NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: November 16, 2016
Time: 9:00 a.m.¹
Location: Robertson Auditorium
        UCSF–Mission Bay Community Center
        1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment Period² (20 minutes)

Approval of the Minutes of the Meeting of September 14, 2016

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

Notable Honors and Achievements

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff’s Office by 10 a.m. on Thursday, November 10, 2016.

Guidelines
In fairness to all who wish to address the Committee, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.
NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: November 16, 2016
Time: 10:00 a.m.¹
Location: Fisher Banquet Room West
UCSF–Mission Bay Community Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting September 14, 2016
A1 Discussion Continuation of Discussion on Demographic Trends in California and Implications for Enrollment and Diversity
A2 Discussion Improving College Readiness in the California Public School System System

Committee membership: Regents Brody, De La Peña, Island (Chair), Lansing, Newsom, Ortiz Oakley (Vice Chair), Pattiz, Pérez, Ramirez, Reiss, and Rendon; Ex officio members Brown, Lozano, Napolitano, and Torlakson; Advisory members Chalfant, Mancia, and Monge; Chancellors Block, Dirks, and Wilcox; Staff Advisor Valdry

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

NATIONAL LABORATORIES SUBCOMMITTEE

Date: November 16, 2016
Time: Upon adjournment of the Academic and Student Affairs Committee
Location: Fisher Banquet Room West
          UCSF–Mission Bay Community Center
          1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting September 14, 2016

Discussion National Laboratories Update and Presentation on the State of Lawrence Livermore National Laboratory

Committee Membership: Regents De La Peña (Vice Chair), Napolitano, Pattiz (Chair), and Schroeder; Ex officio member Island; Advisory Members Chalfant and Mancia; Chancellors Khosla and Yang

1 All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: November 16, 2016
Time: 10:00 a.m.\(^1\)
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of September 14, 2016

F1 Discussion Update on Student Housing and Plans for the Continued Development of the West Village Neighborhood, Davis Campus

F2 Discussion Update on Student Housing and Plans for Middle Earth Expansion and East Campus Student Apartments Phase 4, Irvine Campus

F3 Discussion Preliminary Discussion of the 2017-18 Budget

F4 Action Approval of Three-Year Financial Sustainability Plan

F5 Action University of California Financial Reports, 2016

F6 Action Amendment of Regents Policy 5305, University of California Mortgage Origination Program, and Regents Policy 5306, University of California Supplemental Home Loan Program

Committee membership: Regents Blum, Elliott, Gould, Kieffer, Makarechian (Chair), Schroeder, Sherman, Varner (Vice Chair), and Zettel; Ex officio members Brown, Lozano, and Napolitano; Advisory members Lemus and White; Chancellors Blumenthal and Hawgood; Staff Advisor Richmond

\(^1\) All times indicated and the order of business are approximate and subject to change.
Discussion
Annual Actuarial Valuations for the University Of California Retirement Plan and Its Segments and for the 1991 University of California-Public Employees’ Retirement System Voluntary Early Retirement Incentive Program

Discussion
Annual Actuarial Valuation of the University Of California Retiree Health Benefit Program

Action
Consent Agenda

A. University of California Debt Policy

B. Approval of the University of California 2017-18 Budget for State Capital Improvements

C. Approval of the Non-State Budget, External Financing, and Standby Financing, Interdisciplinary Sciences Building, Irvine Campus

D. Approval of the Non-State Budget, Center for the Health Sciences – Neuropsychiatric Institute Seismic Correction, Los Angeles Campus

E. Approval of the Non-State Budget and Approval of External Financing, Franz Hall Tower Seismic Renovation, Los Angeles Campus

F. Approval of Budget and External Financing, Nuevo West Graduate Student Housing, San Diego Campus

G. Approval of Preliminary Plans Funding, North Torrey Pines Living and Learning Neighborhood, San Diego Campus
NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: November 16, 2016
Time: Upon adjournment of the open session meeting
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

F10(X) Action Amendment of Authorization of Ground Lease Terms for Development of the Center for Novel Therapeutics, San Diego Campus

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

F11(X) Action Authorization to Fund Improvements to and Approval of Purchase and External Financing of a Medical Office Property in Santa Monica, Los Angeles Campus

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

F12(X) Action Authority to Enter into a Ground Lease and Lease Disposition and Development Agreement following Action Pursuant to the California Environmental Quality Act for Construction of a Research Building at the Priscilla Chan and Mark Zuckerberg San Francisco General Hospital and Trauma Center, San Francisco Campus

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

Committee membership: Regents Blum, Elliott, Gould, Kieffer, Makarechian (Chair), Schroeder, Sherman, Varner (Vice Chair), and Zettel; Ex officio members Brown, Lozano, and Napolitano; Advisory members Lemus and White; Chancellors Blumenthal and Hawgood

1 All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: November 16, 2016
Time: 1:00 p.m. ¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of September 14, 2016

C1 Discussion Annual Report on Internal Audit Activities 2015-16


C3 Discussion Update on Travel Abroad Security and Response Program

Committee membership: Regents Brody (Vice Chair), De La Peña, Elliott, Makarechian, Newsom, Pérez, Sherman, Varner, and Zettel (Chair); Ex officio members Brown and Lozano; Advisory members Chalfant and Monge; Chancellor Gillman; Staff Advisor Valdry

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: November 16, 2016
Time: Upon adjournment of the open session meeting
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 14, 2016

C4(X) Discussion Update on State Audits of the University

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C5(X) Discussion Compliance and Audit Personnel Matters

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

C6(X) Discussion Annual Consultation with Regents’ Auditors Concerning Performance of University Personnel

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

...continued

Committee membership: Regents Brody (Vice Chair), De La Peña, Elliott, Makarechian, Newsom, Pérez, Sherman, Varner, and Zettel (Chair); Ex officio members Brown and Lozano; Advisory members Chalfant and Monge; Chancellor Gillman

1 All times indicated and the order of business are approximate and subject to change.
C7(X)  Action  Recommended Settlements for Board Action

2. Villa v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C8(X)  Discussion  Appellate, Trial Court Developments and Updates

1. CHOUDHRY v. REGENTS – Complaint Served – Preliminary Injunction/Race/National Origin Discrimination – Berkeley
2. CLASS ACTION CLAIMS – Pre-Litigation – Consumer Privacy Statute – Los Angeles
4. DOE v. REGENTS – Motion to Lift Stay Denied and Oral Argument on Appeal – Student Sexual Misconduct – San Diego
5. DOE v. REGENTS – Motion for Reconsideration Pending – Student Sexual Misconduct – Santa Barbara
7. HORNE v. REGENTS – Defense Jury Verdict – Medical Malpractice – San Francisco Medical Center
8. IHLY v. REGENTS – Court of Appeal Decision – Medical Malpractice – Los Angeles Medical Center
9. THE KOALA v. KHOSLA, et al. – Motion to Dismiss Granted with Leave to Amend – First Amendment – San Diego
10. MEDIATION v. REGENTS – Appellate Decision – Intellectual Property – Los Angeles
11. MISSION BAY ALLIANCE v. HAWGOOD – Settlement Conference and Demurrer Hearing Held – Taxpayer Petition for Writ of Mandate Challenging Memorandum of Understanding – San Francisco
12. REGENTS v. AISEN – Orders Denying Regents’ Contempt Motion and Granting Defendants’ Modification of Injunction – Breach of Fiduciary Duty – San Diego

13. REGENTS v. BANK OF AMERICA, et al. – Hearing on Motion to Dismiss – Antitrust – Office of the President


15. ROHNER, et al. v. REGENTS – Complaint for Declaratory Relief – Open Meetings – Irvine

16. ROSS v. REGENTS – Trial Commencement – Whistleblower Retaliation – Los Angeles

17. SERCARZ v. REGENTS – Summary Judgment in Favor of Regents Upheld on Appeal – Retaliation – Los Angeles

18. WENTWORTH v. REGENTS – Complaint Served – Disability Discrimination – Berkeley

19. WU v. TABSH – Defense Verdict – Medical Malpractice – Los Angeles

20. General Counsel’s Update on Pending or Threatened Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C9(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from July 28, 2016 to September 26, 2016

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]
NOTICE OF MEETING

The Regents of the University of California

PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE

Date:    November 16, 2016
Time:    1:00 p.m.¹
Location:    Fisher Banquet Room West
            UCSF–Mission Bay Community Center
            1675 Owens Street, San Francisco

Agenda – Open Session

Action   Approval of the Minutes of the Meeting September 14, 2016
P1   Discussion   Annual Report on University Private Support 2015-16
P2   Discussion   Overview of University of California Privately Funded Scholarships and
            Fellowships
P3   Discussion   Review of Federal and State Election Results Including State Ballot
            Initiatives
P4   Discussion   Upcoming State Legislative Session
P5   Discussion   Federal Election Impact on Higher Education Policy and Higher
            Education Act Reauthorization

Committee Membership:    Regents Gould, Island, Kieffer (Chair), Lansing (Vice Chair), Ortiz
Oakley, Pattiz, Ramirez, Reiss, Rendon, and Schroeder; Ex officio
members Brown, Lozano, and Napolitano; Advisory members
Lemus, Mancia, and White; Chancellor Leland and Interim
Chancellor Hexter; Staff Advisor Richmond

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: November 16, 2016
Time: 3:30 p.m.¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 14, 2016

G1(X) Discussion Collective Bargaining Matters

Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]

G2(X) Discussion Incentive Compensation Using Non-State Funds for Fiscal Year 2015-16 for Chief Investment Officer and Vice President – Investments and Associate Chief Investment Officer, Office of the President

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

G3(X) Discussion Appointment of and Compensation for Interim Senior Vice President and Chief Compliance and Audit Officer, Office of the President

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

Committee membership: Regents Blum, Elliott, Gould (Vice Chair), Lansing, Ortiz Oakley, Pattiz, Pérez, Reiss (Chair), Schroeder, and Varner; Ex officio members Brown, Lozano, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: November 16, 2016
Time: Upon adjournment of the closed session meeting¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of September 14, 2016

G1 Action Amendment of the Annual Incentive Plan for Participants in the Office of the Chief Investment Officer, Office of the President

G2 Action Approval of Incentive Compensation Using Non-State Funds for Fiscal Year 2015-16 for Chief Investment Officer and Vice President – Investments and Associate Chief Investment Officer, Office of the President as Discussed in Closed Session

G3 Action Approval of Incentive Compensation for Interim Senior Vice President and Chief Compliance and Audit Officer, Office of the President as Discussed in Closed Session

G4 Action Dates of Regents Meetings for 2018


Committee membership: Regents Blum, Elliott, Gould (Vice Chair), Lansing, Ortiz Oakley, Pattiz, Pérez, Reiss (Chair), Schroeder, and Varner; Ex officio members Brown, Lozano, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: November 17, 2016
Time: 8:30 a.m.¹
Location: Robertson Auditorium
        UCSF–Mission Bay Conference Center
        1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 15, 2016

Approval of Recommendations from Committees:

Compliance and Audit Committee

Recommended Settlements for Board Action

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Finance and Capital Strategies Committee

Amendment of Authorization of Ground Lease Terms for Development of the Center for Novel Therapeutics, San Diego Campus

Authorization to Fund Improvements to and Approval of Purchase and External Financing of a Medical Office Property in Santa Monica, Los Angeles Campus

Authority to Enter into a Ground Lease and Lease Disposition and Development Agreement following Action Pursuant to the California Environmental Quality Act for Construction of a Research Building at the Priscilla Chan and Mark Zuckerberg San Francisco General Hospital and Trauma Center, San Francisco Campus

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

…continued

¹ All times indicated and the order of business are approximate and subject to change.
Governance and Compensation Committee

*Closed Session Statute Citations: Collective bargaining matters*

[Government Code §3596(d)]
*Personnel matters [Education Code §92032(b)(7)]*

Health Services Committee (meeting of October 18)

*Closed Session Statute Citations: Acquisition or disposition of property*

[Education Code §92032(b)(6)]
*Personnel matters [Education Code §92032(b)(7)]*

Investments Subcommittee (meeting of October 26)

*Closed Session Statute Citations: Purchase or sale of investments*

[Education Code §92032(b)(4)]
*Personnel matters [Education Code §92032(b)(7)]*

**Officers’ and President’s Reports:**

Report of Interim, Concurrence, and Committee Actions

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)] and Personnel matters [Education Code §92032(b)(7)]*

Personnel Matters

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)] and Personnel matters [Education Code §92032(b)(7)]*
NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: November 17, 2016
Time: 9:00 a.m.¹
Location: Robertson Auditorium
UCSF–Mission Bay Community Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment²

Roll Call

Approval of the Minutes of the Meeting of September 15, 2016

Committee Reports Including Approval of Recommendations from Committees:

Academic and Student Affairs Committee

Compliance and Audit Committee

Finance and Capital Strategies Committee
  Approval of Three-Year Financial Sustainability Plan
  University of California Financial Reports, 2016
  Amendment of Regents Policy 5305, University of California Mortgage Origination
  Program, and Regents Policy 5306, University of California Supplemental Home
  Loan Program

Consent Agenda:
  A. Adoption of University of California Debt Policy
  B. Approval of the University of California 2017-18 Budget for State Capital
  Improvements

¹ All times indicated and the order of business are approximate and subject to change.
² This session is for the purpose of receiving public comment on University-related matters. If you wish to address
the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff
of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the
Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of
Staff’s Office by 10 a.m. on Thursday, November 10, 2016.

Guidelines
In fairness to all who wish to address the Committee, each speaker must abide by the individual time limit allotted
and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to
the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their
time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up
list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up
will be able to address the Regents.
C. Approval of the Non-State Budget and External Financing and Standby Financing, Interdisciplinary Sciences Building, Irvine Campus

D. Approval of the Non-State Budget, Center for the Health Sciences – Neuropsychiatric Institute Seismic Correction, Los Angeles Campus

E. Approval of the Non-State Budget and Approval of External Financing, Franz Hall Tower Seismic Renovation, Los Angeles Campus

F. Approval of Budget and External Financing, Nuevo West Graduate Student Housing, San Diego Campus

G. Approval of Preliminary Plans Funding, North Torrey Pines Living Learning Neighborhood, San Diego Campus

Governance and Compensation Committee

Amendment of the Annual Incentive Plan for Participants in the Office of the Chief Investment Officer, Office of the President

Approval of Incentive Compensation Using Non-State Funds for Fiscal Year 2015-16 for Chief Investment Officer and Vice President – Investments and Associate Chief Investment Officer, Office of the President as Discussed in Closed Session

Approval of Appointment of and Compensation for Interim Senior Vice President and Chief Compliance and Audit Officer, Office of the President as Discussed in Closed Session

Dates of Regents Meetings for 2018

Amendment of Regents Policy 1100: Statement of Expectations of the Members of the Board of Regents and Regents Policy 1101: Board Education and Assessment

Public Engagement and Development Committee

Health Services Subcommittee (meeting of October 18, 2016)

Investments Subcommittee (meeting of October 26)

National Laboratories Subcommittee

B1 Discussion Access, Affordability, and Excellence: the Role of Undergraduate Financial Aid and Tuition

B2 Discussion UC Health Strategic Plan

Lunch break
B3  Discussion  Update on Investment Performance for Periods Ending June 30, 2016

B4  Discussion  Campus Overview, San Diego Campus

B5  Discussion  Campus Overview, Santa Barbara Campus

B6  Discussion  Campus Overview, Davis Campus