

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON COMPLIANCE AND AUDIT

Date: **May 5, 2011**
Time: **11:20 a.m.**¹
Locations: **1111 Franklin Street, Room 11326, Oakland**
1130 K Street, Suite 340, Sacramento
Henley Board Room, 3104 Mosher Alumni House, Santa Barbara Campus
6840 Carothers Parkway, Franklin, Tennessee
Calle San Magin 1, Palma de Mallorca, Spain

Live audio Internet broadcasts of the open sessions are available during the open session meetings. Please use this link to connect to the audio streaming during the meeting:
http://california.granicus.com/ViewPublisher.php?view_id=2

Agenda – Open Session

Public Comment Period²

	Action	Approval of the Minutes of the Meeting of March 15, 2011
A3	Action	Approval of Internal Audit Charter
A4	Discussion	Summary of Results of the University's 2010 A-133 Audit

...continued

Committee membership: Regents Cheng, Crane, Hime, Island, Makarechian, Ruiz (Chair), and Zettel; Ex officio members Brown and Gould; Advisory members Pelliccioni and Simmons

¹ Please note that starting times following the first scheduled session are estimates. If a session ends earlier than expected, the next scheduled session may convene immediately. Closed sessions may be convened earlier in the day if time permits.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, April 28.

Guidelines

In fairness to all who wish to address the Committee, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced.

A5	Discussion	University of California Strategic Information Technology Initiatives
A6	Discussion	Enhancing Sponsored Funding Compliance and Accountability, Riverside Campus
A7	Discussion	Draft Annual Report on Internal Audit Plans 2011-12
A8	Discussion	Draft Ethics and Compliance Plan 2011-12
A9	Discussion	Report on Training Related to Campus Climate
A10	Discussion	External Regulatory Agency Audits