NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date: July 11, 2017
Time: 2:00 p.m.¹
Location: Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of May 16, 2017

I-1 Discussion Update on Investment Products

I-2 Discussion Review of Regents Policy 6102: General Endowment Pool Statement Review

I-3 Action Amendment of Regents Policy 6102: General Endowment Pool Statement Appendix 1 (Benchmarks)


I-5 Discussion Review of Regents Policy 6107: Endowment Administration Cost Recovery on Regents’ Assets (General Endowment Pool)

I-6 Discussion Review of Regents Policy 6201: Investment Policy for the University of California Campus Foundations

Investments Subcommittee Membership: Regents Lemus, Sherman (Chair), Varner, and Zettel (Vice Chair); Ex officio member Makarechian; Advisory member White; Chancellors Hawgood and Khosla

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING
The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date:  
July 11, 2017

Time:  
Upon adjournment of the open session meeting

Location:  
Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action  Approval of the Minutes of the Meeting of May 16, 2016

I-7(X) Discussion Update on the Office of the Chief Investment Officer Regarding Organization and Personnel

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

Investments Subcommitteee Membership: Regents Lemus, Sherman (Chair), Varner, and Zettel (Vice Chair); Ex officio member Makarechian; Advisory member White; Chancellors Hawgood and Khosla

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NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date:      July 12, 2017
Time:      8:30 a.m. 1
Location:  Robertson Auditorium
           UCSF–Mission Bay Conference Center
           1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment Period2 (20 minutes)

Approval of the Minutes of the Meeting of May 17, 2017

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

Notable Honors and Achievements

Committee Reports Including Approval of Recommendations from Committees:

   Special Committee to Select a Student Regent

   Appointment of 2018-19 Student Regent

1 All times indicated and the order of business are approximate and subject to change.

2 This session is for the purpose of receiving public comment on University-related matters. If you wish to address
   the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff
   of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the
   Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of
   Staff’s Office by 10 a.m. on Thursday, July 6, 2017.

Guidelines
In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and
announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the
next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time
to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the
amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able
to address the Regents.
NOTICE OF MEETING

The Regents of the University of California

PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE

Date: July 12, 2017
Time: 9:30 a.m.¹
Location: Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

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<td>Approval of the Minutes of the Meeting of May 17, 2017</td>
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<td>Discussion of a Public Outreach Campaign</td>
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<td>State Government Relations Update</td>
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<tr>
<td>Community Outreach and Impacts, San Francisco Campus</td>
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Committee Membership: Regents Blum, Lansing (Vice Chair), Lozano (Chair), Mancia, Monge, Ortiz Oakley, Pattiz, and Reiss; Ex officio members Brown, Kieffer, and Napolitano; Advisory member White; Chancellors Block, Christ, Hawgood, Leland, Wilcox; Interim Chancellor Hexter

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: July 12, 2017
Time: 9:30 a.m.¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

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<td>C1</td>
<td>Approval of Ethics and Compliance Program Plan for 2017-18</td>
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<td>C2</td>
<td>Approval of Internal Audit Plan for 2017-18</td>
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<tr>
<td>C3</td>
<td>Update on 60-Day Status Report on Implementation of Recommendations from State Audit of University of California Office of the President Administrative Expenditures</td>
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</table>

Committee membership: Regents De La Peña, Elliott (Vice Chair), Lemus, Makarechian, Newsom, Pérez, Sherman, Varner, and Zettel (Chair); Ex officio members Brown and Kieffer; Advisory member Chalfant; Chancellors Blumenthal, Gillman, Khosla, and Yang; Staff Advisor Valdry

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: July 12, 2017
Time: Upon adjournment of the open session meeting¹
Location: Robertson Auditorium
         UCSF–Mission Bay Conference Center
         1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of May 17, 2017

C4(X) Action Recommended Settlements for Board Action


2. Belliston v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles


Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

…continued

Committee membership: Regents De La Peña, Elliott (Vice Chair), Lemus, Makarechian, Newsom, Pérez, Sherman, Varner, and Zettel (Chair); Ex officio members Brown and Kieffer; Advisory member Chalfant; Chancellors Blumenthal, Gillman, Khosla, and Yang

¹ All times indicated and the order of business are approximate and subject to change.
C5(X) Discussion Sexual Violence and Sexual Harassment Litigation Overview

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

C6(X) Discussion Appellate, Trial Court Developments and Updates

1. **ANDERSON v. REGENTS** – Verdict for Regents – Disability Discrimination – Davis Medical Center
2. **CALIFORNIA BERRY CULTIVARS v. REGENTS** – Liability Phase of Trial Concluded in Regents’ Favor – Breach of Contract and Patent Infringement – Davis
4. **DE VRIES v. REGENTS** – Petition for Certiorari Filed – Taxpayer Injunction to Enjoin Nonresident Tuition Exemption and Financial Aid for Undocumented Immigrants – Systemwide
5. **DOE v. REGENTS** – Motion to Dismiss Denied – Student Sexual Misconduct – Santa Barbara
6. **DOE v. REGENTS** – Appeal – Administrative Mandate Challenging Student Discipline – Santa Barbara
7. **DOE v. REGENTS, VIRATA** – Adverse Ruling on Writ Petition – Student Death Threats – Riverside
8. **FERNANDEZ v. REGENTS** – Preliminary Settlement Approval Hearing Held – Consumer Privacy Statute – Los Angeles
9. **GAO v. REGENTS** – Trial Commencing – Medical Malpractice – Irvine
10. **HATTEN-MILHOLIN, et al. v. REGENTS and JOE BENGFORT** – Complaint Filed – Employment Discrimination – San Francisco
11. **HUMANE ARME and ANIMAL LEGAL DEFENSE FUND CRUELTY PREVENTION UNIT v. CALIFORNIA EXPOSITION AND STATE FAIRS and REGENTS** – Case Settled and Dismissed – Corporations Code Action Challenging Confinement of Pigs at State Fair – Davis
15. MARTIN v. REGENTS – Defense Trial Verdict – Medical Malpractice – Irvine
16. MISSION BAY ALLIANCE v. HAWGOOD – Settlement – Taxpayer Petition – San Francisco
17. NADAF-RAHROV v. REGENTS – Defense Trial Verdict – Medical Malpractice – San Francisco
18. NIXON v. REGENTS – Oral Argument Scheduled – Student Discipline – Santa Cruz
20. POLLOCK v. REGENTS – Verdict in Favor of Plaintiffs – Medical Malpractice – Los Angeles
21. REGENTS v. CALIFORNIA TRIALS LLC – Preliminary Injunction Granted – Fraud – Systemwide
22. REGENTS v. LAKE – Phase 3 Trial Scheduled – Breach of Contract (for Damages) and Peremptory Writ of Mandate (to Compel the Processing and Payment of Valid Claims for Medical Services) – Davis Health System
23. YOUNG AMERICA’S FOUNDATION, et al. v. JANET NAPOLITANO, et al. – Motion to Dismiss to be Filed – Constitutional Claims Regarding Campus Speakers – Berkeley
24. General Counsel’s Update on Pending or Threatened Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]
NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: July 12, 2017
Time: 11:30 a.m.¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of May 17, 2017

G1(X) Discussion Appointment of and Compensation for Senior Vice President and
Chief Compliance and Audit Officer, Office of the President

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

G2(X) Discussion Appointment of and Compensation for Provost and Executive Vice
President – Academic Affairs, Office of the President

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

G3(X) Action Appointment of Regents, Regents-Designate, and Faculty
Representatives to Standing Committees and Subcommittees for
2017-18

Closed Session Statute Citation: Nomination of officers and members
[Education Code §92032(e)]

…continued

Committee membership: Regents Blum, Elliott, Lansing, Ortiz Oakley (Vice Chair), Pattiz,
Pérez, Reiss (Chair), and Varner; Ex officio members Brown, Kieffer, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.
G4(X) Discussion Conferral of Emerita Title on Provost and Executive Vice President – Academic Affairs, Office of the President

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

G5(X) Discussion Conferral of Emeritus Title on Chancellor, Berkeley Campus

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

G6(X) Discussion Collective Bargaining Matters

Closed Session Statute Citation: Collective bargaining matters [Government Code §3596(d)]
NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: July 12, 2017
Time: Upon adjournment of the closed session meeting
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of May 17, 2017

G1 Action Approval of Appointment of and Compensation for
Senior Vice President and Chief Compliance and Audit Officer,
Office of the President as Discussed in Closed Session

G2 Action Approval of Appointment of and Compensation for Provost and
Executive Vice President – Academic Affairs, Office of the
President as Discussed in Closed Session

G3 Discussion Annual Reports on Compensated and Uncompensated Outside
Professional Activities for Calendar Year 2016, and Semi-Annual
Report on Outside Professional Activities Approved between
December 1, 2016 and May 31, 2017

Committee membership: Regents Blum, Elliott, Lansing, Ortiz Oakley (Vice Chair), Pattiz,
Pérez, Reiss (Chair), and Varner; Ex officio members Brown,
Kieffer, and Napolitano

1 All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING
The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: July 12, 2017
Time: 1:00 p.m.¹
Location: Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Closed Meeting of January 25, 2017

A1(X) Action Appointment of Regents Professor, Department of Political Science, Los Angeles Campus

Closed Session citation: Personnel matters [Education Code §92032(b)(7)]

Committee membership: Regents De La Peña, Elliott, Lansing, Lozano (Vice Chair), Mancia, Monge, Newsom, Ortiz Oakley, Pattiz, Pérez (Chair), Reiss, Rendon, and Zettel; Ex officio members Brown, Kieffer, Napolitano, and Torlakson; Advisory member Chalfant; Chancellors Block, Christ, Leland, Wilcox, and Yang

¹ All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: July 12, 2017
Time: 1:15 p.m. – upon adjournment of closed session
Location: Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the meeting of May 17, 2017

A2 Discussion Graduate Well-Being Survey

A3 Discussion Accountability Sub-report on Diversity: Graduate Academic Student Diversity Outcomes

A4 Action Establishment of Policy on Augmented Review in Undergraduate Admissions

A5 Discussion Update on Activity-Based Costing Pilot Studies

A6 Discussion Update on Regents Policy 3501: Student Athletes and Guiding Principles to Enhance Student-Athlete Welfare

Committee membership: Regents De La Peña, Elliott, Lansing, Lozano (Vice Chair), Mancia, Monge, Newsom, Ortiz Oakley, Pattiz, Pérez (Chair), Reiss, Rendon, and Zettel; Ex officio members Brown, Kieffer, Napolitano, and Torlakson; Advisory member Chalfant; Chancellors Block, Christ, Leland, Wilcox, and Yang; Staff Advisor Valdry

1 All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

NATIONAL LABORATORIES SUBCOMMITTEE

Date: July 12, 2017
Time: Upon adjournment of the Academic and Student Affairs Committee\(^1\)
Location: Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

**Action** Approval of the Minutes of the Meeting May 17, 2017

**N1 Action** Allocation of Los Alamos National Security, LLC and Lawrence Livermore National Security, LLC Fee Income to be Expended in Fiscal Year 2017-18

Committee Membership: Regents De La Peña (Vice Chair), Mancia, Napolitano, Newsom, Ortiz Oakley, Pattiz (Chair), and Zettel; Ex officio member Pérez; Chancellors Block, Christ, and Yang;

\(^1\) All times indicated and the order of business are approximate and subject to change.
NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date:       July 12, 2017
Time:       1:00 p.m.¹
Location:   Robertson Auditorium
           UCSF–Mission Bay Conference Center
           1675 Owens Street, San Francisco

Agenda – Closed Session

Action     Approval of the Minutes of the Meeting of May 17, 2017

F1(X)      Discussion       Update on the University’s Seismic Program

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Committee membership: Regents Blum, Lemus, Makarechian (Chair), Sherman (Vice Chair), Varner, and Zettel; Ex officio members Brown, Kieffer, Napolitano, and Rendon; Advisory member White; Chancellors Blumenthal, Gillman, Hawgood, and Khosla, and Interim Chancellor Hexter

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NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: July 12, 2017
Time: Upon adjournment of the closed session meeting 1
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Action Approval of the Minutes of the Meeting of May 17, 2017

F2 Action Consent Agenda

A. Fiscal Year 2017-18 Budget for the University of California Office of the President

B. Amendment of Regents Policy 6102: General Endowment Pool Statement Appendix 1 ( Benchmarks)

F3 Action Approval of Budget and External Financing, Nuevo East Student Housing Project, San Diego Campus

F4 Action Approval of Budget and External Financing, North Torrey Pines Living and Learning Neighborhood, San Diego Campus

F5 Action Approval of Preliminary Plans and Working Drawings Funds, Ridge Walk Academic Complex, San Diego Campus

…continued

Committee membership: Regents Blum, Lemus, Makarechian (Chair), Sherman (Vice Chair), Varner, and Zettel; Ex officio members Brown, Kieffer, Napolitano, and Rendon; Advisory member White; Chancellors Blumenthal, Gillman, Hawgood, and Khosla, and Interim Chancellor Hexter

1 All times indicated and the order of business are approximate and subject to change.
F6  Action  Approval of Funding Reallocation for Housing Assistance

F7  Action  Authorization to Increase the University Employer Contribution Rate, Make Additional Contributions to the University of California Retirement Plan, and Rescind the 70 Percent Floor for the University’s Aggregate Annual Contribution to the Retiree Health Benefit Program

F8  Discussion  Update on the University’s Seismic Program

F9  Discussion  Update on the University’s 2017-18 Budget

F10 Discussion  Northern Regional Library Facility Phase 4 Expansion
NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date:    July 13, 2017
Time:    8:30 a.m.¹
Location: Robertson Auditorium
         UCSF–Mission Bay Conference Center
         1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Special Meeting of May 11 and the Meeting of May 18, 2017

Committee Reports Including Approval of Recommendations from Committees:

 Academic and Student Affairs Committee

Appointment of Regents Professor, Department of Political Science, Los Angeles Campus

Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]

 Compliance and Audit Committee

Recommended Settlements for Board Action

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

 Finance and Capital Strategies Committee

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

 Governance and Compensation Committee

Conferral of Emerita Title on Provost and Executive Vice President – Academic Affairs, Office of the President

Conferral of Emeritus Title on Chancellor, Berkeley Campus

¹ All times indicated and the order of business are approximate and subject to change.
Closed Session Statute Citations: Personnel matters
[Education Code §92032(b)(7)]
Nomination of officers and members
[Education Code §92032(e)]
Collective bargaining matters
[Government Code §3596(d)]

Investments Subcommittee

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

Officers’ and President’s Reports:
Report of Interim, Concurrence, and Committee Actions

Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]
Acquisition or disposition of property
[Education Code §92032(b)(6)]
NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: July 13, 2017
Time: Upon adjournment of Closed Session\(^1\)
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment\(^2\)

Roll Call

Approval of the Minutes of the special meeting of May 11, 2017 and the meeting of May 18, 2017

Annual Report from the Council of University of California Staff Assemblies

Committee Reports Including Approval of Recommendations from Committees:

**Academic and Student Affairs Committee**

Establishment of Policy on Augmented Review in Undergraduate Admissions

**Compliance and Audit Committee**

Approval of Ethics and Compliance Program Plan for 2017-18

Approval of Internal Audit Plan for 2017-18

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\(^2\) This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff’s Office by 10 a.m. on Thursday, July 6, 2017.

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Finance and Capital Strategies Committee

Consent Agenda

A. Fiscal Year 2017-18 Budget for the University of California Office of the President

B. Amendment of Regents Policy 6102: General Endowment Pool Statement Appendix 1

Approval of Budget and External Financing, Nuevo East Student Housing Project, San Diego Campus

Approval of Budget and External Financing, North Torrey Pines Living and Learning Neighborhood, San Diego Campus

Approval of Preliminary Plans and Working Drawings Funds, Ridge Walk Academic Complex, San Diego Campus

Approval of Funding Re-Allocation for Housing Assistance

Authorization to Increase the University Employer Contribution Rate and Make Additional Contributions for the University of California Retirement Plan and Rescind the 70 Percent Floor for the University’s Aggregate Annual Contribution to the Retiree Health Benefit Program

Governance and Compensation Committee

Approval of Appointment of and Compensation for Senior Vice President and Chief Compliance and Audit Officer as Discussed in Closed Session

Approval of Appointment of and Compensation for Provost and Executive Vice President – Academic Affairs, Office of the President as Discussed in Closed Session

Appointments to Standing Committees and Subcommittees for 2017-18 for Regents, Regents-designate, and Faculty Representatives

Health Services Committee (meeting of June 22, 2017)
Investments Subcommittee

Amendment of Regents Policy 6102: General Endowment Pool Statement Appendix 1 (Benchmarks)

Public Engagement and Development Committee

National Laboratories Subcommittee

Allocation of Los Alamos National Security, LLC and Lawrence Livermore National Security, LLC Fee Income to be Expended in Fiscal Year 2017-18

Resolution in Appreciation

B1 Discussion 2017 University of California Accountability Report

B2 Discussion UCPath Update

B3 Action Fiscal Year 2017-18 Budget for the University of California Office of the President

Officers’ and President’s Reports:

Report of Interim, Concurrence, and Committee Actions
Report of Materials Mailed Between Meetings