

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **July 20, 2022**
Time: **Upon adjournment of the open session meeting¹**
Locations: **Robertson Auditorium, UCSF–Mission Bay Conference Center,
1675 Owens Street, San Francisco**
**Teleconference meeting conducted in accordance with California
Government Code §§ 11133**

Agenda – Closed Session

- | | | |
|-------|------------|---|
| | Action | Approval of the Minutes of the Meeting of May 18, 2022 |
| C2(X) | Discussion | Update on Campus Active Shooter Policies and Training |
| | | <i>Closed Session Statute Citation: Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]</i> |
| C3(X) | Discussion | Update on the Pension Administration Project |
| | | <i>Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)] and Litigation [Education Code §92032(b)(5)]</i> |
| C4(X) | Action | Recommended Settlements for Board Action |
| | | 1. Cruz v. Regents – Proposed Settlement – Medical Malpractice – Irvine Medical Center |
| | | 2. Luis v. Regents – Proposed Settlement – Medical Malpractice – Davis Medical Center |

...continued

Committee membership: Regents Anguiano, Blas Pedral, Cohen, Elliott (Vice Chair), Makarechian, Park, Pérez, Pouchot, Rendon, Sherman, and Sures (Chair); Ex officio members Leib and Newsom; Chancellors Christ, Gillman, Hawgood, May, Khosla, and Yang; Advisory members Horwitz and Schini

¹ All times indicated and the order of business are approximate and subject to change.

3. Regents v. ExxonMobil et al. – Proposed Pre-Litigation Settlement – Derelict Facility Demolition and Environmental Remediation – Santa Barbara

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

- C5(X) Discussion Regents v. Buttgenbach, et al. – California False Claims Act Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

- C6(X) Discussion Appellate, Trial Court Developments and Updates

1. ALANIZI v. REGENTS – Trial Commencing – Medical Malpractice – Los Angeles Medical Center
2. AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES v. REGENTS, et al.; MAKE UC A GOOD NEIGHBOR et al. v. REGENTS, et al.; BERKELEY CITIZENS FOR A BETTER PLAN v. REGENTS, et al. – Temporary Restraining Order Application Denied – California Environmental Quality Act – Berkeley
3. AMERICA’S FRONTLINE DOCTORS, et al. v. CHANCELLOR WILCOX, CHANCELLOR GILLMAN, REGENTS, and PRESIDENT DRAKE – Case Dismissed – Challenge to COVID-19 Vaccine Policy – Office of the President
4. BURKS v. REGENTS – Trial Commencing – Medical Malpractice – San Francisco Medical Center
5. CERVANTES v. REGENTS, MENDOZA, BACAREZA – Trial Commencing – Military Discrimination and Retaliation – UC Irvine Health
6. DALO v. REGENTS – Trial Commencing – Medical Malpractice/Fraud – San Diego Health Center
7. DOES v. REGENTS, et al. – Lawsuits Pending – Sexual Misconduct – Los Angeles Medical Center and Campus Health
8. EAST MEADOW ACTION COMMITTEE v. REGENTS, et al. – Cases Settled – California Environmental Quality Act – Santa Cruz
9. FIES v. REGENTS – Trial Commencing – Medical Malpractice – Los Angeles Medical Center
10. HILLS CONSERVATION NETWORK v. REGENTS; CLAREMONT CANYON CONSERVANCY v.

- REGENTS – Appeal Pending – California Environmental Quality Act – Berkeley
11. JACKSON v. REGENTS – Trial Win – Employment Discrimination – San Diego
 12. LEROY v. REGENTS – Trial Loss – Medical Malpractice – Los Angeles Health Center
 13. PIZARRO v. REGENTS – Trial Commencing – Medical Malpractice – Los Angeles Medical Center
 14. POLZIN v. REGENTS – Trial Commencing – Failure to Hire – Los Angeles
 15. REGENTS v. BUTTGEBACH, et al. – Appeal Filed – False Claims Act Litigation – Office of the President
 16. REGENTS, et al. v. CITY OF SANTA CRUZ – Motion for Summary Judgment – Breach of Contract – Santa Cruz
 17. ROSENBERG v. REGENTS – Trial Commencing – Medical Malpractice – Los Angeles Medical Center
 18. SABAT v. REGENTS – Trial Commencing – Medical Malpractice – San Diego Medical Center
 19. SAVE BERKELEY’S NEIGHBORHOODS, et al. v. REGENTS, et al. – Trial Date Set – Breach of Covenants – Berkeley
 20. SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. (SBN I) – Motion for Judgment on the Pleadings – California Environmental Quality Act – Berkeley
 21. SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. (SBN II) – Appeal Pending – California Environmental Quality Act – Berkeley
 22. SEXUAL MISCONDUCT CASES (COMPLAINANTS) – Various Activity – All Campuses
 23. SEXUAL MISCONDUCT CASES (RESPONDENTS) – Various Activity – All Campuses
 24. SHERGILL v. REGENTS – Case Settled During Trial – Medical Malpractice/Battery – Los Angeles Health Center
 25. TUITION AND FEES REFUND CLASS ACTIONS – Appeal Filed – Claims Seeking Refunds Based on COVID-Related Actions – Systemwide
 26. WALTERS v. REGENTS – Complaint Filed – Alleged Failure to Provide 2021 COVID-19 Supplemental Sick Leave and Accurate Wage Statements – Los Angeles Medical Center
 27. GENERAL COUNSEL’S UPDATE ON LITIGATION

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C7(X) Information Settlements and Separation Agreements Under Delegated
Authority Reported from April 1, 2022 to May 31, 2022

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]