

NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date: **March 13, 2018**
Time: **2:00 p.m.**¹
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

Public Comment Period²

	Action	Approval of the Minutes of the Meeting of November 14, 2017
I-1	Discussion	Update on Investment Products
I-2	Action	Amendment and Rescission of Regents Policies on Investment Matters

Investments Subcommittee Membership: Regents Anguiano, Lemus, Sherman (Chair), and Zettl (Vice Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Anderson and May

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, March 8, 2017.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date: **March 13, 2017**
Time: **Upon adjournment of the open session meeting¹**
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Closed Session

Action	Approval of the Minutes of the Meeting of May 16, 2017
I-3(X) Discussion	Update on the Office of the Chief Investment Officer Regarding Organization and Personnel

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

Investments Subcommittee Membership: Regents Anguiano, Lemus, Sherman (Chair), and Zettel (Vice Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Anderson and May

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **March 14, 2018**
Time: **8:30 a.m.**¹
Location: **Centennial Ballroom A&B, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

Public Comment Period²

Approval of the Minutes of the Meeting of January 24, 2018

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, March 8, 2018.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

NOTICE OF MEETING

The Regents of the University of California

PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE

Date: **March 14, 2018**
Time: **9:30 a.m.**¹
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

- | | | |
|----|------------|--|
| | Action | Approval of the Minutes of the Meeting of January 24, 2018 |
| P1 | Discussion | Federal Issues Update |
| P2 | Discussion | Overview of UC Advocacy Efforts in Sacramento 2018 |
| P3 | Discussion | Community Outreach and Impacts, Irvine Campus |
| P4 | Discussion | University of California ClioMetric History Project |

Committee Membership: Regents Blum, Lansing (Vice Chair), Mancina, Monge, Ortiz Oakley, Park, and Reiss; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Block, Christ, Hawgood, Leland, May, and Wilcox; Advisory members May and Morimoto; Staff Advisor Main; Student Advisor Sands

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **March 14, 2018**
Time: **9:30 a.m.**¹
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Open Session

	Action	Approval of the Minutes of the Meeting of January 24, 2018
C1	Action	Approval of the External Audit Plan for the Year Ending June 30, 2018
C2	Action	Reappointment of Expert Advisor to the Compliance and Audit Committee
C3	Discussion	Summary Results of the University's 2017 Audit of Compliance for Federal Awards (Uniform Guidance)
C4	Discussion	Report on Independent Assessment of Audit Implementation Status

Committee membership: Regents Anguiano, Elliott (Vice Chair), Lemus, Makarechian, Newsom, Pérez, Tauscher, and Zettel (Chair); Ex officio members Brown and Kieffer; Chancellors Blumenthal, Gillman, Khosla, and Yang; Advisory members Anderson, Graves, and White; Staff Advisor Valdry

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **March 14, 2018**
Time: **Upon adjournment of the open session meeting¹**
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of January 24, 2018

C5(X) Discussion Legal Issues Regarding Audit Requests

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C6(X) Discussion Legal Issues Regarding Student Health Records Privacy

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C7(X) Discussion Update on Investigation by the Department of Insurance

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C8(X) Discussion Appellate, Trial Court Developments and Updates

1. CAMBRIDGE RETIREMENT SYSTEM v. WILLIS TOWERS WATSON plc, et al. – Lead Plaintiff Motion Granted – Affirmative Recovery – Office of the President
2. CHEN v. REGENTS, et al. – Defense Trial Verdict – Discrimination/Retaliation – Los Angeles

...continued

Committee membership: Regents Anguiano, Elliott (Vice Chair), Lemus, Makarechian, Newsom, Pérez, Tauscher, and Zettel (Chair); Ex officio members Brown and Kieffer; Chancellors Blumenthal, Gillman, Khosla, and Yang; Advisory members Anderson, Graves, and White

¹ All times indicated and the order of business are approximate and subject to change.

3. CLARENDON v. REGENTS, et al. – Complaint Filed – Sexual Battery, Negligence and Intentional Infliction of Emotional Distress – Berkeley
4. DOE v. REGENTS, et al. – Summary Judgment Granted in Favor of Regents – Negligent Supervision – San Diego
5. GHEZAVAT v. REGENTS – Defense Trial Verdict – Medical Malpractice – San Francisco
6. HAGEN v. REGENTS – Trial Commencement – Disability Discrimination – San Francisco
7. JENNINGS v. REGENTS – Complaint Filed – Violation of First Amendment and Personal Injury – Berkeley
8. JONES v. WANG – Ninth Circuit Decision Granting Qualified Immunity – Professional Liability – Los Angeles
9. KRISHNA LUNCH OF SOUTHERN CALIFORNIA, INC., et al. v. REGENTS, et al. – Motion to Dismiss Third Amended Complaint Granted with Prejudice – First Amendment – Los Angeles
10. MARTINEZ v. REGENTS – Defense Trial Verdict – Retaliation and Battery – Davis Medical Center
11. PALMER v. REGENTS – Plaintiff’s Trial Verdict – Personal Injury – Santa Cruz
12. PATEL v. REGENTS – Defense Trial Verdict – Professional Liability – Los Angeles
13. PINTER-BROWN v. REGENTS, et al. – Plaintiff’s Trial Verdict – Gender Discrimination – Los Angeles
14. REGENTS, et al. v. U.S. DEPARTMENT OF HOMELAND SECURITY, et al. – Defendants’ Motion to Dismiss Further Granted and Denied in Part; Notice of Appeal Filed in Ninth Circuit; Petition for Certiorari Before Judgment Denied – Administrative Procedures Act and Due Process Claims Challenging Rescission of DACA – Systemwide
15. SINGH v. REGENTS – Summary Judgment Granted – National Origin Discrimination – Los Angeles
16. SUNDQUIST, et al. v. RECONSTRUCT COMPANY, N.A., et al. – Proposed Charitable Contribution Subsequent to Settlement – Affirmative Recovery – Berkeley, Davis, Irvine, and Los Angeles
17. YALLEY, et al. v. LIBERTY LIFE ASSURANCE COMPANY OF BOSTON, et al. – Demurrer Sustained with Leave to Amend – Workers’ Compensation Benefits – Systemwide
18. YOUNG AMERICANS FOR LIBERTY AT UNIVERSITY OF CALIFORNIA, BERKELEY v. NAPOLITANO, et al. – Complaint Filed – First Amendment – Berkeley

19. YOUNG AMERICA’S FOUNDATION et al. v. NAPOLITANO, et al. – Second Motion to Dismiss Heard – Constitutional Claims Regarding Campus Speakers – Berkeley
20. ZANONI v. REGENTS – Defense Trial Verdict – Professional Liability – San Francisco
21. General Counsel’s Update on Pending or Threatened Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C9(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from December 5, 2017 to January 31, 2018

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **March 14, 2018**
Time: **11:30 a.m.¹**
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

- | | | |
|----|------------|---|
| | Action | Approval of the Minutes of the Meeting of January 24, 2018 |
| G1 | Action | Amendment and Rescission of Certain Regents' and Other Policies Pertaining to Senior Management Group Compensation |
| G2 | Action | Approval of Market Reference Zones for Certain Senior Management Group Positions |
| G3 | Action | Amendment of Finance and Capital Strategies Committee Charter |
| G4 | Discussion | Update of University of California Office of the President Audit of Administrative Expenditures Salary-Related Implementation Workstreams 1 Through 3 |
| G5 | Discussion | Update of University of California Office of the President Audit of Administrative Expenditures on Workforce Planning |

Committee membership: Regents Blum, Elliott, Lansing, Makarechian, Ortiz Oakley (Vice Chair), Pérez, Reiss, Sherman (Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **March 14, 2018**
Time: **Upon adjournment of the open session meeting¹**
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of January 24, 2018

G6(X) Discussion Collective Bargaining Matters

*Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]*

Committee membership: Regents Blum, Elliott, Lansing, Makarechian, Ortiz Oakley (Vice Chair), Pérez, Reiss, Sherman (Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: **March 14, 2018**
Time: **1:00 p.m.¹**
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

- | | | |
|----|------------|---|
| | Action | Approval of the Minutes of the Meeting of January 24, 2018 |
| A1 | Action | Approval of Multi-year Plans for Professional Degree Supplemental Tuition |
| A2 | Discussion | Graduate Education and University of California Excellence |
| A3 | Discussion | UC Grad Slam: Making Game-Changing University of California Research Accessible to Everyone |
| A4 | Discussion | The University's Transcript Evaluation Service |
| A5 | Discussion | Introduction to Academic Quality Indicators of the University |

Committee membership: Regents Elliott, Lansing, Mancina, Monge, Newsom, Ortiz Oakley, Pérez (Chair), Reiss, Rendon, and Tauscher (Vice Chair); Ex officio members Brown, Kieffer, Napolitano, and Torlakson; Chancellors Block, Christ, Leland, Wilcox, and Yang; Advisory members Graves and White; Staff Advisor Main

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

NATIONAL LABORATORIES SUBCOMMITTEE

Date: **March 14, 2018**
Time: **Upon adjournment of the Academic and Student Affairs Committee¹**
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

Action	Approval of the Minutes of the Meeting of January 24, 2018
N1	Discussion Update on the National Laboratories

Committee Membership: Regents Mancina, Napolitano, Newsom, Ortiz Oakley, Tauscher (Chair), and Zettel (Vice Chair); Ex officio member Pérez; Chancellors Block, Christ, and Yang; Advisory member White

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **March 14, 2018**
Time: **1:00 p.m.**¹
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Closed Session

- | | | |
|-------|--------|---|
| | Action | Approval of the Minutes of the Meeting of November 15, 2017 |
| F1(X) | Action | Approval of Acceptance of Gift of Real Property; Approval of Transfer in Exchange of Real Property; Approval of Delegation of Authority to President Regarding Authority Over and Disposition of the Property; Approval of Indemnification Clauses; and Approval of Procurement of Property Management Services, San Francisco Campus |

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

- | | | |
|-------|--------|--|
| F2(X) | Action | Affiliation with a Bay Area Community Hospital, San Francisco Campus |
|-------|--------|--|

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

Committee membership: Regents Anguiano, Blum, Lemus, Makarechian (Chair), Park, Rendon, Sherman (Vice Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Anderson, May, and Morimoto

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **March 14, 2018**
Time: **Upon adjournment of the closed session meeting¹**
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Open Session

- | | | |
|----|--------|---|
| | Action | Approval of the Minutes of the Meeting of January 24, 2018 |
| F3 | Action | Approval of Design following Action Pursuant to the California Environmental Quality Act for the North Torrey Pines Living and Learning Neighborhood and Ridge Walk Academic Complex Projects, San Diego Campus |
| F4 | Action | Approval of Budget, External Financing, and Design following Action Pursuant to the California Environmental Quality Act, Emerson Hall Replacement, Davis Campus |
| F5 | Action | Approval of Undergraduate Nonresident Supplemental Tuition and Adjustments of the Employer Contribution to the University of California Retirement Plan |
| F6 | Action | Approval of Budget and Design following Action Pursuant to California Environmental Quality Act, Northern Regional Library Facility Phase 4 Expansion, Systemwide |

...continued

Committee membership: Regents Anguiano, Blum, Lemus, Makarechian (Chair), Park, Rendon, Sherman (Vice Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Anderson, May, and Morimoto; Staff Advisor Valdry; Student Advisor Sands

¹ All times indicated and the order of business are approximate and subject to change.

F7	Action	Authorization to Approve Formation of Cell Captive Insurance Companies
F8	Discussion	Significant Information Technology Projects Report
F9	Discussion	Budget Categories and Definitions: Systemwide Programs and Presidential Initiatives
F10	Discussion	University of California Office of the President Fund Restrictions
F11	Discussion	University of California Office of the President Fiscal Year 2018-19 Budget Process and Budget Presentation Prototype
F12	Discussion	UCPath Update
F13	Discussion	Orchard Park Family Housing and Graduate Student Housing Redevelopment Project and West Village Transfer Student Housing Project, Davis Campus
F14	Discussion	Student Housing West Housing Project, Santa Cruz Campus
F15	Discussion	Update on Three Housing Projects, Los Angeles Campus
F16	Discussion	Report on the Delegated Process for Capital Improvement Projects
F17	Action	Consent Agenda <ul style="list-style-type: none"> A. Regents Policies on Capital, External Financing, and Employee Housing Assistance Program Matters B. Amendment of the Budget and Approval of External Financing, Joan and Sanford I. Weill Neurosciences Building, San Francisco Campus C. Approval of Preliminary Plans Funding, Franklin Antonio Hall, San Diego Campus
F18	Information	Report of Budget to Actual Expenditures for First and Second Quarters Fiscal Year 2017-18 for the Office of the President

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **March 15, 2018**
Time: **9:15 a.m.**¹
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Closed Session

Action Approval of the Minutes of the Meeting and the Special Meeting of
January 25, 2018

Committee Reports Including Approval of Recommendations from Committees:

Compliance and Audit Committee

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Finance and Capital Strategies Committee

Approval of Acceptance of Gift of Real Property; Approval of Transfer in Exchange of Real Property; Approval of Delegation of Authority to President Regarding Authority Over and Disposition of the Property; Approval of Indemnification Clauses; and Approval of Procurement of Property Management Services, San Francisco Campus

Affiliation with a Bay Area Community Hospital, San Francisco Campus

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

Governance and Compensation Committee

*Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]*

Health Services Committee (meeting of February 6)

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

¹ All times indicated and the order of business are approximate and subject to change.

Investments Subcommittee

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

Officers' and President's Reports:

Personnel Matters

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **March 15, 2018**

Time: **9:30 a.m.**¹

Location: **Centennial Ballroom A&B, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

Public Comment²

Roll Call

Approval of the Minutes of the Special Meeting of January 24, 2018 and the Meeting of January 25, 2018.

Notable Honors and Achievements

Committee Reports Including Approval of Recommendations from Committees:

Academic and Student Affairs Committee

Approval of Multi-year Plans for Professional Degree Supplemental Tuition

Compliance and Audit Committee

Approval of the External Audit Plan for the Year Ending June 30, 2018

Reappointment of Expert Advisor to the Compliance and Audit Committee

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, March 8, 2018.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

Finance and Capital Strategies Committee

Approval of Design Following Action Pursuant to California Environmental Quality Act for the North Torrey Pines Living and Learning Neighborhood and Ridge Walk Academic Complex Projects, San Diego Campus

Approval of Budget, External Financing, and Design Following Action Pursuant to the California Environmental Quality Act, Emerson Hall Replacement, Davis Campus

Approval of Undergraduate Nonresident Supplemental Tuition and Adjustments of the Employer Contribution to the University of California Retirement Plan

Approval of Budget and Design Following Action Pursuant to California Environmental Quality Act, Northern Regional Library Facility Phase 4 Expansion

Authorization to Approve Formation of Cell Captive Insurance Companies

Consent Agenda

Regents Policies on Capital, External Financing, and Employee Housing Assistance Program Matters

Amendment of the Budget and Approval of External Financing, Joan and Sanford I. Weill Neurosciences Building, San Francisco Campus

Approval of Preliminary Plans Funding, Franklin Antonio Hall, San Diego Campus

Governance and Compensation Committee

Amendment and Rescission of Certain Regents' and Other Policies Pertaining to Senior Management Group Compensation

Approval of Market Reference Zones for Certain Senior Management Group Positions

Amendment of Finance and Capital Strategies Committee Charter

Health Services Committee (meeting of February 6, 2018)

Public Engagement and Development Committee

Investments Subcommittee

Amendment and Rescission of Regents Policies on Investment Matters

National Laboratories Subcommittee

- B1 Discussion Overview of the Cost Structure of the University of California**
- B2 Discussion Innovations in STEM Education and Creating Inclusive Classrooms: The
UCLA Experience**
- B3 Discussion A Review of the University of California's Undergraduate Financial Aid**

Officers' and President's Reports:

Report of Materials Mailed Between Meetings

Report of Interim, Concurrence, and Committee Actions