

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **May 11, 2021**
Time: **12:30 p.m.**¹
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

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| | Action | Approval of the Minutes of the Meeting of March 17, 2021 |
| C1(X) | Discussion | Update on the Pension Administration Project – Financial Overview |
| | | <i>Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)] and Litigation [Education Code §92032(b)(5)]</i> |
| C2(X) | Discussion | Settlements with Patients in the Professional Liability Portfolio |
| | | <i>Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]</i> |
| C3(X) | Discussion | Legislation and the University of California's Constitutional Autonomy |
| | | <i>Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]</i> |
| C4(X) | Discussion | March Settlements Follow-Up |
| | | <i>Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]</i> |

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Committee membership: Regents Anguiano, Butler, Cohen (Vice Chair), Elliott (Chair), Estolano, Makarechian, Park, Rendon, and Sures; Ex officio members Newsom and Pérez; Chancellors Christ, Gillman, Hawgood, Khosla, and Larive; Advisory members Gauvain and Zaragoza

¹ All times indicated and the order of business are approximate and subject to change.

- C5(X) Action Recommended Settlements for Board Action
1. Bradshaw v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles
 2. Panther v. Regents, et al. – Proposed Settlement – Personal Injury – Davis
 3. Smith v. Regents, et al. and Napolitano – Proposed Settlement – State Equal Protection Claims Challenging Use of Scholastic Assessment Test (SAT) and American College Testing (ACT) Test Scores in Admissions – Office of the President

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

- C6(X) Discussion Appellate, Trial Court Developments and Updates
1. AMERICAN FEDERATION OF STATE, COUNTY, AND MUNICIPAL EMPLOYEES LOCAL 3299 v. REGENTS and SACRAMENTO INVESTMENT WITHOUT DISPLACEMENT, INC. v. REGENTS – Settlement Reached – California Environmental Quality Act – Davis
 2. CITY OF BERKELEY v. REGENTS, et al.; SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. – Merits Hearing Held – California Environmental Quality Act – Berkeley
 3. COMPLAINANT SEXUAL MISCONDUCT CASES – Various Activity – All Campuses
 4. DOES v. REGENTS – Lawsuits Pending – Sexual Misconduct – Los Angeles Medical Center and Campus Health
 5. EAST MEADOW ACTION COMMITTEE v. REGENTS, et al. – Discharge of Writ – California Environmental Quality Act – Santa Cruz
 6. GOMEZ v. REGENTS – Judgment for University Affirmed – Wage and Hour Class Action – San Diego Medical Center
 7. HABITAT AND WATERSHED CARETAKERS, et al. v. REGENTS, et al.; EAST MEADOW ACTION COMMITTEE v. REGENTS, et al. – Petitions Filed – California Environmental Quality Act – Santa Cruz
 8. HILLS CONSERVATION NETWORK v. REGENTS; CLAREMONT CANYON CONSERVANCY v.

- REGENTS – Petitions Filed – California Environmental Quality Act – Berkeley
9. MYSLINSKI v. REGENTS, et al. – Demurrer to Second Amended Complaint Filed – Class Action Claim for Withheld Gratuities – Los Angeles
 10. PANORAMIC HILL ASSOCIATION v. REGENTS, et al. – Writ Granted – California Environmental Quality Act – Berkeley
 11. PARNASSUS NEIGHBORHOOD COALITION, et al. v. REGENTS; YERBA BUENA NEIGHBORHOOD CONSORTIUM LLC v. UNIVERSITY OF CALIFORNIA, et al.; SAN FRANCISCANS FOR BALANCED AND LIVABLE COMMUNITIES v. REGENTS – Settlement Conferences Held – California Environmental Quality Act – San Francisco
 12. REGENTS, et al. v. CITY OF SANTA CRUZ – Claim for Damages Filed – Declaratory Relief and Breach of Contract – Santa Cruz
 13. REGENTS, et al. v. U.S. DEPARTMENT OF HOMELAND SECURITY – Case Stayed – Administrative Procedure Act and Due Process Claims Challenging Rescission of DACA – Office of the President
 14. REGENTS, et al. v. FEMA – Petition for Rehearing Denied – Administrative Procedure Act/National Environmental Policy Act – Berkeley
 15. RESPONDENT SEXUAL MISCONDUCT CASES – Various Activity – All Campuses
 16. SANDER v. REGENTS, et al. – Petition Denied – California Public Records Act – Systemwide
 17. SAVE BERKELEY’S NEIGHBORHOODS (SBN) v. REGENTS, et al. – Remand to Trial Court – California Environmental Quality Act – Berkeley
 18. TEAMSTERS LOCAL UNION 2010, et al. v. REGENTS and PRESIDENT DRAKE – Dismissal Proposed – Privacy and Equal Protection Claims in Response to Presidential Executive Order Requiring Vaccination for Flu – Office of the President
 19. TOTRAKARN, et al. v. REGENTS – Case Settled – Alleged Labor Code Violation – Los Angeles Medical Center
 20. TUITION AND FEES REFUND CLASS ACTIONS – Federal Complaints Dismissed; Motion to Dismiss Consolidated State Complaint Filed – Claims Seeking Refunds Based on COVID-Related Actions – Systemwide
 21. GENERAL COUNSEL’S UPDATE ON LITIGATION

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C7(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from February 1, 2020 to March 31, 2020

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C8(X) Discussion Update on Accellion Cyber Incident

Closed Session Statute Citation: Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]

C9(X) Discussion Update on Incident Involving Contractor Mishandling of University of California Data

Closed Session Statute Citation: Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]