

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON COMPLIANCE AND AUDIT

Date: **May 10, 2016**
Time: **1:30 p.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Closed Session – Regents Only²

	Action	Approval of the Minutes of the Meeting of March 23, 2016
A1(XX)	Discussion	Compliance and Audit Personnel Matters
A2(XX)	Discussion	Pending Litigation

Committee membership: Regents Gorman, Makarechian, Ortiz Oakley, Oved, Sherman (Vice Chair), and Zettel (Chair); Ex officio members Brown, Lozano, and Varner; Advisory member Chalfant

¹ All times indicated and the order of business are approximate and subject to change.

² Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]
Personnel matters [Education Code §92032(b)(7)]

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA MEETING AS A COMMITTEE OF THE WHOLE

Date: **May 10, 2016**
Time: **1:40 p.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Open Session

Public Comment Period² (20 minutes)

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, May 5, 2016.

Guidelines

In fairness to all who wish to address the Committee, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON COMPLIANCE AND AUDIT

Date: **May 10, 2016**
Time: **2:00 p.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Open Session

	Action	Approval of the Minutes of the Meeting of March 24, 2016
A3	Discussion	Internal Audit Activities Report
A4	Discussion	Draft Internal Audit Plan for 2016-17
A5	Discussion	Ethics and Compliance Activities Report
A6	Action	External Audit Plan for the Year Ending June 30, 2016
A7	Discussion	Update on State Audit of Budget, Enrollment, and Executive Compensation

Committee membership: Regents Gorman, Makarechian, Ortiz Oakley, Oved, Sherman (Vice Chair), and Zettel (Chair); Ex officio members Brown, Lozano, and Varner; Advisory member Chalfant; Staff Advisors Acker and Richmond

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON GROUNDS AND BUILDINGS

Date: **May 10, 2016**
Time: **3:00 p.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Open Session

	Action	Approval of the Minutes of the Meeting of March 24, 2016
GB1	Discussion	President’s Student Housing Initiative
GB2	Discussion	Update on Student and Trainee Housing Strategy and Discussion of Minnesota Street Student and Trainee Housing, San Francisco
GB3	Action	Approval of Design Pursuant to California Environmental Quality Act for the Stiles Student Resident Hall Project, Berkeley Campus
GB4	Discussion	Overview of Capital Priorities, San Diego Campus
GB5	Action	Approval of Preliminary Plans Funding, Nuevo West Graduate Student Housing, San Diego Campus
GB6	Discussion	Plans for the Geffen Academy, Los Angeles Campus
GB7	Action	Approval of Preliminary Plans Funding, Anderson School of Management Addition, Los Angeles Campus
GB8	Action	Approval of the Budget and of External Financing, Medical Center Electrical Plant, Irvine Campus

Committee Membership: Regents Davis, Elliott, Makarechian (Chair), Oved, Pérez, Sherman (Vice Chair), and Zettel; Ex officio members Brown, Lozano, Napolitano, and Varner; Advisory members Hare and Schroeder; Staff Advisors Acker and Richmond

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA MEETING AS A COMMITTEE OF THE WHOLE

Date: **May 11, 2016**
Time: **8:30 a.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Open Session

Public Comment Period² (20 minutes)

Remarks of the Chairman of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

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NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON FINANCE

Date: **May 11, 2016**
Time: **9:30 a.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Open Session

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| | Action | Approval of the Minutes of the Meeting of March 23, 2016 |
| F1 | Action | Consent Agenda |
| | A. ² | Adoption of Expenditure Rate for the General Endowment Pool |
| | B. | Adoption of Endowment Administration Cost Recovery Rate |
| | C. ³ | Authority to Indemnify the City and County of San Francisco for an Encroachment Permit for Parnassus Avenue Streetscape Improvements, San Francisco Campus |
| | D. | Authority to Indemnify the State of California, Department of Transportation for Encroachment Permit Related to Posting Signage for UC Davis Tahoe City Field Station, Davis Campus |
| | E. | Approval of Indemnification Term in Data Request and Release Process for Non-Disclosure Agreement with Energy Utilities, Davis Campus |
| F2 | Discussion | Update on the 2016-17 State Budget |
| F3 | Action | Establishment of Professional Degree Supplemental Tuition for Two Graduate Professional Degree Programs |

Committee membership: Regents Blum, Davis, Gould, Island, Kieffer (Chair), Makarechian (Vice Chair), Newsom, Ortiz Oakley, and Reiss; Ex officio members Brown, Lozano, Napolitano, and Varner; Advisory members Hare and Ramirez; Staff Advisors Acker and Richmond

¹ All times indicated and the order of business are approximate and subject to change.

² Of interest to the Committee on Investments

³ Of interest to the Committee on Grounds and Buildings

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON EDUCATIONAL POLICY

Date: **May 11, 2016**
Time: **11:00 a.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Open Session

	Action	Approval of the Minutes of the Meeting of March 23, 2016
E1	Action	Establishment of Policy on Student-Athletes and Guiding Principles to Enhance Student-Athlete Welfare
E2	Discussion	Update on Undergraduate Financial Aid at the University of California
E3	Discussion	Annual Accountability Sub-Report on Diversity at the University of California

Committee membership: Regents Elliott (Vice Chair), Gorman, Gould, Island (Chair), Kieffer, Lansing, Newsom, Ortiz Oakley, Oved, and Reiss; Ex officio members Brown, Lozano, Napolitano, Torlakson, and Varner; Advisory members Brody, Chalfant, Ramirez, and Schroeder; Staff Advisors Acker and Richmond

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON COMPENSATION

Date: **May 11, 2016**
Time: **1:30 p.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Closed Session²

	Action	Approval of the Minutes of the Meeting of March 23-24, 2016
C1(X)	Discussion	Collective Bargaining Matters
C2(X)	Discussion	Appointment of and Compensation for Interim Vice Chancellor – Academic Personnel, Los Angeles Campus

Committee membership: Regents Elliott, Gould, Island, Kieffer, Lansing, Ortiz Oakley (Vice Chair), Pattiz, Reiss (Chair), and Sherman; Ex officio members Brown, Lozano, Napolitano, and Varner; Advisory members Chalfant and Ramirez

¹ All times indicated and the order of business are approximate and subject to change.

² Closed Session Statute Citation: Collective bargaining matters [Government Code §3596(d)]
Personnel matters [Education Code §92032(b)(7)]

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON COMPENSATION

Date: **May 11, 2016**
Time: **1:45 p.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Closed Session – Regents Only²

	Action	Approval of the Minutes of the Meeting of March 23, 2016
C3(XX)	Discussion	Appointment of and Compensation Using Non-State Funds for Senior Vice President – Research Innovation and Entrepreneurship, Office of the President

Committee membership: Regents Elliott, Gould, Island, Kieffer, Lansing, Ortiz Oakley (Vice Chair), Pattiz, Reiss (Chair), and Sherman; Ex officio members Brown, Lozano, Napolitano, and Varner; Advisory members Chalfant and Ramirez

¹ All times indicated and the order of business are approximate and subject to change.

² Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON FINANCE

Date: **May 11, 2016**
Time: **2:00 p.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Closed Session – Regents Only²

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| | Action | Approval of the Minutes of the Meeting of March 23, 2016 |
| F4(XX) ³ | Action | Business Terms for Amendment of Ground Lease for Inclusion Area Land with Irvine Campus Housing Authority, Irvine Campus |
| F5(XX) | Discussion | Planning Process and Associated Workforce Considerations, Berkeley Campus |
| F6(XX) | Action | Recommendations for Board Action |
| | | 1. Kennedy v. Regents, et al. – Proposed Settlement – Medical Malpractice – Davis |
| | | 2. Lopez-Odell v. Regents – Proposed Settlement – Medical Malpractice – San Francisco |

...continued

Committee membership: Regents Blum, Davis, Gould, Island, Kieffer (Chair), Makarechian (Vice Chair), Newsom, Ortiz Oakley, and Reiss; Ex officio members Brown, Lozano, Napolitano, and Varner; Advisory members Hare and Ramirez

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² Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]
Acquisition or disposition of property [Education Code §92032(b)(6)]

³ Of interest to the Committee on Grounds and Buildings

3. Miranda v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles
 4. Reed v. Regents, et al. – Proposed Settlement – Medical Malpractice – Los Angeles
- F7(XX) Discussion Update On Sexual Harassment and Sexual Violence Matters
- F8(XX) Discussion Appellate, Trial Court Developments and Updates
1. ADLOUNI v. REGENTS – Stipulated Case Management Order Filed – Confidentiality of Medical Information Act – Los Angeles
 2. BERKELEY CEMENT v. REGENTS – Costs Awarded to the University – Breach of Contract – Merced
 3. BROOME, et al. v. REGENTS – Class Certification Briefing Scheduled – Breach of Contract, Promissory and Equitable Estoppel, and Breach of Fiduciary Duty – Office of the President
 4. DEFEND AFFIRMATIVE ACTION PARTY, et al. v. REGENTS, et al. – Complaint Filed and Temporary Restraining Order Denied – Free Speech and Due Process Challenge to Student Elections – Berkeley
 5. DOE v. REGENTS – Oral Argument Scheduled – Personal Injury – Santa Barbara
 6. DOE v. REGENTS – Extension on Plaintiff’s Appellate Brief – Student Sexual Misconduct – San Diego – and similar actions at Los Angeles, Davis, Berkeley, and Santa Barbara
 7. FEDERATED UNIVERSITY POLICE OFFICERS’ ASSOCIATION, et al. v. REGENTS, et al. – Class Certification Hearing Continued – Claim by Police Officers of Illegal Recording Devices – Irvine
 8. FELARCA, et al. v. BIRGENEAU, et al. – Trial Court Proceedings Stayed Pending Appeal – Claims Arising from Police Response to Campus Protests – Berkeley
 9. FENIMORE, et al. v. REGENTS, et al. – Court of Appeal Opinion – Medical Malpractice – Los Angeles
 10. GILANSHAH v. REGENTS, et al. – Defense Trial Verdict – Medical Malpractice – Irvine
 11. GRANEY v. REGENTS – Notice of Appeal Filed – Petition for Writ of Mandate Regarding Termination Hearing – San Francisco
 12. HENRY v. REGENTS – Judgment for University Affirmed on Appeal – Employment Discrimination – San Francisco

13. JONES v. WANG, et al. – Ninth Circuit Court of Appeals Order Deferring En Banc Rehearing of Appeal – Medical Malpractice, Violation of Constitutional Rights – Los Angeles
14. MAIDHOF v. CELAYA – Ninth Circuit Denies Petition for Rehearing – Civil Rights – Berkeley
15. MCMATH, et al. v. CHILDREN’S HOSPITAL OAKLAND, et al. – Rulings on Motions – Medical Malpractice, Wrongful Death – San Francisco
16. MISSION BAY ALLIANCE, et al. v. HAWGOOD – Motion to Transfer Venue Denied – Petition to Court of Appeal Pending – Taxpayer Petition for Writ of Mandate Challenging Memorandum of Understanding – San Francisco
17. OMIDI v. REGENTS – University’s Demurrer Sustained without Leave to Amend – Employment – San Diego
18. REGENTS v. AISEN AND USC – Denial of Motion to Dismiss Cross-Complaint – Breach of Fiduciary Duty – San Diego
19. REGENTS v. LAKE – Trial Stage Completed – Breach of Contract (for Damages) and Peremptory Writ of Mandate (to Compel the Processing and Payment of Valid Claims for Medical Services) – Davis Health System
20. ROSEN v. REGENTS – Plaintiff’s Opening Brief on Appeal Filed – Negligence – Los Angeles
21. ROSS v. REGENTS – Motion for Summary Judgment Denied and Trial Date Set – Employment – Los Angeles
22. STERLING v. STERLING, et al. – United States District Court Order in Favor of Defendants on Motions to Dismiss – Los Angeles
23. XU v. YAMANAKA – Petition for Writ of Certiorari Denied – Slander of Title and Defamation – San Francisco
24. General Counsel’s Update on Pending or Threatened Litigation

F9(XX) Information Settlements and Separation Agreements under Delegated Authority Reported from February 8, 2016 to April 4, 2016

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **May 11, 2016**
Time: **3:00 p.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Closed Session – Regents Only²

Action Approval of the Minutes of the Meeting of March 23, 2016

Reports of Committees:

FXX Report: Action Committee on Finance

Officers' and President's Reports:

Report of Interim, Concurrence, and Committee Actions
Personnel Matters

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² Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]
Acquisition or disposition of property [Education Code §92032(b)(6)]

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA MEETING AS A COMMITTEE OF THE WHOLE

Date: **May 12, 2016**
Time: **8:30 a.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Open Session

Public Comment Period² (20 minutes)

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NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON OVERSIGHT OF THE DEPARTMENT OF ENERGY LABORATORIES

Date: **May 12, 2016**
Time: **8:50 a.m.**¹
Location: **Sacramento Convention Center
1400 J Street, Sacramento**

Agenda – Open Session

	Action	Approval of the Minutes of the Meeting of March 23, 2016
O1	Discussion	Update on the Department of Energy Laboratories and Presentation on Predictive Medicine Using Computing

Committee Membership: Regents Blum, Davis, De La Peña (Vice Chair), Kieffer, Newsom, Pattiz (Chair), Reiss, and Zettel; Ex officio members Brown, Lozano, Napolitano, and Varner; Advisory member Chalfant

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NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON GOVERNANCE

Date: **May 12, 2016**
Time: **9:15 a.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda –Open Session

	Action	Approval of the Minutes of the Meeting of January 21, 2016
G1	Discussion	Board Governance Restructure and Bylaws Revision

Committee Membership: Regents Blum, Gould (Chair), Lansing, Reiss (Vice Chair), Sherman, and Varner; Ex officio member Brown

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NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON COMPENSATION

Date: **May 12, 2016**
Time: **11:00 a.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Open Session

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| | Action | Approval of the Minutes of the Meeting of March 24, 2016 |
| C2 | Action | Approval of Appointment of and Compensation for Interim Vice Chancellor – Academic Personnel, Los Angeles Campus as Discussed in Closed Session |
| C3 | Action | Approval of Appointment of and Compensation Using Non-State Funds for Senior Vice President – Research Innovation and Entrepreneurship, Office of the President as Discussed in Regents Only Session |

Committee membership: Regents Elliott, Gould, Island, Kieffer, Lansing, Ortiz Oakley (Vice Chair), Pattiz, Reiss (Chair), and Sherman; Ex officio members Brown, Lozano, Napolitano, and Varner; Advisory members Chalfant and Ramirez

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NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **May 12, 2016**
Time: **11:15 a.m.**¹
Location: **Sacramento Convention Center**
1400 J Street, Sacramento

Agenda – Open Session

Roll Call

Approval of the Minutes of the Meeting of March 24, and the Meetings of the Committee of the Whole of March 23 and 24, 2016

Report of the President

Resolutions in Appreciation

Reports of Committees:

A Report:	Action	Committee on Compliance and Audit
C Report:	Action	Committee on Compensation
E Report:	Action	Committee on Educational Policy
F Report:	Action	Committee on Finance
G Report:	Action	Committee on Governance
GB Report:	Action	Committee on Grounds and Buildings
I Report:	Action	Committee on Investments

Officers' and President's Reports:

Report of Interim, Concurrence, and Committee Actions
Report of Communications Received
Report of Materials Mailed Between Meetings

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