Annual Report on University Private Support

For more information on the campuses and their private support programs, visit these websites:
http://campaign.berkeley.edu
http://campaign.ucdavis.edu/
http://www.uadv.uci.edu/
http://www.giveto.ucla.edu/
http://makeagift.ucmerced.edu/
http://www.ucr.edu/giving
http://www.giving.ucsd.edu
http://www.support.ucsf.edu
http://www.ia.ucsb.edu/dev/ways
http://giving.ucsc.edu

Reporting Conventions Used in this Report

The Annual Report on University Private Support includes data for each campus and the University using two of three gift reporting conventions employed by the University. The first reporting convention, which is cash-based, is used for comparisons with other institutions. It is also employed for the Council on Aid to Education’s (CAE) national Voluntary Support of Education Survey completed by colleges and universities. This reporting convention includes outright gifts, private grants, and payments on pre-existing pledges, but does not include new pledges. This method of gift reporting is used for the body of this report and is thought to present the best perspective on the cash flow that derives from private support.

The second method is a variation of the accrual system and includes new, legally binding pledges up to five years in duration, plus outright and private gifts. In this system, payments made on pledges are not included. The method is the reporting standard used for many reports on capital campaigns and is thought to present the best perspective on the level of current fundraising activity.

These first two reporting standards are shown at the bottom of each campus narrative. The breakdown follows for system support over the last five years:

<table>
<thead>
<tr>
<th>REPORTING CONVENTIONS</th>
<th>07-08</th>
<th>08-09</th>
<th>09-10</th>
<th>10-11</th>
<th>11-12</th>
<th>11-12 TOTALS</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CASH (CAE)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pledge Payments</td>
<td>$430,532,189</td>
<td>$345,526,353</td>
<td>$398,805,156</td>
<td>$482,947,723</td>
<td>$379,448,917</td>
<td>$1,562,260,434</td>
</tr>
<tr>
<td>New Outright Gifts</td>
<td>$1,178,508,703</td>
<td>$961,742,544</td>
<td>$926,621,238</td>
<td>$1,105,223,652</td>
<td>$1,182,811,517</td>
<td>$5,162,260,434</td>
</tr>
</tbody>
</table>

There is a third reporting convention that is employed by the University’s accounting office for its end-of-year audited financial reports. This method is also based on accrual; however, on the revenue side, unconditional, legally-binding pledges are recorded at the time they are promised, balanced by receivable accounts which are reduced as pledge payments are received. This method is used in the annual UC Financial Report.

The Annual Report on University Private Support 2011-12 is prepared by the UC Office of Institutional Advancement: Geoff O’Neill, Cheryl May, Susan Quinn, Shirley Trant, Mike Irwin; and Communications Staff: Carolyn McMillan, Manager-Content Strategy, and Jason Huang, Senior Artist. The Report may be viewed online at: www.ucop.edu/instadv/reports.html

This report is a compilation of private support data, campus narratives, and foundation rosters prepared by each campus. The method of rounding may produce the appearance of minor inconsistencies in various totals and percentages, but the differences do not affect the accuracy of the data.
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The University of California raised more than $1.5 billion in private support in fiscal year 2012, the second year in a row that UC has achieved this remarkable milestone. It was also the 12th consecutive year that UC’s fundraising efforts resulted in more than $1 billion in annual gifts and donations.

Strong philanthropic giving is impacting virtually every aspect of the university – from research and departmental support to capital facilities and student scholarships – and we are deeply grateful to our alumni and other friends for their support.

Indeed, it would be hard to underestimate the value that private gifts and donations have for the university, both in terms of their immediate impact on UC’s academic and research missions, but also for their positive influence on UC’s future.

This is especially true for endowments. In a special section of this year’s report, we provide some historical perspective on gifts that were made 80 years ago. Some of these donations continue to carry UC forward today, helping support the faculty and research that have made the University of California the best public university in the world.

In addition to this year’s historical perspective, the report includes a number of summary schedules that provide an overview of how philanthropy is effecting the University. These include:

- 75 new endowed chairs established over the past fiscal year;
- $336 million in new gifts for endowments;
- $361 million in planned giving that will ultimately benefit the university
- status reports on each campus fundraising campaign and summaries that provide further insight into the role of philanthropy on each campus.

Of particular note, this year’s report contains two new sections:

- **Student Financial Support** – UC fundraising for scholarships and fellowships has increased markedly, and there is a section devoted solely to describing this effort. While funds raised for student support have always been included in the “Gift Purpose” charts for the system and each individual campus – and gifts for scholarships and fellowships are often highlighted in campus narratives – this new section consolidates information on our renewed efforts in this area.
• **Historical Perspective (1932—2012)** – In many ways, the full impact of philanthropic support can’t be measured at the time a gift is made, especially if the gift established an endowment. This review of certain gifts made eight decades ago provides an opportunity to look back in order to look forward – allowing a more complete appreciation of the impact of philanthropy at UC.

As always, the *Annual Report on Private Support* is designed to provide a “snapshot” of philanthropy at UC. The growth in philanthropic support at UC is an increasingly significant part of maintaining access and excellence at the University of California in an era of diminishing state support. Each and every gift assists the University in fulfilling its mission of teaching, research, and public service. As such, the University is grateful to its donors for recognizing the many ways in which the University is serving the people of California.
The University of California has a deep and long-standing commitment to ensuring that financial aid be available for students and their families. This is reflected in Regental Policy:

_A basic value of the University of California is that the University should serve a diverse student body. Inherent in such a value is a concern that financial considerations not be an insurmountable obstacle to student decisions to seek and complete a University degree. This basic value is at the heart of the University’s Financial Aid policy._

In the 2012 fiscal year, over 155,000 undergraduate and graduate students received financial aid. In total, students received $2 billion in financial aid – in one year! While federal aid (primarily Pell Grants) and state aid (such as Cal Grants) account for a significant portion of student aid, UC is the single largest provider of aid and devoted more than $1 billion of its own resources over the past year. Historically, tuition has been moderate, and privately funded scholarships and fellowships played a small, but crucial, role in student financial support. Increasingly, however, privately funded scholarships and fellowships are becoming a more important part of UC’s commitment to affordability and access for students.

In the past fiscal year, for example, over 22,000 students received privately funded scholarships and fellowships – aid worth roughly $115 million. Ask any of these students about the importance of this support, and they are likely to tell you it makes all the difference in the world.

UC remains committed to keeping the doors to higher education open for all who work hard and dream big, despite declining and unreliable state support. As a result, in late 2009 we launched Project You Can, a systemwide effort to focus ongoing fundraising at all 10 UC campuses on a united goal: raise **$1 billion for student support by 2014**. We have now hit the halfway mark, raising **$501 million** as of June 2012.
## Private Support to Regents and Campus Foundations by Cash Reporting Convention 2011-12

<table>
<thead>
<tr>
<th>Campus</th>
<th>Regents</th>
<th>Foundations</th>
<th>Totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>Berkeley</td>
<td>$259,707,938</td>
<td>$151,073,097</td>
<td>$410,781,035</td>
</tr>
<tr>
<td>Davis</td>
<td>68,487,731</td>
<td>25,804,837</td>
<td>94,292,568</td>
</tr>
<tr>
<td>Irvine</td>
<td>41,444,141</td>
<td>36,580,975</td>
<td>78,025,116</td>
</tr>
<tr>
<td>Los Angeles</td>
<td>147,015,612</td>
<td>197,185,537</td>
<td>344,201,149</td>
</tr>
<tr>
<td>Merced</td>
<td>2,253,069</td>
<td>1,721,993</td>
<td>3,975,062</td>
</tr>
<tr>
<td>Riverside</td>
<td>27,962,301</td>
<td>5,874,702</td>
<td>33,837,003</td>
</tr>
<tr>
<td>San Diego</td>
<td>81,051,986</td>
<td>54,490,570</td>
<td>135,542,556</td>
</tr>
<tr>
<td>San Francisco</td>
<td>138,876,827</td>
<td>190,600,300</td>
<td>329,477,127</td>
</tr>
<tr>
<td>Santa Barbara</td>
<td>39,677,469</td>
<td>65,716,955</td>
<td>105,394,424</td>
</tr>
<tr>
<td>Santa Cruz</td>
<td>12,420,957</td>
<td>10,477,709</td>
<td>22,898,666</td>
</tr>
<tr>
<td>Universitywide</td>
<td>3,835,728</td>
<td>0</td>
<td>3,835,728</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$822,733,759</strong></td>
<td><strong>$739,526,675</strong></td>
<td><strong>$1,562,260,434</strong></td>
</tr>
</tbody>
</table>

*Source: University of California Office of the President*

*These figures include support for Medical Centers and Schools of Medicine. Data on these campuses and private support for all University health science programs are found on subsequent pages of this report. The Regents have approved a medical school to be established at Riverside.*
### PRIVATE SUPPORT

#### 2011-12 Additions to Endowment: $335.7 million

<table>
<thead>
<tr>
<th>Year</th>
<th>Regents</th>
<th>Foundations</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>02-03</td>
<td>109,630,734</td>
<td>145,688,180</td>
<td>255,318,914</td>
</tr>
<tr>
<td>03-04</td>
<td>43,772,747</td>
<td>185,982,998</td>
<td>229,755,745</td>
</tr>
<tr>
<td>04-05</td>
<td>45,617,515</td>
<td>148,828,104</td>
<td>194,445,619</td>
</tr>
<tr>
<td>05-06</td>
<td>77,819,299</td>
<td>217,703,327</td>
<td>295,522,627</td>
</tr>
<tr>
<td>06-07</td>
<td>61,305,213</td>
<td>219,214,614</td>
<td>280,519,827</td>
</tr>
<tr>
<td>07-08</td>
<td>43,803,130</td>
<td>332,359,032</td>
<td>376,162,162</td>
</tr>
<tr>
<td>08-09</td>
<td>29,564,957</td>
<td>258,451,471</td>
<td>288,016,428</td>
</tr>
<tr>
<td>09-10</td>
<td>22,230,543</td>
<td>212,480,081</td>
<td>234,710,624</td>
</tr>
<tr>
<td>10-11</td>
<td>71,949,963</td>
<td>334,621,406</td>
<td>406,571,370</td>
</tr>
<tr>
<td>11-12</td>
<td>36,555,336</td>
<td>299,111,358</td>
<td>335,666,694</td>
</tr>
</tbody>
</table>

The preliminary estimate of the value of all UC endowments as of June 30, 2012 is $10.3 billion.
The illustrations on these pages show the total of the planned giving assets where The Regents or a campus foundation is serving as the trustee. The fiscal total under management for 2012 is $360,812,547. All of these assets are irrevocably dedicated to the University and will ultimately be distributed for charitable purposes on the campuses.

The University of California has established planned giving programs at each of our campuses. University staff work with donors and their advisors, if appropriate, to help structure gifts that meet the donors’ philanthropic and financial objectives. Frequently, this results in the creation of a planned gift, such as a charitable remainder trust, pooled-income fund, charitable gift annuity, and similar gift vehicles. Under these arrangements, the principal of the planned gifts is distributed to the University upon the death of the income beneficiaries or at the expiration of a term of years to be used for the charitable purpose designated by the donors.

The totals do not include planned giving assets that are managed by third parties (banks, trust companies), bequest expectancies, or any revocable gifts.

2011-12 Total Planned Giving Assets: $360,812,547
The University of California receives steady and significant support from bequests, although there is inherent unpredictability in both the number of bequests and the dollar amount received in any year. Bequests are a frequent source of endowments, as donors seek an opportunity to ensure continued support for the institution – particularly in areas such as student support via the establishment of endowed scholarship and fellowship funds.

The growth in the number of bequests received is reflective of the demographics of the UC alumni population, as well as the efforts of the campus planned giving offices. Whenever possible, the campuses steward family members and friends after receiving bequests so that they are kept aware of the use and benefits of their loved one’s legacy.

This past year, the University received $79 million in distributions from 650 estates and trusts in which the University was designated as a beneficiary. These distributions may take the form of a specific bequest of property or cash, as well as a residual bequest of a percentage of the residue in the decedent’s estate or trust. Frequently, a donor has provided support for a particular area during his/her lifetime, and provides a bequest to enable this support to continue into the future.

As reflected in the chart above, the number of estates and trusts that made distributions to UC increased in 2012 – though the total dollars distributed declined from the prior year. There were several factors that contributed to this result. First, an 8-figure bequest can skew the overall totals – and there were several very large bequests in 2011, but not any in 2012. Second, the recession has caused a reduction in overall estate values as real estate and stock values have decreased. While pecuniary bequests have been stable, this has resulted in a decline in the value of residual bequests. Finally, many estate plans have a larger share of the decedent’s estate passing to family members, and a corresponding decrease in the amount passing to charitable organizations. This is due, in part, to the increased exclusion amount over the past few years that can pass to relatives and other individuals without incurring estate taxes.
## PRIVATE SUPPORT

### 2011-12 Current Fundraising Campaigns

<table>
<thead>
<tr>
<th>Name of Campaign</th>
<th>Goal</th>
<th>Duration</th>
<th>Gifts/Pledges by 6/30/12</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Berkeley</strong></td>
<td>$3,000,000,000</td>
<td>2005-2013</td>
<td>$2,568,505,843</td>
</tr>
<tr>
<td><em>The Campaign for Berkeley</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Davis</strong></td>
<td>$1,000,000,000</td>
<td>2010-2014</td>
<td>826,020,431</td>
</tr>
<tr>
<td><em>The Campaign for UC Davis</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Irvine</strong></td>
<td>$1,000,000,000</td>
<td>2005-2015</td>
<td>738,224,144</td>
</tr>
<tr>
<td><em>Shaping the Future Campaign</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Los Angeles</strong></td>
<td>$750,000,000</td>
<td>2004-2013</td>
<td>586,191,843</td>
</tr>
<tr>
<td><em>Initiative to Ensure Academic Excellence</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>School of Law</strong></td>
<td>$100,000,000</td>
<td>2006-2013</td>
<td>115,227,233</td>
</tr>
<tr>
<td><strong>Merced</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><em>No campaign underway at this time</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Riverside</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><em>Feasibility Study/Planning Underway</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>San Diego</strong></td>
<td>$50,000,000</td>
<td>2009-2012</td>
<td>41,384,720 *</td>
</tr>
<tr>
<td><em>Invent the Future - Student Support Campaign</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>San Francisco</strong></td>
<td>$600,000,000</td>
<td>2007-2014</td>
<td>400,240,125</td>
</tr>
<tr>
<td><em>Campaign for the UCSF Medical Center at Mission Bay</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Santa Barbara</strong></td>
<td>$1,000,000,000</td>
<td>2000-2017</td>
<td>736,000,000</td>
</tr>
<tr>
<td><em>The Campaign for UC Santa Barbara -- (Phase I and Phase II)</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Santa Cruz</strong></td>
<td>$300,000,000</td>
<td>2009-2016</td>
<td>96,043,355</td>
</tr>
<tr>
<td><em>Organizational/Quiet Phase</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>GRAND TOTAL</strong></td>
<td>$7,810,000,000</td>
<td></td>
<td>$6,107,837,694</td>
</tr>
</tbody>
</table>

*The campaign was extended to December 31, 2012 and the goal was reached in September 2012.*
The University received $335.7 million (21.5 percent) for endowments held by The Regents and the Campus Foundations. Campus improvement funds totaled $191.4 million (12.2 percent); an additional $997.1 million (63.8 percent) supported other aspects of current operations. Unrestricted funds totaled $38.2 million and comprised 2.4 percent of the total for private support.
### 2011-12 Gifts by Discipline

#### Distribution by Discipline: $1,562,260,434

<table>
<thead>
<tr>
<th>Discipline</th>
<th>Total</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agriculture and Natural Resources</td>
<td>$38,898,245</td>
<td>2.49%</td>
</tr>
<tr>
<td>Arts, Letters and Sciences</td>
<td>183,410,718</td>
<td>11.94%</td>
</tr>
<tr>
<td>Athletics/Sports</td>
<td>56,389,624</td>
<td>3.61%</td>
</tr>
<tr>
<td>Business/Management</td>
<td>43,007,160</td>
<td>2.75%</td>
</tr>
<tr>
<td>Engineering</td>
<td>187,409,303</td>
<td>12.00%</td>
</tr>
<tr>
<td>Financial Aid (non-Departmental)</td>
<td>19,079,746</td>
<td>1.22%</td>
</tr>
<tr>
<td>Health Sciences and Medicine</td>
<td>665,142,029</td>
<td>42.58%</td>
</tr>
<tr>
<td>Law</td>
<td>35,488,655</td>
<td>2.27%</td>
</tr>
<tr>
<td>Libraries</td>
<td>16,907,051</td>
<td>1.08%</td>
</tr>
<tr>
<td>Miscellaneous*</td>
<td>150,285,038</td>
<td>9.62%</td>
</tr>
<tr>
<td>Other Academic Programs</td>
<td>37,656,415</td>
<td>2.41%</td>
</tr>
<tr>
<td>Other Professional Schools</td>
<td>116,818,409</td>
<td>7.48%</td>
</tr>
<tr>
<td>Veterinary Medicine</td>
<td>11,767,954</td>
<td>0.75%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$1,562,260,434</strong></td>
<td><strong>100.00%</strong></td>
</tr>
</tbody>
</table>

*Chancellor and annual funds, alumni associations, energy laboratory, museums, public programs, etc.

#### Gifts for Health Sciences and Medicine: $665,142,029

<table>
<thead>
<tr>
<th>Area</th>
<th>Total</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Berkeley</td>
<td>$45,432,887</td>
<td>6.83%</td>
</tr>
<tr>
<td>Davis</td>
<td>28,617,629</td>
<td>4.30%</td>
</tr>
<tr>
<td>Irvine</td>
<td>27,000,757</td>
<td>4.06%</td>
</tr>
<tr>
<td>Los Angeles</td>
<td>158,254,999</td>
<td>23.79%</td>
</tr>
<tr>
<td>Merced</td>
<td>285,940</td>
<td>0.04%</td>
</tr>
<tr>
<td>Riverside</td>
<td>881,589</td>
<td>0.13%</td>
</tr>
<tr>
<td>San Diego</td>
<td>74,697,107</td>
<td>11.23%</td>
</tr>
<tr>
<td>San Francisco</td>
<td>329,477,127</td>
<td>49.53%</td>
</tr>
<tr>
<td>Universitywide Programs</td>
<td>493,993</td>
<td>0.07%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$665,142,029</strong></td>
<td><strong>100.00%</strong></td>
</tr>
</tbody>
</table>

**Chancellors’ funds, Beckman Laser Institute, Graduate Division for Health Sciences, special cancer and neuroscience programs, etc.

**
Well over half of all endowed chairs were established in the last ten years. The current total, spread among the campuses, is 1,617 and growing; 75 chairs were established in 2011-12.

The chart below illustrates the extent to which the chairs that were established this past fiscal year are spread amongst the various academic disciplines.
The University of California Division of Agriculture and Natural Resources (ANR) plays an essential role in the future of California by improving the health and lifestyle of all Californians. Building on more than a century of experience, ANR connects California communities with the power of the University of California’s research to solve local issues. Comprised of the California Agricultural Experiment Station and Cooperative Extension systems, as well as six statewide programs and nine Research and Extension Centers, ANR’s campus and county-based units serve every California community. Private support benefits all of ANR’s programs, including research, instruction, student aid and fellowships, campus improvement, capital projects, youth development programs, and Extension programs and activities.

The California Agricultural Experiment Station (AES) is a world-renowned provider of basic and applied research.
- AES scientists work to ensure a safe, dependable, nutritious, diverse, and affordable food supply.
- They develop programs to support the preservation and protection of the state’s natural resources.
- They collaborate with UC Cooperative Extension specialists and county-based advisors.
- AES scientists, approximately 700 in number, hold regular faculty appointments at Berkeley, Davis and Riverside, with research and teaching programs.

Located in 57 offices throughout the state, University of California Cooperative Extension (UCCE) provides a direct connection of the University of California to the people.
- Advisors and specialists work with farmers, ranchers and industry representatives to solve problems related to issues of agricultural sustainability, air and water quality, food safety, pest management, natural resource conservation, and others.
- UCCE advisors in agriculture, 4-H and nutrition, and family and consumer sciences bring the University of California knowledge to all corners of the state by working in and collaborating with local communities.
- Advisors educate tomorrow’s leaders by teaching youth, low-income families and general consumers nutrition, healthy lifestyles and financial management.

During fiscal year 2011-12, the University of California received $48,416,487 in gifts and private grants benefitting agriculture and natural resources programs that, in turn, benefit all Californians. Included are funds raised by the California 4-H Foundation, currently in the midst of its Revolution of Responsibility Campaign. To see how the Foundation directly benefits youth engaging in service-learning projects in their communities, we invite you to visit: http://www.youtube.com/watch?feature=player_embedded&v=I01falHeycI

**Total Giving to ANR: $48,416,487**

**Note:** Gifts to the systemwide Division of Agriculture and Natural Resources (ANR) are processed administratively by UC Davis. Consequently, the UC Davis totals recorded elsewhere in this report include $3,114,600 in gifts and private grants made to ANR.
In addition to the ten campuses and the Division of Agriculture and Natural Resources profiled in this report, the University operates many Universitywide and multi-campus research, outreach and public service programs. Among these is Education Partnerships.

Education Partnerships collaborates with corporate, foundation and private funders to support programs across the department. Through grants, gifts and other awards, this support enables Education Partnerships to provide a range of services and activities beyond the scope of our funding from the State of California.

Fundraising highlights during 2011-12 include the following:

- **Ahmanson Foundation Support**  A grant of $50,000 from the Ahmanson Foundation enables high-performing students from low-income families in Los Angeles County to attend COSMOS free of charge.

- **Bechtel Foundation Support** A grant of $200,000 supports the COSMOS program, particularly financial assistance for students from low-income families across the state who qualify for participation in the Federal Free and Reduced Lunch Program.

- **Leonetti/O’Connell Family Foundation Support** An endowment gift of $500,000 and an annual gift of $50,000 make it possible for COSMOS to provide opportunities for outstanding high school teachers in mathematics or science to join the COSMOS community and enhance the learning experiences of all participants, as well as provide the teacher fellows with grants to purchase materials and equipment for their classrooms that enhance STEM teaching.

- **Roll Global Foundation Support** A grant of $20,000 from Roll Global allows COSMOS to recruit students from the Central Valley and conduct special outreach opportunities for teachers, parents and counselors.

- **State Farm Support** A grant of $10,000 to PUENTE supports teacher training to help students develop service projects in their communities. An additional grant of $5,000 supports PUENTE curriculum development. State Farm has partnered with PUENTE over the past five years and supported a range of other training programs.

- **USA Funds Support** A grant of $77,000 to PUENTE supports the Student Leadership Conference, which brings 9th graders to a college campus to learn about the college system, student services, financial aid and the importance of graduation.

- **Pacific Gas & Electric Support** PG&E provided $60,000 to support two MESA initiatives: an annual student leadership conference that hosts over 150 undergraduate engineering and computer science students from universities and community colleges across the state, and scholarships for engineering students from disadvantaged backgrounds.

- **Southern California Gas Company Support** The Gas Company’s $20,000 gift supports the work of MESA Engineering Program (MEP) centers at CSU Long Beach, CSU Los Angeles, UC Riverside and UC Santa Barbara.

**Total Giving to Universitywide and Multi-Campus Programs:** $3,835,728
Historical Perspective
(1932 - 2012)

GIFTS RECEIVED
IN THE PERIOD
MARCH 13, 1932 TO MARCH 12, 1933

Aluminum Cooking Utensil Company, Oakland, to the Department of House-
hold Science: a set of Wear-Ever aluminum cooking utensils.

Alumni of the School of Architecture: a granite tablet, which has been
placed in the brick pavement of the Campanile Esplanade in memory
of John Galen Howard, formerly Professor of Architecture, setting
forth a brief statement of his architectural services to the University.

An Alumnus:
To the University Library—
For the purchase of books, $233.58.

Addition to the Library of Science Collection—
Gilbert, William. De Magnete, magneticae corporibus, et de
magnis magnete tellure: physiologia nova, plurimis & argumentis
& experimentis demonstrata (Londini exovdebat... 1600).

Tycho Brahe. Tycho Brahe Astronomiae instauratae mechanica

American Society of Mechanical Engineers, Student Section, to the Depart-
ment of Mechanical Engineering: for the purchase of books for the
Engineering Library, $25.

Charles A. Anderson, Assistant Professor of Geology: contribution toward
a fund for the purchase of a portrait of the late Professor Eakle,
which has been hung in Room 106, Bacon Hall.
For several decades, as part of the commemoration of Charter Day, UC published a list of all donors to the University during the preceding year. There were no charts or graphs, or even any gift totals in these pamphlets. In most of these years, the reports simply listed each donor, their city of residence, amount of their gift, and how it was to be used by UC; for a number of years following World War II, President Robert Gordon Sproul included some introductory comments. His words resonate to this day.

“There is scarcely any activity of the University which does not draw some of its support, and many times much of its inspiration, from the interest shown and the helping hands extended by generous friends and well-wishers... which through gifts and bequests has augmented the resources of the University, and enabled it to enter new fields or to strengthen old ones at a time when increased State support could not in reason be requested... all for the benefit of youth and the future welfare of the State.

The sheer volume of support the University receives today makes it impossible to have a “Charter Day Gift List”; UC receives more than 250,000 gifts annually. These gifts touch virtually every aspect of university life, reflect the confidence that the philanthropic community has in the university, and enable the university to serve the people of California.

This support is appreciated even more, in light of the economic challenges that continue in California, the nation, and the world. As such, it is fitting to review another year in which UC, the State, and the Country faced economic uncertainty.

In 1932, in the midst of the Great Depression, the list of “Gifts Received” illustrate donors’ understanding that UC had a pivotal role to play in California’s future. Each of the 376 cash gifts (due to a few large gifts, the total was slightly more than $1 million) tell a story: gifts to create loan funds and scholarships; a “five-year old oak tree” to plant on a campus; books for the library; funding for “needy patients” and for the purchase of a “pneumonia tent”; lab equipment (which ranged from a DeSoto automobile chassis to a Hoover vacuum cleaner with attachments for the Department of Household Science); donations of $17 to a sorority for “the purchase of insulin for indigent patients”; a gift supporting research into the “chemical aspects of vitamins and hormones”; and funds to help youth attend 4-H Club functions.

A few merit special attention, considering the impact these gifts have had in subsequent years, or the contrast they provide to current fundraising practices:

- A “bequest from Lillie Hitchcock Coit …to be added to the Charles M. and Martha Hitchcock Chair Fund…to be used for the Hitchcock Lectures.” Today, this fund has become one of the most cherished endowments at the University of California, sustaining and encouraging recognition of the highest distinction in scholarly thought and achievement. This year’s lecture focused on “Intelligence and the Brain: Recent Advances in Understanding How the Brain Works.”

- Support from “Parents of Training School Students, University of California at Los Angeles...to provide assistance in rhythmic courses which could not otherwise be provided, $132.” This nascent effort has blossomed today into campuses’ Parent Giving Programs, which provide millions of dollars each year in support focused on the educational experience of UC students.
• United Prune Growers of California...To the College of Agriculture, Division of Viticulture and Fruit Products: to finance a study of the laxative properties of prunes, $1,000. This past year, the Citrus Research Board built and donated a screenhouse at Lindcove Research and Extension Center to protect archival citrus rootstock and budwood from airborne contaminants and provided equipment, for a total gift of close to $500,000.

• The Chemical Foundation... to be applied toward the construction of a small electro-magnet in connection with investigations on high speed protons being carried on by Dr. E. O. Lawrence Professor of Physics, $500. Several years later, Professor Lawrence would win the Nobel Prize.

Eighty years ago, when these gifts were made, few could have foreseen that UC would rise to become the pre-eminent public research university in the world, home to 59 Nobel Laureates and countless advances in medicine, agriculture, and technology.

We now have nationally-ranked campuses and medical centers across the state, produce an average of four new inventions a day, and provide an unparalleled education for California’s sons and daughters. Now, as then, those who give to UC are making a gift to the future – not just UC’s future, but to that of California and the world.
M.S. Livingston, E.O. Lawrence and the 27-inch Cyclotron

E.O. Lawrence at the controls of the 27-inch Cyclotron

LeConte Hall, home of the Physics Department
UC Berkeley, 1932

Kerckhoff Hall (Northside)
UC Los Angeles circa 1932

E.O. Lawrence with the Cyclotron

Alumni Meeting at the Citrus Experiment Station
UC Riverside circa 1932
After three years of notable yet modest increases in giving following the onset of the recession, private support to UC Berkeley soared to $394.6 million ($410.8 million under the cash reporting standard) in 2011-12. This is the second-highest amount ever raised in a single year by the campus and brings The Campaign for Berkeley to $2.6 billion raised thus far toward its $3 billion goal.

The 2011-12 figure represents gifts and pledges from 59,000 donors — alumni, parents, and friends — and includes those whose gifts were an outcome of national and international competitions.

The remarkable support for The Campaign for Berkeley has coincided with the most challenging economic environment since the Great Depression. Berkeley publicly launched the Campaign on Sept. 19, 2008 — the week that saw the collapse of Lehman Brothers and a 21-percent drop in the U.S. stock market.

Of the nearly $2.6 billion contributed to the Campaign through 2011-12, students and faculty across campus will benefit from support in the following areas:

- Faculty chairs and funds: $317.5 million
- Research: $600.2 million
- Graduate fellowships and undergraduate scholarships: $322.5 million
- Program support: $725.4 million
- Facilities: $313.7 million
- Annual support: $232 million

Notable gains were made in The New Alumni Challenge — a program that engages young alumni by matching gifts from donors of the five most recent graduating classes (up to $1,000 a donor) as well as from students in the current graduating class. In 2011-12, 5,209 of UC Berkeley’s newest graduates contributed $826,000 to programs across the Berkeley campus through the New Alumni Challenge.

The UC Berkeley Foundation Board of Trustees funded the first two years of the New Alumni Challenge, from 2009-10 through 2010-11. Paul Jacobs, alumnus, chairman and CEO of Qualcomm Incorporated, and his wife Stacy, also an alumna, matched all contributions to the 2011-12 New Alumni Challenge and the Senior Class Gift.

A groundbreaking $60 million gift was awarded in 2011-12 from the Simons Foundation to establish the campus as the worldwide center for theoretical computer science. The new Simons Institute for the Theory of Computing at UC Berkeley will gather top computer theorists and researchers from around the globe to explore the mathematical foundations of computer science and extend them to tackle challenges in fields as diverse as mathematics, health care, climate modeling, astrophysics, genetics, economics, and business.

One of the landmarks of The Campaign for Berkeley is the Hewlett Challenge, a $110 million matching grant from the William and Flora Hewlett Foundation in 2007 to endow 100 new faculty chairs. Thanks to support from donors through 2011-12, the campus will announce the successful conclusion of the challenge in November 2012 — with all 100 chairs funded. The new endowed chairs will be critical in reinforcing the distinction and quality of Berkeley’s world-class faculty and graduate students.
2011-12

Total Giving ($ Millions) - Cash

Gift Source

- Corporations: $85,192,059 (20.7%)
- Foundations: $121,527,576 (29.6%)
- Foundations Est. by Alumni: $91,378,037 (22.2%)
- Individuals: $97,874,063 (23.8%)
- Campus-related organizations: $487,467 (0.1%)

Parental Contributions:
- Parents: $5,267,805 (5.4%)
- Faculty: $3,389,788 (3.5%)
- Staff: $145,421 (0.1%)
- Other Individuals: $25,570,412 (26.1%)

Gift Purpose

- Research: $141,210,269 (34.4%)
- Student Support: $63,383,718 (15.4%)
- Department Support: $122,727,884 (29.9%)
- Instruction: $4,542,289 (1.1%)
- Other Purposes: $42,717,293 (10.4%)
- Unrestricted: $12,794,252 (3.1%)
- Campus Improvement: $23,405,330 (5.7%)
Donors committed $124.5 million (in accrual reporting standards) or $94.3 million (under cash reporting standard) to the University of California, Davis, during the 2011-12 fiscal year, surpassing the previous year’s total of $117.6 million and marking the sixth consecutive year that philanthropic gifts to the campus topped $100 million.

Fundraising activity for campaign-counting purposes totaled $132.4 million for the fiscal year, according to nationally recognized Council for Advancement and Support of Education (CASE) guidelines—a separate convention from the accrual and cash reporting standards. Of that total, donors directed more than $8.4 million in support of scholarships, fellowships and other activities for UC Davis students.

The latest commitments bring the campus more than 80 percent of the way toward the $1 billion goal set for its first comprehensive fundraising campaign, publicly launched in October 2010. As of June 30, The Campaign for UC Davis had generated $826 million in gifts to advance excellence in research, scholarship and public service.

Through The Campaign for UC Davis, 14,466 donors have directed more than $108 million to fund 1267 scholarships, fellowships, awards and activities for UC Davis students.

The university experienced broad-based support in the past fiscal year. Contributions came from more than 40,000 donors, including alumni, parents, friends, faculty, staff, foundations, corporations and even current UC Davis students.

Here are some of the year’s philanthropic highlights:

- In February, UC Davis students launched a fundraising initiative called “We are Aggie Pride” to provide emergency financial aid to fellow students. In its first five months, this all-student-run effort raised $30,000 from more than 300 donors and awarded more than $17,000 in short-term financial assistance to students in need. *(Pictured above is the inaugural, all-student board of directors for “We are Aggie Pride.”)*

- UC Davis wrapped up its first matching fund effort for graduate student support in 2011-12. Through the matching fund initiative, which was funded from a $500,000 estate gift from alumnus Charlie Soderquist, gifts from more than two dozen UC Davis faculty, staff and retirees created nearly $1.2 million in new student endowments.

- Jan Shrem, proprietor of Clos Pegase winery in Napa Valley, made a $10 million pledge to name the Jan Shrem and Maria Manetti Shrem Museum of Art.

- Alumni Mike ('76) and Renée ('76) Child made a $5 million commitment to help launch The Child Family Institute for Innovation and Entrepreneurship, a new nexus of entrepreneurship education and research at UC Davis.

- The UC Davis Annual Fund received more than $1.7 million in gifts and pledges from more than 14,600 donors.

Each of UC Davis’ 10 colleges and professional schools received philanthropic contributions. The UC Davis Health System received the most philanthropic support with $32.6 million. The College of Letters and Sciences had the best fundraising year in its history, garnering $24.6 million.
2011-12

Total Giving ($ Millions) - Cash

Gift Source

Gift Purpose

Note: Gifts to the systemwide Division of Agriculture and Natural Resources (ANR) are processed administratively by UC Davis. Consequently, the UC Davis totals recorded in this report include $3,114,600 in gifts and private grants made to ANR.
Private giving at UC Irvine remained strong with 21,027 gifts to the campus totaling $78.0 million (under the cash reporting standard) and $80.7 million (under the accrual reporting standard) in new gifts and commitments in the 2011/12 fiscal year. By the end of FY 2011/12, UCI’s $1 billion “Shaping the Future” campaign has raised $738.2 million – ahead of schedule to reach its $1 billion goal by 2015, the university’s 50th anniversary. This is the first comprehensive campaign in UCI’s history and is exceeding the campus’s expectations. Average new gifts and commitments per year are nearly twice the average of the decade before the campaign was launched and total gift transactions per year have also markedly increased over the pre-campaign period. See www.ucifuture.com to learn more.

As part of UC Irvine’s commitment to further develop its individual major gifts program and institutionalize its culture of private giving, the campus has made a substantial investment in its Prospect Development unit, including improvements to database analytics and prospect management. These efforts will allow development officers to better identify prospective donors and connect them with their interests across campus to improve cultivation and solicitation outcomes.

Additionally, University Advancement conducted a strategic review of its operations which resulted in significant organizational changes and the creation of new central development and advancement services.

UCI’s Students First Initiative, dedicated to raising vital scholarship and fellowship funding, continues to be a central focus of the campaign. “UCI is an engine for the solutions to today’s most challenging issues,” said Gregory Leet, Vice Chancellor of University Advancement. “Private support helps spark discoveries in medicine, learning, the environment and sustainable energy. It also enables new economic opportunities and outreach worldwide while directly supporting UC Irvine students to become the next generation of global leaders.”

During FY 2011/12, UC Irvine received $7.07 million in combined private funding for both undergraduate and graduate support. 83 percent of undergraduates and 77 percent of all UCI students receive some form of financial aid to offset the cost of tuition and fees.

Private philanthropy continues to transform UCI:
- University Professor Francisco J. Ayala’s gift of $10 million to the School of Biological Sciences has been used to endow a new fellowship program for graduate students and create a dean’s fund for excellence. This gift helped the school attract a record number of graduate applicants and enroll the cream of the crop.
- Raymond Pryke, owner and publisher of Valleywide Newspapers based in Hesperia, California, made a $1.5 million gift to establish the Raymond Pryke Endowed Chair in First Amendment Law at the UC Irvine School of Law. The Pryke Chair will support the School of Law’s teaching and research in First Amendment and Media Law.
- Combined with a previous gift from members of the Chao family totaling $1 million, Christine and James T. Yang donated $1 million to help establish an endowed chair in the UC Irvine School of Medicine Department of Gastroenterology. The new chair will play a vital role in UC Irvine’s ability to attract and retain a nationally-recognized leader in gastrointestinal endoscopic oncology.

**REPORTING CONVENTIONS**

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<td>Pledge Payments</td>
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<td><strong>ACCRUAL (REGENTS)</strong></td>
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### Gift Source

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<th>Source</th>
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<td>Foundations</td>
<td>30,773,040</td>
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<tr>
<td>Individuals</td>
<td>16,442,592</td>
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<td>Corporations</td>
<td>26,547,634</td>
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<td>Other Nonprofits</td>
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<td>Alumni</td>
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<td>Parents</td>
<td>128,719</td>
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<td>Other Individuals</td>
<td>13,226,267</td>
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### Gift Purpose

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<td>Department Support</td>
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<td>Research</td>
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<td>Student Support</td>
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<td>Other Purposes</td>
<td>$3,250,199</td>
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<td>Instruction</td>
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<td>Unrestricted</td>
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<td>Campus Improvement</td>
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<td>Campus-related organizations</td>
<td>$97,036</td>
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**Total Giving ($ Millions) - Cash**

- **Total**: $78,025,116
- **Regents**: $41,444,141
- **Foundation**: $36,580,975

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**2011-12**

**IRVINE**

**Total Giving ($ Millions) - Cash**

- **2002-03**: $0
- **2003-04**: $10
- **2004-05**: $20
- **2005-06**: $30
- **2006-07**: $40
- **2007-08**: $50
- **2008-09**: $60
- **2009-10**: $41,444,141
- **2010-11**: $36,580,975
- **2011-12**: $78,025,116
UCLA enjoyed a very successful fundraising year in 2011-12. Thanks to the generosity of our alumni and friends, UCLA raised $402 million ($344 million under the cash reporting standard), with 58,358 donors giving more than 75,000 gifts and pledges.

The university has raised an average of $420 million annually since the beginning of Gene Block’s tenure as chancellor in 2007, and has been consistently ranked as the top public institution nationally in higher education fundraising by the Council for Aid to Education.

UCLA’s extraordinary students inspire us every day and, as a public university, we are committed to maintaining access for outstanding students, regardless of their economic backgrounds. Many of our alumni, friends and faculty share this commitment and provide scholarship support and other resources to help students succeed. In fiscal 2012, the Dream Fund provided scholarships for 500 students who have limited financial and academic resources. Among the gifts received by the Henry Samueli School of Engineering and Applied Science was one especially generous contribution to support our ability to recruit the next generation of superstar faculty who are not only superior researchers but also exceptional teachers.

To support students in their academic pursuits, UCLA received a gift to establish a scholarship fund that will provide four years of tuition for five undergraduates who study music, those with disabilities and military veterans. Another example of support for excellence in the arts at UCLA is the cutting-edge music and technology lab that will be built for students enrolled in our music industry and technology minor, which is made possible by a gift received in fiscal 2012. The lab will help ensure our talented students are prepared to shape the direction of the music industry for future generations.

UCLA School of Public Health faculty and students are squarely focused on improving public health globally. This year, the school received a transformative gift, the largest in its history, to develop innovative solutions for healthier communities throughout Los Angeles and far beyond.

Finally, this academic year, we will launch a campus-wide, multidisciplinary initiative focused on improving the health of UCLA students, staff and faculty, and our environment. We want our Healthy Campus initiative to serve as a model for other institutions and communities. A generous gift during fiscal 2012 will support a key part of the initiative, called “Promoting Healthy Behaviors through Innovative Science.”
Gift Source

- Foundations by Alumni: $29,708,021 (8.6%)
- Other Nonprofits: $39,214,644 (11.4%)
- Parents: $3,973,735 (3.6%)
- Faculty: $4,810,944 (4.3%)
- Staff: $115,252 (0.1%)
- Other Individuals: $47,243,119 (42.3%)
- Alumni: $55,483,829 (49.7%)
- Individuals: $111,626,879 (32.4%)
- Campus-related organizations: $3,731,350 (1.1%)
- Corporations: $40,009,531 (11.6%)

Total Giving ($ Millions) - Cash

- TOTAL: $344,201,149
- REGENTS: $147,015,612
- FOUNDATION: $197,185,537

Gift Purpose

- Research: $104,302,493 (30.3%)
- Department Support: $124,851,111 (36.3%)
- Other Purposes: $28,209,255 (8.2%)
- Instruction: $12,505,601 (3.6%)
- Unrestricted: $4,717,460 (1.4%)
- Campus Improvement: $37,833,144 (11.0%)
- Student Support: $31,782,086 (9.2%)

2011-12 LOS ANGELES
This past year, UC Merced's ongoing research produced new knowledge that serves the San Joaquin Valley community and economy, while the campus's burgeoning athletics program became another source of pride for the community.

Now more than ever, the campus is focusing on bringing in private funding to support research, academic programs and student scholarship.

Of the over $2.4 million (nearly $4 million under the cash reporting standard) raised in 2011-12, key donations are providing valuable support for students, allowing them to expand their academic and leadership pursuits.

Corporations like Edison International are proactive in supporting higher education at a time when public funding and resources are scarce. A $100,000 donation from Edison will help fund the Southern California Edison Fellowship and Scholarship Fund through the UC Merced’s Sierra Nevada Research Institute.

The corporation is also cultivating the next generation of engineers and entrepreneurs through the campus's Innovate to Grow competition. The inaugural challenge in May featured 14 teams of engineering and management students presenting solutions to real-world problems faced by local, state and international organizations. Projects included installing turbines in the canals on campus to generate electricity, storing and recycling the city's treated wastewater for municipal use and reducing noise in a neonatal intensive care unit. By supporting the competition, Edison is helping UC Merced build a distinctive pipeline of leaders in the areas of sustainability, energy and engineering research that is critical to the future of the region and the state.

A generous planned gift from the estate of UC Merced's former Director of Physical Planning Trudis Heinecke will assist graduate students. An integral part of development of the 10th UC campus, Heinecke served here for almost 10 years after the site-selection process began. She was responsible for physical planning and capital project development for the 2,000-acre campus, which involved collaborative planning with landowners and local government agencies.

Heinecke began her 26-year University of California career serving in planning positions at the College of Medicine and as director of physical planning for the Irvine campus. At the UC system office, she served as director of capital improvements planning and director of financial planning and analysis. Heinecke's gift to the campus will help raise the caliber of the campus’s work by advancing groundbreaking discoveries, driving innovation and generating new knowledge through graduate fellowships.

Foundation Board of Trustees member Denise Watkins has always had an affinity for the outdoors. Last year, Watkins and her husband, William, supported UC Merced's Yosemite Leadership Program (YLP) Scholarship Fund and the Adventure Risk Challenge (ARC) Program with a gift on behalf of the family's Dragon Wolf Foundation. Both Yosemite-based programs are transforming students into environmental leaders. YLP is a two-year program for undergraduates, while ARC is a summer program for public high school students in underserved communities of the Central Valley. The Watkins family remains stewards of public lands and environmental leadership and look forward to continuing their support of the collaboration between UC Merced and the National Park Service.
2011-12

Total Giving ($ Millions) - Cash

**Gift Source**
- **Foundations**: $2,280,256 (57.4%)
- **Corporations**: $1,055,393 (26.6%)
- **Other Nonprofits**: $290,093 (7.3%)
- **Individuals**: $347,046 (8.7%)
- **Campus-related organizations**: $2,275 (0.1%)
- **Other Individuals**: $317,376 (8.0%)
  - Alumni: $5,780 (0.1%)
  - Parents: $3,811 (0.1%)
  - Faculty: $4,962 (0.1%)
  - Staff: $15,116 (0.4%)
  - Other Individuals: $317,376 (8.0%)

**Gift Purpose**
- **Unrestricted**: $107,521 (2.7%)
- **Campus Improvement**: $80,233 (2.0%)
- **Department Support**: $852,630 (21.4%)
- **Student Support**: $1,261,521 (31.7%)
- **Research**: $979,450 (24.6%)
- **Instruction**: $1,000 (0.3%)
- **Other Purposes**: $683,708 (17.2%)

TOTAL: $3,975,062
- REGENTS: $2,253,069
- FOUNDATION: $1,721,993
In support of its campus-wide strategic plan and under the leadership of Chancellor Timothy White, private giving at UC Riverside exceeded $30 million for the second year in a row, after a decade in which average annual gift support was just over $20 million.

UC Riverside is recognized as one of the most diverse university campuses in the nation, and it embodies the promise of the Golden State, harnessing its potential to transform lives, enrich society and bring advanced research from the lab to the marketplace.

UC Riverside’s strategic plan emphasizes academic excellence, access, diversity and engagement. Notable gifts made in support of UC Riverside’s research mission include:

- The John Templeton Foundation made a $5 million grant to UC Riverside philosopher John Fischer to fund research on aspects of immortality, including near-death experiences and the impact of belief in an afterlife on human behavior. Separately, the Templeton Foundation made a $640,000 grant to be shared by UCR and two other institutions for research on the role of love and caring in human freedom.
- Winston Chung, the founder, chairman and CEO of Winston Global Energy in China donated $2.5 million in rare earth lithium-ion batteries developed by his company to create a 1.1-megawatt power storage station within the Bourns College of Engineering. The system, the first of its kind in the United States, has the potential to reduce the electric bill for a comparable building by $22,000 per year. Chung is also funding six, $100,000 research grants for faculty.
- The William T. Grant Foundation made a $350,000 grant to Associate Professor Tuppert Yates for research on the interaction between foster care children and social workers.
- The Korea Foundation awarded $20,000 to UC Riverside’s Young Oak Kim Center for Korean American Studies to support a symposium on the 20th anniversary of the 1992 Los Angeles civil unrest, which devastated L.A.’s Koreatown.
- The Vodafone Americas Foundation Wireless Innovation Project awarded a prize of $300,000 to Professor of Engineering Eamonn Keogh for his research that uses a wireless bug sensor to help lessen the damage insects cause on food crops worldwide.
- Scholarship support increased overall by 14 percent ($211,758) with individual contributions increasing by 40 percent. Of note were a realized bequest from the estate of Aida Foti and the continued partnership with the College Access Foundation to provide scholarship support for low-income students from the Coachella Valley.
- Gifts to the UCR Fund via direct mail solicitation increased by 47 percent ($163,125) and gifts to the Senior Campaign increased by 7 percent ($1,133).
Total Giving ($ Millions) - Cash

Gift Source

Gift Purpose
In 2012, UC San Diego was named a “best value” public college by Kiplinger’s Personal Finance magazine. The campus made the list for the last 10 years due in part to scholarships and fellowships funded by generous donors. Students were also helped through the UCSD Student Foundation.

UC San Diego raised $131.1 million in private support ($135.5 under the CAE reporting standard), with $13.3 million committed during the fiscal year to the Invent the Future campaign to help students achieve their goal of a world-class UC education. Scholarships help to ensure access and affordability for students like mathematics major George Santellano. He plans to use his skills in finance and accounting to start a foundation that provides scholarships for low-income students from Southeast San Diego, where he grew up.

More than half of the gifts from 28,000 donors were designated for research, helping to make an incredible impact on the campus and community. The W.M. Keck Foundation, which supports “high risk/high reward” discoveries, awarded professors Steve Dowdy and Yitzhak Tor funding to explore a pioneering molecular approach to drug delivery that could change the pharmaceutical industry worldwide.

The largest source of support in 2011-12 was from foundations, both public and private. The Charles Lee Powell Foundation supports graduate students who are vital to the success of UC San Diego. Elide Pantoli, a Powell Fellow, was a lead structural engineering student on the Jacobs School of Engineering’s seismic tests to evaluate the damage that could occur to key buildings such as hospitals during a major earthquake.

The number of alumni giving to UC San Diego significantly increased during fiscal 2011-12. Alumni-founded Cymer—a leading developer of light sources used by chipmakers worldwide—funded the Conference Center in the new Structural and Materials Engineering Building. Alumni Steve Hart, M.A. ’80 and Susan Hart Ph.D. ’86 contributed to the Alumni Leadership Scholarship Program to serve as encouragement and inspiration to deserving students.

Following is a sampling of other generous donors who provided support through both leadership and legacy gifts as well as grants:

- $5.6 million in corporate in-kind gifts of equipment helped to support innovation at the Center for the Future of Surgery;
- The Leila Y. Mathers Charitable Foundation funded nearly $4 million in grants for the Center for Academic Research and Training in Anthropogeny;
- Scripps Institution of Oceanography and Birch Aquarium received $3.5 million in unrestricted funds from the estate of the late David DeLaCour;
- Biological Sciences and Scripps Oceanography were beneficiaries of $2.7 million in grants from the Gordon & Betty Moore Foundation;
- A total of $2.7 million was given by the Qualcomm Foundation and Qualcomm Incorporated primarily to the Jacobs School of Engineering, and other campus areas;
- UC San Diego Pediatrics, School of Medicine and the Jacobs School received $1.7 million in grants from the Bill and Melinda Gates Foundation; and
- The estate of Alice Goldfarb Marquis, Ph.D. ’78, an accomplished writer, historian and alumna of UC San Diego, left $1.1 million to support the UC San Diego Libraries.
2011-12
SAN DIEGO

Total Giving ($ Millions) - Cash

Gift Source

- Foundations: $61,970,460 (45.7%)
- Other Nonprofits: $15,954,609 (11.8%)
- Individuals: $31,104,750 (22.9%)
- Corporations: $26,159,187 (19.3%)
- Other Individuals: $27,547,200 (88.6%)
- Parents: $1,013,301 (3.3%)
- Alumni: $2,544,250 (8.2%)

Gift Purpose

- Research: $70,507,557 (52.0%)
- Department Support: $28,233,867 (20.8%)
- Instruction: $3,432,570 (2.5%)
- Other Purposes: $151 (<0.0%)
- Unrestricted: $2,030,058 (1.5%)
- Student Support: $9,967,449 (7.4%)
- Campus Improvement: $21,370,905 (15.8%)

TOTAL: $135,542,556
- REGENTS: $81,051,986
- FOUNDATION: $54,490,570
UC San Francisco completed fiscal year 2012 with a private support total of $329.5 million (under the cash reporting standard), the third-highest fundraising total in the history of the campus. Philanthropy proved indispensable to UCSF’s $3.75 billion budget: For the eighth consecutive year, revenue from private support surpassed the revenue UCSF receives from state appropriations.

During FY12, UCSF extended its deep commitment to fundraising for education, launching a four-year, $100 million education fundraising initiative—the first of its kind at the campus. Of the total goal, $80 million will support new scholarships and fellowships. Curriculum innovation, interprofessional education, and teaching facilities are also among the key priorities that will be supported by the initiative.

The campus has already made important progress toward the goal, with the early matching gift of $1 million from UCSF Chancellor Susan Desmond-Hellmann, M.D., M.P.H., and her husband, Nicholas Hellmann, M.D., for professional student scholarships. By the end of FY12, the schools of dentistry, medicine, nursing, and pharmacy had secured the matching gift of $250,000 required to receive the equivalent funding from the Chancellor and Dr. Hellmann. Through the generosity of the Hellmanns and the following donors, each school has now established its first $500,000 Chancellor’s Endowed Scholarship:

**Dentistry:** Anonymous gift from an alumnus and friend

**Medicine:** Faustino Bernadett Jr., M.D. ’80 and UCSF Foundation Director, and Martha Bernadett, M.D.

**Nursing:** Herbert Gabriel, DDS ’43, in memory of his wife, Nursing alumna Betty H. Gabriel, BS, ’43

**Pharmacy:** Kevin Rodondi, PharmD ’85, and Lisa Rodondi, PharmD ’84

Other major commitments to the initiative include:

- $1.5 million from an anonymous donor to establish the Madison Clinic Peggy Huang Diabetes Nurse Fellows Program, one of the first programs in the country to educate and train nurses specifically to care for diabetes patients across their lifespan; and

- $1.2 million from the Metta Fund for scholarships to the Program in Medical Education for the Urban Underserved (PRIME), a five-year track at the UCSF School of Medicine and the UC Berkeley-UCSF Joint Medical Program for students committed to working with urban underserved communities.

UCSF anticipates continued success in its education, research, and clinical fundraising pursuits with the recent announcement of John B. Ford, a longtime leader in fundraising for top universities, as Vice Chancellor, University Development and Alumni Relations. Ford brings more than 40 years of experience to the position, including 30 years at Stanford University, where he helped organize and successfully implement three campaigns with goals of more than $1 billion, including the first billion-dollar campaign in support of undergraduate education in the United States.
### Gift Source

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<tr>
<td>Unrestricted</td>
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### Gift Purpose

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At a time of diminishing state contributions to the campus, private support for UC Santa Barbara is on a major upswing. The Campaign for UC Santa Barbara — a long-range fundraising push with a $1-billion goal — had its most extraordinary year ever in 2011–2012 and is now nearly three-quarters of the way to its target. Due in part to a single $50 million gift, total donations to UCSB exceeded $117 million ($105 million under the cash reporting standard) for fiscal year 2012 — a more than 200-percent increase over 2011. Even without that unprecedented investment, campus fundraising hit new heights, growing nearly 85% over the previous year independent of that contribution. The campaign, which embarked on its next phase in May 2012, now stands at $738 million. It has attracted close to 60,000 new donors to the campus since it first launched.

“We are tremendously encouraged by the vision and generosity of our devoted alumni, parents, friends, community members, and also our own faculty and staff who have stepped up to support our university during these challenging economic times. We are especially grateful for the $50 million gift from Oracle Chairman Jeff Henley and Judy Henley, the largest gift in UC Santa Barbara’s history,” said Chancellor Henry T. Yang. “Last year’s record level of philanthropic support — an average of more than $2 million per week! — provides momentum and inspiration for us to work even harder this year. We are excited to be moving forward energetically with our Campaign for UC Santa Barbara.”

With states across the country in belt-tightening mode, diminished funding for public universities has become a national problem — making fundraising by such institutions not just a trend, but an imperative. Campaigns such as UCSB’s, once the domain of private universities, are the new normal for public schools as well.

Addressing this increasingly crucial need, UCSB’s donor community significantly stepped up on behalf of the campus’s core asset: students. Gifts for scholarships and fellowships together grew by 150 percent in 2011–2012, with total donations for student support surpassing $13 million — almost triple the previous year. Unrestricted gifts, which help create special educational opportunities for students, amounted to $2 million.

Giving of all kinds is up across the board, and in big ways, with corporation support growing by 50 percent and foundation gifts jumping 127 percent from the previous year. Such increases are a clear reflection of Santa Barbara’s ever-more stellar academic programming and top-notch research, and also benefit students with the opportunities and unparalleled access to faculty that they provide.

Gifts to the campus in 2011–2012 benefitted programs and students in every corner of campus. The Department of English received an alumni gift of $1.75 million to establish a program endowment. Tuition and expenses for graduate students in the Latin American Fishery Fellows Program at the Bren School of Environmental Science and Management are being funded by a $1.42-million pledge. The Kavli Institute for Theoretical Physics was awarded $2 million for interdisciplinary biology initiatives, including a summer research program that will see top students partner with elite scientists.

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SANTA BARBARA REPORTING CONVENTIONS

CASH (CAE) | ACCRUAL (REGENTS)

""
### Gift Source

- **Foundations**: $28,017,482 (26.6%)
- **Catalysts**: $14,512,881 (13.8%)
- **Campus-related organizations**: $787,935 (0.7%)
- **Individuals**: $60,055,220 (57.0%)
- **Parents**: $1,317,384 (2.2%)
- **Faculty**: $707,339 (1.2%)
- **Alumni**: $26,044,006 (43.4%)
- **Other**: $31,930,814 (53.1%)

### Gift Purpose

- **Student Support**: $8,696,541 (8.3%)
- **Research**: $23,395,694 (22.2%)
- **Unrestricted**: $1,746,869 (1.7%)
- **Campus Improvement**: $27,457,113 (26.1%)
- **Department Support**: $43,376,606 (41.2%)
- **Other Purposes**: $2,000 (<0.0%)
- **Instruction**: $719,601 (0.7%)
Private donors gave nearly $24 million this year (almost $23 million under the cash reporting standard) to support UC Santa Cruz, an increase of almost 10 percent from FY 2011. Alumni giving roughly tripled—from $2.5 million to $7.3 million. Gifts of $100,000 or more increased to over $15 million. Over the past two years, private giving has increased almost 20 percent, with donors responding enthusiastically to campus fundraising efforts.

“It’s been my experience that people give money for what they’re passionate about, and we are fortunate our campus offers so many inspiring reasons to give,” UCSC Chancellor George Blumenthal said.

Philanthropic highlights include:

- A $2 million pledge from Steve ('79) and Mona Bruce will support several campus priorities, including $1.35 million for the Center for Games and Playable Media.
- A $1 million pledge from Christine ('90) and Robert Holo ('87) will help fund a new education wing at the Center for Ocean Health, Long Marine Laboratory.
- A $1 million gift from the Helen and Will Webster Foundation will initiate a plan to rebuild the 19th century hay barn—part of the Cowell Lime Works Historic District.
- A $1 million gift from the Bernard Osher Foundation created an endowment to support the Osher Lifelong Learning Institute.

Other notable gifts include:

- The Guru Nanak Heritage Fund for Sikh and Punjabi Studies—a $247,000 gift from the Bay Area Sikh and Punjabi community—will focus on academic research in Sikh culture and faith, Sikhs in North America, and Punjabi cultural heritage and language.
- Ethel Shelley donated $200,000 to establish the first endowments for Colleges Nine and Ten in memory of her grandson, Terence "Terry" Freitas ('97.) He was murdered in 1999 while performing educational and social justice work in Colombia.
- Emmy-nominated television producer Brannon Braga ('90)—"Star Trek the Next Generation" and "TERRA NOVA"—pledged $100,000 to the Arts Excellence Fund.
- The Class of 2012 raised almost $10,000 for the Senior Legacy Fund and awarded $2,500 scholarships to three students who exemplify the tenets of S.L.U.G life—Spirit, Legacy, Unity, and Giving.

A substantial $11.1 million, not included in the overall giving total, was pledged in planned gifts—an increase of $3.8 million from last year. Most of these commitments were from alumni and included a $5 million bequest intention, the largest ever.

Donors are not the only ones recognizing UC Santa Cruz this year. Times Higher Education ranked UCSC 7th in the world for best universities under 50 years old. The Princeton Review named UCSC a top best-value public university nationwide, a top sustainable university in California, and among the top 10 in the nation for its graduate programs in game design. UCSC ranked first in the world among younger universities in the key citation index that measures the influence of an institution’s research.

“Looking ahead to our 50th anniversary in 2015, I know private support will play an ever-greater role in our ability to realize our ambitions and broaden our impact,” Chancellor Blumenthal said. “UCSC graduates are an essential part of the well-educated workforce California needs.”
Gift Source

- Foundations: $8,251,034 (36.0%)
- Individuals: $5,495,966 (24.0%)
- Corporations: $5,214,591 (22.8%)
- Other Nonprofits: $3,921,851 (17.1%)

Other Individuals: $3,571,075 (65.0%)
- Alumni: $1,088,181 (19.8%)
- Parents: $608,762 (11.1%)
- Faculty: $182,745 (3.3%)
- Staff: $45,202 (0.8%)

Campus-related organizations: $15,225 (0.1%)

Gift Purpose

- Research: $9,168,069 (40.0%)
- Other Purposes: $7,226,829 (31.6%)
- Student Support: $2,737,977 (12.0%)
- Unrestricted: $240,045 (1.0%)
- Campus Improvement: $1,801,072 (7.9%)
- Department Support: $997,500 (4.4%)
- Instruction: $727,174 (3.2%)

TOTAL: $22,898,666
- REGENTS: $12,420,957
- FOUNDATION: $10,477,709
David Sloan and J.J. Livingood at work at the Sloan x-ray unit at the University of California Hospital in San Francisco in 1932-33.

Scripps Institution of Oceanography

Animal Science and Horticulture Buildings, UC Davis 1928

UC Berkeley 1924

The south of Westwood Boulevard entrance to UCLA in 1932
ABPDU processing facility at Joint BioEnergy Institute
Researcher Lucas Sandoval
Lawrence Berkeley National Laboratory

Saul Perlmutter on ladder with Supernova background

UCLA Volunteer Day

Emergency Medicine Doctor Instructing Medical Students - UC San Francisco

Professor at UC Irvine

Haas School of Business, UC Berkeley

Porter Wave, UC Santa Cruz
PRIVATE SUPPORT
Campus Foundation Leadership

BERKELEY
University of California, Berkeley Foundation
Website: https://foundation.berkeley.edu/
Executive Officers
Chair: Arnold N. Silverman '60, M.S. 61, Menlo Park
Vice Chair: Frank E. Baxter '61, Santa Monica
Chair–Audit Committee: Georgia Lee '76, San Francisco
Chair–Campaign Steering Committee: H. Michael Williams ’82, Lafayette
Chair–Finance & Administration Committee: Richard L. Greene ’60; J.D. ’63; San Francisco
Chair–Governance Committee: James M. Koshland, ’73, East Palo Alto
Chair–Programs Committee: Richard E. Steiny, ’79, Atherton
Chair–Nominating Committee: Gary A. Freedman ’66, Santa Monica
Immediate Past Chair: Katherine S. Lau ’88, Los Altos
BEMCO BOARD MEMBER: William F. Ausfahl ’61, Moraga
BEMCO BOARD MEMBER: Colleen C. McAndrews ’67, Santa Monica
Administrative Officers
President: F. Scott Biddy, Vice Chancellor–University Relations
Executive Vice President: Irene Kim, Assistant Vice Chancellor–Advancement
Vice President: David Blinder, Associate Vice Chancellor–University Relations
Associate Vice President: Kevin Crilly, Director–Gift Planning
Corporate Secretary: MiHi Ahn, Assistant Director, Strategic Planning–University Relations
Associate Secretary: Sylvie Mehner, Administrative Analyst, University Relations
Treasurer: Loraine Binion, Executive Director–Finance and Administration
Assistant Treasurer: Lina Wang, Associate Director of Accounting Operations

DAVIS
UC Davis Foundation
Website: http://giving.ucdavis.edu/uc_davis_foundation.html
Executive Officers
Chair: Bruce Edwards ’60, Los Gatos
Vice Chair: Michael Child ‘76, Atherton
Chair–Audit Committee: Anne Gray, Davis
Chair–Development Committee: Paul Sallaberry ’79, Menlo Park
Chair–Finance & Investment Committee: Eamonn Dolan ’83, Oakland
Chair–Nominating Committee: Bruce Bell ’85, Olympia, WA
Immediate Past Chair: Kevin Bacon ’72, Austin, TX
President: Shaun Keister, Vice Chancellor–Development and Alumni Relations
Secretary: Paul Prokop, Interim Associate Vice Chancellor of University Development
Treasurer: Isabella Corbin, Executive Director of Administrative Services

IRVINE
University of California, Irvine Foundation
Website: www.ucifoundation.org
Officers
Chair: Hazem H. Chehabi, M.D., Newport Beach
Chair-Alumni & Student Relations Committee: Martha A. Newkirk, Ph.D. ’81, Laguna Beach
Chair–Audit Committee: Mark P. Robinson, Jr., Esq., Corona del Mar
Chair–Campaign & Fundraising Committee: Douglas K. Freeman, J.D., LL.M., Corona del Mar
Chair–Donor Relations Committee: To be Announced
Chair–Finance Committee: John R. Evans, Irvine
Chair–Government Relations/Advocacy Committee: Gary H. Hunt, Newport Beach
Chair–Governance Committee: Dean A. Yoost, Irvine
Chair–Investment: Rick E. Keller, CFP, Villa Park
Chair–Nomination & Board Development: James V. Mazzo, Laguna Beach
Chair–Strategic Planning: Emile K. Haddad, Laguna Hills

President: Michael V. Drake, Chancellor
Vice-President: Gregory R. Leet, Vice Chancellor, University Advancement
Chief Financial Officer: Lynn Rahn, Assistant Vice Chancellor Strategic Planning and Administration
General Counsel: Gary J. Singer, Esq. ’74, Newport Beach
Controller: Christie Israel, Director of Business and Finance Operations, University Advancement
Secretary: Jackie M. Barbera, Senior Director, University Advancement
LOS ANGELES
The UCLA Foundation
Website: www.UCLAFoundation.org
Officers and Committee Chairs
Chair: Maurice M. Salter ’69, Ed.D. ’77, Los Angeles
Immediate Past Chair: Betsy Wood Knapp, Los Angeles
Chair-Elect: Steven L. Klosterman ’74, Encinitas
Executive Vice President: Rhea Turteltaub, Vice Chancellor–External Affairs
Vice President–Development/Executive Director: Steve Gamer, Associate Vice Chancellor–Development
Vice President–Finance/Chief Financial Officer & Treasurer: Julie Sina, Assistant Vice Chancellor, Advancement Services
Interim Chief Investment Officer: George Letteney, Interim President and Chief Investment Officer, UCLA Investment Company
Chair–Audit Committee: Jeffrey A. Seymour ’73, MPA ’77, Westlake
Chair–Executive Committee: Maurice M. Salter ’69, Ed.D. ’77, Los Angeles
Chair–Finance Committee: Eleanor V. Brewer, M.B.A. ’86, Orange
Chair–Investment Steering Committee: Steven A. Olsen ’77, Vice Chancellor–Finance, Budget & Capital Programs
Chair–Nominations Committee: Steven L. Klosterman ’74, Encinitas
Chair–Philanthropy Committee: Shirley Wang ’90, Los Angeles

MERCED
UC Merced Foundation
Website: http://ur.ucmerced.edu/
Executive Officers
Chair: Josh Becker, Menlo Park
Vice Chair: Robert Tinker, Mountain View
Secretary: Art Kamangar, Merced
Treasurer: Leo Kolligian, Santa Monica
Corporate President: Dorothy Leland, Chancellor
Corporate Vice President: David Hosley, Interim Vice Chancellor–University Relations
Executive Director: Jan Mendenhall, Associate Vice Chancellor–Development
Audit Chair: Cyril Lawrence
Development Chair: Robert Bernstein
Finance and Investment Chair: Dick Otter
Membership Chair: Coke Hallowell
Members at Large: JoAnn Bertges, Bob Gallo, Rani Yadav-Ranjan

RIVERSIDE
UC Riverside Foundation
Website: www.foundation.ucr.edu
Executive Officers
Chair: Pamela Rubin, Beverly Hills
Chair-Elect: S. Sue Johnson ’62, Riverside
Treasurer: Robert J. Sepe, Riverside
Immediate Past Chair: Nicholas H. Goldware ’70, Riverside
President: Peter A. Hayashida, Vice Chancellor, University Advancement
Executive Vice President: Joel B. Munson, Associate Vice Chancellor Development
Chair, Finance and Chief Financial Officer: Jan Wildman, Assistant Vice Chancellor Advancement Administration
Associate Treasurer: Elizabeth Wottrig-Gonzales, Executive Director, University Advancement Finance & Administration
Secretary: Patricia D. Kohlmeier, Executive Director, UCR Foundation & Donor Relations

SAN DIEGO
U.C. San Diego Foundation
Website: http://ucsdfoundation.ucsd.edu/
Executive Officers
Chair: Peter G. Preuss, ’67
Vice Chair: Carol Chang
Vice Chair: Sheldon C. Engelhorn, ’72
Treasurer: Eugene L. Step
Chair–Audit Committee: Rudolph Rehm
Chair–Investment /Finance Committee: Dr. Kenneth F. Kroner, ’88
Chair–Development Committee: Leo Spiegel, ’83
Chair–Stewardship Committee: Elizabeth Van Denburgh, ’78
Chair–Nominating Committee: Stephen Schreiner, ’80
Chair–Student Foundation Committee: Darcy Bingham
Operating Officers
Chancellor: Pradeep Khosla
President: Steven W. Relyea, Vice Chancellor–External & Business Affairs
Vice President: Kathy Drucquer Duff, Associate Vice Chancellor–Development
Vice President: Patrick Carew, Associate Vice Chancellor–Health Sciences Development
Assistant Treasurer: Gary Matthews, Vice Chancellor–Resource Management & Planning
Chief Financial Officer: Marlene Shaver, Assistant Vice Chancellor–Advancement Services
Corporate Secretary: Alexandra Rutherford, Board Operations Manager
PRIVATE SUPPORT
Campus Foundation Leadership

SAN FRANCISCO
UCSF Foundation
Website: http://support.ucsf.edu/ucsf-foundation
Chair: Richard M. Rosenberg
Chair: William E. Oberndorf
Vice Chair: Art Kern
President: Susan Desmond-Hellmann, MD, MPH, Chancellor
Vice President: John B. Ford, Vice Chancellor, University Development and Alumni Relations
Treasurer: John Plotts, Senior Vice Chancellor, Finance and Administration
Secretary: Steve Downs, Executive Director, Financial Services and Administration, Development and Alumni Relations
Chair–Audit Committee: W. Clarke Swanson, Jr.
Chair–Investment Committee: Debra Wetherby
Chair–Government Relations Committee: Carmen Policy
Chair–Marketing Committee: Lynne Benioff
Chair–Nominating Committee: Nancy Hellman Bechtle
Chair–Real Estate Committee: Kenneth Rosen

SANTA BARBARA
UC Santa Barbara Foundation
Website: www.ia.ucsb.edu/ucsb-foundation
Foundation Officers
Chair: Bruce G. Wilcox ’77
Past Chair & Nominations Chair: Daniel P. Burnham
Vice Chair–Investments/Treasurer: Jane H. Williams
Vice Chair–Development: Gwendolyn A. Brown ’71
Vice Chair–Donor Relations: Edward E. Birch ’95
Secretary: Susan Worster ’70
Acting Executive Director: Beverly J. Colgate, Associate Vice Chancellor-Development
Chief Financial Officer: Eric J. Sonquist, Director of Finance & Administration

SANTA CRUZ
U.C. Santa Cruz Foundation
Website: http://foundation.ucsc.edu/
Executive Officers
President: Kenneth J. Doctor ’71, Aptos
Vice President, Board Affairs: Richard F. Moss ’85, Los Angeles
Vice President, Engagement: Michael P. Graydon ’70, Pasadena
Vice President, Events: Mary E. Doyle ’74, Woodside
Vice President, Board Opportunity Fund: Kristen Marinovic ’91, Santa Cruz
Parliamentarian: Betsy Buchalter Adler ’70, San Francisco
Chair–Executive Committee: Kenneth J. Doctor ’71, Aptos
Chair–Finance Committee: Loren A. Steck ’73, Carmel
Chair–Trustee Membership Committee: Richard F. Moss ’85, Los Angeles
Chair–Audit Committee: Robert T. Falltrick ’69, San Francisco
Chair–Events Advisory Committee: Mary E. Doyle ’74, Woodside
Administrative Officers
Executive Secretary: Donna M. Murphy, Vice Chancellor, University Relations
Treasurer: Christina Valentino, Vice Chancellor, Business and Administrative Services
Assistant Treasurer: William L. Jump, Foundation Controller
Assistant Secretary: Ann E. McCrow, Foundation Director
PRIVATE SUPPORT
Glossary

GIFT DATA
The gift data included in the Annual Report on the University Private Support Program are compiled using the Council for Aid to Education/cash convention for gift reporting (i.e., includes outright gifts, pledge payments, and private grants payments, not contracts). This is the reporting convention used for comparisons with other educational institutions.

REGENTS
The Board of Regents of the University of California is the corporate body entrusted by the California State Constitution, Article IX, section 9, with the University’s organization and administration.

CAMPUS FOUNDATIONS
Campus foundations, serving each of the ten University of California campuses, are independently incorporated as nonprofit, public-benefit corporations. They serve as the primary fundraising arm for their respective campuses. The strength of the foundations rests with the direction provided by their community-based volunteer trustees. Each foundation is staffed by University personnel.

SOURCES OF GIFTS
This category identifies the original source of gifts and grants to The Regents and the campus foundations.

INDIVIDUALS
Individuals are divided into a number of categories, including:

Alumni
Alumni are defined as former undergraduate or graduate students, full- or part-time, who have earned some credit toward a degree offered by a particular campus of the University of California. Alumni status takes precedence in the reporting of private support, so that gifts from faculty, staff and parents who are also alumni would be counted in the alumni category.

Faculty
Faculty members are defined as individuals with full- or part-time academic appointments within the University.

Staff
Staff members are employed in non-academic positions throughout the University.

Parents
Parents have children who are current or former students at any campus of the University.

Individuals (non-alumni)
All individual donors who are not alumni, faculty or staff are covered by this classification.

Bequests
Bequests are defined as gifts received from deceased donors’ estates, trusts or other estate plans that designate the University as a beneficiary.

ORGANIZATIONS
Campus-related organizations
This classification covers student groups, alumni associations, and University support groups, but not campus foundations. A gift to a campus foundation is reported in the classification appropriate to the original donor when the gift was received by the campus foundation.

Corporations
This classification covers both for-profit entities and non-profit entities that are funded by for-profit entities. For-profit entities are defined as corporations, businesses, partnerships, and cooperatives that have been organized for profit-making purposes, including corporations owned by individuals and families, incorporated professional individuals, and other closely held companies.

Examples of non-profit entities that are funded by for-profit entities include: industry or trade associations; professional, union, or lobbying organizations; and non-profit organizations funded by one or more companies or individuals operating for profit, including corporate foundations.

Foundations
This classification covers private tax-exempt entities established and operated exclusively for charitable purposes; non-profit foundations or charitable trusts, including funds or endowments designated by the Internal Revenue Service as grant-making foundations; community foundations; family foundations; or charitable trusts. This classification excludes corporate foundations, campus foundations and quasi-government entities, such as the National Endowment for the Humanities. To capture additional data, a category has been added for foundations established by alumni.

Other sources
This classification covers all non-profit organizations other than those described above, including fundraising consortia, religious organizations, and higher educational associations or institutions.

PURPOSES OF GIFTS
This category identifies the intended use of private support as designated by the donor or grantor.

Research
This classification applies to private support that is restricted to scientific, technical and humanistic investigation, including
salaries and other support of research projects. Funds for research may also be included in the “campus improvement” classification (capital projects to support research) and in the “departmental support” classification (endowed chairs).

Student support
This classification includes support that is restricted to non-repayable financial aid to undergraduate and graduate students, including undergraduate scholarships, graduate fellowships, awards, prizes, and private support for work-study students, as well as gift funds to support loans to be repaid by undergraduate and graduate students.

Instruction
This classification is restricted to support for seminars, conferences, lecture programs, and faculty recognition awards, including salaries, honoraria and employee benefits.

Campus improvement
This classification covers support for capital projects and for ongoing operation of the physical plant, including grounds and buildings, facilities, and equipment. It includes gifts and private grants of real and personal property, including equipment and works of art for use by the University. It also covers gifts and private grants that are restricted by the donor or grantor to purchase buildings, other facilities, equipment, and land for use by the University; to construct or carry out major renovation of buildings and other facilities; and to retire indebtedness. In addition, the classification applies to gifts of library materials and monetary support that is restricted to acquire, restore, and preserve books, periodicals, manuscripts, maps, and related materials; to acquire audiovisual and other equipment; and to support other activities of campus libraries.

Departmental support
This classification covers gifts that are restricted by the donor to a particular academic division, department or entity. It also covers gifts that are restricted by the donor to a particular academic division, department or unit, and further restricted by the donor to a particular purpose for which no other purpose category is listed, including endowed chairs, but excluding support for instruction and student financial support, which are reported in the related classifications.

Other purposes
This classification covers support of non-instructional services beneficial to individuals and groups external to the University (e.g., exhibits, museums, patient care, public service, and similar facilities and programs); and gifts restricted by the donor for endowment, which maintains the principal in perpetuity, but otherwise unrestricted by the donor as to use of the endowment income. It also includes support for intercollegiate athletics. Note that gifts to support athletic scholarships are reported in “student support” and gifts for athletic capital projects are reported in “campus improvement”.

Unrestricted
This classification includes gifts made by the donor without restriction, regardless of any subsequent administrative designation or purpose.

**TYPE OF ASSETS**
This category identifies the form (type of asset) comprising the support received this year by the University and the campus foundations.

- **Cash**
  This classification covers currency, coins, checks, money orders, credit cards transactions, and bank drafts.

- **Securities**
  This classification includes stocks, bonds, and related instruments, such as promissory (mortgage) notes and insurance policies, if maintained rather than surrendered for cash.

- **Real property**
  This classification applies to real estate, including land, buildings, and other improvements; and to oil, mineral and related rights. Real property is reported at its fair market value.

- **Non-monetary items**
  This classification applies to personal or company property (except securities and real property), including works of art, books, intellectual property, and scientific and other equipment. Non-monetary gifts are reported at their fair market value.

**PLANNED GIFTS**
A planned gift is often referred to as a “split-interest arrangement”; it involves benefits for the donor (or another non-charitable beneficiary) and for the charitable institution. In such a circumstance, a donor who makes a current gift-in-trust is eligible for an income for life or for a period of years related to the underlying assets of the gift, as well as to certain income-tax benefits for making a charitable contribution. The donor may name himself or herself or another individual as the life-income beneficiary; on the demise of the beneficiary or at the conclusion of the trust term, the charitable remainder is applied to the charitable purpose specified in the gift agreement.

- **Face value** is a term that refers to the total amount of a planned gift agreement, i.e., the amount conveyed by gift to establish a split-interest trust or other planned gift.

- **Present value** is a term that refers to the discounted value of a planned gift used to predict in today’s dollars what the gift will be worth when it becomes available to support the charitable purpose for which it was designated by the donor.
### Private Support to The Regents and the Campus Foundations (CAE/Cash Convention)

#### 1992-93 to 2011-12

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<tr>
<th>Fiscal Years</th>
<th>Regents</th>
<th>Foundation</th>
<th>Total</th>
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<tbody>
<tr>
<td>1993-1994</td>
<td>293,837,983</td>
<td>123,441,673</td>
<td>417,279,656</td>
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<td>1994-1995</td>
<td>286,612,728</td>
<td>160,132,785</td>
<td>446,745,513</td>
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<td>1995-1996</td>
<td>418,367,669</td>
<td>189,583,298</td>
<td>607,950,967</td>
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<td>1996-1997</td>
<td>454,269,849</td>
<td>241,513,863</td>
<td>695,783,712</td>
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<td>1997-1998</td>
<td>414,224,944</td>
<td>290,143,326</td>
<td>704,368,270</td>
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<td>1998-1999</td>
<td>480,533,690</td>
<td>348,788,445</td>
<td>829,322,135</td>
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<td>1999-2000</td>
<td>522,810,531</td>
<td>432,084,528</td>
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<td>2000-2001</td>
<td>621,634,140</td>
<td>400,873,079</td>
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<td>2001-2002</td>
<td>629,248,027</td>
<td>382,349,876</td>
<td>1,011,597,903</td>
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<td>2002-2003</td>
<td>709,481,356</td>
<td>405,505,130</td>
<td>1,114,986,486</td>
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<td>2003-2004</td>
<td>569,903,692</td>
<td>463,331,591</td>
<td>1,033,235,283</td>
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<td>2004-2005</td>
<td>687,234,899</td>
<td>509,643,409</td>
<td>1,196,878,308</td>
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<td>2005-2006</td>
<td>710,146,541</td>
<td>586,131,053</td>
<td>1,296,277,594</td>
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<td>2006-2007</td>
<td>679,918,570</td>
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<td>2007-2008</td>
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<td>743,466,901</td>
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<td>2009-2010</td>
<td>731,029,449</td>
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<td>2010-2011</td>
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<td>2011-2012</td>
<td>$822,733,759</td>
<td>$739,526,675</td>
<td>$1,562,260,434</td>
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### 10 Years of Private Support for the Campuses

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<tr>
<th>YEAR</th>
<th>BERKELEY</th>
<th>RIVERSIDE</th>
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<tbody>
<tr>
<td>2001-2002</td>
<td>138,739,314</td>
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<tr>
<td>2002-2003</td>
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<td>2004-2005</td>
<td>132,647,534</td>
<td>14,695,527</td>
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<td>2005-2006</td>
<td>132,647,534</td>
<td>14,695,527</td>
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<td>2006-2007</td>
<td>177,070,648</td>
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<td>2007-2008</td>
<td>165,321,195</td>
<td>12,318,430</td>
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<td>2008-2009</td>
<td>184,714,198</td>
<td>15,753,177</td>
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<td>2009-2010</td>
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<td>14,166,436</td>
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<td>2010-2011</td>
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<td>2011-2012</td>
<td>259,707,938</td>
<td>19,130,423</td>
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<th>DAVIS</th>
<th>SAN DIEGO</th>
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<td>2001-2002</td>
<td>52,383,870</td>
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<td>52,855,601</td>
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<td>57,204,093</td>
<td>83,300,096</td>
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<td>2005-2006</td>
<td>75,439,046</td>
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<td>67,434,643</td>
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<td>2008-2009</td>
<td>85,995,075</td>
<td>55,609,306</td>
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<td>2009-2010</td>
<td>85,163,260</td>
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<td>2010-2011</td>
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<td>50,698,808</td>
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<td>31,868,905</td>
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<td>44,468,291</td>
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<th>YEAR</th>
<th>LOS ANGELES</th>
<th>SANTA BARBARA</th>
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<tbody>
<tr>
<td>2002-2003</td>
<td>122,662,204</td>
<td>62,556,126</td>
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<td>2003-2004</td>
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<td>157,372,797</td>
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<td>2005-2006</td>
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<td>2011-2012</td>
<td>184,714,198</td>
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<th>YEAR</th>
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<td>2001-2002</td>
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<td>5,823,885</td>
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<td>2,367,090</td>
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<td>2010-2011</td>
<td>2,253,069</td>
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Note: UC Davis totals include certain gifts and private grants made to the Systemwide Division of Agriculture and Natural Resources.