

NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS COMMITTEE

Date: **May 11, 2021**
Time: **10:00 a.m.**¹
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

Public Comment Period²

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|----|------------|---|
| | Action | Approval of the Minutes of the Meeting of February 18, 2021 |
| I1 | Discussion | Update on University of California Investment Products – Retirement, Endowment, and Working Capital |
| I2 | Action | Amendment of Regents Policy 6110: University of California Blue and Gold Endowment Investment Policy Statement and Rescission of Regents Policy 6405: University of California Blue and Gold Endowment Asset and Risk Allocation Policy |

Committee Membership: Regents Anguiano (Vice Chair), Blum, Cohen, Elliott, Leib, Makarechian, Muwwakkil, Park, Sherman (Chair), and Stegura; Ex officio members Drake, Newsom, and Pérez; Advisory members Regent-designates Lott and Torres; Faculty Representative Horwitz; Chancellors Hawgood, Khosla, Muñoz, and Wilcox; Zager; and Staff Advisor Tseng

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. Members of the public who wish to offer public comment by phone may do so by providing their phone number in advance to publiccomment@ucop.edu. The Secretary and Chief of Staff will call the number provided if and when your name is called from the list. Please be sure to be available beginning at 10:00 a.m. to answer the call. Efforts will be made to accommodate each individual who has signed up to speak. However, given time constraints, there is no guarantee that all who have signed up will be able to address the Regents. The [Guidelines for Public Comment](#) can be found on the Regents website.

In fairness to all who wish to address the Committee, each speaker must abide by the individual time limit allotted and announced at the convening of the session. The custom is to provide up to three minutes to each speaker. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced.

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NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **May 11, 2021**
Time: **12:30 p.m.**¹
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

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| | Action | Approval of the Minutes of the Meeting of March 17, 2021 |
| C1(X) | Discussion | Update on the Pension Administration Project – Financial Overview |
| | | <i>Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)] and Litigation [Education Code §92032(b)(5)]</i> |
| C2(X) | Discussion | Settlements with Patients in the Professional Liability Portfolio |
| | | <i>Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]</i> |
| C3(X) | Discussion | Legislation and the University of California's Constitutional Autonomy |
| | | <i>Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]</i> |
| C4(X) | Discussion | March Settlements Follow-Up |
| | | <i>Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]</i> |

...continued

Committee membership: Regents Anguiano, Butler, Cohen (Vice Chair), Elliott (Chair), Estolano, Makarechian, Park, Rendon, and Sures; Ex officio members Newsom and Pérez; Chancellors Christ, Gillman, Hawgood, Khosla, and Larive; Advisory members Gauvain and Zaragoza

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- C5(X) Action Recommended Settlements for Board Action
1. Bradshaw v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles
 2. Panther v. Regents, et al. – Proposed Settlement – Personal Injury – Davis
 3. Smith v. Regents, et al. and Napolitano – Proposed Settlement – State Equal Protection Claims Challenging Use of Scholastic Assessment Test (SAT) and American College Testing (ACT) Test Scores in Admissions – Office of the President

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

- C6(X) Discussion Appellate, Trial Court Developments and Updates
1. AMERICAN FEDERATION OF STATE, COUNTY, AND MUNICIPAL EMPLOYEES LOCAL 3299 v. REGENTS and SACRAMENTO INVESTMENT WITHOUT DISPLACEMENT, INC. v. REGENTS – Settlement Reached – California Environmental Quality Act – Davis
 2. CITY OF BERKELEY v. REGENTS, et al.; SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. – Merits Hearing Held – California Environmental Quality Act – Berkeley
 3. COMPLAINANT SEXUAL MISCONDUCT CASES – Various Activity – All Campuses
 4. DOES v. REGENTS – Lawsuits Pending – Sexual Misconduct – Los Angeles Medical Center and Campus Health
 5. EAST MEADOW ACTION COMMITTEE v. REGENTS, et al. – Discharge of Writ – California Environmental Quality Act – Santa Cruz
 6. GOMEZ v. REGENTS – Judgment for University Affirmed – Wage and Hour Class Action – San Diego Medical Center
 7. HABITAT AND WATERSHED CARETAKERS, et al. v. REGENTS, et al.; EAST MEADOW ACTION COMMITTEE v. REGENTS, et al. – Petitions Filed – California Environmental Quality Act – Santa Cruz
 8. HILLS CONSERVATION NETWORK v. REGENTS; CLAREMONT CANYON CONSERVANCY v.

- REGENTS – Petitions Filed – California Environmental Quality Act – Berkeley
9. MYSLINSKI v. REGENTS, et al. – Demurrer to Second Amended Complaint Filed – Class Action Claim for Withheld Gratuities – Los Angeles
 10. PANORAMIC HILL ASSOCIATION v. REGENTS, et al. – Writ Granted – California Environmental Quality Act – Berkeley
 11. PARNASSUS NEIGHBORHOOD COALITION, et al. v. REGENTS; YERBA BUENA NEIGHBORHOOD CONSORTIUM LLC v. UNIVERSITY OF CALIFORNIA, et al.; SAN FRANCISCANS FOR BALANCED AND LIVABLE COMMUNITIES v. REGENTS – Settlement Conferences Held – California Environmental Quality Act – San Francisco
 12. REGENTS, et al. v. CITY OF SANTA CRUZ – Claim for Damages Filed – Declaratory Relief and Breach of Contract – Santa Cruz
 13. REGENTS, et al. v. U.S. DEPARTMENT OF HOMELAND SECURITY – Case Stayed – Administrative Procedure Act and Due Process Claims Challenging Rescission of DACA – Office of the President
 14. REGENTS, et al. v. FEMA – Petition for Rehearing Denied – Administrative Procedure Act/National Environmental Policy Act – Berkeley
 15. RESPONDENT SEXUAL MISCONDUCT CASES – Various Activity – All Campuses
 16. SANDER v. REGENTS, et al. – Petition Denied – California Public Records Act – Systemwide
 17. SAVE BERKELEY’S NEIGHBORHOODS (SBN) v. REGENTS, et al. – Remand to Trial Court – California Environmental Quality Act – Berkeley
 18. TEAMSTERS LOCAL UNION 2010, et al. v. REGENTS and PRESIDENT DRAKE – Dismissal Proposed – Privacy and Equal Protection Claims in Response to Presidential Executive Order Requiring Vaccination for Flu – Office of the President
 19. TOTRAKARN, et al. v. REGENTS – Case Settled – Alleged Labor Code Violation – Los Angeles Medical Center
 20. TUITION AND FEES REFUND CLASS ACTIONS – Federal Complaints Dismissed; Motion to Dismiss Consolidated State Complaint Filed – Claims Seeking Refunds Based on COVID-Related Actions – Systemwide
 21. GENERAL COUNSEL’S UPDATE ON LITIGATION

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C7(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from February 1, 2020 to March 31, 2020

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C8(X) Discussion Update on Accellion Cyber Incident

Closed Session Statute Citation: Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]

C9(X) Discussion Update on Incident Involving Contractor Mishandling of University of California Data

Closed Session Statute Citation: Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]

NOTICE OF MEETING

The Regents of the University of California

Date: **May 12, 2021**
Time: **8:30 a.m.**¹
Locations: **Teleconference Meeting Conducted in Accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

Public Comment Period (20 minutes)²

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

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NOTICE OF MEETING

The Regents of the University of California

PUBLIC ENGAGEMENT AND DEVELOPMENT

Date: **May 12, 2021**
Time: **9:30 a.m.**¹
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

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|----|------------|---|
| | Action | Approval of the Minutes of the Meeting of March 17, 2021 |
| P1 | Discussion | Conversation with State Senator Monique Limon |
| P2 | Discussion | Advancing Student Mental Health and Well-being with Equity and Inclusion |
| P3 | Discussion | Proposal for Annual Regents' Award Recognizing Current and Former Foster Youth Attending the University of California |
| P4 | Discussion | State Governmental Relations Update |
| P5 | Discussion | Federal Governmental Relations Update |

Committee membership: Regents Blum, Guber, Lansing, Leib (Chair), Mart, Muwwakkil, Ortiz Oakley, Reilly, Sherman, and Stegura (Vice Chair); Ex officio members Drake, Newsom, and Pérez; Advisory members Regent-designates Lott and Torres, Faculty Representative Horwitz; Chancellors Block, May, Muñoz, Wilcox, and Yang; Staff Advisor Tseng

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE COMMITTEE

Date: **May 12, 2021**
Time: **11:30 a.m.**¹
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

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|----|--------|---|
| | Action | Approval of the Minutes of the Meeting of March 17, 2021 |
| G1 | Action | Acceptance of the Report of the Regents Working Group on Innovation Transfer and Entrepreneurship |
| G2 | Action | Adoption of a Regents Policy on Innovation Transfer and Entrepreneurship |
| G3 | Action | Establishment of Regents' Special Committee on Innovation Transfer and Entrepreneurship |
| G4 | Action | Amendment of Bylaw 23.5 – Authority and Duties of Principal Officers |

Committee membership: Regents Anguiano, Drake, Elliott, Estolano (Vice Chair), Lansing, Leib, Makarechian, Newsom, Pérez (Chair), and Sherman

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE COMMITTEE

Date: **May 12, 2021**
Time: **Upon adjournment of the open session meeting¹**
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of March 17, 2021

G5(X) Discussion Collective Bargaining Matters

*Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]*

Committee membership: Regents Anguiano, Drake, Elliott, Estolano (Vice Chair), Lansing, Leib, Makarechian, Newsom, Pérez (Chair), and Sherman

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: **May 12, 2021**
Time: **1:00 pm**¹
Locations: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

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|---------------|---|
| Action | Approval of the Minutes of the Meeting of March 17, 2021 |
| A1 Discussion | Eligibility in the Local Context |
| A2 Discussion | Update on Open Access and Academic Journal Contracts |

Committee membership: Regents Anguiano (Chair), Butler (Vice Chair), Elliott, Lansing, Mart, Ortiz Oakley, Rendon, Stegura, and Sures; Ex officio members Drake, Newsom, Pérez, and Thurmond; Advisory members Regent-designates Torres and Zaragoza; Faculty Representative Horwitz; Chancellors Block, Larive, May, and Yang; and Staff Advisor Tseng

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NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **May 12, 2021**
Time: **1:00 p.m.**¹
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

- Action Approval of the Minutes of the Meeting of March 17, 2021
- F1(X) Action Delegations for Participation in a Joint Venture for a Potential Mixed-Use Development on a Portion of NASA-Ames Moffett Field, Berkeley Campus
- Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]*
- F2(X) Action Land Acquisition, Ground Lease, and Space Lease Business Terms for the Public-Private Partnership Development of La Jolla Innovation Center, San Diego Campus
- Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]*
- F3(X) Action Ground Lease and Space Lease Business Terms for the Aggie Square Phase 1 Mixed Use Residential, Campus Program Space, and Alice Waters Institute for Edible Education Project, UC Davis Sacramento Campus
- Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]*
- Committee membership: Regents Blum, Cohen, Estolano, Kounalakis, Leib, Makarechian (Chair), Muwwakkil, Park (Vice Chair), Reilly, and Sherman; Ex officio members Drake, Newsom, and Pérez; Chancellors Christ, Gillman, Hawgood, Khosla, Muñoz, and Wilcox; Advisory members Gauvain and Lott

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NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **May 12, 2021**
Time: **Upon adjournment of the closed session meeting¹**
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

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|-----------------|--------|--|
| | Action | Approval of the Minutes of the Meeting of March 17, 2021 |
| F4 ² | Action | Design Following Action Pursuant to the California Environmental Quality Act, Aggie Square Phase 1 Mixed Use Residential and Alice Waters Institute for Edible Education Project and Budget, Scope, and External Financing, Campus Program Space Tenant Improvements, UC Davis Sacramento Campus |
| F5 ³ | Action | Budget, Scope, External Financing, and Design Following Action Pursuant to the California Environmental Quality Act, Aggie Square Phase 1 Science and Technology East and Lifelong Learning Tenant Improvements, UC Davis Sacramento Campus |
| F6 | Action | Design Following Action Pursuant to the California Environmental Quality Act, La Jolla Innovation Center, San Diego Campus |
| F7 | Action | Fiscal Year 2021-22 Budget for the University of California Office of the President |

...continued

Committee membership: Regents Blum, Cohen, Estolano, Kounalakis, Leib, Makarechian (Chair), Muwwakkil, Park (Vice Chair), Reilly, and Sherman; Ex officio members Drake, Newsom, and Pérez; Chancellors Christ, Gillman, Hawgood, Khosla, Muñoz, and Wilcox; Advisory members Gauvain and Lott; Staff Advisor Jeffrey

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² Of interest to the Health Services Committee

³ Of interest to the Health Services Committee

| | | |
|------------------|-------------|---|
| F8 | Action | Consent Agenda: |
| | | <p>A. Budget, Scope, External Financing, and Design Following Action Pursuant to the California Environmental Quality Act, Mann Laboratory, Jungerman Hall, Sprocket Building, Voorhies Hall, Young Hall, and the Social Sciences and Humanities Building Seismic Improvements, Davis Campus</p> <p>B.4 Preliminary Plans Funding, Sacramento Ambulatory Surgery Center, UC Davis Health, Davis Campus</p> <p>C.5 Preliminary Plans Funding, Parnassus Research and Academic Building and West Campus Site Improvements, San Francisco Campus</p> <p>D. Adoption of Expenditure Rate for the General Endowment Pool</p> <p>E. Adoption of Endowment Administration Cost Recovery Rate</p> |
| F9 | Discussion | 2021 Long Range Development Plan and Physical Design Framework, Berkeley Campus |
| F10 | Discussion | 2021 Long Range Development Plan and Physical Design Framework, Riverside Campus |
| F11 | Information | Albany Village Graduate Student Housing, Berkeley Campus |
| F12 ⁶ | Information | Viterbi Family Vision Research Center, San Diego Campus |

⁴ Of interest to the Health Services Committee

⁵ Of interest to the Health Services Committee

⁶ Of interest to the Health Services Committee

Revised
Deletions shown by strikethrough

NOTICE OF MEETING

The Regents of the University of California

Date: **May 13, 2021**
Time: **8:30 a.m.¹**
Locations: **Teleconference Meeting Conducted in Accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Open Session

Public Comment Period (20 minutes)²

Approval of the Minutes of the Meetings of **March 17 and 18, 2021**

Remarks from Student Associations

- B1 Discussion **Update of COVID-19 Impact on the University of California: UC Health Issues**
- B2 Discussion **Strategic Plan and Fiscal Year 2021-22 Budget For UC Health Division, Office of the President**
- B3 Discussion **UC Small Business Forward Program Update**

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B4 Discussion [Multi-Year Tuition and Financial Aid Plan](#)

~~B5 Discussion [Update on the Governor's May Revision to the 2021-22 Budget](#)~~

Committee Reports Including Approvals of Recommendations from Committees:

Academic and Student Affairs Committee

Finance and Capital Strategies Committee

Governance Committee

Health Services Committee (meeting of April 6, 2021)

Investments Committee

Public Engagement and Development

Special Committee on Nominations (meeting of April 26, 2021)

Resolutions in Appreciation

Officers' and President's Reports:

[Report of Materials Mailed Between Meetings](#)

[Report of Interim, Concurrence, and Committee Actions](#)

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **May 13, 2021**
Time: **Upon adjournment of the open session meeting¹**
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

Action Approval of the Minutes of the Meetings of March 18, 2021 and April 2, 2021

Committee Reports Including Approval of Recommendations from Committees:

Compliance and Audit Committee

Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]

Personnel matters [Education Code §92032(b)(7)]

Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]

Finance and Capital Strategies Committee

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

Governance Committee

Closed Session Statute Citations: Collective bargaining matters [Government Code §3596(d)]

Health Services Committee (meeting of April 6, 2021)

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

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Officers' and President's Reports:

Personnel Matters

Report of Materials Mailed Between Meetings

Report of Interim, Concurrence, and Committee Actions

Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]

Acquisition or disposition of property [Education Code §92032(b)(6)]

Personnel matters [Education Code §92032(b)(7)]