget for State Capital Improvements
 - C. Approval of the Non-State Budget, External Financing, and Standby Financing, Interdisciplinary Sciences Building, Irvine Campus
 - D. Approval of the Non-State Budget, Center for the Health Sciences – Neuropsychiatric Institute Seismic Correction, Los Angeles Campus
 - E. Approval of the Non-State Budget and Approval of External Financing, Franz Hall Tower Seismic Renovation, Los Angeles Campus
 - F. Approval of Budget and External Financing, Nuevo West Graduate Student Housing, San Diego Campus
 - G. Approval of Preliminary Plans Funding, North Torrey Pines Living and Learning Neighborhood, San Diego Campus

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **November 16, 2016**
Time: **Upon adjournment of the open session meeting¹**
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

F10(X) Action Amendment of Authorization of Ground Lease Terms for Development of the Center for Novel Therapeutics, San Diego Campus

Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]

F11(X) Action Authorization to Fund Improvements to and Approval of Purchase and External Financing of a Medical Office Property in Santa Monica, Los Angeles Campus

Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]

F12(X) Action Authority to Enter into a Ground Lease and Lease Disposition and Development Agreement following Action Pursuant to the California Environmental Quality Act for Construction of a Research Building at the Priscilla Chan and Mark Zuckerberg San Francisco General Hospital and Trauma Center, San Francisco Campus

Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]

Committee membership: Regents Blum, Elliott, Gould, Kieffer, Makarechian (Chair), Schroeder, Sherman, Varner (Vice Chair), and Zettel; Ex officio members Brown, Lozano, and Napolitano; Advisory members Lemus and White; Chancellors Blumenthal and Hawgood

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **November 16, 2016**
Time: **1:00 p.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

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| | Action | Approval of the Minutes of the Meeting of September 14, 2016 |
| C1 | Discussion | Annual Report on Internal Audit Activities 2015-16 |
| C2 | Discussion | Annual Report of External Auditors for the Year Ended June 30, 2016
2016 |
| C3 | Discussion | Update on Travel Abroad Security and Response Program |

Committee membership: Regents Brody (Vice Chair), De La Peña, Elliott, Makarechian, Newsom, Pérez, Sherman, Varner, and Zettel (Chair); Ex officio members Brown and Lozano; Advisory members Chalfant and Monge; Chancellor Gillman; Staff Advisor Valdry

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NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **November 16, 2016**
Time: **Upon adjournment of the open session meeting¹**
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 14, 2016

C4(X) Discussion Update on State Audits of the University

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C5(X) Discussion Compliance and Audit Personnel Matters

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

C6(X) Discussion Annual Consultation with Regents' Auditors Concerning
Performance of University Personnel

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

...continued

Committee membership: Regents Brody (Vice Chair), De La Peña, Elliott, Makarechian, Newsom, Pérez, Sherman, Varner, and Zettel (Chair); Ex officio members Brown and Lozano; Advisory members Chalfant and Monge; Chancellor Gillman

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- C7(X) Action Recommended Settlements for Board Action
1. Regents v. Flad & Associates, et al. – Proposed Settlement – Design and Construction Defects Claims – San Francisco
 2. Villa v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles
- Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*
- C8(X) Discussion Appellate, Trial Court Developments and Updates
1. CHOUDHRY v. REGENTS – Complaint Served – Preliminary Injunction/Race/National Origin Discrimination – Berkeley
 2. CLASS ACTION CLAIMS – Pre-Litigation – Consumer Privacy Statute – Los Angeles
 3. DE VRIES v. REGENTS – Oral Argument on Appeal – Taxpayer Injunction to Enjoin Nonresident Tuition Exemption and Financial Aid for Undocumented Immigrants – Systemwide
 4. DOE v. REGENTS – Motion to Lift Stay Denied and Oral Argument on Appeal – Student Sexual Misconduct – San Diego
 5. DOE v. REGENTS – Motion for Reconsideration Pending – Student Sexual Misconduct – Santa Barbara
 6. FEDERATED UNIVERSITY POLICE OFFICERS’ ASSOCIATION, et al. v. REGENTS, et al. – Case Dismissed – Claim by Police Officers of Illegal Recording Devices – Irvine
 7. HORNE v. REGENTS – Defense Jury Verdict – Medical Malpractice – San Francisco Medical Center
 8. IHLY v. REGENTS – Court of Appeal Decision – Medical Malpractice – Los Angeles Medical Center
 9. THE KOALA v. KHOSLA, et al. – Motion to Dismiss Granted with Leave to Amend – First Amendment – San Diego
 10. MEDIVATION v. REGENTS – Appellate Decision – Intellectual Property – Los Angeles
 11. MISSION BAY ALLIANCE v. HAWGOOD – Settlement Conference and Demurrer Hearing Held – Taxpayer Petition for Writ of Mandate Challenging Memorandum of Understanding – San Francisco

12. REGENTS v. AISEN – Orders Denying Regents’ Contempt Motion and Granting Defendants’ Modification of Injunction – Breach of Fiduciary Duty – San Diego
13. REGENTS v. BANK OF AMERICA, et al. – Hearing on Motion to Dismiss – Antitrust – Office of the President
14. REPORTERS COMMITTEE v. REGENTS – Redacted Records Made Available – Public Records Act – Berkeley
15. ROHNER, et al. v. REGENTS – Complaint for Declaratory Relief – Open Meetings – Irvine
16. ROSS v. REGENTS – Trial Commencement – Whistleblower Retaliation – Los Angeles
17. SERCARZ v. REGENTS – Summary Judgment in Favor of Regents Upheld on Appeal – Retaliation – Los Angeles
18. WENTWORTH v. REGENTS – Complaint Served – Disability Discrimination – Berkeley
19. WU v. TABSH – Defense Verdict – Medical Malpractice – Los Angeles
20. General Counsel’s Update on Pending or Threatened Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C9(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from July 28, 2016 to September 26, 2016

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

NOTICE OF MEETING

The Regents of the University of California

PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE

Date: **November 16, 2016**
Time: **1:00 p.m.**¹
Location: **Fisher Banquet Room West**
UCSF–Mission Bay Community Center
1675 Owens Street, San Francisco

Agenda – Open Session

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|----|------------|---|
| | Action | Approval of the Minutes of the Meeting September 14, 2016 |
| P1 | Discussion | Annual Report on University Private Support 2015-16 |
| P2 | Discussion | Overview of University of California Privately Funded Scholarships and Fellowships |
| P3 | Discussion | Review of Federal and State Election Results Including State Ballot Initiatives |
| P4 | Discussion | Upcoming State Legislative Session |
| P5 | Discussion | Federal Election Impact on Higher Education Policy and Higher Education Act Reauthorization |

Committee Membership: Regents Gould, Island, Kieffer (Chair), Lansing (Vice Chair), Ortiz Oakley, Pattiz, Ramirez, Reiss, Rendon, and Schroeder; Ex officio members Brown, Lozano, and Napolitano; Advisory members Lemus, Mancina, and White; Chancellor Leland and Interim Chancellor Hexter; Staff Advisor Richmond

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NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **November 16, 2016**
Time: **3:30 p.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 14, 2016

G1(X) Discussion Collective Bargaining Matters

*Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]*

G2(X) Discussion Incentive Compensation Using Non-State Funds for Fiscal Year
2015-16 for Chief Investment Officer and
Vice President – Investments and
Associate Chief Investment Officer, Office of the President

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

G3(X) Discussion Appointment of and Compensation for Interim
Senior Vice President and Chief Compliance and Audit Officer,
Office of the President

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

Committee membership: Regents Blum, Elliott, Gould (Vice Chair), Lansing, Ortiz Oakley,
Pattiz, Pérez, Reiss (Chair), Schroeder, and Varner; Ex officio
members Brown, Lozano, and Napolitano

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NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **November 16, 2016**
Time: **Upon adjournment of the closed session meeting¹**
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

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|----|--------|---|
| | Action | Approval of the Minutes of the Meeting of September 14, 2016 |
| G1 | Action | Amendment of the Annual Incentive Plan for Participants in the Office of the Chief Investment Officer, Office of the President |
| G2 | Action | Approval of Incentive Compensation Using Non-State Funds for Fiscal Year 2015-16 for Chief Investment Officer and Vice President – Investments and Associate Chief Investment Officer, Office of the President as Discussed in Closed Session |
| G3 | Action | Approval of Incentive Compensation for Interim Senior Vice President and Chief Compliance and Audit Officer, Office of the President as Discussed in Closed Session |
| G4 | Action | Dates of Regents Meetings for 2018 |
| G5 | Action | Amendment of Regents Policy 1100: Statement of Expectations of the Members of the Board of Regents and Regents Policy 1101: Policy on Board Education and Assessment |

Committee membership: Regents Blum, Elliott, Gould (Vice Chair), Lansing, Ortiz Oakley, Pattiz, Pérez, Reiss (Chair), Schroeder, and Varner; Ex officio members Brown, Lozano, and Napolitano

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NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **November 17, 2016**
Time: **8:30 a.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 15, 2016

Approval of Recommendations from Committees:

Compliance and Audit Committee

Recommended Settlements for Board Action

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Finance and Capital Strategies Committee

Amendment of Authorization of Ground Lease Terms for Development of the Center for Novel Therapeutics, San Diego Campus

Authorization to Fund Improvements to and Approval of Purchase and External Financing of a Medical Office Property in Santa Monica, Los Angeles Campus

Authority to Enter into a Ground Lease and Lease Disposition and Development Agreement following Action Pursuant to the California Environmental Quality Act for Construction of a Research Building at the Priscilla Chan and Mark Zuckerberg San Francisco General Hospital and Trauma Center, San Francisco Campus

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

...continued

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Governance and Compensation Committee

*Closed Session Statute Citations: Collective bargaining matters
[Government Code §3596(d)]
Personnel matters [Education Code §92032(b)(7)]*

Health Services Committee (meeting of October 18)

*Closed Session Statute Citations: Acquisition or disposition of property
[Education Code §92032(b)(6)]
Personnel matters [Education Code §92032(b)(7)]*

Investments Subcommittee (meeting of October 26)

*Closed Session Statute Citations: Purchase or sale of investments
[Education Code §92032(b)(4)]
Personnel matters [Education Code §92032(b)(7)]*

Officers' and President's Reports:

Report of Interim, Concurrence, and Committee Actions

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)] and
Personnel matters [Education Code §92032(b)(7)]*

Personnel Matters

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)] and
Personnel matters [Education Code §92032(b)(7)]*

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THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **November 17, 2016**
Time: **9:00 a.m.**¹
Location: **Robertson Auditorium**
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1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment²

Roll Call

Approval of the Minutes of the Meeting of September 15, 2016

Committee Reports Including Approval of Recommendations from Committees:

Academic and Student Affairs Committee

Compliance and Audit Committee

Finance and Capital Strategies Committee

Approval of Three-Year Financial Sustainability Plan

University of California Financial Reports, 2016

Amendment of Regents Policy 5305, University of California Mortgage Origination

Program, and Regents Policy 5306, University of California Supplemental Home Loan Program

Consent Agenda:

A. *Adoption of University of California Debt Policy*

B. *Approval of the University of California 2017-18 Budget for State Capital Improvements*

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- C. *Approval of the Non-State Budget and External Financing and Standby Financing, Interdisciplinary Sciences Building, Irvine Campus*
- D. *Approval of the Non-State Budget, Center for the Health Sciences – Neuropsychiatric Institute Seismic Correction, Los Angeles Campus*
- E. *Approval of the Non-State Budget and Approval of External Financing, Franz Hall Tower Seismic Renovation, Los Angeles Campus*
- F. *Approval of Budget and External Financing, Nuevo West Graduate Student Housing, San Diego Campus*
- G. *Approval of Preliminary Plans Funding, North Torrey Pines Living Learning Neighborhood, San Diego Campus*

Governance and Compensation Committee

- Amendment of the Annual Incentive Plan for Participants in the Office of the Chief Investment Officer, Office of the President*
- Approval of Incentive Compensation Using Non-State Funds for Fiscal Year 2015-16 for Chief Investment Officer and Vice President – Investments and Associate Chief Investment Officer, Office of the President as Discussed in Closed Session*
- Approval of Appointment of and Compensation for Interim Senior Vice President and Chief Compliance and Audit Officer, Office of the President as Discussed in Closed Session*
- Dates of Regents Meetings for 2018*
- Amendment of Regents Policy 1100: Statement of Expectations of the Members of the Board of Regents and Regents Policy 1101: Board Education and Assessment*

Public Engagement and Development Committee

Health Services Subcommittee (meeting of October 18, 2016)

Investments Subcommittee (meeting of October 26)

National Laboratories Subcommittee

- B1 Discussion [Access, Affordability, and Excellence: the Role of Undergraduate Financial Aid and Tuition](#)
- B2 Discussion [UC Health Strategic Plan](#)

Lunch break

- B3 Discussion [Update on Investment Performance for Periods Ending June 30, 2016](#)
- B4 Discussion [Campus Overview, San Diego Campus](#)
- B5 Discussion [Campus Overview, Santa Barbara Campus](#)
- B6 Discussion [Campus Overview, Davis Campus](#)