

University of California
Report on Audit of Financial Statements
and on Federal Awards Programs
in Accordance with OMB Circular A-133
For the Year Ended June 30, 2009

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Report of Independent Auditors

To The Regents of the University of California

In our opinion, based upon our audits, the financial statements listed in the accompanying table of contents, which collectively comprise the financial statements of the University of California (the "University"), a component unit of the State of California, present fairly, in all material respects, the respective financial position and plans' and trust's fiduciary net assets of the University, its aggregate discretely presented component units, and the University of California Retirement System (the "Plans") and the University of California Retiree Health Benefit Trust (the "Trust"), respectively, at June 30, 2009 and 2008, and the respective changes in financial position and cash flows of the University and its component units, and the changes in the Plans' and the Trust's fiduciary net assets for the years then ended in conformity with accounting principles generally accepted in the United States of America. These financial statements are the responsibility of the University's management. Our responsibility is to express opinions on these financial statements based on our audits. We conducted our audits of these statements in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. The financial statements of the University's component units were not audited in accordance with *Government Auditing Standards*. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits and the report of other auditors provide a reasonable basis for our opinions.

As discussed in the significant accounting policies in the Notes to Financial Statements, the University adopted Governmental Accounting Standards Board Statement No.49, *Accounting and Financial Reporting for Pollution Remediation Obligation*, as of July 1, 2008.

In accordance with *Government Auditing Standards*, we have also issued our report dated October 14, 2008 on our consideration of the University's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters for the year ended June 30, 2009. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of our audit.

The accompanying Management's Discussion and Analysis on pages 3 to 44 and the required supplementary information on pages 110 through 111 are not a required part of the basic financial statements, but are supplementary information required by the Governmental Accounting Standards Board. We have applied certain limited procedures, which consisted principally of inquiries of management regarding the methods of measurements and presentation of the required supplementary information. However, we did not audit the information and express no opinion on it.



Our audit was conducted for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying Summary Schedule of Expenditures of Federal Awards and Schedule of Expenditures of Federal Awards for the year ended June 30, 2009 are presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and are not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated in all material respects, in relation to the basic financial statements taken as a whole.

A handwritten signature in black ink that reads "Price Waterhouse Coopers LLP". The signature is written in a cursive, flowing style.

October 14, 2009

MANAGEMENT'S DISCUSSION AND ANALYSIS

(Unaudited)

The objective of Management's Discussion and Analysis is to help readers of the University of California's financial statements better understand the financial position and operating activities for the year ended June 30, 2009, with selected comparative information for the years ended June 30, 2008 and 2007. This discussion has been prepared by management and should be read in conjunction with the financial statements and the notes to the financial statements. Unless otherwise indicated, years (2007, 2008, 2009, 2010, etc.) in this discussion refer to the fiscal years ended June 30.

The University of California's financial report communicates financial information for the University of California (the University), the University of California campus foundations (campus foundations), the University of California Retirement System (UCRS) and the University of California Retiree Health Benefit Trust (UCRHBT) through five primary financial statements and notes to the financial statements. Three of the primary statements, the statements of net assets, the statements of revenues, expenses and changes in net assets and the statements of cash flows, present the financial position, changes in financial position and cash flows for the University and the affiliated campus foundations. The financial statements for the campus foundations are presented discretely from the University. Two of the primary statements, the statements of plans' and trust's fiduciary net assets and the statements of changes in plans' and trust's fiduciary net assets, present the financial position and operating activities for UCRS and UCRHBT. The notes to the financial statements provide additional information that is essential to a full understanding of the financial statements.

THE UNIVERSITY OF CALIFORNIA

The University of California, one of the largest and most acclaimed institutions of higher learning in the world, is dedicated to excellence in teaching, research and public service. The University has annual resources of nearly \$20 billion and encompasses ten campuses, five medical schools and medical centers, three law schools and a statewide Division of Agriculture and Natural Resources. The University is also involved in the operation and management of three national laboratories for the U.S. Department of Energy.

Campuses. The ten campuses are located in Berkeley, Davis, Irvine, Los Angeles, Merced, Riverside, San Diego, San Francisco, Santa Barbara and Santa Cruz. All of the campuses offer undergraduate, graduate and professional education; the San Francisco campus is devoted exclusively to the health sciences.

Health sciences. The University operates one of the nation's largest health science and medical training programs. The instructional program is conducted in 17 health sciences schools on six campuses. They include five medical, two dental, two nursing, two public health and two pharmacy schools, in addition to a school of optometry and a school of veterinary medicine. The University's medical schools play a leading role in the development of health services and advancement of medical science and research.

Law schools. The University has law schools at Berkeley, Davis and Los Angeles. Also, the Hastings College of the Law in San Francisco is affiliated with the University, although not included in the financial reporting entity.

Agriculture and Natural Resources. The Division of Agriculture and Natural Resources is a statewide research and public service organization that serves a large and diverse agricultural community. The division conducts studies on the Berkeley, Davis and Riverside campuses, on nine research and extension centers and on private land in cooperation with California producers. In addition, research and educational programs are conducted in each of the state's 58 counties.

University Extension. The foremost continuing education program of its kind in size, scope and quality of instruction, University Extension offers more than 17,000 self-supporting courses statewide and in several foreign countries.

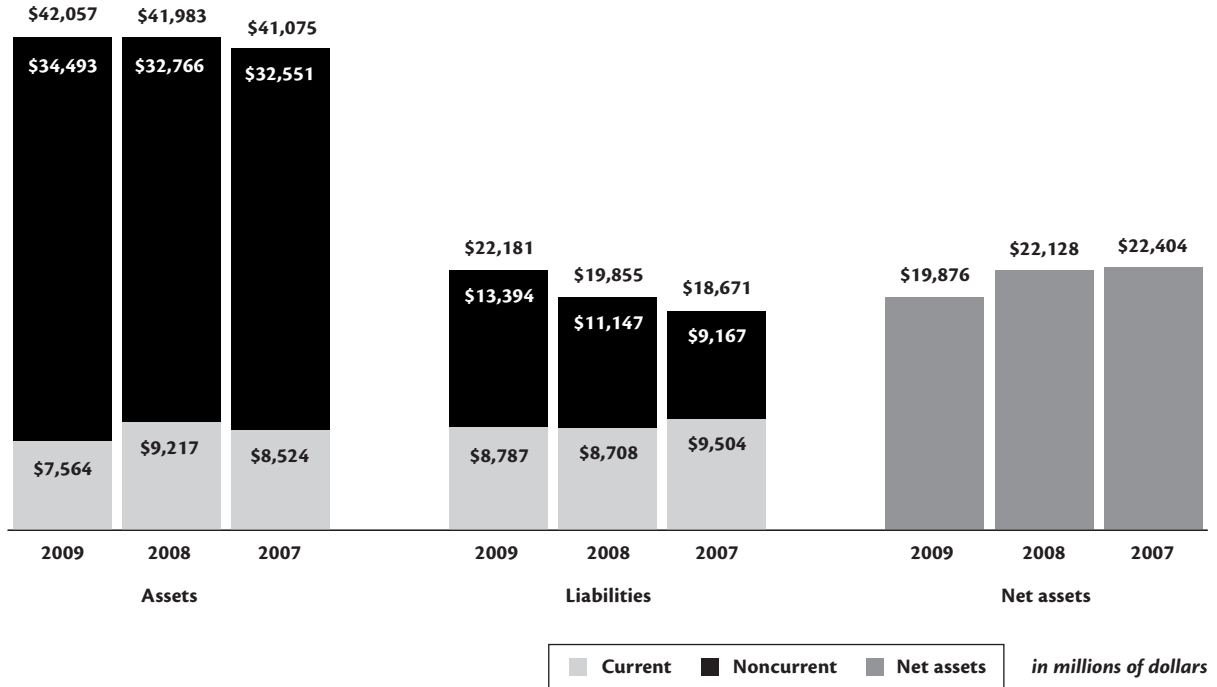
National laboratories. Under contract with the U.S. Department of Energy (DOE), the University operates and manages the Ernest Orlando Lawrence Berkeley National Laboratory (LBNL) in California. The University is a member in two separate joint ventures, Los Alamos National Security, LLC (LANS) and Lawrence Livermore National Security, LLC (LLNS), that operate and manage the Los Alamos National Laboratory (LANL) and Lawrence Livermore National Laboratory (LLNL), respectively, under contracts directly with the DOE. The laboratories conduct broad and diverse basic and applied research in nuclear science, energy production, national defense and environmental and health areas.

Adoption of New Accounting Standards

The University's financial statements are prepared in accordance with the accounting principles generally accepted in the United States of America established by the Governmental Accounting Standards Board (GASB).

GASB Statement No. 49, *Accounting and Financial Reporting for Pollution Remediation Obligations*, was adopted by the University during the year ended June 30, 2009. Statement No. 49 establishes criteria to ascertain whether certain events result in a requirement for the University to estimate the components of any expected pollution remediation costs and determine whether these costs should be accrued as a liability. The costs were estimated using the expected cash flow technique, which measures the liability as the sum of probability-weighted amounts in a range of possible estimated amounts. Previously, pollution remediation costs were accrued only if they were both probable of occurring and could be reasonably estimated. In accordance with Statement No. 49 retrospective application is required. The cumulative effect of this accounting change to establish the initial obligation was to increase liabilities and decrease unrestricted net assets at July 1, 2007 by \$41.8 million. The effect on the University's financial statements for the year ended June 30, 2008 was to reduce the previously reported decrease in net assets in the statement of revenues, expenses and changes in net assets and reduce liabilities and increase unrestricted net assets in the statement of net assets by \$8.7 million.

The University's Financial Position



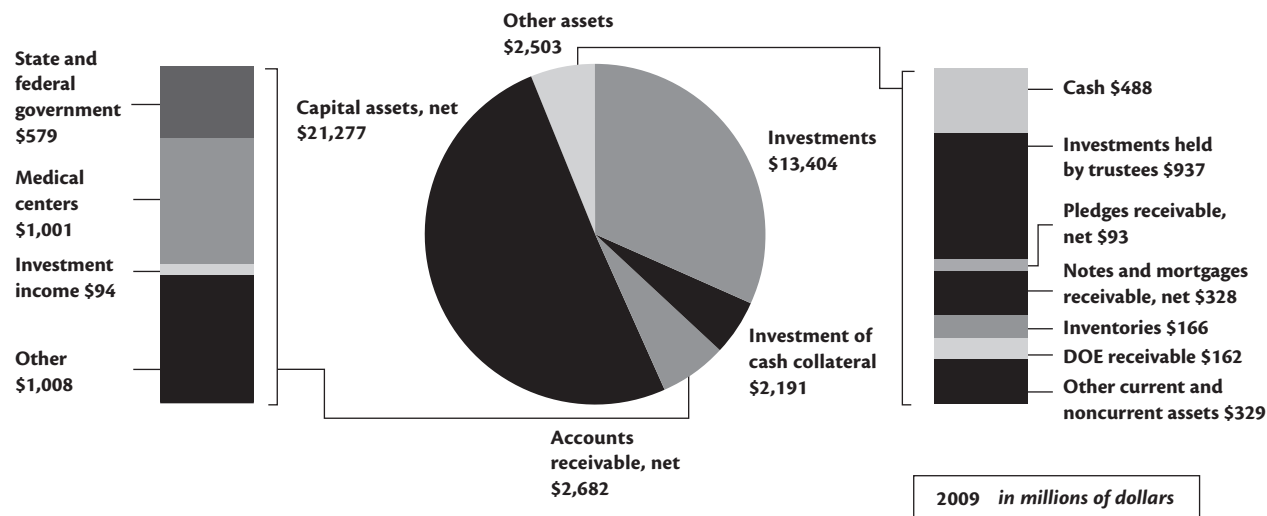
The statement of net assets presents the financial position of the University at the end of each year. It displays all of the University's assets and liabilities. The difference between assets and liabilities is net assets, representing a measure of the current financial condition of the University. At June 30, 2009, the University's assets were over \$42 billion, liabilities were over \$22 billion and net assets were nearly \$20 billion, a decrease of \$2.25 billion from 2008. Net assets decreased by \$276 million at the end of 2008 from 2007.

The major components of the assets, liabilities and net assets as of 2009, 2008 and 2007 are as follows:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|---|-----------------|-----------------|-----------------|
| ASSETS | | | |
| Investments | \$ 13,404 | \$ 14,828 | \$ 14,210 |
| Investment of cash collateral | 2,191 | 3,218 | 4,554 |
| Accounts receivable, net | 2,682 | 2,427 | 2,146 |
| Capital assets, net | 21,277 | 19,593 | 18,105 |
| Other assets | 2,503 | 1,917 | 2,060 |
| Total assets | 42,057 | 41,983 | 41,075 |
| LIABILITIES | | | |
| Debt, including commercial paper | 10,989 | 10,025 | 9,364 |
| Securities lending collateral | 2,199 | 3,234 | 4,554 |
| Obligation to UCRP | 69 | | |
| Obligations for retiree health benefits | 2,377 | 1,119 | |
| Other liabilities | 6,547 | 5,477 | 4,753 |
| Total liabilities | 22,181 | 19,855 | 18,671 |
| NET ASSETS | | | |
| Invested in capital assets, net of related debt | 10,822 | 10,035 | 9,102 |
| Restricted: | | | |
| Nonexpendable | 947 | 952 | 920 |
| Expendable | 4,558 | 5,793 | 5,856 |
| Unrestricted | 3,549 | 5,348 | 6,526 |
| Total net assets | \$19,876 | \$22,128 | \$22,404 |

The University's Assets



The University's total assets have grown to \$42.06 billion in 2009, compared to \$41.98 billion in 2008 and \$41.08 billion in 2007. Generally, over the past two years capital assets have increased while investments have declined.

Investments (in millions of dollars)

| | | |
|-------------|--|-----------------|
| 2009 | | \$13,404 |
| 2008 | | \$14,828 |
| 2007 | | \$14,210 |

The University's investments totaled \$13.40 billion at the end of 2009, \$2.04 billion classified as current assets and \$11.37 billion as noncurrent assets. Investments classified as current assets are generally fixed or variable income securities in the Short Term Investment Pool (STIP) and Total Return Investment Pool (TRIP) with a maturity date within one year. Noncurrent investments are generally securities in TRIP, the General Endowment Pool (GEP) or other pools, in addition to fixed or variable income securities in STIP and TRIP with a maturity date beyond one year. The TRIP, established in 2009, is managed to a total return objective and is intended to supplement STIP.

The University's investments, by investment pool, are as follows:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|-------------------------------|-----------------|-----------------|-----------------|
| STIP | \$ 6,901 | \$ 8,529 | \$ 7,578 |
| TRIP | 1,445 | | |
| GEP | 4,721 | 5,845 | 6,176 |
| Other | 337 | 454 | 456 |
| University investments | \$13,404 | \$14,828 | \$14,210 |

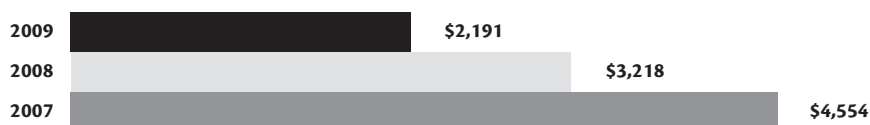
Overall, investments decreased by \$1.42 billion in 2009. Investments in STIP decreased by \$1.63 billion, generally resulting from \$1.52 billion exchanged into TRIP and \$446 million of distributions to campuses and other routine timing of cash collections and payments. The decrease in STIP was partially offset by \$246 million of STIP investment income and \$90 million of net appreciation in the fair value of investments. After the initial \$1.52 billion exchange from STIP into TRIP in August 2008, investment activity in TRIP included \$68 million of investment income, \$43 million of which was distributed to participants and \$25 million reinvested in the portfolio, and \$90 million of net depreciation in the fair value of investments. Investments in GEP and other securities declined by \$1.24 billion, generally as a result of \$1.27 billion of net depreciation in the fair value of investments and \$215 million of annual income distributions to be used for operating purposes in 2010. The decrease in GEP and other securities was partially offset by \$152 million of investment income and new permanent endowments of \$11 million.

Investments in 2008 of \$14.83 billion grew from \$14.21 billion in 2007, an increase of \$618 million. Investments in STIP increased by \$951 million primarily due to \$547 million associated with the routine timing of cash collections and payments, particularly \$434 million in additional accrued payroll at June 30, 2008 since the July 1 payroll occurred on a weekday in 2008 and a weekend in 2007; \$360 million of STIP investment income; and \$44 million of net appreciation in the fair value of STIP investments held at the end of 2008. Investments in GEP and other securities declined by \$333 million as a result of \$236 million of net depreciation in the fair value of investments, participant withdrawals of \$94 million and \$210 million of annual income distributions to be used for operating purposes in 2009. The decrease in GEP and other securities was partially offset by \$172 million of investment income and new permanent endowments of \$35 million.

The total investment return based upon unit value for GEP, representing the combined income plus net appreciation or depreciation in the fair value of investments, during 2009 and 2008 was (18.2) percent and (1.5) percent, respectively. The total investment return for TRIP since its inception in August of 2008 was (1.6) percent. The investment return for STIP distributed to participants during 2009 and 2008 was 3.6 percent and 4.7 percent, respectively.

The financial markets, both domestically and internationally, have been volatile in recent times and have affected the valuation of investments. The Regents of the University of California (The Regents) utilizes asset allocation strategies that are intended to optimize investment returns over time in accordance with investment objectives and at acceptable levels of risk.

Investment of cash collateral *(in millions of dollars)*



The University participates in a securities lending program incorporating securities owned by both the University and UCRS as a means to augment income. It is managed as a single program. For financial reporting purposes, cash collateral and the associated liability related to securities specifically owned by either the University or UCRS and lent to borrowers are directly reported in the appropriate entity. Cash collateral and the associated liability related to securities in investment pools jointly owned by both the University and UCRS and lent to borrowers are allocated to each entity on the basis of their proportional ownership.

At the end of 2009 and 2008, the investment of cash collateral decreased by \$1.03 billion and \$1.34 billion, respectively, in response to decreased demand from borrowers for certain classes of fixed income securities, decreased availability of certain of the University's equity securities resulting from asset allocation changes and decline in market value.

Accounts receivable, net *(in millions of dollars)*



Accounts receivable are from the state and federal governments, patients for care at the medical centers, investment activity and from others, including those related to private and local government grants and contracts and student tuition and fees.

Receivables increased by \$255 million in 2009. Federal and state government receivables decreased by \$40 million. Receivables increased for state capital appropriations (\$20 million), state educational appropriations (\$11 million) and state grants and contracts (\$8 million) and decreased for federal grants and contracts (\$66 million) and for pending reimbursements from the state for various construction projects (\$13 million). Medical center receivables grew by \$55 million corresponding to growth in patient care, as in the past, although slightly tempered by increased contractual allowances and uncollectible accounts. Investment income receivables increased by \$6 million. Various other receivables collectively grew by \$234 million primarily due to the timing of clearing trades upon the sale of investments (\$304 million), partially offset by lower receivables for private and local grants and contracts (\$25 million) and securities litigation (\$35 million).

In 2008, accounts receivable increased by \$281 million from 2007. Federal and state government receivables decreased by \$28 million primarily as a result of lower receivables attributable to state educational appropriations (\$25 million), pending reimbursements from the state for various construction projects (\$24 million) and federal grants and contracts receivables (\$8 million), partially offset by growth in receivables from state capital appropriations (\$19 million) and state grants and contracts (\$10 million). Medical center receivables grew by \$87 million corresponding to growth in patient revenue. Investment income receivables declined by \$10 million. Various other receivables collectively grew by \$232 million primarily due to the timing of clearing trades upon the sale of investments (\$90 million), additional private and local grants and contracts (\$38 million), educational activities generally related to physician practice plans (\$31 million), insurance rebates due from carriers (\$23 million) and securities litigation (\$35 million).

Capital assets, net (in millions of dollars)

| | | |
|-------------|--|-----------------|
| 2009 | | \$21,277 |
| 2008 | | \$19,593 |
| 2007 | | \$18,105 |

Capital assets include land, infrastructure, buildings and improvements, equipment, libraries, collections and construction in progress. Capital assets, net of accumulated depreciation, increased by \$1.68 billion to \$21.28 billion in 2009 and by \$1.49 billion to \$19.59 billion in 2008.

Capital asset activity consists of the following:

(in millions of dollars)

| | 2009 | 2008 |
|--|----------------|----------------|
| Capital expenditures: | | |
| Land and infrastructure | \$ 65 | \$ 80 |
| Buildings and improvements | 2,288 | 2,720 |
| Equipment | 519 | 491 |
| Libraries and special collections | 163 | 154 |
| Construction in progress, net | (126) | (836) |
| Capital expenditures | 2,909 | 2,609 |
| Depreciation and amortization expense | (1,197) | (1,094) |
| Asset disposals, net | (28) | (27) |
| Increase in capital assets, net | \$1,684 | \$1,488 |

Capital spending continues at a brisk pace in order to provide the facilities necessary to support the University's mission and for patient care. These facilities include core academic buildings, libraries, student services, housing and auxiliary enterprises, health science centers, utility plants and infrastructure, and remote centers for educational outreach, research and public service. Capital spending increased by 11.5 percent in 2009 from 2008 levels. At the end of 2009, the cost of projects under construction decreased by \$126 million. Construction in progress at the end of the year was \$2.87 billion, including \$1.63 billion for campus projects, \$1.16 billion for health care facilities and \$81 million for a third-party housing project.

Capital spending increased in 2008 by 2.8 percent and increased in 2007 by 17.5 percent. Construction in progress was \$3.0 billion at the end of 2008 and \$3.84 billion at the end of 2007.

Accumulated depreciation and amortization was \$13.41 billion in 2009, \$12.50 billion in 2008 and \$11.71 billion in 2007. Depreciation and amortization expense was \$1.20 billion for 2009, \$1.09 billion for 2008 and \$1.05 billion for 2007. Disposals in both years generally were for equipment that was fully depreciated or had reached the end of its useful life.

Other assets (in millions of dollars)

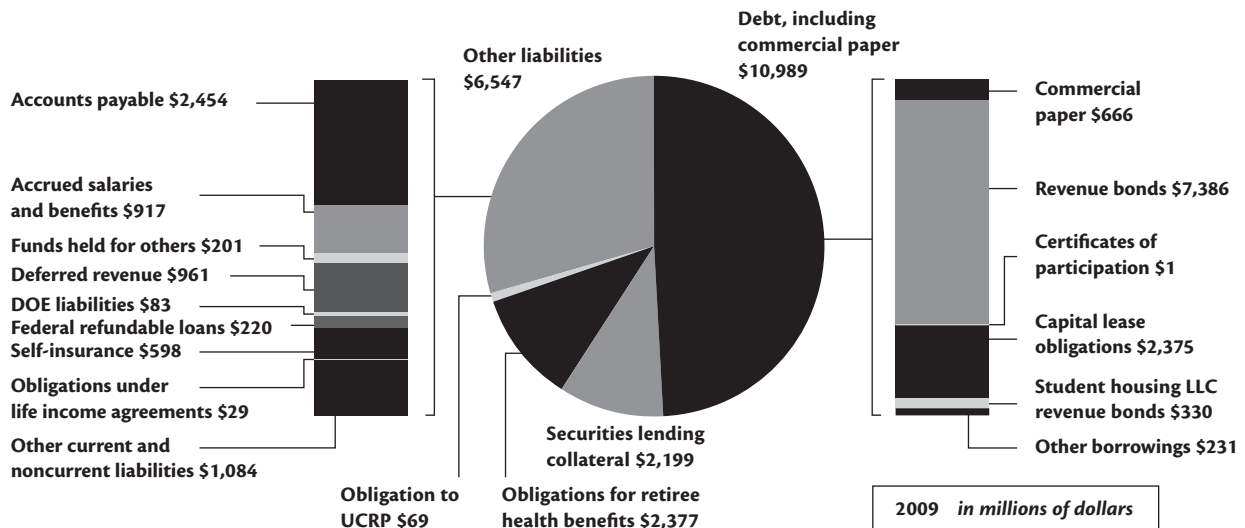


Other assets, including cash, investments held by trustees, pledges receivable, notes and mortgages receivable, inventories and a receivable from the DOE increased by \$586 million in 2009.

Cash awaiting investment in STIP increased by \$380 million in 2009 largely as a result of a \$345 million educational appropriation received by the University from the state of California on June 30. The deposit was not transferred into STIP at year end. Investments held by trustees grew at the end of 2009 by \$147 million. Trustee-held investments associated with self-insurance programs were \$20 million more in 2009 than in 2008. Trustee-held investments associated with the proceeds from long-term debt to be used to finance capital projects under construction grew by \$127 million, largely attributable to a third-party housing project financed by Student Housing LLC Revenue bonds. Net collections of pledges were \$13 million. Overall receivables from the DOE rose by \$48 million consisting of the DOE's share of the obligation for retiree health benefits (\$35 million) and vendor and employee-related operating costs at LBNL (\$13 million). There were moderate increases in certain other areas, such as notes and mortgages receivable (\$9 million), inventories (\$8 million) and various other assets (\$7 million).

In 2008, other assets decreased by \$143 million. Cash awaiting investment in STIP was reduced by \$39 million. Investments held by trustees declined at the end of 2008 by \$3 million. Trustee-held investments associated with self-insurance programs grew by \$34 million as the contributions to the trusts were greater than claim payments made this year. However, trustee-held investments associated with the proceeds from long-term debt to be used to finance capital projects under construction declined by \$37 million. Net collections of pledges were \$16 million. Overall receivables from the DOE dropped by \$124 million consisting of decreases in operating and employee liabilities due to the termination of the LLNL contract in 2008 (\$147 million) and collection of contributions to the University of California Retirement Plan (UCRP) for employees who formerly worked at LANL (\$17 million), although there were increases for the DOE's share of the obligation for retiree health benefits (\$31 million) and vendor and employee-related operating costs at LBNL (\$9 million). There were moderate increases in certain other areas, such as notes and mortgages receivable (\$16 million), inventories (\$15 million) and various other assets (\$8 million).

The University's Liabilities



The University's liabilities grew to \$22.18 billion in 2009, compared to \$19.86 billion in 2008 and \$18.67 billion in 2007, principally as a result of debt issued to finance capital expenditures and obligations for retiree health benefits.

Debt, including commercial paper (in millions of dollars)

| | |
|-------------|-----------------|
| 2009 | \$10,989 |
| 2008 | \$10,025 |
| 2007 | \$9,364 |

Capital assets are financed from a variety of sources, including University equity contributions, federal and state support, revenue bonds, certificates of participation, bank loans, leases or structures that involve separate legal entities. Commercial paper and bank loans provide interim financing.

The University's debt used to finance capital assets, including \$666 million of commercial paper outstanding at the end of 2009 compared to \$550 million at the end of 2008 and 2007, grew to \$10.99 billion at the end of 2009, compared to \$10.02 billion at the end of 2008 and \$9.36 billion at the end of 2007.

Commercial paper is classified as a current liability. The current portion of long-term debt, excluding commercial paper, decreased to \$467 million in 2009 from \$546 million in 2008, primarily from payment of \$102 million in interim loans from the state for capital projects to be refinanced by the state's issuance of lease revenue bonds. At the end of 2009, the current portion of long-term debt does not include any interim loans from the state.

Outstanding debt increased by \$964 million in 2009 and \$661 million in 2008. A summary of the activity follows:

(in millions of dollars)

| | 2009 | 2008 |
|--|---------------|----------------|
| ADDITIONS TO OUTSTANDING DEBT | | |
| General Revenue Bonds | \$ 794 | \$ 249 |
| Limited Project Revenue Bonds | | 415 |
| Medical Center Pooled Revenue Bonds | | 520 |
| Capital leases | 282 | 361 |
| Other borrowings | 103 | 330 |
| Student Housing LLC Revenue Bonds | 221 | |
| Commercial Paper | 116 | |
| Bond premium, net | 22 | 31 |
| Additions to outstanding debt | 1,538 | 1,906 |
| REDUCTIONS TO OUTSTANDING DEBT | | |
| Refinancing and prepayments | (210) | (870) |
| Scheduled principal payments | (329) | (286) |
| Payments on other borrowings | (34) | (74) |
| Other, including deferred financing costs, net | (1) | (15) |
| Reductions to outstanding debt | (574) | (1,245) |
| Net increase in outstanding debt | \$ 964 | \$ 661 |

During 2009, additions to outstanding debt totaled \$1.54 billion, including net bond premiums of \$22 million.

General Revenue Bonds totaling \$794 million with a weighted average interest rate of 5.2 percent were issued in March 2009 to finance and refinance certain facilities and projects of the University. Proceeds, including a bond premium of \$22 million, were to pay for project construction and issuance costs and repay interim financing incurred prior to the issuance of the bonds. Proceeds were also used to refund \$46 million of outstanding Multiple Purpose Projects Revenue Bonds, \$15 million of Research Facilities Revenue Bonds, and \$1 million of certificates of participation.

The University entered into a lease-purchase agreement with the state in April 2009, recorded as a capital lease, totaling \$207 million to finance the construction of certain University projects. The state provides financing appropriations to the University to satisfy the annual lease requirement. At the conclusion of the lease term, ownership transfers to the University. In addition to lease-purchase agreements with the state, new capital lease obligations entered into during 2009 for equipment totaled \$76 million.

Other newly originated borrowings in 2009 totaled \$103 million, generally consisting of loans from the state or from commercial banks to provide interim financing as a supplement to commercial paper or for capital projects supported by gifts to be received in the near future.

In prior years, the University entered into ground leases with a legally separate, non-profit corporation that develops and owns student housing projects and related amenities on a University campus through the use of a limited liability corporation (LLC). Under GASB requirements, the financial position and operating results of this legally separate organization are incorporated into the University's financial reporting entity. In 2009, the LLC, through its conduit issuer, issued additional Student Housing LLC Revenue Bonds totaling \$221 million. Proceeds are available to finance the construction of a new student housing project and related amenities.

In July 2008, The Regents authorized an increase in the University's commercial paper program from \$550 million to \$2 billion in order to reduce the number of bank line commitments, provide greater access to tax-exempt financing and preserve flexibility for future interim financing needs. Commercial paper outstanding at the end of 2009 increased by \$116 million.

Reductions to outstanding debt in 2009 were \$574 million, consisting of \$210 million for one-time principal payments for the refinancing or refunding of previously outstanding revenue bonds and certificates of participation (\$62 million) and payments on interim loans from the state as lease revenue bonds were sold (\$148 million); \$329 million for principal payments associated with scheduled debt service on revenue bonds, certificates of participation and capital lease obligations; and \$34 million for scheduled payments on other borrowings.

In October 2008, the University terminated its existing interest rate swap agreement with Lehman Brothers Special Financing Inc. entered into in connection with Medical Center Pooled Revenue Bonds with a notional amount of \$190 million and substituted a new interest rate swap agreement with identical economic terms with a new counterparty. In connection with the swap termination, the University received \$31 million from the new counterparty and made a termination payment of \$25 million to Lehman Brothers Special Financing Inc. These payments were recorded as deferred costs of financing and will be amortized as interest expense over the term of the bonds.

The University's counterparty in the interest rate swap agreement entered into in connection with other Medical Center Pooled Revenue Bonds with a notional amount of \$91 million is Merrill Lynch Capital Services, Inc. In January 2009, Bank of America Corporation completed its acquisition of Merrill Lynch & Co.

Subsequent to 2009, General Revenue Bonds totaling \$1.32 billion, \$1.02 billion of taxable "Build America Bonds" and \$301 million of tax-exempt bonds, were issued to finance and refinance certain facilities and projects of the University. Proceeds, including a bond premium of \$20 million, were used to pay for project construction and issuance costs and repay interim financing incurred prior to the issuance of the bonds.

The University's General Revenue Bond ratings are currently affirmed at Aa1 with a stable outlook by Moody's Investors Service and AA by Standard & Poor's with a stable outlook. The University's Limited Project Revenue Bonds and Medical Center Pooled Revenue Bonds are currently affirmed at Aa2 with a stable outlook by Moody's Investors Service and AA- by Standard & Poor's with a stable outlook.

During 2008, additions to outstanding debt totaled \$1.91 billion, including net bond premiums of \$31 million.

General Revenue Bonds totaling \$249 million with a weighted average interest rate of 4.8 percent were issued in January 2008 to finance certain facilities and projects of the University. Proceeds, including a bond premium of \$12.7 million, are available to pay for project construction and issuance costs and repay interim financing incurred prior to the issuance of the bonds.

Limited Project Revenue Bonds totaling \$415 million with a weighted average interest rate of 5.0 percent were issued in October 2007 to finance certain auxiliary enterprises of the University. Proceeds, including a bond premium of \$18.0 million, are available to pay for project construction and issuance costs and repay interim financing incurred prior to the issuance of the bonds.

Medical Center Pooled Revenue Bonds totaling \$197 million, \$7 million with a fixed interest rate and \$190 million with a variable interest rate, were issued in July 2007 to refinance certain improvements to one of the medical centers. Proceeds

were used to refund \$188 million of Medical Center Revenue Bonds. In connection with the variable interest rate bonds, the University entered into four interest rate swap agreements with a financial institution, such that the variable interest it pays to the bondholders matches the variable payments it receives from the interest rate swaps, resulting in a weighted average interest rate of 4.7 percent paid to the swap counterparty. These swap transactions did not result in any basis or tax risk to the University.

In April 2008, Medical Center Pooled Revenue Bonds totaling \$323 million with a weighted average interest rate of 4.9 percent were issued to refinance certain improvements to another of its medical centers. Proceeds, including a bond premium of \$11 million, were used to refund \$324 million of Medical Center Revenue Bonds and for a swap termination payment of \$7 million.

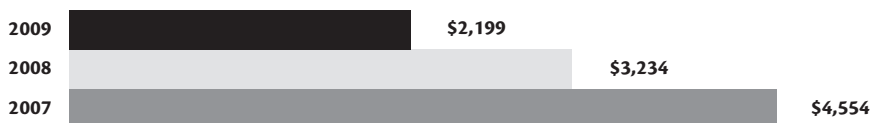
The University entered into a lease-purchase agreement with the state in April 2008, recorded as a capital lease, totaling \$303 million to finance the construction of certain University projects. The state provides financing appropriations to the University to satisfy the annual lease requirement. At the conclusion of the lease term, ownership transfers to the University. In addition to lease-purchase agreements with the state, new capital lease obligations entered into during 2008 for equipment totaled \$59 million.

Other newly originated borrowings in 2008 totaled \$330 million, generally consisting of loans from the state or from commercial banks to provide interim financing as a supplement to commercial paper or for capital projects supported by gifts to be received in the near future.

Reductions to outstanding debt in 2008 were \$1.25 billion, consisting of \$870 million for one-time principal payments for the refinancing or refunding of previously outstanding Medical Center Revenue Bonds (\$512 million), payments on interim loans from the state as lease revenue bonds were sold (\$206 million) and refinancing of previously outstanding bank loans (\$152 million); \$286 million for principal payments associated with scheduled debt service on revenue bonds, certificates of participation and capital lease obligations; and \$74 million for scheduled payments on other borrowings.

The state of California, through state financing appropriations, provided \$161 million and \$164 million in 2009 and 2008, respectively, of the University's debt service requirements, mainly under the terms of lease-purchase agreements.

Securities lending collateral *(in millions of dollars)*



Under the securities lending program, the University records a liability to the borrower for cash collateral received and held by the University for securities on loan at the end of the year. All borrowers are required to provide additional collateral by the next business day if the value of the collateral falls to less than 100 percent of the fair value of the securities lent. Securities lending collateral dropped by \$1.03 billion in 2009 and by \$1.32 billion in 2008. As previously discussed, the amount of the securities lending collateral liability fluctuates directly with securities lending opportunities and the investment of cash collateral.

Obligation to UCRP *(in millions of dollars)*



The University has financial responsibility for the campuses' and medical centers' obligation to UCRP for pension benefits associated with its defined benefit plan. LBNL participates in the University's defined benefit pension plan, although the DOE has an ongoing financial responsibility to reimburse the University for LBNL's share of the obligation to UCRP. In addition, under certain circumstances the University makes contributions to UCRP on behalf of LANL and LLNL retirees based upon a contractual arrangement with the DOE, and is reimbursed by the DOE.

The University's obligation to UCRP is based upon an actuarial determination of the annual pension benefit expense. Campus and medical center contributions during the year toward pension benefits, at rates determined by the University, reduce their share of the obligation to UCRP. Contributions from the DOE to the University during the year reduce the DOE's share of the obligation to UCRP. However, during 2009 and 2008 there were no required employer or employee contributions other than for service credit buybacks.

Obligations to UCRP for pension benefits attributable to campuses and medical centers and the DOE laboratories are as follows:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|------------------------------|-------------|------------|------------|
| Campuses and medical centers | \$ 69 | \$ - | \$ - |
| DOE laboratories | - | - | - |
| Obligation to UCRP | \$69 | \$- | \$- |

The University did not have any obligations to UCRP for pension benefits prior to 2009.

A summary of the activity that resulted in the obligation to UCRP follows:

(in millions of dollars)

| | 2009 | | 2008 | | 2007 | |
|---------------------------------------|------------------------------|------------------|------------------------------|------------------|------------------------------|------------------|
| | CAMPUSES AND MEDICAL CENTERS | DOE LABORATORIES | CAMPUSES AND MEDICAL CENTERS | DOE LABORATORIES | CAMPUSES AND MEDICAL CENTERS | DOE LABORATORIES |
| UCRP benefits expense | \$ 69 | \$ - | \$ 3 | \$ - | \$ 6 | \$ 18 |
| Contributions | - | - | (3) | - | (6) | (18) |
| Increase in obligation to UCRP | \$69 | \$- | \$ - | \$- | \$ - | \$ - |

Based upon the latest actuarial valuation as of the beginning of 2009, 2008 and 2007, the actuarial accrued liability for campuses and medical centers and the DOE laboratories is as follows:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|--|-----------------|-----------------|-----------------|
| Campuses and medical centers | \$ 34,341 | \$ 31,918 | \$ 29,729 |
| DOE laboratories | 8,127 | 9,418 | 10,479 |
| Total actuarial accrued liability | \$42,468 | \$41,336 | \$40,208 |

The actuarial accrued liability for the DOE laboratories for 2008 and 2009 incorporates the effect of the LANL and LLNL contract terminations.

The actuarial value of UCRP's assets for campuses and medical centers and the DOE laboratories at the beginning of 2009 and 2008 were \$43.73 billion and \$43.33 billion, respectively. As a result of the performance of the financial markets in 2009, the actuarial value of UCRP's assets for campuses and medical centers and the DOE laboratories based upon the valuation prepared as of July 1, 2009 for use in 2010 is expected to decline to approximately \$42.70 billion. UCRP's net assets held in trust, at market value, at the end of 2009 and 2008 were \$32.26 billion and \$42.02 billion, respectively.

Obligations for retiree health benefits *(in millions of dollars)*

| | | |
|------|------------|---------|
| 2009 | [REDACTED] | \$2,377 |
| 2008 | [REDACTED] | \$1,119 |

The University has financial responsibility for the campuses' and medical centers' obligation for retiree health benefits. LBNL participates in the University's retiree health plans, although the DOE has an ongoing financial responsibility to reimburse the University for LBNL's share of the obligation for retiree health benefits.

Beginning in 2008, the University's obligation for retiree health benefits is based upon an actuarial determination of the annual retiree health benefit expense. Campus and medical center contributions during the year toward retiree health benefits, at rates determined by the University, reduce their share of the obligations for retiree health benefits. The University funds the retiree health expense for campuses and medical centers through UCRHBT based upon a projection of benefits on a pay-as-you-go basis. Contributions from the DOE to the University during the year reduce LBNL's share of the obligations for retiree health benefits.

Obligations for retiree health benefits attributable to campuses and medical centers and LBNL are as follows:

(in millions of dollars)

| | 2009 | 2008 |
|--|----------------|----------------|
| Campuses and medical centers | \$ 2,311 | \$ 1,088 |
| LBNL | 66 | 31 |
| Obligations for retiree health benefits | \$2,377 | \$1,119 |

A summary of the activity that resulted in the obligations for retiree health benefits follows:

(in millions of dollars)

| | 2009 | | 2008 | |
|---|------------------------------|--------------|------------------------------|--------------|
| | CAMPUSES AND MEDICAL CENTERS | LBNL | CAMPUSES AND MEDICAL CENTERS | LBNL |
| Retiree health benefit expense | \$ 1,502 | \$ 49 | \$ 1,356 | \$ 44 |
| Contributions, including implicit subsidy | (279) | (14) | (268) | (13) |
| Increase in obligation for retiree health benefits | \$1,223 | \$ 35 | \$1,088 | \$ 31 |

During 2009 and 2008, the University recorded revenue and a receivable from the DOE of \$35 million and \$31 million, respectively, for LBNL's share of the increase in obligations for retiree health benefits.

Based upon the latest actuarial valuation as of the beginning of 2009 and 2008, the actuarial accrued liability for campuses and medical centers and LBNL is as follows:

(in millions of dollars)

| | 2009 | 2008 |
|--|-----------------|-----------------|
| Campuses and medical centers | \$ 13,302 | \$ 12,074 |
| LBNL | 498 | 460 |
| Total actuarial accrued liability | \$13,800 | \$12,534 |

The actuarial value of UCRHBT's assets at the beginning of 2009 and 2008 were \$51 million and zero, respectively. The UCRHBT's net assets held in trust, at market value, at the end of 2009 and 2008 were \$74 million and \$51 million, respectively.

At the end of 2009 and 2008, the University has a receivable from the DOE of \$66 million and \$31 million, respectively, toward LBNL's actuarial accrued liability. The receivable will increase over time in accordance with LBNL's share of the obligations for retiree health benefits.

Other liabilities *(in millions of dollars)*

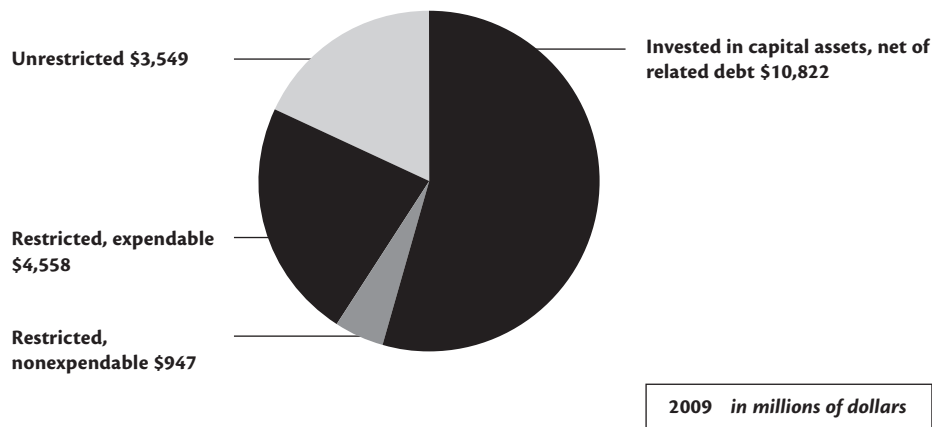
| | | |
|------|--|---------|
| 2009 | | \$6,547 |
| 2008 | | \$5,477 |
| 2007 | | \$4,753 |

Other liabilities consist of accounts payable, accrued salaries, other employee benefits, deferred revenue, funds held for others, DOE laboratories' liabilities, federal refundable loans, self-insurance and obligations under life income agreements.

In 2009, other liabilities rose by \$1.07 billion largely attributable to an amount owed to the state and the timing of investment securities trades. Subsequent to year end, the state of California finalized their State Budget Act that required reversion to the state of a portion of the University's 2009 state educational appropriations. As a result, accounts payable includes a liability to the state totaling \$795 million, primarily \$715 million of state educational appropriation reversions. In addition, securities purchases to be settled after year-end grew by \$384 million. Funds held for others declined by \$69 million with the withdrawal of certain amounts by LLNL and the net depreciation in the fair value of investments. Year-to-year changes in other liabilities were less significant.

Other liabilities grew by \$724 million in 2008, generally as a result of increases in accrued salaries of \$435 million due to the timing of the payment of the July 1 payroll; deferred revenue related to grants and contracts of \$215 million; accounts payable of \$76 million, self-insurance liabilities of \$57 million; and \$104 million of other liabilities, primarily pollution remediation, deposits, compensated absences and federal refundable loans. These increases were partially offset by reductions in DOE laboratories' liabilities of \$140 million for operating and employee liabilities related to the termination of the LLNL contract and other employee benefits of \$15 million.

The University's Net Assets



Net assets represent the residual interest in the University's assets after all liabilities are deducted. The University's net assets are \$19.88 billion in 2009, compared to \$22.13 billion in 2008 and \$22.40 billion in 2007. Net assets are reported in four major categories: invested in capital assets, net of related debt; restricted, nonexpendable; restricted, expendable; and unrestricted.

Invested in capital assets, net of related debt (in millions of dollars)



The portion of net assets invested in capital assets, net of accumulated depreciation and the related outstanding debt used to finance the acquisition, construction or improvement of these capital assets, is \$10.82 billion in 2009, compared to \$10.03 billion in 2008 and \$9.10 billion in 2007. The increase represents the University's continuing investment in its physical facilities in excess of the related financing and depreciation expense.

Restricted, nonexpendable *(in millions of dollars)*

| | | |
|------|--|-------|
| 2009 | | \$947 |
| 2008 | | \$952 |
| 2007 | | \$920 |

Restricted, nonexpendable net assets include the corpus of the University's permanent endowments and the estimated fair value of certain planned giving arrangements. In 2009, new permanent endowments of \$11 million were offset by the unrealized depreciation on investments. Substantially all of the increase in 2008 was from new permanent endowment gifts.

Restricted, expendable *(in millions of dollars)*

| | | |
|------|---------|---------|
| 2009 | \$4,558 | |
| 2008 | | \$5,793 |
| 2007 | | \$5,856 |

Restricted, expendable net assets are subject to externally imposed restrictions governing their use. These net assets may be spent only in accordance with the restrictions placed upon them and may include endowment income and gains, subject to the University's spending policy; support received from gifts, appropriations or capital projects; trustee held investments; or other third party receipts. In 2009, net unrealized depreciation in the fair value of investments related to endowments and funds functioning as endowments totaled \$1.01 billion. In addition, restricted expendable net assets declined in areas such as support received for capital projects (\$101 million) and endowments and funds functioning as endowments, generally a result of distributions for operating purposes. In 2008, net unrealized depreciation in the fair value of investments resulted in a \$268 million decline in the value of endowments and funds functioning as endowments, although funds functioning as endowments and annuity and life income funds from new support grew by \$77 million; and gifts and grants grew by \$63 million.

Unrestricted *(in millions of dollars)*

| | | |
|------|---------|---------|
| 2009 | \$3,549 | |
| 2008 | | \$5,348 |
| 2007 | | \$6,526 |

Under generally accepted accounting principles, net assets that are not subject to externally imposed restrictions governing their use must be classified as unrestricted for financial reporting purposes. Unrestricted net assets were reduced by the unfunded retiree health benefit costs totaling \$1.22 billion and \$1.09 billion in 2009 and 2008, respectively, along with the \$715 million reduction in the University's state educational appropriations in June 2009. Although unrestricted net assets are not subject to externally imposed restrictions, substantially all of these net assets are allocated for academic and research initiatives or programs, for capital purposes or for other purposes. Unrestricted net assets include funds functioning as endowments of \$1.08 billion and \$1.24 billion in 2009 and 2008, respectively.

The University's Results of Operations

The statement of revenues, expenses and changes in net assets is a presentation of the University's operating results. It indicates whether the financial condition has improved or deteriorated. In accordance with GASB requirements, certain significant revenues relied upon and budgeted for fundamental operational support of the core instructional mission of the University are required to be recorded as nonoperating revenues, including state educational appropriations, private gifts and investment income.

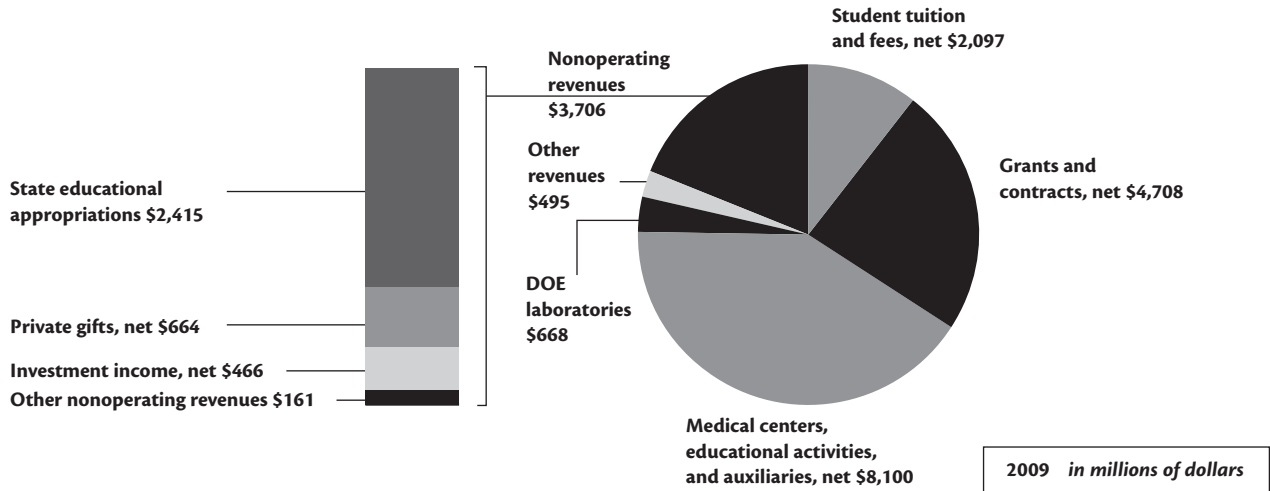
A summarized comparison of the operating results for 2009, 2008 and 2007, arranged in a format that matches the revenue supporting the core activities of the University with the expenses associated with core activities, is as follows:

(in millions of dollars)

| | 2009 | | | 2008 | | | 2007 | | |
|--|--------------------------|-----------------------|-----------------------|--------------------------|-----------------------|---------------------|--------------------------|-----------------------|-------------------|
| | OPERATING | NONOPERATING | TOTAL | OPERATING | NONOPERATING | TOTAL | OPERATING | NONOPERATING | TOTAL |
| REVENUES | | | | | | | | | |
| Student tuition and fees, net | \$ 2,097 | | \$ 2,097 | \$ 1,922 | | \$ 1,922 | \$ 1,738 | | \$ 1,738 |
| State educational appropriations | | \$ 2,415 | 2,415 | | \$ 2,975 | 2,975 | | \$ 2,793 | 2,793 |
| Grants and contracts, net | 4,708 | | 4,708 | 4,515 | | 4,515 | 4,316 | | 4,316 |
| Medical centers, educational activities and auxiliary enterprises, net | 8,100 | | 8,100 | 7,415 | | 7,415 | 6,788 | | 6,788 |
| Department of Energy laboratories | 668 | | 668 | 1,049 | | 1,049 | 2,188 | | 2,188 |
| Private gifts, net | | 664 | 664 | | 734 | 734 | | 681 | 681 |
| Investment income, net | | 466 | 466 | | 532 | 532 | | 508 | 508 |
| Other revenues | 495 | 161 | 656 | 558 | 164 | 722 | 435 | 157 | 592 |
| Revenues supporting core activities | 16,068 | 3,706 | 19,774 | 15,459 | 4,405 | 19,864 | 15,465 | 4,139 | 19,604 |
| EXPENSES | | | | | | | | | |
| Salaries and benefits | 13,212 | | 13,212 | 12,401 | | 12,401 | 10,313 | | 10,313 |
| Scholarships and fellowships | 451 | | 451 | 428 | | 428 | 401 | | 401 |
| Utilities | 310 | | 310 | 298 | | 298 | 287 | | 287 |
| Supplies and materials | 2,210 | | 2,210 | 2,102 | | 2,102 | 1,910 | | 1,910 |
| Depreciation and amortization | 1,198 | | 1,198 | 1,094 | | 1,094 | 1,049 | | 1,049 |
| Department of Energy laboratories | 662 | | 662 | 1,039 | | 1,039 | 2,170 | | 2,170 |
| Interest expense | | 356 | 356 | | 400 | 400 | | 385 | 385 |
| Other expenses | 2,799 | 29 | 2,828 | 2,793 | 25 | 2,818 | 2,594 | (11) | 2,583 |
| Expenses associated with core activities | 20,842 | 385 | 21,227 | 20,155 | 425 | 20,580 | 18,724 | 374 | 19,098 |
| Income (loss) from core activities | <u>\$ (4,774)</u> | <u>\$3,321</u> | <u>(1,453)</u> | <u>\$ (4,696)</u> | <u>\$3,980</u> | <u>(716)</u> | <u>\$ (3,259)</u> | <u>\$3,765</u> | <u>506</u> |
| OTHER NONOPERATING ACTIVITIES | | | | | | | | | |
| Net (depreciation) appreciation in fair value of investments | | | (1,278) | | | (192) | | | 949 |
| Income (loss) before other changes in net assets | | | (2,731) | | | (908) | | | 1,455 |
| OTHER CHANGES IN NET ASSETS | | | | | | | | | |
| State capital appropriations | | | 313 | | | 394 | | | 293 |
| Capital gifts and grants, net | | | 155 | | | 245 | | | 217 |
| Permanent endowments | | | 11 | | | 35 | | | 39 |
| Increase (decrease) in net assets | | | (2,252) | | | (234) | | | 2,004 |
| NET ASSETS | | | | | | | | | |
| Beginning of year | | | 22,128 | | | 22,404 | | | 20,400 |
| Effect of adoption of GASB Statement No. 49 | | | | | | (42) | | | |
| Beginning of year, as restated | | | | | | 22,362 | | | |
| End of year | | | \$19,876 | | | \$22,128 | | | \$22,404 |

Revenues Supporting Core Activities

Categories of both operating and nonoperating revenue that supported the University's core activities in 2009 are as follows:



Revenues to support the University's core activities, including those classified as nonoperating revenues, were \$19.77 billion, \$19.86 billion and \$19.60 billion in 2009, 2008 and 2007, respectively. These diversified sources of revenue decreased in 2009 by \$90 million. Revenues increased in 2008 by \$260 million.

State of California educational appropriations, in conjunction with student tuition and fees, are the core components that support the instructional mission of the University. Grants and contracts provide opportunities for undergraduate and graduate students to participate in basic research alongside some of the most prominent researchers in the country. Gifts to the University allow crucial flexibility to faculty for support of their fundamental activities or new academic initiatives. Other significant revenues are from medical centers, educational activities and auxiliary enterprises such as student housing, food service operations and parking.

Student tuition and fees, net (in millions of dollars)



Student tuition and fees revenue, net of scholarship allowances, increased by \$175 million and \$184 million in 2009 and 2008, respectively. Scholarship allowances were \$566 million in 2009, \$507 million in 2008 and \$461 million in 2007. The new fee revenue over the past several years has generally been necessitated by growth in the demand for resources that has outpaced state educational appropriations. Consistent with past practices, approximately one-third of the revenue generated from these fee increases was used for financial aid to mitigate the impact on needy students.

In 2009, enrollment grew by 2.7 percent. Resident undergraduate and graduate student fees increased by 7.4 percent. Professional school fee increases varied by discipline, although most degree program fees rose substantially. In addition to the resident student fees, nonresident undergraduate and graduate students pay tuition. Tuition increased by 5 percent for both nonresident undergraduate and graduate students.

In 2008, enrollment also grew by 2.7 percent. Resident undergraduate fees increased by 7 percent, graduate student fees by 7 percent and most professional school fees by between 7 and 10 percent. Tuition increased by 5 percent for nonresident undergraduate students.

In 2007, enrollment grew by 2.5 percent. Resident undergraduate and graduate student fees were not increased in 2007. Certain professional school student fees increased by modest amounts. Nonresident undergraduate and graduate student tuition increased by nearly 5 percent.

State educational appropriations *(in millions of dollars)*



Educational appropriations from the state of California of \$2.42 billion decreased in 2009 by \$560 million. The decline in educational appropriations is a direct result of the particularly weak economic conditions in California. State resources for enrollment growth, faculty and staff increases, and other inflationary cost increases were not available, leading to an increase in student tuition and fees. After declining to \$2.46 billion in 2005, state educational appropriations gradually increased in prior years to \$2.57 billion in 2006, \$2.79 billion in 2007 and \$2.98 billion in 2008.

Grants and contracts, net *(in millions of dollars)*



Revenue from federal, state, private and local government grants and contracts—including an overall facilities and administration cost recovery of \$825 million, \$779 million and \$743 million in 2009, 2008 and 2007, respectively—increased in both 2009 and 2008 as follows:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|---|----------------|----------------|----------------|
| Federal | \$ 2,983 | \$ 2,911 | \$ 2,881 |
| State | 509 | 492 | 449 |
| Private | 1,017 | 912 | 804 |
| Local | 199 | 200 | 182 |
| Grants and contracts net revenue | \$4,708 | \$4,515 | \$4,316 |

In 2009, federal grants and contracts revenue, including the federal facilities and administration cost recovery of \$622 million, grew by \$72 million, or 2.5 percent. This revenue represents support from a variety of federal agencies as indicated below:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|--|----------------|----------------|----------------|
| Department of Health and Human Services | \$ 1,728 | \$ 1,689 | \$ 1,682 |
| National Science Foundation | 421 | 420 | 422 |
| Department of Education | 304 | 265 | 240 |
| Department of Defense | 197 | 174 | 164 |
| National Aeronautics and Space Administration | 86 | 82 | 84 |
| Department of Energy (excluding national laboratories) | 77 | 75 | 76 |
| Other federal agencies | 170 | 206 | 213 |
| Federal grants and contracts net revenue | \$2,983 | \$2,911 | \$2,881 |

State grants and contracts revenue was up by \$17 million, or 3.5 percent. Although revenue from private grants and contracts at the campuses can be volatile from year to year, overall it rose by \$105 million (11.5 percent), due primarily to a growing number of awards. Local government grants and contracts revenue declined by \$1 million.

In 2008, overall revenue from federal, state, private and local government grants and contracts increased by \$199 million, or 4.6 percent. Federal grants and contracts revenue grew by \$30 million, or 1.0 percent; state grants and contracts revenue increased by \$43 million, or 9.6 percent; private grants and contracts revenue grew by \$108 million, or 13.4 percent, and local government grants and contracts revenue grew by \$18 million, or 9.9 percent.

Medical centers, educational activities and auxiliary enterprises, net *(in millions of dollars)*



Revenue from medical centers, educational activities and auxiliary enterprises increased by \$685 million, or 9.2 percent, in 2009. In 2008, these revenues increased \$627 million, or 9.2 percent, from 2007. Revenues for each activity are as follows:

(in millions of dollars)

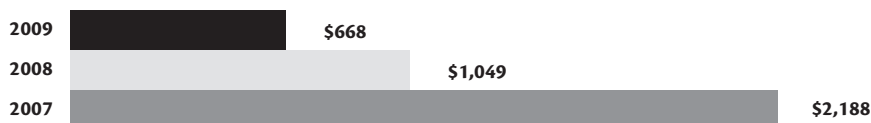
| | 2009 | 2008 | 2007 |
|--|----------------|----------------|----------------|
| Medical centers, net | \$ 5,496 | \$ 4,917 | \$ 4,526 |
| Educational activities, net | 1,460 | 1,376 | 1,250 |
| Auxiliary enterprises, net | 1,144 | 1,122 | 1,012 |
| Medical centers, educational activities and auxiliary enterprises revenues, net | \$8,100 | \$7,415 | \$6,788 |

Medical center revenue, net of allowances for uncollectible amounts, increased by \$579 million and \$391 million in 2009 and 2008, respectively. The revenue growth in both years is primarily due to renegotiated contracts, rate adjustments, improved reimbursement rates and a modest increase in patient activity (a 0.7 percent and 1.6 percent increase in patient days for 2009 and 2008, respectively; also outpatient visits grew by 0.5 percent and 4.3 percent for 2009 and 2008, respectively).

Revenue from educational activities, primarily physicians' professional fees, net of allowances for doubtful accounts, grew by \$84 million in 2009, or 6.1 percent, and by \$126 million, or 10.1 percent, in 2008 and is generally associated with an expanded patient base and higher rates.

Revenue from auxiliary enterprises, net of scholarship allowances, grew by \$22 million in 2009, or 2.0 percent, and by \$110 million in 2008, or 10.9 percent, generally as a result of fee increases to support new and remodeled facilities in both years and student demand for additional room capacity in new residence halls in 2008. Scholarship allowances, substantially all for housing expenses, were \$142 million in 2009, \$127 million in 2008 and \$119 million in 2007.

DOE laboratories (in millions of dollars)



The national laboratories operate on federally financed budgets. Revenue in 2009, 2008 and 2007 is as follows:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|--|--------------|----------------|----------------|
| Lawrence Berkeley National Laboratory | \$ 619 | \$ 546 | \$ 518 |
| Lawrence Livermore National Laboratory | | 447 | 1,611 |
| DOE revenue related to pension benefits | | | 17 |
| DOE revenue related to retiree health benefits | 49 | 56 | 42 |
| DOE laboratories revenue | \$668 | \$1,049 | \$2,188 |

DOE laboratories' revenues decreased by \$381 million in 2009 and declined by \$1.14 billion in 2008.

At LBNL, revenue in 2009 increased across all the laboratory's divisions, most notably in Computer Science (\$17 million), Physical Bioscience (\$12 million) and Environmental Energy (\$7 million). In 2008, revenue increased in Physical Sciences and Materials Sciences primarily to support the Joint BioEnergy Institute and Materials Sciences Molecular Foundry, respectively.

LLNL revenue was reported in the University's financial statements through September 30, 2007, the date the University's contract to directly manage and operate LLNL terminated. The contract transitioned to LLNS effective October 1, 2007.

The DOE has an ongoing financial responsibility for all current and future pension benefit and retiree health expenses incurred at any of the national laboratories. The University recognizes the DOE's financial responsibility by recording DOE revenue to the extent there are any pension or retiree health expenses attributable to the DOE laboratories.

Private gifts, net (in millions of dollars)



Gifts may be made directly to the University or through one of the University's campus foundations. Private gifts, substantially all restricted as to use, decreased by \$70 million in 2009. Grants from the campus foundations totaling \$445 million, recorded as private gifts by the University, decreased by \$83 million, although other private sources were up by \$13 million. Until 2009, gifts received from the campus foundations had generally increased. Private gifts in 2008 of \$734 million were substantially above the \$681 million in 2007.

In addition to private gifts for operating purposes, gifts are also received for capital purposes—recorded as capital gifts and grants—and for permanent endowments. The combined gifts for operating, capital and permanent endowment purposes totaled \$830 million in 2009, \$1.01 billion in 2008 and \$937 million in 2007.

Investment income, net (in millions of dollars)



Investment income, principally consisting of \$234 million from STIP, \$66 million from TRIP and \$138 million from endowments invested in GEP, decreased in 2009 by \$66 million. Investment income from STIP declined by \$110 million in 2009, partially as a result of \$1.52 billion of STIP investments exchanged in August 2008 into the new TRIP, and grew by \$4 million in 2008. The STIP return distributed to participants was 3.6 percent in 2009 and 4.7 percent in 2008. TRIP income for the year was \$66 million. Endowment income dropped by \$21 million in 2009 and by \$3 million in 2008. A reduction in interest rates during the year resulted in lower relative levels of both gross income and rebates.

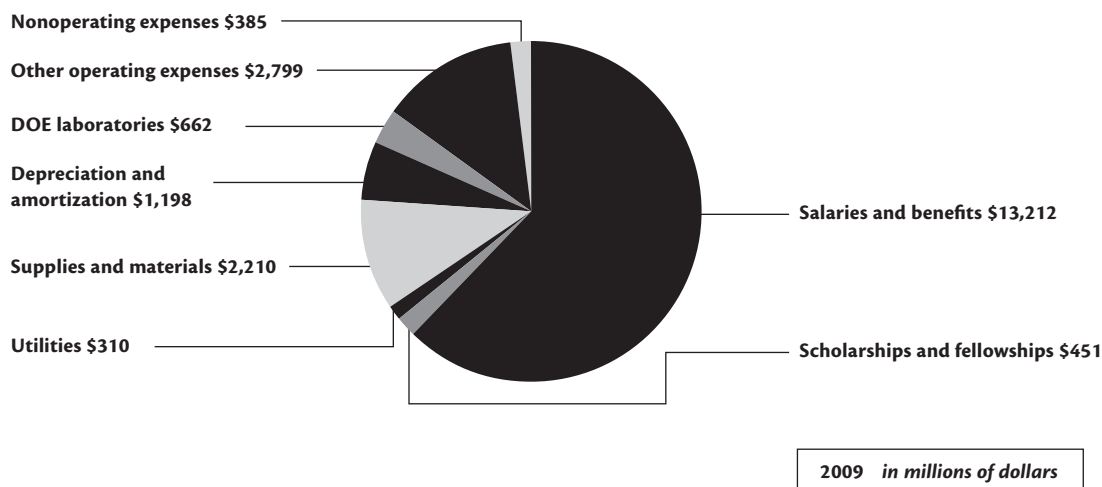
Other revenues (in millions of dollars)



Other revenues are from a variety of sources, including state financing appropriations and patent royalty income. Collectively, these revenues dropped by \$66 million in 2009 after growing by \$130 million in 2008. Patent royalty income declined in 2009 by \$45 million after increasing in 2008 by \$50 million. State financing appropriations were less in 2009 by \$3 million after growing by \$7 million in 2008. Compensation to the University as a member of LANS and LLNS totaled \$28 million in 2009 and \$25 million in 2008.

Expenses Associated with Core Activities

Categories of both operating and nonoperating expenses related to the University’s core activities in 2009 are as follows:



Expenses associated with the University’s core activities, including those classified as nonoperating expenses, were \$21.23 billion, \$20.58 billion and \$19.10 billion in 2009, 2008 and 2007, respectively. Expenses increased in 2009 by \$647 million. Salaries, benefits and other operating expenses outpaced the reduction in DOE laboratory expenses. Expenses increased in 2008 by \$1.48 billion. Major changes in 2008 included retiree health benefit costs brought about by the implementation of GASB Statement No. 45 of \$1.36 billion that were partially offset by a \$1.13 billion reduction in DOE laboratory expenses from termination of the University’s direct contract with the DOE to manage LLNL.

Salaries and benefits *(in millions of dollars)*



Over 60 percent of the University’s expenses are related to salaries and benefits. There are nearly 135,000 full time equivalent (FTE) employees in the University, excluding employees who are associated with LBNL whose salaries and benefits are included as laboratory expenses. FTE employees increased by approximately 3,300 in 2009 and nearly 50 percent were for academic and health sciences staff. The remaining increase in FTE employees was for staff to support the growth in research activities, as well as other activities of the University’s mission.

Salaries and benefits for 2009, 2008 and 2007 are as follows:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|------------------------------|-----------------|-----------------|-----------------|
| Salaries and wages | \$ 9,823 | \$ 9,359 | \$ 8,569 |
| Pension benefits | 69 | 3 | 6 |
| Retiree health benefits | 1,502 | 1,355 | 175 |
| Other employee benefits | 1,818 | 1,684 | 1,563 |
| Salaries and benefits | \$13,212 | \$12,401 | \$10,313 |

During 2009, overall salaries and benefits grew by \$811 million from 2008, or 6.5 percent.

Salaries and wages increased by \$464 million in 2009, or 5.0 percent, including \$90 million, or 4.2 percent, at the University’s five medical centers. Other than at medical centers, salary and wage cost increases were primarily related to new academic and administrative employees necessary to directly support the increase in academic and research programs. As a result of reductions in state educational appropriations, generally there were no salary increases for staff in 2009, although faculty continued to receive merit increases.

The University’s pension benefit expense is actuarially determined and independently calculated for the campuses and medical centers, separate from the DOE laboratories. Due to the funded status of the campus and medical center segment of UCRP, pension benefit costs were not significant in 2008 or 2007. However, in 2009 the University recorded an actuarially determined pension cost of \$69 million, based upon the latest actuarial valuation as of July 1, 2008, as the plan assets and actuarial liabilities begin to converge.

The University’s retiree health benefit expense is also actuarially determined and independently calculated for the campus and medical centers, separate from LBNL. Retiree health benefit expense for the University’s campuses and medical centers was \$1.50 billion and \$1.36 billion in 2009 and 2008, respectively. Prior to 2008, retiree health benefit expenses were recognized as they were paid.

Other employee benefit costs in 2009 increased by \$134 million, or 8.0 percent. The most prevalent increases were health insurance costs for active employees of \$117 million and the employer portion of payroll taxes of \$30 million, partially offset by lower worker’s compensation costs of \$31 million.

During 2008, salaries and benefits grew by \$2.09 billion from 2007, or 20.2 percent. Salaries and wages increased by \$790 million, or 9.2 percent, including \$278 million at the University’s medical centers where the growth was 12.1 percent. Retiree health benefit expense for the University’s campuses and medical centers resulting from the implementation of GASB Statement No. 45 was \$1.36 billion. Other benefit costs increased by \$121 million, or 7.7 percent, primarily from increases in health insurance costs of \$59 million, the employer portion of payroll taxes of \$42 million and student fee remissions of \$14 million.

Scholarships and fellowships *(in millions of dollars)*



Despite increases in student tuition and fees, the University places a high priority on student financial aid as part of its commitment to affordability. Scholarships and fellowships, representing payments of financial aid made directly to students and reported as an operating expense, were higher by \$23 million in 2009 than in 2008, an increase of 5.5 percent, and were higher by \$27 million in 2008 than in 2007, an increase of 6.6 percent. In addition, scholarship allowances, representing financial aid and fee waivers by the University, are also forms of scholarship and fellowship costs that increased in 2009 by \$74 million, or 11.5 percent, to \$715 million and increased in 2008 by 9.2 percent to \$641 million. However, scholarship allowances are reported as an offset to revenue, not as an operating expense. On a combined basis, as the University continues its commitment to provide financial support for needy students, financial aid in all forms grew to \$1.17 billion in 2009 from \$1.07 billion in 2008 and \$988 million in 2007, an increase of \$178 million over the past two years, or 18.0 percent.

Utilities *(in millions of dollars)*



Utility costs rose by \$12 million in 2009 and by \$11 million in 2008. Over 80 percent of the University's utility costs are for electricity and natural gas. Electricity costs were up by \$10 million in 2009, after decreasing by \$8 million in 2008. Natural gas costs decreased by \$8 million in 2009 after increasing by \$14 million in 2008. Costs in 2009 for water increased by \$8 million.

Supplies and materials *(in millions of dollars)*



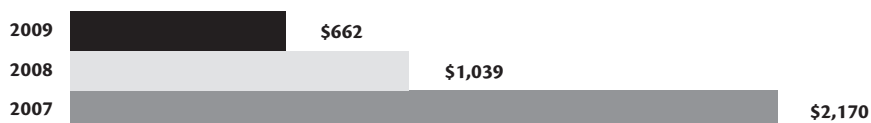
During 2009, overall supplies and materials costs increased by \$108 million, or 5.2 percent, and in 2008, by \$192 million, or 10.0 percent. In recent years, there has been inflationary pressure on the costs for medical supplies and laboratory instruments and higher costs for general supplies necessary to support expanded research activity and student enrollment. While that trend continued in 2009 for medical supplies, registering an \$86 million, or 10.0 percent, increase, general supplies were reduced by \$12 million, or 1.7 percent. Supplies associated with capital spending patterns increased by \$18 million.

Depreciation and amortization (in millions of dollars)



Higher capital spending over the past several years necessary to upgrade facilities and support both recent and anticipated enrollment growth resulted in depreciation and amortization expense increasing to \$1.20 billion in 2009 from \$1.09 billion in 2008 and \$1.05 billion in 2007.

DOE laboratories (in millions of dollars)



DOE laboratory expenses in 2009, 2008 and 2007 are as follows:

| (in millions of dollars) | | | |
|--|--------------|----------------|----------------|
| | 2009 | 2008 | 2007 |
| Lawrence Berkeley National Laboratory | \$ 613 | \$ 540 | \$ 514 |
| Lawrence Livermore National Laboratory | | 443 | 1,597 |
| DOE expense related to pension benefits | | | 17 |
| DOE expense related to retiree health benefits | 49 | 56 | 42 |
| DOE laboratory expenses | \$662 | \$1,039 | \$2,170 |

DOE laboratories' expenses decreased by \$377 million in 2009 and declined by \$1.13 billion in 2008.

At LBNL, expenses, excluding pension and retiree health, grew by \$73 million in 2009 and \$26 million in 2008. Salaries, along with employee benefits for active employees, are the predominant expenses totaling \$324 million in 2009, an increase of \$21 million from 2008. Salaries and employee benefits for active employees increased by \$10 million in 2008. Supplies and materials in 2009 and 2008 required for maintenance and seismic safety upgrades increased by \$23 million in both years. Spending for equipment grew by \$17 million in 2009 after declining by \$11 million in 2008.

LLNL operating expenses were reported in the University's financial statements through September 30, 2007, the date the University's contract to directly manage and operate LLNL terminated. The contract transitioned to LLNS effective October 1, 2007.

As discussed above, the University's pension benefit expense is actuarially determined and independently calculated for the DOE laboratories, separate from the campuses and medical centers. Due to the funded status of the DOE laboratory segment of UCRP, there was no pension benefit expense attributable to the DOE laboratories in 2009 or 2008, although there was an expense in 2007 associated with employees who formerly worked at LANL.

Beginning in 2008, the University's retiree health benefit expense is also actuarially determined and independently calculated for LBNL, separate from the campuses and medical centers. LANL and LLNL do not participate in the University's retiree health plan subsequent to their contract termination dates. Retiree health benefit expense for the DOE laboratories in 2009 of \$49 million is entirely attributable to LBNL retirees. Retiree health benefit expense for the DOE laboratories in 2008 of \$56 million consists of \$44 million for LBNL retirees resulting from the implementation of GASB Statement No. 45, and \$12 million for LLNL activity through September 30, 2007. Prior to 2008, retiree health benefit expenses were recognized as they were paid and included LLNL and LANL retirees through their contract termination dates.

Interest expense (in millions of dollars)

| | |
|------|-------|
| 2009 | \$356 |
| 2008 | \$400 |
| 2007 | \$385 |

Interest expense, reported as a nonoperating expense, decreased by \$44 million in 2009 after increasing by \$15 million in 2008. The University has incurred additional interest expense as a result of new capital leases and bonds issued during the past three years, although the weighted average interest rate of the overall portfolio has decreased due to refinancing previously outstanding bonds at lower rates. Commercial paper rates have declined over the three years serving to reduce the University's short-term borrowing costs. Interest capitalized as part of construction costs also reduces interest expense. Capitalized interest was \$90 million in 2009, \$25 million in 2008 and \$34 million in 2007.

Other expenses (in millions of dollars)

| | |
|------|---------|
| 2009 | \$2,828 |
| 2008 | \$2,818 |
| 2007 | \$2,583 |

Other expenses consist of a variety of expense categories, including travel, rent, insurance, legal settlements and repairs and maintenance, plus any gain or loss on disposals of capital assets and other nonoperating expenses. These expenses increased by \$10 million in 2009 and increased by \$235 million in 2008. In 2009, most expense categories either declined or increased very modestly. In 2008, there were substantive increases across nearly all expense categories, including a non-recurring legal settlement of \$40 million, partially offset by improved management of professional liability insurance claims that resulted in lower costs by \$44 million. Disposals and write-offs of capital assets resulted in a loss of \$27 million in 2009 compared to a loss of \$16 million in 2008. Typically, routine disposals result in a very slight gain or loss.

In accordance with the GASB's reporting standards, operating losses were \$4.77 billion in 2009, \$4.70 billion in 2008 and \$3.26 billion in 2007. The operating loss in 2009 was partially offset by \$3.32 billion of net revenue that is required by the GASB to be classified as nonoperating, but clearly supports core operating activities of the University. As a result, expenses associated with core activities in 2009 exceeded revenue available to support core activities by \$1.45 billion.

Operating losses in 2008 increased significantly from 2007 due primarily to the change in financial reporting for retiree health benefit expense that resulted from implementation of GASB Statement No. 45. In 2008, operating losses of \$4.70 billion were partially offset by \$3.98 billion of net nonoperating revenue resulting in expenses exceeding revenue to support core activities by \$716 million. In 2007, operating losses of \$3.26 billion were more than offset by \$3.77 billion of net nonoperating revenue. In that year, revenue to support core activities exceeded the associated expenses by \$506 million.

Other Nonoperating Activities

The University's other nonoperating activities, consisting of net appreciation or depreciation in the fair value of investments, are noncash transactions and, therefore, are not available to support operating expenses.

Net appreciation (depreciation) in fair value of investments *(in millions of dollars)*



In 2009, the University recognized net depreciation in the fair value of investments of \$1.28 billion compared to net depreciation of \$192 million during 2008 and net appreciation of \$949 million during 2007. Equity markets suffered losses in both 2009 and 2008, although the losses were partially offset by an increase in the fair value of certain securities in the fixed-income portfolios. Conversely, in 2007, equity markets delivered substantial gains, although as short-term interest rates rose the fair value of securities in the fixed-income portfolios declined.

Other Changes in Net Assets

Similar to other nonoperating activities discussed above, other changes in net assets are also not available to support the University's operating expenses in the current year. State capital appropriations and capital gifts and grants may only be used for the purchase or construction of the specified capital assets. Only income earned from gifts of permanent endowments is available in future years to support the specified program.

State capital appropriations *(in millions of dollars)*



The University's enrollment growth requires new facilities, in addition to continuing needs for renewal, modernization and seismic correction of existing facilities. Capital appropriations from the state of California decreased by \$81 million in 2009 after increasing by \$101 million in 2008. Capital appropriations are from bond measures approved by the California voters.

Capital gifts and grants, net *(in millions of dollars)*



Capital gifts and grants decreased by \$90 million in 2009 after increasing by \$28 million in 2008. The pattern in 2009 was opposite of 2008. In 2009, private capital gifts declined substantially, although grants from federal and state sources grew by \$32 million. In 2008, the opposite occurred. Private capital gifts increased, offsetting reductions from federal and state sources. Significant Federal Emergency Management Agency (FEMA) grants, primarily for the replacement hospitals at UCLA, declined in 2008 as the projects approached completion. Grants from FEMA decreased by \$26 million in 2008 after increasing by \$7 million in 2007.

Permanent endowments *(in millions of dollars)*

| | |
|------|------|
| 2009 | \$11 |
| 2008 | \$35 |
| 2007 | \$39 |

Gifts of permanent endowments to the University are a measure of the University's continuing emphasis on private giving. In addition to gifts directly to the University, many gifts of permanent endowments are made through the campus foundations in support of University activities. Combined gifts of permanent endowments to both the University and campus foundations totaled \$165 million in 2009, \$215 million in 2008 and \$210 million in 2007.

The University's Cash Flows

The statement of cash flows presents the significant sources and uses of cash. A summary comparison of cash flows for 2009, 2008 and 2007 is as follows:

| <i>(in millions of dollars)</i> | 2009 | 2008 | 2007 |
|---|----------------|----------------|----------------|
| Cash received from operations | \$ 15,352 | \$ 14,438 | \$ 13,100 |
| Cash payments for operations | (17,616) | (16,385) | (15,299) |
| Net cash used by operating activities | (2,264) | (1,947) | (2,199) |
| Net cash provided by noncapital financing activities | 3,821 | 3,708 | 3,472 |
| Net cash used by capital and related financing activities | (1,800) | (1,453) | (1,721) |
| Net cash provided (used) by investing activities | 623 | (347) | 393 |
| Net increase (decrease) in cash | 380 | (39) | (55) |
| Cash, beginning of year | 108 | 147 | 202 |
| Cash, end of year | \$ 488 | \$ 108 | \$ 147 |

The University's cash in demand deposit accounts rose by \$380 million in 2009 and declined by \$39 million and \$55 million in 2008 and 2007, respectively. Cash in demand deposit accounts is minimized by sweeping available cash balances into investment accounts on a daily basis, although a \$345 million deposit from the state at the end of 2009 was not invested in STIP until the following day creating the significant increase from the 2008 cash levels.

Over \$2.26 billion of cash was used for operating activities in 2009. Cash used for operating purposes has fluctuated within a range of \$1.95 billion to \$2.26 billion over the past three years.

Cash provided by noncapital financing activities has ranged between \$3.47 billion and \$3.82 billion over the same three years. As defined by the GASB, cash flows from noncapital financing activities includes state educational appropriations and gifts received for other than capital purposes that are used to support operating activities.

Cash flows from noncapital financing activities exceeded cash flows required for operating purposes by \$1.56 billion in 2009, \$1.76 billion in 2008 and \$1.27 billion in 2007. However, as previously indicated, subsequent to 2009, the state of California finalized their State Budget Act that required reversion to the state of \$715 million of 2009 state educational appropriations previously received. Had the State Budget Act been finalized prior to the end of the year, cash flows from noncapital financing activities would have been \$715 million less than reported.

Net cash of \$1.80 billion, \$1.45 billion and \$1.72 billion was used in 2009, 2008 and 2007, respectively, for capital and related financing activities, primarily for purchases of capital assets and principal and interest payments, partially offset by sources that include new external financing, state and federal capital appropriations and gifts for capital purposes.

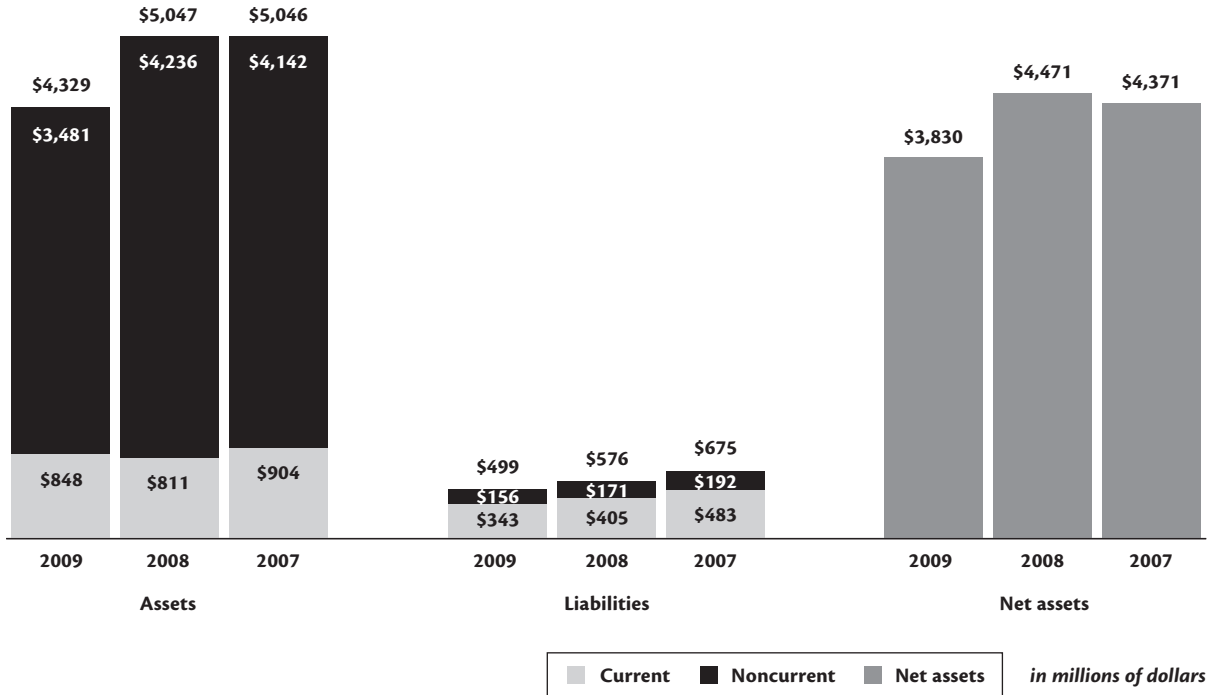
The year-to-year changes in cash provided (used) by investing activities is largely the result of the routine timing of investment purchases and, to a lesser extent, investment income.

THE UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS

Separate foundations at each individual campus provide valuable assistance in fundraising, public outreach and other support for the missions of the campus and the University. Although independent boards govern each of these ten foundations, they are affiliated with, and their assets are dedicated for, the benefit of the University of California.

The Campus Foundations' Financial Position

The campus foundations' statement of net assets presents their combined financial position at the end of the year. It displays all of the campus foundations' assets, liabilities and net assets. The difference between assets and liabilities are net assets, representing a measure of the current financial condition of the campus foundations.



The major components of the combined assets, liabilities and net assets of the campus foundations at 2009, 2008 and 2007 are as follows:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|--|----------------|----------------|----------------|
| ASSETS | | | |
| Investments | \$3,525 | \$ 4,159 | \$ 4,037 |
| Investment of cash collateral | 189 | 280 | 367 |
| Pledges receivable, net | 402 | 421 | 450 |
| Other assets | 213 | 187 | 192 |
| Total assets | 4,329 | 5,047 | 5,046 |
| LIABILITIES | | | |
| Securities lending collateral | 189 | 280 | 367 |
| Obligations under life income agreements | 162 | 181 | 181 |
| Other liabilities | 148 | 115 | 127 |
| Total liabilities | 499 | 576 | 675 |
| NET ASSETS | | | |
| Restricted: | | | |
| Nonexpendable | 1,867 | 1,916 | 1,728 |
| Expendable | 1,951 | 2,528 | 2,628 |
| Unrestricted | 12 | 27 | 15 |
| Total net assets | \$3,830 | \$4,471 | \$4,371 |

Assets. Investments in 2009 declined by \$634 million from 2008. The significant changes were \$743 million of net depreciation in the fair value of investments and \$91 million of net cash payments as foundations' grants to the University were greater than the cash receipts from gifts, partially offset by \$154 million of new permanent endowments and \$64 million of investment income.

Investments in 2008 grew by \$122 million from 2007, generally resulting from \$180 million of new permanent endowments, \$78 million of investment income and \$12 million of net cash receipts, partially offset by \$143 million of net depreciation in the fair value of investments.

The Board of Trustees for each campus foundation is responsible for its specific investment policy, although asset allocation guidelines are recommended to campus foundations by the Investment Committee of The Regents. The Boards of Trustees may determine that all or a portion of their investments will be managed by the University's Chief Investment Officer. The Chief Investment Officer managed \$922 million, \$1.03 billion and \$1.13 billion of the campus foundations' investments at the end of 2009, 2008 and 2007, respectively.

The financial markets, both domestically and internationally, are currently demonstrating significant volatility that affect the valuation of investments. The Boards of Trustees for the campus foundations utilize asset allocation strategies that are intended to optimize investment returns over time in accordance with investment objectives and at acceptable levels of risk.

The campus foundations' statement of net assets includes an allocation of the University's securities lending assets and liabilities at the end of each year and income and rebates for the year, in accordance with their respective investments with the University. Two campus foundations participate directly in their own securities lending program. The investment of cash collateral and related securities lending liability allocated by the University to the campus foundations totaled \$160 million, \$199 million and \$320 million at the end of 2009, 2008 and 2007, respectively. The campus foundations with direct participation loaned securities for cash collateral of \$29 million, \$78 million and \$46 million at the end of 2009, 2008 and 2007, respectively.

Certain campuses and campus foundations have comprehensive fund-raising campaigns underway, raising both gifts and pledges. Pledges receivable, representing gifts to be received in the future, were \$402 million at the end of 2009, down slightly by \$19 million from last year. Pledges receivable were \$421 million at the end of 2008, down by \$29 million from 2007.

Liabilities. Total campus foundations' liabilities were \$499 million in 2009 compared to \$576 million in 2008 and \$675 million in 2007. The decrease in both years is primarily related to lower securities lending activity that dropped by \$91 million and \$87 million in 2009 and 2008, respectively.

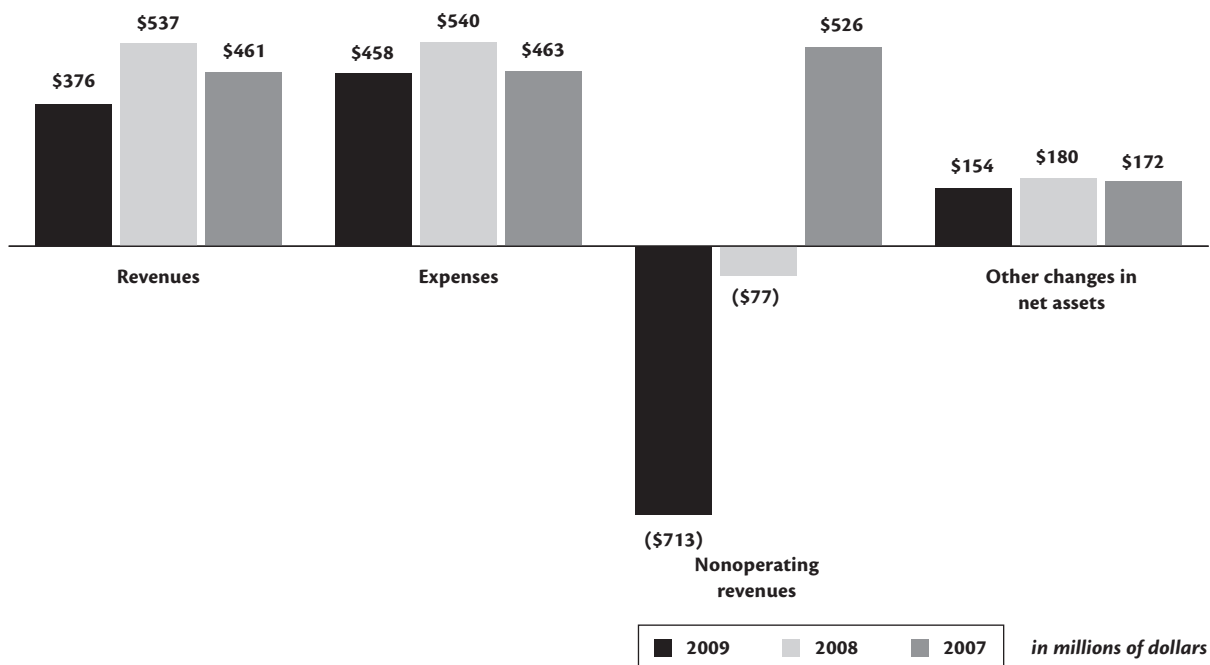
Net assets. Net assets are reported in certain categories based upon the nature of the restrictions on their use.

Restricted, nonexpendable net assets include the corpus of the campus foundations' permanent endowments and the estimated fair value of certain planned giving arrangements. The increase is primarily attributable to new permanent endowment gifts received, partially offset by an increase in the estimated liability to beneficiaries of the planned giving arrangements.

Restricted, expendable net assets are subject to externally imposed restrictions governing their use. These net assets may be spent only in accordance with the restrictions placed upon them and may include endowment income and investment gains, subject to each individual campus foundation's spending policy; support received from gifts; trustee held investments; or other third party receipts. New gifts and net appreciation or depreciation in the fair value of investments were the primary reasons for the changes in value in 2009 and 2008.

Under generally accepted accounting principles, net assets that are not subject to externally imposed restrictions governing their use must be classified as unrestricted for financial reporting purposes.

The Campus Foundations' Results of Operations



The campus foundations' combined statement of revenues, expenses and changes in net assets is a presentation of their operating results for the year. It indicates whether their financial condition has improved or deteriorated during the year. A summarized comparison of the operating results for 2009, 2008 and 2007 is as follows:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|--|----------------|----------------|----------------|
| OPERATING REVENUES | | | |
| Private gifts | \$ 373 | \$ 534 | \$ 458 |
| Other revenues | 3 | 3 | 3 |
| Total operating revenues | 376 | 537 | 461 |
| OPERATING EXPENSES | | | |
| Grants to campuses | 445 | 528 | 451 |
| Other expenses | 13 | 12 | 12 |
| Total operating expenses | 458 | 540 | 463 |
| Operating loss | (82) | (3) | (2) |
| NONOPERATING REVENUES (EXPENSES) | | | |
| Investment income | 64 | 78 | 79 |
| Net appreciation (depreciation) in fair value of investments | (743) | (143) | 451 |
| Other nonoperating expenses | (34) | (12) | (4) |
| Income (loss) before other changes in net assets | (795) | (80) | 524 |
| OTHER CHANGES IN NET ASSETS | | | |
| Permanent endowments | 154 | 180 | 172 |
| Increase in net assets | (641) | 100 | 696 |
| NET ASSETS | | | |
| Beginning of year | 4,471 | 4,371 | 3,675 |
| End of year | \$3,830 | \$4,471 | \$4,371 |

Operating loss. Operating revenues generally consist of current-use gifts, including pledges and income from other fund-raising activities, although they do not include additions to permanent endowments and endowment income. Operating revenues decreased by \$161 million in 2009 after increasing by \$76 million in 2008.

Operating expenses generally consist of grants to University campuses, comprised of current-use gifts and endowment income and other expenses, including gift fees. Grants to campuses typically follow the pattern indicated by private gift revenue; however, the campus' programmatic needs are also taken into consideration, subject to abiding by the designated purposes of gifts to the endowment and the amounts available for grants in any particular year.

Private gift revenue includes pledges, a non-cash operating revenue. Grants to the campuses can only be made when the cash is received and, in addition, also include endowment investment income, classified as nonoperating income. Therefore, operating losses can occur when grants distributed to the campuses in any particular year exceed private gift revenue.

Nonoperating revenues (expenses). Nonoperating revenues or expenses include net investment income, net appreciation or depreciation in the fair value of investments and adjustments to gift annuity and trust liabilities. Investment income of \$64 million was lower than \$78 million in 2008 and \$79 million in 2007. Due to the performance of the financial markets in 2009 and 2008, the campus foundations' results include \$743 million and \$143 million of net depreciation in the fair value of investments in 2009 and 2008, respectively, compared to \$451 million of net appreciation in the fair value of investments in 2007.

Other changes in net assets. Gifts of permanent endowments of \$154 million in 2009 dropped by \$26 million from 2008 levels. In 2008, gifts of permanent endowments grew by \$8 million from 2007.

The Campus Foundations' Cash Flows

The campus foundations' combined statement of cash flows presents the significant sources and uses of cash and cash equivalents. A summary comparison of cash flows for 2009, 2008 and 2007 is as follows:

(in millions of dollars)

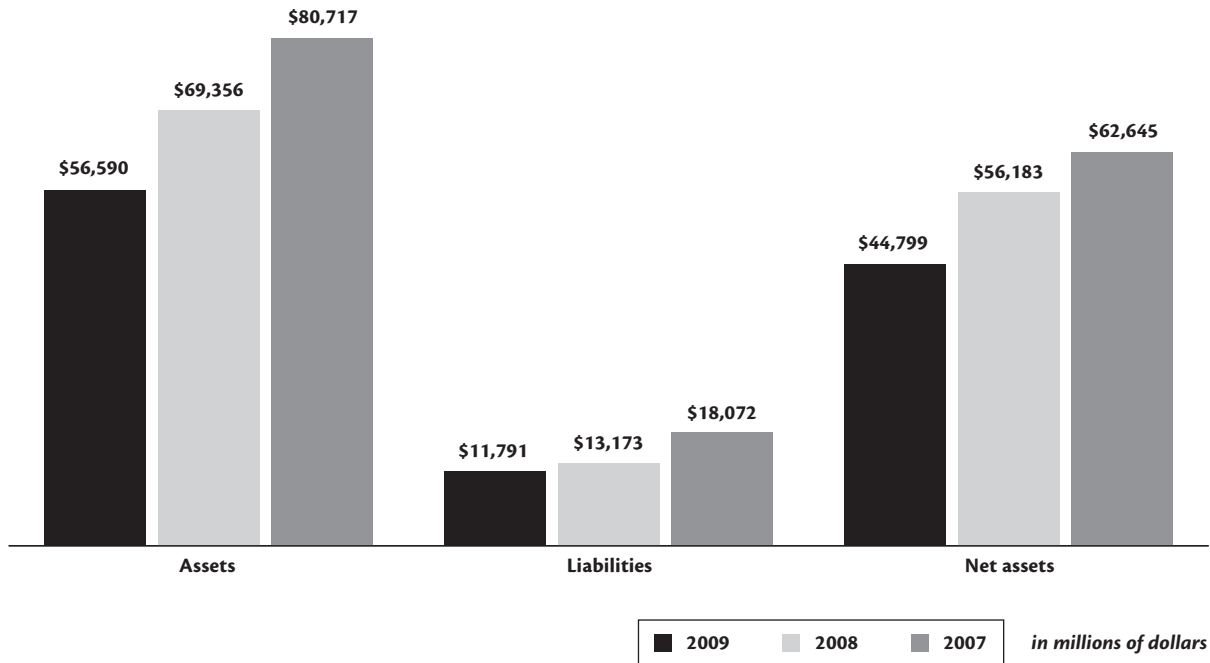
| | 2009 | 2008 | 2007 |
|---|---------------|---------------|---------------|
| Cash received from private gifts | \$ 387 | \$ 551 | \$ 429 |
| Cash payments for grants | (472) | (547) | (463) |
| Other cash receipts (payments), net | (6) | 8 | 3 |
| Net cash provided (used) by operating activities | (91) | 12 | (31) |
| Net cash provided by noncapital financing activities | 147 | 163 | 163 |
| Net cash used by investing activities | (24) | (186) | (96) |
| Net increase (decrease) in cash and cash equivalents | 32 | (11) | 36 |
| Cash and cash equivalents, beginning of year | 151 | 162 | 126 |
| Cash and cash equivalents, end of year | \$ 183 | \$ 151 | \$ 162 |

Cash and cash equivalents were \$183 million in 2009 compared to \$151 million in 2008, an increase of \$32 million. In 2008, cash decreased by \$11 million. Cash used by operating activities was \$91 million in 2009 compared to cash provided of \$12 million in 2008. Private gift revenue fell in 2009 as a result of economic conditions. As discussed above, cash payments for grants are an operating activity, but these payments also include investment income which is an investing activity. In addition, while the trend is for grants to campuses to coincide with contributions revenue, the timing may not always occur in the same year. Cash provided by noncapital financing activities primarily results from cash gifts for permanent endowments. Cash used by investing activities totaled \$24 million in 2009 compared to \$186 million in 2008. The difference is the result of the routine timing of investment purchases.

THE UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS)

UCRS is a valuable component of the comprehensive benefits package offered to employees of the University. UCRS consists of the University of California Retirement Plan, a defined benefit plan for members; the University of California Retirement Savings Program (UCRSP) that includes four defined contribution plans (Defined Contribution Plan (DC Plan), Supplemental Defined Contribution Plan, 403(b) Plan and 457(b) Plan) to complement the defined benefit plan, with several investment portfolio options for participants' elective and non-elective contributions; and the California Public Employees Retirement System (PERS) Voluntary Early Retirement Incentive Plan (PERS-VERIP) for certain University employees that were members of PERS who elected early retirement.

UCRS' Financial Position



The statement of plans' fiduciary net assets presents the financial position of UCRS at the end of the fiscal year. It displays all of the retirement system's assets, liabilities and net assets. The difference between assets and liabilities are the net assets held in trust for pension benefits. These represent amounts available to provide pension benefits to members of UCRP and participants in the defined contribution plans and PERS-VERIP. At June 30, 2009, the UCRS plans' assets were nearly \$57 billion, liabilities nearly \$12 billion and net assets held in trust for pension benefits nearly \$45 billion, a decrease of \$11.38 billion from 2008. Net assets decreased in 2008 by \$6.46 billion from 2007.

The major components of the assets, liabilities and net assets available for pension benefits for 2009, 2008 and 2007 are as follows:

| <i>(in millions of dollars)</i> | 2009 | 2008 | 2007 |
|--|-----------------|-----------------|-----------------|
| ASSETS | | | |
| Investments | \$42,353 | \$ 52,532 | \$ 59,685 |
| Participants' interest in mutual funds | 2,924 | 3,773 | 3,794 |
| Investment of cash collateral | 10,350 | 12,162 | 16,884 |
| Other assets | 963 | 889 | 354 |
| Total assets | 56,590 | 69,356 | 80,717 |
| LIABILITIES | | | |
| Securities lending collateral | 10,387 | 12,224 | 16,885 |
| Other liabilities | 1,404 | 949 | 1,187 |
| Total liabilities | 11,791 | 13,173 | 18,072 |
| NET ASSETS HELD IN TRUST FOR PENSION BENEFITS | | | |
| Members' defined benefit plan benefits | 32,316 | 42,099 | 48,192 |
| Participants' defined contribution plan benefits | 12,483 | 14,084 | 14,453 |
| Total net assets held in trust for pension benefits | \$44,799 | \$56,183 | \$62,645 |

Assets. UCRS investments, along with participants' interest in mutual funds, totaled \$45.28 billion at the end of 2009 compared to \$56.31 billion at the end of 2008, a decrease of \$11.03 billion. The decrease was generally a result of \$11.33 billion of net depreciation in the fair value of investments and benefit payments and participant withdrawals of \$2.47 billion that were partially offset by \$1.51 billion in net investment earnings, \$929 million in contributions to UCRS and the net effect of the securities trading settlements of \$332 million at the beginning and end of the year.

In 2008, UCRS investments, including participants' interest in external mutual funds, decreased by \$7.17 billion. The decrease in 2008 was generally a result of \$4.98 billion of net depreciation in the fair value of investments, benefit payments and participant withdrawals of \$2.80 billion, a transfer of UCRP assets to the LLNS defined benefit plan of \$1.57 billion and the net effect of the securities trading settlements of \$928 million at the beginning and end of the year, partially offset by \$1.89 billion in net investment earnings and \$1.04 billion in contributions to UCRS.

During 2009, participants' interest in external mutual funds, representing defined contribution plan contributions to certain mutual funds on a custodial plan basis, dropped by \$849 million to \$2.92 billion primarily through a combination of \$1.02 billion of depreciation in the fair value of investments and \$157 million of participant withdrawals, partially offset by \$256 million of participant contributions, \$69 million of investment earnings and \$7 million transferred from University-managed investments. In 2008, participants' interest in external mutual funds dropped by \$21 million to \$3.77 billion primarily through a combination of \$443 million of depreciation in the fair value of investments and \$289 million of participant withdrawals that was nearly offset by \$299 million of participant contributions, \$259 million of investment earnings and \$153 million transferred from University-managed investments.

Along with the University, UCRS participates in a securities lending program as a means to augment income. The investment of cash collateral and the associated liability for collateral held by UCRS for securities on loan at the end of the year decreased in 2009 and 2008 by 15 percent and 28 percent, respectively. As with the University, there was decreased demand from borrowers for certain classes of fixed income securities and decreased availability of certain of the UCRS' equity securities resulting from asset allocation changes from publicly traded equity securities to alternative investments.

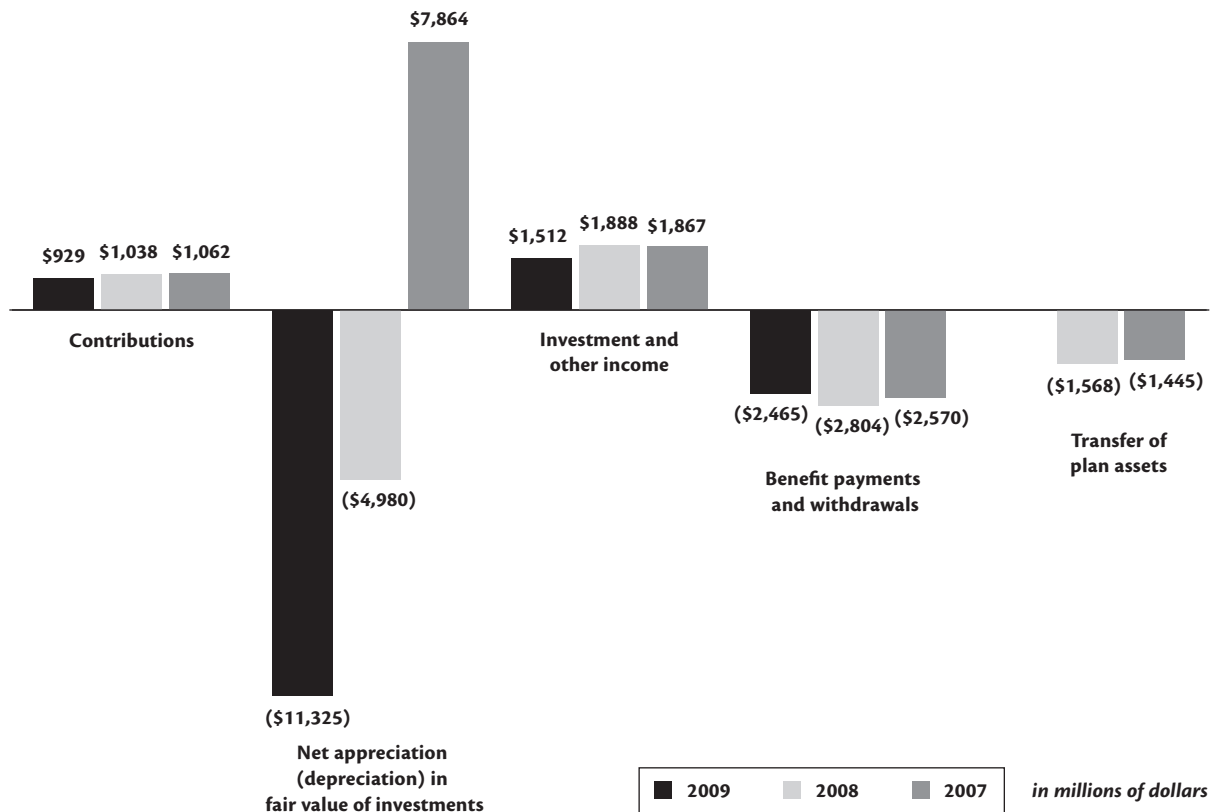
Liabilities. Total UCRS liabilities were \$11.79 billion in 2009 compared to \$13.17 billion and \$18.07 billion in 2008 and 2007, respectively. The most significant aspect of the change from year-to-year is a result of the demand under the securities lending program, with the remainder a result of changes in the liabilities for security purchases to be settled after year-end.

Net assets. As of June 30, 2009, a total of \$32.32 billion of the net assets are dedicated to the UCRP members' defined benefit plan benefits and over \$12.48 billion are associated with participants' tax deferred, defined contribution plan benefits. As of July 1, 2008, the date of the most recent actuarial report, the UCRP's overall funded ratio was 103.0 percent compared to 104.8 percent as of July 1, 2007.

While all assets of UCRP are available to pay any member's benefits, assets and liabilities for the campus and medical center segment of UCRP internally are tracked separately from the DOE national laboratory segment of UCRP. As of July 1, 2008, the funded ratio for the campus and medical center segment was 103.4 percent compared to 105.2 percent as of July 1, 2007. For the DOE national laboratory segment, as of July 1, 2008 the funded ratio was 101.3 percent compared to 103.5 percent as of July 1, 2007. The DOE has a continuing obligation to the University to provide contributions to pay UCRP benefits to laboratory segment retirees.

The Regents utilizes asset allocation strategies that are intended to optimize investment returns over time in accordance with investment objectives and at acceptable levels of risk. However, the financial markets, both domestically and internationally, have deteriorated over the past year. The fair value of investments held by UCRP declined subsequent to July 1, 2008. The actuarial value of plan assets also declined. As a result, the funded ratio as of the July 1, 2009 actuarial valuation for the campuses and medical centers as well as DOE laboratories is expected to be approximately 94.8 percent.

UCRS' Results of Operations



The statement of changes in plans' fiduciary net assets is a presentation of the UCRS' operating results. It indicates whether the financial condition has improved or deteriorated during the year. A summarized comparison of the operating results for 2009, 2008 and 2007 is as follows:

(in millions of dollars)

| | 2009 | 2008 | 2007 |
|---|-------------------|------------------|----------------|
| ADDITIONS (REDUCTIONS) | | | |
| Contributions | \$ 929 | \$ 1,038 | \$ 1,062 |
| Net (depreciation) appreciation in fair value of investments | (11,325) | (4,980) | 7,864 |
| Investment and other income, net | 1,512 | 1,888 | 1,867 |
| Total additions (reductions) | (8,884) | (2,054) | 10,793 |
| DEDUCTIONS | | | |
| Benefit payments and participant withdrawals | 2,465 | 2,804 | 2,570 |
| Plan expenses | 36 | 36 | 46 |
| Transfer of assets to the LLNS defined benefit plan | | 1,568 | |
| Transfer of assets to the LANS defined benefit plan | | | 1,445 |
| Total deductions | 2,501 | 4,408 | 4,061 |
| Increase (decrease) in net assets held in trust for pension benefits | \$(11,385) | \$(6,462) | \$6,732 |

Contributions. Contributions in 2009 decreased by \$109 million and in 2008 by \$24 million, partially resulting from discontinued participation in the defined contribution plans by former employees at LLNL and LANL transitioning from the University to LLNS and LANS. The majority of contributions, nearly \$920 million in 2009, are made by participants into the defined contribution plans that included \$7 million and \$8 million of University contributions in 2009 and 2008, respectively. Participants are required to make contributions to the DC Plan and may make voluntary and rollover contributions to the DC Plan, 403(b) plan and 457(b) plan. Due to the UCRP's funded position, neither the University nor the members have been required to make contributions since 1990. However, \$25 million of contributions were recorded in 2007, primarily a \$17 million contribution from the DOE on behalf of members who formerly worked at LANL.

Net (depreciation) appreciation in fair value of investments. UCRS recognized net depreciation in the fair value of investments of \$11.33 billion during 2009 compared to \$4.98 billion net depreciation in the fair value of investments during 2008. In 2007, there was net appreciation in the fair value of investments of \$7.86 billion.

The overall investment loss based upon unit values for UCRS was (16.6) percent in 2009 compared to an investment loss of (5.0) percent in 2008 and an investment gain of 17.7 percent in 2007.

Investment and other income, net. Investment and other income in 2009 of \$1.51 billion decreased by \$376 million, or 19.9 percent. Similarly, investment and other income in 2008 of \$1.89 billion increased by \$21 million, or 1.1 percent. The highly extraordinary financial and economic conditions in 2009 led to significantly lower interest rates and dividend payouts. Securities lending investment income, net of fees and rebates, increased to \$112 million in 2009 from \$97 million in 2008. A reduction in interest rates during the past two years resulted in lower levels of both gross income and rebates, although yields available from lending U.S. government fixed income securities were greater over the past two years.

Benefit payments and participant withdrawals. Benefit payments and participant withdrawals were \$339 million less in 2009 than in 2008 and \$234 million higher in 2008 than in 2007. Payments from UCRP and PERS-VERIP to retirees increased by \$96 million and \$154 million in 2009 and 2008, respectively, due to a growing number of retirees receiving payments, cost-of-living adjustments and member withdrawals. At the beginning of 2009, there were 50,100 retirees and beneficiaries receiving payments compared to 47,600 at the beginning of 2008. Elections of lump sum cash-outs of UCRP and participant withdrawals from the Retirement Savings Plans declined by a combined \$435 million in 2009 after growing by \$80 million in 2008. Participant withdrawals from the Retirement Savings Plans in 2008 were unusually high as a result of former employees at LLNL transitioning from the University to LLNS.

Transfer of assets to the LLNS and LANS defined benefit plans. With the selection of LLNS as the successor contractor to the University for the management of LLNL effective October 1, 2007, assets and liabilities attributable to UCRP benefits of the approximately 3,900 LLNL employees who accepted employment with LLNS and elected to participate in the defined benefit plan established by LLNS were transferred to the LLNS defined benefit plan. The market value of assets transferred as of March 31, 2008 to the LLNS defined benefit plan associated with the transitioning employees who were not retained in UCRP was \$1.57 billion.

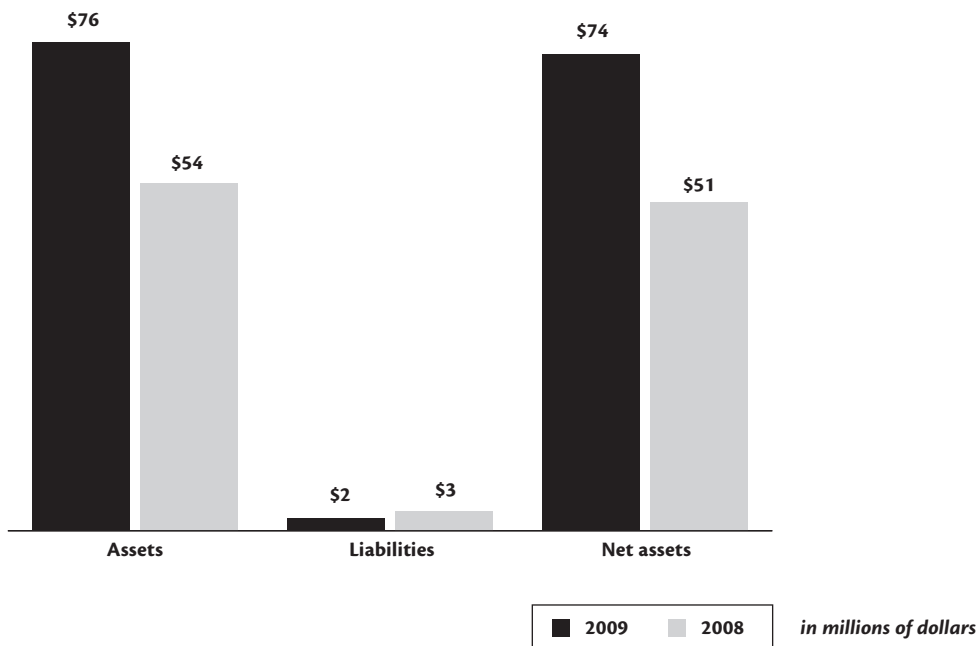
With the selection of LANS as the successor contractor to the University for the management of LANL effective June 1, 2006, assets and liabilities attributable to the UCRP benefits of the approximately 6,500 LANL employees who accepted employment with LANS and elected to participate in the defined benefit plan established by LANS were transferred to the LANS defined benefit plan. The market value of assets transferred as of March 31, 2007 to the LANS defined benefit plan associated with the transitioning employees who were not retained in UCRP was \$1.44 billion.

Additional information on the retirement plans can be obtained from the 2009 annual reports of the University of California Retirement Plan, the University of California Retirement Savings Plans and the University of California PERS-VERIP by writing to the University of California, Office of the President, Human Resources and Benefits, Post Office Box 24570, Oakland, California 94623.

THE UNIVERSITY OF CALIFORNIA RETIREE HEALTH BENEFIT TRUST (UCRHBT)

UCRHBT was established July 1, 2007 to allow certain University locations—primarily campuses and medical centers—that share the risks, rewards and costs of providing for retiree health benefits to fund such benefits on a cost-sharing basis and accumulate funds on a tax-exempt basis under an arrangement segregated from University assets. The University contributes toward retiree medical and dental benefits, although it does not contribute toward the cost of other benefits available to retirees. The DOE laboratories do not participate in UCRHBT, therefore the DOE has no interest in the Trust’s assets.

UCRHBT’s Financial Position



The statement of trust’s fiduciary net assets presents the financial position of UCRHBT at the end of the fiscal year. It displays all of the UCRHBT’s assets, liabilities and net assets. The difference between assets and liabilities are the net assets held in trust for retiree health benefits. These represent amounts available to provide retiree health benefits to its participants. At June 30, 2009, the UCRHBT’s assets were \$76 million, liabilities were \$2 million and net assets held in trust for retiree health benefits were \$74 million, an increase of \$23 million from 2008.

The major components of the assets, liabilities and net assets available for retiree health benefits for 2009 and 2008 are as follows:

| <i>(in millions of dollars)</i> | | |
|---|-------------|-------------|
| | 2009 | 2008 |
| ASSETS | | |
| Investments | \$ 38 | \$ 20 |
| Other assets | 38 | 34 |
| Total assets | 76 | 54 |
| LIABILITIES | | |
| Total liabilities | 2 | 3 |
| NET ASSETS HELD IN TRUST FOR RETIREE HEALTH BENEFITS | | |
| Total net assets held in trust for retiree health benefits | \$74 | \$51 |

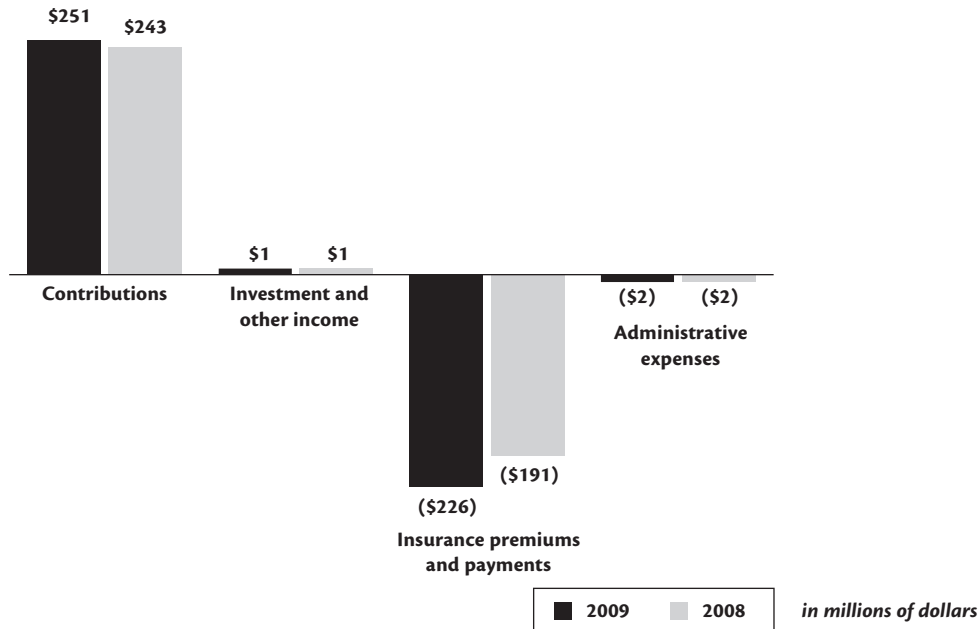
Assets. UCRHBT investments totaling \$38 million in 2009 and \$20 million in 2008 are restricted to a portfolio of high-quality money market instruments in a commingled fund. Other assets in 2009 consist of receivables, primarily contributions from the University of \$16 million and rebates from insurance carriers of \$5 million, and prepaid insurance premiums of \$17 million. Similarly, other assets in 2008 consist of contribution receivables from the University of \$15 million, rebates from insurance carriers of \$4 million and prepaid insurance premiums of \$15 million.

Liabilities. UCRHBT liabilities were \$2 million and \$3 million in 2009 and 2008, respectively, consisting of insurance premiums and claims and administrative expenses payable to the University.

Net assets. Net assets of \$74 million and \$51 million in 2009 and 2008, respectively, are for the exclusive purpose of providing retiree health benefits pursuant to the University's plan to participants and beneficiaries who retired from a campus or medical center, and defraying the reasonable expenses associated with providing such benefits.

The retiree health benefits provided under the University's plan and any liabilities related to the future funding requirements for the retiree health benefits are reported by the University. The actuarial accrued liability associated with the participants and beneficiaries who retired from a campus or medical center as of July 1, 2008, the date of the latest actuarial valuation, was \$13.30 billion. Contributions made to UCRHBT toward retiree health benefits, at rates determined by the University, reduce the unfunded actuarial accrued liability.

UCRHBT's Results of Operations



The statement of changes in trust's fiduciary net assets is a presentation of the UCRHBT's operating results. It indicates whether the financial condition has improved or deteriorated during the year. Summarized operating results for 2009 and 2008 are as follows:

| | 2009 | 2008 |
|---|--------------|--------------|
| ADDITIONS | | |
| Contributions | \$ 251 | \$ 243 |
| Investment income | 1 | 1 |
| Total additions | 252 | 244 |
| DEDUCTIONS | | |
| Insurance premiums and payments | 226 | 191 |
| Plan expenses | 2 | 2 |
| Total deductions | 228 | 193 |
| Increase in net assets held in trust for retiree health benefits | \$ 24 | \$ 51 |

Contributions. Contributions in 2009 were \$251 million, an increase of \$8 million from \$243 million in 2008. Campuses and medical centers contributed \$235 million during the year based upon projected pay-as-you-go financing, and retirees from campuses and medical centers contributed \$16 million. In 2008, contributions from the campuses and medical centers were \$243 million, including a one-time contribution of \$20 million in order to provide initial cash for working capital purposes, and retirees from campuses and medical centers contributed \$17 million.

Investment income. Investment income consists of interest income of \$1 million for both 2009 and 2008. Even though invested balances were substantially greater this year, the investment return was lower. The overall investment return was 1.5 percent and 4.3 percent for 2009 and 2008, respectively.

Insurance premiums and payments. Insurance premiums and payments were \$226 million in 2009, including \$5 million of insurance rebates from carriers, compared to \$191 million in 2008. However, since insurance premiums and payments must be made in advance of the beginning of the month and the trust was established on July 1, 2007, UCRHBT's initial year in 2008 included eleven payments compared to twelve payments in 2009. After adjusting for this non-recurring circumstance, premiums and payments increased by approximately nine percent in 2009.

Plan expenses. The University acts as a third-party administrative agent on behalf of UCRHBT to pay health care insurers and administrators amounts currently due. UCRHBT paid the University \$2 million in both 2009 and 2008 for the cost of providing these services.

Additional information on the retiree health benefit plan can be obtained from the 2009 annual reports of the University of California Health and Welfare Plan by writing to the University of California, Office of the President, Human Resources and Benefits, Post Office Box 24570, Oakland, California 94623.

LOOKING FORWARD

The University of California is a world center of learning, known for generating a steady stream of talent, knowledge and social benefits, and has always been at the center of California's capacity to innovate. The excellence of its programs attracts the best students, leverages hundreds of millions of dollars in state, federal and private funding and promotes discovery of new knowledge that fuels economic growth.

Major financial strengths of the University include a diverse source of revenues, including those from the state of California, student fees, federally sponsored grants and contracts, medical centers, private support and self-supporting enterprises.

The variety of fund sources has become increasingly important over the past several years given the effects of the state's financial crisis that required reductions in both instructional and non-instructional programs. The state is continuing its work to resolve its serious financial situation in which expenditures have continued to exceed revenues.

Five years ago, the University and the Governor agreed on a Compact to provide guidance and financial commitments to a long-term resource plan for the University. The Compact was to address fundamental financial support, enrollment, student fees and other key program elements for 2007 through 2011 and to provide a financial foundation for the University and the ability to plan for student fee levels over the next several years. In exchange for this long-term stability, the University committed to focus its resources to address long-term accountability goals for enrollment, student fees, financial aid and program quality, among other areas. For the second consecutive fiscal year, the State Budget Act did not fully fund the Compact.

State educational appropriations for 2009 and 2010 include one-time and permanent reductions aggregating \$813 million, after considering one-time assistance of \$640 million from federal economic stimulus funds. The reduction for 2010 is \$637 million, when compared against the state educational appropriations that were originally budgeted for 2009 at the beginning of the year. Along with the \$813 million of reductions in state educational appropriations, the University is also absorbing \$335 million over the 2009 and 2010 period for increasing costs related to student enrollments, health benefit costs, faculty merits, utility costs, etc. that have not been funded by the state.

There is no state educational appropriation for enrollment growth. As a result, the University has announced measures to curtail enrollment of freshman by 2,300 for 2010, although this will be offset somewhat by an increase in transfers from California community colleges of 500 students. Even with this action, the University's student enrollment will be 11,000 over budget.

Student fee increases already in place for 2009 and those approved for 2010 address approximately \$211 million of the \$813 million in reductions. As a result, in July 2009, University administration worked with The Regents who approved a declaration of financial emergency effective for one year (September 1, 2009 to August 31, 2010) and proposed a series of budget actions. In addition to fee increases already approved, the University has implemented a furlough/salary reduction plan saving \$184 million, campus and systemwide layoffs and programmatic reductions saving \$343 million, and other systemwide savings, including debt restructuring, intended to save another \$75 million.

In addition to the above, over the course of 2010, the state will be deferring some payments to the University; \$250 million due in July 2009 will be deferred until October 2009, and another \$500 million will be deferred until the end of 2010. Other deferrals are also possible. The University is exploring measures such as utilizing its taxable commercial paper program for working capital purposes to mitigate the effect of the cash flow deferral.

The University remains highly competitive in attracting federal grants and contracts revenue, with fluctuations in the awards received closely paralleling trends in the budgets of federal research granting agencies. Over two-thirds of the University's federal research revenue comes from two agencies, the Department of Health and Human Services, primarily through the National Institutes of Health, and the National Science Foundation. Other agencies that figure prominently in the University's awards are the Department of Education, Department of Defense, the National Aeronautics and Space Administration and the Department of Energy. While the federal government works through its own financial constraints, there is a bipartisan effort underway to focus on innovation and competitiveness for the nation. In addition, the University is in an excellent position to attract substantial additional research funding in 2010 from federal economic stimulus funds made available by the American Recovery and Reinvestment Act. The University is a unique national resource for helping the nation address competitiveness and economic initiatives.

The University's private support is a testament to its distinction as a leader in philanthropy among the nation's colleges and universities and the high regard in which its alumni, corporations, foundations and other supporters hold the University. The level of private support underscores the continued confidence among donors in the quality of the University's programs and the importance of its mission. At the same time, private support in 2010 will likely continue to reflect the changes in the economy and financial markets, the effect of which is not determinable at this time.

Additional, affordable and accessible student housing continues to be required in order to satisfy demand. Most campus residence halls are occupied at design capacity. The University is responding to increased demand by building student housing in the traditional manner, with housing fees set to generate sufficient revenue to cover direct and indirect operating costs and debt service, and by seeking development opportunities for privately owned housing on University campuses.

Currently, the University does not pre-fund retiree health benefits and provides for benefits on a pay-as-you-go basis. Long-term strategic policy issues, such as pre-funding, will be considered in the future. If pre-funding occurs in the future, UCRHBT will be the entity that holds the assets.

UCRP costs are funded by a combination of investment earnings, employee member and employer contributions. Since 1990, there have not been any University contributions to UCRP. In addition, since 1990, the required employee member contributions to UCRP have been suspended. However, contributions are required to be made to the separate defined contribution plan maintained by the University. Effective with the July 1, 2008 actuarial valuation, a new funding policy, including a three-year amortization period for any initial surplus, was adopted for UCRP. The new funding policy determines recommended total contributions based on UCRP's Normal Cost adjusted for any surplus or underfunding, starting in 2010. The University plans to implement a multi-year contribution strategy under which shared employer and employee contribution rates will increase gradually over time. Currently, The Regents has authorized the initial resumption of shared employer and employee contributions to UCRP beginning in April 2010. The State Budget Act for 2010 eliminated \$20 million in new funding for retirement contributions. The University is evaluating its options and will pursue restoration of this funding from the state.

The University's medical centers have demonstrated very positive financial results, although they continue to face financial and competitive challenges in their regional markets, along with the added costs and responsibilities related to their function as academic institutions. The demand for health care services and the cost of providing them continue to increase significantly. In addition to the rising costs of salaries, benefits and medical supplies faced by hospitals across the state, along with the costs of maintaining and upgrading facilities, the University's medical centers also face additional costs associated with new technologies, biomedical research, the education and training of health care professionals and the care for a disproportionate share of the medically underserved in California. Other than Medicare and Medi-Cal (California's Medicaid program), health insurance payments do not recognize the added cost of teaching in their payment to academic medical centers. Over the last few years, Medicare margins have declined as a result of payment reductions. Changes to the Medi-Cal program will likely limit or reduce the rates of payment growth to the medical

centers in future years. Also, as a result of state legislation, the medical centers face capital requirements to ensure that facilities can maintain uninterrupted operations following a major earthquake. While the state has provided additional capital to meet these requirements, the level of support provided will not cover the full cost to the University. Other sources of capital are required.

The continuing financial success of the medical centers is predicated on a multifaceted strategy, which includes competing in commercial markets and offering high quality regional services. Positive results in commercial contracts have helped address the lack of support for medical education and care for the poor. Further, the medical centers remain competitive in their respective markets by reducing costs through improved efficiencies, making strategic investments and by expanding their presence in the market through stronger links with other providers and payers. Payment strategies must recognize the need to maintain an operating margin sufficient to cover debt, provide working capital, purchase state-of-the-art equipment and invest in infrastructure and program expansion.

The University must have a balanced array of many categories of facilities to meet its education, research and public service goals and continues to assess its long-term capital requirements. The support for the University's capital program will be provided from a combination of sources, including the state of California, external financing, gifts and other sources.

The state's financial circumstances have resulted in suspension of state general obligation and lease revenue bond funding for approximately \$613 million in capital projects for the University. The University is working with the state to implement alternate financing strategies for some of these projects. There are also plans for additional capital projects that are traditionally not considered to be state supportable. This is a continuing process that is amended, as required, to include projects when gifts or other supplemental resources are obtained or financing plans are developed.

Additional budget information can be found at <http://universityofcalifornia.edu/news/budget/welcome.html>. Additional information concerning state budget matters and the state's financial condition may be found on the website of the State of California Department of Finance at <http://www.dof.ca.gov>.

Cautionary Note Regarding Forward-Looking Statements

Certain information provided by the University, including written as outlined above or oral statements made by its representatives, may contain forward-looking statements as defined in the Private Securities Litigation Reform Act of 1995. All statements, other than statements of historical facts, which address activities, events, or developments that the University expects or anticipates will or may occur in the future contain forward-looking information.

In reviewing such information, it should be kept in mind that actual results may differ materially from those projected or suggested in such forward-looking information. This forward-looking information is based upon various factors and was derived using various assumptions. The University does not undertake to update forward-looking information contained in this report or elsewhere to reflect actual results, changes in assumptions or changes in other factors affecting such forward-looking information.

UNIVERSITY OF CALIFORNIA
STATEMENTS OF NET ASSETS

AT JUNE 30, 2009 AND 2008 (IN THOUSANDS OF DOLLARS)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | |
|--|--------------------------|---------------------|--|--------------------|
| | 2009 | 2008 | 2009 | 2008 |
| ASSETS | | | | |
| Cash and cash equivalents | \$ 487,943 | \$ 108,016 | \$ 183,216 | \$ 150,660 |
| Short-term investments | 2,036,487 | 4,068,848 | 359,426 | 346,492 |
| Investment of cash collateral | 1,844,661 | 2,096,106 | 163,680 | 210,224 |
| Investments held by trustees | 28,055 | 55,345 | | |
| Accounts receivable, net | 2,682,475 | 2,426,507 | 6,506 | 12,343 |
| Pledges receivable, net | 48,213 | 55,759 | 131,352 | 88,942 |
| Current portion of notes and mortgages receivable, net | 29,598 | 32,206 | 16 | 32 |
| Inventories | 166,229 | 157,920 | | |
| Department of Energy receivable | 95,458 | 82,552 | | |
| Other current assets | 144,823 | 133,328 | 4,024 | 2,370 |
| Current assets | 7,563,942 | 9,216,587 | 848,220 | 811,063 |
| Investments | 11,367,085 | 10,759,175 | 3,165,196 | 3,812,419 |
| Investment of cash collateral | 346,219 | 1,121,617 | 25,363 | 69,453 |
| Investments held by trustees | 909,105 | 735,104 | | |
| Pledges receivable, net | 44,815 | 50,399 | 270,419 | 331,803 |
| Notes and mortgages receivable, net | 298,516 | 287,107 | 486 | 502 |
| Department of Energy receivable | 66,438 | 31,494 | | |
| Capital assets, net | 21,276,915 | 19,593,214 | | |
| Other noncurrent assets | 183,802 | 188,104 | 19,284 | 21,523 |
| Noncurrent assets | 34,492,895 | 32,766,214 | 3,480,748 | 4,235,700 |
| Total assets | 42,056,837 | 41,982,801 | 4,328,968 | 5,046,763 |
| LIABILITIES | | | | |
| Accounts payable | 2,453,465 | 1,332,914 | 3,200 | 8,087 |
| Accrued salaries | 704,526 | 705,354 | | |
| Employee benefits | 212,667 | 195,385 | | |
| Deferred revenue | 960,688 | 968,686 | | |
| Collateral held for securities lending | 2,199,262 | 3,233,514 | 189,064 | 279,677 |
| Commercial paper | 665,525 | 550,000 | | |
| Current portion of long-term debt | 466,905 | 546,461 | | |
| Funds held for others | 200,856 | 270,118 | 130,917 | 92,584 |
| Department of Energy laboratories' liabilities | 83,212 | 66,374 | | |
| Other current liabilities | 840,441 | 839,289 | 19,197 | 24,539 |
| Current liabilities | 8,787,547 | 8,708,095 | 342,378 | 404,887 |
| Federal refundable loans | 219,662 | 212,715 | | |
| Self-insurance | 434,924 | 449,347 | | |
| Obligations under life income agreements | 28,359 | 31,074 | 142,740 | 156,911 |
| Long-term debt | 9,857,040 | 8,928,521 | | |
| Obligation to UCRP | 68,696 | | | |
| Obligations for retiree health benefits | 2,377,128 | 1,118,754 | | |
| Other noncurrent liabilities | 407,818 | 406,596 | 13,532 | 14,134 |
| Noncurrent liabilities | 13,393,627 | 11,147,007 | 156,272 | 171,045 |
| Total liabilities | 22,181,174 | 19,855,102 | 498,650 | 575,932 |
| NET ASSETS | | | | |
| Invested in capital assets, net of related debt | 10,822,512 | 10,034,663 | | |
| Restricted: | | | | |
| Nonexpendable: | | | | |
| Endowments and gifts | 947,035 | 952,502 | 1,866,833 | 1,915,829 |
| Expendable: | | | | |
| Endowments and gifts | 4,243,073 | 5,340,738 | 1,951,656 | 2,527,896 |
| Other, including debt service, loans, capital projects and appropriations | 314,530 | 452,346 | | |
| Unrestricted | 3,548,513 | 5,347,450 | 11,829 | 27,106 |
| Total net assets | \$19,875,663 | \$22,127,699 | \$3,830,318 | \$4,470,831 |

See accompanying Notes to Financial Statements

STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS

YEARS ENDED JUNE 30, 2009 AND 2008 (IN THOUSANDS OF DOLLARS)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | |
|--|--------------------------|---------------------|--|--------------------|
| | 2009 | 2008 | 2009 | 2008 |
| OPERATING REVENUES | | | | |
| Student tuition and fees, net | \$ 2,096,817 | \$ 1,921,918 | | |
| Grants and contracts, net | | | | |
| Federal | 2,982,797 | 2,910,560 | | |
| State | 508,774 | 492,076 | | |
| Private | 1,016,687 | 912,409 | | |
| Local | 199,326 | 199,821 | | |
| Medical centers, net | 5,496,077 | 4,917,235 | | |
| Educational activities, net | 1,460,168 | 1,375,961 | | |
| Auxiliary enterprises, net | 1,143,962 | 1,122,295 | | |
| Department of Energy laboratories | 667,983 | 1,048,580 | | |
| Campus foundation private gifts | | | \$ 372,908 | \$ 533,548 |
| Other operating revenues, net | 495,457 | 558,044 | 3,093 | 2,942 |
| Total operating revenues | 16,068,048 | 15,458,899 | 376,001 | 536,490 |
| OPERATING EXPENSES | | | | |
| Salaries and wages | 9,822,533 | 9,359,064 | | |
| UCRP benefits | 69,138 | 2,622 | | |
| Retiree health benefits | 1,501,937 | 1,355,362 | | |
| Other employee benefits | 1,818,301 | 1,684,330 | | |
| Scholarships and fellowships | 451,263 | 427,588 | | |
| Utilities | 309,842 | 298,440 | | |
| Supplies and materials | 2,210,319 | 2,101,594 | | |
| Depreciation and amortization | 1,197,404 | 1,093,620 | | |
| Department of Energy laboratories | 661,863 | 1,039,330 | | |
| Campus foundation grants | | | 444,730 | 527,572 |
| Other operating expenses | 2,799,176 | 2,793,086 | 13,496 | 12,084 |
| Total operating expenses | 20,841,776 | 20,155,036 | 458,226 | 539,656 |
| Operating loss | (4,773,728) | (4,696,137) | (82,225) | (3,166) |
| NONOPERATING REVENUES (EXPENSES) | | | | |
| State educational appropriations | 2,415,416 | 2,974,575 | | |
| State financing appropriations | 161,128 | 163,794 | | |
| Private gifts, net | 664,103 | 733,966 | | |
| Investment income: | | | | |
| Short Term Investment Pool and other, net | 304,132 | 348,029 | | |
| Endowment, net | 138,355 | 159,220 | | |
| Securities lending, net | 23,843 | 25,236 | 2,001 | 1,833 |
| Campus foundations | | | 61,754 | 76,008 |
| Net depreciation in fair value of investments | (1,278,281) | (191,887) | (742,735) | (142,807) |
| Interest expense | (355,882) | (400,369) | | |
| Loss on disposal of capital assets | (26,513) | (15,803) | | |
| Other nonoperating expenses, net | (3,209) | (9,252) | (33,712) | (11,740) |
| Net nonoperating revenues (expenses) | 2,043,092 | 3,787,509 | (712,692) | (76,706) |
| Loss before other changes in net assets | (2,730,636) | (908,628) | (794,917) | (79,872) |
| OTHER CHANGES IN NET ASSETS | | | | |
| State capital appropriations | 313,019 | 393,964 | | |
| Capital gifts and grants, net | 154,998 | 245,305 | | |
| Permanent endowments | 10,583 | 34,695 | 154,404 | 179,208 |
| Increase (decrease) in net assets | (2,252,036) | (234,664) | (640,513) | 99,336 |
| NET ASSETS | | | | |
| Beginning of year, as restated | 22,127,699 | 22,362,363 | 4,470,831 | 4,371,495 |
| End of year | \$19,875,663 | \$22,127,699 | \$3,830,318 | \$4,470,831 |

See accompanying Notes to Financial Statements

UNIVERSITY OF CALIFORNIA
STATEMENTS OF CASH FLOWS

YEARS ENDED JUNE 30, 2009 AND 2008 (IN THOUSANDS OF DOLLARS)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | |
|--|--------------------------|--------------------|--|-------------------|
| | 2009 | 2008 | 2009 | 2008 |
| CASH FLOWS FROM OPERATING ACTIVITIES | | | | |
| Student tuition and fees | \$ 2,101,915 | \$ 1,916,970 | | |
| Grants and contracts | 4,792,250 | 4,701,366 | | |
| Medical centers | 5,441,705 | 4,830,034 | | |
| Educational activities | 1,456,141 | 1,344,471 | | |
| Auxiliary enterprises | 1,135,646 | 1,130,832 | | |
| Collection of loans from students and employees | 46,649 | 47,675 | | |
| Campus foundation private gifts | | | \$ 387,261 | \$ 550,625 |
| Payments to employees | (9,790,445) | (8,882,119) | | |
| Payments to suppliers and utilities | (5,232,710) | (5,020,301) | | |
| Payments for UCRP benefits | (2,371) | (22,204) | | |
| Payments for retiree health benefits | (244,387) | (234,413) | | |
| Payments for other employee benefits | (1,840,797) | (1,737,407) | | |
| Payments for scholarships and fellowships | (450,360) | (427,558) | | |
| Loans issued to students and employees | (54,394) | (61,421) | | |
| Payments to campuses and beneficiaries | | | (471,544) | (546,557) |
| Other receipts (payments) | 377,118 | 466,665 | (6,468) | 8,191 |
| Net cash provided (used) by operating activities | (2,264,040) | (1,947,410) | (90,751) | 12,259 |
| CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES | | | | |
| State educational appropriations | 3,217,312 | 2,981,254 | | |
| Gifts received for other than capital purposes: | | | | |
| Private gifts for endowment purposes | 10,338 | 32,480 | 147,920 | 160,528 |
| Other private gifts | 660,890 | 702,648 | | |
| Receipt of retiree health contributions from UCRP | 14,512 | 16,952 | | |
| Payment of retiree health contributions to UCRHBT | (14,680) | (15,569) | | |
| Receipts from UCRHBT | 232,460 | 209,363 | | |
| Payments for retiree health benefits made on behalf of UCRHBT | (233,242) | (205,127) | | |
| Student direct lending receipts | 601,227 | 508,169 | | |
| Student direct lending payments | (601,227) | (508,169) | | |
| Other receipts (payments) | (66,167) | (13,831) | (362) | 2,832 |
| Net cash provided by noncapital financing activities | 3,821,423 | 3,708,170 | 147,558 | 163,360 |
| CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES | | | | |
| Commercial paper financing: | | | | |
| Proceeds from issuance | 891,647 | 527,807 | | |
| Payments of principal | (776,122) | (527,807) | | |
| Interest paid | (7,514) | (18,674) | | |
| State capital appropriations | 296,683 | 394,026 | | |
| State financing appropriations | 7,317 | 3,392 | | |
| Capital gifts and grants | 100,762 | 176,540 | | |
| Proceeds from debt issuance | 1,429,379 | 1,684,326 | | |
| Proceeds from the sale of capital assets | 1,454 | 9,057 | | |
| Purchase of capital assets | (2,875,925) | (2,440,692) | | |
| Refinancing or prepayment of outstanding debt | (87,516) | (663,888) | | |
| Scheduled principal paid on debt and capital leases | (472,186) | (281,411) | | |
| Interest paid on debt and capital leases | (339,788) | (316,021) | | |
| Other receipts | 31,348 | | | |
| Net cash used by capital and related financing activities | (1,800,461) | (1,453,345) | | |
| CASH FLOWS FROM INVESTING ACTIVITIES | | | | |
| Proceeds from sales and maturities of investments | 66,382,974 | 72,001,318 | 526,138 | 767,356 |
| Purchase of investments | (66,218,195) | (72,889,296) | (616,413) | (1,030,345) |
| Investment income, net of investment expenses | 458,226 | 541,370 | 66,024 | 76,487 |
| Net cash provided (used) by investing activities | 623,005 | (346,608) | (24,251) | (186,502) |
| Net increase (decrease) in cash and cash equivalents | 379,927 | (39,193) | 32,556 | (10,883) |
| Cash and cash equivalents, beginning of year | 108,016 | 147,209 | 150,660 | 161,543 |
| Cash and cash equivalents, end of year | \$ 487,943 | \$ 108,016 | \$183,216 | \$ 150,660 |

See accompanying Notes to Financial Statements

STATEMENTS OF CASH FLOWS (CONTINUED)

YEARS ENDED JUNE 30, 2009 AND 2008 (IN THOUSANDS OF DOLLARS)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | |
|---|--------------------------|----------------------|--|-----------------|
| | 2009 | 2008 | 2009 | 2008 |
| RECONCILIATION OF OPERATING LOSS TO NET CASH USED BY OPERATING ACTIVITIES | | | | |
| Operating loss | \$ (4,773,728) | \$ (4,696,137) | \$ (82,225) | \$ (3,166) |
| Adjustments to reconcile operating loss to net cash used by operating activities: | | | | |
| Depreciation and amortization expense | 1,197,404 | 1,093,620 | | |
| Noncash gifts | | | (6,520) | (17,839) |
| Allowance for doubtful accounts | 49,602 | 1,234 | 19,253 | 896 |
| Loss on impairment of capital assets | | 1,483 | | |
| Change in assets and liabilities: | | | | |
| Investments | | | (743) | (754) |
| Accounts receivable | (55,209) | (462,274) | 5,394 | (6,687) |
| Pledges receivable | | | (346) | 28,624 |
| Investments held by trustees | (31,849) | (34,190) | | |
| Inventories | (8,309) | (14,666) | | |
| Other assets | (11,847) | (16,982) | 4,173 | 33,296 |
| Accounts payable | 474 | 128,798 | (5,290) | 2,589 |
| Accrued salaries | (828) | 435,417 | | |
| Employee benefits | 40,838 | 205,400 | | |
| Deferred revenue | 3,928 | 177,879 | 498 | (22,000) |
| Self-insurance | 1,274 | 37,160 | | |
| Obligations to life beneficiaries | | | (20,444) | (12,862) |
| Obligation to UCRP | 68,696 | | | |
| Obligations for retiree health benefits | 1,258,374 | 1,118,754 | | |
| Other liabilities | (2,860) | 77,094 | (4,501) | 10,162 |
| Net cash provided (used) by operating activities | \$(2,264,040) | \$(1,947,410) | \$(90,751) | \$12,259 |

SUPPLEMENTAL NONCASH ACTIVITIES INFORMATION

| | | | | |
|--|-------------|-------------|----------|-----------|
| Capital assets acquired through capital leases | \$ 87,853 | \$ 58,615 | | |
| Capital assets acquired with a liability at year-end | 93,164 | 99,786 | | |
| Investments held by trustees | (394) | (18,707) | | |
| State financing appropriations | 153,593 | 160,403 | | |
| Gifts of capital assets | 28,954 | 63,876 | \$ 303 | \$ 25,523 |
| Other noncash gifts | 17,563 | 40,080 | 29,389 | 92,998 |
| Gain (loss) on the disposal of capital assets | (26,513) | (15,803) | | |
| Debt service for, or refinancing of, lease revenue bonds | (201,455) | (166,751) | | |
| Refinancing of interim loans under lease-purchase agreements | (147,970) | (206,106) | | |
| Securities lending activity | (1,034,251) | (1,320,440) | (51,860) | 32,829 |
| Interest added to principal | | | 1,061 | 5,455 |
| Beneficial interest in charitable remainder trust | | | 4,768 | 7,324 |

See accompanying Notes to Financial Statements

UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM AND RETIREE HEALTH BENEFIT TRUST

STATEMENTS OF PLANS' AND TRUST'S FIDUCIARY NET ASSETS

AT JUNE 30, 2009 AND 2008 (IN THOUSANDS OF DOLLARS)

| | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS) | | UNIVERSITY OF CALIFORNIA RETIREE HEALTH BENEFIT TRUST (UCRHBT) | | TOTAL UCRS AND UCRHBT | |
|--|---|---------------------|---|-----------------|-----------------------|---------------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| ASSETS | | | | | | |
| Investments | \$ 42,352,723 | \$ 52,532,169 | \$ 38,384 | \$ 19,773 | \$ 42,391,107 | \$ 52,551,942 |
| Participants' interest in mutual funds | 2,923,695 | 3,772,901 | | | 2,923,695 | 3,772,901 |
| Investment of cash collateral | 10,350,285 | 12,162,072 | | | 10,350,285 | 12,162,072 |
| Participant 403(b) loans | 107,192 | 96,790 | | | 107,192 | 96,790 |
| Accounts receivable: | | | | | | |
| Contributions from University and affiliates | 59,449 | 67,394 | 15,994 | 14,671 | 75,443 | 82,065 |
| Investment income | 113,586 | 150,615 | 18 | | 113,604 | 150,615 |
| Securities sales and other | 683,085 | 574,373 | 4,632 | 3,500 | 687,717 | 577,873 |
| Prepaid insurance premiums | | | 17,403 | 15,464 | 17,403 | 15,464 |
| Total assets | 56,590,015 | 69,356,314 | 76,431 | 53,408 | 56,666,446 | 69,409,722 |
| LIABILITIES | | | | | | |
| Payable to University | | | 2,061 | 2,604 | 2,061 | 2,604 |
| Payable for securities purchased | 1,213,209 | 771,217 | | | 1,213,209 | 771,217 |
| Member withdrawals, refunds and other payables | 191,091 | 177,701 | | | 191,091 | 177,701 |
| Collateral held for securities lending | 10,387,181 | 12,223,854 | | | 10,387,181 | 12,223,854 |
| Total liabilities | 11,791,481 | 13,172,772 | 2,061 | 2,604 | 11,793,542 | 13,175,376 |
| NET ASSETS HELD IN TRUST | | | | | | |
| Members' defined benefit plan benefits | 32,315,482 | 42,099,498 | | | 32,315,482 | 42,099,498 |
| Participants' defined contribution plan benefits | 12,483,052 | 14,084,044 | | | 12,483,052 | 14,084,044 |
| Retiree health benefits | | | 74,370 | 50,804 | 74,370 | 50,804 |
| Total net assets held in trust | \$44,798,534 | \$56,183,542 | \$74,370 | \$50,804 | \$44,872,904 | \$56,234,346 |

See accompanying Notes to Financial Statements

UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM AND RETIREE HEALTH BENEFIT TRUST

STATEMENTS OF CHANGES IN PLANS' AND TRUST'S FIDUCIARY NET ASSETS

AT JUNE 30, 2009 AND 2008 (IN THOUSANDS OF DOLLARS)

| | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS) | | UNIVERSITY OF CALIFORNIA RETIREE HEALTH BENEFIT TRUST (UCRHBT) | | TOTAL UCRS AND UCRHBT | |
|---|---|---------------------|---|------------------|-----------------------|---------------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| ADDITIONS (REDUCTIONS) | | | | | | |
| Contributions: | | | | | | |
| Members and employees | \$ 920,940 | \$ 1,027,004 | | | \$ 920,940 | \$ 1,027,004 |
| Retirees | | | \$ 15,895 | \$ 16,952 | 15,895 | 16,952 |
| University | 8,044 | 10,894 | 235,115 | 226,192 | 243,159 | 237,086 |
| Total contributions | 928,984 | 1,037,898 | 251,010 | 243,144 | 1,179,994 | 1,281,042 |
| Investment income (expense), net: | | | | | | |
| Net depreciation in fair value of investments | (11,324,769) | (4,979,955) | | | (11,324,769) | (4,979,955) |
| Interest, dividends and other investment income | 1,395,099 | 1,784,761 | 528 | 691 | 1,395,627 | 1,785,452 |
| Securities lending income | 217,438 | 685,910 | | | 217,438 | 685,910 |
| Securities lending fees and rebates | (105,682) | (588,787) | | | (105,682) | (588,787) |
| Total investment income (expense), net | (9,817,914) | (3,098,071) | 528 | 691 | (9,817,386) | (3,097,380) |
| Interest income from contributions receivable | 5,246 | 5,700 | | | 5,246 | 5,700 |
| Total additions (reductions) | (8,883,684) | (2,054,473) | 251,538 | 243,835 | (8,632,146) | (1,810,638) |
| DEDUCTIONS | | | | | | |
| Benefit payments: | | | | | | |
| Retirement payments | 1,287,572 | 1,195,414 | | | 1,287,572 | 1,195,414 |
| Member withdrawals | 78,794 | 96,690 | | | 78,794 | 96,690 |
| Cost-of-living adjustments | 235,134 | 213,478 | | | 235,134 | 213,478 |
| Lump sum cashouts | 156,572 | 312,489 | | | 156,572 | 312,489 |
| Preretirement survivor payments | 33,487 | 32,315 | | | 33,487 | 32,315 |
| Disability payments | 35,984 | 36,098 | | | 35,984 | 36,098 |
| Death payments | 6,462 | 7,309 | | | 6,462 | 7,309 |
| Participant withdrawals | 630,889 | 910,365 | | | 630,889 | 910,365 |
| Total benefit payments | 2,464,894 | 2,804,158 | | | 2,464,894 | 2,804,158 |
| Insurance premiums: | | | | | | |
| Insured plans | | | 177,246 | 151,189 | 177,246 | 151,189 |
| Self-insured plans | | | 26,510 | 22,898 | 26,510 | 22,898 |
| Medicare Part B reimbursements | | | 22,211 | 17,105 | 22,211 | 17,105 |
| Total insurance premiums, net | | | 225,967 | 191,192 | 225,967 | 191,192 |
| Expenses: | | | | | | |
| Plan administration | 34,911 | 34,384 | 2,005 | 1,839 | 36,916 | 36,223 |
| Other | 1,519 | 1,211 | | | 1,519 | 1,211 |
| Total expenses | 36,430 | 35,595 | 2,005 | 1,839 | 38,435 | 37,434 |
| Transfer of assets to LLNS' defined benefit plan | | 1,567,209 | | | | 1,567,209 |
| Total deductions | 2,501,324 | 4,406,962 | 227,972 | 193,031 | 2,729,296 | 4,599,993 |
| Increase (decrease) in net assets held in trust | (11,385,008) | (6,461,435) | 23,566 | 50,804 | (11,361,442) | (6,410,631) |
| NET ASSETS HELD IN TRUST | | | | | | |
| Beginning of year | 56,183,542 | 62,644,977 | 50,804 | | 56,234,346 | 62,644,977 |
| End of year | \$ 44,798,534 | \$56,183,542 | \$ 74,370 | \$ 50,804 | \$ 44,872,904 | \$56,234,346 |

See accompanying Notes to Financial Statements

NOTES TO FINANCIAL STATEMENTS

YEARS ENDED JUNE 30, 2009 AND 2008

ORGANIZATION

The University of California (the University) was founded in 1868 as a public, state-supported institution. The California State Constitution provides that the University shall be a public trust administered by the corporation, “The Regents of the University of California,” which is vested with full powers of organization and government, subject only to such legislative control necessary to ensure the security of its funds and compliance with certain statutory and administrative requirements. The majority of the 26-member independent governing board (The Regents) is appointed by the Governor and approved by the State Senate. Various University programs and capital outlay projects are funded through appropriations from the state’s annual Budget Act. The University’s financial statements are discretely presented in the state’s general purpose financial statements as a component unit.

FINANCIAL REPORTING ENTITY AND SIGNIFICANT ACCOUNTING POLICIES

Financial Reporting Entity

The University’s financial statements include the accounts of ten campuses, five medical centers, a statewide agricultural extension program and the operations of most student government or associated student organizations as part of the primary financial reporting entity because The Regents has certain fiduciary responsibility for these organizations. In addition, the financial position and operating results of certain other legally separate organizations are included in the University’s financial reporting entity on a blended basis if The Regents is determined to be financially accountable for the organization. Organizations that are not significant or financially accountable to the University, such as booster and alumni organizations, are not included in the reporting entity. However, cash invested with the University by these organizations, along with the related liability, is included in the statement of net assets. The statement of revenues, expenses and changes in net assets excludes the activities associated with these organizations.

The University has ten legally separate, tax-exempt, affiliated campus foundations. The combined financial statements of the University of California campus foundations (campus foundations) are presented discretely in the University’s financial statements because of the nature and significance of their relationship with the University, including their ongoing financial support of the University. Campus foundations may invest all or a portion of their investments in University-managed investment pools. Securities in these investment pools are included in the University’s securities lending program. Accordingly, the campus foundations’ investments in University-managed investment pools and their allocated share of the securities lending activities have been excluded from the University’s financial statements and displayed in the campus foundations’ column.

Specific assets and liabilities and all revenues and expenses associated with the Lawrence Berkeley National Laboratory (LBNL)—a major United States Department of Energy (DOE) national laboratory operated and managed by the University under contract directly with the DOE—are included in the financial statements. In addition, prior to October 1, 2007, specific assets and liabilities and all revenues and expenses associated with the Lawrence Livermore National Laboratory (LLNL)—another major DOE national laboratory operated and managed by the University under contract directly with the DOE through September 30, 2007—are also included in the financial statements.

The Regents has fiduciary responsibility for the University of California Retirement System (UCRS) that includes two defined benefit plans, the University of California Retirement Plan (UCRP) and the University of California Public Employees’ Retirement System (PERS) Voluntary Early Retirement Incentive Plan (PERS-VERIP), and four defined contribution plans in the University of California Retirement Savings Program (UCRSP), consisting of the Defined Contribution Plan (DC Plan), the Supplemental Defined Contribution Plan (SDC Plan), the Tax Deferred 403(b) Plan (403(b) Plan) and the 457(b) Deferred Compensation Plan (457(b) Plan). As a result, the UCRS statements of plans’ fiduciary net assets and changes in plans’ fiduciary net assets are shown separately in the University’s financial statements.

The Regents also has fiduciary responsibility for the University of California Retiree Health Benefit Trust (UCRHBT). The UCRHBT statements of trust's fiduciary net assets and changes in trust's fiduciary net assets are shown separately in the University's financial statements. UCRHBT allows certain University locations and affiliates—primarily campuses and medical centers—that share the risks, rewards and costs of providing for retiree health benefits to fund such benefits on a cost-sharing basis and accumulate funds on a tax-exempt basis under an arrangement segregated from University assets. The Regents serves as Trustee of UCRHBT and has the authority to amend or terminate the Trust.

Significant Accounting Policies

The financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America, including all applicable effective statements of the Governmental Accounting Standards Board (GASB) and all statements of the Financial Accounting Standards Board issued through November 30, 1989, using the economic resources measurement focus and the accrual basis of accounting.

GASB Statement No. 49, *Accounting and Financial Reporting for Pollution Remediation Obligations*, was adopted by the University during the year ended June 30, 2009. Statement No. 49 establishes criteria to ascertain whether certain events result in a requirement for the University to estimate the components of any expected pollution remediation costs and determine whether these costs should be accrued as a liability. The costs were estimated using the expected cash flow technique, which measures the liability as the sum of probability-weighted amounts in a range of possible estimated amounts. Previously, pollution remediation costs were accrued only if they were both probable of occurring and could be reasonably estimated.

In accordance with Statement No. 49 retrospective application is required. The cumulative effect of the accounting change described above to establish the initial \$41.8 million liability was recorded as an adjustment to the July 1, 2007 net assets as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | |
|--|---------------------------|---|---------------------|
| | JULY 1, 2007 NET ASSETS | | |
| | AS PREVIOUSLY REPORTED | EFFECT OF ADOPTION OF STATEMENT NO. 49 | AS RESTATED |
| Invested in capital assets, net of related debt | \$ 9,101,981 | | \$ 9,101,981 |
| Restricted: | | | |
| Nonexpendable: | | | |
| Endowments and gifts | 920,329 | | 920,329 |
| Expendable: | | | |
| Endowments and gifts | 5,457,743 | | 5,457,743 |
| Other, including debt service, loans, capital projects and appropriations | 397,698 | | 397,698 |
| Unrestricted | 6,526,429 | \$ (41,817) | 6,484,612 |
| Total net assets | \$22,404,180 | \$(41,817) | \$22,362,363 |

The University also restated the 2008 financial statements for purposes of presenting comparative information for the year ended June 30, 2009. The effect of the changes from the adoption of Statement No. 49 on the University's financial statements for the year ended June 30, 2008 was to reduce the liability from \$41.8 million at June 30, 2007 to \$33.1 million at June 30, 2008 as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | |
|--|--------------------------|--|-------------|
| | YEAR ENDED JUNE 30, 2008 | | |
| | AS PREVIOUSLY REPORTED | EFFECT OF ADOPTION OF STATEMENT NO. 49 | AS RESTATED |
| Statement of Net Assets | | | |
| Other current liabilities | \$ 838,953 | \$ 336 | \$ 839,289 |
| Current liabilities | 8,707,759 | 336 | 8,708,095 |
| Other noncurrent liabilities | 373,846 | 32,750 | 406,596 |
| Noncurrent liabilities | 11,114,257 | 32,750 | 11,147,007 |
| Total liabilities | 19,822,016 | 33,086 | 19,855,102 |
| Unrestricted net assets | 5,380,536 | (33,086) | 5,347,450 |
| Total net assets | 22,160,785 | (33,086) | 22,127,699 |
| Statement of Revenues, Expenses and Changes in Net Assets | | | |
| Other operating expenses | 2,801,817 | (8,731) | 2,793,086 |
| Total operating expenses | 20,163,767 | (8,731) | 20,155,036 |
| Operating loss | (4,704,868) | 8,731 | (4,696,137) |
| Loss before other changes in net assets | (917,359) | 8,731 | (908,628) |
| Decrease in net assets | (243,395) | 8,731 | (234,664) |
| Statement of Cash Flows | | | |
| Operating loss | (4,704,868) | 8,731 | (4,696,137) |
| Changes in assets and liabilities: | | | |
| Other liabilities | 85,825 | (8,731) | 77,094 |

The adoption of Statement No. 49 did not result in any adjustments to the financial statements of the campus foundations, UCRS or UCRHBT.

The significant accounting policies of the University are as follows:

Cash and cash equivalents. The University and campus foundations consider all balances in demand deposit accounts to be cash. The University classifies all other highly liquid cash equivalents as short-term investments. Certain campus foundations classify their deposits in the University's Short Term Investment Pool as a cash equivalent.

Investments. Investments are recorded at fair value. Securities, including derivative investments, are generally valued at the last sale price on the last business day of the fiscal year, as quoted on a recognized exchange or an industry standard pricing service, when available. Securities for which no sale was reported as of the close of the last business day of the fiscal year are valued at the quoted bid price of a dealer who regularly trades in the security being valued. Certain securities may be valued on a basis of a price provided by a single source.

As a result of inactive or illiquid markets, investments in non-agency mortgage-backed fixed income securities are valued on the basis of their estimated future principal and interest payments using appropriate risk-adjusted discount rates. The University believes this approximates the fair value of these investments.

Investments also include private equities, absolute return funds and real estate. Private equities include venture capital partnerships, buyout and international funds. Interests in private equity and real estate partnerships are based upon valuations provided by the general partners of the respective partnerships as of March 31, adjusted for cash receipts, cash disbursements and securities distributions through June 30. Investments in absolute return partnerships are valued as of May 31, adjusted for cash receipts and cash disbursements through June 30. Interests in certain direct investments in real estate are estimated based upon independent appraisals. The University believes the carrying amount of these financial

instruments and real estate is a reasonable estimate of fair value at June 30. Because the private equity, real estate and absolute return partnerships, along with direct investments in real estate, are not readily marketable, their estimated value is subject to uncertainty and, therefore, may differ significantly from the value that would be used had a ready market for such investments existed.

Investments in registered investment companies are valued based upon the reported net asset value of those companies. Mortgage loans, held as investments, are valued on the basis of their future principal and interest payments, discounted at prevailing interest rates for similar instruments. Insurance contracts are valued at contract value, plus reinvested interest, which approximates fair value. Estimates of the fair value of interests in externally held irrevocable trusts where the University is the beneficiary of either the income or the remainder that will not become a permanent endowment upon distribution to the University are based upon the present value of the expected future income or, if available, the University's proportional interest in the fair value of the trust assets.

Investments denominated in foreign currencies are translated into U.S. dollar equivalents using year-end spot foreign currency exchange rates. Purchases and sales of investments and their related income are translated at the rate of exchange on the respective transaction dates. Realized and unrealized gains and losses resulting from foreign currency changes are included in the University's statement of revenues, expenses and changes in net assets.

Investment transactions are recorded on the date the securities are purchased or sold (trade date). Realized gains or losses are recorded as the difference between the proceeds from the sale and the average cost of the investment sold. Dividend income is recorded on the ex-dividend date and interest income is accrued as earned. Gifts of securities are recorded based on fair value at the date of donation.

Participants' interest in mutual funds. Participants in the University's defined contribution retirement plans may invest their account balances in funds managed by the University's Chief Investment Officer or in certain mutual funds.

Accounts receivable, net. Accounts receivable, net of allowance for uncollectible amounts, includes reimbursements due from state and federal sponsors of externally funded research, patient billings, accrued income on investments and other receivables. Other receivables include local government and private grants and contracts, educational activities and amounts due from students, employees and faculty for services.

Pledges receivable, net. Unconditional pledges of private gifts to the University or to the campus foundations in the future, net of allowance for uncollectible amounts, are recorded as pledges receivable and revenue in the year promised at the present value of expected cash flows. Conditional pledges, including all pledges of endowments and intentions to pledge, are recognized as receivables and revenues when the specified conditions are met.

Notes and mortgages receivable, net. Loans to students, net of allowance for uncollectible amounts, are provided from federal student loan programs and from other University sources. Home mortgage loans, primarily to faculty, are provided from the University's Short Term Investment Pool and from other University sources. Mortgage loans provided by the Short Term Investment Pool are classified as investments and loans provided by other sources are classified as mortgages receivable in the statement of net assets.

Inventories. Inventories, consisting primarily of supplies and merchandise for resale, are valued at cost, typically determined under the weighted average method, which is not in excess of net realizable value.

DOE national laboratories. The University operates and manages LBNL under a contract directly with the DOE. Specific assets and liabilities and all revenues and expenses associated with LBNL are included in the financial statements. Other assets, such as cash, property and equipment and other liabilities of LBNL are owned by the United States government rather than the University and, therefore, are not included in the statement of net assets. The statement of cash flows excludes the cash flows associated with LBNL other than reimbursements, primarily related to pension and health benefits, since all other cash transactions are recorded in bank accounts owned by the DOE.

The University is a member in two separate joint ventures, Los Alamos National Security, LLC (LANS) and Lawrence Livermore National Security, LLC (LLNS) that operate and manage two other DOE laboratories. LANS, effective in 2006, and LLNS, effective as of October 1, 2007, operate and manage Los Alamos National Laboratory (LANL) and LLNL, respectively, under contracts directly with the DOE.

The University has an ongoing financial interest and financial responsibility in these separate entities, along with the other members, and the organizations are jointly controlled by the University and another member. The assets and liabilities and revenues and expenses of these joint ventures are not included in the University's financial statements. The University's investment in LANS and LLNS is accounted for using the equity method. Accordingly, subsequent to the applicable effective dates of the transition of laboratory management to LANS and LLNS, the University's statement of net assets includes its equity interest in LANS and LLNS, adjusted for the equity in undistributed earnings or losses and the statement of revenues, expenses and changes in net assets includes its equity in the current earnings or losses of LANS and LLNS.

The DOE is financially responsible for substantially all of the current and future costs incurred at any of the national laboratories, including pension and retiree health benefit costs. Accordingly, to the extent there is a liability on the University's statement of net assets for pension or retiree health obligations related to these laboratories, the University records a receivable from the DOE. The University's statement of cash flows includes the cash flows related to DOE reimbursements for pension and/or health benefits attributable to any of these laboratories.

Capital assets. Land, infrastructure, buildings and improvements, equipment, libraries and collections and special collections are recorded at cost at the date of acquisition, or estimated fair value at the date of donation in the case of gifts. Estimates of fair value involve assumptions and estimation methods that are uncertain and, therefore, the estimates could differ from actual results. Capital leases are recorded at the present value of future minimum lease payments. Significant additions, replacements, major repairs and renovations to infrastructure and buildings are generally capitalized if the cost exceeds \$35,000 and if they have a useful life of more than one year. Minor renovations are charged to operations. Equipment with a cost in excess of \$5,000 and a useful life of more than one year is capitalized. All costs of land, library collections and special collections are capitalized.

Depreciation is calculated using the straight-line method over the estimated economic life of the asset. Leasehold improvements are amortized using the straight-line method over the shorter of the life of the applicable lease or the economic life of the asset.

Estimated economic lives are generally as follows:

| | |
|-----------------------------|-------------|
| Infrastructure | 25 years |
| Buildings and improvements | 15–33 years |
| Equipment | 2–20 years |
| Computer software | 3–7 years |
| Library books and materials | 15 years |

Capital assets acquired through federal grants and contracts where the federal government retains a reversionary interest are also capitalized and depreciated.

Inexhaustible capital assets, such as land or special collections that are protected, preserved and held for public exhibition, education or research, including art, museum, scientific and rare book collections, are not depreciated.

Interest on borrowings to finance facilities is capitalized during construction, net of any investment income earned during the temporary investment of project-related borrowings.

Deferred revenue. Deferred revenue primarily includes amounts received from grant and contract sponsors that have not been earned under the terms of the agreement and other revenue billed in advance of the event, such as student tuition and fees and fees for housing and dining services.

Funds held for others. Funds held for others result from the University or the campus foundations acting as an agent, or fiduciary, on behalf of organizations that are not significant or financially accountable to the University or campus foundations.

Federal refundable loans. Certain loans to students are administered by the University with funding primarily supported by the federal government. The University's statement of net assets includes both the notes receivable and the related federal refundable loan liability representing federal capital contributions owed upon termination of the program.

Obligations under life income agreements. Obligations under life income agreements represent actuarially-determined liabilities under gift annuity and life income contracts.

Pollution remediation obligations. Upon an obligating event, the University estimates the components of any expected pollution remediation costs and recoveries from third parties. The costs, estimated using the expected cash flow technique, are generally accrued as a liability.

Net assets. Net assets are required to be classified for accounting and reporting purposes into the following categories:

Invested in capital assets, net of related debt. This category includes all of the University's capital assets, net of accumulated depreciation, reduced by outstanding debt attributable to the acquisition, construction or improvement of those assets.

Restricted. The University and campus foundations classify net assets resulting from transactions with purpose restrictions as restricted net assets until the specific resources are used for the required purpose or for as long as the provider requires the resources to remain intact.

Nonexpendable. Net assets subject to externally-imposed restrictions, which must be retained in perpetuity by the University or the campus foundations, are classified as nonexpendable net assets. Such assets include the University and campus foundation permanent endowment funds.

Expendable. Net assets whose use by the University or the campus foundations is subject to externally-imposed restrictions that can be fulfilled by actions of the University or campus foundations pursuant to those restrictions or that expire by the passage of time are classified as expendable net assets.

Unrestricted. Net assets that are neither restricted nor invested in capital assets, net of related debt, are classified as unrestricted net assets. The University's unrestricted net assets may be designated for specific purposes by management or The Regents. The campus foundations' unrestricted net assets may be designated for specific purposes by their Boards of Trustees. Substantially all of the University's unrestricted net assets are allocated for academic and research initiatives or programs, for capital programs or for other purposes.

Expenses are charged to either restricted or unrestricted net assets based upon a variety of factors, including consideration of prior and future revenue sources, the type of expense incurred, the University's budgetary policies surrounding the various revenue sources or whether the expense is a recurring cost.

Revenues and expenses. Operating revenues of the University include receipts from student tuition and fees, grants and contracts for specific operating activities and sales and services from medical centers, educational activities and auxiliary enterprises. Operating expenses incurred in conducting the programs and services of the University are presented in the statement of revenues, expenses and changes in net assets as operating activities. The University's equity in current earnings or losses of LANS and LLNS is also an operating transaction.

Certain significant revenues relied upon and budgeted for fundamental operational support of the core instructional mission of the University are mandated by the GASB to be recorded as nonoperating revenues, including state educational appropriations, private gifts and investment income, since the GASB does not consider them to be related to the principal operating activities of the University.

Campus foundations are established to financially support the University. Private gifts to campus foundations are recognized as operating revenues since, in contrast to the University, such contributions are fundamental to the core mission of the campus foundations. Foundation grants to the University are recognized as operating expenses. Private gift or capital gift revenues associated with campus foundation grants to the University are recorded by the University as the gifts are made.

Nonoperating revenues and expenses include state educational appropriations, state financing appropriations, private gifts for other than capital purposes, investment income, net unrealized appreciation or depreciation in the fair value of investments, interest expense and gain or loss on the disposal of capital assets.

State capital appropriations, capital gifts and grants and gifts for endowment purposes are classified as other changes in net assets.

Student tuition and fees. Substantially all of the student tuition and fees provide for current operations of the University. A small portion of the student fees, reported as capital gifts and grants, is required for debt service associated with student union and recreational centers. Certain waivers of student tuition and fees considered to be scholarship allowances are recorded as an offset to revenue.

State appropriations. The state of California provides appropriations to the University on an annual basis. State educational appropriations are recognized as nonoperating revenue; however, the related expenses are incurred to support either educational operations or other specific operating purposes. State financing appropriations provide for principal and interest payments associated with lease-purchase agreements with the State Public Works Board and are also reported as nonoperating revenue. State appropriations for capital projects are recorded as revenue under other changes in net assets when the related expenditures are incurred. Special state appropriations for AIDS, tobacco and breast cancer research are reported as grant operating revenue.

Subsequent to June 30, 2009, the state of California finalized their State Budget Act that required reversion to the state of a portion of the University's 2009 state educational appropriations for the year ended June 30, 2009. The University's statement of net assets as of June 30, 2009 includes a liability to the state totaling \$795.0 million, primarily a result of \$715.5 million of state educational appropriation reversions.

Grant and contract revenue. The University receives grant and contract revenue from governmental and private sources. The University recognizes revenue associated with the direct costs of sponsored programs as the related expenditures are incurred. Recovery of facilities and administrative costs of federally-sponsored programs is at cost reimbursement rates negotiated with the University's federal cognizant agency, the U.S. Department of Health and Human Services. For the year ended June 30, 2009, the facilities and administrative cost recovery totaled \$824.9 million, \$621.6 million from federally-sponsored programs and \$203.3 million from other sponsors. For the year ended June 30, 2008, the facilities and administrative cost recovery totaled \$778.6 million, \$602.4 million from federally-sponsored programs and \$176.2 million from other sponsors.

Medical center revenue. Medical center revenue is reported at the estimated net realizable amounts from patients and third-party payors, including Medicare, Medi-Cal and others for services rendered, as well as estimated retroactive adjustments under reimbursement agreements with third-party payors. Laws and regulations governing Medicare and Medi-Cal are complex and subject to interpretation. Retroactive adjustments are accrued on an estimated basis in the period the related services are rendered and adjusted in future periods as final settlements are determined. It is reasonably possible that estimated amounts accrued could change significantly based upon settlement, or as additional information becomes available.

Scholarship allowances. The University recognizes scholarship allowances, including both financial aid and fee waivers, as the difference between the stated charge for tuition and fees, housing and dining charges, recreational center fees, etc., and the amount that is paid by the student, as well as third parties making payments on behalf of the student. Payments of financial aid made directly to students are classified as scholarship and fellowship expenses.

Scholarship allowances in the following amounts are recorded as an offset to the following revenues for the years ended June 30, 2009 and 2008:

(in thousands of dollars)

| | 2009 | 2008 |
|-------------------------------|-------------------|-------------------|
| Student tuition and fees | \$ 565,785 | \$ 506,582 |
| Auxiliary enterprises | 142,143 | 127,382 |
| Other operating revenues | 7,078 | 7,349 |
| Scholarship allowances | \$ 715,006 | \$ 641,313 |

UCRP benefits and obligation to UCRP. The University's cost for campus and medical center UCRP benefits expense is based upon the annual required contribution to UCRP, as actuarially determined. Campus and medical center contributions toward UCRP benefits, at rates determined by the University, are made to UCRP and reduce the University's obligation to UCRP in the statement of net assets.

Both current employees and retirees at LBNL participate in UCRP. Current employees at both LANL and LLNL are no longer accruing benefits in UCRP. However, UCRP retains the obligation for retirees and terminated vested members at these locations as of the date these contracts were terminated. The annual required contribution for the combined DOE laboratories is actuarially determined, independently from the campuses and medical centers, and included with the DOE laboratory expense in the statement of revenues, expenses and changes in net assets.

The University makes contributions to UCRP in behalf of LBNL employees and is reimbursed by the DOE, based upon rates that are identical to those authorized by The Regents for campus and medical center employees. The University also makes contributions to UCRP in behalf of LANL and LLNL retirees and terminated vested members, whose benefits were retained in UCRP, based upon a contractual arrangement with the DOE that incorporates a formula targeted to maintain the LANL and LLNL segments within UCRP for these retirees and terminated vested members at a 100 percent funded level. These contributions reduce the University's obligation to UCRP in the statement of net assets. These University contributions are also reimbursed by the DOE. The reimbursement from the DOE is included as DOE laboratory revenue in the statement of revenues, expenses and changes in net assets.

The University records a receivable from the DOE for the portion of the University's obligation to UCRP attributable to the DOE laboratories.

Campus and medical center contributions to UCRP, University contributions to UCRP in behalf of the DOE national laboratories, and the corresponding reimbursements from the DOE are operating activities in the statement of cash flows.

Retiree health benefits and obligations for retiree health benefits. The University's cost for campus and medical center retiree health benefits expense is based upon the annual required contribution to the retiree health plan, as actuarially determined. Campus and medical center contributions toward retiree health benefits, at rates determined by the University, are made to UCRHBT and reduce the obligation for retiree health benefits in the statement of net assets.

LBNL participates in the University's retiree health plans. The annual required contribution for LBNL is actuarially determined independently from the University's campuses and medical centers, and included with the DOE laboratory expense in the statement of revenues, expenses and changes in net assets. The University directly pays health care insurers and administrators amounts currently due under the University's retiree health benefit plans for retirees who previously worked at LBNL, and is reimbursed by the DOE. These contributions, in the form of direct payments, also reduce the University's obligation for retiree health benefits in the statement of net assets. The reimbursement from the DOE is included as DOE laboratory revenue in the statement of revenues, expenses and changes in net assets.

The University records a receivable from the DOE for the DOE's portion of the University's obligation for retiree health benefits attributable to LBNL. The University does not have any obligation for LANL or LLNL retiree health benefit costs since they do not participate in the University's retiree health plans.

Campus and medical center contributions toward retiree health costs made to UCRHBT, the University's LBNL-related payments made directly to health care insurers and administrators and the corresponding reimbursements from the DOE are operating activities in the statement of cash flows. Cash flows resulting from retiree health contributions from retirees are shown as noncapital financing activities in the statement of cash flows.

University of California Retiree Health Benefit Trust. UCRHBT receives the University's contributions toward retiree health benefits from campuses, medical centers and University affiliates. The University receives retiree health contributions from University affiliates and campus and medical center retirees that are deducted from their UCRP benefit payments. The University also remits these retiree contributions to UCRHBT.

The University acts as a third-party administrator on behalf of UCRHBT and pays health care insurers and administrators amounts currently due under the University's retiree health benefit plans for retirees who previously worked at a campus or medical center. UCRHBT reimburses the University for these amounts.

LBNL does not participate in UCRHBT; therefore, the DOE has no interest in the Trust's assets.

Compensated absences. The University accrues annual leave, including employer-related costs, for employees at rates based upon length of service and job classification and compensatory time based upon job classification and hours worked.

Endowment spending. Under provisions of California law, the Uniform Prudent Management of Institutional Funds Act allows for investment income, as well as a portion of realized and unrealized gains, to be expended for the operational requirements of University programs.

Interest rate swap agreements. The University has entered into interest rate swap agreements to limit the exposure of its variable rate debt to changes in market interest rates. Interest rate swap agreements involve the exchange with a counterparty of fixed and variable rate interest payments periodically over the life of the agreement without exchange of the underlying notional principal amounts. The net differential to be paid or received is recognized over the life of the agreements as an adjustment to interest expense. The University's counterparties are major financial institutions.

In accordance with GASB standards, the fair value of the interest rate swap agreements is not reported in the University's statement of net assets and changes in fair value are not recognized in the statement of revenues, expenses and changes in net assets.

Tax exemption. The University and the campus foundations are qualified as tax-exempt organizations under the provisions of Section 501(c)(3) of the Internal Revenue Code and are exempt from federal and state income taxes on related income. UCRS plans are qualified under Section 401(a) and the related trusts are tax exempt under Section 501(a) of the Internal Revenue Code. UCRHBT is tax-exempt under Section 115 of the Internal Revenue Code.

Use of estimates. The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenditures during the reporting period. Although management believes the estimates and assumptions are reasonable, they are based upon information available at the time the estimate or judgment is made and actual amounts could differ from those estimates.

Comparative information. In connection with the preparation of the June 30, 2009 statement of revenues, expenses and changes in net assets, the University concluded that internal departmental recharges associated with utility costs in 2008 should have been credited against utilities expense rather than other operating expenses. As a result, revisions in classification have been made in the June 30, 2008 financial statements to reduce utilities expense and increase other operating expenses by \$93.5 million.

The effect on prior period financial statements was not material. However, management elected to make the revisions to the 2008 presentation to conform to the 2009 presentation. This revision in classification to the University's 2008 financial statements had no effect on previously reported operating revenues, operating expenses or decrease in net assets; total assets, liabilities and net assets; or net decrease in cash.

New accounting pronouncements. In June 2007, the GASB issued Statement No. 51, *Accounting and Financial Reporting for Intangible Assets*, effective for the University's fiscal year beginning July 1, 2009. This Statement requires capitalization of identifiable intangible assets in the statement of net assets and provides guidance for amortization of intangible assets unless they are considered to have an indefinite useful life.

The University is evaluating the effect that Statement No. 51 will have on its financial statements.

In June 2008, the GASB issued Statement No. 53, *Accounting and Financial Reporting for Derivative Instruments*, also effective for the University's fiscal year beginning July 1, 2009. This Statement requires the University to report its derivative instruments at fair value. Changes in fair value for effective hedges that are achieved with derivative instruments are to be reported as deferrals in the statement of net assets. Derivative instruments that either do not meet the criteria for an effective hedge or are associated with investments that are already reported at fair value are to be classified as investment derivative instruments. Changes in fair value of those derivative instruments are to be reported as net appreciation or depreciation in the fair value of investments.

The University has determined that the interest rate swaps entered into in conjunction with certain Medical Center Pooled Revenue Bonds are derivative instruments that meet the criteria for an effective hedge and is continuing to evaluate the effect that Statement No. 53 will have on its financial statements with respect to securities in investment portfolios that may be derivative instruments.

1. CASH AND CASH EQUIVALENTS

The University maintains centralized management for substantially all of its cash. Accounts are authorized at financial institutions that maintain a minimum credit quality rating of A from an independent bond rating agency. Cash in demand deposit accounts is minimized by sweeping available cash balances into investment accounts on a daily basis.

At June 30, 2009 and 2008, the carrying amount of the University's demand deposits, generally held in four nationally recognized banking institutions, was \$487.9 million and \$108.0 million, respectively, compared to bank balances of \$463.8 million and \$72.2 million, respectively. Deposits in transit and cash awaiting investment are the primary differences.

Bank balances of \$447.8 million in 2009 are insured by the Federal Deposit Insurance Corporation (FDIC). Under the FDIC's Transaction Account Guarantee Program (TAGP) adopted in November 2008, the FDIC fully insures the University's bank balances. The TAGP is currently effective through December 31, 2009. If the TAGP is not extended at that time, the FDIC insures the uncollateralized bank balances for \$1.0 million at the University's four major nationally recognized banking institutions, in addition to the FDIC insurance provided at the University's remaining banking institutions that have less significant bank balances.

The University does not have a significant exposure to foreign currency risk in demand deposit accounts. Accounts held in foreign countries maintain minimum operating balances with the intent to reduce potential foreign exchange risk while providing an adequate level of liquidity to meet the obligations of the academic programs established abroad. The equivalent U.S. dollar balances required to support research groups and education abroad programs in foreign countries were \$2.2 million and \$3.7 million at June 30, 2009 and 2008, respectively.

The carrying amount of the campus foundations' cash and cash equivalents at June 30, 2009 and 2008 was \$183.2 million and \$150.7 million, respectively, compared to bank balances of \$106.9 million and \$83.1 million, respectively. Deposits in transit and cash awaiting investment are the primary differences. Included in bank balances are deposits in the University's Short Term Investment Pool of \$64.5 million and \$54.9 million at June 30, 2009 and 2008, respectively, with the remaining uncollateralized bank balances insured by the FDIC under the TAGP. The campus foundations do not have exposure to foreign currency risk in their cash and cash equivalents.

2. INVESTMENTS

The Regents, as the governing Board, is responsible for the oversight of the University's, UCRS' and UCRHBT's investments and establishes investment policy, which is carried out by the Chief Investment Officer. These investments are associated with the Short Term Investment Pool (STIP), Total Return Investment Pool (TRIP), General Endowment Pool (GEP), UCRS, UCRHBT, other investment pools managed by the Chief Investment Officer, or are separately invested. Pursuant to The Regents' policies on campus foundations, the Board of Trustees for each campus foundation may determine that all or a portion of their investments will be managed by the Chief Investment Officer. Asset allocation guidelines are provided to the campus foundations by the Investment Committee of The Regents.

STIP allows participants to maximize the returns on their short-term cash balances by taking advantage of the economies of scale of investing in a large pool with a broad range of maturities and is managed to maximize current earned income. Cash to provide for payroll, construction expenditures and other operating expenses for campuses and medical centers is invested in STIP. The available cash in UCRS or endowment investment pools awaiting investment, or cash for administrative expenses, is also invested in STIP.

Investments authorized by The Regents for STIP include fixed income securities with a maximum maturity of five and one-half years. In addition, for STIP, The Regents has also authorized loans, primarily to faculty members residing in California, under the University's Mortgage Origination Program with terms up to 40 years.

TRIP allows participant campuses the opportunity to maximize the return on their long-term working capital by taking advantage of the economies of scale of investing in a large pool across a broad range of asset classes. TRIP is managed to a total return objective and is intended to supplement STIP. Investments authorized by The Regents for TRIP include a diversified portfolio of equity and fixed income securities.

GEP is an investment pool in which a large number of individual endowments participate in order to benefit from diversification and economies of scale. GEP is a balanced portfolio and the primary investment vehicle for endowed gift funds.

Other investment pools primarily facilitate annuity and life income arrangements. Separate investments are those that cannot be pooled due to investment restrictions or income requirements, or represent the University's estimated interest in externally held irrevocable trusts.

Investments authorized by The Regents for GEP, UCRS, other investment pools and separate investments include equity securities, fixed income securities and certain other asset classes. The equity portion of the investment portfolios include both domestic and foreign common and preferred stocks which may be included in actively or passively managed strategies, along with a modest exposure to private equities. The University's investment portfolios may include foreign currency denominated equity securities. The fixed income portion of the investment portfolios may include both domestic and foreign securities, along with certain securitized investments, including mortgage-backed and asset-backed securities. Fixed income investment guidelines permit the use of futures and options on fixed income instruments in the ongoing management of the portfolios. Derivative contracts are authorized for portfolio rebalancing in accordance with The Regents' asset allocation policy and as substitutes for physical securities. Real estate investments are authorized for both GEP and UCRS. Absolute return strategies, which may incorporate short sales, plus derivative positions to implement or hedge an investment position, are also authorized for GEP and UCRS. Where donor agreements place constraints on allowable investments, assets associated with endowments are invested in accordance with the terms of the agreements.

The Regents has also authorized certain employee account balances in defined contribution plans included as part of the UCRS' investments to be invested in mutual funds. The participants' interest in mutual funds is not managed by the Chief Investment Officer and totaled \$2.92 billion and \$3.77 billion at June 30, 2009 and 2008, respectively.

Investments authorized by The Regents for UCRHBT are restricted to a portfolio of high-quality money market instruments in a commingled fund that is managed externally. The average credit quality of the portfolio is A-1/P-1 with an average maturity of 55 days. The fair value of the UCRHBT's investment in this portfolio was \$38.4 million and \$19.8 million at June 30, 2009 and 2008, respectively.

Campus foundations' investments in pools managed by the Chief Investment Officer are classified for investment type purposes as either commingled balanced funds or commingled money market funds in the campus foundations' column depending on whether they are invested in GEP or STIP, respectively. Similarly, UCRS' investment in STIP is classified in the commingled money market category in the UCRS column.

The composition of investments, by investment type, at June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|---|--------------------------|---------------------|--|--------------------|---|---------------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Equity securities: | | | | | | |
| Domestic | \$ 1,185,621 | \$ 1,209,086 | \$ 146,234 | \$ 245,463 | \$ 12,154,737 | \$ 19,868,126 |
| Foreign | 1,061,202 | 1,117,811 | 68,064 | 97,456 | 7,493,036 | 7,803,550 |
| Equity securities | 2,246,823 | 2,326,897 | 214,298 | 342,919 | 19,647,773 | 27,671,676 |
| Fixed or variable income securities: | | | | | | |
| U.S. government guaranteed: | | | | | | |
| U.S. Treasury bills, notes and bonds | 1,113,945 | 946,865 | 99,449 | 130,345 | 2,368,476 | 1,577,392 |
| U.S. Treasury strips | 69,125 | 29,659 | | | 101,463 | 1,204,670 |
| U.S. TIPS | 272,345 | 424,552 | | | 2,649,386 | 2,754,366 |
| U.S. government-backed securities | 3,331 | 3,637 | 3,267 | 4,406 | 12,964 | 14,158 |
| U.S. government-backed–asset-backed securities | | | 266 | 2,240 | | |
| U.S. government guaranteed | 1,458,746 | 1,404,713 | 102,982 | 136,991 | 5,132,289 | 5,550,586 |
| Other U.S. dollar denominated: | | | | | | |
| Corporate bonds | 4,053,628 | 3,259,085 | 76,231 | 61,324 | 2,245,234 | 3,060,306 |
| Commercial paper | 1,283,124 | 2,937,981 | | | | 127,983 |
| U.S. agencies | 839,915 | 1,398,261 | 9,730 | 82,836 | 2,598,653 | 2,887,262 |
| U.S. agencies–asset-backed securities | 199,159 | 137,200 | 62,373 | 2,101 | 864,140 | 1,248,427 |
| Corporate–asset-backed securities | 217,404 | 241,409 | 9,808 | 11,947 | 1,382,042 | 1,731,551 |
| Supranational/foreign | 793,404 | 828,033 | 676 | 620 | 1,085,083 | 1,510,699 |
| Other | 55 | 15 | 1,753 | | | |
| Other U.S. dollar denominated | 7,386,689 | 8,801,984 | 160,571 | 158,828 | 8,175,152 | 10,566,228 |
| Foreign currency denominated: | | | | | | |
| Government/sovereign | 126,096 | 189,068 | | | | 1,125,748 |
| Corporate | 3,627 | 5,072 | | | 37,143 | 52,591 |
| Foreign currency denominated | 129,723 | 194,140 | | | 37,143 | 1,178,339 |
| Commingled funds: | | | | | | |
| Absolute return funds | 1,234,209 | 1,355,318 | 397,568 | 412,024 | 1,898,974 | 648,683 |
| Balanced funds | | | 590,966 | 767,550 | | |
| U.S. equity funds | 103,231 | 29,946 | 329,822 | 420,782 | 624,697 | 309,890 |
| Non-U.S. equity funds | 317,171 | 431,595 | 395,502 | 584,586 | 1,684,201 | 2,259,199 |
| U.S. bond funds | 42,106 | 40,243 | 205,569 | 168,668 | | |
| Non-U.S. bond funds | | | 32,289 | 49,544 | | |
| Real estate investment trusts | 66 | 104 | 42,362 | 73,877 | 56,463 | 44,586 |
| Money market funds | 54,323 | 26,895 | 409,199 | 357,418 | 1,312,351 | 508,340 |
| Commingled funds | 1,751,106 | 1,884,101 | 2,403,277 | 2,834,449 | 5,576,686 | 3,770,698 |
| Private equity | 452,630 | 503,322 | 268,599 | 317,587 | 1,845,065 | 1,859,887 |
| Mortgage loans | 754,266 | 586,387 | 13,305 | 10,532 | | |
| Insurance contracts | | | | | 962,168 | 824,201 |
| Real estate | 226,516 | 288,078 | 113,990 | 139,720 | 982,105 | 1,110,554 |
| Externally held irrevocable trusts | 157,800 | 256,057 | 17,464 | 27,001 | | |
| Other investments | 7,047 | 6,368 | 230,136 | 190,884 | (5,658) | |
| Campus foundations' investments with the University | (922,180) | (1,031,751) | | | | |
| UCRS investment in STIP | (245,594) | (392,273) | | | | |
| Total investments | 13,403,572 | 14,828,023 | 3,524,622 | 4,158,911 | \$42,352,723 | \$52,532,169 |
| Less: Current portion | (2,036,487) | (4,068,848) | (359,426) | (346,492) | | |
| Noncurrent portion | \$11,367,085 | \$10,759,175 | \$3,165,196 | \$3,812,419 | | |

Investment Risk Factors

There are many factors that can affect the value of investments. Some, such as custodial credit risk, concentration of credit risk and foreign currency risk may affect both equity and fixed income securities. Equity securities respond to such factors as economic conditions, individual company earnings performance and market liquidity, while fixed income securities are particularly sensitive to credit risks and changes in interest rates. Alternative investment strategies and their underlying assets and rights are subject to an array of economic and market vagaries that can limit or erode value.

Credit Risk

Fixed income securities are subject to credit risk, which is the chance that a bond issuer will fail to pay interest or principal in a timely manner, or that negative perceptions of the issuer's ability to make these payments will cause security prices to decline. These circumstances may arise due to a variety of factors such as financial weakness, bankruptcy, litigation and/or adverse political developments. Certain fixed income securities, primarily obligations of the U.S. government or those explicitly guaranteed by the U.S. government, are not considered to have credit risk.

A bond's credit quality is an assessment of the issuer's ability to pay interest on the bond, and ultimately, to pay the principal. Credit quality is evaluated by one of the independent bond-rating agencies, for example Moody's Investors Service (Moody's) or Standard and Poor's (S&P). The lower the rating, the greater the chance—in the rating agency's opinion—that the bond issuer will default, or fail to meet its payment obligations. Generally, the lower a bond's credit rating, the higher its yield should be to compensate for the additional risk.

The investment guidelines for STIP recognize that a limited amount of credit risk, properly managed and monitored, is prudent and provides incremental risk adjusted return over its benchmark (the benchmark for STIP, the two-year Treasury note, has no credit risk). No more than 5 percent of the total market value of the STIP portfolio may be invested in securities rated below investment grade (BB, Ba or lower). The average credit quality of STIP must be A or better and commercial paper must be rated at least A-1, P-1 or F-1.

The University recognizes that credit risk is appropriate in balanced investment pools such as TRIP, UCRS and GEP by virtue of the benchmarks chosen for the fixed income portion of those pools.

Fixed income benchmarks for TRIP include the Barclays Capital Aggregate Credit Index, Barclays Capital Aggregate Securitized Index, the Merrill Lynch High-Yield Cash Pay Index and the Barclays Capital Aggregate Government Index. The TRIP fixed income benchmark is comprised of 60 percent high grade corporate bonds, 13.3 percent mortgage/asset-backed securities, and 13.3 percent below investment grade securities, all of which carry some degree of credit risk. The remaining 13.3 percent is government-issued bonds.

Fixed income benchmarks for UCRS and GEP include the Citigroup Large Pension Fund Index and Barclays Capital Aggregate Index and are comprised of approximately 30 percent high grade corporate bonds and 30-35 percent mortgage/asset-backed securities, all of which carry some degree of credit risk. The remaining 35-40 percent is government-issued bonds.

Credit risk in TRIP, UCRS and GEP is managed primarily by diversifying across issuers. In addition, portfolio guidelines for UCRS and GEP mandate that no more than 10 percent of the market value of fixed income securities may be invested in issues with credit rating below investment grade. Further, the weighted average credit rating must be A or higher.

In addition, the investment policy for both UCRP and GEP allows for dedicated allocations to non-investment grade and emerging market bonds, investment in which entails credit, default and/or sovereign risk.

The credit risk profile for fixed or variable income securities at June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|--------------------------------------|--------------------------|-------------|--|-----------|---|-------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Fixed or variable income securities: | | | | | | |
| U.S. government guaranteed | \$1,458,746 | \$1,404,713 | \$102,982 | \$136,991 | \$5,132,289 | \$5,550,586 |
| Other U.S. dollar denominated: | | | | | | |
| AAA | 1,286,231 | 2,040,336 | 83,573 | 96,884 | 4,499,623 | 5,919,687 |
| AA | 595,114 | 829,005 | 11,091 | 14,406 | 149,758 | 201,343 |
| A | 2,143,284 | 1,261,356 | 25,743 | 13,318 | 694,734 | 937,490 |
| BBB | 1,690,608 | 1,504,620 | 23,214 | 14,878 | 1,115,705 | 1,675,129 |
| BB | 181,839 | 102,045 | 4,376 | 6,025 | 607,875 | 651,869 |
| B | 120,359 | 121,800 | 2,705 | 3,240 | 774,471 | 965,527 |
| CCC or below | 68,744 | 408 | 7,828 | | 331,681 | 2,979 |
| A-1 / P-1 / F-1 | 1,283,124 | 2,937,981 | 112 | | | 127,983 |
| Not rated | 17,386 | 4,433 | 1,929 | 10,077 | 1,305 | 84,221 |
| Foreign currency denominated: | | | | | | |
| AA | 126,096 | 189,068 | | | | 1,125,748 |
| A | | | | | | 5,946 |
| B | 3,627 | 5,072 | | | 37,143 | 46,645 |
| Commingled funds: | | | | | | |
| U.S. bond funds: Not rated | 42,106 | 40,243 | 205,569 | 168,668 | | |
| Non-U.S. bond funds: Not rated | | | 32,289 | 49,544 | | |
| Money market funds: Not rated | 54,323 | 26,895 | 409,199 | 357,418 | 1,312,351 | 508,340 |
| Mortgage loans: Not rated | 754,266 | 586,387 | 13,305 | 10,532 | | |
| Insurance contracts: Not rated | | | | | 962,168 | 824,201 |

Custodial Credit Risk

Custodial credit risk is the risk that in the event of the failure of the custodian, the investments may not be returned.

The University's and UCRS' securities are registered in the University's name by the custodial bank as an agent for the University. Other types of investments represent ownership interests that do not exist in physical or book-entry form. As a result, custodial credit risk is remote.

Some of the investments at certain of the campus foundations are exposed to custodial credit risk. These investments may be uninsured, or not registered in the name of the campus foundation and held by a custodian.

Custodial credit risk exposure related to investments is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | |
|--|--|------------------|
| | 2009 | 2008 |
| Equity securities: | | |
| Domestic | \$ 53,477 | \$ 91,941 |
| Foreign | 855 | 1,212 |
| Fixed or variable income securities: | | |
| U.S. government guaranteed: | | |
| U.S. Treasury bills, notes and bonds | 61,717 | 92,801 |
| U.S. government-backed–asset-backed securities | | 2,226 |
| Other U.S. dollar denominated: | | |
| U.S. agencies | 6,010 | 2,224 |
| Other | 1,562 | |
| Custodial credit risk exposure | \$123,621 | \$190,404 |

Concentration of Credit Risk

Concentration of credit risk is the risk associated with a lack of diversification, such as having substantial investments in a few individual issuers, thereby exposing the organization to greater risks resulting from adverse economic, political, regulatory, geographic or credit developments.

The U.S. and non-U.S. equity portions of the University and UCRS portfolios may be managed either passively or actively. For the portion managed passively, the concentration of individual securities is exactly equal to their concentration in the benchmark. While some securities have a larger representation in the benchmark than others, the University considers that passive management results in an absence of concentration of credit risk. For the portion managed actively, asset class guidelines do not specifically address concentration risk, but do state that the U.S. equity asset class, in the aggregate, will be appropriately diversified to control overall risk and will exhibit portfolio characteristics similar to the asset class benchmark (including concentration of credit risk). Concentration risk for individual portfolios is monitored relative to their individual benchmarks and agreed-upon risk parameters in their guidelines.

Investment guidelines addressing concentration of credit risk related to the investment-grade fixed income portion of the University and UCRS portfolios include a limit of no more than 3 percent of the portfolio's market value to be invested in any single issuer (except for securities issued by the U.S. government or its agencies). These same guidelines apply to STIP. For high-yield and emerging market debt, the corresponding limit is 5 percent.

Each campus foundation may have its own individual investment policy designed to limit exposure to a concentration of credit risk.

Investments in issuers other than U.S. government guaranteed securities that represent 5 percent or more of total investments at June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | |
|---|--------------------------|-----------|--|----------|
| | 2009 | 2008 | 2009 | 2008 |
| Fannie Mae | | \$783,608 | \$44,151 | \$62,897 |
| Baupost Bermuda Value Partners-IV | | | 29,186 | |
| Silchester International Value Equity Trust | | | 25,796 | 29,309 |
| Gryphon International EAFE Growth Fund | | | | 28,613 |

Interest Rate Risk

Interest rate risk is the risk that the value of fixed income securities will decline because of changing interest rates. The prices of fixed income securities with a longer time to maturity, measured by effective duration, tend to be more sensitive to changes in interest rates and, therefore, more volatile than those with shorter durations. Effective duration is the approximate change in price of a security resulting from a 100 basis point (1 percentage point) change in the level of interest rates. It is not a measure of time.

Interest rate risk for STIP is managed by constraining the maturity of all individual securities to be less than five and one-half years. There is no restriction on weighted average maturity of the portfolio as it is managed relative to the liquidity demands of the investors. The nature and maturity of individual securities in STIP allow for the use of weighted average maturity as an effective risk management tool, rather than the more complex measure, effective duration.

Portfolio guidelines for the fixed income portion of TRIP limit weighted average effective duration to the effective duration of the benchmarks (Barclays Capital Aggregate Credit Index, Barclays Capital Aggregate Securitized Index, the Merrill Lynch High-Yield Cash Pay Index and Barclays Capital Aggregate Government Index), plus or minus 10 percent. Similarly, portfolio guidelines for the fixed income portion of UCRS and GEP limit weighted average effective duration to the effective duration of their benchmarks (Citigroup Large Pension Fund Index and Lehman Aggregate Index), plus or minus 20 percent. These portfolio guidelines constrain the potential price movement due to interest rate changes of the portfolio to be similar to that of the benchmark. There are similar restrictions for the high-yield and emerging market debt portfolios relative to their benchmarks.

The effective durations for fixed or variable income securities at June 30, 2009 and 2008 are as follows:

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|--|--------------------------|------|--|------|---|------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Fixed or variable income securities: | | | | | | |
| U.S. government guaranteed: | | | | | | |
| U.S. Treasury bills, notes and bonds | 2.0 | 1.0 | 4.2 | 4.5 | 1.9 | 0.7 |
| U.S. Treasury strips | 9.1 | 8.0 | | | 12.1 | 11.4 |
| U.S. TIPS | 4.1 | 5.3 | | | 5.0 | 5.3 |
| U.S. government-backed securities | 6.0 | 6.3 | 3.9 | 3.8 | 6.0 | 6.3 |
| U.S. government-backed–asset-backed securities | | | 3.9 | 3.9 | | |
| Other U.S. dollar denominated: | | | | | | |
| Corporate bonds | 3.0 | 2.6 | 3.6 | 4.0 | 5.8 | 7.6 |
| Commercial paper | 0.0 | 0.0 | | | 0.0 | 0.0 |
| U.S. agencies | 2.0 | 1.4 | 4.0 | 2.5 | 3.5 | 2.5 |
| U.S. agencies–asset-backed securities | 2.8 | 4.4 | 2.1 | 3.3 | 4.4 | 4.6 |
| Corporate–asset-backed securities | 7.0 | 3.8 | 0.5 | 0.6 | 5.5 | 4.1 |
| Supranational / foreign | 7.1 | 2.8 | 5.0 | 0.0 | 6.8 | 7.2 |
| Other | 5.4 | 0.6 | 4.1 | | | |
| Foreign currency denominated: | | | | | | |
| Government/sovereign | 6.7 | 6.6 | | | | 6.6 |
| Corporate | 4.1 | 3.9 | | | 4.1 | 6.1 |
| Commingled funds: | | | | | | |
| U.S. bond funds | 4.3 | 4.7 | 5.1 | 4.6 | | |
| Non-U.S. bond funds | | | 2.8 | 5.1 | | |
| Money market funds | 0.0 | 0.0 | 1.6 | 1.8 | 1.7 | 1.8 |
| Mortgage loans | 0.0 | 0.0 | 5.2 | 5.4 | | |
| Insurance contracts | | | | | 0.0 | 0.0 |

The University considers the effective durations for commercial paper, mortgage loans, insurance contracts and money market funds, with the exception of STIP, to be zero. The terms of the mortgage loans include variable interest rates, insurance contracts can be liquidated without loss of principal and money market funds consist of underlying securities that are of a short-term, liquid nature.

Investments may also include various mortgage-backed securities, collateralized mortgage obligations, structured notes, variable-rate securities, callable bonds and convertible bonds that may be considered to be highly sensitive to changes in interest rates due to the existence of prepayment or conversion features, although the effective durations of these securities may be low.

At June 30, 2009 and 2008, the fair values of such investments are as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|-------------------------------------|--------------------------|--------------------|--|-----------------|---|--------------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Mortgage-backed securities | \$ 471,171 | \$ 339,991 | \$ 56,339 | \$ 72,953 | \$ 1,908,498 | \$ 2,289,645 |
| Collateralized mortgage obligations | 11,251 | | 5,592 | 8,048 | 253,604 | 46,824 |
| Other asset-backed securities | 7,187 | 4,139 | 7,871 | 11,947 | 85,175 | 24,183 |
| Variable-rate securities | 389,792 | 609,359 | | | 25,017 | 67,771 |
| Callable bonds | 795,288 | 1,500,966 | 420 | 506 | 2,095,604 | 2,770,965 |
| Total | \$1,674,689 | \$2,454,455 | \$70,222 | \$93,454 | \$4,367,898 | \$5,199,388 |

Mortgage-Backed Securities. These securities are issued primarily by Fannie Mae, Ginnie Mae and Freddie Mac and include short embedded prepayment options. Unanticipated prepayments by the obligees of the underlying asset reduce the total expected rate of return.

Collateralized Mortgage Obligations. Collateralized mortgage obligations (CMOs) generate a return based upon either the payment of interest or principal on mortgages in an underlying pool. The relationship between interest rates and prepayments makes the fair value highly sensitive to changes in interest rates. In falling interest rate environments, the underlying mortgages are subject to a higher propensity of prepayments. In a rising interest rate environment, the opposite is true.

Other Asset-Backed Securities. Other asset-backed securities also generate a return based upon either the payment of interest or principal on obligations in an underlying pool, generally associated with auto loans or credit cards. As with CMOs, the relationship between interest rates and prepayments makes the fair value highly sensitive to changes in interest rates.

Variable-Rate Securities. These securities are investments with terms that provide for the adjustment of their interest rates on set dates and are expected to have fair values that will be relatively unaffected by interest rate changes. Variable-rate securities may have limits on how high or low the interest rate may change. These constraints may affect the market value of the security.

Callable Bonds. Although bonds are issued with clearly defined maturities, an issuer may be able to redeem, or call, a bond earlier than its maturity date. The University must then replace the called bond with a bond that may have a lower yield than the original. The call feature causes the fair value to be highly sensitive to changes in interest rates.

At June 30, 2009 and 2008, the effective durations for these securities are as follows:

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|-------------------------------------|--------------------------|------|--|------|---|------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Mortgage-backed securities | 4.5 | 4.3 | 2.0 | 2.5 | 5.9 | 5.0 |
| Collateralized mortgage obligations | 1.7 | | 2.0 | 1.7 | 2.4 | 5.2 |
| Other asset-backed securities | 1.1 | 3.2 | 0.5 | 0.6 | 0.7 | 4.0 |
| Variable-rate securities | 0.1 | 0.2 | | | 1.8 | 5.2 |
| Callable bonds | 2.4 | 1.6 | 8.6 | | 3.2 | 2.7 |

Foreign Currency Risk

The University's strategic asset allocation policy for TRIP, UCRS and GEP includes allocations to non-U.S. equities and non-dollar denominated bonds. The benchmarks for these investments are not hedged, therefore foreign currency risk is an essential part of the investment strategies. Portfolio guidelines for U.S. investment-grade fixed income securities also allow exposure to non-U.S. dollar denominated bonds up to 10 percent of the total portfolio market value. Exposure to foreign currency risk from these securities is permitted and it may be fully or partially hedged using forward foreign currency exchange contracts. Under the University's investment policies, such instruments are not permitted for speculative use or to create leverage. Similar limits on foreign exchange exposure apply to the high-yield debt and emerging market debt portfolios (10 percent and 20 percent, respectively).

At June 30, 2009 and 2008, the foreign currency risk expressed in U.S. dollars, organized by currency denomination and investment type, is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|--|--------------------------|--------------------|--|------------------|---|---------------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Equity securities: | | | | | | |
| Euro | \$ 330,165 | \$ 390,493 | \$ 15,892 | \$ 27,057 | \$ 2,299,494 | \$ 2,647,165 |
| Japanese Yen | 222,312 | 208,201 | 10,634 | 16,069 | 1,589,171 | 1,473,375 |
| British Pound | 196,468 | 211,126 | 10,246 | 13,065 | 1,392,245 | 1,489,215 |
| Canadian Dollar | 79,350 | 79,614 | 3,025 | 3,447 | 596,213 | 615,458 |
| Swiss Franc | 79,115 | 79,823 | 6,610 | 9,216 | 542,002 | 539,707 |
| Australian Dollar | 60,646 | 59,037 | 2,566 | 3,538 | 456,496 | 437,870 |
| Hong Kong Dollar | 33,380 | 25,676 | 7,626 | 4,179 | 215,023 | 170,512 |
| Swedish Krona | 20,083 | 19,661 | | | 145,396 | 143,274 |
| Singapore Dollar | 16,431 | 14,990 | 416 | 1,810 | 108,269 | 96,803 |
| Danish Krone | 8,102 | 9,342 | 1,063 | 1,253 | 59,108 | 68,424 |
| Norwegian Krone | 7,259 | 9,120 | 1,036 | 597 | 49,041 | 70,487 |
| South Korean Won | 2,006 | 2,943 | 336 | 502 | 9,768 | 13,532 |
| New Zealand Dollar | 841 | 741 | | | 6,241 | 5,341 |
| South African Rand | 1,255 | 1,879 | 394 | 527 | 6,114 | 8,639 |
| Thai Baht | 747 | 2,309 | | | 3,638 | 10,617 |
| Other | 3,042 | 2,856 | 8,220 | 16,196 | 14,817 | 13,131 |
| Subtotal | 1,061,202 | 1,117,811 | 68,064 | 97,456 | 7,493,036 | 7,803,550 |
| Fixed income securities: | | | | | | |
| Euro | 63,598 | 99,699 | | | 36,740 | 609,937 |
| Japanese Yen | 48,038 | 67,240 | | | | 400,358 |
| British Pound | 9,576 | 13,685 | | | 403 | 81,620 |
| Canadian Dollar | 2,852 | 4,261 | | | | 31,316 |
| Danish Krone | 1,005 | 1,527 | | | | 9,094 |
| Polish Zloty | 926 | 2,011 | | | | 11,977 |
| Swiss Franc | 828 | 1,371 | | | | 8,161 |
| Swedish Krona | 768 | 1,381 | | | | 8,225 |
| Australian Dollar | 750 | 808 | | | | 4,811 |
| Malaysian Ringgit | 591 | 854 | | | | 5,086 |
| Singapore Dollar | 468 | 729 | | | | 4,338 |
| Norwegian Krone | 323 | 574 | | | | 3,416 |
| Subtotal | 129,723 | 194,140 | | | 37,143 | 1,178,339 |
| Commingled funds: | | | | | | |
| Various currency denominations: | | | | | | |
| Balanced funds | | | 152,012 | 204,990 | | |
| Non-U.S. equity funds | 317,171 | 431,595 | 373,638 | 494,624 | 1,684,201 | 2,259,199 |
| Non-U.S. bond funds | | | 25,485 | 29,683 | | |
| Real estate investment trusts | | | 17,005 | 21,526 | | |
| Subtotal | 317,171 | 431,595 | 568,140 | 750,823 | 1,684,201 | 2,259,199 |
| Private equity: | | | | | | |
| Euro | 1,114 | 1,425 | | | 17,400 | 20,114 |
| Swedish Krona | 42 | | | | 937 | |
| Real estate: | | | | | | |
| Hong Kong Dollar | 1,716 | | | | 16,443 | |
| Japanese Yen | 1,505 | | | | 14,423 | |
| Other | 3,031 | | | | 29,041 | |
| Subtotal | 7,408 | 1,425 | | | 78,244 | 20,114 |
| Total exposure to foreign currency risk | \$1,515,504 | \$1,744,971 | \$636,204 | \$848,279 | \$9,292,624 | \$11,261,202 |

Alternative Investment Risks

Alternative investments are defined as marketable alternatives (hedge funds), limited partnerships, private equity and venture capital funds. Alternative investments include ownership interests in a wide variety of vehicles including partnerships and corporations that may be domiciled in the United States or off-shore. Generally, there is little or no regulation of these investment vehicles by the Securities and Exchange Commission or the applicable state agencies. Managers of these investments employ a wide variety of strategies and have areas of concentration including absolute return, venture capital or early stage investing, private equity or later stage investing and the underlying investments may be leveraged to enhance the total investment return. Each asset class has guidelines and policies regarding the use of leverage. Such underlying investments may include financial assets such as marketable securities, non-marketable securities, derivatives and other synthetic and structured investments as well as tangible and intangible assets. Generally, these alternative investments do not have a ready market and ownership interests in these investment vehicles may not be traded without the approval of the general partner or fund management. These investments are subject to the risks generally associated with equities and fixed income instruments with additional risks due to leverage and the lack of a ready market for acquisition or disposition of ownership interests.

Futures, Forward Contracts, Options and Swaps

The University may include futures, forward contracts, options and swap contracts in its investment portfolios. The Board of Trustees for each campus foundation may also authorize these contracts in its investment policy.

The University enters into futures contracts for the purpose of acting as a substitute for investment in equity and fixed income securities. A futures contract is an agreement between two parties to buy and sell a security or financial index, interest rate or foreign currency at a set price on a future date. They are standardized contracts that can be easily bought and sold and are exchange-traded. Upon entering into such a contract, the University is required to pledge to the broker an amount of cash or securities equal to the minimum initial margin requirements of the exchange on which the contract is traded. Futures contracts are marked to market daily; that is, they are valued at the close of business each day, and a gain or loss is recorded between the value of the contracts that day and on the previous day. The daily gain or loss difference is referred to as the daily variation margin, which is settled in cash with the broker the next day for the amount of the previous day's mark to market. The amount that is settled in cash with the broker the next day is the carrying and fair value of the futures contracts that is included in the statement of net assets.

Forward contracts are similar to futures, except they are custom contracts and are not exchange-traded. They are the primary instrument used in currency management.

An option contract gives the University the right, but not the obligation, to buy or sell a specified security or index at a fixed price during a specified period for a nonrefundable fee (the "premium"). The maximum loss to the University is limited to the premium originally paid for covered options. The University records premiums paid for the purchase of these options in the statement of net assets as an investment which is subsequently adjusted to reflect the fair value of the options, with unrealized gains and losses included in the statement of revenues, expenses and changes in net assets. Neither the University nor UCRS held any option contracts at June 30, 2009 or June 30, 2008.

A swap is a contractual agreement entered into between the University and a counterparty under which each agrees to exchange periodic fixed or variable payments for an agreed period of time based upon a notional amount of principal or value of the underlying contract. The payments correspond to an equity index, interest rate or currency. The University records interest rate swaps entered into for investment purposes at fair value, with unrealized gains and losses included in the statement of revenues, expenses and changes in net assets. Neither the University nor UCRS held any interest rate swap contracts for investment purposes at June 30, 2009 or June 30, 2008. However, the University did enter into interest rate swap agreements in connection with its variable rate bonds.

The University could be exposed to risk if the counterparty to the contracts was unable to meet the terms of the contracts. Counterparty credit risk is limited to a receivable due to the variation margin in futures contracts, or to the ability of the counterparty to meet the terms of an option contract that the University may exercise. Either risk is remote for exchange-traded contracts. Additional risk may arise from futures contracts traded in non-U.S. markets as the foreign futures contracts are cleared on, and subject to, the rules of foreign boards of trade. In addition, funds provided for foreign futures contracts may not be afforded the same protection as funds received in respect of U.S. transactions.

The University seeks to control counterparty credit risk in all derivative contracts that are not exchange-traded through counterparty credit evaluations and approvals, counterparty credit limits and exposure monitoring procedures undertaken by the Chief Investment Officer.

The University's Investment Pools

The composition of the University of California's investments at June 30, 2009, by investment pool, is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | | | |
|---|--------------------------|--------------------|--------------------|------------------|---------------------|
| | STIP | TRIP | GEP | OTHER | TOTAL |
| Equity securities: | | | | | |
| Domestic | | \$ 184,600 | \$ 930,213 | \$ 70,808 | \$ 1,185,621 |
| Foreign | | 128,424 | 918,669 | 14,109 | 1,061,202 |
| Fixed or variable income securities: | | | | | |
| U.S. government guaranteed | \$ 1,131,684 | 52,930 | 232,848 | 41,284 | 1,458,746 |
| Other U.S. dollar denominated | 5,641,612 | 1,062,226 | 634,410 | 48,441 | 7,386,689 |
| Foreign currency denominated | | | 129,723 | | 129,723 |
| Commingled funds | | 16,225 | 1,657,221 | 77,660 | 1,751,106 |
| Private equity | | | 440,976 | 11,654 | 452,630 |
| Mortgage loans | 754,266 | | | | 754,266 |
| Real estate | | | 210,531 | 15,985 | 226,516 |
| Externally held irrevocable trusts | | | | 157,800 | 157,800 |
| Other investments | | | (253) | 7,300 | 7,047 |
| Subtotal | 7,527,562 | 1,444,405 | 5,154,338 | 445,041 | 14,571,346 |
| Campus foundations' investments with the University | (380,856) | | (433,661) | (107,663) | (922,180) |
| UCRS investment in STIP | (245,594) | | | | (245,594) |
| Total investments | \$6,901,112 | \$1,444,405 | \$4,720,677 | \$337,378 | \$13,403,572 |

The total investment return based upon unit values, representing the combined income plus net appreciation or depreciation in the fair value of investments, for the year ended June 30, 2009 was (1.6) percent for TRIP, (18.2) percent for GEP and (16.6) percent for UCRS. The investment return for STIP distributed to participants, representing combined income and realized gains or losses, during the same period, was 3.6 percent. Other investments consist of numerous, small portfolios of investments, or individual securities, each with its individual rate of return.

Related Party Relationships with the University

UCRS and campus foundations may invest available cash in STIP. Shares are purchased or redeemed in STIP at a constant value of \$1 per share. Actual income earned, including any realized gains or losses on the sale of STIP investments, is allocated to UCRS and campus foundations based upon the number of shares held. Unrealized gains and losses associated with the fluctuation in the fair value of investments included in STIP are recorded by the University of California as the manager of the pool.

The campus foundations may purchase or redeem shares in GEP or other investment pools at the unitized value of the portfolio at the time of purchase or redemption. Actual income earned is allocated to the campus foundations based upon the number of shares held.

UCRS

UCRS had \$245.6 million and \$392.3 million invested in STIP at June 30, 2009 and 2008, respectively. These investments are also excluded from the University's statement of net assets and are included in the UCRS' statement of plans' fiduciary net assets. They are categorized as commingled funds in the composition of investments. STIP investment income in the University's statement of revenues, expenses and changes in net assets is net of income earned by, and distributed to, UCRS totaling \$9.1 million and \$13.8 million for the years ended June 30, 2009 and 2008, respectively.

Campus Foundations

Campus foundations' cash and cash equivalents and investments that are invested with the University and managed by the Chief Investment Officer are excluded from the University's statement of net assets and included in the campus foundations' statement of net assets. Under the accounting policies elected by each separate foundation, certain foundations classify all or a portion of their investment in STIP as cash and cash equivalents, rather than investments. Substantially all of the campus foundations' investments managed by the Chief Investment Officer are categorized as commingled funds by the campus foundations in the composition of investments.

The fair value of the campus foundations' cash and cash equivalents and investments that are invested with the University, by investment pool, at June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | 2009 | 2008 |
|---|------------------|-------------------|
| STIP | \$ 380,856 | \$ 364,872 |
| GEP | 433,661 | 539,591 |
| Other investment pools | 107,663 | 127,288 |
| Campus foundations' investments with the University | 922,180 | 1,031,751 |
| Classified as cash and cash equivalents by campus foundations | (65,122) | (56,470) |
| Classified as investments by campus foundations | \$857,058 | \$ 975,281 |

Endowment investment income in the University's statement of revenues, expenses and changes in net assets is net of income earned by, and distributed to, the campus foundations totaling \$26.4 million and \$34.0 million for the years ended June 30, 2009 and 2008, respectively.

Agency Relationships with the University

STIP and GEP are external investment pools and include investments in behalf of external organizations that are associated with the University, although not significant or financially accountable to the University. These organizations are not required to invest in these pools. As with UCRS and campus foundations, participants purchase or redeem shares in STIP at a constant value of \$1 per share and purchase or redeem shares in GEP at the unitized value of the portfolio at the time of purchase or redemption. Actual income earned is allocated to participants based upon the number of shares held.

The fair value of these investments in each investment pool and the related liability associated with these organizations that are included in the University's statement of net assets at June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | 2009 | 2008 |
|------------------------------|------------------|------------------|
| Short-term investments: | | |
| STIP | \$ 68,834 | \$ 104,291 |
| GEP | 116,897 | 144,963 |
| Other investment pools | 15,125 | 20,864 |
| Total agency assets | \$200,856 | \$270,118 |
| Funds held for others | \$200,856 | \$270,118 |

The composition of the net assets at June 30, 2009 and 2008 for STIP and GEP is as follows:

(in thousands of dollars)

| | STIP | | GEP | |
|---------------------------------|--------------------|--------------------|--------------------|--------------------|
| | 2009 | 2008 | 2009 | 2008 |
| Investments | \$ 7,527,562 | \$ 9,286,253 | \$ 5,154,338 | \$ 6,384,873 |
| Investment of cash collateral | 1,388,274 | 2,363,731 | 719,873 | 992,888 |
| Securities lending collateral | (1,393,223) | (2,374,038) | (722,439) | (998,108) |
| Other assets (liabilities), net | 497,146 | 117,676 | (75,071) | 18,110 |
| Net assets | \$8,019,759 | \$9,393,622 | \$5,076,701 | \$6,397,763 |

The changes in net assets for STIP and GEP for the years ending June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | STIP | | GEP | |
|--|--------------------|--------------------|--------------------|--------------------|
| | 2009 | 2008 | 2009 | 2008 |
| Net assets, beginning of year | \$ 9,393,622 | \$ 8,371,634 | \$ 6,397,763 | \$ 6,753,357 |
| Investment income | 286,597 | 415,226 | 148,365 | 167,688 |
| Net appreciation (depreciation) in fair value of investments | 89,756 | 44,102 | (1,303,982) | (396,382) |
| Transfer to TRIP | (1,518,000) | | | |
| Participant contributions (withdrawals), net | (232,216) | 562,660 | (165,445) | (126,900) |
| Net assets, end of year | \$8,019,759 | \$9,393,622 | \$5,076,701 | \$6,397,763 |

3. SECURITIES LENDING

The University and UCRS jointly participate in a securities lending program as a means to augment income. Campus foundations' cash and cash equivalents and investments that are invested with the University and managed by the Chief Investment Officer are included in the University's investment pools that participate in the securities lending program. The campus foundations' allocated share of the program's cash collateral received, investment of cash collateral and collateral held for securities lending is determined based upon their equity in the investment pools. The Board of Trustees for each campus foundation may also authorize participation in a direct securities lending program.

Securities are lent to selected brokerage firms for which collateral received equals or exceeds the fair value of such investments lent during the period of the loan. Securities loans immediately terminate upon notice by either the University or the borrower. Collateral may be cash or securities issued by the U.S. government or its agencies, or the sovereign or provincial debt of foreign countries. Securities collateral cannot be pledged or sold by the University unless the borrower defaults.

Loans of domestic equities and all fixed income securities are initially collateralized at 102 percent of the fair value of securities lent. Loans of foreign equities are initially collateralized at 105 percent. All borrowers are required to provide additional collateral by the next business day if the value of the collateral falls to less than 100 percent of the fair value of securities lent.

Cash collateral received from the borrower is invested by lending agents, as agents for the University, in investment pools in the name of the University, with guidelines approved by the University. These investments are shown as investment of cash collateral in the statement of net assets. At June 30, 2009 and 2008, the securities in these pools had a weighted average maturity of 37 and 27 days, respectively. The University records a liability for the return of the cash collateral shown as collateral held for securities lending in the statement of net assets. Securities collateral received from the borrower is held in investment pools by the University's custodial bank.

At June 30, 2009, the University had little exposure to borrowers because the amounts the University owed the borrowers were substantially the same as the amounts the borrowers owed the University. The University is indemnified by its lending agents against any losses incurred as a result of borrower default.

The composition of the securities lending programs at June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|--|--------------------------|--------------------|--|------------------|---|---------------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| SECURITIES LENT | | | | | | |
| <i>For cash collateral:</i> | | | | | | |
| Equity securities: | | | | | | |
| Domestic | \$ 314,190 | \$ 219,975 | \$ 27,706 | \$ 77,990 | \$ 2,966,044 | \$ 2,575,061 |
| Foreign | 230,755 | 165,410 | | | 1,660,423 | 1,254,829 |
| Fixed income securities: | | | | | | |
| U.S. government guaranteed | 1,166,346 | 1,268,540 | | | 4,306,053 | 4,866,707 |
| Other U.S. dollar denominated | 624,378 | 1,700,774 | | | 1,115,132 | 3,194,168 |
| Foreign currency denominated | 153 | 1,300 | | | | 7,743 |
| Campus foundations' share | (160,495) | (199,248) | 160,495 | 199,248 | | |
| Lent for cash collateral | 2,175,327 | 3,156,751 | 188,201 | 277,238 | 10,047,652 | 11,898,508 |
| <i>For securities collateral:</i> | | | | | | |
| Equity securities: | | | | | | |
| Domestic | 13,080 | 4,784 | | | 104,095 | 114,551 |
| Foreign | 23,569 | 46,604 | | | 117,161 | 219,714 |
| Fixed income securities: | | | | | | |
| U.S. government guaranteed | 131,795 | 126,604 | | | 44,880 | 617,248 |
| Other U.S. dollar denominated | 323,611 | 98 | | | 896,946 | 11,230 |
| Foreign currency denominated | 5,620 | 1,040 | | | 15,662 | 6,191 |
| Lent for securities collateral | 497,675 | 179,130 | | | 1,178,744 | 968,934 |
| Total securities lent | \$2,673,002 | \$3,335,881 | \$188,201 | \$277,238 | \$11,226,396 | \$12,867,442 |
| COLLATERAL RECEIVED | | | | | | |
| Cash | \$ 2,359,757 | \$ 3,432,762 | \$ 28,569 | \$ 80,429 | \$ 10,387,181 | \$ 12,223,854 |
| Campus foundations' share | (160,495) | (199,248) | 160,495 | 199,248 | | |
| Total cash collateral received | 2,199,262 | 3,233,514 | 189,064 | 279,677 | 10,387,181 | 12,223,854 |
| Securities | 510,803 | 186,032 | | | 1,209,837 | 1,006,268 |
| Total collateral received | \$2,710,065 | \$3,419,546 | \$189,064 | \$279,677 | \$11,597,018 | \$13,230,122 |
| INVESTMENT OF CASH COLLATERAL | | | | | | |
| Fixed income securities: | | | | | | |
| Other U.S. dollar denominated: | | | | | | |
| Corporate bonds | \$ 250,014 | \$ 706,651 | \$ 7,509 | \$ 9,524 | \$ 1,100,515 | \$ 2,633,406 |
| Commercial paper | 106,004 | 2,267 | | | 466,609 | 22,670 |
| Repurchase agreements | 275,986 | 637,381 | 11,252 | 22,064 | 1,214,836 | 2,369,817 |
| Corporate-asset-backed securities | 541,202 | 994,968 | 2,000 | 2,250 | 2,382,262 | 3,472,835 |
| Certificates of deposit/time deposits | 1,164,750 | 845,886 | 2,926 | 15,017 | 5,126,998 | 2,879,335 |
| Supranational/foreign | 64,877 | 221,218 | | | 285,576 | 712,008 |
| Other | | | 2,000 | 7,018 | | |
| Commingled funds-money market funds | 96,160 | 7,132 | 2,861 | 24,556 | 423,277 | 67,942 |
| Other assets (liabilities), net ¹ | (147,618) | 1,468 | | | (649,788) | 4,059 |
| Campus foundations' share | (160,495) | (199,248) | 160,495 | 199,248 | | |
| Investment of cash collateral | 2,190,880 | 3,217,723 | 189,043 | 279,677 | \$10,350,285 | \$12,162,072 |
| Less: Current portion | (1,844,661) | (2,096,106) | (163,680) | (210,224) | | |
| Noncurrent portion | \$ 346,219 | \$1,121,617 | \$ 25,363 | \$ 69,453 | | |

¹ Other assets (liabilities), net is comprised of pending settlements of cash collateral investments.

The University earns interest and dividends on the collateral held during the loan period, as well as a fee from the brokerage firm, and is obligated to pay a fee and rebate to the borrower. The University receives the net investment income. The securities lending income and fees and rebates for the years ended June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|--|--------------------------|------------------|--|-----------------|---|------------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Securities lending income | \$ 45,870 | \$ 175,262 | \$ 4,345 | \$ 13,626 | \$ 217,438 | \$ 685,910 |
| Securities lending fees and rebates | (22,027) | (150,026) | (2,344) | (11,793) | (105,682) | (588,787) |
| Securities lending investment income, net | \$23,843 | \$ 25,236 | \$2,001 | \$ 1,833 | \$111,756 | \$ 97,123 |

Investment Risk Factors

There are a variety of potential risk factors involved in a securities lending program. Risks associated with the investment of cash collateral may include the credit risk from fixed income securities, concentration of credit risk, interest rate risk and foreign currency risk. In addition, there may be custodial credit risk associated with both cash and securities received as collateral for securities lent.

The University's and UCRS' investment policies and other information related to each of these risks are summarized below. Campus foundations that participate in a securities lending program may have their own individual investment policies designed to limit the same risks.

Credit Risk

The University's and UCRS' investment policies for the investment of cash collateral maintained in separately managed collateral pools restrict the credit rating of issuers to no less than A-1, P-1 or F-1 for short term securities and no less than A2/A for long term securities. Asset-backed securities must have a rating of AAA.

The credit risk profile for fixed or variable income securities associated with the investment of cash collateral at June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|--|--------------------------|--------------|--|----------|---|--------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Fixed or variable income securities: | | | | | | |
| Other U.S. dollar denominated: | | | | | | |
| AAA | \$ 512,924 | \$ 1,169,199 | \$ 2,000 | \$ 7,272 | \$ 2,257,794 | \$ 4,038,265 |
| AA+ | 2,407 | 58,995 | | | 10,597 | 189,881 |
| AA | 102,567 | 163,931 | 2,000 | 7,502 | 451,481 | 714,324 |
| AA- | 77,744 | 337,617 | | | 342,212 | 1,195,790 |
| A+ | 129,329 | 166,445 | | | 569,280 | 624,847 |
| A | 32,634 | 35,195 | 10,435 | 19,034 | 143,649 | 141,149 |
| A- | | 1,746 | | | | 17,458 |
| BBB | 6,955 | 5,564 | | | 30,613 | 55,073 |
| BB- | 10,032 | | | | 44,159 | |
| A-1 / P-1 / F-1 | 1,528,241 | 1,456,841 | | | 6,727,011 | 4,984,924 |
| Not rated | | 12,838 | 11,252 | 22,065 | | 128,360 |
| Commingled funds: | | | | | | |
| Money market funds: Not rated | 96,160 | 7,132 | 2,861 | 24,556 | 423,277 | 67,942 |
| Other assets (liabilities), net ¹ : Not rated | (147,618) | 1,468 | | | (649,788) | 4,059 |
| Campus foundations' share | (160,495) | (199,248) | 160,495 | 199,248 | | |

¹ Other assets (liabilities), net is comprised of pending settlements of cash collateral investments.

Custodial Credit Risk

Cash collateral received for securities lent is invested in pools by the University's lending agents. The University of California and the UCRS securities related to the investment of cash collateral are registered in the University's name by the lending agents. Securities collateral received for securities lent are held in investment pools by the University's lending agents. As a result, custodial credit risk is remote.

Concentration of Credit Risk

The University's and UCRS' investment policy with respect to the concentration of credit risk associated with the investment of cash collateral in the separately managed collateral pools restricts investments in any single issuer of corporate debt securities, time deposits, certificates of deposit, bankers acceptances and money market funds to no more than 5 percent of the portfolio value. Campus foundations that directly participate in a securities lending program do not have specific investment policies related to concentration of credit risk, although the lending agreements with the agents establish restrictions for the type of investments and minimum credit ratings.

Investments in issuers other than U.S. government guaranteed securities that represent 5 percent or more of the total investment of cash collateral at June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|--------------------------------------|--------------------------|-----------|--|----------|---|-------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| JP Morgan Chase | \$170,835 | \$310,406 | \$ 2,000 | | \$751,980 | \$1,008,099 |
| BNP Paribas | 138,862 | | | | 611,242 | |
| Bank of America | 131,478 | | 2,000 | | 578,741 | |
| Lehman Brothers | | 208,779 | | | | 681,221 |
| Deutsche Bank Securities | | | 11,252 | | | |
| Sun Trust Bank | | | 2,926 | | | |
| General Electric Capital Corporation | | | 3,009 | | | |
| Bank of New York/Mellon | | | 2,861 | | | |
| Goldman Sachs | | | 2,500 | \$10,019 | | |
| Rabo Bank Nederland NV | | | 2,000 | | | |
| Daiwa Securities America, Inc. | | | | 22,065 | | |
| Bank of New York | | | | 14,537 | | |
| Campus foundations' share | (32,681) | (30,475) | 32,681 | 30,475 | | |

Interest Rate Risk

The nature of individual securities in the collateral pools allows for the use of weighted average maturity as an effective risk management measure. The University's and UCRS' investment policy with respect to the interest rate risk associated with the investment of cash collateral in the separately managed collateral pools requires the weighted average maturity of the entire collateral pool to be less than 120 days. The maturity of securities issued by the U.S. government and asset-backed securities must be less than five years, corporate debt obligations must be less than two years and time deposits must be less than 190 days. Floating rate debt may be used, but it is limited to 65 percent of the market value of the portfolio.

The weighted average maturity expressed in days for fixed or variable income securities associated with the investment of cash collateral at June 30, 2009 and 2008 is as follows:

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|---------------------------------------|--------------------------|------|--|------|---|------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Fixed or variable income securities: | | | | | | |
| Other U.S. dollar denominated: | | | | | | |
| Corporate bonds | 43 | 45 | 33 | 43 | 43 | 48 |
| Commercial paper | 70 | 35 | | | 70 | 35 |
| Repurchase agreements | 1 | 1 | 1 | 1 | 1 | 1 |
| Corporate–asset-backed securities | 23 | 28 | 15 | 15 | 23 | 39 |
| Certificates of deposit/time deposits | 50 | 37 | 29 | 15 | 50 | 38 |
| Supranational/foreign | 34 | 83 | | | 34 | 83 |
| Other | | | 15 | 23 | | |
| Commingled funds: | | | | | | |
| Money market funds | 1 | 1 | 1 | 1 | 1 | 1 |

Investment of cash collateral may include various asset-backed securities, structured notes and variable-rate securities that may be considered to be highly sensitive to changes in interest rates due to the existence of prepayment or conversion features, although the weighted average maturity may be short.

At June 30, 2009 and 2008, the fair value of investments that are considered to be highly sensitive to changes in interest rates is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM | |
|-------------------------------|--------------------------|--------------------|--|------------------|---|--------------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Other asset-backed securities | \$ 541,202 | \$ 994,968 | \$ 2,000 | \$ 2,250 | \$ 2,382,262 | \$ 3,472,835 |
| Variable-rate investments | 314,892 | 915,801 | | | 1,386,091 | 3,230,422 |
| Campus foundations' share | (63,418) | (112,157) | 63,418 | 112,157 | | |
| Total | \$792,676 | \$1,798,612 | \$65,418 | \$114,407 | \$3,768,353 | \$6,703,257 |

At June 30, 2009 and 2008, the weighted average maturity expressed in days for asset-backed securities was 23 days and 58 days, respectively, and for variable-rate investments was 41 days and 22 days, respectively.

Foreign Currency Risk

The University's and UCRS' investment policy with respect to the foreign currency risk associated with the investment of cash collateral maintained in separate collateral pools restricts investments to U.S. dollar denominated securities. Therefore, there is no foreign currency risk.

4. INVESTMENTS HELD BY TRUSTEES

The University has entered into agreements with trustees to maintain trusts for the University's self-insurance programs, long-term debt requirements, capital projects and certain other requirements. In addition, the state of California retains on deposit certain proceeds from the sale of lease-revenue bonds to be used for capital projects. The combined fair value of all of the investments and deposits held by trustees was \$937.2 million and \$790.4 million at June 30, 2009 and 2008, respectively.

Self-Insurance Programs

Investments held by trustees for self-insurance programs include separate trusts for the workers' compensation and professional medical and hospital liability programs. Securities are held by the trustee in the name of the University. The trust agreements permit the trustee to invest in U.S. and state government or agency obligations, corporate debt securities, commercial paper or certificates of deposit.

The composition of cash and investments and effective duration associated with fixed income securities for self-insurance programs at June 30, 2009 and 2008, respectively, is as follows:

(in thousands of dollars)

| | INVESTMENTS AT FAIR VALUE | | EFFECTIVE DURATION | |
|--|---------------------------|------------------|--------------------|------|
| | 2009 | 2008 | 2009 | 2008 |
| Cash | \$ (7,131) | \$ 4,001 | 0.0 | 0.0 |
| U.S. government guaranteed: | | | | |
| U.S. government-backed-asset-backed securities | 25,218 | 29,206 | 3.2 | 3.5 |
| Other U.S. dollar denominated: | | | | |
| Corporate-asset-backed securities | 120,509 | 164,650 | 2.1 | 1.6 |
| U.S. agencies-asset-backed securities | 437,906 | 350,839 | 2.6 | 3.8 |
| Commingled funds-money market funds | 12,002 | 20,266 | 0.0 | 0.0 |
| Total | \$588,504 | \$568,962 | | |

Asset-backed securities, generally collateralized mortgage obligations, with underlying government agency collateral or credit ratings ranging from A to AAA, are utilized within the investment constraints in order to enhance investment returns over other high-grade fixed income asset classes.

Long-Term Debt

Investments held by trustees for future payment of principal and interest in accordance with various indenture and other long-term debt requirements totaled \$62.6 million and \$84.7 million at June 30, 2009 and 2008, respectively.

The state financing appropriations to the University are deposited in commingled U.S. bond funds managed by the State of California Treasurer's Office, as trustee, and used to satisfy the annual lease requirements under lease-purchase agreements with the state. The fair value of these deposits was \$58.3 million and \$77.9 million at June 30, 2009 and 2008, respectively.

In addition, other securities held by trustees are held in the name of the University. These trust agreements permit trustees to invest in U.S. and state government or agency obligations, commercial paper or other corporate obligations meeting certain credit rating requirements. The fair value of these investments was \$4.3 million and \$6.8 million at June 30, 2009 and 2008, respectively.

Capital Projects

Investments held by trustees to be used for capital projects totaled \$284.1 million and \$135.5 million at June 30, 2009 and 2008, respectively.

Proceeds from the sale of the state's lease revenue bonds to be used for financing certain of the University's capital projects are deposited in a commingled U.S. bond fund managed by the State of California Treasurer's Office, as trustee, and distributed to the University as the projects are constructed. The fair value of these deposits was \$119.8 million and \$120.2 million at June 30, 2009 and 2008, respectively.

In addition, proceeds from the sale of bonds and certain University funds are held by trustees to be used for financing other capital projects. The fair value of these investments was \$164.3 million and \$15.3 million at June 30, 2009 and 2008, respectively. Substantially all of these investments are of a highly liquid, short term nature.

University deposits into the trusts, or receipts from the trusts, are classified as an operating activity in the University's statement of cash flows if related to the self-insurance programs, or a capital and related financing activity if related to long-term debt requirements or a capital project. Deposits directly into trusts by third parties, investment transactions initiated by trustees in conjunction with the management of trust assets and payments from trusts directly to third parties are not included in the University's statement of cash flows.

5. ACCOUNTS RECEIVABLE

Accounts receivable and the allowance for uncollectible amounts at June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | | | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS |
|-------------------------------------|------------------------------|--------------------|-------------------|--------------------|--------------------|---|
| | STATE AND FEDERAL GOVERNMENT | MEDICAL CENTERS | INVESTMENT INCOME | OTHER | TOTAL | |
| <i>At June 30, 2009</i> | | | | | | |
| Accounts receivable | \$ 582,211 | \$ 1,201,424 | \$ 93,915 | \$ 1,061,832 | \$ 2,939,382 | \$ 6,506 |
| Allowance for uncollectible amounts | (2,648) | (200,412) | | (53,847) | (256,907) | |
| Accounts receivable, net | \$579,563 | \$1,001,012 | \$93,915 | \$1,007,985 | \$2,682,475 | \$ 6,506 |
| <i>At June 30, 2008</i> | | | | | | |
| Accounts receivable | \$ 621,849 | \$ 1,107,696 | \$ 87,707 | \$ 818,488 | \$ 2,635,740 | \$ 12,343 |
| Allowance for uncollectible amounts | (1,982) | (161,342) | | (45,909) | (209,233) | |
| Accounts receivable, net | \$619,867 | \$ 946,354 | \$87,707 | \$ 772,579 | \$2,426,507 | \$12,343 |

The University's other accounts receivable are primarily related to private grants and contracts, physicians' professional fees, investment sales, tuition and fees, auxiliary enterprises, insurance rebates and legal settlements.

The campus foundations' accounts receivable are primarily related to investment income.

Adjustments to the allowance for doubtful accounts have either increased or (decreased) the following revenues for the years ended June 30, 2009 and 2008:

(in thousands of dollars)

| | 2009 | 2008 |
|--------------------------|------------|-----------|
| Student tuition and fees | \$ (2,548) | \$ (370) |
| Grants and contracts: | | |
| Federal | (772) | (366) |
| State | (583) | (789) |
| Private | (3,341) | (135) |
| Local | (76) | (48) |
| Medical centers | (164,010) | (118,939) |
| Educational activities | (8,108) | (13,830) |
| Auxiliary enterprises | (771) | 97 |
| Other operating revenues | 191 | 108 |

Retirement System Contribution

The state of California agreed to make contributions related to certain prior years to the University for UCRP in annual installments over 30 years. During the years ended June 30, 2009 and 2008, under the terms of these agreements, the state of California contributed \$11.3 million each year, including interest at rates ranging from 8.0 percent to 8.5 percent. At June 30, 2009 and 2008, the remaining amounts owed to UCRP by the state were \$57.3 million and \$63.3 million, respectively. These amounts are recorded in the University's statement of net assets as a receivable from the state of California and as a liability owed to UCRP.

6. PLEDGES RECEIVABLE

The composition of pledges receivable at June 30, 2009 and 2008 is summarized as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | |
|---|--------------------------|------------------|--|------------------|
| | 2009 | 2008 | 2009 | 2008 |
| Total pledges receivable outstanding | \$102,649 | \$ 116,287 | \$ 534,752 | \$ 516,058 |
| Less: Unamortized discount to present value | (4,537) | (5,335) | (96,006) | (75,719) |
| Allowance for uncollectible pledges | (5,084) | (4,794) | (36,975) | (19,594) |
| Total pledges receivable, net | 93,028 | 106,158 | 401,771 | 420,745 |
| Less: Current portion of pledges receivable | (48,213) | (55,759) | (131,352) | (88,942) |
| Noncurrent portion of pledges receivable | \$ 44,815 | \$ 50,399 | \$270,419 | \$331,803 |

Future receipts under pledge agreements for each of the five fiscal years subsequent to June 30, 2009 and thereafter are as follows:

(in thousands of dollars)

| Year Ending June 30 | UNIVERSITY OF CALIFORNIA | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS |
|---|--------------------------|--|
| | 2010 | \$ 51,550 |
| 2011 | 21,899 | 94,884 |
| 2012 | 14,035 | 50,455 |
| 2013 | 6,124 | 33,532 |
| 2014 | 2,591 | 19,719 |
| 2015-2019 | 6,450 | 23,247 |
| Beyond 2019 | | 163,736 |
| Total payments on pledges receivable | \$102,649 | \$534,752 |

Adjustments to the allowance for doubtful accounts associated with pledges have either increased or (decreased) the following revenues for the years ended June 30, 2009 and 2008:

(in thousands of dollars)

| | 2009 | 2008 |
|--------------------------|-----------|-------|
| Private gifts | \$(4,984) | \$149 |
| Capital gifts and grants | (9) | 34 |

7. NOTES AND MORTGAGES RECEIVABLE

Notes and mortgages receivable at June 30, 2009 and 2008, along with the allowance for uncollectible amounts, are as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | |
|--|--------------------------|------------------|-----------------|------------------|--|--------------|--------------|
| | CURRENT | NOTES | MORTGAGES | TOTAL | CURRENT | NONCURRENT | TOTAL |
| At June 30, 2009 | | | | | | | |
| Notes and mortgages receivable | \$ 34,113 | \$ 284,190 | \$ 28,068 | \$ 312,258 | \$ 16 | \$ 486 | \$ 502 |
| Allowance for uncollectible amounts | (4,515) | (13,599) | (143) | (13,742) | | | |
| Notes and mortgages receivable, net | \$29,598 | \$270,591 | \$27,925 | \$298,516 | \$16 | \$486 | \$502 |

At June 30, 2008

| | | | | | | | |
|--|-----------------|------------------|-----------------|------------------|-------------|--------------|--------------|
| Notes and mortgages receivable | \$ 36,948 | \$ 275,725 | \$ 22,971 | \$ 298,696 | \$ 32 | \$ 502 | \$ 534 |
| Allowance for uncollectible amounts | (4,742) | (11,447) | (142) | (11,589) | | | |
| Notes and mortgages receivable, net | \$32,206 | \$264,278 | \$22,829 | \$287,107 | \$32 | \$502 | \$534 |

8. DOE NATIONAL LABORATORY CONTRACTS

The University records a receivable from the DOE to the extent there is a liability on the University's statement of net assets related to a DOE laboratory. These receivables are attributable to operating liabilities associated with LBNL, such as third-party vendor and employee-related liabilities. In addition, the University records a receivable from the DOE for services the University may perform directly for LBNL, costs incurred in conjunction with the transition of the LANL and LLNL contracts to the successor contractor, the DOE's continuing financial obligation to the University for LANL's, LLNL's and LBNL's current and future pension costs, and the DOE's continuing financial obligation to the University for LBNL's current and future retiree health benefit costs.

Receivables from the DOE at June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | 2009 | 2008 |
|---|-----------------|-----------------|
| Vendor and employee-related operating costs | \$ 83,212 | \$ 66,374 |
| Performance of services and transition costs | 12,246 | 16,178 |
| Current portion of the DOE receivable | \$95,458 | \$82,552 |
| Retiree health costs | \$ 66,438 | \$ 31,494 |
| Noncurrent portion of the DOE receivable | \$66,438 | \$31,494 |

Los Alamos National Security, LLC (LANS)

LANS operates and manages the DOE's LANL. LANS' current earnings or losses are dependent on the percentage of base and incentive fees earned under the terms of the contract, offset by any unallowable or disallowed costs. While the University has a 50 percent membership interest in LANS, its equity in the current earnings or losses is subject to certain limitations and special allocations of both the fees and costs. As a result, the University's equity in the current earnings or losses may range from 17 to 50 percent. For the years ended June 30, 2009 and June 30, 2008, the University recorded \$15.6 million and \$15.3 million, respectively, as its equity in the current earnings of LANS and received \$14.8 million in cash distributions in both years.

Lawrence Livermore National Security, LLC (LLNS)

As of October 1, 2007, LLNS became the operator and manager of the DOE's LLNL. LLNS' current earnings or losses are dependent on the percentage of base and incentive fees earned under the terms of the contract, offset by any unallowable or disallowed costs. While the University has a 50 percent membership interest in LLNS, its equity in the current earnings or losses is 36.3 percent. For the year ended June 30, 2009 and the nine-month period ended June 30, 2008, the University recorded \$12.0 million and \$10.0 million, respectively, as its equity in the current earnings of LLNS and received \$13.8 million and \$5.5 million in cash distributions, respectively.

9. CAPITAL ASSETS

The University's capital asset activity for the years ended June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | 2007 | ADDITIONS | DISPOSALS | 2008 | ADDITIONS | DISPOSALS | 2009 |
|--|---------------------|-------------------------------|--------------------|---------------------|-------------------------------|--------------------|---------------------|
| ORIGINAL COST | | | | | | | |
| Land | \$ 615,015 | \$ 51,681 | \$ (2,390) | \$ 664,306 | \$ 31,335 | \$ (1) | \$ 695,640 |
| Infrastructure | 426,179 | 28,284 | (336) | 454,127 | 33,876 | (2,727) | 485,276 |
| Buildings and improvements | 17,125,032 | 2,719,711 | (33,876) | 19,810,867 | 2,287,629 | (13,189) | 22,085,307 |
| Equipment | 4,503,537 | 490,571 | (296,124) | 4,697,984 | 519,326 | (286,373) | 4,930,937 |
| Libraries and collections | 3,045,510 | 135,222 | | 3,180,732 | 138,995 | (12,028) | 3,307,699 |
| Special collections | 266,153 | 18,722 | | 284,875 | 24,015 | (1,753) | 307,137 |
| Construction in progress | 3,836,078 | (835,527) | | 3,000,551 | (125,668) | | 2,874,883 |
| Capital assets, at original cost | \$29,817,504 | \$2,608,664 | \$(332,726) | \$32,093,442 | \$2,909,508 | \$(316,071) | \$34,686,879 |
| DEPRECIATION AND AMORTIZATION | | | | | | | |
| | 2007 | DEPRECIATION AND AMORTIZATION | DISPOSALS | 2008 | DEPRECIATION AND AMORTIZATION | DISPOSALS | 2009 |
| ACCUMULATED DEPRECIATION AND AMORTIZATION | | | | | | | |
| Infrastructure | \$ 184,810 | \$ 15,895 | \$ (397) | \$ 200,308 | \$ 16,058 | \$ (2,130) | \$ 214,236 |
| Buildings and improvements | 6,417,727 | 581,528 | (19,301) | 6,979,954 | 669,466 | (7,371) | 7,642,049 |
| Equipment | 2,972,005 | 404,223 | (285,866) | 3,090,362 | 402,562 | (267,272) | 3,225,652 |
| Libraries and collections | 2,137,630 | 91,974 | | 2,229,604 | 109,318 | (10,895) | 2,328,027 |
| Accumulated depreciation and amortization | \$11,712,172 | \$1,093,620 | \$(305,564) | \$12,500,228 | \$1,197,404 | \$(287,668) | \$13,409,964 |
| Capital assets, net | \$18,105,332 | | | \$19,593,214 | | | \$21,276,915 |

10. SELF-INSURANCE, OBLIGATIONS UNDER LIFE INCOME AGREEMENTS AND OTHER LIABILITIES

The University's self-insurance and other liabilities, primarily employee leave and other compensated absences with similar characteristics, contributions owed to UCRP by the state of California and accrued interest, at June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | | |
|--|--------------------------|------------------|------------------|------------------|--|------------------|-----------------|------------------|
| | 2009 | | 2008 | | 2009 | | 2008 | |
| | CURRENT | NONCURRENT | CURRENT | NONCURRENT | CURRENT | NONCURRENT | CURRENT | NONCURRENT |
| Self-insurance programs | \$ 163,090 | <u>\$434,924</u> | \$ 147,394 | <u>\$449,347</u> | | | | |
| Obligations under life income agreements | 876 | <u>\$ 28,359</u> | 916 | <u>\$ 31,074</u> | \$ 18,488 | <u>\$142,740</u> | \$ 23,688 | <u>\$156,911</u> |
| Other liabilities: | | | | | | | | |
| Compensated absences | 416,631 | \$ 219,820 | 380,543 | \$ 208,763 | | | | |
| UCRP | | 50,801 | | 57,303 | | | | |
| Accrued interest | 62,055 | | 60,637 | | | | | |
| Other | 197,789 | 137,197 | 249,799 | 140,530 | 709 | \$ 13,532 | 851 | \$ 14,134 |
| Total | \$840,441 | \$407,818 | \$839,289 | \$406,596 | \$19,197 | \$ 13,532 | \$24,539 | \$ 14,134 |

UCRP has an equivalent amount recorded as a contribution receivable from the University in its statement of fiduciary net assets.

Self-Insurance Programs

The University is self-insured for medical malpractice, workers' compensation, employee health care and general liability claims. These risks are subject to various claim and aggregate limits, with excess liability coverage provided by an independent insurer. Liabilities are recorded when it is probable a loss has occurred and the amount of the loss can be reasonably estimated. These losses include an estimate for claims that have been incurred, but not reported. The estimated liabilities are based upon an independent actuarial determination of the present value of the anticipated future payments.

Changes in self-insurance liabilities for years ended June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | MEDICAL MALPRACTICE | WORKERS' COMPENSATION | EMPLOYEE HEALTH CARE | GENERAL LIABILITY | TOTAL |
|--|------------------------|--------------------------|-------------------------|----------------------|------------------|
| <i>Year Ended June 30, 2009</i> | | | | | |
| Liabilities at June 30, 2008 | \$ 188,660 | \$ 322,308 | \$ 6,773 | \$ 79,000 | \$ 596,741 |
| Claims incurred and changes in estimates | 39,675 | 56,735 | 49,898 | 43,344 | 189,652 |
| Claim payments | (41,799) | (70,724) | (46,881) | (28,975) | (188,379) |
| Liabilities at June 30, 2009 | \$186,536 | \$308,319 | \$ 9,790 | \$93,369 | \$598,014 |
| Discount rate | 5.5% | 5.0% | Undiscounted | 4.5% | |
| <i>Year Ended June 30, 2008</i> | | | | | |
| Liabilities at June 30, 2007 | \$ 179,589 | \$ 316,222 | \$ 4,158 | \$ 59,612 | \$ 559,581 |
| Claims incurred and changes in estimates | 42,790 | 77,699 | 39,042 | 44,751 | 204,282 |
| Claim payments | (33,719) | (71,613) | (36,427) | (25,363) | (167,122) |
| Liabilities at June 30, 2008 | \$188,660 | \$322,308 | \$ 6,773 | \$79,000 | \$596,741 |
| Discount rate | 5.5% | 5.0% | Undiscounted | 5.0% | |

Obligations Under Life Income Agreements

Obligations under life income agreements represent trusts with living income beneficiaries where the University has a residual interest. The investments associated with these agreements are recorded at their fair value. The discounted present value of any income beneficiary interest is reported as a liability in the statement of net assets. Gifts subject to such agreements are recorded as revenue, net of the income beneficiary share, at the date of the gift. Actuarial gains and losses are included in other nonoperating income (expense) in the statement of revenues, expenses and changes in net assets. Resources that are expendable upon maturity are classified as restricted, expendable net assets; all others are classified as restricted, nonexpendable net assets.

Changes in current and noncurrent obligations under life income agreements for the years ended June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | |
|---|--------------------------|--------------------|--|--------------------|
| | ANNUITIES | LIFE BENEFICIARIES | ANNUITIES | LIFE BENEFICIARIES |
| <i>Year Ended June 30, 2009</i> | | | | |
| Current portion at June 30, 2008 | \$ 403 | \$ 513 | \$ 7,490 | \$ 16,198 |
| Reclassification from noncurrent | 1,761 | 1,636 | 6,480 | 11,382 |
| Payments to beneficiaries | (1,719) | (1,718) | (7,346) | (15,716) |
| Current portion at June 30, 2009 | \$ 445 | \$ 431 | \$ 6,624 | \$ 11,864 |
| Noncurrent portion at June 30, 2008 | \$ 10,543 | \$ 20,531 | \$ 48,679 | \$ 108,232 |
| New obligations to beneficiaries and change in liability, net | 3,320 | (2,638) | 11,777 | (8,086) |
| Reclassification to current | (1,761) | (1,636) | (6,480) | (11,382) |
| Noncurrent portion at June 30, 2009 | \$12,102 | \$16,257 | \$53,976 | \$ 88,764 |
| <i>Year Ended June 30, 2008</i> | | | | |
| Current portion at June 30, 2007 | \$ 372 | \$ 593 | \$ 7,476 | \$ 16,567 |
| Reclassification from noncurrent | 1,455 | 2,117 | 7,440 | 16,042 |
| Payments to beneficiaries | (1,424) | (2,197) | (7,426) | (16,411) |
| Current portion at June 30, 2008 | \$ 403 | \$ 513 | \$ 7,490 | \$ 16,198 |
| Noncurrent portion at June 30, 2007 | \$ 10,004 | \$ 21,958 | \$ 43,074 | \$ 114,033 |
| New obligations to beneficiaries and change in liability, net | 1,994 | 690 | 13,045 | 10,241 |
| Reclassification to current | (1,455) | (2,117) | (7,440) | (16,042) |
| Noncurrent portion at June 30, 2008 | \$10,543 | \$20,531 | \$48,679 | \$108,232 |

Other Noncurrent Liabilities

Changes in other noncurrent liabilities for the years ended June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | | | | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS |
|-------------------------------------|--------------------------|------------------|-----------------------|------------------|-------------------|---|
| | COMPENSATED ABSENCES | UCRP | POLLUTION REMEDIATION | OTHER | TOTAL | |
| <i>Year Ended June 30, 2009</i> | | | | | | |
| Liabilities at June 30, 2008 | \$ 208,763 | \$ 57,303 | \$ 42,611 | \$ 97,919 | \$ 406,596 | \$ 14,134 |
| New obligations | 398,547 | | 2,055 | 4,049 | 404,651 | 2,280 |
| Reclassification to current | (387,490) | (6,502) | (3,468) | (5,969) | (403,429) | (2,882) |
| Liabilities at June 30, 2009 | \$ 219,820 | \$ 50,801 | \$ 41,198 | \$ 95,999 | \$ 407,818 | \$ 13,532 |
| <i>Year Ended June 30, 2008</i> | | | | | | |
| Liabilities at June 30, 2007 | \$ 202,606 | \$ 63,316 | \$ 41,382 | \$ 85,861 | \$ 393,165 | \$ 34,488 |
| New obligations | 354,202 | | 2,664 | 23,675 | 380,541 | (17,464) |
| Reclassification to current | (348,045) | (6,013) | (1,435) | (11,617) | (367,110) | (2,890) |
| Liabilities at June 30, 2008 | \$ 208,763 | \$ 57,303 | \$ 42,611 | \$ 97,919 | \$ 406,596 | \$ 14,134 |

Payments are generally made from a variety of revenue sources, including state educational appropriations, grants and contracts, auxiliary enterprises, endowment income or other revenue sources that support employees' salaries.

Pollution remediation liabilities generally involve groundwater, soil and sediment contamination at certain sites where state and other regulatory agencies have indicated the University is among the responsible parties. The liabilities are revalued annually and may increase or decrease the cost or recovery from third parties, if any, as a result of additional information that refines the estimates, or from payments made from revenue sources that support the activity. There were no expected recoveries at June 30, 2009 reducing the pollution remediation liability.

11. DEBT

The University directly finances the construction, renovation and acquisition of facilities and equipment through the issuance of debt obligations or indirectly through structures that involve a separate limited liability corporation (LLC). Commercial paper and bank loans provide for interim financing. Long-term financing includes revenue bonds, certificates of participation, capital lease obligations and other borrowings.

The University's outstanding debt at June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | WEIGHTED AVERAGE INTEREST RATE | INTEREST RATE RANGE | MATURITY YEARS | 2009 | 2008 |
|--|-----------------------------------|------------------------|----------------|---------------------|---------------------|
| INTERIM FINANCING: | | | | | |
| Commercial paper | | 0.2–0.5% | 2009 | \$ 665,525 | \$ 550,000 |
| LONG-TERM FINANCING: | | | | | |
| University of California General Revenue Bonds | 4.9% | 1.6–5.8% | 2010–2040 | 4,528,790 | 3,839,995 |
| University of California Limited Project Revenue Bonds | 4.9% | 3.0–5.0% | 2010–2041 | 1,380,840 | 1,397,200 |
| University of California Multiple Purpose Projects Revenue Bonds | 4.9% | 3.0–5.8% | 2009–2027 | 187,505 | 263,455 |
| University of California Medical Center Pooled Revenue Bonds | 4.6% | 2.5–5.3% | 2010–2047 | 1,039,280 | 1,054,910 |
| University of California Medical Center Revenue Bonds | 5.2% | 3.0–5.5% | 2009–2039 | 137,090 | 142,905 |
| University of California Research Facilities Revenue Bonds | | | | | 17,775 |
| Adjusted by: Unamortized deferred financing costs | | | | (77,071) | (89,396) |
| Unamortized bond premium | | | | 190,113 | 181,590 |
| University of California revenue bonds | 4.9% | | | 7,386,547 | 6,808,434 |
| Certificates of participation | 4.0% | 4.0% | 2010 | 975 | 4,445 |
| Capital lease obligations | | 0.0–10.0% | 2009–2034 | 2,374,908 | 2,242,549 |
| Other University borrowings | | Various | 2010–2024 | 230,973 | 309,704 |
| Student housing LLC revenue bonds, net | 5.6% | 4.0–6.0% | 2010–2040 | 330,542 | 109,850 |
| Total outstanding debt | | | | 10,989,470 | 10,024,982 |
| Less: Commercial paper | | | | (665,525) | (550,000) |
| Current portion of outstanding debt | | | | (466,905) | (546,461) |
| Noncurrent portion of outstanding debt | | | | \$ 9,857,040 | \$ 8,928,521 |

Interest expense associated with financing projects during construction, along with any investment income earned on bond proceeds during construction, is capitalized. Total interest expense during the years ended June 30, 2009 and 2008 was \$445.5 million and \$425.7 million, respectively. Interest expense totaling \$89.6 million and \$25.3 million was capitalized during the years ended June 30, 2009 and 2008, respectively. The remaining \$355.9 million in 2009 and \$400.4 million in 2008 are reported as interest expense in the statement of revenues, expenses and changes in net assets. Investment income totaling \$2.8 million and \$10.0 million was capitalized during the years ended June 30, 2009 and 2008, respectively.

Outstanding Debt Activity

The activity with respect to the University's current and noncurrent debt, including the revenue bonds associated with the student housing LLC, for the years ended June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | UNIVERSITY REVENUE BONDS | CERTIFICATES OF PARTICIPATION | CAPITAL LEASE OBLIGATIONS | OTHER UNIVERSITY BORROWINGS | STUDENT HOUSING LLC REVENUE BONDS | TOTAL |
|---|--------------------------|-------------------------------|---------------------------|-----------------------------|-----------------------------------|--------------------|
| <i>Year Ended June 30, 2009</i> | | | | | | |
| Current portion at June 30, 2008 | \$ 181,610 | \$ 2,175 | \$ 143,758 | \$ 218,255 | \$ 663 | \$ 546,461 |
| Reclassification from noncurrent | 258,674 | 2,270 | 146,767 | 90,080 | 907 | 498,698 |
| Refinancing or prepayment of outstanding debt | (60,885) | (1,295) | | (147,970) | | (210,150) |
| Scheduled principal payments | (176,070) | (2,175) | (149,984) | (33,765) | (846) | (362,840) |
| Amortization of bond premium | (13,393) | | | | (80) | (13,473) |
| Amortization of deferred financing costs | 7,946 | | | | 263 | 8,209 |
| Current portion at June 30, 2009 | \$ 197,882 | \$ 975 | \$ 140,541 | \$126,600 | \$ 907 | \$ 466,905 |
| <i>Year Ended June 30, 2008</i> | | | | | | |
| Noncurrent portion at June 30, 2008 | \$ 6,626,824 | \$ 2,270 | \$ 2,098,791 | \$ 91,449 | \$ 109,187 | \$ 8,928,521 |
| New obligations | 794,220 | | 282,343 | 103,004 | 220,915 | 1,400,482 |
| Bond premium | 21,916 | | | | 440 | 22,356 |
| Deferred financing costs | 4,379 | | | | | 4,379 |
| Reclassification to current | (258,674) | (2,270) | (146,767) | (90,080) | (907) | (498,698) |
| Noncurrent portion at June 30, 2009 | \$7,188,665 | \$ - | \$2,234,367 | \$104,373 | \$329,635 | \$9,857,040 |
| <i>Year Ended June 30, 2008</i> | | | | | | |
| Current portion at June 30, 2007 | \$ 160,763 | \$ 4,020 | \$ 125,321 | \$ 339,211 | \$ 398 | \$ 629,713 |
| Reclassification from noncurrent | 690,832 | 2,175 | 146,571 | 310,455 | 662 | 1,150,695 |
| Refinancing or prepayment of outstanding debt | (512,465) | | | (357,529) | | (869,994) |
| Scheduled principal payments | (152,780) | (4,020) | (128,134) | (73,882) | (580) | (359,396) |
| Amortization of bond premium | (11,690) | | | | (80) | (11,770) |
| Amortization of deferred financing costs | 6,950 | | | | 263 | 7,213 |
| Current portion at June 30, 2008 | \$ 181,610 | \$2,175 | \$ 143,758 | \$218,255 | \$ 663 | \$ 546,461 |
| <i>Year Ended June 30, 2007</i> | | | | | | |
| Noncurrent portion at June 30, 2007 | \$ 6,113,399 | \$ 4,445 | \$ 1,884,177 | \$ 72,147 | \$ 109,849 | \$ 8,184,017 |
| New obligations | 1,184,225 | | 361,185 | 329,757 | | 1,875,167 |
| Bond premium | 30,631 | | | | | 30,631 |
| Deferred financing costs | (10,599) | | | | | (10,599) |
| Reclassification to current | (690,832) | (2,175) | (146,571) | (310,455) | (662) | (1,150,695) |
| Noncurrent portion at June 30, 2008 | \$6,626,824 | \$2,270 | \$2,098,791 | \$ 91,449 | \$109,187 | \$8,928,521 |

Commercial Paper

The University has available a commercial paper program with tax-exempt and taxable components. The program's liquidity is supported by available investments in STIP and TRIP. Commercial paper is collateralized by a pledge of the revenues derived from the ownership or operation of the projects financed and constitute limited obligations of the University. There is no encumbrance, mortgage or other pledge of property securing commercial paper and the paper does not constitute general obligations of the University.

Commercial paper outstanding, including interest rates, at June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | 2009 | | 2008 | |
|--------------------------|----------------|------------------|----------------|------------------|
| | INTEREST RATES | OUTSTANDING | INTEREST RATES | OUTSTANDING |
| Tax-exempt | 0.2–0.5% | \$ 488,995 | 1.2–1.9% | \$ 430,000 |
| Taxable | 0.3–0.4% | 176,530 | 2.2–2.3% | 120,000 |
| Total outstanding | | \$665,525 | | \$550,000 |

In July 2008, The Regents authorized an increase in the University's Commercial Paper Program from \$550.0 million to \$2.0 billion in order to reduce the number of bank line commitments, provide greater access to tax-exempt financing and preserve flexibility for future interim financing needs. Commercial paper is issued in two series. The first series of up to \$1.5 billion, consisting of both tax-exempt and taxable components, may be issued for interim financing for capital projects, interim financing of equipment, financing of working capital for the medical centers and other working capital needs. The second series of up to \$500 million of taxable commercial paper may be issued for standby or interim financing for gift financed projects.

The expectation is that the University will continue to utilize available investments for liquidity support for the Commercial Paper Program. Alternatively, the University may utilize a line of credit from an external bank.

University of California Revenue Bonds

Revenue bonds have financed various auxiliary, administrative, academic, medical center and research facilities of the University. They generally have annual principal and semiannual interest payments, serial and term maturities, contain sinking fund requirements and may have optional redemption provisions. Revenue bonds are not collateralized by any encumbrance, mortgage, or other pledge of property, except pledged revenues, and do not constitute general obligations of The Regents. Revenue bond indentures require the University to use the facilities in a way which will not cause the interest on the tax-exempt bonds to be included in the gross income of the bondholders for federal tax purposes.

General Revenue Bonds are collateralized solely by General Revenues as defined in the Indenture. General Revenues are certain operating and nonoperating revenues of the University consisting of gross student tuition and fees; facilities and administrative cost recovery from contracts and grants; revenues from educational, auxiliary and other activities; and other revenues, including unrestricted investment income. The General Revenue Bond indenture requires the University to set rates, charges and fees each year sufficient for General Revenues to pay for the annual principal and interest on the bonds and certain other financial covenants. General Revenues for the years ended June 30, 2009 and 2008 were \$7.05 billion and \$6.72 billion, respectively.

Limited Project Revenue Bonds are issued to finance auxiliary enterprises and are collateralized by a pledge consisting of the sum of the gross revenues of the specific projects. The indenture requires the University to achieve the sum of gross project revenues equal to 1.1 times debt service and maintain certain other financial covenants. Pledged revenues for the years ended June 30, 2009 and 2008 were \$349.6 million and \$337.2 million, respectively.

Multiple Purpose Projects Revenue Bonds are collateralized by a pledge of the net revenues generated by the enterprises. The Multiple Purpose Projects Revenue Bond indentures require the University to achieve net revenues after expenses and requirements for senior lien indentures equal to 1.25 times debt service and maintain certain other financial covenants. Pledged revenues for the years ended June 30, 2009 and 2008 were \$471.8 million and \$491.9 million, respectively.

Medical Center Pooled Revenue Bonds are issued to finance the University's medical center facilities and are collateralized by a joint and several pledge of the gross revenues of all five of the University's medical centers. Medical center gross revenues are excluded from General Revenues. The Medical Center Pooled Revenue Bond indenture requires the medical centers to set rates, charges and fees each year sufficient for the medical center gross revenues to pay for the annual principal and interest on the bonds and certain other financial covenants. Gross revenues of the medical centers for the years ended June 30, 2009 and 2008 were \$5.57 billion and \$4.98 billion, respectively.

Medical Center Revenue Bonds have also financed certain facilities of the University's five medical centers and are collateralized by a pledge of the specific gross revenues associated with each medical center. The Medical Center Revenue Bond indentures require each medical center to achieve debt service coverage of 1.1 times to 1.2 times (depending on the indenture), set limitations on encumbrances, indebtedness, disposition of assets and transfer services, as well as maintain certain other financial covenants.

Research Facilities Revenue Bonds are collateralized by a pledge of the University's share of facilities and administrative recoveries received on federal research grants and contracts. The Research Facilities Revenue Bond indentures require the University to achieve debt service coverage of 1.25 times and maintain certain other financial covenants.

Generally, in accordance with the terms of the indentures, the pledge of General Revenues under General Revenue Bonds are subordinate to the pledge of the University's share of facilities and administrative cost recoveries received on federal research grants and contracts under Research Facilities Revenue Bonds. The pledge of revenues under Limited Project Revenue Bonds is subordinate to the pledge of revenues associated with General Revenue Bonds, but senior to pledges under Multiple Purpose Projects Revenue Bonds, commercial paper agreements or bank loans. The pledge of net revenues associated with projects financed with Multiple Purpose Projects Revenue Bonds is subordinate to General Revenue Bonds and Limited Project Revenue Bonds, but senior to pledges under commercial paper agreements or bank loans.

Medical Center gross revenues are not pledged for any purpose other than under the indentures for the Medical Center Pooled Revenue Bonds, interest rate swap agreements and specific Medical Center Revenue Bonds. The pledge of medical center revenues under Medical Center Pooled Revenue Bonds is subordinate to the specific Medical Center Revenue Bonds. The pledge of medical center revenues for interest rate swap agreements may be at parity with or subordinate to specific Medical Center Revenue Bonds and Medical Center Pooled Revenue Bonds.

All indentures permit the University to issue additional bonds as long as certain conditions are met.

2009 Activity

In March 2009, General Revenue Bonds totaling \$794.2 million were issued to finance and refinance certain facilities and projects of the University. Proceeds, including a bond premium of \$21.9 million, were used to pay for project construction and issuance costs and repay interim financing incurred prior to the issuance of the bonds, including commercial paper of \$474.3 million. Proceeds were also used to refund \$45.8 million of outstanding Multiple Purpose Projects Revenue Bonds, \$15.1 million of Research Facilities Revenue Bonds and \$1.3 million of certificates of participation. The bonds mature at various dates through 2039 and have a weighted average interest rate of 5.2 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds. The refunding resulted in deferred financing costs of \$1.6 million that will be amortized as interest expense over the remaining life of the refunded bonds. Aggregate debt service payments were decreased by \$308 thousand over the term of the bonds and the University was able to obtain an economic gain of \$2.1 million.

Subsequent Event

In August 2009, General Revenue Bonds totaling \$1.32 billion, including \$1.02 billion of taxable "Build America Bonds" and \$300.6 million of tax-exempt bonds, were issued to finance and refinance certain facilities and projects of the University. Proceeds, including a bond premium of \$20.0 million, were used to pay for project construction and issuance costs and repay interim financing incurred prior to the issuance of the bonds, including commercial paper of \$397.9 million. The bonds mature at various dates through 2043. The taxable bonds have a stated weighted average interest rate of 5.9 percent and a net weighted average interest rate of 3.8 percent after the expected cash subsidy payment from the United States Treasury equal to 35 percent of the interest payable on the taxable bonds. The tax-exempt bonds have a weighted average interest rate of 5.1 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds.

2008 Activity

In July 2007, Medical Center Pooled Revenue Bonds totaling \$197.0 million, \$7.3 million with a fixed interest rate and \$189.8 million with a variable interest rate, were issued to refinance certain improvements to one of the medical centers. Proceeds were used to refund \$188.2 million of Medical Center Revenue Bonds. The bonds mature at various dates through 2047. The fixed rate bonds have a weighted average interest rate of 4.3 percent. In connection with the variable interest rate bonds, the University entered into interest rate swap agreements with a financial institution such that the variable interest it pays to the bondholders matches the variable payments it receives from the interest rate swaps resulting in a weighted average fixed interest rate of 4.7 percent paid to the swap counterparty. These swap transactions do not result in any basis or tax risk to the University. The bonds and the related swap agreements mature at various times through 2047 and the aggregate notional amount of the swaps matches the outstanding amount of the bonds throughout the entire term of the bonds. Aggregate debt service payments on the refunded bonds increased by \$152.6 million due to the extension of maturities over the next 40 years and the University was able to achieve an economic gain of \$1.5 million.

In October 2007, Limited Project Revenue Bonds totaling \$415.4 million were issued to finance and refinance certain auxiliary enterprises of the University. Proceeds, including a bond premium of \$18.0 million, were used to pay for project construction and issuance costs and repay interim financing incurred prior to the issuance of the bonds, including commercial paper and bank loans totaling \$333.0 million. The bonds mature at various dates through 2041 and have a weighted average interest rate of 5.0 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds.

In January 2008, General Revenue Bonds totaling \$248.9 million were issued to finance and refinance certain facilities and projects of the University. Proceeds, including a bond premium of \$12.7 million, were used to pay for project construction and issuance costs and repay interim financing incurred prior to the issuance of the bonds, including commercial paper and bank loans of \$219.5 million. The bonds mature at various dates through 2040 and have a weighted average interest rate of 4.8 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds.

In April 2008, Medical Center Pooled Revenue Bonds totaling \$323.0 million, plus a bond premium of \$10.6 million, were issued to refinance certain improvements to another of its medical centers. Proceeds were used to refund \$324.3 million of Medical Center Revenue Bonds and for a swap termination payment of \$6.8 million. The bonds mature at various dates through 2027 and have a weighted average interest rate of 4.9 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds. Additional deferred costs of financing totaling \$11.8 million will be amortized as interest expense over the term of the bonds.

Interest Rate Swap Agreements

Objectives. As a means to lower the University's borrowing costs, when compared against fixed-rate bonds at the time of issuance, the University has entered into interest rate swap agreements in connection with certain variable-rate Medical Center Pooled Revenue Bonds. Under each of the swap agreements, the University pays the swap counterparties a fixed interest rate payment and receives a variable rate interest rate payment that effectively changes the University's variable interest rate bonds to synthetic fixed rate bonds.

Terms. The notional amount of the swaps matches the principal amounts of the associated bond issuance. The University's swap agreements contain scheduled reductions to outstanding notional amounts that match scheduled reductions in the associated bond issuance.

The terms of the outstanding swaps and their fair values at June 30, 2009 are as follows:

(in thousands of dollars)

| TYPE | NOTIONAL AMOUNT | EFFECTIVE DATE | MATURITY DATE | TERMS | FAIR VALUE | COUNTERPARTY CREDIT RATING |
|-----------------------------|------------------|----------------|---------------|--|--------------------|----------------------------|
| Pay fixed; receive variable | \$ 91,215 | 2007 | 2032 | Pay 3.5897%; receive 58% of 1-Month LIBOR* + 0.48% | \$ (8,173) | A2 / A |
| Pay fixed; receive variable | 189,775 | 2008 | 2047 | Pay 4.6873%; receive 67% of 3-Month LIBOR* + 0.73%** | (39,931) | Aa1 / A+ |
| Total | \$280,990 | | | | \$ (48,104) | |

* London Interbank Offered Rate (LIBOR)

** Weighted average spread

Fair Value. There is a risk that the fair value of a swap will become negative as a result of market conditions. Because swap rates have changed since execution of the swaps, financial institutions have estimated the fair value using quoted market prices when available or a forecast of expected discounted future net cash flows. The fair value of the interest rate swaps is the estimated amount the University would have either (paid) or received if the swap agreements were terminated on June 30, 2009.

Credit Risk. Although the University has entered into the interest rate swaps with creditworthy financial institutions, there is credit risk for losses in the event of non-performance by counterparties or unfavorable interest rate movements. The swap contracts with positive fair values are exposed to credit risk. The University faces a maximum possible loss equivalent to the amount of the derivative's fair value. Swaps with negative fair values are not exposed to credit risk.

There are no collateral requirements related to the swap with the \$91.2 million notional amount. Depending on the fair value related to the swap with the \$189.8 million notional amount, the University may be entitled to receive collateral from the counterparty to the extent the positive fair value exceeds \$35.0 million, or be obligated to provide collateral to the counterparty if the negative fair value of the swap exceeds \$50.0 million. At June 30, 2009, the University had not provided collateral to the counterparty, nor received collateral from the counterparty.

Basis Risk. There is a risk that the basis for the variable payment received will not match the variable payment on the bonds that exposes the University to basis risk whenever the interest rates on the bonds are reset. The interest rate on the bonds is a tax-exempt interest rate, while the basis of the variable receipt on the interest rate swaps is taxable. Tax-exempt interest rates can change without a corresponding change in the LIBOR rate due to factors affecting the tax-exempt market which do not have a similar effect on the taxable market. However, there is no basis or tax risk related to the swap with the \$189.8 million notional amount since the variable rate the University pays to the bond holders matches the variable rate payments received from the swap counterparty.

Termination Risk. There is termination risk for losses in the event of non-performance by counterparties in an adverse market resulting in cancellation of the synthetic interest rate and returning the interest rate payments to the variable interest rates on the bonds. In addition, depending on the agreement, certain swaps may be terminated if credit quality ratings, as issued by Moody's or Standard & Poor's, fall below certain thresholds. For the swap with the \$91.2 notional amount, the termination threshold is reached when credit quality ratings for either the underlying Medical Center Pooled Revenue Bonds or the swap counterparty fall below either Baa2 /BBB. For the swap with the \$189.8 notional amount, the termination threshold is reached when credit quality ratings for the underlying Medical Center Pooled Revenue Bonds fall below Baa3/BBB, or the swap counterparty's ratings fall below Baa1/BBB+. At termination, the University may also owe a termination payment if there is a realized loss based on the fair value of the swap.

The University's counterparty in the interest rate swap agreement with a notional amount of \$189.8 million was Lehman Brothers Special Financing Inc. on June 30, 2008. The guarantor was Lehman Brothers Holdings Inc. In September 2008, Lehman Brothers Holdings Inc. filed for bankruptcy under Chapter 11 of the U.S. Bankruptcy Code. In October 2008, Lehman Brothers Special Financing Inc. filed for bankruptcy under Chapter 11 of the U.S. Bankruptcy Code. As a result, in October 2008, the University terminated its existing swap agreement and substituted a new interest rate swap agreement with a new counterparty with identical economic terms, with the exception of certain additional collateral requirements. In conjunction with the swap termination, the University received \$31.3 million from the new counterparty and made a termination payment of \$25.3 million to Lehman Brothers Special Financing Inc. These payments were recorded as deferred costs of financing and will be amortized as interest expense over the term of the bonds.

The University's counterparty in the interest rate swap agreement with a notional amount of \$91.2 million was Merrill Lynch Capital Services, Inc. on June 30, 2008. In January 2009, Bank of America Corporation completed its acquisition of Merrill Lynch & Co.

As rates vary, variable-rate bond interest payments and net swap payments will vary. Although not a prediction by the University of the future interest cost of the variable rate bonds or the impact of the interest rate swaps, using rates as of June 30, 2009, combined debt service requirements of the variable-rate debt and net swap payments are as follows:

(in thousands of dollars)

| Year Ending June 30 | VARIABLE-RATE BONDS | | INTEREST RATE SWAP, NET | TOTAL PAYMENTS |
|---------------------|---------------------|-----------------|-------------------------|------------------|
| | PRINCIPAL | INTEREST | | |
| 2010 | \$ 2,605 | \$ 2,615 | \$ 9,042 | \$ 14,262 |
| 2011 | 2,695 | 2,611 | 8,965 | 14,271 |
| 2012 | 2,800 | 2,608 | 8,886 | 14,294 |
| 2013 | 2,895 | 2,604 | 8,804 | 14,303 |
| 2014 | 3,000 | 2,600 | 8,719 | 14,319 |
| 2015–2019 | 16,735 | 12,934 | 42,201 | 71,870 |
| 2020–2024 | 26,895 | 12,771 | 39,442 | 79,108 |
| 2025–2029 | 44,065 | 11,790 | 33,952 | 89,807 |
| 2030–2034 | 41,725 | 10,283 | 26,682 | 78,690 |
| 2035–2039 | 31,580 | 8,494 | 21,091 | 61,165 |
| 2040–2044 | 61,605 | 5,651 | 13,857 | 81,113 |
| 2045–2047 | 44,390 | 1,246 | 3,028 | 48,664 |
| Total | \$280,990 | \$76,207 | \$224,669 | \$581,866 |

Certificates of Participation

Certificates of participation have been issued to finance buildings and equipment under lease agreements. The certificates are collateralized by buildings and equipment. A portion of the rental payments is provided to the University by a state of California financing appropriation of \$4.5 million and \$3.8 million for the years ended June 30, 2009 and 2008, respectively. All rental payments, including those from any lawfully available cash of The Regents, have been pledged and assigned to a trustee by the lessor.

Capital Leases

The University has entered into lease-purchase agreements with the state of California that are recorded as capital leases. The state sells lease revenue bonds to finance construction of certain state-owned buildings to be used by the University. During the construction phase, the University acts as agent for the state. Bond proceeds remain on deposit with the state, as trustee, until the University is reimbursed as the project is constructed.

Upon completion, the buildings and equipment are leased to the University under terms and amounts that are sufficient to satisfy the state's lease revenue bond requirements with the understanding that the state will provide financing appropriations to the University to satisfy the annual lease requirements. At the conclusion of the lease term, ownership transfers to the University.

The University entered into lease-purchase agreements with the state totaling \$206.8 million and \$302.6 million during the years ended June 30, 2009 and 2008, respectively, to finance the construction of various University projects.

The state of California financing appropriation to the University under the terms of the lease-purchase agreements, recorded as nonoperating revenue, for the years ended June 30, 2009 and 2008 was \$156.6 million and \$160.0 million, respectively. The scheduled principal and interest, including accrued interest, reported in the University's financial statements for the years ended June 30, 2009 and 2008 contain amounts related to these lease-purchase agreements with the state of California as follows:

(in thousands of dollars)

| | 2009 | 2008 |
|-------------------------|------------------|------------------|
| Capital lease principal | \$ 96,658 | \$ 77,987 |
| Capital lease interest | 106,166 | 88,983 |
| Total | \$202,824 | \$166,970 |

Capital leases entered into with other lessors, typically for equipment, totaled \$76.2 million and \$58.6 million for the years ended June 30, 2009 and 2008, respectively.

Other University Borrowings

Other University borrowings consist of contractual obligations resulting from the acquisition of land or buildings and the construction and renovation of certain facilities.

The University may use uncollateralized bank lines of credit with commercial banks to supplement commercial paper and to provide interim financing for buildings and equipment. Line of credit commitments, with various expiration dates through June 30, 2013, totaled \$1.07 billion at June 30, 2009. Outstanding borrowings under these bank lines totaled \$118.0 million and \$115.3 million at June 30, 2009 and 2008, respectively.

The state of California may provide interim loans to the University for certain facilities to be financed through their future issuance of lease revenue bonds. The interim loans are repaid from the bond proceeds. There were no outstanding interim loans at June 30, 2009. Outstanding interim loans from the state, classified in the current portion of long-term debt in the University's statement of net assets, totaled \$102.2 million at June 30, 2008.

Student Housing LLC Revenue Bonds

The University has entered into ground leases with a legally separate, non-profit corporation that develops and owns student housing projects and related amenities and improvements on a University campus through the use of a single-project limited liability corporation (LLC). The LLC manages the premises. The University's reversionary interest in the land is not subordinated. All costs associated with the ownership, operation and management of the improvements are the obligation of the LLC. Student rental rates are established in order to provide for operating expenses and maintain the required debt service coverage ratios. The University is not responsible for any payments related to the ownership, operation or financing of the student housing. However, under GASB requirements, the financial position and operating results of this legally separate organization are incorporated into the University's financial reporting entity.

The LLC, through its conduit issuer, issued Student Housing LLC Revenue Bonds to finance the construction of the student housing facility. The bonds generally have annual principal and semiannual interest payments, serial and term maturities, certain sinking fund requirements and optional redemption provisions. They are not collateralized by any encumbrance, mortgage or other pledge of property, except pledged revenues of the student housing project, and do not constitute general obligations of The Regents.

In July 2008, the LLC, through its conduit issuer, issued additional Student Housing LLC Revenue Bonds totaling \$220.9 million. Proceeds, including a bond premium of \$500 thousand, are available to finance the construction of a new student housing project and related amenities and improvements. The bonds mature at various dates through 2040 and have a weighted average interest rate of 5.9 percent. They generally have annual principal and semiannual interest payments, serial and term maturities, certain sinking fund requirements and optional redemption provisions. They are not collateralized by any encumbrance, mortgage or other pledge of property, except pledged revenues of the student housing project, and do not constitute general obligations of The Regents.

Future Debt Service

Future debt service payments for each of the five fiscal years subsequent to June 30, 2009 and thereafter are as follows:

(in thousands of dollars)

| | COMMERCIAL PAPER | UNIVERSITY REVENUE BONDS | CERTIFICATES OF PARTICIPATION | CAPITAL LEASES | | OTHER UNIVERSITY BORROWINGS | STUDENT HOUSING LLC REVENUE BONDS | TOTAL PAYMENTS | PRINCIPAL | INTEREST |
|---|---------------------|-----------------------------|----------------------------------|--------------------|------------------|-----------------------------------|---|---------------------|---------------------|--------------------|
| | | | | STATE | OTHER | | | | | |
| <i>Year Ending June 30</i> | | | | | | | | | | |
| 2010 | \$ 665,715 | \$ 546,074 | \$1,014 | \$ 197,284 | \$ 57,483 | \$ 132,069 | \$ 19,549 | \$ 1,619,188 | \$ 1,127,705 | \$ 491,483 |
| 2011 | | 551,607 | | 199,291 | 48,963 | 54,610 | 19,762 | 874,233 | 404,367 | 469,866 |
| 2012 | | 561,036 | | 199,260 | 38,927 | 23,081 | 22,340 | 844,644 | 391,514 | 453,130 |
| 2013 | | 559,163 | | 199,319 | 28,905 | 16,314 | 21,589 | 825,290 | 389,251 | 436,039 |
| 2014 | | 557,236 | | 199,233 | 62,429 | 7,278 | 22,280 | 848,456 | 431,810 | 416,646 |
| 2015–2019 | | 2,609,443 | | 869,739 | 16,829 | 10,102 | 118,619 | 3,624,732 | 1,817,373 | 1,807,359 |
| 2020–2024 | | 2,340,280 | | 721,523 | 3,490 | 816 | 120,019 | 3,186,128 | 1,830,201 | 1,355,927 |
| 2025–2029 | | 1,984,172 | | 485,428 | 2,295 | | 120,030 | 2,591,925 | 1,661,855 | 930,070 |
| 2030–2034 | | 1,651,555 | | 237,091 | | | 120,022 | 2,008,668 | 1,469,070 | 539,598 |
| 2035–2039 | | 1,114,228 | | | | | 112,474 | 1,226,702 | 1,006,000 | 220,702 |
| 2040–2044 | | 273,749 | | | | | 16,446 | 290,195 | 236,335 | 53,860 |
| 2045–2047 | | 124,156 | | | | | | 124,156 | 113,565 | 10,591 |
| Total future debt service | 665,715 | 12,872,699 | 1,014 | 3,308,168 | 259,321 | 244,270 | 713,130 | 18,064,317 | \$10,879,046 | \$7,185,271 |
| Less: Interest component of future payments | (190) | (5,599,194) | (39) | (1,162,303) | (30,278) | (13,297) | (379,970) | (7,185,271) | | |
| Principal portion of future payments | 665,525 | 7,273,505 | 975 | 2,145,865 | 229,043 | 230,973 | 333,160 | 10,879,046 | | |
| Adjusted by: | | | | | | | | | | |
| Unamortized deferred financing costs | | (77,071) | | | | | (5,364) | (82,435) | | |
| Unamortized bond premium | | 190,113 | | | | | 2,746 | 192,859 | | |
| Total debt | \$665,525 | \$ 7,386,547 | \$ 975 | \$2,145,865 | \$229,043 | \$230,973 | \$330,542 | \$10,989,470 | | |

Long-term debt does not include \$1.07 billion and \$1.39 billion of defeased liabilities at June 30, 2009 and 2008, respectively. Investments that have maturities and interest rates sufficient to fund retirement of these liabilities are being held in irrevocable trusts for the debt service payments. Neither the assets of the trusts nor the outstanding obligations are included in the University's statement of net assets.

12. THE UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS)

Most University employees participate in UCRS. UCRS consists of the University of California Retirement Plan, a single employer, defined benefit plan funded with University and employee contributions; the University of California Retirement Savings Program that includes four defined contribution plans with options to participate in internally and externally managed investment portfolios generally funded with employee non-elective and elective contributions; and the California Public Employees' Retirement System (PERS) Voluntary Early Retirement Incentive Program (PERS-VERIP), a defined benefit plan for University employees who were members of PERS who elected early retirement. The Regents has the authority to establish and amend the benefit plans.

Condensed financial information related to each plan in UCRS for the years ended June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA RETIREMENT PLAN | | UNIVERSITY OF CALIFORNIA RETIREMENT SAVINGS PROGRAM | | UNIVERSITY OF CALIFORNIA PERS-VOLUNTARY EARLY RETIREMENT INCENTIVE PLAN | | TOTAL | |
|--|---|---------------------|--|---------------------|---|-----------------|---------------------|---------------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| CONDENSED STATEMENT OF PLANS' FIDUCIARY NET ASSETS | | | | | | | | |
| Investments at fair value | \$ 32,709,694 | \$ 42,092,691 | \$ 9,585,015 | \$ 10,362,657 | \$ 58,014 | \$ 76,821 | \$ 42,352,723 | \$ 52,532,169 |
| Participants' interest in mutual funds | | | 2,923,695 | 3,772,901 | | | 2,923,695 | 3,772,901 |
| Investment of cash collateral | 6,596,311 | 7,985,216 | 3,742,295 | 4,162,266 | 11,679 | 14,590 | 10,350,285 | 12,162,072 |
| Other assets | 818,983 | 742,520 | 143,069 | 145,543 | 1,260 | 1,109 | 963,312 | 889,172 |
| Total assets | 40,124,988 | 50,820,427 | 16,394,074 | 18,443,367 | 70,953 | 92,520 | 56,590,015 | 69,356,314 |
| Collateral held for securities lending | 6,619,824 | 8,028,770 | 3,755,636 | 4,180,415 | 11,721 | 14,669 | 10,387,181 | 12,223,854 |
| Other liabilities | 1,246,622 | 768,495 | 155,387 | 178,908 | 2,291 | 1,515 | 1,404,300 | 948,918 |
| Total liabilities | 7,866,446 | 8,797,265 | 3,911,023 | 4,359,323 | 14,012 | 16,184 | 11,791,481 | 13,172,772 |
| Net assets held in trust | \$32,258,542 | \$42,023,162 | \$12,483,051 | \$14,084,044 | \$ 56,941 | \$76,336 | \$44,798,534 | \$56,183,542 |
| CONDENSED STATEMENT OF CHANGES IN PLANS' FIDUCIARY NET ASSETS | | | | | | | | |
| Contributions | \$ 1,754 | \$ 4,048 | \$ 927,230 | \$ 1,033,850 | | | \$ 928,984 | \$ 1,037,898 |
| Net depreciation in fair value of investments | (9,022,624) | (3,996,828) | (2,285,781) | (975,920) | \$ (16,364) | \$ (7,207) | (11,324,769) | (4,979,955) |
| Investment and other income, net | 1,117,720 | 1,403,039 | 392,415 | 482,030 | 1,966 | 2,515 | 1,512,101 | 1,887,584 |
| Total additions (reductions) | (7,903,150) | (2,589,741) | (966,136) | 539,960 | (14,398) | (4,692) | (8,883,684) | (2,054,473) |
| Benefit payment and participant withdrawals | 1,829,017 | 1,888,679 | 630,889 | 910,365 | 4,988 | 5,114 | 2,464,894 | 2,804,158 |
| Plan expense (surplus) | 32,453 | 36,557 | 3,968 | (969) | 9 | 7 | 36,430 | 35,595 |
| Transfer of assets to the LLNS defined benefit plan | | 1,567,209 | | | | | | 1,567,209 |
| Total deductions | 1,861,470 | 3,492,445 | 634,857 | 909,396 | 4,997 | 5,121 | 2,501,324 | 4,406,962 |
| Decrease in net assets held in trust | (9,764,620) | (6,082,186) | (1,600,993) | (369,436) | (19,395) | (9,813) | (11,385,008) | (6,461,435) |
| Net assets held in trust | | | | | | | | |
| Beginning of year | 42,023,162 | 48,105,348 | 14,084,044 | 14,453,480 | 76,336 | 86,149 | 56,183,542 | 62,644,977 |
| End of year | \$32,258,542 | \$42,023,162 | \$12,483,051 | \$14,084,044 | \$ 56,941 | \$76,336 | \$44,798,534 | \$56,183,542 |

Additional information on the retirement plans can be obtained from the 2008-2009 annual reports of the University of California Retirement Plan, the University of California Retirement Savings Program and the University of California PERS-VERIP.

University of California Retirement Plan

The University of California Retirement Plan (UCRP) provides lifetime retirement income, disability protection, death benefits and pre-retirement survivor benefits to eligible employees of the University of California and its affiliates. Membership in the retirement plan is required for all employees appointed to work at least 50 percent time for an indefinite period or for a definite period of a year or more. An employee may also become eligible by completing 1,000 hours of service within a 12-month period. Generally, five years of service are required for entitlement to plan benefits. The amount of the pension benefit is determined by salary rate, age and years of service credit with certain cost of living adjustments. The maximum monthly benefit is 100 percent of the employee's highest average compensation over a consecutive 36-month period, subject to certain limits imposed under the Internal Revenue Code.

The University's membership in UCRP consisted of the following at July 1, 2008, the date of the latest actuarial valuation:

| | CAMPUSES AND MEDICAL CENTERS | DOE NATIONAL LABORATORIES | UNIVERSITY OF CALIFORNIA |
|--|---------------------------------|------------------------------|-----------------------------|
| Retirees and beneficiaries receiving benefits | 37,722 | 12,329 | 50,051 |
| Inactive members entitled to, but not yet receiving benefits | 49,599 | 14,875 | 64,474 |
| Active members: | | | |
| Vested | 62,234 | 1,663 | 63,897 |
| Nonvested | 49,458 | 670 | 50,128 |
| Total active members | 111,692 | 2,333 | 114,025 |
| Total membership | 199,013 | 29,537 | 228,550 |

Contribution Policy

The Regents' contribution policy provides for actuarially determined contributions at rates that maintain the Plan on an actuarially sound basis. The contribution rate is determined using the entry age normal actuarial funding method. The significant actuarial assumptions used to compute the actuarially determined contribution are the same as those used to compute the actuarial accrued liability.

The rates for contributions as a percentage of covered payroll are determined annually pursuant to The Regents' contribution policy and based on recommendations of the consulting actuary. The Regents determines the portion of the total contribution to be made by the University and by the employees. Employee contributions by represented employees are subject to collective bargaining agreements. During the years ended June 30, 2009 and 2008, there were no required University or employee contributions other than for service credit buybacks.

LBNL is required to make employer and employee contributions in conformity with The Regents' contribution policy. In addition, under certain circumstances the University makes contributions to UCRP in behalf of LANL and LLNL retirees based upon a contractual arrangement with the DOE designed to maintain the 100 percent funded status of the LANL and LLNL segments within UCRP, and is reimbursed by the DOE.

Employee contributions to UCRP are accounted for separately and currently accrue interest at 6.0 percent annually. Upon termination, members may elect a refund of their contributions plus accumulated interest; vested terminated members who are eligible to retire may also elect monthly retirement income or a lump sum equal to the present value of their accrued benefits.

UCRP Benefits and Obligation to UCRP

The University's annual UCRP benefit expense is independently calculated for the campuses and medical centers and the DOE laboratories based upon the actuarially determined annual required contributions. The annual required contribution represents the level of funding that, if paid on an ongoing basis, is projected to cover normal cost each year and amortize unfunded actuarial liabilities or surplus over a period of up to 30 years.

The University's annual UCRP benefit expense for the year and related information for the years ended June 30, 2009 and 2008, segregated between the University and the DOE responsibility, is as follows:

(in thousands of dollars)

| | CAMPUSES AND MEDICAL CENTERS | | DOE NATIONAL LABORATORIES | | UNIVERSITY OF CALIFORNIA | |
|--|---------------------------------|--------------|------------------------------|--------------|--------------------------|--------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Actuarial valuation date | July 1, 2008 | July 1, 2007 | July 1, 2008 | July 1, 2007 | July 1, 2008 | July 1, 2007 |
| Annual required contribution | \$ 69,138 | \$ 2,622 | \$ 12 | \$ 11 | \$ 69,150 | \$ 2,633 |
| Interest on obligation to UCRP | | | | | | |
| Adjustment to annual required contribution | | | | | | |
| Annual UCRP cost | 69,138 | 2,622 | 12 | 11 | 69,150 | 2,633 |
| University contributions to UCRP | (442) | (2,622) | (12) | (11) | (454) | (2,633) |
| Increase in obligation to UCRP | 68,696 | - | - | - | 68,696 | - |
| Obligation to UCRP | | | | | | |
| Beginning of year | - | - | - | - | - | - |
| End of year | \$68,696 | \$ - | \$ - | \$ - | \$68,696 | \$ - |
| UCRP benefit reimbursement from the DOE during the year | | | \$ 12 | \$ 11 | \$ 12 | \$ 11 |

The annual UCRP benefit cost, percentage of the annual UCRP benefit cost contributed to UCRP, and the net obligation to UCRP for the University for the year ended June 30, 2009 and the preceding years are as follows:

(in thousands of dollars)

| | CAMPUSES AND MEDICAL CENTERS | DOE NATIONAL LABORATORIES | UNIVERSITY OF CALIFORNIA |
|--|---------------------------------|------------------------------|-----------------------------|
| Annual UCRP benefit cost: | | | |
| June 30, 2009 | \$69,138 | \$ 12 | \$69,150 |
| June 30, 2008 | 2,622 | 11 | 2,633 |
| June 30, 2007 | 6,359 | 17,575 | 23,934 |
| Percentage of annual cost contributed: | | | |
| June 30, 2009 | 0.6% | 100.0% | 0.7% |
| June 30, 2008 | 100.0% | 100.0% | 100.0% |
| June 30, 2007 | 100.0% | 100.0% | 100.0% |
| Net obligation to UCRP: | | | |
| June 30, 2009 | \$68,696 | \$ - | \$68,696 |
| June 30, 2008 | - | - | - |
| June 30, 2007 | - | - | - |

Funded Status

Actuarial valuations represent a long-term perspective and involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. The projection of benefits does not explicitly incorporate the potential effects of the results of collective bargaining discussions on the contribution rate. Actuarially determined amounts are subject to periodic revisions as actual results are compared with past expectations and new estimates are made about the future.

All assets of UCRP are available to pay any member's benefit. However, assets and liabilities for the campus and medical center segment of UCRP are internally tracked separately from the DOE national laboratory segments of UCRP.

The funded status of UCRP as of July 1, 2008 was as follows:

(in thousands of dollars)

| | CAMPUSES AND MEDICAL CENTERS | DOE NATIONAL LABORATORIES | UNIVERSITY OF CALIFORNIA |
|---|---------------------------------|------------------------------|-----------------------------|
| Actuarial value of plan assets | \$ 35,496,354 | \$ 8,231,167 | \$ 43,727,521 |
| Actuarial accrued liability | (34,340,516) | (8,127,226) | (42,467,742) |
| Excess actuarial value of assets | \$ 1,155,838 | \$ 103,941 | \$ 1,259,779 |
| Funded ratio | 103.4% | 101.3% | 103.0% |
| Covered payroll | \$ 7,245,447 | \$ 204,349 | \$ 7,449,796 |
| Excess actuarial value of assets as a percentage of covered payroll | 16.0% | 50.9% | 16.9% |

The Regents utilizes asset allocation strategies that are intended to optimize investment returns over time in accordance with investment objectives and at acceptable levels of risk. However, the financial markets, both domestically and internationally, have deteriorated over the past year. The fair value of investments held by UCRP declined subsequent to July 1, 2008. The actuarial value of plan assets also declined. As a result, the funded ratio as of the July 1, 2009 actuarial valuation for the campuses and medical centers as well as the DOE laboratories is expected to be approximately 94.8 percent.

The schedule of funding progress, presented as required supplementary information following the notes to the financial statements, includes multi-year trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits.

Actuarial Methods and Assumptions

Projections of benefits for financial reporting purposes are based upon the plan as understood by the University and plan members, and include the types of benefits provided at the time of each valuation and the historical cost pattern of sharing of benefit costs between the University and plan members to that point. The actuarial methods and assumptions used included techniques that are designed to reduce short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.

Significant actuarial methods and assumptions used in the valuation were:

- assumed return on investment of 7.5 percent per year;
- projected salary increases ranging from 4.35–7.0 percent per year;
- projected inflation at 3.5 percent;
- Entry Age Normal actuarial cost method;
- future life expectancy based upon recent group mortality experience; and
- assumed retirement ages, employee turnover and disability rates based on actual plan experience and future expectations for campuses, medical centers and LBNL.

The actuarial value of assets was determined by smoothing the effect of short-term volatility in the fair value of investments over a five-year period. The actuarial value of assets in excess of the actuarial accrued liability is being amortized as a level percentage of projected payroll on an open basis. The remaining amortization period at July 1, 2008 for campuses and medical centers, the DOE national laboratories and total UCRP was one, three and one year, respectively.

University of California Retirement Savings Program

The University of California Retirement Savings Program includes four defined contribution plans providing retirement savings incentives that are generally available to all University employees. Participants' interests in the plans are fully and immediately vested and are distributable at retirement, termination of employment or death. Participants may also elect to defer distribution of the account until age 70 ½ or separation from service after age 70 ½, whichever is later, in accordance with Internal Revenue Code minimum distribution requirements. The plans also accept qualified rollover contributions.

Defined Contribution Plans

The Defined Contribution Plan (DC Plan) accepts both after-tax and pretax employee contributions that are fully vested. Pretax contributions are mandatory for all employees who are members of UCRP, as well as Safe Harbor participants—part-time, seasonal and temporary employees who are not covered by Social Security. For UCRP members, monthly employee contributions range from approximately 2.0 percent to 4.0 percent of covered wages depending upon whether wages are below or above the Social Security wage base. For Safe Harbor participants, monthly employee contributions are 7.5 percent of covered wages.

The University has a provision for matching employer and employee contributions to the DC Plan for certain summer session teaching or research compensation for eligible academic employees. The University may also make contributions in behalf of certain members of management. Employer contributions to the DC Plan were \$5.3 million and \$5.8 million for the years ended June 30, 2009 and 2008, respectively.

The University established a Supplemental Defined Contribution Plan (SDC Plan) on January 1, 2009 to accept employer contributions in behalf of certain designated employees. Employer contributions are fully vested and there is no provision for employee contributions. Employer contributions to the SDC Plan were \$42.4 thousand for the year ended June 30, 2009.

Tax Deferred 403(b) Plan

The University's Tax Deferred 403(b) Plan (403(b) Plan) accepts pretax employee contributions. The University may also make contributions in behalf of certain members of management. Employer contributions to the 403(b) Plan were \$2.2 million and \$2.3 million for the years ended June 30, 2009 and 2008, respectively.

457(b) Deferred Compensation Plan

The University's 457(b) Deferred Compensation Plan (457(b) Plan) accepts pretax employee contributions. The University may also make contributions in behalf of certain members of management. There were no employer contributions to the 457(b) Plan for the years ended June 30, 2009 and 2008.

Participants in the DC Plan, the SDC Plan, the 403(b) Plan and the 457(b) Plan may direct their elective and nonelective contributions to investment funds managed by the Chief Investment Officer. They may also invest account balances in certain mutual funds. The participants' interest in mutual funds is shown separately in the statement of plans' fiduciary net assets.

University of California PERS–VERIP

The University of California PERS–VERIP is a defined benefit pension plan providing lifetime supplemental retirement income and survivor benefits to UC–PERS members who elected early retirement under provisions of the plan. The University contributed to PERS in behalf of these UC–PERS members. At July 1, 2008 there are 733 retirees or beneficiaries receiving benefits under this voluntary early retirement program.

The University and the DOE laboratories previously made contributions to the plan sufficient to maintain the promised benefits. The annual required contribution, net obligation to PERS–VERIP and any changes or adjustments to that obligation are all zero for the years ending June 30, 2009, 2008 and 2007.

13. RETIREE HEALTH BENEFIT COSTS AND OBLIGATIONS

The University administers single-employer health and welfare plans to provide health and welfare benefits, primarily medical, dental and vision, to eligible retirees and their eligible family members (retirees) of the University of California and its affiliates. The Regents has the authority to establish and amend the plans. Additional information can be obtained from the 2008–2009 annual report of the University of California Health and Welfare Program.

Membership in UCRP is required to become eligible for retiree health benefits. Participation in the retiree health benefit plans consisted of the following at July 1, 2008, the date of the latest actuarial valuation:

| | CAMPUSES AND MEDICAL CENTERS | LBNL | UNIVERSITY OF CALIFORNIA |
|--|---------------------------------|--------------|-----------------------------|
| Retirees who are currently receiving benefits | 31,473 | 1,660 | 33,133 |
| Employees who may receive benefits at retirement | 113,083 | 2,693 | 115,776 |
| Total membership | 144,556 | 4,353 | 148,909 |

Contribution Policy

The contribution requirements of the University and eligible retirees are established and may be amended by the University. The contribution requirements are based upon projected pay-as-you-go financing. University and retiree contributions toward premiums made under purchased plan arrangements are determined by applying the health plan contract rates across the number of participants in the respective plans. Premium rates for the self-insured plan contributions are set by the University based upon a trend analysis of the historic cost, utilization, demographics and administrative expenses to provide for the claims incurred and the actuarially determined level of incurred but not reported liability.

Contributions toward medical and dental benefits are shared between the University and the retiree. Contributions toward wellness benefits are made by the University. The University does not contribute toward the cost of other benefits available to retirees. Retirees employed by the University prior to 1990 and not rehired after that date are eligible for the University's maximum contribution if they retire before age 55 and have at least 10 years of service, or if they retire at age 55 or later and have at least five years of service. Retirees employed by the University after 1989 are subject to graduated eligibility provisions that generally require 10 years of service before becoming eligible for 50 percent of the maximum University contribution, increasing to 100 percent after 20 years of service.

Active employees do not make any contributions toward the retiree health benefit plans. Retirees pay the excess, if any, of the premium over the applicable portion of the University's contribution.

In addition to the explicit University contribution provided to retirees, there is an "implicit subsidy". The gross premiums for members that are not currently eligible for Medicare benefits are the same for active employees and retirees, based on a blend of their health costs. Retirees, on average, are expected to have higher health care costs than active employees. This is primarily due to the older average age of retirees. Since the same gross premiums apply to both groups, the premiums paid for active employees by the University are subsidizing the premiums for retirees. This effect is called the implicit subsidy. The implicit subsidy associated with retiree health costs paid during the past year is also considered to be a contribution from the University.

Retiree Health Benefit Expense and Obligation for Retiree Health Benefits

The University's retiree health benefit expense is independently calculated for the campuses and medical centers and LBNL based upon the actuarially determined annual required contribution. The annual required contribution represents the level of funding that, if paid on an ongoing basis, is projected to cover normal cost each year and amortize unfunded actuarial liabilities over a period of up to 30 years.

The University's annual retiree health benefit expense and related information for the years ended June 30, 2009 and 2008, segregated between the University and the DOE responsibility, is as follows:

(in thousands of dollars)

| | CAMPUSES AND MEDICAL CENTERS | | LBNL | | UNIVERSITY OF CALIFORNIA | |
|---|---------------------------------|--------------------|------------------|------------------|--------------------------|--------------------|
| | 2009 | 2008 | 2009 | 2008 | 2009 | 2008 |
| Actuarial valuation date | July 1, 2008 | July 1, 2007 | July 1, 2008 | July 1, 2007 | July 1, 2008 | July 1, 2007 |
| Annual required contribution | \$ 1,550,432 | \$ 1,355,362 | \$ 50,031 | \$ 44,426 | \$ 1,600,463 | \$ 1,399,788 |
| Interest on obligations for retiree health benefits | 59,770 | | 1,732 | | 61,502 | |
| Adjustment to annual required contribution | (108,265) | | (3,138) | | (111,403) | |
| Annual retiree health benefit cost | 1,501,937 | 1,355,362 | 48,625 | 44,426 | 1,550,562 | 1,399,788 |
| University contributions: | | | | | | |
| To UCRHBT | (234,428) | (225,066) | | | (234,428) | (225,066) |
| To healthcare insurers and administrators | | | (11,441) | (10,548) | (11,441) | (10,548) |
| Implicit subsidy | (44,079) | (43,036) | (2,240) | (2,384) | (46,319) | (45,420) |
| Total contributions | (278,507) | (268,102) | (13,681) | (12,932) | (292,188) | (281,034) |
| Increase in obligations for retiree health benefits | 1,223,430 | 1,087,260 | 34,944 | 31,494 | 1,258,374 | 1,118,754 |
| Obligations for retiree health benefits | | | | | | |
| Beginning of year | 1,087,260 | | 31,494 | | 1,118,754 | |
| End of year | \$2,310,690 | \$1,087,260 | \$ 66,438 | \$ 31,494 | \$2,377,128 | \$1,118,754 |
| Retiree health care reimbursement from the DOE during the year | | | \$ 11,441 | \$ 10,548 | \$ 11,441 | \$ 10,548 |
| DOE receivable for obligations for retiree health benefits | | | | | | |
| Noncurrent | | | \$ 66,438 | \$ 31,494 | \$ 66,438 | \$ 31,494 |
| Total | | | \$ 66,438 | \$ 31,494 | \$ 66,438 | \$ 31,494 |

University payments directly to health care insurers and administrators under the University's retiree health plans for retirees who previously worked at LLNL were \$12.0 million for the period from July 1, 2007 through September 30, 2007, the date the University's contract to manage and operate LLNL expired. The DOE reimbursed the University for these payments. As of June 30, 2008, the University had no remaining obligation for LLNL retiree health benefit costs.

Excluding the activity for the period from July 1, 2007 through September 30, 2007 related to LLNL, the annual retiree health benefit cost, percentage of the annual retiree health benefit cost contributed to the retiree health benefit plan, and the net obligation for retiree health benefits for the University for the years ended June 30, 2009 and 2008 are as follows:

(in thousands of dollars)

| | CAMPUSES AND MEDICAL CENTERS | DOE NATIONAL LABORATORIES | UNIVERSITY OF CALIFORNIA |
|--|---------------------------------|------------------------------|-----------------------------|
| Annual retiree health benefit cost: | | | |
| June 30, 2009 | \$ 1,501,937 | \$ 48,625 | \$ 1,550,562 |
| June 30, 2008 | 1,355,362 | 44,426 | 1,399,788 |
| Percentage of annual cost contributed: | | | |
| June 30, 2009 | 18.5% | 28.1% | 18.8% |
| June 30, 2008 | 19.8% | 29.1% | 20.1% |
| Net obligation to the health benefit plan: | | | |
| June 30, 2009 | \$ 2,310,690 | \$ 66,438 | \$ 2,377,128 |
| June 30, 2008 | 1,087,260 | 31,494 | 1,118,754 |

Funded Status

Actuarial valuations represent a long-term perspective and involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. Examples include assumptions about future employment, mortality, investment return and health care cost trends. The projection of benefits does not explicitly incorporate the potential effects of the results of collective bargaining discussions on the contribution rate. Actuarially determined amounts are subject to periodic revisions as actual rates are compared with past expectations and new estimates are made about the future.

The funded status of the plan as of July 1, 2008 was as follows:

(in thousands of dollars)

| | CAMPUSES AND MEDICAL CENTERS | LBNL | UNIVERSITY OF CALIFORNIA |
|---|---------------------------------|--------------------|-----------------------------|
| Actuarial value of plan assets | \$ 51,221 | \$ - | \$ 51,221 |
| Actuarial accrued liability | (13,302,506) | (497,743) | (13,800,249) |
| Unfunded actuarial accrued liability | \$(13,251,285) | \$(497,743) | \$(13,749,028) |
| Value of the implicit subsidy included in the actuarial accrued liability | \$ 1,940,306 | \$ 76,095 | \$ 2,016,401 |
| Funded ratio | 0.4% | 0.0% | 0.4% |
| Covered payroll | \$ 7,245,447 | \$ 204,349 | \$ 7,449,796 |
| Unfunded actuarial accrued liability as a percentage of covered payroll | (182.9%) | (243.6%) | (184.6%) |

The schedule of funding progress, presented as required supplementary information following the notes to the financial statements, includes multi-year trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits.

Actuarial Methods and Assumptions

Projections of benefits for financial reporting purposes are based upon the plan as understood by the University and plan members, and include the types of benefits provided at the time of each valuation and the historical cost pattern of sharing of benefit costs between the University and plan members to that point. The actuarial methods and assumptions used included techniques that are designed to reduce short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.

Significant actuarial methods and assumptions used in the valuation were:

- assumed return on investment of 5.5 percent per year, representing the return on the University's assets expected to be used to finance benefits;
- health care cost trend rate ranging from 10 to 12 percent initially, depending on the type of plan, reduced by increments to an ultimate rate of 5 percent over nine years;
- projected inflation at 3.0 percent;
- amortization of the initial unfunded actuarial liability over 30 years as a flat dollar amount on a closed basis;
- amortization of future actuarial gains and losses over 15 years as a flat dollar amount on a closed basis;
- amortization of the effects of changes in the plan design, or changes in assumptions, over 30 years as a flat dollar amount on a closed basis;
- Entry Age Normal actuarial cost method;
- future life expectancy based upon recent group mortality experience; and
- assumed retirement ages, employee turnover and disability rates based on actual plan experience and future expectations.

14. ENDOWMENTS AND GIFTS

Endowments and gifts are held and administered either by the University or by campus foundations.

University of California

The value of endowments and gifts held and administered by the University, exclusive of income distributed to be used for operating purposes, at June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA | | | |
|--|-----------------------------|--------------------------|--------------------|--------------------|
| | RESTRICTED NONEXPENDABLE | RESTRICTED EXPENDABLE | UNRESTRICTED | TOTAL |
| <i>At June 30, 2009</i> | | | | |
| Endowments | \$ 940,249 | \$ 1,180,119 | \$ 26,143 | \$ 2,146,511 |
| Funds functioning as endowments | | 1,689,383 | 1,084,511 | 2,773,894 |
| Annuity and life income | 6,786 | 10,292 | | 17,078 |
| Gifts | | 909,590 | 11,429 | 921,019 |
| University endowments and gifts | \$947,035 | \$3,789,384 | \$1,122,083 | \$5,858,502 |
| <i>At June 30, 2008</i> | | | | |
| Endowments | \$ 939,680 | \$ 1,737,257 | \$ 35,558 | \$ 2,712,495 |
| Funds functioning as endowments | | 2,249,318 | 1,234,456 | 3,483,774 |
| Annuity and life income | 12,822 | 8,243 | | 21,065 |
| Gifts | | 911,102 | 13,455 | 924,557 |
| University endowments and gifts | \$952,502 | \$4,905,920 | \$1,283,469 | \$7,141,891 |

The University's endowment income distribution policies are designed to preserve the value of the endowment in real terms (after inflation) and to generate a predictable stream of spendable income. Endowment investments are managed to achieve the maximum long-term total return. As a result of this emphasis on total return, the proportion of the annual income distribution provided by dividend and interest income and by capital gains may vary significantly from year to year. The University's policy is to retain the realized and unrealized appreciation with the endowment after the annual income distribution has been made. The net appreciation available to meet future spending needs, subject to the approval of The Regents, amounted to \$1.18 billion and \$1.74 billion at June 30, 2009 and 2008, respectively.

The portion of investment returns earned on endowments held by the University and distributed at the end of each year to support current operations for the following year is based upon a rate that is approved by The Regents. The annual income distribution transferred to the campuses from endowments held by the University was \$214.6 million and \$210.3 million for the years ended June 30, 2009 and 2008, respectively. The portion of this annual income distribution from accumulated capital gains, in addition to the dividend and interest income earned during the year, was \$109.6 million and \$89.9 million for the years ended June 30, 2009 and 2008, respectively. Accumulated endowment income available for spending in the future, including the annual income distribution, was \$520.5 million and \$497.5 million at June 30, 2009 and 2008, respectively.

Campus Foundations

The value of endowments and gifts held by the campus foundations and administered by each of their independent Board of Trustees at June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | | |
|---|---|--------------------------|-----------------|--------------------|
| | RESTRICTED NONEXPENDABLE | RESTRICTED EXPENDABLE | UNRESTRICTED | TOTAL |
| <i>At June 30, 2009</i> | | | | |
| Endowments | \$ 1,804,815 | \$ 394,587 | | \$ 2,199,402 |
| Funds functioning as endowments | | 763,272 | | 763,272 |
| Annuity and life income | 62,018 | 63,823 | | 125,841 |
| Gifts | | 729,974 | \$ 11,829 | 741,803 |
| Campus foundations' endowments and gifts | \$1,866,833 | \$1,951,656 | \$11,829 | \$3,830,318 |
| <i>At June 30, 2008</i> | | | | |
| Endowments | \$ 1,820,279 | \$ 837,531 | | \$ 2,657,810 |
| Funds functioning as endowments | | 873,031 | | 873,031 |
| Annuity and life income | 95,550 | 94,417 | | 189,967 |
| Gifts | | 722,917 | \$ 27,106 | 750,023 |
| Campus foundations' endowments and gifts | \$1,915,829 | \$2,527,896 | \$27,106 | \$4,470,831 |

The campus foundations provided grants to the University's campuses totaling \$444.7 million and \$527.6 million during the years ended June 30, 2009 and 2008, respectively.

15. SEGMENT INFORMATION

The University's significant identifiable activities for which revenue bonds may be outstanding where revenue is pledged in support of revenue bonds are related to the University's medical centers. The medical centers' operating revenues and expenses consist primarily of revenues associated with patient care and the related costs of providing that care.

Condensed financial statement information related to each of the University's medical centers for the years ended June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA MEDICAL CENTERS | | | | |
|---------------------------------|--|-----------|-------------|-----------|---------------|
| | DAVIS | IRVINE | LOS ANGELES | SAN DIEGO | SAN FRANCISCO |
| <i>Year Ended June 30, 2009</i> | | | | | |
| Revenue bonds outstanding | \$ 374,865 | \$ 62,920 | \$ 536,185 | \$ 67,165 | \$ 135,235 |
| Related debt service payments | \$ 32,085 | \$ 2,897 | \$ 25,279 | \$ 6,610 | \$ 7,591 |
| Bonds due serially through | 2047 | 2047 | 2047 | 2047 | 2047 |

CONDENSED STATEMENT OF NET ASSETS

| | | | | | |
|---|-------------------|-------------------|---------------------|-------------------|-------------------|
| Current assets | \$ 345,365 | \$ 179,020 | \$ 531,474 | \$ 325,324 | \$ 470,539 |
| Capital assets, net | 1,014,077 | 630,629 | 1,625,852 | 450,805 | 736,367 |
| Other assets | 23,195 | 6,875 | 29,009 | 5,958 | 14,468 |
| Total assets | 1,382,637 | 816,524 | 2,186,335 | 782,087 | 1,221,374 |
| Current liabilities | 197,567 | 95,940 | 193,061 | 131,193 | 188,801 |
| Long-term debt | 391,125 | 89,636 | 643,731 | 82,002 | 245,783 |
| Other noncurrent liabilities | | | | | 26,032 |
| Total liabilities | 588,692 | 185,576 | 836,792 | 213,195 | 460,616 |
| Invested in capital assets, net of debt | 579,838 | 534,468 | 1,046,892 | 320,904 | 462,741 |
| Restricted | 954 | 6,046 | 19,427 | | 9,536 |
| Unrestricted | 213,153 | 90,434 | 283,224 | 247,988 | 288,481 |
| Total net assets | \$ 793,945 | \$ 630,948 | \$ 1,349,543 | \$ 568,892 | \$ 760,758 |

CONDENSED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS

| | | | | | |
|--|-------------------|-------------------|---------------------|-------------------|-------------------|
| Operating revenues | \$ 1,077,367 | \$ 584,337 | \$ 1,465,915 | \$ 784,457 | \$ 1,653,150 |
| Operating expenses | (962,080) | (496,158) | (1,250,009) | (660,358) | (1,484,406) |
| Depreciation expense | (57,372) | (33,941) | (81,921) | (29,763) | (67,707) |
| Operating income | 57,915 | 54,238 | 133,985 | 94,336 | 101,037 |
| Nonoperating revenues (expenses) | (2,767) | (1,937) | (18,213) | 1,653 | (20,954) |
| Income before other changes in net assets | 55,148 | 52,301 | 115,772 | 95,989 | 80,083 |
| State and federal capital appropriations | | | 110 | 1,918 | |
| Health systems support | (48,783) | (53,413) | (37,932) | (32,907) | (30,284) |
| Transfers from University, net | 39,261 | 92,399 | 40,779 | 16,627 | |
| Other, including donated assets | | | 40,203 | 1,325 | 2,174 |
| Increase in net assets | 45,626 | 91,287 | 158,932 | 82,952 | 51,973 |
| Net assets—June 30, 2008 | 748,319 | 539,661 | 1,190,611 | 485,940 | 708,785 |
| Net assets—June 30, 2009 | \$ 793,945 | \$ 630,948 | \$ 1,349,543 | \$ 568,892 | \$ 760,758 |

CONDENSED STATEMENT OF CASH FLOWS

Net cash provided (used) by:

| | | | | | |
|---|-------------------|------------------|-------------------|-------------------|-------------------|
| Operating activities | \$ 135,522 | \$ 84,206 | \$ 178,430 | \$ 123,096 | \$ 145,913 |
| Noncapital financing activities | (47,152) | (53,413) | (43,057) | (32,907) | (30,284) |
| Capital and related financing activities | (146,493) | (63,780) | (79,227) | (74,150) | (120,680) |
| Investing activities | 4,371 | 10,386 | 38,862 | 2,402 | 3,735 |
| Net increase (decrease) in cash and cash equivalents | (53,752) | (22,601) | 95,008 | 18,441 | (1,316) |
| Cash and cash equivalents ¹ —June 30, 2008 | 176,473 | 95,954 | 124,596 | 132,348 | 128,842 |
| Cash and cash equivalents¹—June 30, 2009 | \$ 122,721 | \$ 73,353 | \$ 219,604 | \$ 150,789 | \$ 127,526 |

¹ Cash and cash equivalents on the medical centers' financial statements are included in the University's Short Term Investment Pool.

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA MEDICAL CENTERS | | | | |
|--|--|------------------|--------------------|------------------|-------------------|
| | DAVIS | IRVINE | LOS ANGELES | SAN DIEGO | SAN FRANCISCO |
| Year Ended June 30, 2008 | | | | | |
| Revenue bonds outstanding | \$ 387,980 | \$ 62,920 | \$ 538,740 | \$ 70,425 | \$ 137,750 |
| Related debt service payments | \$ 24,481 | \$ 2,897 | \$ 24,835 | \$ 6,613 | \$ 7,855 |
| Bonds due serially through | 2047 | 2047 | 2047 | 2047 | 2047 |
| CONDENSED STATEMENT OF NET ASSETS | | | | | |
| Current assets | \$ 403,624 | \$ 191,009 | \$ 393,910 | \$ 313,957 | \$ 435,359 |
| Capital assets, net | 916,211 | 513,933 | 1,567,561 | 362,821 | 682,856 |
| Other assets | 19,192 | 14,495 | 60,022 | 4,819 | 12,811 |
| Total assets | 1,339,027 | 719,437 | 2,021,493 | 681,597 | 1,131,026 |
| Current liabilities | 188,207 | 91,554 | 191,397 | 104,508 | 165,220 |
| Long-term debt | 402,501 | 88,222 | 639,485 | 91,149 | 229,490 |
| Other noncurrent liabilities | | | | | 27,531 |
| Total liabilities | 590,708 | 179,776 | 830,882 | 195,657 | 422,241 |
| Invested in capital assets, net of debt | 464,101 | 409,689 | 988,051 | 258,570 | 426,809 |
| Restricted | 848 | 13,643 | 51,822 | | 7,705 |
| Unrestricted | 283,370 | 116,329 | 150,738 | 227,370 | 274,271 |
| Total net assets | \$ 748,319 | \$539,661 | \$1,190,611 | \$485,940 | \$ 708,785 |
| CONDENSED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS | | | | | |
| Operating revenues | \$ 1,029,175 | \$ 526,443 | \$ 1,227,118 | \$ 716,609 | \$ 1,482,838 |
| Operating expenses | (919,204) | (461,029) | (1,117,580) | (627,911) | (1,377,549) |
| Depreciation expense | (57,562) | (20,877) | (51,680) | (27,598) | (60,711) |
| Operating income | 52,409 | 44,537 | 57,858 | 61,100 | 44,578 |
| Nonoperating revenues (expenses) | (7,441) | 2,537 | (24,564) | 173 | (3,014) |
| Income before other changes in net assets | 44,968 | 47,074 | 33,294 | 61,273 | 41,564 |
| State and federal capital appropriations | | | 2,092 | 3,453 | 10,818 |
| Health systems support | (10,557) | (35,292) | (33,125) | (31,297) | (20,065) |
| Transfers (to) from University, net | 33,608 | 85,957 | (21,885) | 9,286 | |
| Other, including donated assets | | | 117,524 | 13,707 | 1,327 |
| Increase in net assets | 68,019 | 97,739 | 97,900 | 56,422 | 33,644 |
| Net assets—June 30, 2007 | 680,300 | 441,922 | 1,092,711 | 429,518 | 675,141 |
| Net assets—June 30, 2008 | \$ 748,319 | \$539,661 | \$1,190,611 | \$485,940 | \$ 708,785 |
| CONDENSED STATEMENT OF CASH FLOWS | | | | | |
| Net cash provided (used) by: | | | | | |
| Operating activities | \$ 90,778 | \$ 68,979 | \$ 100,687 | \$ 82,031 | \$ 85,808 |
| Noncapital financing activities | (8,344) | (35,292) | (55,007) | (31,297) | (20,065) |
| Capital and related financing activities | (132,943) | (57,620) | (111,550) | (50,242) | (127,321) |
| Investing activities | 73,677 | 19,064 | 69,488 | 4,173 | 7,581 |
| Net increase (decrease) in cash and cash equivalents | 23,168 | (4,869) | 3,618 | 4,665 | (53,997) |
| Cash and cash equivalents ¹ —June 30, 2007 | 153,305 | 100,823 | 120,978 | 127,683 | 182,839 |
| Cash and cash equivalents ¹—June 30, 2008 | \$ 176,473 | \$ 95,954 | \$ 124,596 | \$132,348 | \$ 128,842 |

¹ Cash and cash equivalents on the medical centers' financial statements are included in the University's Short Term Investment Pool.

Summarized financial information for each medical center is from their separately audited financial statements. Certain revenue, such as financial support from the state for clinical teaching programs, is classified as state educational appropriations rather than medical center revenue in the University's statement of revenues, expenses and changes in net assets. However, in the medical centers' separately audited financial statements and for segment reporting purposes, these revenues are classified as operating revenue.

Multiple purpose and housing system projects—including student and faculty housing, parking facilities, student centers, recreation and events facilities, student health service facilities and certain academic and administrative facilities—are also financed by revenue bonds; however, assets and liabilities are not required to be accounted for separately.

Additional information on the individual University of California Medical Centers can be obtained from their separate June 30, 2009 audited financial statements.

16. CAMPUS FOUNDATION INFORMATION

Under University policies approved by The Regents, each individual campus may establish a separate foundation to provide valuable assistance in fundraising, public outreach and other support for the missions of the campus and the University. Although independent boards govern these foundations, their assets are dedicated for the benefit of the University of California.

Condensed financial statement information related to the University's campus foundations, including their allocated share of the assets and liabilities associated with securities lending transactions in the University's investment pools, for the years ended June 30, 2009 and 2008 is as follows:

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | | | |
|--|---|-------------------|---------------------|---------------------|---------------------|
| | BERKELEY | SAN FRANCISCO | LOS ANGELES | ALL OTHER | TOTAL |
| <i>Year Ended June 30, 2009</i> | | | | | |
| CONDENSED STATEMENT OF NET ASSETS | | | | | |
| Current assets | \$ 100,253 | \$ 132,244 | \$ 283,698 | \$ 332,025 | \$ 848,220 |
| Noncurrent assets | 876,194 | 549,041 | 1,110,560 | 944,953 | 3,480,748 |
| Total assets | 976,447 | 681,285 | 1,394,258 | 1,276,978 | 4,328,968 |
| Current liabilities | 27,506 | 13,921 | 191,977 | 108,974 | 342,378 |
| Noncurrent liabilities | 66,858 | 12,733 | 37,415 | 39,266 | 156,272 |
| Total liabilities | 94,364 | 26,654 | 229,392 | 148,240 | 498,650 |
| Restricted | 881,312 | 654,393 | 1,164,707 | 1,118,077 | 3,818,489 |
| Unrestricted | 771 | 238 | 159 | 10,661 | 11,829 |
| Total net assets | \$ 882,083 | \$ 654,631 | \$ 1,164,866 | \$ 1,128,738 | \$ 3,830,318 |
| CONDENSED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS | | | | | |
| Operating revenues | \$ 61,111 | \$ 121,936 | \$ 99,136 | \$ 93,818 | \$ 376,001 |
| Operating expenses | (81,402) | (98,417) | (153,122) | (125,285) | (458,226) |
| Operating income (loss) | (20,291) | 23,519 | (53,986) | (31,467) | (82,225) |
| Nonoperating expenses | (207,579) | (77,799) | (227,316) | (199,998) | (712,692) |
| Loss before other changes in net assets | (227,870) | (54,280) | (281,302) | (231,465) | (794,917) |
| Permanent endowments | 49,922 | 18,920 | 45,297 | 40,265 | 154,404 |
| Decrease in net assets | (177,948) | (35,360) | (236,005) | (191,200) | (640,513) |
| Net assets—June 30, 2008 | 1,060,031 | 689,991 | 1,400,871 | 1,319,938 | 4,470,831 |
| Net assets—June 30, 2009 | \$ 882,083 | \$ 654,631 | \$ 1,164,866 | \$ 1,128,738 | \$ 3,830,318 |
| CONDENSED STATEMENT OF CASH FLOWS | | | | | |
| Net cash provided (used) by: | | | | | |
| Operating activities | \$ (20,688) | \$ 22,042 | \$ (54,830) | \$ (37,275) | \$ (90,751) |
| Noncapital financing activities | 45,836 | 17,740 | 45,297 | 38,685 | 147,558 |
| Investing activities | (25,966) | (17,202) | 10,592 | 8,325 | (24,251) |
| Net increase (decrease) in cash and cash equivalents | (818) | 22,580 | 1,059 | 9,735 | 32,556 |
| Cash and cash equivalents—June 30, 2008 | 4,807 | 77,036 | 720 | 68,097 | 150,660 |
| Cash and cash equivalents—June 30, 2009 | \$ 3,989 | \$ 99,616 | \$ 1,779 | \$ 77,832 | \$ 183,216 |

(in thousands of dollars)

| | UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS | | | | |
|--|---|------------------|--------------------|--------------------|--------------------|
| | BERKELEY | SAN FRANCISCO | LOS ANGELES | ALL OTHER | TOTAL |
| Year Ended June 30, 2008 | | | | | |
| CONDENSED STATEMENT OF NET ASSETS | | | | | |
| Current assets | \$ 100,624 | \$ 99,964 | \$ 305,082 | \$ 305,393 | \$ 811,063 |
| Noncurrent assets | 1,068,285 | 623,330 | 1,345,929 | 1,198,156 | 4,235,700 |
| Total assets | 1,168,909 | 723,294 | 1,651,011 | 1,503,549 | 5,046,763 |
| Current liabilities | 46,335 | 18,764 | 204,732 | 135,056 | 404,887 |
| Noncurrent liabilities | 62,543 | 14,539 | 45,408 | 48,555 | 171,045 |
| Total liabilities | 108,878 | 33,303 | 250,140 | 183,611 | 575,932 |
| Restricted | 1,058,801 | 689,756 | 1,386,822 | 1,308,346 | 4,443,725 |
| Unrestricted | 1,230 | 235 | 14,049 | 11,592 | 27,106 |
| Total net assets | \$1,060,031 | \$689,991 | \$1,400,871 | \$1,319,938 | \$4,470,831 |
| CONDENSED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS | | | | | |
| Operating revenues | \$ 86,620 | \$ 113,211 | \$ 185,470 | \$ 151,189 | \$ 536,490 |
| Operating expenses | (124,364) | (125,203) | (141,589) | (148,500) | (539,656) |
| Operating income (loss) | (37,744) | (11,992) | 43,881 | 2,689 | (3,166) |
| Nonoperating expenses | (22,086) | (34,768) | (4,229) | (15,623) | (76,706) |
| Income (loss) before other changes in net assets | (59,830) | (46,760) | 39,652 | (12,934) | (79,872) |
| Permanent endowments | 55,327 | 14,328 | 61,662 | 47,891 | 179,208 |
| Increase (decrease) in net assets | (4,503) | (32,432) | 101,314 | 34,957 | 99,336 |
| Net assets—June 30, 2007 | 1,064,534 | 722,423 | 1,299,557 | 1,284,981 | 4,371,495 |
| Net assets—June 30, 2008 | \$1,060,031 | \$689,991 | \$1,400,871 | \$1,319,938 | \$4,470,831 |
| CONDENSED STATEMENT OF CASH FLOWS | | | | | |
| Net cash provided (used) by: | | | | | |
| Operating activities | \$ (31,308) | \$ 21,768 | \$ 48,209 | \$ (26,410) | \$ 12,259 |
| Noncapital financing activities | 46,767 | 14,328 | 61,662 | 40,603 | 163,360 |
| Investing activities | (11,898) | (60,342) | (109,882) | (4,380) | (186,502) |
| Net increase (decrease) in cash and cash equivalents | 3,561 | (24,246) | (11) | 9,813 | (10,883) |
| Cash and cash equivalents—June 30, 2007 | 1,246 | 101,282 | 731 | 58,284 | 161,543 |
| Cash and cash equivalents—June 30, 2008 | \$ 4,807 | \$ 77,036 | \$ 720 | \$ 68,097 | \$ 150,660 |

17. COMMITMENTS AND CONTINGENCIES

Contractual Commitments

Amounts committed but unexpended for construction projects totaled \$4.13 billion and \$3.33 billion at June 30, 2009 and 2008, respectively.

The University and UCRS have also made commitments to make investments in certain investment partnerships pursuant to provisions in the various partnership agreements. These commitments at June 30, 2009 totaled \$3.57 billion; \$429.2 million and \$3.14 billion for the University and UCRS, respectively.

The University leases land, buildings and equipment under agreements recorded as operating leases. Operating lease expenses for the years ended June 30, 2009 and 2008 were \$162.7 million and \$147.8 million, respectively. The terms of operating leases extend through December 2039.

Future minimum payments on operating leases with an initial or remaining non-cancelable term in excess of one year are as follows:

| <i>(in thousands of dollars)</i> | |
|----------------------------------|----------------------------------|
| | MINIMUM ANNUAL LEASE PAYMENTS |
| <i>Year Ending June 30</i> | |
| 2010 | \$ 90,617 |
| 2011 | 70,304 |
| 2012 | 51,237 |
| 2013 | 36,519 |
| 2014 | 25,997 |
| 2015–2019 | 48,847 |
| 2020–2024 | 9,426 |
| 2025–2029 | 3,884 |
| 2030–2034 | 4,393 |
| 2035–2039 | 5,014 |
| 2040 | 608 |
| Total | \$346,846 |

Contingencies

Substantial amounts are received and expended by the University, including its medical centers, under federal and state programs and are subject to audit by cognizant governmental agencies. This funding relates to research, student aid, medical center operations and other programs. University management believes that any liabilities arising from such audits will not have a material effect on the University's financial position.

The University and the campus foundations are contingently liable in connection with certain other claims and contracts, including those currently in litigation, arising in the normal course of its activities. Although there are inherent uncertainties in any litigation, University management and general counsel are of the opinion that the outcome of such matters will not have a material effect on the University's financial position.

REQUIRED SUPPLEMENTARY INFORMATION

The University's schedule of funding progress for UCRP and the retiree health plan is presented below.

UCRP

(in thousands of dollars)

| ACTUARIAL VALUATION DATE | ACTUARIAL VALUE OF ASSETS | ACTUARIAL ACCRUED LIABILITY | EXCESS | FUNDED RATIO | ANNUAL COVERED PAYROLL | EXCESS/COVERED PAYROLL |
|------------------------------|---------------------------|-----------------------------|-------------|--------------|------------------------|------------------------|
| University of California | | | | | | |
| July 1, 2008 | \$43,727,521 | \$42,467,742 | \$1,259,779 | 103.0% | \$7,449,796 | 16.9% |
| July 1, 2007 | 43,328,050 | 41,335,935 | 1,992,115 | 104.8 | 7,595,421 | 26.2 |
| July 1, 2006 | 41,872,844 | 40,207,322 | 1,665,522 | 104.1 | 8,241,706 | 20.2 |
| Campuses and Medical Centers | | | | | | |
| July 1, 2008 | 35,496,354 | 34,340,516 | 1,155,838 | 103.4 | 7,245,447 | 16.0 |
| July 1, 2007 | 33,581,431 | 31,917,954 | 1,663,477 | 105.2 | 6,720,789 | 24.8 |
| July 1, 2006 | 31,380,900 | 29,728,524 | 1,652,376 | 105.6 | 6,731,201 | 24.5 |
| DOE National Laboratories | | | | | | |
| July 1, 2008 | 8,231,167 | 8,127,226 | 103,941 | 101.3 | 204,349 | 50.9 |
| July 1, 2007 | 9,746,619 | 9,417,981 | 328,638 | 103.5 | 874,632 | 37.6 |
| July 1, 2006 | 10,491,944 | 10,478,798 | 13,146 | 100.1 | 1,510,505 | 0.9 |

Factors significantly affecting trends

The Regents utilizes asset allocation strategies that are intended to optimize investment returns over time in accordance with investment objectives and at acceptable levels of risk. However, the financial markets, both domestically and internationally, have deteriorated over the past year. The fair value of investments held by UCRP declined subsequent to July 1, 2008. The actuarial value of plan assets also declined. As a result, the funded ratio as of the July 1, 2009 actuarial valuation for the campuses and medical centers as well as the DOE laboratories is expected to be approximately 94.8 percent.

Based upon an actuarial experience study, The Regents approved changes to economic assumptions that decreased the projected inflation to 3.5 percent and increased the range for salary increases to between 4.35 and 7.0 percent per year, certain demographic assumptions were modified, and annual covered payroll was reduced to anticipate members who leave active status during the year. These changes in assumptions decreased the July 1, 2007 actuarial accrued liability and annual covered payroll as follows:

(in thousands of dollars)

| | CAMPUSES AND MEDICAL CENTERS | DOE NATIONAL LABORATORIES | UNIVERSITY OF CALIFORNIA |
|-----------------------------|------------------------------|---------------------------|--------------------------|
| Actuarial accrued liability | \$481,130 | \$52,068 | \$533,198 |
| Annual covered payroll | 726,004 | 86,220 | 812,224 |

With the selection of LANS as the successor contractor to the University for the management of LANL effective June 1, 2006, assets and liabilities attributable to UCRP benefits of the approximately 6,500 LANL employees who accepted employment with LANS and elected to participate in the defined benefit plan established by LANS were transferred to the LANS plan as of March 31, 2007. The actuarial value of assets and actuarial value of liabilities at June 1, 2006 related to these transitioning employees, calculated under the terms of the University's contract with the DOE, were \$1.23 billion and \$1.39 billion, respectively. For reporting purposes, the supplemental schedule of funding progress includes both assets and liabilities associated with these transitioning employees through the July 1, 2006 actuarial valuation.

With the selection of LLNS as the successor contractor to the University for the management of the LLNL effective October 1, 2007, assets and liabilities attributable to UCRP benefits of the approximately 3,900 LLNL employees who accepted employment with LLNS and elected to participate in the defined benefit plan established by LLNS were transferred to the LLNS plan as of March 31, 2008. The actuarial value of assets and actuarial value of liabilities at October 1, 2007 related to these transitioning employees, calculated under the terms of the University's contract with the DOE, were \$1.52 billion and \$1.16 billion, respectively. For reporting purposes, the supplemental schedule of funding progress includes both assets and liabilities associated with these transitioning employees through the July 1, 2007 actuarial valuation.

Retiree Health Plan

(in thousands of dollars)

| ACTUARIAL VALUATION DATE | ACTUARIAL VALUE OF ASSETS | ACTUARIAL ACCRUED LIABILITY | (DEFICIT) | FUNDED RATIO | ANNUAL COVERED PAYROLL | (DEFICIT)/COVERED PAYROLL | IMPLICIT SUBSIDY INCLUDED IN ACTUARIAL ACCRUED LIABILITY |
|------------------------------|---------------------------|-----------------------------|----------------|--------------|------------------------|---------------------------|--|
| University of California | | | | | | | |
| July 1, 2008 | \$51,221 | \$13,800,249 | \$(13,749,028) | 0.4% | \$7,449,796 | (184.6%) | \$2,016,401 |
| July 1, 2007 | None | 12,534,468 | (12,534,468) | 0.0% | 6,913,467 | (181.3%) | 1,867,147 |
| Campuses and Medical Centers | | | | | | | |
| July 1, 2008 | 51,221 | 13,302,506 | (13,251,285) | 0.4% | 7,245,447 | (182.9%) | 1,940,306 |
| July 1, 2007 | None | 12,074,689 | (12,074,689) | 0.0% | 6,720,789 | (179.7%) | 1,792,229 |
| LBNL | | | | | | | |
| July 1, 2008 | None | 497,743 | (497,743) | 0.0% | 204,349 | (243.6%) | 76,095 |
| July 1, 2007 | None | 459,779 | (459,779) | 0.0% | 192,678 | (238.6%) | 74,918 |

UNIVERSITY OF CALIFORNIA
Summary Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | <u>Direct Expenditures</u> | <u>Indirect Expenditures</u> | <u>Total Expenditures</u> |
|---|--------------------------------|----------------------------------|-------------------------------|
| Summary of Expenditures | | | |
| Student Financial Aid Cluster | \$ 254,119,417 | \$ - | \$ 254,119,417 |
| ARRA-State Fiscal Stabilization Fund (SFSF) | | | |
| Pass Through Agency Awards Expended | 268,500,000 | - | 268,500,000 |
| Research and Development Cluster | | | |
| Federal Agency Awards Expended | 1,952,195,660 | 604,207,088 | 2,556,402,748 |
| Pass-Through Agency Awards Expended | 233,863,476 | 80,537,695 | 314,401,171 |
| Partial Pass-Through Agency Awards Expended | 18,071,478 | 3,694,941 | 21,766,419 |
| Total Research and Development Cluster | <u>2,204,130,614</u> | <u>688,439,724</u> | <u>2,892,570,338</u> |
| Other Programs | | | |
| Federal Agency Awards Expended | 178,393,259 | 19,348,718 | 197,741,977 |
| Pass-Through Agency Awards Expended | 30,002,565 | 3,805,854 | 33,808,419 |
| Partial Pass-Through Agency Awards Expended | 27,917,064 | 458,632 | 28,375,696 |
| Total Other Programs | <u>236,312,888</u> | <u>23,613,204</u> | <u>259,926,092</u> |
| Total Federal Awards | <u>\$ 2,963,062,919</u> | <u>\$ 712,052,928</u> | <u>\$ 3,675,115,847</u> |

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | <u>Federal CFDA #</u> | <u>Direct Expenditures</u> | <u>Indirect Expenditures</u> | <u>Total Expenditures</u> |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Student Financial Aid Cluster | | | | |
| Department of Education | | | | |
| Federal Supplemental Educational Opportunities Grant | 84 007 | \$ 9,111,112 | \$ - | \$ 9,111,112 |
| Federal Work-Study Program | 84 033 | 15,153,565 | - | 15,153,565 |
| Federal Pell Grants | 84 063 | 201,505,075 | - | 201,505,075 |
| Federal Perkins Loan Program | 84 038 | 1,737,564 | - | 1,737,564 |
| Academic Competitiveness Grant | 84 375 | 10,528,898 | - | 10,528,898 |
| National SMART Grants | 84 376 | 13,991,053 | - | 13,991,053 |
| Total Department of Education | | <u>252,027,267</u> | <u>-</u> | <u>252,027,267</u> |
| Department of Health And Human Services | | | | |
| Scholarships for Students from Disadvantaged Background | 93 925 | 2,092,150 | - | 2,092,150 |
| Total Department of Health And Human Services | | <u>2,092,150</u> | <u>-</u> | <u>2,092,150</u> |
| Total Student Financial Aid Cluster | | | | |
| | | <u>254,119,417</u> | <u>-</u> | <u>254,119,417</u> |
| ARRA-State Fiscal Stabilization Fund (SFSF) | | | | |
| Pass Through Agency Awards Expended | | | | |
| Cal Governor's Office of Planning & Research | 84 394 | 268,500,000 | - | 268,500,000 |
| Research And Development Cluster Direct | | | | |
| Federal Agency Awards Expended Direct | | | | |
| Department of Agriculture | 10 RD | 29,867,704 | 3,496,771 | 33,364,475 |
| Department of Commerce | 11 RD | 21,645,005 | 3,566,771 | 25,211,776 |
| Department of Defense | | | | |
| Department of Air Force | 12 RD | 18,743,073 | 5,179,473 | 23,922,546 |
| Department of Army | 12 RD | 47,121,820 | 15,522,436 | 62,644,256 |
| Department of Navy | 12 RD | 60,497,476 | 14,340,518 | 74,837,994 |
| Advanced Research Projects | 12 RD | 13,010,856 | 3,286,579 | 16,297,435 |
| Separate Agencies | 12 RD | 14,302,594 | 3,157,793 | 17,460,387 |
| Total Department of Defense | | <u>153,675,819</u> | <u>41,486,799</u> | <u>195,162,618</u> |
| Department of Education | 84 RD | 18,336,384 | 2,561,376 | 20,897,760 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Department of Energy | 81 RD | 67,822,182 | 20,204,918 | 88,027,100 |
| Department of Homeland Security | 97 RD | 2,152,342 | 690,817 | 2,843,159 |
| Department of Interior | 15 RD | 10,650,263 | 2,657,225 | 13,307,488 |
| Department of Justice | 16 RD | 1,619,344 | 455,770 | 2,075,114 |
| Department of Labor | 17 RD | 338,984 | 104,162 | 443,146 |
| Department of State | 19 RD | 5,930,777 | 423,943 | 6,354,720 |
| Department of Transport | 20 RD | 2,824,210 | 848,848 | 3,673,058 |
| Environmental Protection Agency | 66 RD | 8,149,213 | 2,615,026 | 10,764,239 |
| General Services Administration | 39 RD | 30,507 | 16,915 | 47,422 |
| | | | | |
| Department of Health and Human Services | | | | |
| Office Of Human Development Services | 93 RD | 3,116,813 | 468,215 | 3,585,028 |
| HHS Office of the Secretary | 93 RD | 320,570 | 71,120 | 391,690 |
| PHS/Adamha | 93 RD | 4,548,508 | 511,458 | 5,059,966 |
| PHS/Centers Disease Control | 93 RD | 30,147,765 | 5,451,889 | 35,599,654 |
| PHS/Food & Drug Administration | 93 RD | 897,334 | 331,700 | 1,229,034 |
| PHS/Health Care Policy & Research | 93 RD | 1,943,236 | 626,426 | 2,569,662 |
| PHS/Health Resources & Services Admin | 93 RD | 8,539,271 | 1,344,565 | 9,883,836 |
| NIH Aging, National Institute on | 93 RD | 58,069,464 | 18,296,915 | 76,366,379 |
| ARRA-NIH Aging, National Institute on | 93 701 | 3,977 | 2,168 | 6,145 |
| NIH Alcohol Abuse and Alcoholism, National Institute of | 93 RD | 7,068,598 | 2,507,536 | 9,576,134 |
| NIH Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of | 93 RD | 18,831,610 | 7,087,336 | 25,918,946 |
| NIH Biomedical Imaging and Bioengineering, Natl Institute of (NIBIB) | 93 RD | 15,348,440 | 5,110,418 | 20,458,858 |
| ARRA-NIH Biomedical Imaging and Bioengineering, Natl Institute of | 93 701 | 493 | 259 | 752 |
| NIH Center for Scientific Review | 93 RD | 2,883,272 | 1,106,867 | 3,990,139 |
| NIH Child Health & Human Development, National Institute of | 93 RD | 41,207,106 | 14,165,525 | 55,372,631 |
| ARRA-NIH Child Health & Human Development, National Institute of | 93 701 | 16,905 | 7,646 | 24,551 |
| NIH Clinical Center | 93 RD | 11,330 | 2,725 | 14,055 |
| NIH Deafness & Other Communication Disorders, Natl Institute on | 93 RD | 12,589,179 | 5,489,687 | 18,078,866 |
| NIH Dental and Craniofacial Research,National Institute of (NIDCR) | 93 RD | 17,835,636 | 7,585,885 | 25,421,521 |
| ARRA-NIH Dental and Craniofacial Research,National Institute of (NIDCR) | 93 701 | 23,887 | 12,925 | 36,812 |
| NIH Diabetes, Digestive & Kidney Diseases, National Institute of | 93 RD | 57,512,094 | 22,542,218 | 80,054,312 |
| ARRA-NIH Diabetes, Digestive & Kidney Diseases, National Institute of | 93 701 | 18,708 | 10,150 | 28,858 |
| NIH Drug Abuse, National Institute of (NIDA) | 93 RD | 41,998,007 | 13,134,666 | 55,132,673 |
| ARRA-NIH Drug Abuse, National Institute of (NIDA) | 93 701 | 23,396 | 10,593 | 33,989 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| NIH Environmental Health Sciences, National Institute of | 93 RD | 29,007,419 | 10,053,371 | 39,060,790 |
| ARRA-NIH Environmental Health Sciences, National Institute of | 93 701 | 844 | 440 | 1,284 |
| NIH General Medical Science, National Institute of | 93 RD | 137,282,540 | 51,031,057 | 188,313,597 |
| NIH Heart, Lung & Blood, National Institute of | 93 RD | 94,524,061 | 38,586,196 | 133,110,257 |
| ARRA-NIH Heart, Lung & Blood, National Institute of | 93 701 | 31,413 | 1,694 | 33,107 |
| NIH John F. Fogarty International Center | 93 RD | 3,566,412 | 209,184 | 3,775,596 |
| NIH Medicine, Natl Library of | 93 RD | 1,946,107 | 617,333 | 2,563,440 |
| NIH Mental Health, National Institute of (NIMH) | 93 RD | 103,996,857 | 33,881,016 | 137,877,873 |
| ARRA-NIH Mental Health, National Institute of (NIMH) | 93 701 | 40,146 | 21,083 | 61,229 |
| NIH Natl Cancer Institute (NCI) | 93 RD | 127,605,028 | 49,836,014 | 177,441,042 |
| ARRA-NIH Natl Cancer Institute (NCI) | 93 701 | 48,289 | 26,240 | 74,529 |
| NIH Natl Ctr Complementary & Alternative Medicine | 93 RD | 5,152,225 | 1,847,202 | 6,999,427 |
| ARRA-NIH Natl Ctr Research Resources (incl Mutant Mouse Reg Res Ctrs) - | 93 701 | 218 | 115 | 333 |
| NIH Natl Ctr Research Resources (incl Mutant Mouse Reg Res Ctrs-MMRR) | 93 RD | 78,771,680 | 15,750,694 | 94,522,374 |
| NIH Natl Ctr on Minority Health and Health Disparities | 93 RD | 1,884,505 | 515,485 | 2,399,990 |
| NIH Natl Eye Institute | 93 RD | 32,092,035 | 14,083,003 | 46,175,038 |
| ARRA-NIH Natl Eye Institute | 93 701 | 26,784 | 14,227 | 41,011 |
| NIH Natl Human Genome Research Institute | 93 RD | 8,289,205 | 3,163,747 | 11,452,952 |
| NIH Natl Inst of Allergy&infectious Diseases | 93 RD | 164,389,176 | 54,619,693 | 219,008,869 |
| ARRA-NIH Natl Inst of Allergy&infectious Diseases | 93 701 | 321,752 | 165,716 | 487,468 |
| NIH Neurological Disorders & Stroke, Natl Institute of | 93 RD | 76,198,957 | 28,642,508 | 104,841,465 |
| NIH Nursing Research, National Institute of (NINR) | 93 RD | 4,575,146 | 1,707,335 | 6,282,481 |
| ARRA-NIH Nursing Research, National Institute of (NINR) | 93 701 | 5,914 | 3,193 | 9,107 |
| NIH Office of The Director | 93 RD | 481,902 | 248,085 | 729,987 |
| NIH/Miscellaneous Agencies & Departments | 93 RD | 25,115,733 | 6,327,735 | 31,443,468 |
| ARRA-NIH/Miscellaneous Agencies & Departments | 93 701 | 56,381 | 29,354 | 85,735 |
| PHS/Other | 93 RD | 244,965 | 10,324 | 255,289 |
| Total Dept. of Health and Human Services | | 1,218,611,293 | 417,270,936 | 1,635,882,229 |
| Housing & Urban Development | 14 RD | 242,254 | 102,528 | 344,782 |
| Institute of Peace | 91 RD | 72,000 | - | 72,000 |
| National Archives & Records | 89 RD | 211,882 | - | 211,882 |
| National Aeronautics & Space Administration | 43 RD | 68,745,351 | 15,527,555 | 84,272,906 |
| National Foundation Arts & Humanities | 45 RD | 1,376,783 | 244,974 | 1,621,757 |
| National Science Foundation | 47 RD | 331,736,609 | 91,515,889 | 423,252,498 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| ARRA-National Science Foundation | 47 082 | 81,465 | 28,494 | 109,959 |
| Nuclear Regulatory Commission | 77 RD | 85,165 | 44,978 | 130,143 |
| Veterans Affairs | 64 RD | 7,157,615 | 134,251 | 7,291,866 |
| Smithsonian Institute | 85 RD | 604,872 | 155,327 | 760,199 |
| Executive Branch | 99 RD | 22,049 | 2,245 | 24,294 |
| Other Agencies | 99 RD | 205,588 | 50,570 | 256,158 |
| Total Federal Agency Awards Expended | | 1,952,195,660 | 604,207,088 | 2,556,402,748 |
| Pass Through Agency Awards Expended | | | | |
| 3E Technologies International, Inc. (frmly Aeptec Microsystems, Inc.) (10123.001.01.01) | 99 RD | 16,935 | 5,352 | 22,287 |
| Aaron Diamond Aids Research Center (AI047033) | 93 856 | 16,412 | 8,945 | 25,357 |
| Abt Associates Inc. (82424) | 99 RD | 1,207 | 314 | 1,521 |
| Academy for Educational Development (incl Pakistan Training Prog) (31461) | 10 RD | 13,522 | 4,598 | 18,120 |
| Academy for Educational Development (incl Pakistan Training Prog) (3567UCD00TO2) | 10 RD | 10,625 | 2,762 | 13,387 |
| Academy for Educational Development (incl Pakistan Training Prog) (87569) | 19 402 | 22,704 | 5,676 | 28,380 |
| Acoustic Medsystems, Inc. (UCSF#A110080) | 99 RD | 17,073 | 9,305 | 26,378 |
| Active Pass Pharmaceuticals (Canada) (83507) | 93 856 | (1,059) | (546) | (1,605) |
| Aculight Corporation (NO. 945849) | 12 300 | 49,947 | 7,770 | 57,717 |
| Add-vision, Inc. (20080941) | 81 087 | 71,068 | 9,712 | 80,780 |
| Add-vision, Inc. (SC-09-26) | 81 049 | 43,112 | 6,009 | 49,121 |
| Advanced Ceramics Research (82855) | 12 300 | 54,167 | 26,954 | 81,121 |
| Advanced Genetic Systems, Inc. (UCSF#A109923) | 93 RD | 11,795 | 6,429 | 18,224 |
| Advanced Genetic Systems, Inc. (UCSF#A111606) | 93 RD | 39,960 | 11,823 | 51,783 |
| Advanced Power Solutions, Inc. (08002377) | 12 800 | 1,282 | 667 | 1,949 |
| Advanced Power Solutions, Inc. (G604UCDAVIS) | 43 RD | 7,758 | 4,046 | 11,804 |
| Advanced Power Solutions, Inc. (G803UCDAVISZHOU) | 12 RD | 6,578 | 3,420 | 9,998 |
| Aero Institute (dba Aerospace Education Research Operations) (AERO 503) | 99 RD | 65,006 | 30,069 | 95,075 |
| Aerosol Dynamics, Inc. (022478) | 81 RD | 151,291 | 37,967 | 189,258 |
| Aerosol Dynamics, Inc. (1876) | 93 113 | 17,465 | 4,541 | 22,006 |
| Aerosol Dynamics, Inc. (1877) | 93 113 | 9,184 | 4,959 | 14,143 |
| Aerosol Dynamics, Inc. (1929) | 81 RD | 19,608 | 10,392 | 30,000 |
| African Agricultural Technology Foundation (Great Britain) (08001752) | 98 RD | 13,575 | 7,059 | 20,634 |
| Afya, Inc. (83607) | 93 RD | (454) | (136) | (590) |
| Agiltron, Inc. (IIP-0810609) | 47 041 | 19,351 | 10,450 | 29,801 |
| Agiltron, Inc. (PO 264767) | 81 049 | 3,800 | 2,064 | 5,864 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Agiltron, Inc. (SUB NONE (DE-FG02-08ER86336)) | 81 049 | 21,359 | 11,641 | 33,000 |
| Agiltron, Inc. (SUB PO969056 (DEFG0207ER86297)) | 81 049 | 24,892 | 8,768 | 33,660 |
| Aguila Technologies, Inc. (20070389) | 97 065 | (3,595) | (1,959) | (5,554) |
| Aguila Technologies, Inc. (CA110192) | 93 RD | 1,265 | 689 | 1,954 |
| Aguila Technologies, Inc. (EB006360) | 93 286 | 2,388 | 1,110 | 3,498 |
| Akela, Inc. (07-0801-1) | 12 300 | 13,861 | 7,139 | 21,000 |
| Akeso Health Sciences LLC (AHS-40769) | 93 213 | 3,159 | 1,659 | 4,818 |
| Akron, University of (82621) | 12 800 | 86 | 47 | 133 |
| Alameda Applied Sciences Corporation (SUB SC-08-01 (HDTRA2-08-C-0001) | 12 RD | 15,872 | 4,127 | 19,999 |
| Alaska Native Tribal Health Consortium (ANTHC-09-P-24431) | 99 RD | 10,422 | 5,680 | 16,102 |
| Allen Institute for Brain Science (08002394) | 93 RD | 80,023 | 41,612 | 121,635 |
| Almen Laboratories, Inc. (CA112858) | 93 395 | 88,507 | 48,237 | 136,744 |
| Altair Nanotechnologies Inc. (Altairnano) (59010) | 81 RD | 1,044 | 491 | 1,535 |
| Altrue.com, Inc. (83204) | 99 RD | 794 | 409 | 1,203 |
| Altrue.com, Inc. (83344) | 99 RD | (1,139) | (587) | (1,726) |
| American Academy of Pediatrics (59011) | 93 180 | 128,477 | 33,404 | 161,881 |
| American Assn for The Advancement of Science (SB060039) | 15 RD | 3,353 | 168 | 3,521 |
| American Assn of Col of Osteopathic Medicine (59046) | 93 999 | 49,384 | 3,951 | 53,335 |
| American Cncl of Learned Societies (ACLS) (86911) | 45 RD | 10,181 | - | 10,181 |
| American Cncl on Education (HNE-A-00-97-00059-00/AEG-A-00-) | 99 RD | 32,560 | 1,134 | 33,694 |
| American Col of Gastroenterology (86081) | 99 RD | (2,255) | - | (2,255) |
| American Col of Surgeons (DCRI-29488) | 93 RD | 11 | 6 | 17 |
| American Col of Surgeons (UCSF#A108159) | 93 395 | 629 | 31 | 660 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (015822) | 93 395 | 5,265 | 2,741 | 8,006 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (02030887) | 93 395 | 79,416 | 19,854 | 99,270 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (02076728) | 93 395 | 108 | 16 | 124 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (20080299) | 93 394 | 75,787 | 18,275 | 94,062 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (20081933) | 93 394 | 982 | 246 | 1,228 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (20082480) | 93 394 | 3,159 | 1,706 | 4,865 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (57699) | 93 394 | 20,516 | 5,129 | 25,645 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (57775) | 93 394 | (14) | 18,085 | 18,071 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (58400) | 93 394 | 20 | 5 | 25 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (78247) | 93 RD | (185) | (46) | (231) |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (79175) | 93 394 | 83,700 | 20,072 | 103,772 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (82225) | 93 394 | 31,680 | 8,237 | 39,917 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| American College of Radiology (incl ACR Imaging Network, ACRIN) (82383) | 93 RD | 45 | 12 | 57 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (82585) | 93 396 | 5,097 | 1,325 | 6,422 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (83179) | 93 395 | 16,542 | 9,015 | 25,557 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (84579) | 93 RD | (4,849) | (1,212) | (6,061) |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (86751) | 93 RD | (23,750) | (5,601) | (29,351) |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (87928) | 93 RD | 32,819 | 17,066 | 49,885 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (890082030B) | 93 396 | (6,743) | (1,016) | (7,759) |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (ACRIN 6666) | 99 RD | (4,098) | (1,024) | (5,122) |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (ACRIN-6654) | 93 394 | 189,336 | 47,334 | 236,670 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (ACRIN6673) | 93 394 | 8,272 | 2,068 | 10,340 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (SUB 4240 (CA80098)) | 93 395 | 6,431 | 3,505 | 9,936 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (SUB NONE (CA21661)) | 93 395 | 22,175 | 5,765 | 27,940 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (UCSF#A105160) | 93 395 | 23,325 | 5,831 | 29,156 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (UCSF#A109873) | 93 RD | 4,000 | 1,000 | 5,000 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (UCSF#A111580) | 93 RD | 2,000 | 500 | 2,500 |
| American Educational Research Association (SB070113) | 47 RD | 13 | - | 13 |
| American Inst of Biological Sciences (82971) | 47 074 | 14,536 | 7,922 | 22,458 |
| American Life Science Pharmaceuticals, Inc. (82431) | 93 866 | 7,648 | 4,168 | 11,816 |
| American Psychological Association (incl Amer Psychological Foundation (05051525)) | 93 960 | 14,811 | - | 14,811 |
| Americaview, Inc. (consortia of Univ on Satellite Remote Sensing Data) (AV06CA01) | 15 808 | 11,648 | 1,747 | 13,395 |
| Americaview, Inc. (consortia of Univ on Satellite Remote Sensing Data) (AV08CA01) | 15 RD | 5,959 | 894 | 6,853 |
| Analog Devices, Inc. (45272108) | 12 RD | 191,363 | 69,198 | 260,561 |
| Analog Devices, Inc. (45273913) | 12 RD | 83,367 | 38,348 | 121,715 |
| Animated Speech Corporation (SC-09-56) | 47 041 | 17,018 | 8,764 | 25,782 |
| Applied Microbiology, Inc. (1012858) | 93 262 | 129,238 | 33,602 | 162,840 |
| Archcom Technology, Inc. (incl Shenzhen Archcom) (NO. 507010) | 12 630 | 110,770 | 40,343 | 151,113 |
| Archom Technology Inc (20090773) | 99 RD | 18,023 | 5,353 | 23,376 |
| Arctic Research Consortium of The United States (ARCUS) (SB070031) | 47 078 | 24,379 | 10,719 | 35,098 |
| ARD, Inc. (Association in Rural Development) (78420) | 12 RD | (660) | (172) | (832) |
| ARD, Inc. (Association in Rural Development) (AED2756UCD002) | 98 RD | 13,984 | 4,755 | 18,739 |
| Area 4 Agency on Aging (serv Ca, Nv,Placer,Sacto, Sierra, Sutter,etc.) (86354) | 93 052 | 1,971 | (20) | 1,951 |
| Area 4 Agency on Aging (serv Ca, Nv,Placer,Sacto, Sierra, Sutter,etc.) (87182) | 93 052 | 80,413 | 6,732 | 87,145 |
| Area 4 Agency on Aging (serv Ca, Nv,Placer,Sacto, Sierra, Sutter,etc.) (87248) | 93 052 | 25,107 | 2,009 | 27,116 |
| Area 4 Agency on Aging (serv Ca, Nv,Placer,Sacto, Sierra, Sutter,etc.) (87931) | 93 052 | 15,899 | - | 15,899 |
| Argonne National Laboratory (DOE GOCO Lab Operated by Univ of Chicago) (20808) | 81 RD | (3,548) | (922) | (4,470) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Argonne National Laboratory (DOE GOCO Lab Operated by Univ of Chicago) (5F00535) | 81 RD | 609 | 317 | 926 |
| Argonne National Laboratory (DOE GOCO Lab Operated by Univ of Chicago) (8F00561) | 12 RD | 42,641 | 10,338 | 52,979 |
| Argonne National Laboratory (DOE GOCO Lab Operated by Univ of Chicago) (SUB 9F-30222 (DE-AC2-06CH11 | 81 RD | 29,923 | 4,595 | 34,518 |
| Arizona State University/Tempe (06-0657) | 93 866 | 18,255 | 9,858 | 28,113 |
| Arizona State University/Tempe (08-874) | 93 846 | 16,350 | 8,829 | 25,179 |
| Arizona State University/Tempe (08-924) | 47 075 | 8,859 | 4,695 | 13,554 |
| Arizona State University/Tempe (08-945) | 47 075 | 3,831 | 996 | 4,827 |
| Arizona State University/Tempe (31389) | 93 856 | 361 | (361) | - |
| Arizona State University/Tempe (59220) | 93 865 | 2,434 | 1,314 | 3,748 |
| Arizona State University/Tempe (SUB 08-873 (IIS-0624341)) | 47 070 | 853 | 465 | 1,318 |
| Arizona State University/Tempe (SUB 09-061 (NMA401-02-9-2002)) | 12 630 | 15,176 | 8,271 | 23,447 |
| Armagen Technologies, Inc. (20080907) | 99 RD | 6,455 | 3,486 | 9,941 |
| Armorworks, LLC (SUB RD0505-00 (W56HZV-09-C-031) | 12 431 | 1,998 | 1,089 | 3,087 |
| Asph Coop Agrmnt S1932-2121 Ucb9/04 (82516) | 93 222 | 1,551 | 737 | 2,288 |
| Assn for Institutional Research (024639) | 47 049 | 24,667 | - | 24,667 |
| Assn for Institutional Research (215) | 47 RD | 601 | - | 601 |
| Assn of American Colleges (82045) | 99 RD | 232 | 68 | 300 |
| Assn of American Colleges (82046) | 99 RD | (22,793) | (6,633) | (29,426) |
| Assn of American Medical Colleges (83173) | 93 283 | (5,911) | (1,537) | (7,448) |
| Assn of American Medical Colleges (RMPHEC2006006) | 93 283 | 52,221 | - | 52,221 |
| Assn of Bay Area Governments (88047) | 11 400 | 14,968 | - | 14,968 |
| Assn of Maternal and Child Health Programs (AMCHP) (84749) | 99 RD | 259 | 85 | 344 |
| Assn of Occupational and Environmental Clinics (78036) | 93 RD | 6 | - | 6 |
| Assn of Occupational and Environmental Clinics (AOEC-43431) | 93 RD | 82,294 | 5,761 | 88,055 |
| Assn of Schools of Public Health (59037) | 99 RD | 3,145 | 1,494 | 4,639 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (0084699-GEM00433) | 47 RD | 434,298 | 4,147 | 438,445 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (0084699-GEM00436) | 99 RD | 1,454,569 | 226,579 | 1,681,148 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (57512) | 43 RD | 41 | (41) | - |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58180) | 43 001 | 5,870 | 2,059 | 7,929 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58182) | 43 001 | 650 | 319 | 969 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58187) | 43 001 | 5,750 | 2,818 | 8,568 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58194) | 43 001 | 3,147 | 1,542 | 4,689 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58197) | 43 001 | 4,494 | 2,202 | 6,696 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58200) | 43 001 | 314 | 154 | 468 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58207) | 43 001 | 361 | 177 | 538 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58208) | 43 001 | 2,139 | 1,048 | 3,187 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58217) | 43 001 | 1,775 | 870 | 2,645 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58218) | 43 001 | 2,072 | 1,015 | 3,087 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58220) | 43 001 | 1,833 | 898 | 2,731 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58224) | 43 001 | 11,495 | 5,633 | 17,128 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (58227) | 43 001 | 3,717 | 1,822 | 5,539 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (86257) | 43 RD | 12,817 | 3,332 | 16,149 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (C10560N) | 47 RD | 65,145 | 18,892 | 84,037 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (C10580A) | 47 049 | 20,021 | 10,411 | 30,432 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (C33001T) | 47 RD | 32 | 12 | 44 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HSTAR1064001A) | 43 RD | 20,507 | 5,332 | 25,839 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-10655.01-A) | 43 RD | 26,339 | 8,998 | 35,337 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-10688.01-A) | 43 RD | 19,928 | 10,761 | 30,689 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-10937.03-A) | 43 001 | 8,037 | 1,504 | 9,541 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-10958.01-A) | 43 001 | 5,058 | 2,580 | 7,638 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-10965.02-A) | 43 RD | 14,095 | 7,188 | 21,283 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-10986.01-A) | 43 RD | (41) | (19) | (60) |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-10986.03-A) | 99 RD | 1,199 | 648 | 1,847 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-11240.01-A) | 43 RD | 36,114 | 18,787 | 54,901 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HSTAR11246.01A) | 43 RD | 11,757 | 5,472 | 17,229 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-11248.01-A) | 43 RD | 3,467 | 1,837 | 5,304 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-11252.01-A) | 43 RD | 12,897 | 6,642 | 19,539 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-11267.01-A) | 43 001 | 30,233 | 15,419 | 45,652 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-11268.01-A) | 43 001 | 26,480 | 13,505 | 39,985 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-11278.02-A) | 43 001 | 2,502 | 1,276 | 3,778 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-11279.04-A) | 99 RD | 126 | 68 | 194 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-11286.01-A) | 43 RD | 35,832 | 19,529 | 55,361 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-11758.01-A) | 43 001 | 35,889 | 18,483 | 54,372 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-11770.01-A) | 99 RD | 10,605 | 5,727 | 16,332 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HSTGO0978601A) | 43 RD | 56,351 | 14,651 | 71,002 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10134.02-A) | 43 001 | 7,342 | 3,597 | 10,939 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10176.02-A) | 99 RD | 13,822 | 7,464 | 21,286 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HSTGO1022201A) | 43 RD | 35,220 | 9,275 | 44,495 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10241.06-A) | 43 RD | 8,430 | 4,468 | 12,898 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HSTGO1027702A) | 43 RD | 33,803 | 8,099 | 41,902 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HSTGO1041203A) | 43 RD | 1,427 | 371 | 1,798 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HSTGO1041301A) | 43 RD | 13,859 | 1,983 | 15,842 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10496.41-A) | 43 RD | 10,568 | 1,797 | 12,365 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10497.02-A) | 43 RD | 95,666 | 48,334 | 144,000 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10538.04-A) | 99 RD | 4,918 | 2,656 | 7,574 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10540.02-A) | 99 RD | 5,344 | - | 5,344 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HSTGO10574404A) | 43 RD | 45,000 | 11,700 | 56,700 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10577.06-A) | 43 RD | 251 | 73 | 324 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10598.01-A) | 43 RD | 88,493 | 46,901 | 135,394 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10787.15-A) | 99 RD | 6,518 | 3,520 | 10,038 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10793.03-A) | 43 RD | 9,586 | 4,898 | 14,484 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10798.01-A) | 43 RD | 67,958 | 31,940 | 99,898 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10816.02-A) | 43 001 | 6,960 | 3,550 | 10,510 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10816.04-A) | 99 RD | 1,024 | 553 | 1,577 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10847.09-A) | 43 RD | 2 | 1 | 3 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10849.14-A) | 99 RD | 111 | 60 | 171 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10874.04-A) | 43 RD | 2,338 | 1,192 | 3,530 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10876.11-A) | 43 RD | 3,802 | 1,787 | 5,589 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10878.05-A) | 43 001 | 903 | 443 | 1,346 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10893.02-A) | 43 RD | 8,956 | 4,747 | 13,703 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10895.01-A) | 43 RD | 3,293 | 1,745 | 5,038 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10896.01-A) | 43 RD | 20,234 | 10,724 | 30,958 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10899.01-A) | 99 RD | (1,068) | (646) | (1,714) |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10902.01-A) | 99 RD | 3,711 | 965 | 4,676 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-10924.06-A) | 99 RD | 29,619 | 15,994 | 45,613 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HSTGO11002.02A) | 43 RD | 19,363 | 5,034 | 24,397 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HSTGO11003.01A) | 43 RD | 27,895 | 14,506 | 42,401 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11072.14-A) | 99 RD | 5,174 | 2,794 | 7,968 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11099.06-A) | 43 RD | 8,311 | 1,476 | 9,787 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11102.01-A) | 43 RD | 50,337 | 26,678 | 77,015 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11115.01-A) | 99 RD | 10,149 | 5,480 | 15,629 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11120.05-A) | 99 RD | 24,276 | 9,752 | 34,028 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11144.03-A) | 43 001 | 6,314 | 598 | 6,912 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11152.03-A) | 99 RD | 1,779 | 961 | 2,740 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11155.13-A) | 99 RD | 2,036 | 1,100 | 3,136 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11157.01-A) | 99 RD | 65,177 | 35,195 | 100,372 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11158.01-A) | 99 RD | 38,489 | 19,106 | 57,595 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11159.01-A) | 99 RD | 20,499 | 11,588 | 32,087 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11175.01-A) | 43 001 | 12,101 | 6,171 | 18,272 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11182.01-A) | 99 RD | 1,891 | 1,021 | 2,912 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11182.04-A) | 99 RD | 9,989 | 5,394 | 15,383 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11183.01-A) | 43 RD | 4,548 | 2,342 | 6,890 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11197.02-A) | 43 RD | 222 | 118 | 340 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11202.01-A) | 43 RD | 18 | 10 | 28 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11206.02-A) | 43 RD | 8,456 | 4,312 | 12,768 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11208.01-A) | 43 RD | 43,283 | 22,291 | 65,574 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11208.03-A) | 99 RD | 8,487 | 4,583 | 13,070 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11341.04-A) | 43 RD | 4,842 | 2,493 | 7,335 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11551.01-A) | 43 RD | 22,362 | 11,250 | 33,612 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11977.02-A) | 43 RD | 6,575 | 3,485 | 10,060 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-HF-01185.01-A 006) | 43 001 | 13,275 | 1,298 | 14,573 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-HF-01193.01-A) | 43 RD | 78,860 | 7,922 | 86,782 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-HF-01194.01-A) | 43 001 | 89,393 | 7,676 | 97,069 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-HF-01206.01-A) | 43 RD | 82,998 | 9,655 | 92,653 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-HF-01207.02-A) | 43 RD | 63,785 | 32,849 | 96,634 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-HF-01208.01-A) | 43 001 | 75,568 | 3,997 | 79,565 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-HF-01215.01-A) | 43 RD | 72,722 | 10,967 | 83,689 |
| Associated Universities,inc.(incl National Radio Astronomy Observatory (322667) | 47 RD | 21,382 | 6,201 | 27,583 |
| Associated Universities,inc.(incl National Radio Astronomy Observatory (78820) | 47 RD | 1,335 | 4 | 1,339 |
| Associated Universities,inc.(incl National Radio Astronomy Observatory (GSSP080034) | 47 RD | 20,700 | 1,995 | 22,695 |
| Association for Prevention Teaching and Research (08003037) | 93 RD | 25,998 | 2,600 | 28,598 |
| Atk Space Systems, Inc. (aka Alliant Techsystems Inc.) (59031) | 97 002 | 28,274 | 14,561 | 42,835 |
| Atk Space Systems, Inc. (aka Alliant Techsystems Inc.) (SC-00032-07-0002) | 97 002 | 17,447 | 7,344 | 24,791 |
| Bae Systems (316063) | 12 800 | 741,766 | 270,928 | 1,012,694 |
| Bae Systems (S12007PU01) | 12 RD | 57,651 | 27,466 | 85,117 |
| Bae Systems (SUB 930082 (W911NF-07-2-0024)) | 12 431 | 16,833 | 6,279 | 23,112 |
| Bahr Management, Inc. (83795) | 93 213 | 226 | 59 | 285 |
| Bahr Management, Inc. (UCSF#A108224) | 93 RD | 39,174 | 10,008 | 49,182 |
| Bahr Management, Inc. (UCSF#A110720) | 93 RD | 47,034 | 10,863 | 57,897 |
| Balance Hydrologics, Inc. (OMA009AK/MOU) | 99 RD | 3,651 | - | 3,651 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Banyan Biomarkers (07003055) | 12 420 | 126,659 | 43,064 | 169,723 |
| Bastyr University (59068) | 99 RD | 1,813 | 471 | 2,284 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (00057988-AMENDMENT 003) | 81 RD | 60,913 | - | 60,913 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (0080440) | 81 RD | 35,261 | - | 35,261 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (188866) | 93 226 | 407,981 | 136,905 | 544,886 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (42989) | 81 RD | 144,883 | 71,375 | 216,258 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (52183) | 81 RD | 109,252 | 53,265 | 162,517 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (83050) | 93 RD | 45 | 12 | 57 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (83977) | 99 RD | 50,639 | 16,711 | 67,350 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (87489) | 81 RD | 27,509 | 11,666 | 39,175 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (SUB 00072423 (DE-AC07-05ID1 | 81 502 | 121,506 | 50,431 | 171,937 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (SUB 221190 (W911NF007-D-000 | 93 RD | 13,746 | 3,574 | 17,320 |
| Battelle Memorial Inst (incl Battelle Energy Alliance, Idaho Natl Lab) (SUB TCN 07279 (W911NF-07-D- | 12 420 | 39,556 | 10,285 | 49,841 |
| Battelle Pacific Northwest Laboratories (00072621) | 99 RD | 114,018 | 30,585 | 144,603 |
| Battelle Pacific Northwest Laboratories (34167) | 81 RD | 131,730 | 64,256 | 195,986 |
| Battelle Pacific Northwest Laboratories (57722) | 99 RD | 42,545 | 22,974 | 65,519 |
| Battelle Pacific Northwest Laboratories (63370) | 99 RD | 5,871 | 3,170 | 9,041 |
| Battelle Pacific Northwest Laboratories (71032) | 93 279 | 5,571 | 3,036 | 8,607 |
| Battelle Pacific Northwest Laboratories (71052) | 93 279 | 52,063 | 24,499 | 76,562 |
| Battelle Pacific Northwest Laboratories (71084) | 93 279 | 11,687 | 6,369 | 18,056 |
| Battelle Pacific Northwest Laboratories (78677) | 81 RD | (1,635) | (891) | (2,526) |
| Battelle Pacific Northwest Laboratories (82472) | 99 RD | 14,457 | 7,228 | 21,685 |
| Battelle Pacific Northwest Laboratories (83603) | 81 RD | 227,800 | 68,340 | 296,140 |
| Battelle Pacific Northwest Laboratories (SUB 79689 BATTELE PNL (NIAID)) | 93 RD | 146,177 | 75,576 | 221,753 |
| Bay Area Research Corporation (20060088) | 47 RD | 10,644 | 4,045 | 14,689 |
| Baylor College of Medicine (Houston,TX) (07005030) | 93 859 | 24,719 | 12,853 | 37,572 |
| Baylor College of Medicine (Houston,TX) (100297054) | 93 393 | 1,409 | (1,409) | - |
| Baylor College of Medicine (Houston,TX) (100640535) | 93 389 | (215) | (113) | (328) |
| Baylor College of Medicine (Houston,TX) (100906137) | 93 389 | 37,698 | 3,016 | 40,714 |
| Baylor College of Medicine (Houston,TX) (100906170) | 93 389 | 41,443 | 10,775 | 52,218 |
| Baylor College of Medicine (Houston,TX) (2006-35600-16569) | 10 206 | 13 | - | 13 |
| Baylor College of Medicine (Houston,TX) (4600410470) | 93 361 | 10,663 | 5,758 | 16,421 |
| Baylor College of Medicine (Houston,TX) (56000195010) | 47 074 | 23,148 | 12,500 | 35,648 |
| Baylor College of Medicine (Houston,TX) (5600271701) | 93 398 | 6,114 | 489 | 6,603 |
| Baylor College of Medicine (Houston,TX) (59081) | 93 394 | 3,466 | 1,646 | 5,112 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Baylor College of Medicine (Houston,TX) (78047) | 93 389 | 2,415 | 1,268 | 3,683 |
| Baylor College of Medicine (Houston,TX) (82052) | 93 934 | 802 | 381 | 1,183 |
| Baylor College of Medicine (Houston,TX) (82536) | 93 847 | (20) | (11) | (31) |
| Baylor College of Medicine (Houston,TX) (83659) | 93 399 | (7,273) | (3,746) | (11,019) |
| Baylor College of Medicine (Houston,TX) (NBPF01605) | 99 RD | 236,167 | 116,444 | 352,611 |
| Baylor College of Medicine (Houston,TX) (OSR #03033263) | 93 396 | (300) | (154) | (454) |
| Baylor College of Medicine (Houston,TX) (OSR #05039675) | 93 867 | 281,589 | 40,987 | 322,576 |
| Baylor College of Medicine (Houston,TX) (SA01602) | 43 RD | 28,642 | 14,074 | 42,716 |
| Baylor College of Medicine (Houston,TX) (SUB 100896203 (DK062434)) | 93 847 | 65,359 | 35,621 | 100,980 |
| Baylor College of Medicine (Houston,TX) (SUB 10639142 (DK062434)) | 93 847 | 10,481 | 5,712 | 16,193 |
| Baylor College of Medicine (Houston,TX) (TD01301) | 99 RD | 118,295 | 54,296 | 172,591 |
| Baylor University Medical Center (incl Baylor Research Institute) (032-75DG) | 11 431 | 26,272 | 6,831 | 33,103 |
| Bbn Technologies Corp. (06003142) | 12 RD | 107,577 | 49,907 | 157,484 |
| Bbn Technologies Corp. (59977) | 99 RD | 13,891 | 7,154 | 21,045 |
| Bbn Technologies Corp. (9500009011-002) | 99 RD | 141,374 | 72,101 | 213,475 |
| Bbn Technologies Corp. (SB080053) | 12 RD | 226,828 | 28,405 | 255,233 |
| Benaroya Research Institute at Virginia Mason (OSR #04036487) | 93 847 | 5,851 | 3,013 | 8,864 |
| Berger/abam Engineers Inc. (78493) | 12 300 | 8,384 | 1,022 | 9,406 |
| Berkeley Bionics, Inc. (frmly Berkeley Exoworks) (024764) | 99 RD | 3,899 | 2,067 | 5,966 |
| Beth Israel Deaconess Medical Center (00028051) | 93 853 | 31,731 | 17,135 | 48,866 |
| Beth Israel Deaconess Medical Center (05041442) | 93 393 | 4,816 | 2,601 | 7,417 |
| Beth Israel Deaconess Medical Center (79247) | 93 393 | 10 | 5 | 15 |
| Beth Israel Deaconess Medical Center (83070) | 93 396 | 2,659 | 1,450 | 4,109 |
| Beth Israel Deaconess Medical Center (AI066313) | 93 856 | 79,069 | 43,093 | 122,162 |
| Beth Israel Deaconess Medical Center (DK080665) | 93 847 | 156,307 | 85,187 | 241,494 |
| Bionix Corporation Fp 02/04 (83112) | 99 RD | (238) | (123) | (361) |
| Biophan Technologies, Inc. (59860) | 81 049 | 252 | 66 | 318 |
| Biotium, Inc. (94234) | 99 RD | 568 | 301 | 869 |
| Blackbird Technologies, Inc. (BB09-1046, TASK ORDER 091046T0) | 12 300 | 50,400 | 8,064 | 58,464 |
| Blood Systems, Inc. (inclu Res Inst & Blood Centers of The Pacific) (10256MB01) | 93 RD | 197,956 | 111,255 | 309,211 |
| Blood Systems, Inc. (inclu Res Inst & Blood Centers of The Pacific) (8067-S-002) | 99 RD | 18,546 | 4,694 | 23,240 |
| Blood Systems, Inc. (inclu Res Inst & Blood Centers of The Pacific) (OSR #05038674) | 93 839 | 3,254 | 814 | 4,068 |
| Boeing Company, The (128929) | 12 RD | 37,399 | 13,912 | 51,311 |
| Boeing Company, The (208547) | 12 RD | 39,596 | 20,788 | 60,384 |
| Boeing Company, The (79137) | 99 RD | (1,250) | (675) | (1,925) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Boeing Company, The (KT8076 (SUB #HR0011-05-C-0068)) | 12 630 | 70,254 | 38,289 | 108,543 |
| Boise State University (685G107042A) | 93 389 | 11,246 | 5,904 | 17,150 |
| Booz Allen Hamilton Inc. (31311) | 93 395 | 3,088 | 6,212 | 9,300 |
| Booz Allen Hamilton Inc. (78034) | 93 397 | (155) | (81) | (236) |
| Booz Allen Hamilton Inc. (79037) | 99 RD | 10,528 | 2,737 | 13,265 |
| Booz Allen Hamilton Inc. (83391) | 93 RD | 24,067 | 12,394 | 36,461 |
| Booz Allen Hamilton Inc. (84601) | 93 RD | 14,746 | 7,338 | 22,084 |
| Booz Allen Hamilton Inc. (87427) | 93 395 | 105,393 | 35,833 | 141,226 |
| Booz Allen Hamilton Inc. (88572CBS17-CABIG-IMG-AA-WG) | 99 RD | 49,691 | 12,920 | 62,611 |
| Booz Allen Hamilton Inc. (89075CBS25 TASK ORDER 1) | 20 600 | 40,624 | 9,579 | 50,203 |
| Booz Allen Hamilton Inc. (94360NBS23) | 99 RD | 20,874 | 5,427 | 26,301 |
| Booz Allen Hamilton Inc. (94450NBS23-01) | 93 398 | 988 | 519 | 1,507 |
| Booz Allen Hamilton Inc. (94450NBS23-02) | 93 398 | 297 | 156 | 453 |
| Bossa Nova Technologies LLC (20081024) | 99 RD | 46,464 | 21,773 | 68,237 |
| Boston College (82035) | 43 RD | 153 | 79 | 232 |
| Boston Medical Center Corporation (UCSF#A112036) | 93 395 | 17,327 | 6,778 | 24,105 |
| Boston University (31472) | 93 866 | 207,973 | 108,146 | 316,119 |
| Boston University (44-247-2411-7) | 81 RD | 96,193 | 19,810 | 116,003 |
| Boston University (59088) | 81 502 | 11,341 | 2,949 | 14,290 |
| Boston University (GC177029NGA) | 47 050 | 172,512 | 46,846 | 219,358 |
| Boston University (GC200016NGD) | 12 630 | 88,276 | 32,327 | 120,603 |
| Boston University (MC514267BAJ) | 93 866 | 54,275 | 28,223 | 82,498 |
| Boston University (OSR #03033573) | 93 846 | 4,835 | 1,257 | 6,092 |
| Boston University (SUB NONE (AI076610)) | 93 855 | 7,812 | 4,258 | 12,070 |
| Boston University (UCSF#A106408) | 93 846 | 25,970 | 6,230 | 32,200 |
| Brechtel Manufacturing, Inc. (31310) | 47 041 | 6,297 | 3,274 | 9,571 |
| Brentwood Biomedical Research Institute (VA Foundation) (02128405) | 93 848 | 5,831 | 1,516 | 7,347 |
| Brentwood Biomedical Research Institute (VA Foundation) (20060354) | 93 849 | 139,532 | 13,953 | 153,485 |
| Brentwood Biomedical Research Institute (VA Foundation) (20064207) | 93 855 | 87,827 | 47,426 | 135,253 |
| Brentwood Biomedical Research Institute (VA Foundation) (20064223) | 93 855 | 248 | 68 | 316 |
| Brentwood Biomedical Research Institute (VA Foundation) (20071559) | 93 853 | 74,652 | 19,410 | 94,062 |
| Brentwood Biomedical Research Institute (VA Foundation) (20071960) | 93 855 | 35,129 | 9,134 | 44,263 |
| Brentwood Biomedical Research Institute (VA Foundation) (20072185) | 93 279 | 8,617 | 2,240 | 10,857 |
| Brentwood Biomedical Research Institute (VA Foundation) (20073876) | 93 847 | 48,079 | 12,501 | 60,580 |
| Brentwood Biomedical Research Institute (VA Foundation) (20081987) | 93 855 | 21,631 | 5,624 | 27,255 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Brentwood Biomedical Research Institute (VA Foundation) (20083271) | 93 395 | 29,716 | 13,732 | 43,448 |
| Brentwood Biomedical Research Institute (VA Foundation) (20083449) | 93 855 | 38,573 | 10,029 | 48,602 |
| Brentwood Biomedical Research Institute (VA Foundation) (20084197) | 93 242 | 56,862 | 14,784 | 71,646 |
| Brentwood Biomedical Research Institute (VA Foundation) (20090297) | 93 273 | 42,648 | 11,088 | 53,736 |
| Brentwood Biomedical Research Institute (VA Foundation) (20090593) | 93 855 | 21,770 | 11,756 | 33,526 |
| Brentwood Biomedical Research Institute (VA Foundation) (79106) | 93 849 | (20,414) | (2,041) | (22,455) |
| Brentwood Biomedical Research Institute (VA Foundation) (79288) | 93 279 | 42,542 | 11,061 | 53,603 |
| Brentwood Biomedical Research Institute (VA Foundation) (80395) | 93 855 | (32,499) | (8,450) | (40,949) |
| Brentwood Biomedical Research Institute (VA Foundation) (B07-9160) | 93 242 | 35,412 | 19,123 | 54,535 |
| Brentwood Biomedical Research Institute (VA Foundation) (B07-9162) | 93 855 | 82,817 | 21,532 | 104,349 |
| Brentwood Biomedical Research Institute (VA Foundation) (A1075565) | 93 RD | 70,263 | 38,293 | 108,556 |
| Brewer Science (20082738) | 99 RD | 75,763 | 35,764 | 111,527 |
| Brigham and Women's Hospital (101936) | 93 242 | 13,378 | 6,956 | 20,334 |
| Brigham and Women's Hospital (151931) | 93 286 | 96,399 | 48,671 | 145,070 |
| Brigham and Women's Hospital (151941) | 93 286 | 296 | 155 | 451 |
| Brigham and Women's Hospital (152351) | 93 395 | 38,919 | 21,016 | 59,935 |
| Brigham and Women's Hospital (1P50MH082679) | 93 242 | 4,872 | 2,533 | 7,405 |
| Brigham and Women's Hospital (31092) | 93 846 | 112,285 | 58,388 | 170,673 |
| Brigham and Women's Hospital (31107) | 93 846 | 160,993 | 82,336 | 243,329 |
| Brigham and Women's Hospital (57787) | 93 395 | (22,918) | (10,783) | (33,701) |
| Brigham and Women's Hospital (59104) | 93 837 | 4,340 | 1,263 | 5,603 |
| Brigham and Women's Hospital (79366) | 93 395 | 114,221 | 61,679 | 175,900 |
| Brigham and Women's Hospital (80099) | 93 395 | (52,432) | (28,349) | (80,781) |
| Brigham and Women's Hospital (80448) | 93 286 | 13,321 | 1,924 | 15,245 |
| Brigham and Women's Hospital (OSR #04036048) | 93 855 | 186,835 | 95,548 | 282,383 |
| Brigham and Women's Hospital (EB005149) | 93 286 | 144,518 | 78,762 | 223,280 |
| Brigham and Women's Hospital (UCSF#A106686) | 93 RD | 36,159 | 18,867 | 55,026 |
| Brigham Young University (05-0144) | 10 206 | 15,353 | 3,839 | 19,192 |
| Brigham Young University (07-0219) | 47 070 | 19,039 | 9,996 | 29,035 |
| Broadata Communications, Inc. (BCI) (79039) | 99 RD | (8,904) | (4,805) | (13,709) |
| Brookhaven Science Associates, LLC (Brookhaven National Laboratory) (108343) | 93 RD | 627 | 163 | 790 |
| Brookhaven Science Associates, LLC (Brookhaven National Laboratory) (114484) | 81 RD | 88,282 | 37,154 | 125,436 |
| Brookhaven Science Associates, LLC (Brookhaven National Laboratory) (141033) | 81 RD | 27,300 | - | 27,300 |
| Brookhaven Science Associates, LLC (Brookhaven National Laboratory) (39554) | 81 RD | 154,522 | 27,350 | 181,872 |
| Brookhaven Science Associates, LLC (Brookhaven National Laboratory) (63913) | 81 RD | 409,342 | 40,531 | 449,873 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Brookhaven Science Associates, LLC (Brookhaven National Laboratory) (92294-008) | 81 049 | 178,916 | 46,518 | 225,434 |
| Brown University (00000051) | 12 431 | 207,496 | 93,824 | 301,320 |
| Brown University (79730) | 47 RD | (10) | (5) | (15) |
| Brown University (TMH 710-9211) | 93 938 | 95,730 | 44,307 | 140,037 |
| Buck Institute for Age Research (82767) | 93 856 | (439) | (226) | (665) |
| Buck Institute for Age Research (OSR #01029099) | 93 866 | 66,492 | 34,243 | 100,735 |
| Buck Institute for Age Research (SUB 2031(AG029631)) | 93 866 | 61,403 | 33,465 | 94,868 |
| Burnham Institute, The (5 U01 HL080718-04) | 93 837 | 15,000 | - | 15,000 |
| Burnham Institute, The (59039) | 93 837 | 134,526 | 53,083 | 187,609 |
| Burnham Institute, The (59040) | 93 837 | 187,513 | 84,750 | 272,263 |
| Burnham Institute, The (59041) | 93 837 | 123,349 | 54,595 | 177,944 |
| Burnham Institute, The (59043) | 93 837 | 136,743 | 54,123 | 190,866 |
| Burnham Institute, The (AI070494) | 93 856 | 60,407 | 32,922 | 93,329 |
| Burnham Institute, The (NS057096) | 93 853 | 148,883 | 81,141 | 230,024 |
| Burnham Institute, The (CA113318) | 93 395 | 114,197 | 62,238 | 176,435 |
| Burnham Institute, The (CA135531) | 93 862 | 36,934 | 20,129 | 57,063 |
| Burnham Institute, The (ES016738) | 93 113 | 34,240 | 18,661 | 52,901 |
| Burnham Institute, The (GM075059) | 93 862 | 27 | 15 | 42 |
| Burnham Institute, The (GM076221) | 93 862 | 125,630 | 68,469 | 194,099 |
| Burnham Institute, The (RR020843) | 93 371 | 31,428 | 15,083 | 46,511 |
| Burnham Institute, The (DA023926) | 93 279 | 104,246 | 56,814 | 161,060 |
| Burnham Institute, The (DK080263) | 93 849 | 141,511 | 77,123 | 218,634 |
| Burnham Institute, The (U01 HL080718) | 93 837 | 155,279 | 63,405 | 218,684 |
| Butler University (945-5-061) | 93 RD | 15,846 | 1,229 | 17,075 |
| Ca Pcfc Med Res 3087-01-00 12/01 (82074) | 93 395 | 2,218 | 577 | 2,795 |
| Caci Intl Inc. (incl Caci Dynamic Systems, Inc., Caci-iss, Inc.) (SA-05-0045-D02) | 39 RD | 507,454 | 139,895 | 647,349 |
| Caci Intl Inc. (incl Caci Dynamic Systems, Inc., Caci-iss, Inc.) (SUB SA-05-0045-D04 (GS09K99B11)) | 39 RD | 32,284 | 17,595 | 49,879 |
| Cal Bth Managed Health Care, Department of (0546179) | 93 006 | 101,569 | 15,235 | 116,804 |
| Cal Bth Office of Traffic Safety (AL0801) | 20 600 | 143,711 | (16,117) | 127,594 |
| Cal Bth Office of Traffic Safety (AL0818) | 20 600 | 235,713 | 29,420 | 265,133 |
| Cal Bth Office of Traffic Safety (AL0920) | 20 600 | 1,535,575 | 22,990 | 1,558,565 |
| Cal Bth Office of Traffic Safety (AL0972) | 99 RD | 591,382 | 51,624 | 643,006 |
| Cal Bth Office of Traffic Safety (OP0903) | 99 RD | 789,091 | 19,162 | 808,253 |
| Cal Bth Office of Traffic Safety (PS0803) | 20 600 | 151,925 | 21,193 | 173,118 |
| Cal Bth Office of Traffic Safety (PS0904) | 99 RD | 103,508 | 10,009 | 113,517 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal Bth Office of Traffic Safety (TR0903) | 99 RD | 98,991 | 12,604 | 111,595 |
| Cal Bth Trans, Commission (Cal Transportation Commission) (18422) | 20 RD | (24,120) | (2,412) | (26,532) |
| Cal Bth Trans, Commission (Cal Transportation Commission) (59A0391) | 20 RD | 93,919 | 14,088 | 108,007 |
| Cal Bth Trans, Commission (Cal Transportation Commission) (65A0228) | 20 RD | 326,106 | 20,756 | 346,862 |
| Cal Bth Trans, New Technology and Research, Division of (0715) | 20 RD | 34,482 | (60) | 34,422 |
| Cal Bth Trans, New Technology and Research, Division of (43A0256) | 20 RD | 7,943 | 1,390 | 9,333 |
| Cal Bth Trans, New Technology and Research, Division of (59A0538) | 20 RD | 74,080 | 11,102 | 85,182 |
| Cal Bth Trans, New Technology and Research, Division of (65A0210TO0716) | 20 RD | 689,989 | 42,865 | 732,854 |
| Cal Bth Trans, New Technology and Research, Division of (65A0220) | 20 RD | 59,780 | 8,967 | 68,747 |
| Cal Bth Trans, New Technology and Research, Division of (65A0256) | 20 RD | 64,290 | 7,394 | 71,684 |
| Cal Bth Trans, New Technology and Research, Division of (65A0262) | 20 RD | 20,023 | 3,504 | 23,527 |
| Cal Bth Trans, New Technology and Research, Division of (65A0264) | 20 RD | 64,706 | 10,057 | 74,763 |
| Cal Bth Trans, New Technology and Research, Division of (65A0266) | 20 RD | 11,385 | 2,373 | 13,758 |
| Cal Bth Trans, New Technology and Research, Division of (74A0344) | 20 RD | 45,655 | 7,990 | 53,645 |
| Cal Bth Trans, New Technology and Research, Division of (83333) | 20 RD | 107,674 | 18,842 | 126,516 |
| Cal Bth Trans, New Technology and Research, Division of (87634) | 20 RD | 3,968 | 462 | 4,430 |
| Cal Bth Trans, Operations, Division of (65A0267) | 20 515 | 35,384 | 5,235 | 40,619 |
| Cal Bth Trans,/miscellaneous (18252) | 20 RD | 98,227 | 9,122 | 107,349 |
| Cal Bth Trans,/miscellaneous (18262) | 20 RD | 46 | (46) | - |
| Cal Bth Trans,/miscellaneous (18427) | 20 RD | 30,603 | (2,553) | 28,050 |
| Cal Bth Trans,/miscellaneous (59A0485) | 20 RD | 4,954 | 1,393 | 6,347 |
| Cal Bth Trans,/miscellaneous (59A0567) | 20 RD | 103,760 | 13,029 | 116,789 |
| Cal Bth Trans,/miscellaneous (65A0182) | 20 RD | 2,079 | 312 | 2,391 |
| Cal Bth Trans,/miscellaneous (65A0236) | 20 RD | 105,457 | 10,972 | 116,429 |
| Cal Bth Trans,/miscellaneous (65A0245) | 20 RD | 376,244 | - | 376,244 |
| Cal Bth Trans,/miscellaneous (65A0247) | 20 RD | 71,688 | 10,753 | 82,441 |
| Cal Bth Trans,/miscellaneous (83069) | 20 RD | 42,083 | 6,312 | 48,395 |
| Cal Bth Trans,/miscellaneous (SA5719) | 20 RD | 20,129 | 2,013 | 22,142 |
| Cal Bth Trans,/miscellaneous (SA5891) | 20 RD | 1,988 | 199 | 2,187 |
| Cal DE Curriculum & Instruction Branch (18579) | 84 367 | 2,942 | - | 2,942 |
| Cal DE Curriculum & Instruction Branch (18581) | 84 367 | 20,000 | - | 20,000 |
| Cal DE Curriculum & Instruction Branch (18964) | 84 367 | 515 | 41 | 556 |
| Cal DE Curriculum & Instruction Branch (NCLB4-CMP-UCSB) | 84 367 | 133 | 13 | 146 |
| Cal DE Curriculum & Instruction Branch (NCLB4-CWP-UCI) | 84 367 | 31 | 3 | 34 |
| Cal DE Curriculum & Instruction Branch (NCLB4-CWP-UCSB) | 84 367 | 20,959 | 1,687 | 22,646 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal DE Curriculum & Instruction Branch (NCLB5-CMP-UCSB) | 84 367 | 23,657 | 1,893 | 25,550 |
| Cal DE Curriculum & Instruction Branch (NCLB5-CSP-UCSB) | 84 367 | 40,487 | 3,239 | 43,726 |
| Cal DE Curriculum & Instruction Branch (NCLB5-CWP-UCSB) | 84 367 | 76,693 | 6,135 | 82,828 |
| Cal DE Curriculum & Instruction Branch (NCLBS-CWP-UCSB) | 84 367 | 353 | 28 | 381 |
| Cal DE Curriculum & Instruction Branch (NCLBX-CMP-UCSB) | 84 367 | 15,671 | - | 15,671 |
| Cal DE Curriculum & Instruction Branch (NCLBX-CMP-UCSC) | 84 367 | 18,614 | - | 18,614 |
| Cal DE/miscellaneous Divisions or Bureaus (18971) | 84 367 | 5,315 | 428 | 5,743 |
| Cal DE/miscellaneous Divisions or Bureaus (83043) | 10 RD | (7,427) | (743) | (8,170) |
| Cal DE/miscellaneous Divisions or Bureaus (83136) | 10 560 | 6,272 | 627 | 6,899 |
| Cal DE/miscellaneous Divisions or Bureaus (83353) | 10 RD | 37,737 | 3,774 | 41,511 |
| Cal DE/miscellaneous Divisions or Bureaus (88067) | 93 940 | 14,798 | 1,922 | 16,720 |
| Cal DE/miscellaneous Divisions or Bureaus (CN077532) | 10 560 | 14,012 | 1,401 | 15,413 |
| Cal DE/miscellaneous Divisions or Bureaus (CN077723) | 10 RD | 111,370 | 11,137 | 122,507 |
| Cal DE/miscellaneous Divisions or Bureaus (CN088027) | 10 560 | 26,030 | 2,603 | 28,633 |
| Cal DE/miscellaneous Divisions or Bureaus (CN088353) | 84 RD | 59,922 | 5,992 | 65,914 |
| Cal DE/miscellaneous Divisions or Bureaus (CN088386) | 10 560 | 15,472 | 1,547 | 17,019 |
| Cal DE/miscellaneous Divisions or Bureaus (CN088388) | 10 574 | 25,791 | 2,559 | 28,350 |
| Cal DE/miscellaneous Divisions or Bureaus (ITQ-03-360) | 84 367 | 99,061 | 7,925 | 106,986 |
| Cal DFA Food and Agriculture, Dept. of (070313) | 10 RD | 26,845 | 2,685 | 29,530 |
| Cal DFA Food and Agriculture, Dept. of (070328) | 10 RD | 93 | (93) | - |
| Cal DFA Food and Agriculture, Dept. of (070934) | 10 RD | 53,740 | 5,329 | 59,069 |
| Cal DFA Food and Agriculture, Dept. of (080206) | 10 RD | 41,870 | 4,187 | 46,057 |
| Cal DFA Food and Agriculture, Dept. of (SCB08006) | 10 169 | 2,287 | 114 | 2,401 |
| Cal DFA Food and Agriculture, Dept. of (SCB08007) | 10 RD | 7,395 | 370 | 7,765 |
| Cal DFA Food and Agriculture, Dept. of (SCB08511) | 10 RD | 40,003 | 2,000 | 42,003 |
| Cal DFA Food and Agriculture, Dept. of (SCI07007) | 10 RD | 37,094 | 6,754 | 43,848 |
| Cal DFA Food and Agriculture, Dept. of (SCI07008) | 10 RD | 16,603 | 1,395 | 17,998 |
| Cal DFA Food and Agriculture, Dept. of (SCI07009) | 10 RD | 24,154 | 2,415 | 26,569 |
| Cal DFA Food and Agriculture, Dept. of (SCI07010) | 10 RD | 25,823 | 2,582 | 28,405 |
| Cal DFA Food and Agriculture, Dept. of (SCI07011) | 10 RD | 25,820 | 2,582 | 28,402 |
| Cal DFA Food and Agriculture, Dept. of (SCI07012) | 10 RD | 52,766 | 5,476 | 58,242 |
| Cal EPA Air Resources Board (06745) | 66 RD | 21,891 | 2,189 | 24,080 |
| Cal EPA Air Resources Board (07726) | 66 RD | 23,781 | 1,786 | 25,567 |
| Cal EPA Air Resources Board (18788) | 99 RD | (98,700) | (8,164) | (106,864) |
| Cal EPA Water Control Board (08059250) | 66 RD | 33,970 | 3,771 | 37,741 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal EPA Water Control Board (77600) | 66 RD | 100,139 | 15,021 | 115,160 |
| Cal Governor's Emergency Services, Office of (18288) | 16 575 | (3,118) | - | (3,118) |
| Cal Governor's Emergency Services, Office of (2005-0011-OES:000-92271-PJ84) | 97 017 | 32,594 | - | 32,594 |
| Cal Governor's Emergency Services, Office of (83345) | 93 643 | 46,707 | 3,485 | 50,192 |
| Cal Governor's Emergency Services, Office of (83452) | 97 RD | 37,504 | - | 37,504 |
| Cal Governor's Emergency Services, Office of (AT06031141) | 16 575 | 18,300 | - | 18,300 |
| Cal Governor's Emergency Services, Office of (AT07041141) | 16 575 | 75,097 | - | 75,097 |
| Cal Governor's Emergency Services, Office of (EM07111141) | 16 588 | 376,007 | - | 376,007 |
| Cal Governor's Emergency Services, Office of (HMGP 1628-05-19) | 83 RD | 25,989 | - | 25,989 |
| Cal Governor's Emergency Services, Office of (SS07021141) | 16 588 | 66,160 | 3,308 | 69,468 |
| Cal Governor's Emergency Services, Office of (UC06021141) | 16 588 | 27 | (27) | - |
| Cal Governor's Emergency Services, Office of (UD06011141) | 93 643 | 930 | (1,367) | (437) |
| Cal Governor's Emergency Services, Office of (UD08031141) | 93 643 | 36,482 | 2,847 | 39,329 |
| Cal H&W Aging, Department of (83056) | 93 778 | 23,406 | 40 | 23,446 |
| Cal H&W Aging, Department of (83336) | 93 RD | 1,271,077 | 23,842 | 1,294,919 |
| Cal H&W Alcohol & Drug Programs (06-00216) | 99 RD | 25,716 | 5,178 | 30,894 |
| Cal H&W Alcohol & Drug Programs (18562) | 93 275 | (2,772) | (693) | (3,465) |
| Cal H&W Alcohol & Drug Programs (18979) | 99 RD | (239) | (24) | (263) |
| Cal H&W Health Care Services, Department of (DHCS) (03-76197) | 93 RD | (302) | 36 | (266) |
| Cal H&W Health Care Services, Department of (DHCS) (04-35203) | 93 RD | 3,857,320 | 303,473 | 4,160,793 |
| Cal H&W Health Care Services, Department of (DHCS) (04-35405) | 93 RD | 451 | 36 | 487 |
| Cal H&W Health Care Services, Department of (DHCS) (05-45334) | 93 778 | 52,139 | 4,244 | 56,383 |
| Cal H&W Health Care Services, Department of (DHCS) (05-45718) | 99 RD | 100,062 | 13,124 | 113,186 |
| Cal H&W Health Care Services, Department of (DHCS) (06-55042) | 93 RD | 234,274 | 23,427 | 257,701 |
| Cal H&W Health Care Services, Department of (DHCS) (0655254) | 93 RD | 372,384 | 84,699 | 457,083 |
| Cal H&W Health Care Services, Department of (DHCS) (06-55274) | 93 RD | 82,791 | 18,164 | 100,955 |
| Cal H&W Health Care Services, Department of (DHCS) (06-55563) | 93 RD | 132,531 | 23,344 | 155,875 |
| Cal H&W Health Care Services, Department of (DHCS) (07-65145) | 93 991 | 41,278 | 3,302 | 44,580 |
| Cal H&W Health Care Services, Department of (DHCS) (18292) | 93 RD | 6,276 | 628 | 6,904 |
| Cal H&W Health Care Services, Department of (DHCS) (18352) | 93 994 | 643 | - | 643 |
| Cal H&W Health Care Services, Department of (DHCS) (18357) | 93 116 | (16,427) | (2,156) | (18,583) |
| Cal H&W Health Care Services, Department of (DHCS) (18379) | 99 RD | (1,939) | (504) | (2,443) |
| Cal H&W Health Care Services, Department of (DHCS) (18692) | 99 RD | 878 | 70 | 948 |
| Cal H&W Health Care Services, Department of (DHCS) (18757) | 99 RD | 5,564 | 445 | 6,009 |
| Cal H&W Health Care Services, Department of (DHCS) (18760) | 99 RD | (2,746) | (220) | (2,966) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal H&W Health Care Services, Department of (DHCS) (18771) | 93 991 | 707 | 57 | 764 |
| Cal H&W Health Care Services, Department of (DHCS) (18789) | 93 991 | 1,790 | 143 | 1,933 |
| Cal H&W Health Care Services, Department of (DHCS) (18797) | 93 988 | (3,837) | (307) | (4,144) |
| Cal H&W Health Care Services, Department of (DHCS) (18798) | 99 RD | 255 | 20 | 275 |
| Cal H&W Health Care Services, Department of (DHCS) (18816) | 93 919 | (4,704) | (376) | (5,080) |
| Cal H&W Health Care Services, Department of (DHCS) (18857) | 99 RD | 343 | 27 | 370 |
| Cal H&W Health Care Services, Department of (DHCS) (18972) | 99 RD | 356 | 93 | 449 |
| Cal H&W Health Care Services, Department of (DHCS) (20050748) | 10 RD | 258,057 | 37,434 | 295,491 |
| Cal H&W Health Care Services, Department of (DHCS) (83441) | 93 940 | (965) | (49) | (1,014) |
| Cal H&W Health Care Services, Department of (DHCS) (88018) | 99 RD | 7,885 | 2,050 | 9,935 |
| Cal H&W Health Care Services, Department of (DHCS) (88045) | 93 RD | (2,369) | - | (2,369) |
| Cal H&W Health Care Services, Department of (DHCS) (88167) | 93 940 | 1,251 | - | 1,251 |
| Cal H&W Health Care Services, Department of (DHCS) (NCLBS-CMP-UCLA) | 99 RD | 92,727 | 15,272 | 107,999 |
| Cal H&W Mental Health, Department of (0777410000) | 93 RD | 93,834 | 14,075 | 107,909 |
| Cal H&W Public Health, Department of (CDPH) (0765573) | 66 468 | 85,623 | 19,006 | 104,629 |
| Cal H&W Public Health, Department of (CDPH) (08-85379) | 99 RD | 154,665 | 18,137 | 172,802 |
| Cal H&W Public Health, Department of (CDPH) (0885624) | 93 RD | 60,426 | - | 60,426 |
| Cal H&W Public Health, Department of (CDPH) (SUB 08-85181 (NONE)) | 10 RD | 570,161 | 135,737 | 705,898 |
| Cal H&W Social Services, Department of (83303) | 93 RD | 360,043 | - | 360,043 |
| Cal H&W Social Services, Department of (SA 06-002378-03) | 93 571 | 77,002 | 14,630 | 91,632 |
| Cal H&W Social Services, Department of (SA 06-002378-03A) | 93 571 | 28,926 | 5,496 | 34,422 |
| Cal H&W Statewide Health Planning & Development, Office of (18562) | 93 RD | 77,116 | 16,754 | 93,870 |
| Cal H&W/miscellaneous Agencies (OSR #04035476) | 93 RD | 37,710 | 8,809 | 46,519 |
| Cal Postsecondary Education Commission (18269) | 84 367 | (199) | (16) | (215) |
| Cal Postsecondary Education Commission (18817) | 84 281 | (225) | (18) | (243) |
| Cal Postsecondary Education Commission (ITQ-02-339) | 84 367 | 18,505 | - | 18,505 |
| Cal Postsecondary Education Commission (ITQ-07-400) | 84 367 | 370,137 | 29,611 | 399,748 |
| Cal Postsecondary Education Commission (ITQ07413) | 84 367 | 68,875 | 5,510 | 74,385 |
| Cal Postsecondary Education Commission (UCSD-40518) | 84 367 | 27,468 | 2,197 | 29,665 |
| Cal Ra California Tahoe Conservancy (83450) | 12 RD | 53,306 | 13,327 | 66,633 |
| Cal Ra Fish and Game, Department of (07001405) | 15 RD | 56,385 | - | 56,385 |
| Cal Ra Fish and Game, Department of (18253) | 15 RD | 699 | 70 | 769 |
| Cal Ra Fish and Game, Department of (18258) | 11 438 | 65,965 | - | 65,965 |
| Cal Ra Fish and Game, Department of (P0585105) | 15 RD | 53,270 | 10,997 | 64,267 |
| Cal Ra Fish and Game, Department of (P0780027) | 10 RD | 41,484 | 10,371 | 51,855 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal Ra Fish and Game, Department of (P0780028) | 15 634 | 24,378 | 6,095 | 30,473 |
| Cal Ra Fish and Game, Department of (P0781013) | 10 RD | 39,044 | 2,931 | 41,975 |
| Cal Ra Fish and Game, Department of (P0882010) | 15 600 | 18,175 | 4,726 | 22,901 |
| Cal Ra Fish and Game, Department of (P0882011) | 15 RD | 1,066 | 107 | 1,173 |
| Cal Ra Forestry, Department of (8CA07010) | 10 RD | 38,187 | 14,198 | 52,385 |
| Cal Ra State Match for Sea Grant Program (OCA6A479 PROJECT R/MA-45) | 11 417 | 2,971 | - | 2,971 |
| Cal Ra/miscellaneous Agencies (88096) | 99 RD | 281 | 145 | 426 |
| Cal Scs Consumer Affairs, Department of (88115) | 99 RD | (2,910) | (291) | (3,201) |
| Cal State Universities Campuses (80385) | 12 420 | 4,632 | 2,432 | 7,064 |
| Cal State Universities Campuses (OSR #03033894) | 93 307 | 1,033 | 532 | 1,565 |
| Calabazas Creek Research, Inc. (78370) | 43 RD | 5,992 | 3,116 | 9,108 |
| Calif Assn for Research in Astronomy (dba W.M. Keck Observatory)(CARA) (24564) | 99 RD | 416,746 | - | 416,746 |
| Calif Assn for Research in Astronomy (dba W.M. Keck Observatory)(CARA) (24733-AMD. NO.1) | 47 049 | 56,154 | - | 56,154 |
| Calif Assn for Research in Astronomy (dba W.M. Keck Observatory)(CARA) (26870) | 47 049 | 299 | 159 | 458 |
| Calif Assn for Research in Astronomy (dba W.M. Keck Observatory)(CARA) (28388 MOD 1) | 47 049 | 318,694 | - | 318,694 |
| Calif Assn for Research in Astronomy (dba W.M. Keck Observatory)(CARA) (59614) | 47 049 | 654 | - | 654 |
| Calif Assn for Research in Astronomy (dba W.M. Keck Observatory)(CARA) (59794) | 47 049 | 15,891 | - | 15,891 |
| Calif Hydro Systems, Inc. (R6992-G1) | 43 RD | 51,285 | 27,958 | 79,243 |
| Calif Institute of Technology (incl Celt Development Corporation) (1287920) | 43 001 | 184 | (242) | (58) |
| Calif Institute of Technology (incl Celt Development Corporation) (1350129) | 43 RD | 24,282 | 6,346 | 30,628 |
| Calif Institute of Technology (incl Celt Development Corporation) (18B-1066081) | 93 865 | 117,497 | 61,098 | 178,595 |
| Calif Institute of Technology (incl Celt Development Corporation) (19GM-1082907) | 99 RD | 111,043 | - | 111,043 |
| Calif Institute of Technology (incl Celt Development Corporation) (2-1083120) | 43 RD | 110,703 | 28,215 | 138,918 |
| Calif Institute of Technology (incl Celt Development Corporation) (2-1085694) | 43 RD | 64,271 | 6,167 | 70,438 |
| Calif Institute of Technology (incl Celt Development Corporation) (21D1070568) | 93 286 | 188,013 | 81,800 | 269,813 |
| Calif Institute of Technology (incl Celt Development Corporation) (28D-1083986) | 12 431 | 105,451 | 44,244 | 149,695 |
| Calif Institute of Technology (incl Celt Development Corporation) (28H-1087365) | 12 300 | 2,787 | 64 | 2,851 |
| Calif Institute of Technology (incl Celt Development Corporation) (43C-1081892) | 47 074 | 353,077 | 121,446 | 474,523 |
| Calif Institute of Technology (incl Celt Development Corporation) (44A-1082150) | 43 RD | 28,576 | 10,859 | 39,435 |
| Calif Institute of Technology (incl Celt Development Corporation) (44A-1084747) | 43 RD | 46,185 | 2,812 | 48,997 |
| Calif Institute of Technology (incl Celt Development Corporation) (44A-1085101) | 43 002 | 1,206,009 | 345,078 | 1,551,087 |
| Calif Institute of Technology (incl Celt Development Corporation) (44E-1055754) | 47 078 | 21,353 | 9,843 | 31,196 |
| Calif Institute of Technology (incl Celt Development Corporation) (44G-1071268) | 43 001 | 99,228 | 50,226 | 149,454 |
| Calif Institute of Technology (incl Celt Development Corporation) (65P-1078442) | 43 RD | 2,468 | 1,296 | 3,764 |
| Calif Institute of Technology (incl Celt Development Corporation) (67F-1080868) | 12 300 | 110,321 | 53,188 | 163,509 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Calif Institute of Technology (incl Celt Development Corporation) (67F-1080869) | 12 300 | 133,945 | 53,879 | 187,824 |
| Calif Institute of Technology (incl Celt Development Corporation) (67F-1080936) | 12 300 | 13,662 | 7,173 | 20,835 |
| Calif Institute of Technology (incl Celt Development Corporation) (67J-1084910) | 12 910 | 68,051 | 31,949 | 100,000 |
| Calif Institute of Technology (incl Celt Development Corporation) (67L-1070184) | 12 910 | 24,923 | 9,402 | 34,325 |
| Calif Institute of Technology (incl Celt Development Corporation) (67L-1083655) | 12 910 | 5,917 | 3,225 | 9,142 |
| Calif Institute of Technology (incl Celt Development Corporation) (67L-1083656) | 12 910 | 34,477 | 15,523 | 50,000 |
| Calif Institute of Technology (incl Celt Development Corporation) (67L-1083781) | 12 431 | 31,362 | 16,528 | 47,890 |
| Calif Institute of Technology (incl Celt Development Corporation) (67N-1069929) | 12 630 | 163,482 | 63,757 | 227,239 |
| Calif Institute of Technology (incl Celt Development Corporation) (68A-1058630) | 12 300 | 81,399 | 42,734 | 124,133 |
| Calif Institute of Technology (incl Celt Development Corporation) (68A-1084096) | 47 041 | 100,080 | 47,318 | 147,398 |
| Calif Institute of Technology (incl Celt Development Corporation) (68D-1079964) | 93 399 | 462,287 | 247,302 | 709,589 |
| Calif Institute of Technology (incl Celt Development Corporation) (68D1086057) | 47 049 | 62,800 | 29,325 | 92,125 |
| Calif Institute of Technology (incl Celt Development Corporation) (79101) | 93 339 | 97,963 | 52,905 | 150,868 |
| Calif Institute of Technology (incl Celt Development Corporation) (82-1083298) | 93 286 | 165,794 | 72,104 | 237,898 |
| Calif Institute of Technology (incl Celt Development Corporation) (GM034236) | 93 862 | 117,002 | 63,766 | 180,768 |
| Calif Institute of Technology (incl Celt Development Corporation) (OSR #05039446) | 93 RD | 191,634 | 98,691 | 290,325 |
| Calif Institute of Technology (incl Celt Development Corporation) (SUB 21B-1085537 (NS048499)) | 93 RD | 125,204 | 68,236 | 193,440 |
| Calif Institute of Technology (incl Celt Development Corporation) (SUB 44A-1086790 (NONE)) | 43 RD | 79,822 | 39,099 | 118,921 |
| Calif Institute of Technology (incl Celt Development Corporation) (SUB 45A-1086717 (N00014-09-1-0)) | 12 300 | 127 | 69 | 196 |
| Calif Institute of Technology (incl Celt Development Corporation) (SUB 67F-1080844 (HR0011-04-1-0)) | 12 910 | 14,418 | 4,046 | 18,464 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (2803025-0100) | 93 395 | 5,274 | 2,874 | 8,148 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (2803180-0120) | 93 279 | 54,914 | 28,281 | 83,195 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (2803190-01) | 93 RD | 12,309 | 6,339 | 18,648 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (2803210-S046) | 93 866 | 190,652 | 45,540 | 236,192 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (2803211-S047) | 93 866 | 155,577 | 37,155 | 192,732 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (2803212-S040) | 93 866 | 37,458 | 9,739 | 47,197 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (2803213-S045) | 93 846 | 17,853 | 4,326 | 22,179 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (59741) | 93 397 | (2,771) | (1,316) | (4,087) |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (59742) | 93 397 | (906) | (430) | (1,336) |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (78270) | 99 RD | 242 | 63 | 305 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (83062) | 99 RD | 7,383 | 1,920 | 9,303 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (83430) | 93 866 | (1,185) | (610) | (1,795) |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (HHSN268200764312C) | 93 RD | (7,747) | (2,736) | (10,483) |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (OSR #03033014) | 93 866 | 473,728 | 242,055 | 715,783 |
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (OSR #03033221) | 93 866 | 133,938 | 68,978 | 202,916 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Calif Pacific Med Ctr Research Inst (formerly Med Res Inst of SF) (OSR #05039981) | 93 233 | 23,297 | 5,544 | 28,841 |
| Calif Space Grant Foundation (CSGF) (59877) | 99 RD | (59) | (771) | (830) |
| Calif State Univ, Cal Poly Corporation (Cal Poly Fdn, San Luis Obispo) (0600251770) | 47 041 | 543 | 282 | 825 |
| Calif State Univ, Cal Poly Corporation (Cal Poly Fdn, San Luis Obispo) (0801945068) | 93 846 | 8,151 | 4,238 | 12,389 |
| Calif State Univ, Cal Poly Corporation (Cal Poly Fdn, San Luis Obispo) (09-007-48124) | 66 716 | 1,786 | 893 | 2,679 |
| Calif State Univ, Fullerton Foundation (S4130-UCR) | 93 399 | 5,851 | 1,521 | 7,372 |
| Calif State Univ, Monterey Bay Foundation (58261) | 11 473 | (124) | (67) | (191) |
| Calif Sustainable Winegrowing Alliance (86974) | 10 RD | 319 | 32 | 351 |
| Cambridge Research & Instrumentation, Inc. (CRI) (017117) | 93 RD | 51,460 | 26,759 | 78,219 |
| Cambridge Systematics, Inc. (7661.120) | 99 RD | 85,288 | 21,434 | 106,722 |
| Cancer and Leukemia Group B Foundation (508/CALGB-CA37447) | 99 RD | 74,148 | 21,985 | 96,133 |
| Cancer and Leukemia Group B Foundation (59130) | 93 395 | (1,372) | (395) | (1,767) |
| Cancer and Leukemia Group B Foundation (59131) | 93 399 | (2,415) | (1,210) | (3,625) |
| Carbon Solutions, Inc. (20084440) | 99 RD | 25,038 | 13,521 | 38,559 |
| Caritas Christi Health Care(incl Caritas St.Elizabeth's Medctr-Boston) (021568) | 43 RD | 124,427 | 64,702 | 189,129 |
| Carnegie Institution (4-3253-07) | 81 112 | 39,040 | 17,725 | 56,765 |
| Carnegie Institution (4-3253-12) | 81 RD | 16,522 | 6,050 | 22,572 |
| Carnegie Institution (4-3327-33) | 84 RD | 29,000 | 12,425 | 41,425 |
| Carnegie Institution (6-10031-01) | 81 RD | 42,175 | 22,985 | 65,160 |
| Carnegie Institution (6-1093-01) | 93 859 | 55,118 | 30,040 | 85,158 |
| Carnegie Institution (6-2078-01) | 47 RD | 40,153 | 21,883 | 62,036 |
| Carnegie Institution (6-2636-03) | 47 074 | 8,569 | 4,456 | 13,025 |
| Carnegie Institution (DTM 3250-09) | 43 RD | 8,060 | 3,788 | 11,848 |
| Carnegie Institution (DTM-3159-04-AMENDMENT 10) | 43 RD | 8,367 | 4,100 | 12,467 |
| Carnegie Institution (SUB 6-2066-02 (MCB-0618402)) | 47 074 | 145,995 | 60,857 | 206,852 |
| Carnegie Mellon University (1040271-184019) | 12 910 | 69,711 | 19,483 | 89,194 |
| Carnegie Mellon University (1040656-177937) | 93 RD | 65,027 | 15,559 | 80,586 |
| Carnegie Mellon University (1090231-224421) | 93 866 | 937 | 492 | 1,429 |
| Carnegie Mellon University (1090231-226748) | 93 866 | 1,098 | 577 | 1,675 |
| Carnegie Mellon University (1120607-158712) | 47 RD | 27,465 | 6,559 | 34,024 |
| Carnegie Mellon University (1120617-156827) | 47 RD | 23,393 | 9,509 | 32,902 |
| Carnegie Mellon University (1120627-191056) | 47 075 | 27,219 | 11,101 | 38,320 |
| Carnegie Mellon University (1120627-208390) | 47 076 | 20,339 | 10,780 | 31,119 |
| Carnegie Mellon University (1120855-186144) | 47 041 | 10,167 | 4,779 | 14,946 |
| Carnegie Mellon University (1120953-203969) | 47 080 | 40,781 | 18,630 | 59,411 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Carnegie Mellon University (1120953207367) | 47 RD | 31,256 | 9,591 | 40,847 |
| Carnegie Mellon University (SUB 1120953-203966(OCI-749227)) | 47 080 | 56,928 | 31,026 | 87,954 |
| Case Western Reserve University (08002165) | 47 049 | 125,618 | (535) | 125,083 |
| Case Western Reserve University (DE-FC28-04RW12252) | 81 RD | 50,905 | 26,451 | 77,356 |
| Case Western Reserve University (OSR #02032345) | 93 856 | 42,573 | 10,779 | 53,352 |
| Case Western Reserve University (OSR #04036222) | 93 393 | (8,786) | (4,525) | (13,311) |
| Case Western Reserve University (RES501360) | 93 867 | 82 | 41 | 123 |
| Case Western Reserve University (RES501730) | 47 041 | (2) | (17) | (19) |
| Case Western Reserve University (RES502666) | 47 079 | 150,894 | 7,168 | 158,062 |
| Case Western Reserve University (RES502672) | 47 049 | 528,917 | 292,141 | 821,058 |
| Case Western Reserve University (RES502960) | 93 837 | 144,541 | 78,775 | 223,316 |
| Case Western Reserve University (RES503449) | 93 855 | 21,163 | 11,534 | 32,697 |
| Case Western Reserve University (SUB CWRU-N01-DK-6-2203 (DK3782)) | 99 RD | 234,323 | 106,629 | 340,952 |
| Case Western Reserve University (SUB HHSN275200403367C) | 93 RD | 103,776 | 26,982 | 130,758 |
| Catholic Healthcare West (incl St. Francis Medical Center) (20071128) | 93 928 | 84,824 | 19,635 | 104,459 |
| Catholic Healthcare West (incl St. Francis Medical Center) (79171) | 93 928 | 11,785 | 3,064 | 14,849 |
| Catholic University of America, The (NNX07AQ72G SUB-1) | 43 RD | 5,352 | 1,766 | 7,118 |
| Cbrite Inc. (formerly Diode Solutions, Inc.) (DAAB07-01-G602) | 12 910 | (3,491) | (1,798) | (5,289) |
| Cbrite Inc. (formerly Diode Solutions, Inc.) (W15P7T-08-C-P410-01) | 12 RD | 177,576 | 87,127 | 264,703 |
| Cdc Foundation (incl Natl Fdn for The Cdc & Prevention Inc.) (OSR #05038705) | 93 136 | 10,034 | 5,168 | 15,202 |
| Cedars-Sinai Medical Center (0000491575) | 93 867 | 1,508 | 814 | 2,322 |
| Cedars-Sinai Medical Center (0000494696) | 93 848 | 153,899 | 83,066 | 236,965 |
| Cedars-Sinai Medical Center (217597) | 93 242 | 50,766 | 27,413 | 78,179 |
| Cedars-Sinai Medical Center (571177) | 93 865 | 36,381 | 19,646 | 56,027 |
| Cedars-Sinai Medical Center (571180) | 93 865 | 48,371 | 26,121 | 74,492 |
| Cedars-Sinai Medical Center (575973) | 93 242 | 3,275 | 1,768 | 5,043 |
| Cedars-Sinai Medical Center (591209) | 93 865 | 33,619 | 18,154 | 51,773 |
| Cedars-Sinai Medical Center (79146) | 93 846 | (589) | (318) | (907) |
| Cedars-Sinai Medical Center (79173) | 93 865 | (1,738) | (957) | (2,695) |
| Cedars-Sinai Medical Center (79235) | 93 865 | 1,394 | 753 | 2,147 |
| Cedars-Sinai Medical Center (CSMC 217596) | 93 242 | 98,830 | 53,368 | 152,198 |
| Cedars-Sinai Medical Center (JB5820/JB8859/333392/382068/42) | 93 837 | 82,353 | 43,236 | 125,589 |
| Cedars-Sinai Medical Center (PO#0000594337) | 93 846 | 8,275 | 4,468 | 12,743 |
| Cedars-Sinai Medical Center (UCSF#A106467) | 93 853 | 52,277 | 28,491 | 80,768 |
| Cellex Inc. (08002192) | 10 212 | 16,295 | 4,237 | 20,532 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Centaur Pharmaceuticals, Inc. (59149) | 99 RD | (1,261) | (328) | (1,589) |
| Center for Applied Special Technology (aka Cast, Inc.) (UCB0711-07) | 84 324 | (48,785) | - | (48,785) |
| Center for Health Improvement (08003967) | 93 RD | 28,944 | 9,332 | 38,276 |
| Centre Hospitalier Universitaire DE Quebec (CHUQ) (Canada) (CUQ-36631) | 93 856 | (6,788) | (3,564) | (10,352) |
| Centro Internacional de Agricultura Tropical (CIAT) (Intl) (8025) | 10 RD | 89,814 | 8,983 | 98,797 |
| Centro Internacional de Agricultura Tropical (CIAT) (Intl) (8027) | 10 RD | 23,358 | 2,336 | 25,694 |
| Ch2m Hill (918729) | 19 RD | 222,967 | 36,555 | 259,522 |
| Charles R. Drew University of Medicine and Science (08-09-KH-D2279C-UCLA) | 93 279 | 5,770 | 1,500 | 7,270 |
| Charles R. Drew University of Medicine and Science (08-09-KN-G008D600-UCLA) | 93 389 | 43,596 | 23,542 | 67,138 |
| Charles R. Drew University of Medicine and Science (2007-TF-D2274A) | 93 865 | 2,384 | 1,287 | 3,671 |
| Charles R. Drew University of Medicine and Science (2008-2009-KN-G0986J08-UCLA) | 93 307 | 483,669 | 118,144 | 601,813 |
| Charles R. Drew University of Medicine and Science (2008-AC-D22822) | 93 867 | 99,346 | 53,647 | 152,993 |
| Charles R. Drew University of Medicine and Science (2008-KN-D2286D-UCLA) | 93 389 | 17,256 | 9,318 | 26,574 |
| Charles R. Drew University of Medicine and Science (2008-KN-D228D3-UCI) | 93 389 | 132,318 | 69,467 | 201,785 |
| Charles R. Drew University of Medicine and Science (2008-TF-D2274A) | 93 865 | 8,895 | 4,803 | 13,698 |
| Charles R. Drew University of Medicine and Science (58442) | 93 389 | (103,821) | (55,916) | (159,737) |
| Charles R. Drew University of Medicine and Science (58741) | 93 389 | (14,644) | (7,688) | (22,332) |
| Charles R. Drew University of Medicine and Science (59060) | 93 890 | (2,468) | (642) | (3,110) |
| Charles R. Drew University of Medicine and Science (79275) | 93 307 | (29,071) | (7,558) | (36,629) |
| Charles R. Drew University of Medicine and Science (79851) | 93 867 | (9,530) | (5,146) | (14,676) |
| Chesapeake Research Consortium, Inc. (SUB CBEO-4(BES-0618986)) | 47 041 | 62,685 | 30,691 | 93,376 |
| Chevrontexaco Corp.(incl Chem Co., Oil Fields Res., Chevron & Texaco) (4527471) | 89 089 | 109,832 | 52,305 | 162,137 |
| Chicago Public Schools (59761) | 84 RD | 8,267 | 661 | 8,928 |
| Children's Discovery Museum of San Jose (NSFLS-01) | 47 076 | 32,522 | 16,586 | 49,108 |
| Children's Discovery Museum of San Jose (NSFLS-02) | 47 076 | 16,489 | 3,705 | 20,194 |
| Children's Hospital and Medical Center (Seattle, Wa) (413820230101) | 93 846 | 4,098 | 1,352 | 5,450 |
| Children's Hospital and Medical Center (Seattle, Wa) (59487) | 93 399 | (441) | (115) | (556) |
| Children's Hospital and Research Center at Oakland (incl Chori) (127803A) | 93 855 | 10,592 | 5,508 | 16,100 |
| Children's Hospital and Research Center at Oakland (incl Chori) (127833A) | 93 396 | 18,820 | 9,786 | 28,606 |
| Children's Hospital and Research Center at Oakland (incl Chori) (83024) | 93 837 | (221) | (58) | (279) |
| Children's Hospital and Research Center at Oakland (incl Chori) (83120) | 93 839 | (22,137) | (11,401) | (33,538) |
| Children's Hospital and Research Center at Oakland (incl Chori) (83157) | 93 839 | (5,910) | (3,044) | (8,954) |
| Children's Hospital and Research Center at Oakland (incl Chori) (83285) | 93 RD | (2,850) | (1,354) | (4,204) |
| Children's Hospital and Research Center at Oakland (incl Chori) (83662) | 93 839 | (498) | (257) | (755) |
| Children's Hospital and Research Center at Oakland (incl Chori) (83750) | 93 839 | (773) | (398) | (1,171) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Children's Hospital and Research Center at Oakland (incl Chori) (86736) | 93 839 | 75 | - | 75 |
| Children's Hospital and Research Center at Oakland (incl Chori) (86737) | 93 839 | 40 | - | 40 |
| Children's Hospital and Research Center at Oakland (incl Chori) (HF7828A-01) | 93 838 | 140,196 | 74,642 | 214,838 |
| Children's Hospital and Research Center at Oakland (incl Chori) (OSR #04036861) | 93 865 | 36,822 | 18,963 | 55,785 |
| Children's Hospital Corp. (the), Boston, Mass. (0000210675) | 93 583 | 41,511 | 22,624 | 64,135 |
| Children's Hospital Corp. (the), Boston, Mass. (013248) | 93 855 | 35,318 | 18,344 | 53,662 |
| Children's Hospital Corp. (the), Boston, Mass. (59237) | 93 867 | (731) | (739) | (1,470) |
| Children's Hospital Corp. (the), Boston, Mass. (80310) | 93 867 | (659) | - | (659) |
| Children's Hospital Corp. (the), Boston, Mass. (82401) | 93 361 | (31,920) | (15,162) | (47,082) |
| Children's Hospital Corp. (the), Boston, Mass. (84730) | 99 RD | 1,960 | 157 | 2,117 |
| Children's Hospital Corp. (the), Boston, Mass. (CCTPT-02) | 93 855 | 40,618 | 13,404 | 54,022 |
| Children's Hospital Corp. (the), Boston, Mass. (OSR #02032325) | 93 855 | (9,383) | (5,132) | (14,515) |
| Children's Hospital Corp. (the), Boston, Mass. (PO#000031160) | 93 867 | 10,063 | 5,434 | 15,497 |
| Children's Hospital Medical Center of Cincinnati (80277) | 93 853 | (19) | (28) | (47) |
| Children's Hospital Medical Center of Cincinnati (CHMC# 344) | 93 853 | 8,789 | 2,285 | 11,074 |
| Children's Hospital Medical Center of Cincinnati (CHMC370) | 93 853 | 16,713 | 8,456 | 25,169 |
| Children's Hospital Medical Center of Cincinnati (NS045911) | 93 853 | 36,242 | 9,126 | 45,368 |
| Children's Hospital National Medical Center (7558-04-03) | 93 389 | 124,122 | 64,904 | 189,026 |
| Children's Hospital National Medical Center (79280405) | 93 865 | 92,908 | 48,307 | 141,215 |
| Children's Hospital National Medical Center (8528-01-03) | 12 420 | 218,873 | 114,713 | 333,586 |
| Children's Hospital of Denver (OSR #03033625) | 93 848 | 7,732 | 3,982 | 11,714 |
| Children's Hospital of Los Angeles (02030846) | 93 395 | 27,359 | 8,191 | 35,550 |
| Children's Hospital of Los Angeles (58670) | 93 865 | (51) | (28) | (79) |
| Children's Hospital of Los Angeles (59158) | 99 RD | 8,458 | 677 | 9,135 |
| Children's Hospital of Los Angeles (79190) | 93 865 | 48,645 | 19,792 | 68,437 |
| Children's Hospital of Los Angeles (8020-RGP000173-04) | 93 865 | 43,105 | 23,276 | 66,381 |
| Children's Hospital of Los Angeles (82348) | 93 395 | 11,105 | 5,719 | 16,824 |
| Children's Hospital of Los Angeles (82600) | 93 395 | (5,575) | (2,871) | (8,446) |
| Children's Hospital of Los Angeles (AGMT42-RGF003671) | 93 395 | 81,524 | 41,985 | 123,509 |
| Children's Hospital of Los Angeles (AI074043) | 93 RD | 121,610 | 62,021 | 183,631 |
| Children's Hospital of Los Angeles (OSR #05041060) | 93 838 | 166,703 | 85,852 | 252,555 |
| Children's Hospital of Los Angeles (SUB 5H30MC00036-04 (PRIME SAME)) | 93 110 | 46,067 | 3,685 | 49,752 |
| Children's Hospital of Orange County (31017) | 93 110 | 511 | (152) | 359 |
| Children's Hospital of Orange County (H30MC0003611) | 93 110 | 68,410 | 6,234 | 74,644 |
| Children's Hospital of Orange County (PRIME:U01DD0000204) | 93 283 | 82,976 | 6,638 | 89,614 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Children's Hospital of Orange County (SUB #5 U01-DD0000204-02 (NONE)) | 93 283 | 21,409 | 1,713 | 23,122 |
| Children's Hospital of Philadelphia (10008-03-01) | 93 865 | 331,802 | 172,225 | 504,027 |
| Children's Hospital of Philadelphia (20732-02-01) | 93 853 | 1,975 | 1,076 | 3,051 |
| Children's Hospital of Philadelphia (82090) | 93 838 | 221 | 105 | 326 |
| Children's Hospital of Philadelphia (83953) | 93 849 | (213) | - | (213) |
| Children's Hospital of Pittsburgh (19802-056238-100) | 93 865 | 160,460 | 86,649 | 247,109 |
| Children's Hospital of Pittsburgh (70020-056078) | 93 848 | 11,252 | 5,774 | 17,026 |
| Children's Hospital of Pittsburgh (80360) | 93 865 | (3,288) | (1,775) | (5,063) |
| Children's Hospital of Pittsburgh (UCSF#A112218) | 93 848 | 3,654 | 1,992 | 5,646 |
| Children's Hospital of San Diego Research Center (79374) | 93 242 | 757 | - | 757 |
| Children's Hospital of San Diego Research Center (SUB 20090363 (90CA1768.01)) | 93 670 | 10,152 | 2,640 | 12,792 |
| Children's Hospital of San Diego Research Center (662-9113556) | 84 324 | 133,408 | 31,759 | 165,167 |
| Children's Hospital of San Diego Research Center (SUB S000285 (MH074678)) | 93 242 | 86,118 | 46,934 | 133,052 |
| Children's Memorial Hospital (il) (2004-126V-UCLA-900900) | 93 865 | (7,257) | (3,882) | (11,139) |
| Children's Memorial Hospital (il) (OSR #05041280) | 93 865 | 106 | 26 | 132 |
| Children's Memorial Hospital (il) (SUB 900-900 (HD045694)) | 99 RD | 1,028 | 560 | 1,588 |
| Children's Mercy Hospital (the) (Kansas City, Mo) (05-0012) | 99 RD | 21,258 | 9,673 | 30,931 |
| Children's Mercy Hospital (the) (Kansas City, Mo) (DK066143) | 93 849 | 11,758 | 6,408 | 18,166 |
| Children's Mercy Hospital (the) (Kansas City, Mo) (OSR #06042472) | 93 849 | 7,106 | 2,008 | 9,114 |
| Children's Mercy Hospital (the) (Kansas City, Mo) (SUB 04-0036 (DK066143)) | 93 RD | (1,950) | (546) | (2,496) |
| Children's Planning Council Foundation, Inc. (59020) | 93 856 | 5,379 | 1,565 | 6,944 |
| Chinese University Hong Kong, The (incl Prince of Wales Hosp) (Hong Kong) (OSR #04036800) | 93 846 | 12,026 | 2,591 | 14,617 |
| Christopher and Dana Reeve Foundation (frmly Christopher Reeve Fdn) (AA-DOD-2008(1)) | 12 420 | 38,366 | 3,837 | 42,203 |
| Christopher and Dana Reeve Foundation (frmly Christopher Reeve Fdn) (AA-DOD-2008(2)) | 12 420 | 63,818 | 6,382 | 70,200 |
| City of Hope (incl Beckman Research Institute) (001-06A) | 93 395 | 9,818 | 4,374 | 14,192 |
| City of Hope (incl Beckman Research Institute) (002-06A) | 93 395 | 15,931 | 7,945 | 23,876 |
| City of Hope (incl Beckman Research Institute) (20862) | 93 395 | (404) | (196) | (600) |
| City of Hope (incl Beckman Research Institute) (58336) | 93 849 | 1,603 | 850 | 2,453 |
| City of Hope (incl Beckman Research Institute) (59455) | 93 RD | (19,614) | 32,562 | 12,948 |
| City of Hope (incl Beckman Research Institute) (79139) | 93 849 | 829 | 447 | 1,276 |
| City of Hope (incl Beckman Research Institute) (COH-44138) | 93 393 | 733,699 | 371,530 | 1,105,229 |
| City University of New York (CUNY) (40647-00-01A) | 47 041 | 83,730 | 41,184 | 124,914 |
| City University of New York (CUNY) (49100-01A) | 11 481 | 68,134 | - | 68,134 |
| Clare Foundation, Inc. (20063816) | 93 243 | 51,159 | 11,633 | 62,792 |
| Clare Foundation, Inc. (79114) | 93 243 | 7,410 | 1,331 | 8,741 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Clark County, Nevada (601346-08) | 15 235 | 211,292 | 53,064 | 264,356 |
| Clarkson University (SUB 375-32785-1) | 66 509 | 64,848 | 29,551 | 94,399 |
| Clearwater Instrumentation, Inc. (82857) | 12 300 | 53,715 | 5,963 | 59,678 |
| Clemson University (incl Clemson University Genomics Institute,CUGI) (007557) | 11 RD | 135,933 | (6,449) | 129,484 |
| Clemson University (incl Clemson University Genomics Institute,CUGI) (07-01-SR125) | 81 RD | 25,286 | 10,889 | 36,175 |
| Clemson University (incl Clemson University Genomics Institute,CUGI) (08001763) | 11 113 | 81,561 | 33,783 | 115,344 |
| Clemson University (incl Clemson University Genomics Institute,CUGI) (1171-7558-225-2006308) | 12 300 | 42,138 | 21,076 | 63,214 |
| Clemson University (incl Clemson University Genomics Institute,CUGI) (SUB962-7557-206-209072 (EEC-0 | 47 041 | 1,232 | 660 | 1,892 |
| Cleveland Clinic Foundation (015330872001) | 93 286 | 24,116 | 13,143 | 37,259 |
| Cleveland Clinic Foundation (03101596) | 93 389 | 94,805 | 49,692 | 144,497 |
| Cleveland Clinic Foundation (20062577) | 99 RD | 2,600 | 1,389 | 3,989 |
| Cleveland Clinic Foundation (79870) | 93 389 | 82,940 | 44,744 | 127,684 |
| Cleveland Clinic Foundation (80235) | 93 389 | (212) | 67 | (145) |
| Clinical Trials & Surveys Corp. (01030292) | 93 RD | 197,207 | 45,753 | 242,960 |
| Cold Spring Harbor Laboratory (54070112) | 93 859 | 43,141 | 22,865 | 66,006 |
| Cold Spring Harbor Laboratory (59841) | 93 172 | (1,835) | (936) | (2,771) |
| Coley Pharmaceutical Group (HHSN266200400044CN01AI40044) | 93 001 | 481,080 | 248,268 | 729,348 |
| College of William and Mary (518605/1247) | 47 078 | 859 | 408 | 1,267 |
| College of William and Mary (518606/1247) | 47 078 | 13,237 | 3,064 | 16,301 |
| College of William and Mary (518607/1247) | 47 078 | 113,717 | 29,475 | 143,192 |
| College of William and Mary (519207/1248) | 47 050 | 890 | 414 | 1,304 |
| College of William and Mary (712772) | 11 460 | 48,812 | 10,400 | 59,212 |
| Colorado Fndn (Pro)500-99-C001 6/02 (82409) | 93 RD | 436 | 207 | 643 |
| Colorado School of Mines (59170) | 93 114 | (18,251) | (8,669) | (26,920) |
| Colorado School of Mines (59172) | 99 RD | (2,083) | (989) | (3,072) |
| Colorado School of Mines (59177) | 66 700 | (1,946) | (924) | (2,870) |
| Colorado State University (44935) | 93 262 | 7,028 | 2,390 | 9,418 |
| Colorado State University (86222) | 10 RD | 3,528 | 353 | 3,881 |
| Colorado State University (88117) | 10 200 | 3,193 | - | 3,193 |
| Colorado State University (94216) | 45 149 | 16,939 | 5,082 | 22,021 |
| Colorado State University (AI065357) | 93 856 | (2,932) | (1,598) | (4,530) |
| Colorado State University (G-1425-1) | 10 206 | 21,321 | 5,330 | 26,651 |
| Colorado State University (G14954) | 10 309 | 14,318 | 4,039 | 18,357 |
| Colorado State University (G20051) | 10 RD | 45,588 | 4,412 | 50,000 |
| Colorado State University (G-2334-1) | 99 RD | 61,119 | 19,866 | 80,985 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Colorado State University (G-3045-1) | 47 050 | 119,347 | 64,447 | 183,794 |
| Colorado State University (G-3045-16) | 47 050 | 44,921 | 15,323 | 60,244 |
| Colorado State University (G-3045-4) | 47 050 | 43,743 | 20,441 | 64,184 |
| Colorado State University (G-3062-7) | 47 076 | 1,982 | 1,021 | 3,003 |
| Colorado State University (G-3232-2) | 47 RD | 698,889 | 302,389 | 1,001,278 |
| Colorado State University (G32341) | 47 041 | 9,076 | 2,360 | 11,436 |
| Colorado State University (G35844) | 47 074 | 99,904 | 51,950 | 151,854 |
| Colorado State University (G35881) | 47 074 | 16,731 | 8,700 | 25,431 |
| Colorado State University (G-3818-01) | 81 049 | 110,918 | 59,896 | 170,814 |
| Colorado State University (G3854) | 81 115 | 25,121 | 6,532 | 31,653 |
| Colorado State University (G-4717-1) | 93 856 | 32,163 | 16,886 | 49,049 |
| Colorado State University (SUB G-3045-8 (ATM-0425247)) | 47 050 | 120,893 | 59,089 | 179,982 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (12) | 47 049 | 808,759 | 204,456 | 1,013,215 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (2 (ACCT#5-36361)) | 93 838 | 18,938 | 4,046 | 22,984 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (31194) | 93 853 | (347) | (181) | (528) |
| Columbia University (incl Columbia-Presbyterian Medical Center) (5-26250 SUBAWARD#2) | 99 RD | 82,858 | 45,157 | 128,015 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (532298) | 93 866 | 25,977 | 13,508 | 39,485 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (535215) | 93 939 | 30,443 | 5,875 | 36,318 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (53546810) | 93 310 | 34,622 | 18,004 | 52,626 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (5PO1AG00723217) | 93 RD | 17,155 | 8,921 | 26,076 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (78191) | 47 070 | (2,676) | - | (2,676) |
| Columbia University (incl Columbia-Presbyterian Medical Center) (79107) | 93 242 | (10,061) | (5,282) | (15,343) |
| Columbia University (incl Columbia-Presbyterian Medical Center) (79909) | 93 838 | (8,992) | (2,338) | (11,330) |
| Columbia University (incl Columbia-Presbyterian Medical Center) (83097) | 93 853 | (4,061) | (1,056) | (5,117) |
| Columbia University (incl Columbia-Presbyterian Medical Center) (83602) | 99 RD | 708 | 184 | 892 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (83637) | 93 855 | 17,367 | 4,515 | 21,882 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (94291) | 47 041 | 120,438 | 61,126 | 181,564 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (CA084294) | 93 396 | 216,186 | 112,346 | 328,532 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (CU-37095) | 93 837 | 150,601 | 31,941 | 182,542 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (ONE (1) 79332) | 47 049 | 151,122 | 22,470 | 173,592 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (ONE (1) 78793) | 93 846 | 32,258 | 14,038 | 46,296 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (PHY 06-12811(13)-004) | 47 049 | 323,213 | 70,811 | 394,024 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (R01 MH069703-01) | 93 242 | 36,053 | 18,748 | 54,801 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (SUB 1(ACCT#5-21612) (N00014-0) | 12 300 | 40,737 | 16,410 | 57,147 |
| Columbia University (incl Columbia-Presbyterian Medical Center) (TWO) | 47 RD | 52,708 | 11,585 | 64,293 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Colusa County Resource Conservation District (08001162) | 15 231 | 11,089 | - | 11,089 |
| Communications and Power Industries Inc. (06003143) | 12 RD | 35,292 | 18,352 | 53,644 |
| Computer Sciences Corporation (S6040010) | 12 300 | 48,585 | 7,772 | 56,357 |
| Conceptual Mindworks, Inc. (C6521-UC) | 99 RD | 36,165 | 19,529 | 55,694 |
| Concord Consortium, The (201.01.01) | 47 076 | 87,425 | 46,232 | 133,657 |
| Consortium for Ocean Leadership (COL) (frmly Ocean Res & Education) (88157) | 47 050 | 24,196 | 6,291 | 30,487 |
| Consortium for Ocean Leadership (COL) (frmly Ocean Res & Education) (T314A7) | 47 050 | 3,180 | 827 | 4,007 |
| Consortium for Ocean Leadership (COL) (frmly Ocean Res & Education) (T316A7-02) | 47 050 | 10,171 | 2,645 | 12,816 |
| Consortium for Ocean Leadership (COL) (frmly Ocean Res & Education) (T320A7) | 47 050 | 5,488 | 1,427 | 6,915 |
| Consortium for Ocean Leadership (COL) (frmly Ocean Res & Education) (T320B7) | 47 050 | 8,943 | 2,325 | 11,268 |
| Consortium of Univ for Research in Earthquake Engineering (CUREE) (78164) | 47 041 | (106) | (55) | (161) |
| Consortium of Univ for Research in Earthquake Engineering (CUREE) (SUB(07(UNR-08-12/CMMI-0721399) | 47 074 | 75,791 | 38,443 | 114,234 |
| Consortium of Univ for The Advancement of Hydrologic Science, Inc. (20095948) | 12 300 | 12,938 | 7,051 | 19,989 |
| Consortium of Univ for The Advancement of Hydrologic Science, Inc. (CUAHS_WATERS 2008) | 47 041 | 33,991 | 6,997 | 40,988 |
| Consortium of Univ for The Advancement of Hydrologic Science, Inc. (SUB-AWARD AGREEMENT/EAR-032606) | 47 050 | 5,142 | 411 | 5,553 |
| Contour Molecular, LLC (UCSF#A110685) | 93 RD | 38,754 | 20,712 | 59,466 |
| Contra Costa County (19-045-3) | 99 RD | 53,235 | 5,324 | 58,559 |
| Conversion Energy Enterprises (59127) | 99 RD | 639 | 304 | 943 |
| Cornell University (06121649) | 93 853 | 1,906 | 1,001 | 2,907 |
| Cornell University (08003079) | 93 853 | 12,028 | 2,900 | 14,928 |
| Cornell University (41529-6743) | 12 300 | 78,552 | 34,895 | 113,447 |
| Cornell University (434938329) | 10 206 | 67 | 17 | 84 |
| Cornell University (44771-7475) | 47 041 | 883,656 | 283,934 | 1,167,590 |
| Cornell University (46222-7762) | 47 074 | 31,918 | 6,971 | 38,889 |
| Cornell University (46369) | 10 RD | 108,641 | 36,938 | 145,579 |
| Cornell University (46514-8622) | 47 RD | 146,824 | 77,817 | 224,641 |
| Cornell University (470387703) | 10 303 | 2,683 | 671 | 3,354 |
| Cornell University (513758306) | 47 074 | 58,130 | 21,632 | 79,762 |
| Cornell University (513798269) | 10 200 | 2,985 | - | 2,985 |
| Cornell University (52120-8452) | 47 041 | 12,500 | 1,900 | 14,400 |
| Cornell University (53252-8539) | 47 041 | 131,828 | - | 131,828 |
| Cornell University (55179-8767) | 12 431 | 145,791 | 39,952 | 185,743 |
| Cornell University (55179-8771) | 12 431 | 114,126 | 55,074 | 169,200 |
| Cornell University (55668-8869) | 93 242 | 36,581 | 19,388 | 55,969 |
| Cornell University (57610-8817) | 93 172 | 36,369 | 19,275 | 55,644 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cornell University (59142) | 93 279 | (3,666) | (1,979) | (5,645) |
| Cornell University (82474) | 93 279 | 5,880 | 3,205 | 9,085 |
| Cornell University (CU-44890) | 93 853 | 10 | 3 | 13 |
| Cornell University (SUB 44323-7463 (HL075515)) | 93 837 | 20,601 | 10,713 | 31,314 |
| Cornell University (SUB 51553-8562 (0634418)) | 47 070 | 4,705 | 2,117 | 6,822 |
| Cornell University (SUB AGREEMENT #55179-8770 (W91)) | 12 431 | 44,624 | 19,870 | 64,494 |
| Cornell University (NS50324) | 93 853 | 15,398 | 4,003 | 19,401 |
| Cornell University (UCSF#A106480) | 93 853 | 221,632 | 108,096 | 329,728 |
| Corporation for Natl Research Initiatives (019237) | 12 RD | 9,571 | 4,977 | 14,548 |
| Corvas International, Inc. (Belgium) (82091) | 99 RD | 17,914 | 8,509 | 26,423 |
| Creatv Microtech, Inc. (07001584) | 93 394 | 7,736 | (7,736) | - |
| Creatv Microtech, Inc. (CMI-46021) | 93 242 | 2,694 | 1,415 | 4,109 |
| Cree Research, Inc. (07-009) | 12 300 | 18,205 | 8,557 | 26,762 |
| Cree Research, Inc. (08-003) | 12 300 | 13,722 | 7,067 | 20,789 |
| Creighton University (270582-822445-03) | 93 848 | 29,582 | 7,691 | 37,273 |
| Creighton University (270597-822445-03) | 93 848 | 49,304 | 12,819 | 62,123 |
| Creighton University (UCSF#A111182) | 93 846 | 43,018 | 10,617 | 53,635 |
| Dana-Farber Cancer Institute (1136801) | 93 853 | 112,801 | 61,476 | 174,277 |
| Dana-Farber Cancer Institute (20061861) | 93 394 | 6,310 | 3,407 | 9,717 |
| Dana-Farber Cancer Institute (57878) | 93 394 | 8,183 | 4,419 | 12,602 |
| Dana-Farber Cancer Institute (84566) | 93 396 | (2,173) | (1,185) | (3,358) |
| Dana-Farber Cancer Institute (UCSF#A105514) | 93 396 | 172,378 | 99,714 | 272,092 |
| Dana-Farber Cancer Institute (UCSF#A106760) | 93 853 | 27,404 | 14,935 | 42,339 |
| Dana-Farber Cancer Institute (UCSF#A106883) | 93 853 | 18,042 | 9,833 | 27,875 |
| Dartmouth College (1145014945000L00491) | 97 001 | 34,310 | 18,193 | 52,503 |
| Dartmouth College (124) | 97 001 | 78,495 | - | 78,495 |
| Dartmouth College (155) | 93 242 | 3,184 | 255 | 3,439 |
| Dartmouth College (20881) | 12 800 | 3,019 | 1,570 | 4,589 |
| Dartmouth College (336) | 93 396 | 11,380 | 5,974 | 17,354 |
| Dartmouth College (500499.5000.L00203) | 93 242 | 7,608 | 1,590 | 9,198 |
| Dartmouth College (500706.5000.L00420) | 10 206 | 1,646 | 411 | 2,057 |
| Dartmouth College (509) | 43 001 | 38,838 | 10,393 | 49,231 |
| Dartmouth College (5-30063.5702) | 93 859 | 127,123 | 66,104 | 193,227 |
| Dartmouth College (5340285700) | 47 047 | 2,424 | 1,344 | 3,768 |
| Dartmouth College (59798) | 43 001 | (314) | (154) | (468) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Dartmouth College (78807) | 43 001 | 1,774 | 514 | 2,288 |
| Dartmouth College (80376) | 99 RD | (1,256) | (678) | (1,934) |
| Dartmouth College (98) | 93 859 | 108,002 | 73,850 | 181,852 |
| Dartmouth College (99) | 93 859 | 55,306 | 29,865 | 85,171 |
| Dartmouth College (NNX08AM58G) | 43 001 | 69,131 | 17,209 | 86,340 |
| Dartmouth College (SUB 181 (PREVIOUSLY 500436.500) | 47 070 | 71,988 | 37,434 | 109,422 |
| Data and Analytic Solutions, Inc. (2008-3220) | 93 RD | 32,392 | 17,654 | 50,046 |
| Decode Genetics, Inc. (Iceland) (20062527) | 93 242 | 296,491 | 160,105 | 456,596 |
| Defense Group Inc. (DGI) (PO#08-0398-3341) | 99 RD | 125,913 | 62,688 | 188,601 |
| Defense Group Inc. (DGI) (PO#08-0403-3342) | 99 RD | 36,284 | 19,593 | 55,877 |
| Desert Research Institute (Nevada System of Higher Education) (646.8231.01) | 10 652 | 54,865 | - | 54,865 |
| Desert Research Institute (Nevada System of Higher Education) (646812104) | 10 652 | 11,968 | - | 11,968 |
| Desert Research Institute (Nevada System of Higher Education) (DAS663817001) | 10 RD | 14,861 | - | 14,861 |
| Development Alternatives, Inc. (DAI)(EDHI00050000400) | 98 RD | 314,939 | 107,079 | 422,018 |
| Directed Vapor Technologies International, Inc. (DVTI)(SB080093) | 47 RD | 22,849 | 11,767 | 34,616 |
| Distributed Infinity (82619) | 12 800 | (75) | (42) | (117) |
| Donald Danforth Plant Science Center (86841) | 47 074 | 41,177 | 21,412 | 62,589 |
| Drexel University (incl College of Medicine, Mcp Hahnemann) (232332-6905) | 93 865 | 36,677 | 19,904 | 56,581 |
| Drexel University (incl College of Medicine, Mcp Hahnemann) (86954) | 93 113 | 382,669 | 190,479 | 573,148 |
| Drexel University (incl College of Medicine, Mcp Hahnemann) (ATM0627227) | 47 050 | 31,229 | 11,937 | 43,166 |
| Droplet Measurement Technologies (82959) | 47 041 | 28,425 | 4,341 | 32,766 |
| Duke University (incl Duke Clinical Research Institute) (04-SC-NIH-1022) | 93 110 | 49,667 | 26,820 | 76,487 |
| Duke University (incl Duke Clinical Research Institute) (04SCNIH1070) | 93 866 | 215,969 | 89,835 | 305,804 |
| Duke University (incl Duke Clinical Research Institute) (08004543) | 93 389 | 3,118 | 1,622 | 4,740 |
| Duke University (incl Duke Clinical Research Institute) (08-SC-DOE-1038) | 81 049 | 16,821 | 8,831 | 25,652 |
| Duke University (incl Duke Clinical Research Institute) (08-SC-GMI-1053) | 12 300 | 15,514 | 2,030 | 17,544 |
| Duke University (incl Duke Clinical Research Institute) (09-NIH-1068) | 93 866 | 10,683 | 5,769 | 16,452 |
| Duke University (incl Duke Clinical Research Institute) (09-NSF-1013) | 47 RD | 76,458 | 37,816 | 114,274 |
| Duke University (incl Duke Clinical Research Institute) (09-SC-NSF-1008) | 47 049 | 37,789 | 19,461 | 57,250 |
| Duke University (incl Duke Clinical Research Institute) (102970) | 99 RD | 29,214 | 15,776 | 44,990 |
| Duke University (incl Duke Clinical Research Institute) (116457) | 99 RD | 992 | 340 | 1,332 |
| Duke University (incl Duke Clinical Research Institute) (128355 (79141)) | 93 242 | 33,908 | 18,310 | 52,218 |
| Duke University (incl Duke Clinical Research Institute) (128355 (84744)) | 99 RD | 68,235 | 21,842 | 90,077 |
| Duke University (incl Duke Clinical Research Institute) (156891) | 93 389 | 44,769 | 23,280 | 68,049 |
| Duke University (incl Duke Clinical Research Institute) (20072196) | 93 242 | 51,525 | 1,790 | 53,315 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Duke University (incl Duke Clinical Research Institute) (303-1146) | 93 855 | 44,457 | 23,340 | 67,797 |
| Duke University (incl Duke Clinical Research Institute) (3031372) | 93 855 | 9,754 | 5,121 | 14,875 |
| Duke University (incl Duke Clinical Research Institute) (58054) | 93 RD | 13,000 | 3,380 | 16,380 |
| Duke University (incl Duke Clinical Research Institute) (79069) | 99 RD | (14,002) | (7,843) | (21,845) |
| Duke University (incl Duke Clinical Research Institute) (80151) | 93 837 | 1,709 | 923 | 2,632 |
| Duke University (incl Duke Clinical Research Institute) (83579) | 12 RD | 2,036 | 1,048 | 3,084 |
| Duke University (incl Duke Clinical Research Institute) (GM078233) | 93 859 | 17,611 | 9,158 | 26,769 |
| Duke University (incl Duke Clinical Research Institute) (N01-AI-50019) | 93 RD | 144,336 | 69,701 | 214,037 |
| Duke University (incl Duke Clinical Research Institute) (OSR #04036192) | 93 RD | 6,133 | 1,840 | 7,973 |
| Duke University (incl Duke Clinical Research Institute) (SUB (HHSN267200700051C)) | 93 RD | 4,780 | 1,243 | 6,023 |
| Duke University (incl Duke Clinical Research Institute) (SUB 155582/ 145465 (HG004065)) | 93 172 | 15,981 | 6,664 | 22,645 |
| Duke University (incl Duke Clinical Research Institute) (SUB DUKE 303-7870 (HHSN2672007)) | 93 RD | 24,007 | 13,084 | 37,091 |
| Duke University (incl Duke Clinical Research Institute) (SUB NONE (HD057956)) | 93 279 | 1,090 | 594 | 1,684 |
| Duke University (incl Duke Clinical Research Institute) (UCSF#A105847) | 93 866 | 37,672 | 8,896 | 46,568 |
| Duke University (incl Duke Clinical Research Institute) (Z4033) | 93 395 | (800) | - | (800) |
| Dxray, Inc. (IR44EB007873-01-S1) | 93 286 | 96,616 | 50,723 | 147,339 |
| Dynamic Clinical Systems, Inc. (HHSN261200800051C) | 93 RD | 18,219 | 9,930 | 28,149 |
| Dynamic Clinical Systems, Inc. (PHS2005-1) | 93 RD | 46,190 | 12,010 | 58,200 |
| Dyncorp System & Solutions (incl Meridian Corporation) (59568) | 12 300 | 32 | 15 | 47 |
| E Beam Incorporated (07003083) | 12 RD | 4,901 | 1,957 | 6,858 |
| E.i. Du Pont DE Nemours and Company (Dupont) (LOX496095) | 12 RD | 258,611 | 114,901 | 373,512 |
| E.i. Du Pont DE Nemours and Company (Dupont) (LOX496123) | 12 RD | 187,970 | 91,246 | 279,216 |
| E.r.c., Inc. (educational Resource Centers, Inc. C/o Univ of Alabama) (78829) | 12 630 | 9 | 5 | 14 |
| Earth and Space Research (esr) (PO 08-82 2005-112) | 43 RD | 12,197 | 6,647 | 18,844 |
| East Carolina University (432481-2004-01) | 93 173 | 18,032 | 9,737 | 27,769 |
| Eastern Kentucky University (08175) | 97 068 | 14,872 | 4,261 | 19,133 |
| Eastern Virginia Medical School (82350) | 93 185 | (730) | - | (730) |
| Eastern Virginia Medical School (84691) | 98 RD | (9) | (1) | (10) |
| Eastern Virginia Medical School (GCR30802) | 98 RD | 610,737 | 32,512 | 643,249 |
| Edenspace Systems Corporation (ES016482) | 93 011 | 17,013 | 9,272 | 26,285 |
| Edison Materials Technology Center (emtec) (EFC-H4-16A) | 81 RD | 19,357 | 8,138 | 27,495 |
| Education Development Center, Inc. (021975) | 47 RD | (557) | - | (557) |
| Eidactics Visual Biosimulation (022484) | 93 RD | 34,669 | 18,374 | 53,043 |
| Elekta Ab (Sweden) (incl E. Instrument, E.neuromag, Impac Med Sys Usa) (82220) | 99 RD | 5,560 | - | 5,560 |
| Elintrix (2008-3794) | 12 420 | 17,502 | 7,014 | 24,516 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Elintrix (SUB 20082764 (W81XWH-07-C-0103) | 12 420 | 101,330 | 49,035 | 150,365 |
| Elizabeth Glaser Pediatric Aids Fdn (Glaser Pediatric Res Network) (83261) | 99 RD | 7,614 | 1,523 | 9,137 |
| Elizabeth Glaser Pediatric Aids Fdn (Glaser Pediatric Res Network) (OSR #03035392) | 93 941 | 772,605 | 231,782 | 1,004,387 |
| Elizabeth Glaser Pediatric Aids Fdn (Glaser Pediatric Res Network) (UU-00-8-290-01369-2-00) | 98 RD | 13,994 | 4,618 | 18,612 |
| Eloret Corporation (NNA04BC25C-005) | 43 RD | 291,033 | 75,669 | 366,702 |
| Eltron Research and Development Inc. (PONO.42878) | 43 RD | 2,170 | 1,128 | 3,298 |
| Emmes Corp Mcc (Hb-67132)Ct 6/04 (82417) | 93 RD | 11,572 | 3,368 | 14,940 |
| Emmes Corporation, The (1568PHB330) | 93 395 | 265,796 | 80,035 | 345,831 |
| Emmes Corporation, The (5 U01 DK061693-03) | 93 848 | 75,472 | 24,151 | 99,623 |
| Emmes Corporation, The (82565) | 98 849 | 6,588 | 1,845 | 8,433 |
| Emmes Corporation, The (CA121947) | 93 RD | 110,985 | 60,487 | 171,472 |
| Emmes Corporation, The (PO1568PHB330) | 93 RD | 4,824 | 1,592 | 6,416 |
| Emmes Corporation, The (SUB (HHS-N-260-2005-00007-C)) | 93 RD | 16,269 | 8,116 | 24,385 |
| Emory University (5-41850-G1) | 93 855 | 55,282 | 25,829 | 81,111 |
| Emory University (5-41851-G1) | 93 855 | 32,167 | 17,370 | 49,537 |
| Emory University (5-42705-G1) | 93 853 | 31,489 | 12,536 | 44,025 |
| Emory University (59273) | 93 853 | 648 | 189 | 837 |
| Emory University (59289) | 93 929 | 705 | 335 | 1,040 |
| Emory University (83758) | 99 RD | 29,881 | 15,388 | 45,269 |
| Emory University (83766) | 99 RD | 15,045 | 7,748 | 22,793 |
| Engineered Coatings, Inc. (W9113M08-02) | 99 RD | 88,607 | 41,022 | 129,629 |
| Entertainment Science, Inc. (joint Venture With Virtual Heroes Inc.) (20073874) | 99 RD | 12,915 | 6,974 | 19,889 |
| Entertainment Science, Inc. (joint Venture With Virtual Heroes Inc.) (79010) | 99 RD | (10,839) | (5,853) | (16,692) |
| Environmental Defense Fund (EDF2008NEVADA) | 99 RD | 18,093 | 2,714 | 20,807 |
| Epir Technologies, Inc. (0077-08-SUCSC-0001) | 99 RD | 19,232 | 6,004 | 25,236 |
| Epitaxial Technologies, LLC (ETLPO-751) | 43 RD | 10 | 3 | 13 |
| Erc, Incorporated (79057) | 99 RD | 36,696 | 11,206 | 47,902 |
| European Bioinformatics Inst (incl European Molecular Biology Lab) (1) | 93 RD | 99,036 | 50,707 | 149,743 |
| European Bioinformatics Inst (incl European Molecular Biology Lab) (SC-09-64) | 93 172 | 135,513 | 56,349 | 191,862 |
| Evergreen State College, The (06001171) | 47 RD | 5,364 | 1,869 | 7,233 |
| Exploratorium (59529) | 47 076 | 56,151 | 27,514 | 83,665 |
| Fairfield-Suisun Unified School District (08003891) | 84 RD | 9,140 | 731 | 9,871 |
| Family Health International, North Carolina (00027388) | 93 RD | 90,118 | (11) | 90,107 |
| Family Health International, North Carolina (57948) | 93 855 | 2,253 | 505 | 2,758 |
| Family Health International, North Carolina (59302) | 99 RD | 8,495 | 2,209 | 10,704 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Family Health International, North Carolina (79305) | 93 855 | 28,578 | 5,397 | 33,975 |
| Family Health International, North Carolina (80451) | 93 855 | 19 | 5 | 24 |
| Family Health International, North Carolina (82146) | 99 RD | 812 | 211 | 1,023 |
| Fiotec (Fundacao para o Desenvolvimento Cientifico E...) (Brazil) (01) | 93 855 | 6,361 | 1,467 | 7,828 |
| Fiotec (Fundacao para o Desenvolvimento Cientifico E...) (Brazil) (01, 02) | 93 855 | 4,911 | 1,277 | 6,188 |
| First Point Scientific, Inc. (UCR-08063495) | 12 999 | 47,585 | 20,825 | 68,410 |
| Florida International University (SUB144300516-01(OCI-0636031)) | 47 080 | 7,183 | 3,915 | 11,098 |
| Florida State University (R00501) | 47 049 | 46 | 21 | 67 |
| Florida State University (R01079) | 20 514 | 31,890 | 3,189 | 35,079 |
| Florida State University (R01080) | 97 039 | 24,028 | 2,403 | 26,431 |
| Florida State University (R01114) | 97 039 | 3,372 | 337 | 3,709 |
| Florida State University (SUB R01110 (EF-0331495)) | 47 074 | 9,466 | 5,159 | 14,625 |
| Fox Chase Cancer Center (11138-01) | 93 RD | 30,309 | 9,126 | 39,435 |
| Fox Chase Cancer Center (15012-01-SHEPHERD) | 93 399 | 56,329 | 13,388 | 69,717 |
| Fox Chase Cancer Center (15018-01) | 93 395 | (1,796) | (979) | (2,775) |
| Fox Chase Cancer Center (15021-01) | 93 395 | 96,691 | 39,922 | 136,613 |
| Fox Chase Cancer Center (OSR #03033126) | 93 399 | 45,118 | 23,236 | 68,354 |
| Fred Hutchinson Cancer Research Center (0000648504) | 93 855 | 7,095 | 3,761 | 10,856 |
| Fred Hutchinson Cancer Research Center (0000654750) | 93 856 | 3,444 | 895 | 4,339 |
| Fred Hutchinson Cancer Research Center (0000655970) | 93 393 | 25,204 | 13,106 | 38,310 |
| Fred Hutchinson Cancer Research Center (0000660664) | 93 393 | 2 | 1 | 3 |
| Fred Hutchinson Cancer Research Center (0000667181) | 93 855 | 76,106 | 41,097 | 117,203 |
| Fred Hutchinson Cancer Research Center (0000670019) | 93 859 | 20,733 | 10,781 | 31,514 |
| Fred Hutchinson Cancer Research Center (59304) | 93 999 | 505 | 131 | 636 |
| Fred Hutchinson Cancer Research Center (59310) | 93 399 | 535 | 139 | 674 |
| Fred Hutchinson Cancer Research Center (83669) | 93 856 | 4,056 | 1,055 | 5,111 |
| Fred Hutchinson Cancer Research Center (94337) | 93 855 | 2,009 | 1,065 | 3,074 |
| Freedom Photonics LLC (N08-T009) | 12 RD | 19,708 | 5,720 | 25,428 |
| Freedom Photonics LLC (N08-T012) | 12 RD | 13,542 | 6,974 | 20,516 |
| Freedom Photonics LLC (ST081-014) | 12 RD | 35,735 | 18,404 | 54,139 |
| Functional Genetics, Inc. (UCSF#A105749) | 99 RD | 54,192 | 29,535 | 83,727 |
| Furmanite Corporation (incl Xtria, LLC) (20080448) | 99 RD | 33,557 | 16,443 | 50,000 |
| Futures Group International (frmly Constella Group, LLC) (17436-UCSF-01) | 98 RD | 8,479 | 2,067 | 10,546 |
| Gallaudet University (016655) | 47 075 | 133,315 | 66,563 | 199,878 |
| Gallaudet University (82932) | 47 075 | (285) | (155) | (440) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Gallaudet University (SUB 0000014519 (SBE-0541954)) | 47 075 | 35,544 | 19,371 | 54,915 |
| Gc-free, Inc. (86833) | 93 RD | 192 | 100 | 292 |
| Gem Power, LLC (UCR-080113976) | 12 999 | 48,497 | 7,283 | 55,780 |
| Gene Therapy Systems, Inc. (incl Genelantis) (AI052636) | 93 856 | 99,891 | 54,441 | 154,332 |
| General Atomics (frmly General Atomic Company) (4500000406) | 81 RD | 38,278 | 9,538 | 47,816 |
| General Atomics (frmly General Atomic Company) (45000014621) | 99 RD | 222,511 | 57,853 | 280,364 |
| General Atomics (frmly General Atomic Company) (4500006112) | 99 RD | 84,291 | 22,463 | 106,754 |
| General Atomics (frmly General Atomic Company) (4500006518) | 81 RD | 18,968 | 10,338 | 29,306 |
| General Atomics (frmly General Atomic Company) (4500007360) | 81 RD | 24,726 | 6,429 | 31,155 |
| General Atomics (frmly General Atomic Company) (4500007397) | 12 550 | 36,114 | 16,795 | 52,909 |
| General Atomics (frmly General Atomic Company) (4500008455) | 12 550 | 38,502 | 20,983 | 59,485 |
| General Atomics (frmly General Atomic Company) (4500015478) | 12 910 | 76,759 | 41,078 | 117,837 |
| General Atomics (frmly General Atomic Company) (4500015482) | 12 910 | 118,879 | 49,484 | 168,363 |
| General Atomics (frmly General Atomic Company) (4500015534) | 81 RD | 57,653 | 12,476 | 70,129 |
| General Atomics (frmly General Atomic Company) (78769) | 81 RD | 1,550 | 806 | 2,356 |
| General Atomics (frmly General Atomic Company) (SC JP521301) | 81 049 | (37,341) | (8,886) | (46,227) |
| General Atomics (frmly General Atomic Company) (SC NS612500) | 81 RD | 163,272 | 81,262 | 244,534 |
| General Atomics (frmly General Atomic Company) (SC NS626201) | 12 300 | 24,906 | 13,574 | 38,480 |
| General Atomics (frmly General Atomic Company) (SUB 4500002586 (DE-FG02-95ER54)) | 81 049 | 90,266 | 23,469 | 113,735 |
| General Atomics (frmly General Atomic Company) (SUB 4500010282 (DE-FC02-04ER54)) | 81 RD | 118,202 | 30,733 | 148,935 |
| General Atomics (frmly General Atomic Company) (SUB 4500013908 (DE-AC52-06 NA2)) | 81 RD | 49,149 | 26,786 | 75,935 |
| General Atomics (frmly General Atomic Company) (SUB 4500014166 (DE-FC02--04ER5)) | 81 RD | 58,855 | 12,847 | 71,702 |
| General Atomics (frmly General Atomic Company) (SUB 4500014742 (DE-FG-05ER5483)) | 99 RD | 410,014 | 88,278 | 498,292 |
| General Atomics (frmly General Atomic Company) (SUB 4500014979 (DE-FC02-04ER54)) | 81 RD | 1,768 | 932 | 2,700 |
| General Technical Services LLC (07-1-437) | 12 431 | 113,086 | 58,239 | 171,325 |
| General Technical Services LLC (59241) | 12 RD | 1,395 | 656 | 2,051 |
| General Technical Services LLC (GTS-S-09-1-056) | 12 RD | 52,573 | 27,075 | 79,648 |
| Genomas, Inc. (1R44GM085201) | 99 RD | 27,580 | 15,031 | 42,611 |
| Geo-centers, Inc. (78482) | 12 300 | 793 | 428 | 1,221 |
| Geo-centers, Inc. (78961) | 12 300 | 523 | 136 | 659 |
| George Mason University (E201347-1) | 93 853 | 34,179 | 18,456 | 52,635 |
| George Washington University (05-S41-04) | 84 336 | 110,897 | 8,872 | 119,769 |
| George Washington University (07-M24-001) | 93 396 | 20,187 | 10,212 | 30,399 |
| George Washington University (23301-8-CCLS20399F) | 93 847 | 512,915 | 276,974 | 789,889 |
| George Washington University (82690) | 93 847 | 273 | 71 | 344 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| George Washington University (82705) | 93 847 | 12,145 | 3,209 | 15,354 |
| George Washington University (83818) | 93 847 | 1,154 | 594 | 1,748 |
| George Washington University (83843) | 93 847 | 25,014 | 8,255 | 33,269 |
| George Washington University (DK048489) | 93 840 | 27,853 | 7,242 | 35,095 |
| George Washington University (OSR #03033794) | 93 RD | 87,510 | 21,887 | 109,397 |
| George Washington University (OSR #03033876) | 93 847 | 100,988 | 23,297 | 124,285 |
| George Washington University (OSR #04037940) | 93 928 | 1,548 | 376 | 1,924 |
| George Washington University (S-DPP0809-JT07) | 93 847 | 13,343 | 3,325 | 16,668 |
| Georgetown University (4400-221-UC) | 93 395 | 42,765 | 20,138 | 62,903 |
| Georgetown University (71225) | 93 RD | 352 | 192 | 544 |
| Georgetown University (83287) | 93 865 | 6,019 | 1,565 | 7,584 |
| Georgetown University (83296) | 93 866 | (1,678) | (409) | (2,087) |
| Georgetown University (OSR #04037568) | 93 866 | 1,579 | 411 | 1,990 |
| Georgetown University (RX4222-078-UCI) | 93 866 | 59,128 | 30,324 | 89,452 |
| Georgetown University (RX4265715UC) | 93 866 | 55,923 | 30,596 | 86,519 |
| Georgetown University (SUB RX 4265-715-UCSD-MHTJV) | 93 866 | 257,733 | 53,644 | 311,377 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (0530478) | 47 041 | 9,405 | 4,890 | 14,295 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (82288) | 93 173 | (107) | (56) | (163) |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (B-12-M06-S8) | 12 RD | 155,096 | 55,287 | 210,383 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (E-16-V91-G2) | 12 630 | 48,706 | 25,556 | 74,262 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (E-20-K28-G4) | 47 050 | 109 | 51 | 160 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (E-21-6RU-G11) | 12 300 | 72,374 | 27,342 | 99,716 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (E-21-6RU-G4) | 12 300 | 59,556 | 30,969 | 90,525 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (G-32-6HH-G2) | 93 989 | 95,002 | 44,978 | 139,980 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (G-33-D32) | 93 859 | 30,975 | 12,534 | 43,509 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (R7038-G3) | 47 041 | 6,341 | - | 6,341 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (R9758-61) | 47 049 | 16,295 | 8,147 | 24,442 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (R9758-G2) | 47 074 | 16,039 | 5,712 | 21,751 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (R9867-G1) | 43 RD | 12,923 | 4,055 | 16,978 |
| Georgia State University (79295) | 93 865 | (6,306) | (3,311) | (9,617) |
| Georgia State University (I3492-03) | 84 324 | 28,211 | 5,206 | 33,417 |
| Gilead Palo Alto, Inc. (fmrlly Cv Therapeutics) (83780) | 93 273 | (3,976) | (2,048) | (6,024) |
| Giner, Inc. (SUB 20082974 (FA9550-08-C-0072) | 12 800 | 34,614 | 15,224 | 49,838 |
| Global Vaccines, Inc. (GVI) (017467) | 93 RD | 296,902 | 150,587 | 447,489 |
| Greenwood Resources, Inc. (GWR08062) | 10 212 | 6,580 | 3,420 | 10,000 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Group4 Labs, LLC (4508) | 12 RD | 41,399 | 16,872 | 58,271 |
| Guam, Government of (incl Guam Public Schools) (59795) | 84 RD | 20,045 | 1,604 | 21,649 |
| Gynecologic Oncology Group (27469-22) | 93 395 | 61,319 | 9,198 | 70,517 |
| Gynecologic Oncology Group (27469-46) | 93 395 | 188,218 | 12,725 | 200,943 |
| Gynecologic Oncology Group (GOG-44422) | 93 395 | 10,524 | 1,579 | 12,103 |
| Gynecologic Oncology Group (GOG-44453) | 92 RD | 11,641 | 1,746 | 13,387 |
| Gynecologic Oncology Group (GOG-44454) | 93 395 | 137,077 | 20,562 | 157,639 |
| Gynecologic Oncology Group (GOG-44867) | 93 395 | 9,059 | 1,359 | 10,418 |
| Gynecologic Oncology Group (GOG-44907) | 93 395 | 42,473 | 6,371 | 48,844 |
| Gynecologic Oncology Group (GOG-46739) | 93 395 | 43,460 | 6,519 | 49,979 |
| Gynecologic Oncology Group (GOG-47463) | 93 395 | 2,866 | 430 | 3,296 |
| Harvard Pilgrim Health Care (HPhC-44623) | 93 RD | 140,176 | 35,388 | 175,564 |
| Harvard Pilgrim Health Care (SUB 5014363(AG031093)) | 99 RD | 60,247 | 32,834 | 93,081 |
| Harvard University (the President and Fellows of Harvard College) (01-123365) | 47 RD | 133,687 | 63,337 | 197,024 |
| Harvard University (the President and Fellows of Harvard College) (111012-0102) | 93 172 | 11,873 | 6,411 | 18,284 |
| Harvard University (the President and Fellows of Harvard College) (112097) | 93 115 | 24,892 | 6,073 | 30,965 |
| Harvard University (the President and Fellows of Harvard College) (131121-01) | 47 049 | 335 | (342) | (7) |
| Harvard University (the President and Fellows of Harvard College) (131178) | 93 286 | 18,315 | 8,144 | 26,459 |
| Harvard University (the President and Fellows of Harvard College) (23480.1111002.UCSF) | 93 393 | 43,648 | 23,788 | 67,436 |
| Harvard University (the President and Fellows of Harvard College) (5004785) | 47 074 | 888 | 444 | 1,332 |
| Harvard University (the President and Fellows of Harvard College) (5012928-00) | 47 050 | 29,272 | - | 29,272 |
| Harvard University (the President and Fellows of Harvard College) (502024100) | 47 050 | 26,463 | 13,761 | 40,224 |
| Harvard University (the President and Fellows of Harvard College) (59268) | 12 300 | (888) | (422) | (1,310) |
| Harvard University (the President and Fellows of Harvard College) (59384) | 93 393 | 140 | 67 | 207 |
| Harvard University (the President and Fellows of Harvard College) (59386) | 99 RD | 984 | 468 | 1,452 |
| Harvard University (the President and Fellows of Harvard College) (79252) | 93 172 | (113) | (61) | (174) |
| Harvard University (the President and Fellows of Harvard College) (83929) | 93 242 | (3,039) | (1,656) | (4,695) |
| Harvard University (the President and Fellows of Harvard College) (86448) | 93 855 | 86,123 | 44,784 | 130,907 |
| Harvard University (the President and Fellows of Harvard College) (BIDMC-43820) | 93 396 | 63,063 | 25,321 | 88,384 |
| Harvard University (the President and Fellows of Harvard College) (HPhC-42904) | 93 859 | 8,526 | (4,548) | 3,978 |
| Harvard University (the President and Fellows of Harvard College) (SUB 135953 (MH078979)) | 93 242 | 26,579 | 14,486 | 41,065 |
| Harvard University (the President and Fellows of Harvard College) (SUB 148858.0003 (HL094963)) | 93 839 | 300,232 | 153,435 | 453,667 |
| Harvard University (the President and Fellows of Harvard College) (UCSF#A106554) | 93 RD | 19,785 | 4,796 | 24,581 |
| Hauptman-Woodward Medical Research Inst (frmly Med Fdn of Buffalo) (6210) | 93 859 | 141,987 | 74,543 | 216,530 |
| Health Care Council of Orange County, Area Health Education Center (HCCOC-37281) | 93 107 | (95) | (8) | (103) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Health Care Council of Orange County, Area Health Education Center (HCCOC-43121) | 93 107 | 8,813 | 705 | 9,518 |
| Health Effects Institute (59414) | 99 RD | 325 | 140 | 465 |
| Health Research, Inc. (incl Roswell Park Cancer Institute) (010140) | 93 395 | 88,892 | 46,224 | 135,116 |
| Health Strategies International (83617) | 99 RD | (474) | (123) | (597) |
| Hebrew Seniorlife Inc. (UCSF#A109847) | 93 RD | 21,547 | 5,221 | 26,768 |
| Henry Ford Health System (20060284) | 93 395 | 80,072 | 30,929 | 111,001 |
| Henry Ford Health System (AR050562) | 93 846 | (2,252) | 2,218 | (34) |
| Henry M. Jackson Fdn for The Advancement of Military Medicine (180685) | 99 RD | 1,142,544 | 226,628 | 1,369,172 |
| Henry M. Jackson Fdn for The Advancement of Military Medicine (202576) | 99 RD | 41,850 | 21,278 | 63,128 |
| Henry M. Jackson Fdn for The Advancement of Military Medicine (SUB 0000196914 (HU001-05-02-01) | 93 856 | 14,886 | 8,113 | 22,999 |
| Hewlett-packard (20043236) | 12 RD | 635,203 | 68,203 | 703,406 |
| Hewlett-packard (20082172) | 99 RD | 5,417 | 2,925 | 8,342 |
| High Performance Technologies, Inc. (HPTI) (78575) | 12 420 | 635 | 346 | 981 |
| High Performance Technologies, Inc. (HPTI) (W911NF-07-2-0027) | 99 RD | 35,886 | 18,675 | 54,561 |
| High Precision Devices, Inc. (SB090035) | 12 RD | 21,836 | 8,158 | 29,994 |
| Higher Education for Development (frmly Assn Liaison Ofc Univ Coop) (SUB NONE (523-A-00-06-00009-00 | 98 001 | 70,923 | 9,365 | 80,288 |
| Hispanic-serving Health Professions Schools, Inc. ((U50-CCU325128-02-01)) | 93 283 | 4,643 | - | 4,643 |
| Hispanic-serving Health Professions Schools, Inc. (82535) | 93 283 | 2,921 | 234 | 3,155 |
| Hi-z Technology, Inc. (SUB 20092129 (NONE)) | 12 116 | 594 | 324 | 918 |
| Hi-z Technology, Inc. (SUB NONE 20071094) | 81 RD | 9,178 | 3,798 | 12,976 |
| Honeywell, Inc. (includes Sperry Flight & Tetrattech Data Systems, Inc) (59291) | 12 RD | 19,220 | 9,049 | 28,269 |
| Horizons, Inc. (Wisconsin) (20080111) | 93 243 | 50,943 | 12,848 | 63,791 |
| Hosp For Joint Dis 3634-05 8/00 (82135) | 93 846 | 219 | 64 | 283 |
| Hospital for Sick Children Foundation (Canada) (3210515039) | 93 847 | (8,094) | (4,411) | (12,505) |
| Howard University (632454H058911) | 93 113 | 39,322 | 9,741 | 49,063 |
| Howard University (634177-H017356) | 47 RD | 1,769 | 920 | 2,689 |
| HRL Laboratories, LLC (557976) | 99 RD | 7,031 | 2,118 | 9,149 |
| HRL Laboratories, LLC (702086-BS) | 99 RD | 258,449 | 134,527 | 392,976 |
| HRL Laboratories, LLC (801888-BS) | 12 630 | 68,438 | 31,880 | 100,318 |
| HRL Laboratories, LLC (PO#702085-BS) | 99 RD | 55,519 | 29,980 | 85,499 |
| HRL Laboratories, LLC (SUB 801363-BS (N66001-08-C-204) | 12 910 | 12,210 | 3,388 | 15,598 |
| Hypercomp, Incorporated (20082689) | 99 RD | 15,555 | 6,962 | 22,517 |
| Hypres, Inc. (025678) | 12 RD | 20,000 | - | 20,000 |
| Icelandic Heart Association (Iceland) (82500) | 93 RD | (197) | (51) | (248) |
| Icelandic Heart Association (Iceland) (UCSF#A109945) | 93 RD | 27,093 | 6,684 | 33,777 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| ICF Incorporated (28HT00406) | 99 RD | 8,711 | 1,742 | 10,453 |
| Idacorp (incl Idatech Llc) (86874) | 81 RD | 41,438 | 21,548 | 62,986 |
| Idaho Natl Engineerng & Environmntl Lab (DOE GOCO Run by Bechtel Bwxt) (00075482) | 81 RD | 64,845 | 26,824 | 91,669 |
| Idaho Natl Engineerng & Environmntl Lab (DOE GOCO Run by Bechtel Bwxt) (00077648) | 81 RD | 45,877 | 23,999 | 69,876 |
| Idaho Natl Engineerng & Environmntl Lab (DOE GOCO Run by Bechtel Bwxt) (00081526) | 81 RD | 94,230 | 39,330 | 133,560 |
| Idaho Natl Engineerng & Environmntl Lab (DOE GOCO Run by Bechtel Bwxt) (59723) | 81 RD | 9,899 | 1,104 | 11,003 |
| Image Analysis, Inc. (82652) | 99 RD | 403 | 105 | 508 |
| Immport Therapeutics, Inc. (ADI-44982) | 93 855 | 25,553 | 13,416 | 38,969 |
| Immport Therapeutics, Inc. (ITI-40041) | 93 856 | 81,921 | 43,009 | 124,930 |
| Immport Therapeutics, Inc. (ITI-41406) | 93 856 | 32,888 | 15,295 | 48,183 |
| Immport Therapeutics, Inc. (ITI-43559) | 93 855 | 53,653 | 28,168 | 81,821 |
| Immport Therapeutics, Inc. (ITI-44981) | 93 855 | 40,037 | 21,019 | 61,056 |
| Immucell Corporation (59471) | 99 RD | 1,000 | - | 1,000 |
| Impact Technologies LLC (S07-893A) | 99 RD | 794 | 206 | 1,000 |
| Incorporated Research Institutions for Seismology (iris Consortium) (08-GSN) | 47 RD | 21,561 | 6,012 | 27,573 |
| Incorporated Research Institutions for Seismology (iris Consortium) (09-GSN/DMS) | 47 050 | 2,154,882 | 979,427 | 3,134,309 |
| Incorporated Research Institutions for Seismology (iris Consortium) (472) | 47 050 | 168,014 | 60,037 | 228,051 |
| Incorporated Research Institutions for Seismology (iris Consortium) (479) | 47 050 | 131,273 | 71,544 | 202,817 |
| Incorporated Research Institutions for Seismology (iris Consortium) (71-TA) | 47 050 | 560,945 | 277,624 | 838,569 |
| Incorporated Research Institutions for Seismology (iris Consortium) (72-TA) | 47 050 | 189,837 | 49,358 | 239,195 |
| Indiana University (025955) | 45 161 | 14,237 | 7,546 | 21,783 |
| Indiana University (136-26-29) | 98 001 | 2,801 | 728 | 3,529 |
| Indiana University (20050655) | 93 865 | 18,189 | 9,822 | 28,011 |
| Indiana University (59775) | 47 050 | 8,789 | - | 8,789 |
| Indiana University (71034) | 93 242 | 4,198 | 2,288 | 6,486 |
| Indiana University (84306) | 93 172 | 8,967 | 4,707 | 13,674 |
| Indiana University (DBI0421630) | 47 074 | 3,734 | (3,759) | (25) |
| Indiana University (DBI0820451) | 47 074 | 106,362 | 49,757 | 156,119 |
| Indiana University (PO# 311840) | 12 431 | 206,749 | 111,645 | 318,394 |
| Indiana University (SUB356184(OCI-0721656)) | 47 080 | 15,724 | 8,570 | 24,294 |
| Indiana University (UCSF#A106471) | 93 865 | 212,178 | 55,777 | 267,955 |
| Infozen (SUB SDSC1008 (NONE)) | 93 RD | 2,305,110 | 782,735 | 3,087,845 |
| Infralase, Inc. (SP-37953) | 93 173 | 31,338 | 16,452 | 47,790 |
| Inlustra Technologies, LLC (SB080076) | 81 087 | 83,065 | 42,769 | 125,834 |
| Inlustra Technologies, LLC (SB090009) | 12 400 | 83,740 | 43,126 | 126,866 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Inner Health, Incorporated (R43HD051243) | 93 RD | 3,194 | 1,741 | 4,935 |
| Inner Health, Incorporated (UCSF#A111060) | 93 361 | 5,119 | 2,790 | 7,909 |
| Innovative Scientific Solutions, Inc. (SUB SB00509 (F33615-03-D-2329) | 12 800 | 5,427 | 2,877 | 8,304 |
| Inst for Neurodegenerative Disorders, Inc. (IND) (20072493) | 12 420 | 8 | 2 | 10 |
| Inst of Ecosystem Studies Inc. (2919200200914) | 47 074 | 15,331 | 7,282 | 22,613 |
| Inst of Ecosystem Studies Inc. (2958200200991) | 47 RD | 84,115 | 39,599 | 123,714 |
| Inst of Global Environment and Society, Inc. (IGES) (IGES-39188) | 43 001 | 41,824 | 10,874 | 52,698 |
| Integral Molecular, Inc. (023661) | 93 856 | 210,681 | 102,120 | 312,801 |
| Integral Molecular, Inc. (78078) | 93 RD | 1,328 | 691 | 2,019 |
| Integrated Ocean Drilling Program (IODP) (IODP-MI-05-04) | 47 050 | 172,609 | 94,072 | 266,681 |
| Integrated Ocean Drilling Program (IODP) (IODP-MI-08-03) | 47 050 | 13,760 | 6,192 | 19,952 |
| Intel Corporation (4507427631) | 12 RD | 48,072 | 24,757 | 72,829 |
| Intelligent Automation, Inc. (IAI) (86728) | 12 RD | 1,744 | (1,744) | - |
| Intelligent Medical Objects, Inc. (59373) | 99 RD | 909 | 432 | 1,341 |
| Internet Solutions for Kids, Inc. (ISK) (UCSF#A109438) | 93 242 | (2,245) | (1,224) | (3,469) |
| Intl Business Machines Corporation (IBM) (A0854202) | 99 RD | 51,988 | 27,034 | 79,022 |
| Intl Business Machines Corporation (IBM) (PO#5002519282) | 99 RD | 331,704 | 155,316 | 487,020 |
| Intl Business Machines Corporation (IBM) (W0752403) | 99 RD | 47,620 | 25,715 | 73,335 |
| Intl Fdn for Science, Health and Environment, The (57593) | 99 RD | (5,486) | (1,426) | (6,912) |
| Intl Fdn of Hope (us Based Non-govt Org Support Afghanistan) (019075) | 98 RD | (21,032) | (7,151) | (28,183) |
| Intl Hiv/aids Alliance Usa Inc. (Intl) (UCSF#A108362) | 98 RD | 234,596 | 58,571 | 293,167 |
| Intl Maize & Wheat Center (ctr Intl Demejora Maiz Y Trigo) (016258) | 98 RD | 196,097 | - | 196,097 |
| Intl Maize & Wheat Center (ctr Intl Demejora Maiz Y Trigo) (0502) | 10 RD | 18,602 | 4,201 | 22,803 |
| Intl Maize & Wheat Center (ctr Intl Demejora Maiz Y Trigo) (20879) | 10 RD | 83 | (83) | - |
| Intl Research & Exchanges Board (Intl) (20670) | 19 300 | 11,638 | - | 11,638 |
| Intl Rice Research Institute (IRRI) (Intl) (DPPC2006-144) | 99 RD | 21,204 | 3,817 | 25,021 |
| Intuitive Surgical, Inc. (31392) | 93 286 | (11,971) | - | (11,971) |
| Invitrogen Corporation (incl Bioreliance Corp) (San Diego, Ca) (83322) | 93 RD | 1,443 | 743 | 2,186 |
| Iowa State University (incl Iowa Energy Center) (08000214) | 47 074 | 12,025 | 6,253 | 18,278 |
| Iowa State University (incl Iowa Energy Center) (420-40-21 A) | 47 074 | 24,670 | 6,414 | 31,084 |
| Iowa State University (incl Iowa Energy Center) (420-72-25) | 47 041 | 37,739 | 15,872 | 53,611 |
| Iowa State University (incl Iowa Energy Center) (4301702) | 93 865 | 103,805 | 20,981 | 124,786 |
| Iowa State University (incl Iowa Energy Center) (4301704) | 93 242 | 153,802 | 29,880 | 183,682 |
| Iowa State University (incl Iowa Energy Center) (4301706) | 93 242 | 28,239 | 5,685 | 33,924 |
| Iowa State University (incl Iowa Energy Center) (430-21-14A) | 93 859 | 6,630 | 3,580 | 10,210 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Iowa State University (incl Iowa Energy Center) (4304671) | 93 846 | 22,172 | 3,445 | 25,617 |
| Ipitek Integrated Phontonic Technology (Carlsbad, Ca) (20063678) | 99 RD | 9,783 | 5,283 | 15,066 |
| Ipitek Integrated Phontonic Technology (Carlsbad, Ca) (20090538) | 99 RD | 13,636 | 7,364 | 21,000 |
| Ipitek Integrated Phontonic Technology (Carlsbad, Ca) (78269) | 12 RD | 24,045 | 12,623 | 36,668 |
| Irvine Sensors Corporation (25271) | 12 RD | 25,460 | 9,359 | 34,819 |
| Isis Pharmaceuticals (59480) | 99 RD | (52,490) | (24,933) | (77,423) |
| Isis Pharmaceuticals (82034) | 99 RD | (39,957) | (18,980) | (58,937) |
| Isis Pharmaceuticals (82164) | 99 RD | (50,134) | (23,814) | (73,948) |
| Iss, Inc. (ISS-43354) | 93 282 | 6,546 | 3,437 | 9,983 |
| J. Craig Venter Institute (40248) | 99 RD | 28,499 | 15,105 | 43,604 |
| J. Craig Venter Institute (40356) | 99 RD | 10,675 | 5,658 | 16,333 |
| J. Craig Venter Institute (87102) | 93 RD | 35 | (35) | - |
| J. Craig Venter Institute (JCVI-07-007) | 93 859 | 24,801 | 11,656 | 36,457 |
| J. Craig Venter Institute (JCVI-08-004) | 93 859 | 32,621 | 15,332 | 47,953 |
| J. David Gladstone Institutes (784000A (AG11385)) | 93 866 | 74,958 | 40,852 | 115,810 |
| J. David Gladstone Institutes (82071) | 93 856 | 10,148 | 4,820 | 14,968 |
| J. David Gladstone Institutes (82158) | 93 856 | (9,052) | (4,300) | (13,352) |
| J. David Gladstone Institutes (82267) | 93 866 | 23,091 | 12,007 | 35,098 |
| J. David Gladstone Institutes (82268) | 93 866 | (804) | (418) | (1,222) |
| J. David Gladstone Institutes (82297) | 99 RD | (21,855) | (9,866) | (31,721) |
| J. David Gladstone Institutes (82340) | 93 864 | (9,942) | (4,722) | (14,664) |
| J. David Gladstone Institutes (82429) | 93 586 | (24) | (6) | (30) |
| J. David Gladstone Institutes (82778) | 93 847 | 343 | 163 | 506 |
| J. David Gladstone Institutes (83148) | 93 864 | 1,043 | 495 | 1,538 |
| J. David Gladstone Institutes (83504) | 93 856 | (13,259) | (6,828) | (20,087) |
| J. David Gladstone Institutes (83696) | 93 586 | 67 | 32 | 99 |
| J. David Gladstone Institutes (83886) | 93 586 | 3,325 | 864 | 4,189 |
| J. David Gladstone Institutes (OSR #03033615) | 93 856 | 219,391 | 114,053 | 333,444 |
| J. David Gladstone Institutes (OSR #04036891) | 93 856 | 18,561 | 4,601 | 23,162 |
| J. David Gladstone Institutes (R00412-E) | 93 856 | 11,602 | 6,323 | 17,925 |
| J. David Gladstone Institutes (R00757A) | 93 859 | 22,735 | 12,390 | 35,125 |
| J. David Gladstone Institutes (R2192-A) | 93 837 | 218,148 | 115,016 | 333,164 |
| J. David Gladstone Institutes (RA2126B) | 93 856 | 233,963 | 121,740 | 355,703 |
| J. David Gladstone Institutes (SUB R2187-A (AG022074)) | 93 RD | 300,928 | 164,006 | 464,934 |
| J. David Gladstone Institutes (SUB R2187-AB (AG02074)) | 93 RD | 8,625 | 4,701 | 13,326 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| J. David Gladstone Institutes (UCSF#A108539) | 93 855 | 159,181 | 86,754 | 245,935 |
| J. David Gladstone Institutes (UCSF#A111787) | 93 837 | 5,760 | 3,139 | 8,899 |
| Jackson State University (633051) | 47 RD | 12,482 | 6,491 | 18,973 |
| Jackson State University (DMR-0611539) | 47 049 | 69,133 | 20,233 | 89,366 |
| Jacksonville State University (78299) | 93 206 | (4,925) | (2,585) | (7,510) |
| Jaeb Center for Health Research, Inc. (04022900) | 93 867 | 10,614 | 5,572 | 16,186 |
| Jaeb Center for Health Research, Inc. (59464) | 93 867 | 2,125 | (2,002) | 123 |
| Jaeb Center for Health Research, Inc. (87916) | 93 867 | 19,693 | 10,247 | 29,940 |
| Jaeb Center for Health Research, Inc. (EY11751) | 93 867 | 2,970 | (1,368) | 1,602 |
| Jaeb Center for Health Research, Inc. (JCHR-36624) | 93 RD | (43) | (35) | (78) |
| Jaeb Center for Health Research, Inc. (JCHR-42099) | 93 RD | 6,270 | 2,880 | 9,150 |
| Jaeb Center for Health Research, Inc. (JCHR-42124) | 93 RD | 1,291 | 678 | 1,969 |
| Japan Aerospace Exploration Agency (jaxa)(frmly Nasda)(Japan) (81786) | 99 RD | 3,925 | 1,020 | 4,945 |
| Jet Propulsion Laboratory (07004320) | 12 800 | 24,351 | 5,002 | 29,353 |
| Jet Propulsion Laboratory (82019) | 43 RD | 333,936 | 178,933 | 512,869 |
| Jet Propulsion Laboratory (1291909) | 43 RD | 597 | 325 | 922 |
| Jet Propulsion Laboratory (1295938) | 43 RD | 5,850 | 3,188 | 9,038 |
| Jet Propulsion Laboratory (1297596) | 43 RD | 100,925 | 55,004 | 155,929 |
| Jet Propulsion Laboratory (1224031) | 43 002 | 75,348 | 34,496 | 109,844 |
| Jet Propulsion Laboratory (1236948) | 99 RD | 280,385 | 111,371 | 391,756 |
| Jet Propulsion Laboratory (1253232) | 43 RD | 72,993 | 37,956 | 110,949 |
| Jet Propulsion Laboratory (1255574) | 43 RD | 184,303 | 31,332 | 215,635 |
| Jet Propulsion Laboratory (1257032) | 99 RD | 114,917 | 56,793 | 171,710 |
| Jet Propulsion Laboratory (1257033) | 99 RD | 82,827 | 43,289 | 126,116 |
| Jet Propulsion Laboratory (1259170) | 99 RD | 5,291 | 2,857 | 8,148 |
| Jet Propulsion Laboratory (1259524) | 43 RD | 20,900 | 10,972 | 31,872 |
| Jet Propulsion Laboratory (1261740) | 43 RD | 71,759 | 36,949 | 108,708 |
| Jet Propulsion Laboratory (1265065) | 43 RD | 1,861 | (2,464) | (603) |
| Jet Propulsion Laboratory (1265107) | 43 RD | 259,562 | 44,943 | 304,505 |
| Jet Propulsion Laboratory (1268385) | 43 RD | 130,617 | 49,634 | 180,251 |
| Jet Propulsion Laboratory (1269064) | 99 RD | 17,607 | 9,508 | 27,115 |
| Jet Propulsion Laboratory (1272216) | 43 RD | 2,274 | 1,182 | 3,456 |
| Jet Propulsion Laboratory (1275621) | 99 RD | 23,147 | 11,097 | 34,244 |
| Jet Propulsion Laboratory (1278263) | 43 RD | 3,169 | 1,204 | 4,373 |
| Jet Propulsion Laboratory (1278932) | 99 RD | 4,924 | 2,659 | 7,583 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Jet Propulsion Laboratory (1279474) | 43 RD | 167,636 | 87,171 | 254,807 |
| Jet Propulsion Laboratory (1281080) | 99 RD | 97,306 | 52,545 | 149,851 |
| Jet Propulsion Laboratory (1281394) | 99 RD | 114,794 | 50,749 | 165,543 |
| Jet Propulsion Laboratory (1281398) | 99 RD | 36,412 | 17,025 | 53,437 |
| Jet Propulsion Laboratory (1282043) | 43 RD | 30,724 | 11,465 | 42,189 |
| Jet Propulsion Laboratory (1282480) | 99 RD | 33,021 | 12,796 | 45,817 |
| Jet Propulsion Laboratory (1285606) | 99 RD | 7,519 | 1,955 | 9,474 |
| Jet Propulsion Laboratory (1287798) | 43 RD | 34,390 | 12,142 | 46,532 |
| Jet Propulsion Laboratory (1287871) | 43 RD | 631 | 335 | 966 |
| Jet Propulsion Laboratory (1287875) | 43 RD | 1,075 | 570 | 1,645 |
| Jet Propulsion Laboratory (1287935) | 43 RD | 14,517 | 4,321 | 18,838 |
| Jet Propulsion Laboratory (1287965) | 43 RD | 20,169 | 10,488 | 30,657 |
| Jet Propulsion Laboratory (1288174) | 43 RD | 12,101 | 6,171 | 18,272 |
| Jet Propulsion Laboratory (1289324) | 99 RD | 26,447 | 9,246 | 35,693 |
| Jet Propulsion Laboratory (1289395) | 43 RD | 13,217 | 6,741 | 19,958 |
| Jet Propulsion Laboratory (1289537) | 99 RD | 3,850 | 2,079 | 5,929 |
| Jet Propulsion Laboratory (1289575) | 99 RD | 3,174 | 1,714 | 4,888 |
| Jet Propulsion Laboratory (1289767) | 43 RD | 9,778 | 5,329 | 15,107 |
| Jet Propulsion Laboratory (1289807) | 43 RD | 14,143 | 6,647 | 20,790 |
| Jet Propulsion Laboratory (1290430) | 43 RD | 7 | 4 | 11 |
| Jet Propulsion Laboratory (1290687) | 43 RD | 23,372 | 12,270 | 35,642 |
| Jet Propulsion Laboratory (1290769) | 43 001 | 20,862 | 10,639 | 31,501 |
| Jet Propulsion Laboratory (1291395) | 99 RD | 1,167 | 630 | 1,797 |
| Jet Propulsion Laboratory (1291967) | 43 RD | 57,494 | 27,827 | 85,321 |
| Jet Propulsion Laboratory (1292391) | 43 RD | 13,699 | 6,667 | 20,366 |
| Jet Propulsion Laboratory (1293486) | 99 RD | 48,211 | 20,999 | 69,210 |
| Jet Propulsion Laboratory (1297596-01A) | 43 RD | 61,833 | 32,398 | 94,231 |
| Jet Propulsion Laboratory (1298821) | 43 RD | 35,726 | 10,218 | 45,944 |
| Jet Propulsion Laboratory (1301130) | 43 RD | 10,089 | 5,211 | 15,300 |
| Jet Propulsion Laboratory (1302155) | 43 RD | 11,984 | 5,449 | 17,433 |
| Jet Propulsion Laboratory (1308818) | 99 RD | 34,195 | 12,000 | 46,195 |
| Jet Propulsion Laboratory (1310291) | 43 RD | 14,500 | 7,685 | 22,185 |
| Jet Propulsion Laboratory (1310310) | 43 RD | 3,037 | 1,595 | 4,632 |
| Jet Propulsion Laboratory (1310512) | 43 RD | 640 | 326 | 966 |
| Jet Propulsion Laboratory (1310515) | 43 RD | 5,734 | 2,924 | 8,658 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|-------------------------------------|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Jet Propulsion Laboratory (1311913) | 99 RD | 3,385 | 1,828 | 5,213 |
| Jet Propulsion Laboratory (1312546) | 99 RD | 20,606 | - | 20,606 |
| Jet Propulsion Laboratory (1313311) | 99 RD | 13,279 | - | 13,279 |
| Jet Propulsion Laboratory (1314810) | 99 RD | 26,047 | 14,065 | 40,112 |
| Jet Propulsion Laboratory (1315304) | 99 RD | 17,284 | - | 17,284 |
| Jet Propulsion Laboratory (1316116) | 99 RD | 2,897 | 1,565 | 4,462 |
| Jet Propulsion Laboratory (1316123) | 99 RD | 7,518 | 4,060 | 11,578 |
| Jet Propulsion Laboratory (1316249) | 99 RD | 1,260 | 680 | 1,940 |
| Jet Propulsion Laboratory (1316274) | 99 RD | 4,283 | 2,313 | 6,596 |
| Jet Propulsion Laboratory (1316298) | 99 RD | 212 | 114 | 326 |
| Jet Propulsion Laboratory (1317427) | 12 800 | 23,287 | 6,055 | 29,342 |
| Jet Propulsion Laboratory (1317548) | 43 RD | 12,315 | 6,281 | 18,596 |
| Jet Propulsion Laboratory (1318935) | 43 RD | 30,407 | 15,964 | 46,371 |
| Jet Propulsion Laboratory (1319013) | 43 RD | 15,955 | 5,700 | 21,655 |
| Jet Propulsion Laboratory (1319381) | 43 RD | 29,710 | 15,301 | 45,011 |
| Jet Propulsion Laboratory (1319392) | 43 RD | 16,071 | 8,196 | 24,267 |
| Jet Propulsion Laboratory (1319634) | 99 RD | 621 | 335 | 956 |
| Jet Propulsion Laboratory (1319715) | 43 RD | 951 | 518 | 1,469 |
| Jet Propulsion Laboratory (1320707) | 43 RD | 59,836 | 31,713 | 91,549 |
| Jet Propulsion Laboratory (1322042) | 43 RD | 8,763 | 4,601 | 13,364 |
| Jet Propulsion Laboratory (1322321) | 43 RD | 7,130 | 3,779 | 10,909 |
| Jet Propulsion Laboratory (1322515) | 43 RD | 20,444 | 3,394 | 23,838 |
| Jet Propulsion Laboratory (1323118) | 99 RD | 86,827 | 22,575 | 109,402 |
| Jet Propulsion Laboratory (1323531) | 43 RD | 18,333 | 9,625 | 27,958 |
| Jet Propulsion Laboratory (1326658) | 43 RD | 16,121 | 3,179 | 19,300 |
| Jet Propulsion Laboratory (1326733) | 43 RD | 10,064 | 4,441 | 14,505 |
| Jet Propulsion Laboratory (1329604) | 43 001 | 3,500 | 910 | 4,410 |
| Jet Propulsion Laboratory (1329732) | 43 RD | 578 | (533) | 45 |
| Jet Propulsion Laboratory (1330789) | 99 RD | 60,384 | 15,700 | 76,084 |
| Jet Propulsion Laboratory (1330992) | 43 RD | 24,729 | 3,967 | 28,696 |
| Jet Propulsion Laboratory (1333150) | 99 RD | 7,517 | 4,059 | 11,576 |
| Jet Propulsion Laboratory (1333265) | 43 RD | 18,021 | 9,551 | 27,572 |
| Jet Propulsion Laboratory (1333280) | 43 RD | 8,315 | 4,241 | 12,556 |
| Jet Propulsion Laboratory (1333689) | 43 RD | 13,268 | 7,231 | 20,499 |
| Jet Propulsion Laboratory (1333694) | 43 RD | 9,202 | 5,015 | 14,217 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|-------------------------------------|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Jet Propulsion Laboratory (1334027) | 43 RD | 8,094 | 4,128 | 12,222 |
| Jet Propulsion Laboratory (1334931) | 43 RD | 12,987 | 6,688 | 19,675 |
| Jet Propulsion Laboratory (1335861) | 99 RD | 16,231 | 8,765 | 24,996 |
| Jet Propulsion Laboratory (1336910) | 43 RD | 48,958 | 14,198 | 63,156 |
| Jet Propulsion Laboratory (1337274) | 99 RD | 40,286 | 20,675 | 60,961 |
| Jet Propulsion Laboratory (1338195) | 99 RD | 20,263 | 10,942 | 31,205 |
| Jet Propulsion Laboratory (1339448) | 99 RD | 7,244 | 3,912 | 11,156 |
| Jet Propulsion Laboratory (1340227) | 99 RD | 61,252 | 15,926 | 77,178 |
| Jet Propulsion Laboratory (1340317) | 99 RD | 8,117 | 4,383 | 12,500 |
| Jet Propulsion Laboratory (1341099) | 99 RD | 14,522 | - | 14,522 |
| Jet Propulsion Laboratory (1341442) | 99 RD | 104,676 | - | 104,676 |
| Jet Propulsion Laboratory (1341516) | 99 RD | 26,817 | - | 26,817 |
| Jet Propulsion Laboratory (1341916) | 99 RD | 26,465 | 10,344 | 36,809 |
| Jet Propulsion Laboratory (1341955) | 99 RD | 58,848 | 31,777 | 90,625 |
| Jet Propulsion Laboratory (1342991) | 99 RD | 9 | 5 | 14 |
| Jet Propulsion Laboratory (1343535) | 43 001 | 59,453 | 18,046 | 77,499 |
| Jet Propulsion Laboratory (1344270) | 99 RD | 4,339 | 2,343 | 6,682 |
| Jet Propulsion Laboratory (1344434) | 99 RD | 29,558 | 15,962 | 45,520 |
| Jet Propulsion Laboratory (1345442) | 43 RD | 22,720 | 11,701 | 34,421 |
| Jet Propulsion Laboratory (1345455) | 43 RD | 1,066 | 555 | 1,621 |
| Jet Propulsion Laboratory (1345993) | 99 RD | 43,589 | 11,333 | 54,922 |
| Jet Propulsion Laboratory (1346157) | 99 RD | 9,189 | 4,962 | 14,151 |
| Jet Propulsion Laboratory (1346587) | 99 RD | 14,159 | 3,073 | 17,232 |
| Jet Propulsion Laboratory (1346810) | 43 RD | 5,587 | 1,985 | 7,572 |
| Jet Propulsion Laboratory (1346813) | 43 RD | 13,783 | 7,098 | 20,881 |
| Jet Propulsion Laboratory (1346951) | 99 RD | 29,998 | - | 29,998 |
| Jet Propulsion Laboratory (1347122) | 99 RD | 2,288 | 1,236 | 3,524 |
| Jet Propulsion Laboratory (1347286) | 99 RD | 74,749 | - | 74,749 |
| Jet Propulsion Laboratory (1347651) | 99 RD | 5,213 | 2,815 | 8,028 |
| Jet Propulsion Laboratory (1348615) | 99 RD | 55,000 | - | 55,000 |
| Jet Propulsion Laboratory (1349036) | 99 RD | 11,464 | 2,981 | 14,445 |
| Jet Propulsion Laboratory (1349116) | 43 RD | 23,250 | 6,743 | 29,993 |
| Jet Propulsion Laboratory (1350107) | 43 RD | 12,876 | 3,734 | 16,610 |
| Jet Propulsion Laboratory (1350109) | 43 RD | 9,168 | 2,659 | 11,827 |
| Jet Propulsion Laboratory (1351368) | 43 RD | 24,591 | 10,409 | 35,000 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|-------------------------------------|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Jet Propulsion Laboratory (1351650) | 43 RD | 26,444 | 14,015 | 40,459 |
| Jet Propulsion Laboratory (1351654) | 43 RD | 1,244 | 659 | 1,903 |
| Jet Propulsion Laboratory (1351971) | 43 RD | 144,445 | 74,389 | 218,834 |
| Jet Propulsion Laboratory (1352579) | 99 RD | 50,566 | 13,147 | 63,713 |
| Jet Propulsion Laboratory (1354544) | 43 002 | 24,104 | 8,058 | 32,162 |
| Jet Propulsion Laboratory (1355156) | 99 RD | 11,407 | 4,481 | 15,888 |
| Jet Propulsion Laboratory (1356151) | 43 RD | 30,930 | 16,393 | 47,323 |
| Jet Propulsion Laboratory (1356389) | 43 RD | 67,137 | 11,145 | 78,282 |
| Jet Propulsion Laboratory (1356636) | 99 RD | 24,118 | 6,271 | 30,389 |
| Jet Propulsion Laboratory (1356968) | 43 RD | 657 | 341 | 998 |
| Jet Propulsion Laboratory (1358978) | 43 001 | 117,429 | 40,787 | 158,216 |
| Jet Propulsion Laboratory (1359315) | 99 RD | 67,906 | 17,656 | 85,562 |
| Jet Propulsion Laboratory (1359449) | 47 RD | 3,893 | 2,064 | 5,957 |
| Jet Propulsion Laboratory (1359452) | 43 RD | 517 | 274 | 791 |
| Jet Propulsion Laboratory (1359454) | 43 RD | 1,538 | 815 | 2,353 |
| Jet Propulsion Laboratory (1360271) | 43 RD | 3,618 | 1,863 | 5,481 |
| Jet Propulsion Laboratory (1360781) | 43 RD | 1,090 | 283 | 1,373 |
| Jet Propulsion Laboratory (1361477) | 43 RD | 25,675 | 7,446 | 33,121 |
| Jet Propulsion Laboratory (1361760) | 43 RD | 4,249 | 1,232 | 5,481 |
| Jet Propulsion Laboratory (1362993) | 43 RD | 13,782 | 3,997 | 17,779 |
| Jet Propulsion Laboratory (1364821) | 99 RD | 1,483 | 801 | 2,284 |
| Jet Propulsion Laboratory (1367008) | 43 RD | 257 | 132 | 389 |
| Jet Propulsion Laboratory (1367475) | 43 001 | 17,342 | 8,931 | 26,273 |
| Jet Propulsion Laboratory (1367520) | 43 RD | 13,673 | 3,555 | 17,228 |
| Jet Propulsion Laboratory (1368051) | 99 RD | 19,945 | - | 19,945 |
| Jet Propulsion Laboratory (1368161) | 43 RD | 12,298 | 6,518 | 18,816 |
| Jet Propulsion Laboratory (1368202) | 99 RD | 18,762 | 8,453 | 27,215 |
| Jet Propulsion Laboratory (1368209) | 99 RD | 27,700 | 14,958 | 42,658 |
| Jet Propulsion Laboratory (1368394) | 43 001 | 10,554 | 5,541 | 16,095 |
| Jet Propulsion Laboratory (1368424) | 43 RD | 312 | 81 | 393 |
| Jet Propulsion Laboratory (1368431) | 43 001 | 1,289 | 664 | 1,953 |
| Jet Propulsion Laboratory (1368580) | 43 RD | 270,359 | 130,125 | 400,484 |
| Jet Propulsion Laboratory (1369236) | 99 RD | 47,605 | 25,706 | 73,311 |
| Jet Propulsion Laboratory (1369285) | 99 RD | 11,885 | 3,090 | 14,975 |
| Jet Propulsion Laboratory (1370416) | 43 RD | 4,400 | 2,332 | 6,732 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Jet Propulsion Laboratory (1371773) | 43 RD | 2,384 | 691 | 3,075 |
| Jet Propulsion Laboratory (1371977) | 99 RD | 2,672 | 1,443 | 4,115 |
| Jet Propulsion Laboratory (1372289) | 99 RD | 10,275 | - | 10,275 |
| Jet Propulsion Laboratory (1372364) | 99 RD | 1,582 | - | 1,582 |
| Jet Propulsion Laboratory (1372889) | 43 RD | 4,970 | 1,942 | 6,912 |
| Jet Propulsion Laboratory (1373199) | 43 001 | 3,020 | 1,585 | 4,605 |
| Jet Propulsion Laboratory (1376646) | 43 RD | 2,272 | 1,204 | 3,476 |
| Jet Propulsion Laboratory (31043) | 43 RD | (3,844) | (1,999) | (5,843) |
| Jet Propulsion Laboratory (31143) | 43 001 | 14,120 | 7,343 | 21,463 |
| Jet Propulsion Laboratory (57518) | 99 RD | 2 | 1 | 3 |
| Jet Propulsion Laboratory (57869) | 99 RD | (195) | (105) | (300) |
| Jet Propulsion Laboratory (57871) | 99 RD | (7,091) | (3,813) | (10,904) |
| Jet Propulsion Laboratory (57886) | 99 RD | (2,852) | (1,535) | (4,387) |
| Jet Propulsion Laboratory (59359) | 43 RD | (108) | (51) | (159) |
| Jet Propulsion Laboratory (59360) | 43 RD | 1,511 | 762 | 2,273 |
| Jet Propulsion Laboratory (59671) | 43 001 | 2,313 | 1,133 | 3,446 |
| Jet Propulsion Laboratory (59704) | 43 001 | 932 | 457 | 1,389 |
| Jet Propulsion Laboratory (59705) | 43 001 | 2,086 | 438 | 2,524 |
| Jet Propulsion Laboratory (59706) | 43 001 | 100 | 49 | 149 |
| Jet Propulsion Laboratory (59707) | 43 001 | (6,104) | (421) | (6,525) |
| Jet Propulsion Laboratory (78375) | 43 RD | (10) | (5) | (15) |
| Jet Propulsion Laboratory (78386) | 43 002 | (202) | (110) | (312) |
| Jet Propulsion Laboratory (78401) | 43 RD | 98 | 52 | 150 |
| Jet Propulsion Laboratory (78512) | 43 RD | 619 | 235 | 854 |
| Jet Propulsion Laboratory (78784) | 43 RD | 10,278 | 2,672 | 12,950 |
| Jet Propulsion Laboratory (79320) | 99 RD | 19,473 | 10,515 | 29,988 |
| Jet Propulsion Laboratory (82040) | 43 RD | (34) | (19) | (53) |
| Jet Propulsion Laboratory (82048) | 43 RD | (1,079) | (573) | (1,652) |
| Jet Propulsion Laboratory (86741) | 43 RD | 2,778 | 1,444 | 4,222 |
| Jet Propulsion Laboratory (RSA NO 1344454) | 43 001 | 14,749 | 5,591 | 20,340 |
| Jet Propulsion Laboratory (RSA NO. 1343699) | 99 RD | 12,450 | 6,225 | 18,675 |
| Jet Propulsion Laboratory (RSA NO. 1345459) | 43 001 | 6,563 | 3,347 | 9,910 |
| Jet Propulsion Laboratory (RSA NO. 1368113) | 99 RD | 4,511 | 1,695 | 6,206 |
| Jet Propulsion Laboratory (RSA NO. 1371600) | 99 RD | 412 | 208 | 620 |
| Jet Propulsion Laboratory (SC-09-04) | 43 001 | 39,066 | 19,924 | 58,990 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Jet Propulsion Laboratory (SC-09-05) | 43 RD | 1,867 | 952 | 2,819 |
| Jet Propulsion Laboratory (SC-09-10) | 43 001 | 4,498 | 2,294 | 6,792 |
| Jet Propulsion Laboratory (SUB 1354547 (NM0715721)) | 43 RD | 44,275 | 15,725 | 60,000 |
| Jet Propulsion Laboratory (SUB 1355318 (NONE)) | 43 RD | 13,677 | 7,454 | 21,131 |
| Jet Propulsion Laboratory (SUB 1371274 (NM0710621)) | 43 RD | 29,333 | 15,230 | 44,563 |
| Jewish Community Federation (SF, Peninsula, Marin & Sonoma Counties) (OSR #05040507) | 93 866 | 7,240 | 3,729 | 10,969 |
| Jmsi, Inc. (dba Intelligent Light) (08001190) | 12 RD | 28,889 | 10,311 | 39,200 |
| John Wayne Institute for Cancer Treatment and Research (1039A) | 93 395 | 279,193 | 150,764 | 429,957 |
| John Wayne Institute for Cancer Treatment and Research (59552) | 93 395 | 4,366 | 1,270 | 5,636 |
| John Wayne Institute for Cancer Treatment and Research (84527) | 93 395 | (114) | (38) | (152) |
| Johns Hopkins University ((EY08057)) | 93 867 | 172,578 | 84,706 | 257,284 |
| Johns Hopkins University (01113988) | 93 867 | 192,578 | 103,992 | 296,570 |
| Johns Hopkins University (05061801) | 93 867 | 12,312 | - | 12,312 |
| Johns Hopkins University (2000009872) | 93 397 | 108,733 | 58,716 | 167,449 |
| Johns Hopkins University (2000010233) | 93 397 | 3,216 | 1,736 | 4,952 |
| Johns Hopkins University (2000010397) | 93 399 | 97,267 | 47,842 | 145,109 |
| Johns Hopkins University (2000010602) | 93 242 | 15,633 | 8,329 | 23,962 |
| Johns Hopkins University (2000010770) | 47 075 | 28,169 | 7,324 | 35,493 |
| Johns Hopkins University (2000011388) | 93 866 | 45,449 | 24,543 | 69,992 |
| Johns Hopkins University (2000011606) | 93 867 | 85,451 | 46,143 | 131,594 |
| Johns Hopkins University (2000054596) | 93 399 | 71,208 | 38,452 | 109,660 |
| Johns Hopkins University (2000056534) | 93 RD | 36,770 | 3,928 | 40,698 |
| Johns Hopkins University (2000057647) | 93 RD | 2,378 | 6,117 | 8,495 |
| Johns Hopkins University (2000205550) | 93 286 | 115,208 | 58,661 | 173,869 |
| Johns Hopkins University (2000275794) | 93 859 | 23,875 | 9,944 | 33,819 |
| Johns Hopkins University (2000355860) | 93 389 | 40,777 | 22,223 | 63,000 |
| Johns Hopkins University (2000358961) | 93 399 | 43,403 | 23,437 | 66,840 |
| Johns Hopkins University (2000362576) | 93 865 | 25,102 | 13,680 | 38,782 |
| Johns Hopkins University (2000440383) | 93 853 | 24,229 | 12,720 | 36,949 |
| Johns Hopkins University (2000441242) | 93 859 | 46,579 | 19,085 | 65,664 |
| Johns Hopkins University (2000488563) | 93 113 | 2,683 | 215 | 2,898 |
| Johns Hopkins University (2000493853) | 93 856 | 46,422 | 24,139 | 70,561 |
| Johns Hopkins University (2000540077) | 93 867 | 101,284 | 14,320 | 115,604 |
| Johns Hopkins University (2000593529) | 47 049 | 19,362 | 5,000 | 24,362 |
| Johns Hopkins University (2000613432) | 47 049 | 4,011 | 286 | 4,297 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Johns Hopkins University (20080980) | 93 242 | 63,642 | 13,910 | 77,552 |
| Johns Hopkins University (22000387817) | 93 286 | 3,704 | 918 | 4,622 |
| Johns Hopkins University (57538) | 93 867 | 40,975 | 21,922 | 62,897 |
| Johns Hopkins University (57716) | 93 837 | 40,869 | 22,059 | 62,928 |
| Johns Hopkins University (59412) | 93 846 | 440 | 114 | 554 |
| Johns Hopkins University (59486) | 99 RD | 15,006 | 7,128 | 22,134 |
| Johns Hopkins University (59512) | 93 866 | 683 | 369 | 1,052 |
| Johns Hopkins University (79001) | 93 837 | (1,283) | (674) | (1,957) |
| Johns Hopkins University (79308) | 93 867 | 61,424 | 33,169 | 94,593 |
| Johns Hopkins University (79415) | 93 867 | 39,327 | 21,237 | 60,564 |
| Johns Hopkins University (82270) | 93 279 | (9,200) | (4,784) | (13,984) |
| Johns Hopkins University (82299) | 93 242 | (4,665) | (2,426) | (7,091) |
| Johns Hopkins University (82308) | 93 943 | 515 | 268 | 783 |
| Johns Hopkins University (82616) | 12 800 | (109) | (60) | (169) |
| Johns Hopkins University (84729) | 93 865 | (140) | (77) | (217) |
| Johns Hopkins University (8512-50180-X) | 93 RD | 26,506 | - | 26,506 |
| Johns Hopkins University (8609-04771-0) | 93 855 | 28,641 | 6,596 | 35,237 |
| Johns Hopkins University (8609-04855-1) | 93 855 | 39,031 | 9,787 | 48,818 |
| Johns Hopkins University (8609-05532-X) | 93 RD | 25,277 | 13,776 | 39,053 |
| Johns Hopkins University (8811-19446 (PO#2000012519)-#25) | 43 RD | 476,576 | 210,979 | 687,555 |
| Johns Hopkins University (908006) | 12 RD | 106,707 | 43,353 | 150,060 |
| Johns Hopkins University (931964) | 99 RD | 23,461 | 12,669 | 36,130 |
| Johns Hopkins University (933113) | 43 RD | 6,087 | 1,765 | 7,852 |
| Johns Hopkins University (939622) | 99 RD | 47,172 | 25,473 | 72,645 |
| Johns Hopkins University (951272) | 43 RD | 5,209 | 1,510 | 6,719 |
| Johns Hopkins University (JHU-32561) | 93 867 | 48,412 | 20,377 | 68,789 |
| Johns Hopkins University (JHU-36660) | 93 213 | 541 | 284 | 825 |
| Johns Hopkins University (OSR #02032318) | 93 848 | 57,523 | 1,963 | 59,486 |
| Johns Hopkins University (OSR #04035674) | 93 859 | (23,639) | (6,038) | (29,677) |
| Johns Hopkins University (OSR #04038024) | 93 867 | 87,848 | 23,334 | 111,182 |
| Johns Hopkins University (SUB 12000011638 (EY08057)) | 93 867 | 35,238 | 11,357 | 46,595 |
| Johns Hopkins University (SUB 2000010368 (AR051871)) | 93 846 | 837 | 456 | 1,293 |
| Johns Hopkins University (SUB 2000011270 (EY014660)) | 93 RD | 79,080 | 42,215 | 121,295 |
| Johns Hopkins University (SUB 2000011935 (HHSA2902006000)) | 93 RD | 50,562 | 27,557 | 78,119 |
| Johns Hopkins University (SUB 2000011943 (HHSA2902006000)) | 93 RD | 63,320 | 16,463 | 79,783 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Johns Hopkins University (SUB 2000442368 (GM084332)) | 93 862 | 49,649 | 27,059 | 76,708 |
| Johns Hopkins University (SUB 2007146609 (MH079784)) | 93 242 | 29,358 | 16,000 | 45,358 |
| Johns Hopkins University (SUB 65495 (EY 08057)) | 93 867 | 91,873 | 49,121 | 140,994 |
| Johns Hopkins University (SUB 8206-53653 (AST-0122449)) | 47 049 | 4,883 | 2,661 | 7,544 |
| Johns Hopkins University (CA084986) | 93 399 | 43,022 | 23,447 | 66,469 |
| Johns Hopkins University (HL085343) | 93 839 | 70,824 | 38,599 | 109,423 |
| Joint Oceanographic Institutions, Inc. (57044) | 47 050 | 1,888 | 925 | 2,813 |
| Joint Oceanographic Institutions, Inc. (JSA 7-11) | 47 050 | 1,906,701 | 475,778 | 2,382,479 |
| Joint Oceanographic Institutions, Inc. (JSA22 T310A22) | 47 050 | 99 | 54 | 153 |
| Joint Oceanographic Institutions, Inc. (JSA22-T316A22) | 47 050 | 2,191 | 985 | 3,176 |
| Joslin Diabetes Center (DK074556) | 93 849 | 4,750 | 1,330 | 6,080 |
| Juvaris Biotherapeutics, Inc. (1U01AI07451201) | 93 855 | 454,699 | 230,786 | 685,485 |
| Kab Laboratories Inc. (82858) | 12 300 | 8,020 | 4,371 | 12,391 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (08CCHAO-05-KPNC) | 99 RD | 2,564 | 1,397 | 3,961 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (115-9309-01) | 93 849 | 30,131 | 15,009 | 45,140 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (115-9315-01) | 93 865 | 2,740 | 1,369 | 4,109 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (115-9326-04) | 93 399 | 591 | 322 | 913 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (115-9351-01) | 93 837 | 27,331 | 6,827 | 34,158 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (115-9366-01) | 93 847 | 18,135 | 9,884 | 28,019 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (115-9380-01-001) | 93 859 | 19,056 | 4,955 | 24,011 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (115-9380-02) | 93 859 | 24,743 | 6,155 | 30,898 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (115-9387-04) | 93 848 | 9,312 | 5,075 | 14,387 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (115-9870-02) | 93 945 | 8,091 | 4,409 | 12,500 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (82513) | 93 849 | (634) | (326) | (960) |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (83640) | 93 226 | (211) | (109) | (320) |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (84556) | 93 271 | 144 | 37 | 181 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (84777) | 93 273 | 22,774 | 12,412 | 35,186 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (87812) | 93 848 | 23,174 | 12,051 | 35,225 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (CN-04CSOMK-02) | 93 395 | 18,324 | 4,764 | 23,088 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (OSR #03033223) | 93 RD | 100,408 | 26,106 | 126,514 |
| Kaiser Fdn Research Inst (incl Hlth Plan, Med Grp, Hosp, Permanente) (SUB 03AFERR-02-USD (KD067344)) | 93 849 | 5,968 | 1,552 | 7,520 |
| Kansas State University (S07008) | 93 866 | 51,244 | 26,647 | 77,891 |
| Kansas State University (S07009) | 10 206 | (188) | (355) | (543) |
| Kansas State University (S08173) | 12 RD | 164,330 | 85,451 | 249,781 |
| Kansas State University (S09044) | 10 206 | 5,354 | 1,339 | 6,693 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Keck Graduate Institute of Applied Life Sciences (SUB 2005-2473(EF-0527023)) | 47 074 | 66,228 | 36,094 | 102,322 |
| Keck Graduate Institute of Applied Life Sciences (SUB: 2005-2351R (CCF-0523643)) | 47 070 | 3,280 | 1,787 | 5,067 |
| Kent State University (443136-P050747) | 93 866 | 4,333 | 1,886 | 6,219 |
| Kent State University (SUB 444286-P8061719 (FA9550-06)) | 12 800 | 56,253 | 30,658 | 86,911 |
| Kinemed, Inc. (83243) | 99 RD | (203) | (104) | (307) |
| Kinemed, Inc. (SUB 20052999 (CA097686)) | 93 RD | 56,576 | 14,993 | 71,569 |
| Kinemed, Inc. (UCSF#A106445) | 99 RD | 628 | 342 | 970 |
| Kitware, Inc. (HR011-08-C-0135-S4) | 12 910 | 25,080 | 13,256 | 38,336 |
| Kovogen (Fremont, Ca) (UCSF#A106053) | 99 RD | 9,509 | 3,244 | 12,753 |
| Kuakini Medical Center (SC031507UCD) | 93 866 | 32,112 | 16,699 | 48,811 |
| L2 Tech, Inc. (N68335-08-C-0299) | 99 RD | 7,129 | 3,671 | 10,800 |
| L-3 Communications (incl Sycoleman Corporation) (79036) | 99 RD | (80) | (43) | (123) |
| L-3 Communications (incl Sycoleman Corporation) (W81XWH06C0051) | 12 RD | 169,963 | 80,878 | 250,841 |
| La Jolla Institute for Allergy and Immunology (20063801) | 93 855 | 15,647 | 8,449 | 24,096 |
| La Jolla Institute for Allergy and Immunology (79116) | 93 855 | (853) | (461) | (1,314) |
| La Jolla Institute for Allergy and Immunology (82538) | 93 856 | (88) | (46) | (134) |
| La Jolla Institute for Allergy and Immunology (83035) | 93 856 | 119,193 | 61,980 | 181,173 |
| La Jolla Institute for Allergy and Immunology (AI069276) | 93 855 | 9,560 | 5,210 | 14,770 |
| La Jolla Institute for Allergy and Immunology (AI57840) | 93 855 | 51,553 | 25,312 | 76,865 |
| La Jolla Institute for Allergy and Immunology (HHSN266200400023C) | 93 855 | 124,856 | 35,278 | 160,134 |
| La Jolla Institute for Allergy and Immunology (LIAI-02-381) | 93 856 | 100,210 | 52,109 | 152,319 |
| La Jolla Institute for Allergy and Immunology (SUB LIAI (HHSN272200700048C)) | 93 RD | 59,597 | 16,687 | 76,284 |
| Larkin Street Services (82581) | 93 153 | 2,931 | 879 | 3,810 |
| Larkin Street Services (UCSF#A108269) | 93 153 | 2,049 | 677 | 2,726 |
| Lawrence Livermore National Security, LLC (B560774) | 81 RD | 60,383 | 31,957 | 92,340 |
| Lawrence Livermore National Security, LLC (B553611) | 81 RD | 18,373 | - | 18,373 |
| Lawrence Livermore National Security, LLC (26706) | 81 RD | 14,162 | 1,416 | 15,578 |
| Lawrence Livermore National Security, LLC (86636) | 84 RD | 35,931 | - | 35,931 |
| Lawrence Livermore National Security, LLC (86758) | 84 RD | 22,121 | 1,907 | 24,028 |
| Lawrence Livermore National Security, LLC (86816) | 84 RD | 11,552 | 3,004 | 14,556 |
| Lawrence Livermore National Security, LLC (86820) | 81 RD | 3,613 | 325 | 3,938 |
| Lawrence Livermore National Security, LLC (86822) | 81 RD | 26,433 | 2,379 | 28,812 |
| Lawrence Livermore National Security, LLC (86851) | 81 RD | 5,245 | 525 | 5,770 |
| Lawrence Livermore National Security, LLC (86887) | 81 RD | 12,848 | 1,285 | 14,133 |
| Lawrence Livermore National Security, LLC (86909) | 81 RD | 1,005 | 522 | 1,527 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Lawrence Livermore National Security, LLC (88513) | 81 RD | 6,262 | 3,256 | 9,518 |
| Lawrence Livermore National Security, LLC (B556874) | 81 RD | 24,604 | 2,460 | 27,064 |
| Lawrence Livermore National Security, LLC (B556877(LLNS)) | 81 RD | 21,795 | 2,180 | 23,975 |
| Lawrence Livermore National Security, LLC (B558552(LLNS)) | 81 RD | 1,765 | 177 | 1,942 |
| Lawrence Livermore National Security, LLC (B569406) | 81 RD | 18,316 | 4,762 | 23,078 |
| Lawrence Livermore National Security, LLC (B570319) | 81 RD | 27,143 | 7,872 | 35,015 |
| Lawrence Livermore National Security, LLC (B571732) | 84 RD | 26,181 | 6,807 | 32,988 |
| Lawrence Livermore National Security, LLC (B571733) | 81 RD | 20,178 | 9,641 | 29,819 |
| Lawrence Livermore National Security, LLC (B572727) | 81 RD | 34,409 | 17,893 | 52,302 |
| Lawrence Livermore National Security, LLC (B572728) | 81 RD | 18,769 | 9,760 | 28,529 |
| Lawrence Livermore National Security, LLC (B573222) | 81 RD | 15,508 | 4,032 | 19,540 |
| Lawrence Livermore National Security, LLC (B573223) | 81 RD | 144,598 | 40,366 | 184,964 |
| Lawrence Livermore National Security, LLC (B573225) | 81 RD | 38,635 | 15,469 | 54,104 |
| Lawrence Livermore National Security, LLC (B573226) | 81 RD | 140,359 | 36,036 | 176,395 |
| Lawrence Livermore National Security, LLC (B573229) | 81 RD | 49,392 | 17,580 | 66,972 |
| Lawrence Livermore National Security, LLC (B573230) | 81 RD | 9,616 | 2,500 | 12,116 |
| Lawrence Livermore National Security, LLC (B573232) | 12 RD | 21,372 | 11,017 | 32,389 |
| Lawrence Livermore National Security, LLC (B573234) | 81 RD | 17,421 | 7,533 | 24,954 |
| Lawrence Livermore National Security, LLC (B573235) | 81 RD | 21,694 | 11,498 | 33,192 |
| Lawrence Livermore National Security, LLC (B573236) | 81 RD | 12,278 | 3,192 | 15,470 |
| Lawrence Livermore National Security, LLC (B573237) | 81 RD | 355,367 | 133,502 | 488,869 |
| Lawrence Livermore National Security, LLC (B573756) | 81 RD | 31,541 | 13,560 | 45,101 |
| Lawrence Livermore National Security, LLC (B574422) | 81 049 | 16,371 | 4,257 | 20,628 |
| Lawrence Livermore National Security, LLC (B574425) | 81 RD | 49,079 | 25,521 | 74,600 |
| Lawrence Livermore National Security, LLC (B577039) | 84 RD | 5,061 | 2,632 | 7,693 |
| Lawrence Livermore National Security, LLC (B579004) | 81 RD | 36,365 | 18,910 | 55,275 |
| Lawrence Livermore National Security, LLC (B579217) | 84 RD | 47,302 | 12,299 | 59,601 |
| Lawrence Livermore National Security, LLC (B579227) | 81 RD | 12,411 | 3,227 | 15,638 |
| Lawrence Livermore National Security, LLC (B579228) | 81 RD | 23,094 | 6,005 | 29,099 |
| Lawrence Livermore National Security, LLC (B579837) | 47 075 | 39,205 | 18,337 | 57,542 |
| Lawrence Livermore National Security, LLC (B579928) | 81 RD | 44,853 | 24,445 | 69,298 |
| Lawrence Livermore National Security, LLC (B580062) | 81 RD | 24,257 | 12,614 | 36,871 |
| Lawrence Livermore National Security, LLC (B580993) | 81 RD | 28,515 | 15,113 | 43,628 |
| Lawrence Livermore National Security, LLC (B582112) | 81 RD | 10,771 | 3,684 | 14,455 |
| Lawrence Livermore National Security, LLC (B582627) | 81 123 | 12,668 | 5,302 | 17,970 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Lawrence Livermore National Security, LLC (DEAC5207NA27344) | 81 RD | 7,533 | 1,657 | 9,190 |
| Lawrence Livermore National Security, LLC (SUB B570250 (B580430)) | 81 RD | 28,402 | 4,622 | 33,024 |
| Leonard Wood Institute (LWI) (SUB LWI-181215 (W911NF-07-2-00) | 12 RD | 30,681 | 16,721 | 47,402 |
| Lgs Innovations (France) (deactive code) (H032040233) | 99 RD | 78,705 | 32,999 | 111,704 |
| Lgs Innovations LLC (Alcatel-Lucent Subsidiary) (France) (GOV0003473) | 99 RD | 13,593 | 7,243 | 20,836 |
| Ligocyte Pharmaceuticals, Inc. (08004472) | 93 RD | 178,926 | 95,367 | 274,293 |
| Living Planet, Inc. (incl Waterford Life Sciences) (015640) | 93 279 | (7,968) | (3,865) | (11,833) |
| Lockheed Martin Corporation (incl Orincon) (59434) | 12 300 | (2,349) | (1,102) | (3,451) |
| Lodestar Research Corporation (SUB 20083096) | 81 RD | 63,719 | 34,727 | 98,446 |
| Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (03121998) | 99 RD | 43,967 | 23,742 | 67,709 |
| Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (LLU-41478) | 12 420 | 74,397 | 39,058 | 113,455 |
| Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (OSR #03033886) | 43 RD | 77,487 | 36,630 | 114,117 |
| Los Alamos National Security, LLC (23616-002-06) | 99 RD | 269,633 | 135,251 | 404,884 |
| Los Alamos National Security, LLC (2511000105) | 81 RD | 281,880 | 119,341 | 401,221 |
| Los Alamos National Security, LLC (25287-002-06) | 81 RD | 606,530 | 241,772 | 848,302 |
| Los Alamos National Security, LLC (25453-002-06-MOD 7) | 81 RD | 400,249 | 69,692 | 469,941 |
| Los Alamos National Security, LLC (30496-002-06) | 81 RD | 10,575 | 4,019 | 14,594 |
| Los Alamos National Security, LLC (57570) | 99 RD | (63) | - | (63) |
| Los Alamos National Security, LLC (6032900108) | 81 RD | 37,933 | 18,128 | 56,061 |
| Los Alamos National Security, LLC (6241000108) | 81 RD | 30,459 | 15,839 | 46,298 |
| Los Alamos National Security, LLC (62737-001-08) | 99 RD | 40,867 | - | 40,867 |
| Los Alamos National Security, LLC (66266-001-08) | 81 RD | 6,224 | 1,618 | 7,842 |
| Los Alamos National Security, LLC (70549-001-09) | 81 RD | 1,337 | 689 | 2,026 |
| Los Alamos National Security, LLC (70552-001-08) | 81 RD | 6,870 | 1,786 | 8,656 |
| Los Alamos National Security, LLC (72232-002-06) | 81 RD | 1,081,154 | - | 1,081,154 |
| Los Alamos National Security, LLC (72682-001-09) | 81 RD | 3,914 | 2,133 | 6,047 |
| Los Alamos National Security, LLC (73593-001-09) | 81 123 | 5,465 | - | 5,465 |
| Los Alamos National Security, LLC (73617-001-09) | 81 RD | 5,973 | 2,859 | 8,832 |
| Los Alamos National Security, LLC (73704-001-09) | 81 RD | 2,690 | 1,386 | 4,076 |
| Los Alamos National Security, LLC (73720-00-09) | 81 RD | 1,339 | - | 1,339 |
| Los Alamos National Security, LLC (SUB 70612-001-09 (66087-001-08) | 81 RD | 13,253 | 7,223 | 20,476 |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (012848-01R) | 93 853 | 44,904 | 21,379 | 66,283 |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (09248-01) | 93 837 | 12,946 | 6,797 | 19,743 |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (2018870) | 99 RD | 29,803 | 16,094 | 45,897 |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (2025203) | 99 RD | 19,776 | 5,142 | 24,918 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (2025569) | 99 RD | 14,727 | 7,953 | 22,680 |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (59644) | 93 853 | 16 | 9 | 25 |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (78177) | 93 837 | 9,000 | 4,725 | 13,725 |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (78178) | 93 837 | 16,492 | 8,658 | 25,150 |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (78179) | 66 509 | 6,210 | 3,260 | 9,470 |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (80051) | 93 853 | (112) | (60) | (172) |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (83785) | 93 853 | (28,948) | (8,684) | (37,632) |
| Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (SUB 51785 (N01-HC-95169)) | 93 837 | (2,604) | (1,341) | (3,945) |
| Los Angeles Health Dept, County of (20628) | 93 959 | 35,881 | 8,519 | 44,400 |
| Los Angeles Health Dept, County of (77031) | 93 959 | (2,336) | (607) | (2,943) |
| Los Angeles Health Dept, County of (PH-000179) | 93 959 | 562,912 | 130,777 | 693,689 |
| Los Angeles Unified School District (0700470) | 93 243 | 116,938 | 58,083 | 175,021 |
| Los Angeles, City of (C-112361) | 99 RD | 10,222 | 2,658 | 12,880 |
| Los Gatos Research, Inc. (SC-09-53) | 99 RD | 18,750 | 4,875 | 23,625 |
| Lowy Medical Research Institute Limited (Australia) (20050584) | 99 RD | 11,907 | 2,382 | 14,289 |
| Loyola University of Chicago (31471) | 93 396 | 203,267 | 103,481 | 306,748 |
| Loyola University of Chicago (82463) | 93 837 | 165,552 | 90,225 | 255,777 |
| Loyola University of Chicago (82466) | 93 837 | (7) | (4) | (11) |
| Loyola University of Chicago (82589) | 93 879 | 155 | 40 | 195 |
| Loyola University of Chicago (SUB 20062864 (DK065214)) | 93 879 | 2,674 | 695 | 3,369 |
| Lsst Corporation (large Synoptic Survey Telescope) (C44020L) | 47 RD | 61,721 | 23,454 | 85,175 |
| Lsst Corporation (large Synoptic Survey Telescope) (C44045L) | 47 049 | 60,811 | 31,622 | 92,433 |
| Lsst Corporation (large Synoptic Survey Telescope) (SUB C44042L (AST-0551161)) | 47 049 | 88,443 | 48,202 | 136,645 |
| Ludwig Institute for Cancer Research (Switzerland) (SUB 23191-07-360 (ES017166)) | 93 113 | 217,707 | 113,389 | 331,096 |
| Luna Innovations Incorporated (1864-NAS-1S/UCLA) | 99 RD | 1,458 | 787 | 2,245 |
| Macro Int'L Inc 35038-Os-332 12/03 (82349) | 93 RD | 899 | 262 | 1,161 |
| Magee-Womens Health Corporation (26-3301-6568) | 93 865 | 285,878 | 113,418 | 399,296 |
| Magee-Womens Health Corporation (59609) | 93 865 | (25,656) | (13,213) | (38,869) |
| Magee-Womens Health Corporation (83834) | 93 865 | (7,476) | (3,850) | (11,326) |
| Magee-Womens Hospital, Research Institute and Foundation (26.635.653.3301.8806) | 93 865 | 88,717 | 48,351 | 137,068 |
| Magee-Womens Hospital, Research Institute and Foundation (26-3301-4280) | 93 855 | 10,626 | 5,791 | 16,417 |
| Magee-Womens Hospital, Research Institute and Foundation (26-3301-4283) | 93 855 | 100,887 | 23,728 | 124,615 |
| Magee-Womens Hospital, Research Institute and Foundation (79019) | 93 855 | (9,875) | (2,595) | (12,470) |
| Magee-Womens Hospital, Research Institute and Foundation (79504) | 93 855 | (14) | (4) | (18) |
| Mandex, Inc. (5400-01) | 12 300 | 5,515 | 882 | 6,397 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Manila Consulting Group, Inc. (84572) | 93 RD | (41) | (11) | (52) |
| Manpower Demonstration Research Corporation (20081954) | 99 RD | 50,590 | 27,319 | 77,909 |
| Manpower Demonstration Research Corporation (MDRC-45860) | 93 865 | 11,092 | 5,823 | 16,915 |
| Marine Biological Laboratory (Woods Hole, Massachusetts) (35914) | 47 078 | 528 | 272 | 800 |
| Marshfield Clinic (incl Natl Farm Medicine Center) (RD831630010) | 66 509 | 14,401 | 3,744 | 18,145 |
| Maryland Medical Research Institute (82154) | 93 RD | (2,221) | (1,144) | (3,365) |
| Massachusetts Eye and Ear Infirmary (DC006296) | 93 173 | 17,882 | 9,745 | 27,627 |
| Massachusetts Institute of Technology (570002107) | 10 206 | 73,297 | 18,324 | 91,621 |
| Massachusetts Institute of Technology (5710001486) | 47 070 | (69) | 49 | (20) |
| Massachusetts Institute of Technology (5710001596) | 47 070 | (2,349) | (1,233) | (3,582) |
| Massachusetts Institute of Technology (5710001624) | 12 910 | 227,205 | 95,160 | 322,365 |
| Massachusetts Institute of Technology (5710001839) | 99 RD | 66,655 | 34,179 | 100,834 |
| Massachusetts Institute of Technology (5710001883) | 47 041 | 18,636 | 9,663 | 28,299 |
| Massachusetts Institute of Technology (5710001892) | 81 049 | (246) | (128) | (374) |
| Massachusetts Institute of Technology (5710001905) | 12 RD | 2,254,244 | 300,062 | 2,554,306 |
| Massachusetts Institute of Technology (5710001913) | 81 049 | 76,058 | 36,003 | 112,061 |
| Massachusetts Institute of Technology (5710002001) | 93 RD | 66,470 | 34,565 | 101,035 |
| Massachusetts Institute of Technology (5710002003) | 12 800 | 18,334 | 9,900 | 28,234 |
| Massachusetts Institute of Technology (5710002016) | 93 855 | 73,354 | 38,144 | 111,498 |
| Massachusetts Institute of Technology (5710002165) | 93 837 | 55,982 | 30,230 | 86,212 |
| Massachusetts Institute of Technology (5710002309) | 99 RD | 40,180 | 17,277 | 57,457 |
| Massachusetts Institute of Technology (5710002356) | 12 431 | 12,024 | 6,493 | 18,517 |
| Massachusetts Institute of Technology (5710002358) | 99 RD | 56,535 | 26,803 | 83,338 |
| Massachusetts Institute of Technology (5710002359) | 93 395 | 89,052 | 41,785 | 130,837 |
| Massachusetts Institute of Technology (5710002379) | 93 390 | 77,480 | 42,227 | 119,707 |
| Massachusetts Institute of Technology (5710002393) | 12 431 | 234,287 | 114,661 | 348,948 |
| Massachusetts Institute of Technology (5710002461) | 12 910 | 44,150 | 13,847 | 57,997 |
| Massachusetts Institute of Technology (5710002516) | 43 RD | 24,741 | 11,020 | 35,761 |
| Massachusetts Institute of Technology (5710002519) | 93 395 | 14,867 | 5,173 | 20,040 |
| Massachusetts Institute of Technology (58118) | 93 837 | (68) | (45) | (113) |
| Massachusetts Institute of Technology (7000012980) | 12 910 | 34,851 | 10,641 | 45,492 |
| Massachusetts Institute of Technology (82230) | 93 396 | (2,960) | (1,406) | (4,366) |
| Massachusetts Institute of Technology (CA0124427) | 93 394 | 201,367 | 103,547 | 304,914 |
| Massachusetts Institute of Technology (SUB 7000046487 (FA8721-05-C-00) | 12 RD | 65,492 | 24,662 | 90,154 |
| Mathematica, Inc.(incl Math Plcy Res & Cntr for Studying Hlt Sys Chng) (59675) | 84 RD | 13,821 | 3,593 | 17,414 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Maxentric Technologies LLC (SUB 4090 (N68335-07-0434)) | 12 300 | 93,595 | 51,009 | 144,604 |
| Maxwell Sensors (MS-42233) | 93 226 | 17,856 | 9,374 | 27,230 |
| Maxwell Sensors (MSI-44511) | 93 839 | 13,774 | 6,226 | 20,000 |
| Mayachitra, Inc. (SB080035) | 93 859 | 28,229 | 14,538 | 42,767 |
| Mayachitra, Inc. (SC-UCR-W31P4Q) | 12 RD | 6,900 | 3,450 | 10,350 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (1R01AI6347601A1) | 93 856 | 108,317 | 55,241 | 163,558 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (2R01DK034238-21A1) | 93 848 | 20,768 | 11,319 | 32,087 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (5 R01 AG023195) | 93 866 | (3,397) | (1,834) | (5,231) |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (5 R01HS15508-03) | 93 226 | 44,194 | 23,865 | 68,059 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (5 U01CA114609) | 93 399 | 973 | 253 | 1,226 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (57525) | 93 853 | 131 | 34 | 165 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (59498) | 93 848 | (1,092) | (60) | (1,152) |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (5R01AI5776102UCDAVIS) | 93 856 | 13,114 | 2,531 | 15,645 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (79284) | 93 848 | (9,672) | (5,271) | (14,943) |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (79356) | 93 848 | 37,511 | 20,256 | 57,767 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (80215) | 93 853 | (74) | (34) | (108) |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (80317) | 93 226 | 2,118 | 1,144 | 3,262 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (82335) | 93 394 | 977 | 464 | 1,441 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (82336) | 93 394 | (2,154) | (1,023) | (3,177) |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (83304) | 12 RD | 2,000 | 1,030 | 3,030 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (83657) | 93 853 | (229) | (118) | (347) |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (86264) | 93 853 | 2,112 | 161 | 2,273 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (CA118444) | 93 395 | 28,370 | 15,440 | 43,810 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (DK073354) | 93 849 | 535 | (318) | 217 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (OSR #03033350) | 93 866 | (29,431) | (7,852) | (37,283) |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (PRIME:R01CA127716) | 93 393 | 19,042 | 10,378 | 29,420 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (5 U01 CA118444-02) | 93 395 | (6,940) | (3,782) | (10,722) |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (AG029886) | 93 866 | 68,138 | 37,135 | 105,273 |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (HD031476) | 93 RD | 148,412 | 70,019 | 218,431 |
| Mcgill Universtiy (Canada) (215686) | 99 RD | 37,870 | 20,639 | 58,509 |
| Mclaughlin Research Institute for Biomedical Sciences (62-06-6121) | 93 853 | 277,486 | 151,230 | 428,716 |
| Mclaughlin Research Institute for Biomedical Sciences (62-06-6124) | 93 853 | 89,874 | 48,982 | 138,856 |
| Mclaughlin Research Institute for Biomedical Sciences (82423) | 93 853 | 10,551 | 5,012 | 15,563 |
| Mclaughlin Research Institute for Biomedical Sciences (83022) | 93 853 | (1,572) | (747) | (2,319) |
| Mclean Hospital (021342) | 47 RD | 33,129 | 17,227 | 50,356 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Medical College of Georgia (incl Research Institute) (20312-1) | 93 865 | 37,666 | 20,340 | 58,006 |
| Medical College of Georgia (incl Research Institute) (20497-17) | 93 847 | 11,792 | 6,367 | 18,159 |
| Medical College of Georgia (incl Research Institute) (22432-1) | 93 838 | 35,696 | 19,454 | 55,150 |
| Medical College of Georgia (incl Research Institute) (79489) | 93 242 | 21,812 | 5,671 | 27,483 |
| Medical College of Georgia (incl Research Institute) (83932) | 93 837 | (224) | (115) | (339) |
| Medical College of Wisconsin (06003138) | 93 837 | 53,110 | 26,771 | 79,881 |
| Medical College of Wisconsin (08003907) | 93 855 | 14,433 | 7,505 | 21,938 |
| Medical College of Wisconsin (82376) | 93 837 | (1,166) | (607) | (1,773) |
| Medical College of Wisconsin (86632) | 93 127 | (3,197) | (1,662) | (4,859) |
| Medical College of Wisconsin (UCSF#A107396) | 93 242 | 87,769 | 22,066 | 109,835 |
| Medical University of Ohio at Toledo (frmly Medical College of Ohio) (N2007-23) | 93 RD | 103,127 | 54,142 | 157,269 |
| Medical University of Ohio at Toledo (frmly Medical College of Ohio) (N2009-52) | 93 RD | 7,688 | 4,036 | 11,724 |
| Medical University of South Carolina (MUSC08-073) | 93 853 | 9,033 | 2,981 | 12,014 |
| Menssana Research, Inc. (SUB 2004-2857 (AI052504)) | 93 RD | 31,530 | 8,198 | 39,728 |
| Mental Health Systems, Inc. (MHS) (720) | 93 279 | 91,163 | 21,592 | 112,755 |
| Mental Health Systems, Inc. (MHS) (SUB 20074459) | 93 RD | 62,058 | 8,068 | 70,126 |
| Merced, County of (027191) | 99 RD | 18,319 | 3,481 | 21,800 |
| Methodist Hospital Research Institute, The (TMHRI) (003-8498) | 93 847 | 71,389 | 38,907 | 110,296 |
| Methodist Hospital Research Institute, The (TMHRI) (005-8497) | 93 847 | 89,459 | 48,756 | 138,215 |
| Metro Laser (SUB UCSD010N07 (N00014-07-M-03) | 12 300 | 8,512 | 4,639 | 13,151 |
| Metro Laser (UCSB02WP20) | 12 RD | (8,972) | (3,161) | (12,133) |
| Metro Laser (UCSB03WP23) | 12 RD | 20,738 | 10,680 | 31,418 |
| Metropolitan Water Dist of So Calif (57578) | 99 RD | 5,960 | (5,960) | - |
| Metropolitan Water Dist of So Calif (87888) | 66 606 | 52,310 | 28,247 | 80,557 |
| Michigan State University (59566) | 47 074 | 2,551 | 1,213 | 3,764 |
| Michigan State University (61-2395) | 47 041 | 7,041 | 3,661 | 10,702 |
| Michigan State University (61-2582 CA-SB) | 47 076 | 44,642 | 20,982 | 65,624 |
| Michigan State University (61-2609UC) | 47 041 | (1,447) | (680) | (2,127) |
| Michigan State University (61-2651UC) | 47 076 | 76,793 | 14,041 | 90,834 |
| Michigan State University (61-3383E) | 66 509 | 78,266 | 40,699 | 118,965 |
| Michigan State University (614211A) | 10 RD | 38,817 | 452 | 39,269 |
| Michigan State University (614244E) | 10 206 | 36,717 | 9,179 | 45,896 |
| Michigan State University (614254A) | 10 309 | 15,833 | 4,466 | 20,299 |
| Michigan State University (614262B) | 10 208 | 54,087 | 10,817 | 64,904 |
| Michigan State University (87599) | 47 074 | 21,050 | 10,946 | 31,996 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Michigan Technological University (050516Z28) | 81 049 | 6,937 | 3,573 | 10,510 |
| Mickey Leland National Urban Air Toxics Research Center, The (022139) | 66 RD | 183,830 | 36,766 | 220,596 |
| Microchip Biotechnologies, Inc. (019558) | 43 RD | 32,006 | 16,086 | 48,092 |
| Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (2003-DT-660) | 12 RD | 7,234,149 | 527,783 | 7,761,932 |
| Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (2003-NT-1107) | 12 910 | 106,657 | 44,105 | 150,762 |
| Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (59949) | 12 910 | 42,865 | 13,693 | 56,558 |
| Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (SA 4254-79952) | 12 910 | 119,859 | 39,373 | 159,232 |
| Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (SUB SA4253-79952 (2003- DT- | 12 910 | 148,638 | 52,718 | 201,356 |
| Micron Optics Inc. (MO-43513) | 93 867 | 69,884 | 36,689 | 106,573 |
| Microsurgeon, Inc. (06000429) | 93 RD | 10,613 | 5,059 | 15,672 |
| Midwest Research Inst(natl Renewable Energy Lab)(was Solar Enrgy Inst) (88116) | 81 RD | 9,706 | 5,047 | 14,753 |
| Midwest Research Inst(natl Renewable Energy Lab)(was Solar Enrgy Inst) (ZCO-7-77609-01) | 81 RD | 21,105 | 11,502 | 32,607 |
| Midwest Research Inst(natl Renewable Energy Lab)(was Solar Enrgy Inst) (SUB XFT-8-88509-01 (DE-AC36 | 81 049 | 104,254 | 50,260 | 154,514 |
| Mississippi State University (01150032106701) | 81 049 | 37,831 | 19,672 | 57,503 |
| Mississippi State University (0115003211902) | 10 206 | 31,251 | 16,251 | 47,502 |
| Mississippi State University (SUB060803=362465-01(CBET-07427) | 47 041 | 975 | 531 | 1,506 |
| Mitre Corporation, The (Bedford, Ma & Mclean, Va) (71063) | 12 910 | 25,457 | 13,874 | 39,331 |
| Mitre Corporation, The (Bedford, Ma & Mclean, Va) (69858) | 12 910 | 125,555 | 56,155 | 181,710 |
| Mmtc, Inc. (Princeton, NJ) (83066) | 99 RD | 7,621 | 3,925 | 11,546 |
| Molecular Express, Inc. (ME-40013) | 93 394 | 23,129 | 12,143 | 35,272 |
| Molecular Express, Inc. (ME-43530) | 93 855 | 73,975 | 28,983 | 102,958 |
| Montana State University (07005049) | 10 RD | 4,401 | 1,100 | 5,501 |
| Montana State University (G01707W0996) | 47 RD | 6,196 | 225 | 6,421 |
| Montana State University (G21908W1577) | 81 049 | 79,735 | 18,248 | 97,983 |
| Monterey Bay Aquarium Research Institute (0811146) | 11 473 | 26,914 | 6,998 | 33,912 |
| Monterey Bay Aquarium Research Institute (PO# 0810929) | 11 473 | 27,386 | 13,967 | 41,353 |
| Monterey Bay Aquarium Research Institute (PO-0512575-06) | 11 473 | 2,467 | 1,209 | 3,676 |
| Monterey Bay Aquarium Research Institute (PO-0811147) | 11 473 | 36,027 | 10,865 | 46,892 |
| Monterey Bay Sanctuary Foundation (SC-08-16) | 11 429 | 8,293 | 829 | 9,122 |
| Morehouse College (incl Morehouse School of Medicine) (87822) | 93 RD | 33,515 | 17,428 | 50,943 |
| Motorola, Inc. (incl Thoughtbeam) (20070468) | 12 RD | 137,867 | 49,779 | 187,646 |
| Mount Sinai Medical Center (0255-1731-4609) | 93 RD | 9,926 | 5,410 | 15,336 |
| Mount Sinai School of Medicine (New York) (0254-7651-4609) | 93 213 | 31,883 | 17,217 | 49,100 |
| Mount Sinai School of Medicine (New York) (0254-7652-4609) | 93 213 | 25,439 | 13,737 | 39,176 |
| Mount Sinai School of Medicine (New York) (0255-1353-4609) | 93 855 | 22,923 | 12,378 | 35,301 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Mount Sinai School of Medicine (New York) (025523014609) | 93 855 | 29,191 | 13,799 | 42,990 |
| Mount Sinai School of Medicine (New York) (59306) | 93 855 | (26,412) | (14,262) | (40,674) |
| Mount Sinai School of Medicine (New York) (AG016765) | 93 866 | 340,005 | 173,403 | 513,408 |
| Mount Sinai School of Medicine (New York) (AG10606) | 93 866 | 192,538 | 98,194 | 290,732 |
| Mount Sinai School of Medicine (New York) (MSSM-36609) | 93 866 | 328 | 172 | 500 |
| Multimag3d, Inc. (UCR-08053391) | 47 041 | 31,824 | 7,917 | 39,741 |
| Multiplex, Inc. (2008-3632) | 12 800 | 13,300 | 6,700 | 20,000 |
| Musci Natural Res. Asses.; 08004221 (88086) | 15 RD | 3,678 | 1,251 | 4,929 |
| Museum of Sci & Indus-Tampa, Florida (SC-06-59-02) | 47 076 | 138,855 | 68,039 | 206,894 |
| Nabi (formerly Univax) (0600227) | 93 399 | 34,470 | 18,786 | 53,256 |
| Najit Technologies, Inc. (R43-AI063675-UCLA) | 99 RD | 21,531 | 11,627 | 33,158 |
| Nanjing Medical University (China) (NMU-43089) | 93 855 | 6,026 | 1,567 | 7,593 |
| Nanocomposix, Inc. (2.00739E+007) | 12 800 | 288 | 157 | 445 |
| Nanomond (58554) | 47 041 | 47 | 23 | 70 |
| Nationwide Children's Hospital (incl Research Inst) (Columbus, Ohio) (023982) | 93 .83 | 24,461 | 12,964 | 37,425 |
| Nationwide Children's Hospital (incl Research Inst) (Columbus, Ohio) (07004544) | 93 173 | 56,992 | 29,636 | 86,628 |
| Nationwide Children's Hospital (incl Research Inst) (Columbus, Ohio) (78540) | 93 RD | 28,612 | 14,878 | 43,490 |
| Natl Academy of Sciences(incl Inst of Med,Natl Res Cncl,Natl Acd Engr) (010447) | 47 074 | 41,043 | 15,645 | 56,688 |
| Natl Academy of Sciences(incl Inst of Med,Natl Res Cncl,Natl Acd Engr) (NAE-5944-07-002) | 47 076 | 37,583 | 10,447 | 48,030 |
| Natl Academy of Sciences(incl Inst of Med,Natl Res Cncl,Natl Acd Engr) (PGA725105004) | 98 RD | 82,689 | 42,998 | 125,687 |
| Natl Academy of Sciences(incl Inst of Med,Natl Res Cncl,Natl Acd Engr) (PGA725107001) | 98 RD | 43,403 | 6,511 | 49,914 |
| Natl Academy of Sciences(incl Inst of Med,Natl Res Cncl,Natl Acd Engr) (PGA-P280807) | 19 RD | 35,952 | 6,345 | 42,297 |
| Natl Academy of Sciences(incl Inst of Med,Natl Res Cncl,Natl Acd Engr) (PGA-P280856) | 47 076 | 49,215 | 7,382 | 56,597 |
| Natl Aids Fund (82693) | 99 RD | 2,786 | 724 | 3,510 |
| Natl Assn Chronic Disease Directors (71198) | 93 RD | 21,095 | 3,797 | 24,892 |
| Natl Assn of County and City Health Officials (NACCHO) (MRC091393) | 93 008 | 4,058 | 780 | 4,838 |
| Natl Bureau of Economic Research, Inc. (28-3417-00-0-79-083-7700) | 47 075 | 11,238 | 5,900 | 17,138 |
| Natl Bureau of Economic Research, Inc. (303482000796697700) | 47 RD | 20,653 | 6,598 | 27,251 |
| Natl Bureau of Economic Research, Inc. (30-3498-00-0-79-688-7700) | 47 075 | 26,889 | 14,520 | 41,409 |
| Natl Bureau of Economic Research, Inc. (58105) | 99 RD | (3,646) | (644) | (4,290) |
| Natl Bureau of Economic Research, Inc. (83-4015-28-0-80-537-7700) | 93 RD | 29,785 | 15,802 | 45,587 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (16493) | 93 395 | 7,713 | 2,545 | 10,258 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (17189) | 93 395 | 14,617 | 4,823 | 19,440 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (17636 (FR)) | 93 395 | 7,044 | 1,409 | 8,453 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (17636) | 93 395 | 5,831 | 1,166 | 6,997 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (17637) | 93 RD | 54,874 | 18,108 | 72,982 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (17671) | 93 RD | 14,746 | 4,866 | 19,612 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (17692) | 93 RD | 13,869 | 4,577 | 18,446 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (17769) | 93 RD | 13,456 | 4,440 | 17,896 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (17786) | 93 RD | 10,524 | 3,473 | 13,997 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (17833) | 93 RD | 1,353 | 447 | 1,800 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (59113) | 93 395 | (8,330) | (1,666) | (9,996) |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (59353) | 93 395 | 604 | 121 | 725 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (59683) | 99 RD | 35,013 | - | 35,013 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (59684) | 93 395 | (7,108) | (3,376) | (10,484) |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (59706) | 93 395 | 14,110 | 2,822 | 16,932 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (79180) | 93 395 | 82,482 | 24,580 | 107,062 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (82056) | 93 395 | 266 | 126 | 392 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (82227) | 99 RD | 813 | 163 | 976 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (82235) | 93 395 | 413 | 83 | 496 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (82276) | 93 395 | (1,050) | (544) | (1,594) |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (82511) | 93 395 | 8,996 | 1,799 | 10,795 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (83175) | 93 395 | (31,811) | (9,543) | (41,354) |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (83226) | 93 395 | (309) | (93) | (402) |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (83227) | 93 395 | (8,236) | (2,471) | (10,707) |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (86455) | 93 395 | (753) | (196) | (949) |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (87146) | 93 395 | 28,653 | 7,346 | 35,999 |
| Natl Childhood Cancer Fdn (incl Children's Oncology Group, Curesearch) (SUB 18213 (CA098543)) | 93 395 | 37,982 | 20,700 | 58,682 |
| Natl Council for Eurasian and East European Research (the) (822-04G) | 19 300 | 18,624 | 1,304 | 19,928 |
| Natl Development and Research Institutes, Inc. (59267) | 93 279 | 1,561 | (95) | 1,466 |
| Natl Fish and Wildlife Foundation (20070071005) | 10 683 | 19,085 | - | 19,085 |
| Natl Fish and Wildlife Foundation (31063) | 15 RD | 8,201 | - | 8,201 |
| Natl Fish and Wildlife Foundation (CA20080116012) | 10 912 | 36,831 | 6,501 | 43,332 |
| Natl Honey Board (07005056) | 10 RD | 14,172 | - | 14,172 |
| Natl Jewish Medical & Research Cntr (cntr for Immunology & Resp Med) (150627462) | 93 233 | (598) | (155) | (753) |
| Natl Jewish Medical & Research Cntr (cntr for Immunology & Resp Med) (HHSN266200400029C) | 93 855 | 285,951 | 70,102 | 356,053 |
| Natl Jewish Medical & Research Cntr (cntr for Immunology & Resp Med) (HL089897) | 93 839 | 125,361 | 19,585 | 144,946 |
| Nat'L Marrow Donor Prog #8644 6/99 (59028) | 12 300 | 8,020 | - | 8,020 |
| Nat'L Marrow Donor Prog 10199 5/02 (82359) | 12 RD | 1,438 | 374 | 1,812 |
| Natl Marrow Donor Program (02031066) | 12 RD | 211,603 | 55,017 | 266,620 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Natl Marrow Donor Program (NMDP#0201) | 93 839 | 863 | 444 | 1,307 |
| Natl Marrow Donor Program (OSR #05040505) | 93 839 | 1,050 | - | 1,050 |
| Natl Marrow Donor Program (HL069273) | 93 839 | 48,951 | - | 48,951 |
| Natl Potato Promotion Board (07001412) | 10 RD | 30,291 | - | 30,291 |
| Natl Research Council (HR 12-74) | 20 205 | 62,822 | 14,204 | 77,026 |
| Natl Science Teachers Association (EHR-0227184-VMSS-011) | 47 076 | 398,352 | 45,441 | 443,793 |
| Natl Security Technologies, LLC (NSTEC) (GOCO for Nevada Test Site) (30021- TASK 7) | 81 RD | 16,145 | 8,315 | 24,460 |
| Natl Space Biomedical Research Institute (BL01301) | 43 RD | 244,584 | 54,437 | 299,021 |
| Natl Space Biomedical Research Institute (HFP01604) | 43 RD | 210,514 | 49,900 | 260,414 |
| Natl Space Biomedical Research Institute (MA01601) | 43 RD | 228,505 | 100,919 | 329,424 |
| Natl Space Biomedical Research Institute (MA01701) | 43 RD | 64,287 | 15,371 | 79,658 |
| Natl Space Biomedical Research Institute (NCC9-58) | 99 RD | 51,214 | - | 51,214 |
| Natl Space Biomedical Research Institute (PF01901) | 43 RD | 28,364 | - | 28,364 |
| Natl Space Biomedical Research Institute (SUB TD00701(NCC 9-58 127)) | 43 RD | 286,618 | 66,819 | 353,437 |
| Nature Conservancy (59505) | 15 RD | 1,899 | 190 | 2,089 |
| Nature Conservancy (FIRSTTASK) | 99 RD | 988 | - | 988 |
| Nature Conservancy (WAFO-94-011508) | 12 RD | 67,045 | 6,394 | 73,439 |
| Nesher Technologies, Inc. (20064287) | 93 853 | 20,170 | 10,892 | 31,062 |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (010975) | 47 RD | 937,023 | 216,515 | 1,153,538 |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (OMSA-2004, V3) | 47 RD | 637,109 | 140,606 | 777,715 |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (OMSA-2007-SSL-UCSB) | 47 041 | 384,698 | 112,316 | 497,014 |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (RA-HYBRID SIM-2007-UCB) | 47 RD | 56,946 | 14,631 | 71,577 |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (RA-OPENSEES-2008-UCB) | 47 RD | 244,549 | 128,813 | 373,362 |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (SUB OMSA-2004-NEESIT (CMS-040 | 47 041 | (18,601) | (4,228) | (22,829) |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (SUB OMSA-2004-SSL-UCSD(CMS-04 | 47 041 | 781,213 | 232,064 | 1,013,277 |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (SUB RA-NEESIT-2008(CMS-040249 | 47 041 | 973,169 | 513,522 | 1,486,691 |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (SUB00006189(RA-OPENSEES-2008- | 47 041 | 12,942 | 7,053 | 19,995 |
| Neumedicines Inc. (20082831) | 99 RD | 25,314 | 13,670 | 38,984 |
| Neurex Corporation (owned by Elan Corporation, Code 7273) (Ireland) (59405) | 99 RD | 13,004 | 6,177 | 19,181 |
| Neuro Kinetics, Inc. (20072728) | 93 867 | 34,082 | 88 | 34,170 |
| Neuroindx, Inc. (20080678) | 99 RD | 40,363 | 21,796 | 62,159 |
| Neuropace, Inc. (57509) | 11 609 | (16,297) | (8,397) | (24,694) |
| Neuroscript, LLC (SUB NONE (MH073192)) | 93 242 | 16,712 | 4,345 | 21,057 |
| Nevada Institute for Renewable Energy Commercialization (200811002) | 81 087 | 35,814 | 18,623 | 54,437 |
| Nevada Tahoe Conservation District (NTCD) (07003919) | 66 RD | 20,282 | 5,273 | 25,555 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Nevada, State of (incl Nevada State Health Division) (20082602) | 99 RD | 150 | 12 | 162 |
| New England Medical Center, Inc. (20062614) | 93 242 | 11,365 | 6,129 | 17,494 |
| New England Medical Center, Inc. (PRIME:R01AR054938) | 93 846 | 26,197 | 6,319 | 32,516 |
| New England Research Institute, Inc. (84673) | 93 RD | (7,160) | (1,862) | (9,022) |
| New England Research Institute, Inc. (HL62870) | 93 837 | 23,044 | 5,828 | 28,872 |
| New Jersey Institute of Technology (990715) | 47 RD | 24,896 | 9,460 | 34,356 |
| New Jersey Institute of Technology (990786) | 47 041 | 27,434 | 10,462 | 37,896 |
| New Media Studio, The (NN04AA02A) | 43 RD | 16,422 | 5,305 | 21,727 |
| New Mexico Institute of Mining & Technology (Q01112) | 15 805 | 11,839 | - | 11,839 |
| New York University (SUB 07-0869 (MH082790)) | 93 242 | 79,594 | 43,379 | 122,973 |
| New York University (SUB F6109-3 (DMR-0506946)) | 47 049 | 16,548 | 9,019 | 25,567 |
| New York University (SUB F6128-01 (IOB-0519985-01)) | 47 074 | 105,341 | 57,411 | 162,752 |
| Nextgen Aeronautics (08-12) | 12 420 | 48,658 | 25,789 | 74,447 |
| Nextgen Aeronautics (SUB PO 08-04 3019 (N68335-08-C)) | 12 300 | 12,897 | 4,166 | 17,063 |
| Nextgen Aeronautics (SUB PO 08-21 3025 (W911NF-09-C)) | 12 RD | 43,888 | 20,058 | 63,946 |
| Night Lotus Prod/Jeste (82229) | 93 242 | (472) | (124) | (596) |
| NMSU (Q1191) | 47 076 | 23,473 | 12,676 | 36,149 |
| Normandeau Associates, Inc. (31013) | 12 RD | 42 | 11 | 53 |
| Nortel (incl Pec Solutions,ac Tech,Bay Networks,North Telecom-Canada) (20070467) | 12 RD | 61,130 | 17,870 | 79,000 |
| North Carolina State University (2004-0806-01) | 12 800 | 168,954 | 56,343 | 225,297 |
| North Carolina State University (2004157801) | 10 206 | 2,917 | 583 | 3,500 |
| North Carolina State University (2006-2130-01) | 12 RD | 25,692 | 13,552 | 39,244 |
| North Carolina State University (2007-0024-01) | 43 RD | 29,213 | 11,230 | 40,443 |
| North Carolina State University (2007037615) | 10 500 | 14,586 | 1,459 | 16,045 |
| North Carolina State University (2008-0132-01) | 47 076 | 98,539 | 23,315 | 121,854 |
| North Carolina State University (2008059023) | 10 500 | 222,993 | 22,299 | 245,292 |
| North Carolina State University (2008-0592-01) | 93 286 | 13,095 | 4,905 | 18,000 |
| North Carolina State University (31103) | 10 206 | 41,128 | 8,226 | 49,354 |
| North Carolina State University (SUB 2007-0516-01(EY018414)) | 93 867 | 21,031 | 11,462 | 32,493 |
| North Orange County Community College District (NOCCCD-47128) | 84 031 | 12,800 | - | 12,800 |
| North Pacific Research Board (NPRB) (incl Alaska Sealife Center) (608) | 11 472 | 74,562 | 40,264 | 114,826 |
| North Pacific Research Board (NPRB) (incl Alaska Sealife Center) (827 NPRB) | 11 472 | 34,215 | 3,641 | 37,856 |
| North Pacific Research Board (NPRB) (incl Alaska Sealife Center) (R2981-01-001) | 11 439 | 1,939 | 473 | 2,412 |
| North Shore-long Island Jewish Health System (001P-DALLERA) | 93 855 | 7,999 | 1,645 | 9,644 |
| North Shore-long Island Jewish Health System (08-B-110) | 93 855 | 605,678 | 157,476 | 763,154 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| North Shore-long Island Jewish Health System (08-C-15) | 93 855 | 2,048 | 153 | 2,201 |
| North Shore-long Island Jewish Health System (ALN01-08-M-01) | 93 855 | 48,722 | 16,078 | 64,800 |
| North Shore-long Island Jewish Health System (FEINSTEIN-001P) | 93 855 | 17,787 | 4,843 | 22,630 |
| North Shore-long Island Jewish Health System (FIMR001A) | 93 855 | 3,610 | 939 | 4,549 |
| Northeastern University (541760P0900380) | 93 867 | 1,358 | 733 | 2,091 |
| Northeastern University (552170) | 16 RD | 6,778 | 931 | 7,709 |
| Northern Arizona University (incl Natl Inst for Climatic Change Res) (FOR34EM-01) | 10 652 | 5,670 | - | 5,670 |
| Northern Arizona University (incl Natl Inst for Climatic Change Res) (MPC 35UZ-01-001) | 81 049 | 122,310 | 58,516 | 180,826 |
| Northern Arizona University (incl Natl Inst for Climatic Change Res) (MPC 35VB-01) | 81 049 | 23,540 | 12,358 | 35,898 |
| Northern Arizona University (incl Natl Inst for Climatic Change Res) (MPC35TA-A5) | 81 049 | 18 | - | 18 |
| Northern Calif Cancer Center (formerly Program) (132660-01) | 93 RD | 89,347 | 20,242 | 109,589 |
| Northern Calif Cancer Center (formerly Program) (83034) | 93 399 | (5,262) | - | (5,262) |
| Northern Calif Cancer Center (formerly Program) (83036) | 93 399 | (12,938) | (6,663) | (19,601) |
| Northern Calif Cancer Center (formerly Program) (SUB N02-CO-51113-01-5) | 93 RD | 33,801 | 8,788 | 42,589 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (000857) | 93 866 | 168,742 | 76,291 | 245,033 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (000858) | 93 RD | 326,777 | 169,924 | 496,701 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (1191) | 93 866 | 60,565 | 31,797 | 92,362 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (79092) | 93 866 | 142,277 | 63,580 | 205,857 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82024) | 93 847 | 183 | 87 | 270 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82403) | 93 853 | (1,647) | (782) | (2,429) |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (87404) | 93 242 | 60,271 | 31,341 | 91,612 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (AG024904) | 93 RD | 5,263,783 | 484,223 | 5,748,006 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (KIM1152) | 12 RD | 8,565 | 4,539 | 13,104 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (NAG807) | 93 242 | 27,996 | 15,258 | 43,254 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (SUB 000947 (NS051132)) | 93 853 | 59,839 | 15,558 | 75,397 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (SUB WEINER 000852 (B) (AG02490) | 93 866 | (65,350) | (35,616) | (100,966) |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (WEINER 000853) | 93 866 | 367,868 | 198,359 | 566,227 |
| Northern Illinois University (59787) | 47 078 | (16) | (8) | (24) |
| Northern Illinois University (59803) | 47 078 | 14,391 | 5,196 | 19,587 |
| Northern Illinois University (900089421) | 93 853 | 29,502 | 15,931 | 45,433 |
| Northern Illinois University (93771) | 12 420 | 24,097 | 12,289 | 36,386 |
| Northrop Grumman Corporation (incl Logicon Inc, Xetron Corporation) (7500035517) | 93 RD | 6,748 | 3,509 | 10,257 |
| Northrop Grumman Corporation (incl Logicon Inc, Xetron Corporation) (91245ROK8A) | 99 RD | 45,085 | 22,069 | 67,154 |
| Northrop Grumman Corporation (incl Logicon Inc, Xetron Corporation) (SUB 2695583 (NONE)) | 12 910 | 9,028 | 4,920 | 13,948 |
| Northshore University Healthsystem Research Inst (frmly Evanston Nw) (59207) | 93 846 | (21,099) | (5,486) | (26,585) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Northshore University Healthsystem Research Inst (frmly Evanston Nw) (EH04-179-S3) | 93 846 | 96,683 | 23,553 | 120,236 |
| Northshore University Healthsystem Research Inst (frmly Evanston Nw) (EH06-201-S8) | 99 RD | 128,660 | 69,477 | 198,137 |
| Northwestern University (0600 370 S524 1333) | 93 853 | 150,969 | 65,318 | 216,287 |
| Northwestern University (0600-370-F383-UCSF) | 93 846 | 7,674 | 1,879 | 9,553 |
| Northwestern University (0600-370-V983-1480-00) | 93 837 | 9,191 | 3,033 | 12,224 |
| Northwestern University (82306) | 93 RD | 1,004 | 505 | 1,509 |
| Northwestern University (82323) | 93 279 | (227) | (108) | (335) |
| Northwestern University (HL073351) | 93 837 | 5,232 | 2,721 | 7,953 |
| Northwestern University (OSR #04037360) | 93 846 | 46,088 | 10,996 | 57,084 |
| Northwestern University (OSR #04037705) | 93 242 | 122,686 | 63,184 | 185,870 |
| Northwestern University (PROJ0000076) | 47 041 | 38,951 | 20,254 | 59,205 |
| Northwestern University (PROJ0000253) | 81 049 | 58,911 | 23,113 | 82,024 |
| Northwestern University (PROJ0000709) | 93 286 | 108,077 | 31,243 | 139,320 |
| Northwestern University (PROJ0000767) | 12 910 | 20,794 | 11,333 | 32,127 |
| Northwestern University (PROJ0001613) | 12 800 | 18,300 | 9,516 | 27,816 |
| Northwestern University (PROJ0001730) | 93 865 | 16,693 | 8,764 | 25,457 |
| Northwestern University (SUB 0600-370-L319 UCSD (HD0582) | 93 279 | 47,495 | 25,884 | 73,379 |
| Northwestern University (SUB 4610000-60012898 (DC007213) | 93 173 | 100,649 | 54,854 | 155,503 |
| Nova Engineering, Inc. (2005-0254) | 12 300 | 34,144 | 18,609 | 52,753 |
| Nova Research Company (Bethesda, Maryland) (83731) | 93 279 | 729 | 190 | 919 |
| Nova Scientific, Inc. (UCB 082708) | 81 RD | 42,917 | 9,803 | 52,720 |
| Nova Scientific, Inc. (UCB 092908) | 81 RD | 83,225 | 24,135 | 107,360 |
| Novafloora Inc. (08002273) | 10 RD | 15,470 | 3,868 | 19,338 |
| Novartis Ag (incl Pharma,Animal Hlth,Med Nutritn,Ciba Visn-Switzerland (07003592) | 93 856 | 130,176 | 59,771 | 189,947 |
| Novartis Research Foundation (inc Genomics Institute) (AI06628701A1) | 93 RD | 191,161 | 99,404 | 290,565 |
| Novo Nordisk Co.(incl Entotech,Novozymes, Biotech, Hagedorn)(Denmark) (HRIUCISFYR3) | 93 847 | 26,572 | 13,951 | 40,523 |
| Novo Nordisk Co.(incl Entotech,Novozymes, Biotech, Hagedorn)(Denmark) (DK072495) | 93 847 | 165,617 | 87,216 | 252,833 |
| Novomedix (DK077285) | 93 849 | 40,357 | 21,995 | 62,352 |
| NSABP Foundation, Inc. (Natl Surgical Adjuvant Breast & Bowel Project) (82192) | 93 399 | 1,872 | 545 | 2,417 |
| NSABP Foundation, Inc. (Natl Surgical Adjuvant Breast & Bowel Project) (PFED23-UCL-01) | 93 399 | 8,111 | 1,825 | 9,936 |
| NSABP Foundation, Inc. (Natl Surgical Adjuvant Breast & Bowel Project) (TFED37-137/TFED38-137) | 93 395 | 871 | 106 | 977 |
| NSABP Foundation, Inc. (Natl Surgical Adjuvant Breast & Bowel Project) (TFED38-710) | 93 395 | 14 | 4 | 18 |
| NSABP Foundation, Inc. (Natl Surgical Adjuvant Breast & Bowel Project) (UCLA-YR23) | 93 399 | 11,002 | 5,941 | 16,943 |
| Numerex (09-001) | 99 RD | 766 | 414 | 1,180 |
| Numerex (79204) | 99 RD | (4,552) | (2,458) | (7,010) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (4000019437) | 81 049 | 17,859 | 8,483 | 26,342 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (4000056328) | 99 RD | 10,218 | 5,569 | 15,787 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (4000057571) | 81 RD | 609 | 329 | 938 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (4000065522) | 81 RD | 3,656 | 1,883 | 5,539 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (4000065523) | 99 RD | 31,035 | 8,175 | 39,210 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (4000068439) | 81 RD | 94,628 | 24,603 | 119,231 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (4000069952) | 99 RD | 25,028 | 13,640 | 38,668 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (4000077731) | 81 RD | 6,923 | 3,565 | 10,488 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (59542) | 81 RD | 537 | 140 | 677 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (59545) | 81 RD | 102 | 53 | 155 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (6400007221) | 81 RD | 181,883 | 99,126 | 281,009 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (78423) | 81 RD | 18,571 | 7,314 | 25,885 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (78931) | 81 RD | 4,071 | 2,155 | 6,226 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (SUB (NONE) 4000074348) | 81 RD | 16,229 | 6,799 | 23,028 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (SUB 4000063938(DE-AC0500OR2272) | 81 RD | 189,552 | 49,284 | 238,836 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (4000034111) | 81 049 | 62,287 | 33,947 | 96,234 |
| Objectvideo, Inc. (20080735) | 99 RD | 55,697 | 26,920 | 82,617 |
| Oceanit Laboratories, Inc. (20091099) | 12 300 | 9,019 | 4,915 | 13,934 |
| Ohio State University (includes Research Foundation) (58906) | 47 050 | 156 | 84 | 240 |
| Ohio State University (includes Research Foundation) (60002999) | 47 RD | 46,917 | 24,401 | 71,318 |
| Ohio State University (includes Research Foundation) (60004607 (RF01040938)) | 84 305 | 76,305 | 39,470 | 115,775 |
| Ohio State University (includes Research Foundation) (746628) | 12 RD | 231,338 | 121,453 | 352,791 |
| Ohio State University (includes Research Foundation) (82406) | 93 394 | (23,049) | (11,870) | (34,919) |
| Ohio State University (includes Research Foundation) (GRT00008581/RF01091255) | 12 800 | 120,161 | 56,383 | 176,544 |
| Ohio State University (includes Research Foundation) (PROJECT NO. 60018554) | 47 049 | 33,210 | 13,298 | 46,508 |
| Ohio State University (includes Research Foundation) (RF00965521) | 12 630 | 181,620 | 98,983 | 280,603 |
| Ohio State University (includes Research Foundation) (RF01052153) | 98 RD | 810 | 211 | 1,021 |
| Ohio State University (includes Research Foundation) (RF01075817) | 47 041 | 25,059 | 11,720 | 36,779 |
| Ohio State University (includes Research Foundation) (RF745914) | 10 303 | 4,946 | 1,067 | 6,013 |
| Ohio State University (includes Research Foundation) (SUB 60014319 (CA134232)) | 93 355 | 93,106 | 50,743 | 143,849 |
| Ohio State University (includes Research Foundation) (SUB 60016950 (CA084291)) | 93 396 | 98,215 | 50,550 | 148,765 |
| Oklahoma Medical Research Foundation (07002367) | 93 864 | 36,497 | 16,104 | 52,601 |
| Oklahoma State University (81580-01) | 93 855 | 55,861 | 30,445 | 86,306 |
| Oklahoma, State of (83048) | 93 242 | (38,511) | (20,988) | (59,499) |
| Old Dominion University Research Foundation (09-165-371981) | 47 079 | 12,250 | 3,185 | 15,435 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Olive View-UCLA Education & Research Institute, Inc. (08-47) | 93 847 | 25,190 | 9,506 | 34,696 |
| Omega-p, Inc. (SUB-GRANT NO. 48-86223) | 81 RD | 23,362 | 12,148 | 35,510 |
| Ontario Institute for Cancer Research (OICR) (Canada) (451010-02) | 93 172 | 19,588 | 9,990 | 29,578 |
| Optimal Synthesis Inc. (78409) | 78 RD | 72 | 38 | 110 |
| Oregon Health Sciences University (ABENU0202) | 93 279 | 55,898 | 21,672 | 77,570 |
| Oregon Health Sciences University (AEBSN0063) | 47 076 | 16,471 | 5,578 | 22,049 |
| Oregon Health Sciences University (APHPM0090-UCSF) | 93 279 | 13,297 | 7,247 | 20,544 |
| Oregon Health Sciences University (CGROE0137A) | 93 113 | 30,879 | 16,057 | 46,936 |
| Oregon Health Sciences University (GBNEU0169B K) | 93 273 | 47,349 | 24,385 | 71,734 |
| Oregon Health Sciences University (GEBNS_UCSDSCRIPPSSTAUDIGEL) | 47 074 | 73,446 | 33,230 | 106,676 |
| Oregon Health Sciences University (GEDCN0181AA) | 93 846 | 33,105 | 7,807 | 40,912 |
| Oregon Health Sciences University (GHEMA0151A A) | 99 RD | 29,146 | 5,829 | 34,975 |
| Oregon Health Sciences University (GMEDG0111AUC) | 93 395 | 27,877 | 14,496 | 42,373 |
| Oregon Health Sciences University (GPEDC0013C) | 93 837 | 11,209 | 5,829 | 17,038 |
| Oregon Health Sciences University (GPEDC0036A SAHN) | 93 837 | 93,053 | 50,249 | 143,302 |
| Oregon Health Sciences University (GPEDC0036ASAHN) | 93 837 | 45,305 | 23,559 | 68,864 |
| Oregon Health Sciences University (OSR #04037761) | 42 RD | 1,195 | 615 | 1,810 |
| Oregon Health Sciences University (SUB GORPN0016A UCSD(HHSA290200)) | 93 RD | 1,905 | 495 | 2,400 |
| Oregon State University (59754) | 11 440 | 46 | 23 | 69 |
| Oregon State University (84720) | 93 242 | 23,134 | 5,405 | 28,539 |
| Oregon State University (C0358AD) | 10 303 | 472 | 94 | 566 |
| Oregon State University (K9474M-A) | 12 300 | 25,344 | 13,432 | 38,776 |
| Oregon State University (NA193H-A) | 11 440 | 32,380 | 17,485 | 49,865 |
| Oregon State University (NL123A-A) | 43 002 | 10,441 | 5,690 | 16,131 |
| Oregon State University (NO.NA108Y-A) | 11 440 | 21,186 | 11,440 | 32,626 |
| Oregon State University (S0793A-F) | 47 078 | 432 | 225 | 657 |
| Oregon State University (S0793A-G) | 47 078 | 32 | (32) | - |
| Oregon State University (SUB NONE (CA084225)) | 93 395 | 163,869 | 40,375 | 204,244 |
| Oregon State University (SUB S0977A-C (DBI-0605240)) | 47 074 | 6,002 | 6,793 | 12,795 |
| Oregon, State of (0108002ISAFISH) | 15 RD | 13,142 | 6,834 | 19,976 |
| Osel Inc. (UCSF#A109378) | 93 RD | 17,449 | 4,170 | 21,619 |
| Other Agencies (SUB-226-UCR1) | 12 999 | 10,795 | 2,264 | 13,059 |
| Other Agencies (TRFR-UCR-TES-08-02) | 81 087 | 13,196 | 4,970 | 18,166 |
| Other Agencies (UCR-09070638) | 93 RD | 3,456 | 1,728 | 5,184 |
| Oztech Systems Inc (59409) | 99 RD | 1,155 | 549 | 1,704 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Pacific Institute for Research & Eval (incl Prevention Resch Ctr) (57920) | 93 273 | 34,497 | 8,969 | 43,466 |
| Pacific Institute for Research & Eval (incl Prevention Resch Ctr) (79205) | 93 273 | (163) | (42) | (205) |
| Pacific States Marine Fisheries Commission (09-53) | 11 454 | 9,069 | 635 | 9,704 |
| Pacific States Marine Fisheries Commission (09-54) | 11 454 | 75,157 | 5,261 | 80,418 |
| Pacific States Marine Fisheries Commission (09-55) | 11 454 | 19,311 | 1,352 | 20,663 |
| Pacific States Marine Fisheries Commission (59948) | 11 454 | 641 | 45 | 686 |
| Packet Digital LLC (82825) | 12 630 | (83) | (45) | (128) |
| Palladian Partners Inc 08/00 (59266) | 99 RD | 270 | 70 | 340 |
| Palo Alto Institute for Research and Education, Inc. (VA Foundation) (COE0001-03-001) | 12 420 | 72,012 | 25,292 | 97,304 |
| Palo Alto Institute for Research and Education, Inc. (VA Foundation) (R03AI074465) | 93 855 | 18,556 | 9,464 | 28,020 |
| Paratek Pharmaceuticals, Inc. (83220) | 99 RD | (14,536) | (7,486) | (22,022) |
| Parkinson's Institute (formerly Calif Parkinson's Foundation) (82636) | 93 894 | (2,218) | (1,142) | (3,360) |
| Parkinson's Institute (formerly Calif Parkinson's Foundation) (OSR #04037242) | 12 420 | 20,144 | 5,237 | 25,381 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (03080773) | 93 242 | 7,379 | 3,985 | 11,364 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (2003A009773/214300) | 93 853 | 23,174 | 6,025 | 29,199 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (208409) | 93 864 | 32,827 | 17,234 | 50,061 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (212706) | 93 859 | 108,820 | 58,701 | 167,521 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (213131) | 93 242 | 426,325 | 94,435 | 520,760 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (218679) | 93 242 | 55,154 | 28,676 | 83,830 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (219324) | 93 848 | 179,570 | 97,986 | 277,556 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (57879) | 93 389 | (5,072) | (2,739) | (7,811) |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (5R24RR018934) | 93 389 | 101,455 | 52,757 | 154,212 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (5R24RR01893403AM2) | 93 389 | 22 | (52) | (30) |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (82781) | 93 853 | (335) | (159) | (494) |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (83567) | 93 226 | 1,033 | 310 | 1,343 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (MGH-36106) | 93 389 | 215,016 | 44,814 | 259,830 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (MGH-39225) | 93 393 | 107,867 | 56,630 | 164,497 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (NS050041) | 93 853 | 43,781 | 23,860 | 67,641 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (OSR #03033968) | 93 242 | 121,286 | 58,772 | 180,058 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (SUB 121608 (MH085513)) | 93 242 | 16,154 | 8,804 | 24,958 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (RR03293) | 93 RD | 470,700 | 168,284 | 638,984 |
| Pennsylvania College of Optometry (PCO) (SUB 57304 (EY14713)) | 93 867 | 5,820 | 3,172 | 8,992 |
| Pennsylvania State University (incl Penn State Research Foundation) (20085562018710) | 10 206 | 42,356 | 10,589 | 52,945 |
| Pennsylvania State University (incl Penn State Research Foundation) (3235-UCSB-NSF-2516) | 47 050 | 419 | 109 | 528 |
| Pennsylvania State University (incl Penn State Research Foundation) (3268-UCB-DHHS-9937) | 93 RD | 91,451 | 43,056 | 134,507 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Pennsylvania State University (incl Penn State Research Foundation) (3469-UCSD-NASA-K62G) | 99 RD | 72,548 | 32,740 | 105,288 |
| Pennsylvania State University (incl Penn State Research Foundation) (3537-UC-NSF-3994) | 47 078 | 9,704 | 4,949 | 14,653 |
| Pennsylvania State University (incl Penn State Research Foundation) (3603-UCI-NASA-C44A) | 43 001 | 53,966 | 26,034 | 80,000 |
| Pennsylvania State University (incl Penn State Research Foundation) (3661-UCSB-DHHS-7002) | 93 865 | 104,244 | 4,941 | 109,185 |
| Pennsylvania State University (incl Penn State Research Foundation) (3750-UCSB-NSF-0404) | 47 049 | 13,943 | 5,327 | 19,270 |
| Pennsylvania State University (incl Penn State Research Foundation) (3757-UCB-NSF-0404) | 47 049 | 25,076 | (76) | 25,000 |
| Pennsylvania State University (incl Penn State Research Foundation) (3769-UCA-NIH-6301) | 93 855 | 28,535 | 14,981 | 43,516 |
| Pennsylvania State University (incl Penn State Research Foundation) (3816UCDUSDA9561) | 10 309 | 83,074 | 22,764 | 105,838 |
| Pennsylvania State University (incl Penn State Research Foundation) (3854-UCB-AFOSR-0041) | 12 300 | 35,179 | 11,962 | 47,141 |
| Pennsylvania State University (incl Penn State Research Foundation) (57447) | 93 865 | 2,852 | 1,426 | 4,278 |
| Pennsylvania State University (incl Penn State Research Foundation) (S05-07) | 12 300 | 44,547 | 7,127 | 51,674 |
| Pennsylvania State University (incl Penn State Research Foundation) (SUB 3712-UCSD-USA-0124 (W911NF | 12 431 | 36,610 | 19,952 | 56,562 |
| Pennsylvania State University (incl Penn State Research Foundation) (SUB 3762-UCSD-NSF-0729 (DBI-08 | 47 074 | 141,813 | 77,288 | 219,101 |
| People in Progress, Inc. (20071053) | 93 243 | 18,241 | 4,569 | 22,810 |
| People in Progress, Inc. (79432) | 93 243 | 25,933 | 6,396 | 32,329 |
| People in Progress, Inc. (80419) | 93 243 | (390) | (102) | (492) |
| Perlegen Sciences, Inc. (20082531) | 99 RD | 239,575 | 117,312 | 356,887 |
| Peter Pazmany Catholic University (Hungary) (UCB-IT/PPCU-001) | 99 RD | 88,393 | 46,607 | 135,000 |
| Pharad, LLC (20080818) | 99 RD | 113,862 | 48,949 | 162,811 |
| Pharmacia Corporation (merger of Pharmacia/Upjohn & Monsanto) (57440) | 99 RD | 6,293 | 3,304 | 9,597 |
| Photonic Systems, Inc. (79271) | 99 RD | 1,880 | 1,015 | 2,895 |
| Photonic Systems, Inc. (SC-08-102) | 12 630 | 10,936 | 5,960 | 16,896 |
| Pine Street Foundation (UCSF#A109951) | 12 420 | 7,519 | 4,098 | 11,617 |
| Pittsburgh Materials Technology, Inc. (87429) | 12 RD | 61,008 | 28,503 | 89,511 |
| Planet Biotechnology, Inc. (PBI-40706) | 93 859 | 1,683 | 884 | 2,567 |
| Planet Biotechnology, Inc. (UCSF#A109921) | 12 RD | 2,100 | 630 | 2,730 |
| Plasma Processes Inc. (59581) | 81 RD | 859 | 408 | 1,267 |
| Pohang University of Science and Technology (Korea) (20073040) | 99 RD | 67,232 | 33,385 | 100,617 |
| Polar Onyx, Inc. (08001334) | 12 RD | 8,175 | 2,871 | 11,046 |
| Polar Onyx, Inc. (08002292) | 47 RD | 18,498 | 8,239 | 26,737 |
| Population Council - New York (CB06.101A) | 99 RD | 105,424 | 27,410 | 132,834 |
| Portland State University (0777) | 11 RD | 9,475 | 2,464 | 11,939 |
| Portland State University (205NEW015) | 93 866 | 8,075 | 2,657 | 10,732 |
| Ppd Inc. (incl Ppd Discovery, Ppd Development, Ppd Virtual) (91808-998) | 93 RD | 132,961 | 34,570 | 167,531 |
| Ppd Inc. (incl Ppd Discovery, Ppd Development, Ppd Virtual) (UCSF#A109453) | 93 RD | 12,663 | 3,225 | 15,888 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Praevium Research, Inc. (34337) | 93 395 | 36,363 | 19,091 | 55,454 |
| Precision Photonics Corporation (AI068543) | 93 856 | 35,154 | 19,159 | 54,313 |
| Precision Photonics Corporation (SUB P02849 (AI065357)) | 93 856 | 11,149 | 6,076 | 17,225 |
| Predictionprobe, Inc. (2007-3841) | 99 RD | 17,212 | 7,560 | 24,772 |
| Prevention Institute (Bay Area, California) (20071068) | 93 136 | 52,034 | 9,911 | 61,945 |
| Princeton University (00000532) | 43 RD | 65 | 93 | 158 |
| Princeton University (00001101) | 12 910 | 121,046 | 47,082 | 168,128 |
| Princeton University (00001198) | 43 RD | 37,928 | 6,974 | 44,902 |
| Princeton University (00001370) | 47 070 | 1,894 | 1,009 | 2,903 |
| Princeton University (00001421) | 81 049 | 18,042 | 9,562 | 27,604 |
| Princeton University (00001466) | 43 RD | 39,923 | 18,764 | 58,687 |
| Princeton University (59595) | 47 049 | 3,771 | 1,772 | 5,543 |
| Princeton University (86329) | 12 RD | 30,768 | 13,239 | 44,007 |
| Princeton University (914) | 12 300 | 108,736 | 54,468 | 163,204 |
| Princeton University (S006987-R) | 99 RD | 45,894 | 24,783 | 70,677 |
| Princeton University (SUB 00001661 (FA9550-09-1-031) | 12 800 | 78,659 | 40,823 | 119,482 |
| Princeton University (SUB S006918-F (NONE)) | 81 RD | 22,579 | 5,870 | 28,449 |
| Procter & Gamble Company (77044) | 99 RD | 34,900 | - | 34,900 |
| Psychogenics Inc. (07001248) | 93 RD | 76,055 | 39,549 | 115,604 |
| Public Health Foundation Enterprises, Inc. (20063078) | 93 279 | 17,755 | 4,616 | 22,371 |
| Public Health Foundation Enterprises, Inc. (20889) | 93 RD | (14,369) | (3,781) | (18,150) |
| Public Health Foundation Enterprises, Inc. (2131.001ARIPRAZOLE) | 93 279 | 30,654 | 7,211 | 37,865 |
| Public Health Foundation Enterprises, Inc. (2278.001 PUMA) | 93 855 | 2,795 | 727 | 3,522 |
| Public Health Foundation Enterprises, Inc. (59606) | 93 283 | 23,085 | 11,889 | 34,974 |
| Public Health Foundation Enterprises, Inc. (82492) | 99 RD | 271 | 129 | 400 |
| Public Health Foundation Enterprises, Inc. (82719) | 93 856 | (15,057) | (3,915) | (18,972) |
| Public Health Foundation Enterprises, Inc. (83014) | 93 943 | 1,002 | 261 | 1,263 |
| Public Health Foundation Enterprises, Inc. (87596) | 93 283 | 71,198 | 24,207 | 95,405 |
| Public Health Foundation Enterprises, Inc. (88179) | 93 283 | 4,532 | 1,541 | 6,073 |
| Public Health Foundation Enterprises, Inc. (UCSF#A109327) | 93 279 | 29,058 | 7,490 | 36,548 |
| Public Health Foundation Enterprises, Inc. (UCSF#A109344) | 93 RD | 52,452 | 13,637 | 66,089 |
| Public Health Institute (formerly Calif Public Health Foundation) (26299) | 93 RD | 7,099 | 3,869 | 10,968 |
| Public Health Institute (formerly Calif Public Health Foundation) (1010740) | 93 RD | 22,763 | 5,575 | 28,338 |
| Public Health Institute (formerly Calif Public Health Foundation) (1011866) | 84 RD | 56,315 | 30,581 | 86,896 |
| Public Health Institute (formerly Calif Public Health Foundation) (1012749) | 93 273 | 19,197 | 10,462 | 29,659 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Public Health Institute (formerly Calif Public Health Foundation) (1012773) | 10 RD | 30,041 | 7,510 | 37,551 |
| Public Health Institute (formerly Calif Public Health Foundation) (1013695) | 93 RD | 3,594 | 934 | 4,528 |
| Public Health Institute (formerly Calif Public Health Foundation) (1014460R) | 93 273 | 47,582 | 25,932 | 73,514 |
| Public Health Institute (formerly Calif Public Health Foundation) (59724) | 10 RD | 60 | (60) | - |
| Public Health Institute (formerly Calif Public Health Foundation) (78127) | 93 938 | 78 | (78) | - |
| Public Health Institute (formerly Calif Public Health Foundation) (82007) | 93 891 | 1,319 | 343 | 1,662 |
| Public Health Institute (formerly Calif Public Health Foundation) (82411) | 93 891 | 2,022 | 526 | 2,548 |
| Public Health Institute (formerly Calif Public Health Foundation) (87405) | 93 RD | 20,864 | 10,625 | 31,489 |
| Public Hospital Institute (PHI) (86975) | 10 RD | 67,761 | 17,618 | 85,379 |
| Purdue Research Foundation (82215) | 93 309 | 3,561 | 1,858 | 5,419 |
| Purdue University (4101-19918) | 47 RD | 271,944 | 94,687 | 366,631 |
| Purdue University (4101-25611) | 47 076 | 16,117 | 8,703 | 24,820 |
| Purdue University (4102-23523) | 93 393 | 12,899 | 7,030 | 19,929 |
| Purdue University (4105-16482-01) | 81 RD | 11,134 | 4,769 | 15,903 |
| Purdue University (4105-17175) | 99 RD | 267 | (267) | - |
| Purdue University (4112-20572) | 99 RD | 42,690 | 16,422 | 59,112 |
| Purdue University (501-2202-01) | 47 041 | (12,976) | (6,843) | (19,819) |
| Purdue University (511-1664-01) | 93 393 | 120,453 | 62,636 | 183,089 |
| Purdue University (531-0896-01) | 12 431 | 170,813 | 70,482 | 241,295 |
| Purdue University (86249) | 93 867 | (19,714) | (10,590) | (30,304) |
| Purdue University (AI055672) | 93 856 | (6,855) | (3,736) | (10,591) |
| Q-chem, Inc. (025480) | 99 RD | 79,465 | 42,117 | 121,582 |
| Q-chem, Inc. (2 R44 GM66484-02) | 93 RD | 14,068 | 7,315 | 21,383 |
| Queensland, State of (incl Queens Inst Med Res) (Australia) (QIMR 08-01-5163) | 93 865 | 163,952 | 79,735 | 243,687 |
| Radiation Monitoring Devices, Inc. (RMD) (011436) | 93 395 | 452 | 235 | 687 |
| Radiation Monitoring Devices, Inc. (RMD) (20082846) | 93 389 | 16,236 | 8,764 | 25,000 |
| Radiation Monitoring Devices, Inc. (RMD) (83654) | 99 RD | (28,985) | (7,536) | (36,521) |
| Radiation Monitoring Devices, Inc. (RMD) (86823) | 93 RD | 139,312 | 50,679 | 189,991 |
| Radiation Monitoring Devices, Inc. (RMD) (87817) | 93 RD | 52,295 | 25,167 | 77,462 |
| Radiation Monitoring Devices, Inc. (RMD) (C08-11) | 99 RD | 30,000 | - | 30,000 |
| Radiation Monitoring Devices, Inc. (RMD) (C0815) | 93 RD | 53,025 | 27,573 | 80,598 |
| Radiation Monitoring Devices, Inc. (RMD) (C08-30) | 93 RD | 8,224 | 3,776 | 12,000 |
| Radiation Monitoring Devices, Inc. (RMD) (DE-FG02-07ER84903) | 81 RD | 19,025 | 3,050 | 22,075 |
| Radiation Monitoring Devices, Inc. (RMD) (DEFG0208ER85158) | 81 RD | 17,070 | 7,930 | 25,000 |
| Radiation Monitoring Devices, Inc. (RMD) (DE-FG02-08ER85176) | 81 RD | 11,239 | 2,922 | 14,161 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Radiation Monitoring Devices, Inc. (RMD) (OSR #05041670) | 99 RD | 83,231 | - | 83,231 |
| Radiological Society of North America (SUB (HHSN268200800020C)) | 93 RD | 5,030 | 2,741 | 7,771 |
| Rancho Santiago Community College District (DO-08-057) | 84 334 | 54,648 | - | 54,648 |
| Rand Corp (3586) | 93 242 | 27,225 | 14,701 | 41,926 |
| Rand Corp (3599) | 93 399 | (40,063) | (8,391) | (48,454) |
| Rand Corp (79095) | 93 894 | (727) | (381) | (1,108) |
| Rand Corp (79272) | 93 307 | 100,367 | 25,448 | 125,815 |
| Rand Corp (82647) | 93 279 | 172 | 45 | 217 |
| Rand Corp (9920040019) | 93 894 | 6,725 | 3,531 | 10,256 |
| Rand Corp (9920050090) | 93 242 | 27,226 | 14,702 | 41,928 |
| Rand Corp (9920060139) | 93 279 | 106,472 | 25,664 | 132,136 |
| Rand Corp (9920070108) | 93 837 | 57,028 | 13,960 | 70,988 |
| Rand Corp (9920080009) | 99 RD | 146,042 | 48,194 | 194,236 |
| Rand Corp (9920080019) | 93 242 | 92,115 | 49,742 | 141,857 |
| Rand Corp (9920080040) | 99 RD | 5,381 | 10 | 5,391 |
| Rand Corp (9920080082) | 93 866 | 40,863 | 9,372 | 50,235 |
| Rand Corp (9920080110) | 93 226 | 1,194 | - | 1,194 |
| Rand Corp (9920080128) | 93 864 | 30,610 | 16,529 | 47,139 |
| Rand Corp (9920090027) | 99 RD | 40,244 | 10,463 | 50,707 |
| Rand Corp (9920090052) | 93 RD | 1,903 | 1,037 | 2,940 |
| Raytheon (4400272256) | 99 RD | 19,078 | 5,922 | 25,000 |
| Raytheon (78572) | 12 RD | 86,149 | 32,251 | 118,400 |
| Raytheon (SUB 4400302844 (NONE)) | 12 300 | 110,073 | 56,173 | 166,246 |
| Red Hill Studios (PRIME:1R41NS061502) | 93 RD | 15,889 | 8,666 | 24,555 |
| Redondo Optics, Inc. (SB060129) | 12 RD | 16,373 | 7,695 | 24,068 |
| Redxdefense (2006-0740R2 SUB HSHQDC-07-9-00) | 97 002 | 5,669 | 413 | 6,082 |
| Rehabilitation Institute of Chicago (03.80940) | 84 133 | 35,790 | 18,790 | 54,580 |
| Rehabilitation Institute of Chicago (78473) | 84 133 | 1,048 | 550 | 1,598 |
| Rehabilitation Institute of Chicago (RIRC-41561) | 93 865 | 2,935 | 1,541 | 4,476 |
| Rensselaer Polytechnic Institute (A11961) | 47 049 | 32,495 | 13,009 | 45,504 |
| Rensselaer Polytechnic Institute (A12014) | 93 286 | 13,139 | 6,964 | 20,103 |
| Rensselaer Polytechnic Institute (SUB A11647(CMS-0529995)) | 47 041 | 55,755 | 30,386 | 86,141 |
| Research and Development Solutions, LLC (41817M3407) | 81 117 | 225,290 | 51,905 | 277,195 |
| Research and Development Solutions, LLC (41817M4306) | 81 117 | 43,722 | 21,942 | 65,664 |
| Research Fdn for Mental Hygiene (71232) | 93 242 | 472 | 29 | 501 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Research Fdn for Mental Hygiene (82248) | 93 273 | 2,684 | 698 | 3,382 |
| Research Fdn for Mental Hygiene (SUB 1006464-7-24358 (AG016381)) | 93 866 | 111,288 | 27,347 | 138,635 |
| Research Fdn of State University of New York (the) (04-78-03) | 47 079 | 4,765 | 2,335 | 7,100 |
| Research Fdn of State University of New York (the) (1057112-2-40413-001) | 47 070 | 80,883 | 31,781 | 112,664 |
| Research Fdn of State University of New York (the) (43862) | 47 RD | 263,289 | 68,455 | 331,744 |
| Research Fdn of State University of New York (the) (44361) | 99 RD | (550) | (297) | (847) |
| Research Fdn of State University of New York (the) (550-1071321-46628) | 47 074 | 110,810 | 22,827 | 133,637 |
| Research Fdn of State University of New York (the) (59293) | 93 110 | 2,379 | 1,130 | 3,509 |
| Research Fdn of State University of New York (the) (R572933) | 20 RD | 13,967 | 2,736 | 16,703 |
| Research Fdn of State University of New York (the) (SUB 2005-0813 (DK063385)) | 93 RD | 1,665 | 466 | 2,131 |
| Research Triangle Institute (aka RTI International) (10-312-0209149) | 93 859 | 39,682 | 10,317 | 49,999 |
| Research Triangle Institute (aka RTI International) (1-312-0210850) | 99 RD | 17,235 | 9,393 | 26,628 |
| Research Triangle Institute (aka RTI International) (13120211545) | 93 RD | 10,649 | 5,537 | 16,186 |
| Research Triangle Institute (aka RTI International) (4-312-0207778) | 93 865 | 69,014 | 30,263 | 99,277 |
| Research Triangle Institute (aka RTI International) (7-312-0208082) | 93 865 | 17,267 | 9,324 | 26,591 |
| Research Triangle Institute (aka RTI International) (82371) | 93 RD | 13,494 | 6,410 | 19,904 |
| Research Triangle Institute (aka RTI International) (84849) | 99 RD | (103,026) | (24,133) | (127,159) |
| Research Triangle Institute (aka RTI International) (87438) | 12 RD | 18,012 | 7,194 | 25,206 |
| Research Triangle Institute (aka RTI International) (GM070698) | 93 859 | 71,002 | 38,696 | 109,698 |
| Research Triangle Institute (aka RTI International) (OSR #02031712) | 93 RD | 5,716 | 2,944 | 8,660 |
| Research Triangle Institute (aka RTI International) (SUB 1-312-0208838 (HD036790)) | 93 279 | 29,866 | 16,277 | 46,143 |
| Research Triangle Institute (aka RTI International) (SUB 1-312-0211684 (HD057753)) | 93 279 | 10,304 | 5,616 | 15,920 |
| Research Triangle Institute (aka RTI International) (SUB 13-312-0209234 (ED-04-CO-0)) | 84 217 | 110,020 | 59,961 | 169,981 |
| Research Works, Inc. (RWI) (DC009522) | 93 173 | 26,170 | 14,262 | 40,432 |
| Reservoir Labs, Inc. (024602) | 99 RD | 105,445 | 55,018 | 160,463 |
| Resources for The Future (07004505) | 66 RD | 47,964 | 23,571 | 71,535 |
| Resources for The Future (31405) | 66 RD | 21,027 | 8,634 | 29,661 |
| Rf Nano Corporation (STTR-40129(2)) | 12 RD | 78,829 | 34,817 | 113,646 |
| Rhevision Technology, Inc. (SUB 00779 (HSQDC-09-C-0000)) | 97 104 | 126,157 | 54,438 | 180,595 |
| Rho, Inc. (incl Rho Federal Systems Division, Inc.) (86466) | 93 RD | 16,132 | 4,154 | 20,286 |
| Rho, Inc. (incl Rho Federal Systems Division, Inc.) (86467) | 93 RD | 5,842 | 905 | 6,747 |
| Rho, Inc. (incl Rho Federal Systems Division, Inc.) (86468) | 93 RD | 10,649 | 2,368 | 13,017 |
| Rhode Island Hospital (701-1452) | 93 849 | 4,662 | 1,589 | 6,251 |
| Rhode Island Hospital (701-7515) | 93 849 | 3,555 | 1,920 | 5,475 |
| Rhode Island Hospital (82131) | 93 854 | (564) | (164) | (728) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Rhode Island Hospital (82771) | 93 849 | 8,648 | 2,594 | 11,242 |
| Rice University, Texas (William Marsh Rice) (78262) | 47 049 | (3,520) | (1,848) | (5,368) |
| Rice University, Texas (William Marsh Rice) (R38715-73900004) | 47 070 | 177,651 | 64,187 | 241,838 |
| Rice University, Texas (William Marsh Rice) (R3A593) | 47 070 | 4,130 | 1,274 | 5,404 |
| Riverside Community College District (2006-38422-17086) | 10 223 | 52,868 | 13,217 | 66,085 |
| Riverside Community College District (C-0002404) | 10 223 | 28,676 | 7,169 | 35,845 |
| Robert Wood Johnson Foundation (the) (82789) | 99 RD | (296) | (27) | (323) |
| Rochester Institute of Technology (30641-01) | 47 041 | 1,498 | 794 | 2,292 |
| Rochester Institute of Technology (30907-01) | 43 RD | 1,161 | 627 | 1,788 |
| Rockefeller University (OSR #05038779) | 93 389 | 139,673 | 63,367 | 203,040 |
| Rockefeller University (OSR #06041961) | 93 855 | 170,333 | 43,000 | 213,333 |
| Rockwell Collins, Inc. (PO #4502796447) | 12 910 | 233,400 | 93,388 | 326,788 |
| Rockwell Collins, Inc. (SUB 4503218361) | 12 910 | 9,666 | 5,268 | 14,934 |
| Rodale Institute, The (RI100219UC) | 10 RD | 5,632 | - | 5,632 |
| Rsoft Design Group, Inc. (SB070050) | 12 RD | (1,713) | (805) | (2,518) |
| Rush University (incl Rush-Presbyterian-St. Luke's Medical Center) (003544) | 93 866 | 50,506 | 26,263 | 76,769 |
| Rush University (incl Rush-Presbyterian-St. Luke's Medical Center) (AR048152) | 93 846 | 127,095 | 69,267 | 196,362 |
| Rutgers University (00003687) | 47 074 | 11,510 | 6,215 | 17,725 |
| Rutgers University (2004CA001) | 10 RD | 69,107 | - | 69,107 |
| Rutgers University (2007CA001ARS) | 10 200 | 5,979 | - | 5,979 |
| Rutgers University (2008CA001ARSQA) | 10 200 | 13,463 | - | 13,463 |
| Rutgers University (2211) | 81 003 | 68,012 | 35,366 | 103,378 |
| Rutgers University (3127) | 93 855 | 26,853 | 14,501 | 41,354 |
| Rutgers University (3515) | 93 859 | 27,785 | 2,835 | 30,620 |
| Rutgers University (82596) | 12 300 | (19,627) | (9,912) | (29,539) |
| Rutgers University (SC07020249) | 12 RD | 263,666 | 137,137 | 400,803 |
| Rutgers University (SUB 00003711 (DBI-0829586)) | 47 074 | 535,014 | 234,463 | 769,477 |
| Rutgers University (SUB 1754 (DBI-0312718)) | 47 074 | 831,443 | 420,057 | 1,251,500 |
| Sabre Systems, Inc. (78643) | 78 RD | 861 | 452 | 1,313 |
| Salk Institute for Biological Studies (SUB 212684 (AG10435)) | 93 866 | 33,201 | 18,095 | 51,296 |
| Salk Institute for Biological Studies (SUB P0000334 (AG032755)) | 93 866 | 5,916 | 3,224 | 9,140 |
| Sally Ride Science (SUB EK3000) | 43 RD | 136,653 | 69,801 | 206,454 |
| Samaritan Pharmaceuticals, Inc. (83010) | 93 242 | 31,430 | 17,130 | 48,560 |
| Samsung Group (incl Samsung Heavy Industries, Electron Co. Ltd.) (Korea) (20070469) | 12 RD | 24,567 | 19,240 | 43,807 |
| San Diego Center for Health Interventions, LLC (82321) | 93 395 | 113 | 59 | 172 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| San Diego Community College District (incl Mesa College) (GM073590) | 93 862 | 10,963 | 877 | 11,840 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (53311D P1650 7804 211) | 93 273 | 27,931 | 15,083 | 43,014 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (53311E P1650 7804 211) | 93 273 | 25,094 | 13,551 | 38,645 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (53712A P1350 7802 211 JCS) | 93 135 | 100,197 | 52,102 | 152,299 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (54566B P2391 7802 212 E0000581) | 84 RD | 259,601 | 8,689 | 268,290 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (55047AP16607802211) | 93 273 | 8,593 | 4,469 | 13,062 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (55158A P1623 7806 211) | 47 076 | 38,294 | 15,609 | 53,903 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (55170A 7802) | 12 300 | 12,290 | - | 12,290 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (82243) | 93 283 | (2,390) | (621) | (3,011) |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (82269) | 93 853 | 2 | 1 | 3 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (82372) | 93 RD | (32) | (17) | (49) |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (82520) | 93 837 | (54,990) | (29,970) | (84,960) |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (82541) | 93 RD | 1,737 | 903 | 2,640 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (82773) | 93 135 | (21,288) | (11,070) | (32,358) |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (83032) | 93 135 | 1,422 | 740 | 2,162 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (83049) | 93 273 | 4,944 | 2,695 | 7,639 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (83067) | 93 030 | (2,140) | (1,166) | (3,306) |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (HL05577) | 99 RD | 60,671 | 33,066 | 93,737 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (SUB 5 37660-P3332-7802-211 (MH)) | 93 242 | 15,373 | 3,997 | 19,370 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (SUB 50437 P1660 7802-211 (AA01)) | 92 273 | 48,944 | 26,675 | 75,619 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (SUB 53253D (AA014811)) | 93 273 | 11,505 | 6,270 | 17,775 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (SUB 54051C P3531(HD047736)) | 93 865 | 1,861 | 1,014 | 2,875 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (SUB 54051D-P 3531-7802-211 (HD)) | 93 865 | 25,208 | 13,739 | 38,947 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (SUB 54593A P3144 7802 211(HL05)) | 93 839 | 271,182 | 144,913 | 416,095 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (SUB 54628A-P1366-7803-211 (HHS)) | 93 RD | 258,064 | 140,645 | 398,709 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (SUB 54944A P1431 7802 (NONE)) | 93 399 | 14,030 | 7,646 | 21,676 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (SUB 55135A-P1366-7802-211(MD00)) | 93 307 | 78,936 | 43,020 | 121,956 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (SUB 55383A 7801 (N00244-08-1-0)) | 12 300 | 48,019 | 26,171 | 74,190 |
| San Diego, County of (2006-0037) | 14 870 | 36,870 | 3,687 | 40,557 |
| San Francisco State University Foundation, Inc. (C7-94344) | 11 419 | 9,225 | 4,336 | 13,561 |
| San Francisco State University Foundation, Inc. (S894455) | 94 005 | 4,834 | 242 | 5,076 |
| San Francisco, City and County (20605) | 99 RD | 28,582 | 8,328 | 36,910 |
| San Francisco, City and County (20800) | 93 856 | 1,292 | 614 | 1,906 |
| San Francisco, City and County (20968) | 93 941 | 17,302 | 2,076 | 19,378 |
| San Francisco, City and County (87017) | 93 116 | (519) | (62) | (581) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| San Francisco, City and County (87031) | 99 RD | (2,294) | (275) | (2,569) |
| San Francisco, City and County (87058) | 93 RD | 266 | 32 | 298 |
| San Francisco, City and County (87065) | 93 RD | (6,487) | (778) | (7,265) |
| San Francisco, City and County (87096) | 99 RD | 3,198 | 384 | 3,582 |
| San Francisco, City and County (87108) | 93 RD | 400 | 48 | 448 |
| San Francisco, City and County (87113) | 99 RD | (400) | (48) | (448) |
| San Francisco, City and County (87115) | 93 230 | 2,767 | 332 | 3,099 |
| San Francisco, City and County (87188) | 99 RD | 360 | 36 | 396 |
| San Francisco, City and County (DPHC07001018) | 93 940 | 135,170 | 16,221 | 151,391 |
| San Jose State University Foundation (21-1303-3794-UCB) | 47 041 | 64,436 | 20,785 | 85,221 |
| Sandia National Laboratories (08000329) | 81 RD | 10,331 | 3,826 | 14,157 |
| Sandia National Laboratories (08000833) | 81 RD | 94,751 | 27,180 | 121,931 |
| Sandia National Laboratories (20071614) | 99 RD | 59,026 | - | 59,026 |
| Sandia National Laboratories (31062) | 81 RD | 194 | (194) | - |
| Sandia National Laboratories (635302) | 81 RD | 64,636 | 34,257 | 98,893 |
| Sandia National Laboratories (641610) | 81 RD | 6,532 | 3,462 | 9,994 |
| Sandia National Laboratories (642579) | 99 RD | 60,759 | 31,075 | 91,834 |
| Sandia National Laboratories (699036) | 81 RD | 9,733 | 5,159 | 14,892 |
| Sandia National Laboratories (758993) | 81 RD | 22,334 | 10,257 | 32,591 |
| Sandia National Laboratories (784231) | 81 RD | 26,403 | 13,597 | 40,000 |
| Sandia National Laboratories (792813) | 81 RD | 76,519 | 37,989 | 114,508 |
| Sandia National Laboratories (799410) | 81 RD | 40,946 | 17,151 | 58,097 |
| Sandia National Laboratories (804086) | 99 RD | 6,494 | 3,506 | 10,000 |
| Sandia National Laboratories (826008) | 81 RD | 48,745 | 16,589 | 65,334 |
| Sandia National Laboratories (832725) | 81 RD | 9,408 | 3,293 | 12,701 |
| Sandia National Laboratories (861096) | 81 RD | 8,133 | 4,188 | 12,321 |
| Sandia National Laboratories (86288) | 81 RD | 191 | (191) | - |
| Sandia National Laboratories (863348) | 99 RD | 15,663 | 8,301 | 23,964 |
| Sandia National Laboratories (870638) | 81 RD | 19,287 | 10,029 | 29,316 |
| Sandia National Laboratories (87403) | 81 RD | 18,534 | 9,688 | 28,222 |
| Sandia National Laboratories (880856) | 81 RD | 32,405 | 16,688 | 49,093 |
| Sandia National Laboratories (906936) | 81 RD | 8,540 | 4,441 | 12,981 |
| Sandia National Laboratories (OSR #03034495) | 93 RD | 8,553 | 4,405 | 12,958 |
| Santa Ana Unified School District (40440) | 84 215 | 35,513 | 9,233 | 44,746 |
| Santa Barbara, County of (20609) | 93 RD | (3,952) | (593) | (4,545) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Santa Barbara, County of (20641) | 93 243 | 5,991 | 1,558 | 7,549 |
| Santa Barbara, County of (20642) | 93 243 | 2,657 | 690 | 3,347 |
| Santa Barbara, County of (CN08109) | 93 243 | 45,308 | 6,138 | 51,446 |
| Santa Barbara, County of (CN08110) | 93 243 | 45,145 | 7,420 | 52,565 |
| Santa Barbara, County of (CN08681) | 93 RD | 18,977 | 1,152 | 20,129 |
| Santa Barbara, County of (CN08682) | 93 RD | 26,433 | 1,694 | 28,127 |
| Santa Clara University (59829) | 99 RD | (11,040) | (539) | (11,579) |
| Santa Clara University (INTR0101) | 15 517 | 7,458 | 1,305 | 8,763 |
| Santa Cruz, County of (53484-01) | 93 RD | 624 | 7,230 | 7,854 |
| Santa Cruz, County of (87032) | 93 RD | 1,400 | - | 1,400 |
| Santa Fe Institute (1R01 AG024119) | 93 866 | 85,371 | 22,197 | 107,568 |
| Sc Solutions, Inc. (SUB 20063168) | 12 300 | 36,905 | 20,113 | 57,018 |
| Science and Engineering Associates, Inc (S4933-02) | 12 420 | 19,942 | 10,470 | 30,412 |
| Science and Engineering Associates, Inc (S4948-02) | 12 420 | 52,942 | 27,795 | 80,737 |
| Science and Technology International, Inc. (59655) | 12 420 | (34,200) | (16,079) | (50,279) |
| Science and Technology International, Inc. (UCSB-07-001) | 12 420 | 117,226 | 47,086 | 164,312 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (10089495) | 12 RD | 120,449 | 39,744 | 160,193 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (12049) | 93 RD | 12,272 | 6,688 | 18,960 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (23XS052) | 93 395 | 33,520 | 17,095 | 50,615 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (27XS136) | 93 RD | 88,385 | 29,167 | 117,552 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (28XS197) | 99 RD | 402,931 | 78,715 | 481,646 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (31378) | 93 RD | 426 | 221 | 647 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (4400120088) | 43 RD | 21,694 | 5,640 | 27,334 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (4400161978) | 43 RD | 30,387 | 7,901 | 38,288 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (5355SC) | 93 RD | 51,214 | 26,632 | 77,846 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (58702) | 12 800 | 9,412 | - | 9,412 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (59469) | 93 RD | (4,001) | (2,080) | (6,081) |
| Science Systems and Applications, Inc. (SSAI) (2416-08-061) | 43 RD | 43,083 | 11,202 | 54,285 |
| Scientific Systems, Inc. (1422-UCB) | 12 RD | 48,554 | 25,874 | 74,428 |
| Scivee, Inc. (SUB NONE (RR025720)) | 93 371 | 384 | 209 | 593 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (5-22712) | 93 855 | 13,215 | 7,136 | 20,351 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (5-22795) | 93 855 | 86,204 | 46,550 | 132,754 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (79252) | 93 371 | 203 | 106 | 309 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (82492) | 93 RD | (2,906) | (1,584) | (4,490) |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (82574) | 93 862 | (12,154) | (6,624) | (18,778) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (82576) | 93 242 | 112,843 | 57,242 | 170,085 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (82593) | 93 859 | 1,701 | 927 | 2,628 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (83014) | 93 853 | 13,883 | - | 13,883 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (83051) | 93 395 | 16,895 | 9,208 | 26,103 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (83064) | 93 085 | 28,002 | 15,261 | 43,263 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (83078) | 93 270 | (9) | (5) | (14) |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (83189) | 99 RD | 5,880 | 3,205 | 9,085 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (CA078045) | 93 395 | 165,829 | 81,677 | 247,506 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (GM62116) | 93 859 | 99,760 | 54,371 | 154,131 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (OSR #03033765) | 99 RD | 390,773 | 191,523 | 582,296 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5 21850 (AA013517)) | 93 273 | 28,502 | 6,949 | 35,451 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-20969(GM083658)) | 93 859 | 2,803 | 1,528 | 4,331 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-20983 (GM037684)) | 93 862 | 49,113 | 26,767 | 75,880 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-21070 (GM62116)) | 93 859 | 394,144 | 214,809 | 608,953 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-21509 (GM074898-04)) | 93 853 | 579,587 | 315,875 | 895,462 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-22369 (AG023122)) | 93 866 | 14,800 | 8,066 | 22,866 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-22645 (AA013517)) | 93 273 | 14,810 | 8,071 | 22,881 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-22667 (DA024194)) | 93 279 | 117,794 | 64,198 | 181,992 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-22686 (MH062261)) | 93 242 | 94,231 | 47,432 | 141,663 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-22715 (HL031950)) | 93 839 | 50,082 | 27,295 | 77,377 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 522764 (RR 025774)) | 93 371 | 160,544 | 87,496 | 248,040 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-22784 (HL031950)) | 93 839 | 228,519 | 124,543 | 353,062 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-22822 (AG031097)) | 93 866 | 153,316 | 83,557 | 236,873 |
| Scripps Clinic and Research Foundation (incl Scripps Research Instit.) (SUB 5-75483 (PHY-0750049)) | 47 049 | 42,007 | 16,218 | 58,225 |
| Sea Run Holdings, Inc. (SRH-37948) | 93 853 | 50,426 | 22,942 | 73,368 |
| Seacoast Science, Inc. (SUB 08-1210SC (FA9550-09-C-002)) | 12 800 | 19,617 | 6,601 | 26,218 |
| Seashell Technology LLC (82485) | 93 RD | 922 | 498 | 1,420 |
| Seashell Technology LLC (W911SR-04-C-0079) | 12 630 | 2,936 | 1,156 | 4,092 |
| Seashell Technology LLC (W911SR-04-C-0086) | 12 630 | 4,665 | 2,544 | 7,209 |
| Seattle Biomedical Research Institute (UCS-1031) | 93 855 | 31,831 | 17,348 | 49,179 |
| Seattle Institute for Biomedical and Clin Res (SIBCR) (VA Foundation) (CS119-UCLA) | 93 866 | 586 | 152 | 738 |
| Semiconductor Res Corp (SRC) (2007-VJ-1631) | 47 RD | 145,191 | 67,567 | 212,758 |
| Semiconductor Res Corp (SRC) (2008-NE-1462F) | 99 RD | 91,867 | 46,309 | 138,176 |
| Sepulveda Research Corporation (VA Foundation) (FR0014UC-03) | 93 866 | 28,135 | 7,315 | 35,450 |
| Sepulveda Research Corporation (VA Foundation) (SZ0003-UC01) | 93 242 | 48,070 | 12,498 | 60,568 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Sepulveda Research Corporation (VA Foundation) (SZ0003-UC02) | 93 242 | 3,686 | 958 | 4,644 |
| Seti Institute (NNX07AQ05G07002) | 43 RD | 7,364 | 3,829 | 11,193 |
| Seti Institute (NNX08AO19G08001) | 43 RD | 19,922 | 10,359 | 30,281 |
| Sgx Pharmaceuticals, Inc. (frmly Structural Genomix) (OSR #05040761) | 93 821 | 226,817 | 101,231 | 328,048 |
| Shifa Biomedical Corporation (83934) | 93 395 | (627) | (342) | (969) |
| Shifa Biomedical Corporation (UCSF#A110136) | 93 RD | 34,372 | 18,733 | 53,105 |
| Sidney Kimmel Cancer Center (incl Sidney Kimmel Cancer Center Fdn) (20071387) | 12 420 | 23,722 | 12,810 | 36,532 |
| Sidney Kimmel Cancer Center (incl Sidney Kimmel Cancer Center Fdn) (82404) | 93 395 | (567) | (309) | (876) |
| Sidney Kimmel Cancer Center (incl Sidney Kimmel Cancer Center Fdn) (SUB 20054237 (CA104898)) | 93 395 | 139,465 | 76,008 | 215,473 |
| Siemens (incl U.S. Subsidiaries) (Germany) (20070482) | 12 RD | 18,669 | 8,992 | 27,661 |
| Siemens (incl U.S. Subsidiaries) (Germany) (CTET/UCSB-ROBUST CMC-FY2006-03) | 12 800 | (10,359) | (4,869) | (15,228) |
| Signal Systems Corporation (82866) | 12 300 | 3,702 | 592 | 4,294 |
| Simon Fraser University (Canada) (A1076176) | 93 856 | 15,542 | 8,470 | 24,012 |
| Sloan Kettering Institute for Cancer Research (20071576) | 93 396 | 368,666 | 199,079 | 567,745 |
| Sloan Kettering Institute for Cancer Research (MSKCC #07-014) | 99 RD | 776 | 202 | 978 |
| Sloan Kettering Institute for Cancer Research (UCSF#A110298) | 93 RD | 35,494 | 19,344 | 54,838 |
| Smith-kettlewell Eye Research Institute (PO009875) | 84 133 | 41,308 | 19,415 | 60,723 |
| Smith-kettlewell Eye Research Institute (PO010281) | 93 867 | 58,111 | 16,190 | 74,301 |
| Smithsonian/miscellaneous Programs (GO6-7071X) | 43 RD | 3,613 | 1,897 | 5,510 |
| Social & Scientific Systems, Inc. (005628) | 93 856 | (2,571) | (669) | (3,240) |
| Social & Scientific Systems, Inc. (59096) | 99 RD | 5,355 | 1,558 | 6,913 |
| Social & Scientific Systems, Inc. (59446) | 93 856 | 21,558 | 6,273 | 27,831 |
| Social & Scientific Systems, Inc. (59456) | 93 856 | 81,545 | 24,478 | 106,023 |
| Social & Scientific Systems, Inc. (79460) | 93 856 | 447 | 119 | 566 |
| Social & Scientific Systems, Inc. (82089) | 93 856 | 2,696 | 785 | 3,481 |
| Social & Scientific Systems, Inc. (82205) | 93 856 | 2,053 | 534 | 2,587 |
| Social & Scientific Systems, Inc. (82465) | 93 RD | 180 | 47 | 227 |
| Social & Scientific Systems, Inc. (82595) | 93 856 | (23,397) | (7,019) | (30,416) |
| Social & Scientific Systems, Inc. (83080) | 93 856 | 27,741 | 8,322 | 36,063 |
| Social & Scientific Systems, Inc. (83155) | 93 856 | (518) | (155) | (673) |
| Social & Scientific Systems, Inc. (83606) | 93 856 | 3,022 | 907 | 3,929 |
| Social & Scientific Systems, Inc. (84512) | 93 RD | 1,957 | 1,008 | 2,965 |
| Social & Scientific Systems, Inc. (84801) | 93 RD | 80 | 26 | 106 |
| Social & Scientific Systems, Inc. (A1068632) | 93 856 | (1,643) | (895) | (2,538) |
| Social & Scientific Systems, Inc. (BRS-ACURE-06-00167-T005) | 99 RD | 20,164 | 5,243 | 25,407 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Social & Scientific Systems, Inc. (BRS-ACURE-Q-00127-T003 (AI0686) | 93 856 | 25,082 | 13,670 | 38,752 |
| Social & Scientific Systems, Inc. (BRSACUREQ0600137T002) | 93 855 | 420,997 | 173,844 | 594,841 |
| Social & Scientific Systems, Inc. (BRS-ACURE-Q-06-00138-T001) | 93 RD | 194,002 | 64,021 | 258,023 |
| Social & Scientific Systems, Inc. (BRS-ACURE-Q-06-00138-T003) | 93 RD | 354,061 | 87,870 | 441,931 |
| Social & Scientific Systems, Inc. (BRS-IMPCT-Q-06-00119-T001) | 93 RD | 61,328 | 20,239 | 81,567 |
| Social & Scientific Systems, Inc. (BRS-IMPCT-Q-06-00119-T002) | 93 855 | 119,284 | 39,364 | 158,648 |
| Social & Scientific Systems, Inc. (BRS-IMPCT-Q-06-00119-T003) | 93 RD | (588) | (194) | (782) |
| Social & Scientific Systems, Inc. (BRS-IMPCT-Q-06-00119-T004-000) | 93 RD | 24,908 | 8,219 | 33,127 |
| Social & Scientific Systems, Inc. (BRS-IMPCT-Q-06-00117-T001) | 99 RD | 84,723 | 45,750 | 130,473 |
| Social & Scientific Systems, Inc. (SUB BRS IMPCT-Q-06-00118-T006) | 93 856 | 191,735 | 104,496 | 296,231 |
| Social & Scientific Systems, Inc. (SUB BRS-ACURE-06-00127-T002(AI) | 93 856 | 349,927 | 190,710 | 540,637 |
| Social & Scientific Systems, Inc. (SUB BRS-ACURE-Q-06-00127-T002) | 93 856 | 22,926 | 12,495 | 35,421 |
| Social & Scientific Systems, Inc. (SUB BRS-IMPCT-Q-06-000118-T007) | 93 856 | 44,750 | 24,389 | 69,139 |
| Social & Scientific Systems, Inc. (SUB BRS-IMPCT-Q-06-00118-T001) | 93 856 | 158,457 | 71,324 | 229,781 |
| Social & Scientific Systems, Inc. (SUB BRS-IMPCT-Q-06-00118-T002) | 93 856 | 133,587 | 72,805 | 206,392 |
| Social & Scientific Systems, Inc. (SUB BRS-IMPCT-Q-06-00118-T003()) | 93 856 | 12,725 | 6,935 | 19,660 |
| Social & Scientific Systems, Inc. (SUB BRS-IMPCT-Q-06-00118-T005()) | 93 856 | 153,064 | 78,813 | 231,877 |
| Social & Scientific Systems, Inc. (SUB BRS-IMPCT-Q-06-00118-T009) | 93 856 | 10,022 | 5,462 | 15,484 |
| Social Solutions International, Inc. (1289553) | 93 279 | 12,460 | 3,240 | 15,700 |
| Solana Scientific Inc. (20060275) | 47 RD | 7,607 | 2,891 | 10,498 |
| Solana Scientific Inc. (20070901) | 99 RD | 1,945 | 1,050 | 2,995 |
| Solano, County of (88076) | 84 215 | 15,665 | 1,183 | 16,848 |
| Solidica, Inc. (SUB 5780 (W911NF-05-2-001-P000) | 12 RD | 25,890 | 14,110 | 40,000 |
| Solidus Biosciences, Inc. (017596) | 93 RD | 36,712 | 19,091 | 55,803 |
| Solidus Biosciences, Inc. (023657) | 99 RD | 46,650 | 19,311 | 65,961 |
| Solidus Biosciences, Inc. (025754) | 93 859 | 41,975 | 19,540 | 61,515 |
| Sonalysts, Inc. (08MPB0375) | 12 300 | 145,747 | 6,430 | 152,177 |
| South Carolina Research Authority (SCRA) (SUB 2009-520 (N62583-08-R-0007) | 12 300 | 931 | 507 | 1,438 |
| South Lake Tahoe, City of (007948) | 10 RD | (73) | (19) | (92) |
| Southeastern Insectaries, Inc. (09000676) | 10 RD | 12,051 | 3,013 | 15,064 |
| Southern Calif Association of Governments (09-031-C1) | 20 RD | 16,198 | 2,729 | 18,927 |
| Southwest Fdn for Biomedical Research (017536) | 93 242 | 90,434 | 48,834 | 139,268 |
| Southwest Fdn for Biomedical Research (79076) | 93 242 | 8,953 | 4,835 | 13,788 |
| Southwest Oncology Group (Admin by Ctrc Foundation) (012258) | 93 395 | 8,342 | 4,338 | 12,680 |
| Southwest Oncology Group (Admin by Ctrc Foundation) (015809) | 93 395 | (4,343) | 4,901 | 558 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Southwest Oncology Group (Admin by Ctrc Foundation) (02031931) | 93 395 | (140) | 47 | (93) |
| Southwest Oncology Group (Admin by Ctrc Foundation) (78367) | 93 399 | (9,456) | (4,964) | (14,420) |
| Southwest Oncology Group (Admin by Ctrc Foundation) (78575) | 93 390 | (2,979) | (1,609) | (4,588) |
| Southwest Oncology Group (Admin by Ctrc Foundation) (87915) | 93 RD | 21,548 | 11,205 | 32,753 |
| Southwest Oncology Group (Admin by Ctrc Foundation) (CA37429) | 93 399 | 3,365 | 757 | 4,122 |
| Southwest Oncology Group (Admin by Ctrc Foundation) (SWOG-27658) | 93 399 | 85 | 43 | 128 |
| Southwest Oncology Group (Admin by Ctrc Foundation) (SWOG-41803) | 93 395 | 14,293 | 4,645 | 18,938 |
| Southwest Research Institute (59882) | 43 001 | 1,582 | 775 | 2,357 |
| Southwest Research Institute (599970Q) | 99 RD | 17,025 | 6,469 | 23,494 |
| Southwest Research Institute (699000X) | 99 RD | 4,045 | 2,023 | 6,068 |
| Southwest Research Institute (699046X) | 99 RD | 30,700 | 16,578 | 47,278 |
| Southwest Research Institute (79201BT) | 99 RD | 2,130 | 1,150 | 3,280 |
| Southwest Research Institute (79266) | 43 RD | (20,645) | (5,565) | (26,210) |
| Southwest Research Institute (899004LU) | 43 RD | 29,428 | 8,534 | 37,962 |
| Southwest Research Institute (899042JD) | 43 RD | 12,872 | 6,822 | 19,694 |
| Southwest Sciences, Inc. (024984) | 43 002 | 19,608 | 10,392 | 30,000 |
| Southwest Sciences, Inc. (026193) | 12 RD | 20,915 | 11,085 | 32,000 |
| Special Services for Groups (05113595) | 93 243 | 27,529 | 6,290 | 33,819 |
| Special Services for Groups (79122) | 93 243 | 8,149 | 1,869 | 10,018 |
| Special Services for Groups (80194) | 93 243 | 351 | 91 | 442 |
| Spectros Corporation (016939) | 93 RD | 29,258 | 15,214 | 44,472 |
| Sri International (incl Sarnoff Corporation) (03-000219/012) | 81 RD | 183,524 | 77,488 | 261,012 |
| Sri International (incl Sarnoff Corporation) (27-001276) | 99 RD | 5,013 | 2,707 | 7,720 |
| Sri International (incl Sarnoff Corporation) (55-000165) | 47 076 | 17 | 5 | 22 |
| Sri International (incl Sarnoff Corporation) (55-000642 MOD 10) | 99 RD | 43,464 | 11,301 | 54,765 |
| Sri International (incl Sarnoff Corporation) (59453) | 93 279 | (181) | (86) | (267) |
| Sri International (incl Sarnoff Corporation) (61-000733) | 99 RD | 58,828 | 11,796 | 70,624 |
| Sri International (incl Sarnoff Corporation) (71-000105) | 12 431 | 20,240 | 11,702 | 31,942 |
| Sri International (incl Sarnoff Corporation) (71-000142) | 12 431 | (2,247) | (1,191) | (3,438) |
| Sri International (incl Sarnoff Corporation) (79113) | 99 RD | (1,410) | (883) | (2,293) |
| Sri International (incl Sarnoff Corporation) (94295) | 12 RD | (2,141) | (1,139) | (3,280) |
| Srico Inc. (PO-5240) | 47 041 | 13,249 | 7,156 | 20,405 |
| Srs Technologies (SB090048) | 12 800 | 6,591 | 3,394 | 9,985 |
| St. Jude Children's Research Hospital (111287140-728377) | 93 395 | 22,406 | 12,099 | 34,505 |
| St. Jude Children's Research Hospital (7199371) | 93 395 | 31,921 | 16,439 | 48,360 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| St. Jude Children's Research Hospital (7219556) | 93 847 | 68,346 | 37,630 | 105,976 |
| St. Jude Children's Research Hospital (7245916) | 93 855 | 120,861 | 65,869 | 186,730 |
| St. Jude Children's Research Hospital (OSR #03034137) | 93 395 | 108,062 | 29,371 | 137,433 |
| St. Louis University (20062204) | 93 859 | 34,606 | 2,769 | 37,375 |
| St. Louis University (20064487) | 14 902 | (5,697) | (2,906) | (8,603) |
| St. Olaf College (10-52013) | 47 074 | 57,259 | 20,457 | 77,716 |
| Stanford University (10563840-29004-A) | 93 866 | 1,796 | 943 | 2,739 |
| Stanford University (12448420-31051-A) | 43 RD | 50,731 | 19,278 | 70,009 |
| Stanford University (13260830-30242-E) | 47 050 | 33,088 | 10,588 | 43,676 |
| Stanford University (1348750026578A) | 93 242 | 14,390 | 7,483 | 21,873 |
| Stanford University (13774350-29443-A) | 47 050 | 4,853 | 2,523 | 7,376 |
| Stanford University (14956960/14956960-27818-M) | 93 855 | 7,801 | 4,213 | 12,014 |
| Stanford University (16165880-26967-G) | 99 RD | 68,382 | 30,890 | 99,272 |
| Stanford University (16178280-26578-E) | 93 242 | 7,130 | 3,672 | 10,802 |
| Stanford University (16577740-8167-A) | 93 172 | 1,738 | 895 | 2,633 |
| Stanford University (16614600-33760-A) | 47 070 | 64,170 | 29,033 | 93,203 |
| Stanford University (16795990-36171B) | 47 070 | (4,832) | (2,610) | (7,442) |
| Stanford University (17666710-33956-C) | 93 399 | 126,268 | 68,185 | 194,453 |
| Stanford University (17699350-38890-B) | 93 859 | 25,902 | 14,116 | 40,018 |
| Stanford University (18036080-28436-F) | 47 RD | 35,166 | 15,988 | 51,154 |
| Stanford University (18121820-36529-A) | 12 910 | 39,481 | 21,296 | 60,777 |
| Stanford University (18522790-37415-A) | 43 RD | 124,007 | 41,201 | 165,208 |
| Stanford University (18522790-37415-B) | 43 RD | 27,820 | 7,233 | 35,053 |
| Stanford University (18523030-37415-C) | 99 RD | 99,982 | 46,637 | 146,619 |
| Stanford University (18579750-36790-A) | 12 RD | 223,720 | 112,375 | 336,095 |
| Stanford University (18582500-36923-B) | 93 837 | 51,395 | 28,010 | 79,405 |
| Stanford University (18680890-3222-B) | 93 853 | 94,825 | 51,680 | 146,505 |
| Stanford University (1950168030633A) | 93 855 | 11,852 | 3,081 | 14,933 |
| Stanford University (19961890-26345-D) | 93 279 | 54,402 | 29,649 | 84,051 |
| Stanford University (20041990-36644-A) | 99 RD | 42,997 | 20,082 | 63,079 |
| Stanford University (20081460-31523-A) | 93 395 | 26,418 | 13,605 | 40,023 |
| Stanford University (20325060-26448-A) | 47 041 | 46,136 | 23,530 | 69,666 |
| Stanford University (20385970-38185-A) | 47 074 | 182,957 | 96,967 | 279,924 |
| Stanford University (20507700-38160-A) | 93 172 | 26,479 | 13,462 | 39,941 |
| Stanford University (20919090-34097-A) | 43 RD | 27,791 | 8,059 | 35,850 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Stanford University (20939290-38123-A) | 93 242 | 7,599 | 4,142 | 11,741 |
| Stanford University (21054650-8167-D) | 93 172 | 297,025 | 120,091 | 417,116 |
| Stanford University (21131430-36691-A) | 93 390 | 91,504 | 49,870 | 141,374 |
| Stanford University (21590380-30240-A) | 47 050 | 34,182 | 8,887 | 43,069 |
| Stanford University (21816990-38090-A) | 93 395 | 96,070 | 52,358 | 148,428 |
| Stanford University (22034000-30572-B) | 93 394 | 102,280 | 49,778 | 152,058 |
| Stanford University (22178970-41070-F) | 12 800 | 62,430 | 33,088 | 95,518 |
| Stanford University (22250400-42533-B) | 47 041 | 9,141 | 4,845 | 13,986 |
| Stanford University (22392810-41140B) | 93 849 | 41,509 | 10,176 | 51,685 |
| Stanford University (2239909-42040-C) | 93 849 | 59,003 | 17,640 | 76,643 |
| Stanford University (22424430-11671-A) | 93 859 | 18,441 | 9,497 | 27,938 |
| Stanford University (23399150-41140-E) | 93 849 | 118,395 | 30,783 | 149,178 |
| Stanford University (30252-B) | 47 049 | 20,050 | 9,705 | 29,755 |
| Stanford University (57960) | 93 849 | 5,515 | 1,434 | 6,949 |
| Stanford University (59848) | 93 846 | 18,513 | 8,794 | 27,307 |
| Stanford University (59866) | 99 RD | 445 | 116 | 561 |
| Stanford University (75404) | 99 RD | 32,573 | 8,469 | 41,042 |
| Stanford University (82054) | 93 397 | (842) | (400) | (1,242) |
| Stanford University (82251) | 93 837 | (2,990) | (1,420) | (4,410) |
| Stanford University (82457) | 93 855 | (461) | (251) | (712) |
| Stanford University (83171) | 93 864 | (14,876) | (7,661) | (22,537) |
| Stanford University (83542) | 93 394 | (6,528) | (3,362) | (9,890) |
| Stanford University (83686) | 93 865 | 1,526 | 786 | 2,312 |
| Stanford University (83800) | 93 865 | 1,071 | 573 | 1,644 |
| Stanford University (86792) | 93 242 | (51,315) | 28,383 | (22,932) |
| Stanford University (87804) | 93 RD | 35,298 | 8,362 | 43,660 |
| Stanford University (OSR #04035703) | 93 172 | 70,612 | 36,365 | 106,977 |
| Stanford University (OSR #04036739) | 93 855 | 27,676 | 6,595 | 34,271 |
| Stanford University (PY-1775-19) | 43 RD | 268,906 | 61,920 | 330,826 |
| Stanford University (PY-2028) | 12 300 | 93,532 | 45,260 | 138,792 |
| Stanford University (PY-2029-26068-B) | 99 RD | 105,404 | 52,391 | 157,795 |
| Stanford University (PY-2518) | 47 RD | 128,124 | 52,790 | 180,914 |
| Stanford University (PY2519) | 47 RD | 152,606 | 68,847 | 221,453 |
| Stanford University (RSNA-45714) | 93 838 | 21,508 | 3,670 | 25,178 |
| Stanford University (SUB 20780920-34336A (CA120474)) | 99 RD | 181,805 | 67,341 | 249,146 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Stanford University (SUB 21048990-32905-H (HR0011-0)) | 12 910 | 63,453 | 31,309 | 94,762 |
| Stanford University (SUB 22144230-41140A (DK066481)) | 93 849 | 42,560 | 11,066 | 53,626 |
| Stanford University (SUB 22179560-41070-D (FA9550-0)) | 12 800 | 28,164 | 12,152 | 40,316 |
| Starkey Laboratories, Inc. (20091444) | 12 RD | 33,993 | 16,007 | 50,000 |
| State Historical Society of Wisconsin (HL077643) | 93 839 | 24,443 | 12,466 | 36,909 |
| State University of New York (SUNY) (1050820) | 93 242 | 21,132 | 4,621 | 25,753 |
| State University of New York (SUNY) (31134) | 93 867 | 3,204 | 1,674 | 4,878 |
| State University of New York (SUNY) (39581) | 81 112 | 2,973 | 1,605 | 4,578 |
| State University of New York (SUNY) (48255) | 93 242 | 14,449 | 7,802 | 22,251 |
| State University of New York (SUNY) (57785) | 93 242 | 28,365 | 15,317 | 43,682 |
| State University of New York (SUNY) (R425294) | 47 041 | 85,409 | 35,069 | 120,478 |
| State University System of Florida (04032949/105542) | 93 856 | 41,186 | 22,241 | 63,427 |
| State University System of Florida (59779) | 93 286 | 243 | 114 | 357 |
| Statistics Epid Res Corp Af-083 (79651) | 99 RD | (349) | (101) | (450) |
| Stockholm Environment Institute (Sweden) (025105) | 11 478 | 12,942 | 4,070 | 17,012 |
| Stony Brook University (a State University of New York (SUNY) Campus) (SUB 47562/1073467 (DBI-07433) | 47 074 | 46,352 | 25,262 | 71,614 |
| Streamline Automation, LLC (SUB 20083567 (NONE)) | 12 431 | 5,825 | 3,175 | 9,000 |
| Sun Microsystems Laboratories, Inc. (SUB NONE 20082937) | 12 910 | 23,075 | 10,398 | 33,473 |
| Superconductor Technologies, Inc. (Santa Barbara, Ca) (7711-UCLA) | 99 RD | 3,995 | 2,157 | 6,152 |
| Susan Love Md Breast Cancer Foundation (79191) | 99 RD | 32,226 | - | 32,226 |
| Svt Associates, Inc. (57806) | 99 RD | 18,292 | 9,786 | 28,078 |
| Svt Associates, Inc. (79017) | 99 RD | (13,999) | (7,696) | (21,695) |
| Symplectic Engineering Corporation (026827) | 12 RD | 1,257 | 666 | 1,923 |
| Symplectic Engineering Corporation (78923) | 99 RD | 445 | 236 | 681 |
| Synkera Technologies, Inc. (025256) | 47 041 | 46,182 | 12,675 | 58,857 |
| Syracuse University (21625-01300S02) | 84 133 | (2,259) | (1,163) | (3,422) |
| Syracuse University (SU-017058-20-01139-S01) | 66 606 | 40,015 | 20,262 | 60,277 |
| Tahoe Resource Conservation District (09000795) | 15 RD | 25,423 | 6,610 | 32,033 |
| Tahoe Resource Conservation District (09002332) | 15 RD | 22,975 | 3,259 | 26,234 |
| Technest, Inc (incl Genex Technologies, Inc) (SUB NONE (CA108207)) | 93 395 | 4,946 | 2,695 | 7,641 |
| Techniscan Medical Systems, Inc. (TMS) (SUB NONE (CA110203)) | 93 395 | 3,224 | 1,757 | 4,981 |
| Telcordia Technologies, Inc. (10083196) | 12 999 | 146,982 | 58,973 | 205,955 |
| Telcordia Technologies, Inc. (20007919) | 12 RD | 21,281 | 11,066 | 32,347 |
| Teledyne Technologies Inc (incl Rd Instruments,microwave,wireless,etc) (87434) | 12 RD | 579,767 | 254,955 | 834,722 |
| Teledyne Technologies Inc (incl Rd Instruments,microwave,wireless,etc) (B3F503991) | 12 RD | 235,399 | 106,324 | 341,723 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Teledyne Technologies Inc (incl Rd Instruments,microwave,wireless,etc) (B6U517778) | 12 RD | 219,131 | 69,309 | 288,440 |
| Teledyne Technologies Inc (incl Rd Instruments,microwave,wireless,etc) (B7U556900) | 99 RD | 35,205 | 18,984 | 54,189 |
| Teledyne Technologies Inc (incl Rd Instruments,microwave,wireless,etc) (B8U556562) | 99 RD | 272,671 | 138,758 | 411,429 |
| Teledyne Technologies Inc (incl Rd Instruments,microwave,wireless,etc) (G84569734) | 12 RD | 58,196 | 15,555 | 73,751 |
| Teledyne Technologies Inc (incl Rd Instruments,microwave,wireless,etc) (SUB B6U543211 (N66001-06-C- | 12 910 | 21,596 | 6,325 | 27,921 |
| Temple University (010259) | 93 389 | 3,620 | 2,671 | 6,291 |
| Temple University (87813) | 93 RD | 11,675 | 6,223 | 17,898 |
| Tetra Tech, Inc. (PO Q372-SX01) | 99 RD | 47,854 | 12,442 | 60,296 |
| Texas A&M Research Foundation (570313) | 93 RD | 409,578 | 200,454 | 610,032 |
| Texas A&M Research Foundation (78954) | 47 050 | 12 | 6 | 18 |
| Texas A&M Research Foundation (78957) | 99 RD | 7 | 2 | 9 |
| Texas A&M Research Foundation (78964) | 47 050 | 11,509 | 5,985 | 17,494 |
| Texas A&M University (09-004) | 81 089 | 8,735 | 4,761 | 13,496 |
| Texas A&M University (09-005) | 81 089 | 32,553 | 5,571 | 38,124 |
| Texas A&M University (570045) | 97 061 | 904,783 | 470,402 | 1,375,185 |
| Texas A&M University (570232) | 12 300 | 80,033 | - | 80,033 |
| Texas A&M University (570315) | 93 855 | 243,570 | 127,906 | 371,476 |
| Texas A&M University (570560) | 93 855 | 7,025 | 3,688 | 10,713 |
| Texas A&M University (78453) | 81 089 | (342) | 342 | - |
| Texas A&M University (78563) | 12 431 | 15,405 | 7,810 | 23,215 |
| Texas A&M University (83976) | 99 RD | (163) | (89) | (252) |
| Texas A&M University (86482) | 93 856 | (462) | (240) | (702) |
| Texas A&M University (87419) | 93 856 | 32,978 | 17,149 | 50,127 |
| Texas A&M University (S080031) | 47 050 | 9,684 | 4,295 | 13,979 |
| Texas, State of (79420) | 47 076 | 16,564 | 8,945 | 25,509 |
| Thomas Jefferson U-(HI51401) (59904) | 93 837 | 2,010 | 955 | 2,965 |
| Titanium Metals Corporation (TIMET) (79930) | 12 RD | (30,003) | (15,602) | (45,605) |
| Tkc Integration Services, LLC (TKCIS) (30071606-01) | 93 RD | 8,024 | 642 | 8,666 |
| Tourette Syndrome Association, Inc. (03111869) | 93 252 | 15,441 | 8,313 | 23,754 |
| Tourette Syndrome Association, Inc. (20074101) | 93 853 | 23,468 | 12,673 | 36,141 |
| Tourette Syndrome Association, Inc. (UCSF#A108428) | 93 853 | 108,450 | 59,078 | 167,528 |
| Touro College (incl Touro University) (R01DK07833-01-SFGH-UCSF) | 99 RD | 57,996 | 31,608 | 89,604 |
| Touro College (incl Touro University) (TOUROUNIV00002) | 99 RD | 24,106 | 5,947 | 30,053 |
| Toyon Research Corporation (59751) | 12 300 | 689 | 324 | 1,013 |
| Toyon Research Corporation (59752) | 12 800 | 1,421 | 670 | 2,091 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Toyon Research Corporation (SC07-5528-1) | 12 800 | 70,267 | 22,035 | 92,302 |
| Toyon Research Corporation (SC07-5542-1) | 12 800 | 21,989 | 10,335 | 32,324 |
| Toyon Research Corporation (SC08-6594-1) | 12 RD | 7,595 | 2,435 | 10,030 |
| Translational Genomics Research Institute (TGEN) (57950) | 93 389 | 6,618 | 3,574 | 10,192 |
| Translational Genomics Research Institute (TGEN) (CRAIG-09-01) | 93 389 | 8,141 | 3,597 | 11,738 |
| Triton Systems, Inc. (TSI-2318-08-78483) | 99 RD | 26,398 | 11,788 | 38,186 |
| Tufts University (incl Medical Center) (80145) | 93 853 | (11,282) | (2,934) | (14,216) |
| Tufts University (incl Medical Center) (HS1564) | 93 866 | 65,384 | 15,064 | 80,448 |
| Tufts University (incl Medical Center) (HS2800) | 93 853 | 71,098 | 16,925 | 88,023 |
| Tufts University (incl Medical Center) (SUB NONE (NS0365424)) | 93 853 | 176,927 | 44,878 | 221,805 |
| Tulane University (incl Medical Center) (544888) | 93 865 | 12,748 | 6,749 | 19,497 |
| Tulane University (incl Medical Center) (TUL-519-05/06) | 12 431 | 265,537 | 139,407 | 404,944 |
| Tulane University (incl Medical Center) (TUL-520-05/06) | 12 431 | 245,289 | 128,777 | 374,066 |
| Tulane University (incl Medical Center) (UCSF#A111664) | 93 RD | 272,061 | 64,061 | 336,122 |
| Tulare District Healthcare System (incl Tulare District Hospital) (OSR #04036410) | 93 226 | 5,144 | 1,543 | 6,687 |
| Turner Consulting Group, Inc. (20064057) | 93 RD | 47,530 | 25,904 | 73,434 |
| Tybrin Corporation (NASA -ETS-08-011) | 99 RD | 31,212 | 6,708 | 37,920 |
| U.S. Civilian Research and Development Foundation (CRDF) (59946) | 99 RD | 18,690 | - | 18,690 |
| U.S. Civilian Research and Development Foundation (CRDF) (86722) | 47 079 | 8,400 | - | 8,400 |
| U.S. Civilian Research and Development Foundation (CRDF) (GTR-G7-044) | 99 RD | 231,640 | - | 231,640 |
| U.S. Civilian Research and Development Foundation (CRDF) (RUB1-2872-ST-07) | 47 079 | 10,201 | - | 10,201 |
| U.S. Civilian Research and Development Foundation (CRDF) (RUG12866PE07) | 47 079 | 3,348 | - | 3,348 |
| U.S. Civilian Research and Development Foundation (CRDF) (UKB12886KV07) | 47 079 | 3,715 | - | 3,715 |
| U.S. Civilian Research and Development Foundation (CRDF) (USX0-20613-US-08) | 99 RD | 7,435 | - | 7,435 |
| U.S.-Israel Binat'I Agricultural Research And Dev Fund (BARD) (Intl) | 99 RD | 64,376 | 12,875 | 77,251 |
| Ultra Communcations, Inc. (78499) | 12 300 | (1,550) | (1,532) | (3,082) |
| Unavco, Inc. (EAR-0323704-01) | 47 050 | 61,902 | 22,588 | 84,490 |
| Unavco, Inc. (EAR0350028-01) | 47 050 | 131,167 | 22,046 | 153,213 |
| Unavco, Inc. (EAR-0350028-06) | 47 RD | 95,007 | 24,979 | 119,986 |
| Unavco, Inc. (EAR-0453975) | 47 050 | 10,462 | 5,702 | 16,164 |
| Unavco, Inc. (EAR-0732947-01) | 47 050 | 118,879 | 59,139 | 178,018 |
| Unavco, Inc. (EAR-0732947-07) | 99 RD | 58,798 | 31,163 | 89,961 |
| Unavco, Inc. (EAR-0735156-02) | 47 050 | 38,118 | 20,774 | 58,892 |
| Unavco, Inc. (SUB EAR-0350028-14(EAR-0350028)) | 47 050 | 50,551 | 27,551 | 78,102 |
| Unavco, Inc. (SUB EAR-0350028-15) | 47 050 | 33,199 | 18,093 | 51,292 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| United Technologies Corp(Intl Fuel Cells&United Tech Res Ctr,Sikorsky) (1137252) | 12 800 | 78,143 | (654) | 77,489 |
| Universal Technology Corporation (03S530000211C1) | 12 RD | 16,757 | 8,267 | 25,024 |
| Universal Technology Corporation (09S590000920C1) | 12 RD | 18,405 | 7,041 | 25,446 |
| Universite Laval (Laval University) (France) (ON087008) | 93 242 | 244,735 | 127,513 | 372,248 |
| Universities Research Association (operates Fermilab) (08001016) | 81 RD | 42,603 | - | 42,603 |
| Universities Research Association (operates Fermilab) (512203) | 81 022 | 216 | - | 216 |
| Universities Research Association (operates Fermilab) (546756) | 81 RD | 112,751 | 29,315 | 142,066 |
| Universities Research Association (operates Fermilab) (569659) | 99 RD | 2,701 | 865 | 3,566 |
| Universities Research Association (operates Fermilab) (P.O. NO. 586068) | 99 RD | 8,512 | - | 8,512 |
| Universities Research Association (operates Fermilab) (URA-46635) | 81 119 | 10,000 | - | 10,000 |
| Universities Space Research Association (05821010) | 43 RD | 70,308 | 36,560 | 106,868 |
| Universities Space Research Association (08041-02) | 43 RD | 23,029 | 11,745 | 34,774 |
| Universities Space Research Association (08042-01) | 99 RD | 26,697 | 10,947 | 37,644 |
| Universities Space Research Association (08520-02) | 43 RD | 178,739 | 38,578 | 217,317 |
| Universities Space Research Association (09940-072-02) | 43 RD | 13,365 | 3,132 | 16,497 |
| Universities Space Research Association (82544) | 93 395 | 1,086 | 316 | 1,402 |
| Universities Space Research Association (82546) | 93 395 | (4,983) | (1,450) | (6,433) |
| Universities Space Research Association (USRA-8500-05) | 99 RD | 153,661 | 19,911 | 173,572 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (08-622Y-00) | 81 121 | 42,258 | 19,033 | 61,291 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (08-74) | 20 200 | 11,470 | 6,022 | 17,492 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (09-12) | 93 398 | 25,529 | 2,042 | 27,571 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (656.9011) | 43 RD | 555 | 302 | 857 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (78437) | 81 049 | 4,210 | 2,189 | 6,399 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (86733) | 66 436 | 1,531 | 398 | 1,929 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (DAS663716601) | 66 034 | 9,835 | 2,557 | 12,392 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (UNR-04-41) | 66 202 | 23,930 | 13,042 | 36,972 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (UNR-05-46) | 47 041 | 84,973 | 38,875 | 123,848 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (UNR-06-28 / PO 16BB150676) | 47 041 | 27,627 | 11,382 | 39,009 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (UNR0701) | 66 436 | 4,072 | - | 4,072 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (UNR0949) | 10 652 | 3,100 | 620 | 3,720 |
| University at Buffalo (a State University of New York (SUNY) Campus) (R566186) | 47 041 | 42,158 | 16,931 | 59,089 |
| University Corp for Atmospheric Research (59770) | 47 050 | 256 | 118 | 374 |
| University Corp for Atmospheric Research (82942) | 47 074 | (2,004) | (1,092) | (3,096) |
| University Corp for Atmospheric Research (S0867884) | 11 431 | 194,620 | 15,570 | 210,190 |
| University Corp for Atmospheric Research (S08-68829) | 11 467 | 10,084 | 1,916 | 12,000 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University Corp for Atmospheric Research (S08-72113) | 99 RD | 4,628 | 1,203 | 5,831 |
| University Corp for Atmospheric Research (S0975533) | 43 RD | 4,853 | 2,524 | 7,377 |
| University of Alabama (includes Birmingham and Huntsville) ((HC48047)/2) | 93 RD | 8,726 | 2,092 | 10,818 |
| University of Alabama (includes Birmingham and Huntsville) (003) | 93 855 | 9,549 | 4,631 | 14,180 |
| University of Alabama (includes Birmingham and Huntsville) (005 5R01HD053055-02) | 99 RD | 44,334 | 23,054 | 67,388 |
| University of Alabama (includes Birmingham and Huntsville) (011617) | 93 856 | 11,790 | 3,065 | 14,855 |
| University of Alabama (includes Birmingham and Huntsville) (037/004) | 93 865 | 6,822 | 1,774 | 8,596 |
| University of Alabama (includes Birmingham and Huntsville) (07000464) | 93 853 | 50 | - | 50 |
| University of Alabama (includes Birmingham and Huntsville) (59916) | 93 999 | 328 | 85 | 413 |
| University of Alabama (includes Birmingham and Huntsville) (79125) | 93 855 | (244) | (118) | (362) |
| University of Alabama (includes Birmingham and Huntsville) (79940) | 99 RD | 58 | 55 | 113 |
| University of Alabama (includes Birmingham and Huntsville) (82051) | 93 395 | (1,381) | (402) | (1,783) |
| University of Alabama (includes Birmingham and Huntsville) (83077) | 93 855 | 25,539 | - | 25,539 |
| University of Alabama (includes Birmingham and Huntsville) (83093) | 93 242 | (4,812) | (1,251) | (6,063) |
| University of Alabama (includes Birmingham and Huntsville) (83260) | 93 395 | (186) | (96) | (282) |
| University of Alabama (includes Birmingham and Huntsville) (83611) | 93 RD | 2,309 | 985 | 3,294 |
| University of Alabama (includes Birmingham and Huntsville) (ES011617COREB) | 93 RD | 178,046 | 90,804 | 268,850 |
| University of Alabama (includes Birmingham and Huntsville) (SUB 001 (DK079337)) | 93 849 | 251,741 | 137,199 | 388,940 |
| University of Alabama (includes Birmingham and Huntsville) (SUB 001 (HD053074)) | 99 RD | 31,545 | 17,192 | 48,737 |
| University of Alabama (includes Birmingham and Huntsville) (SUB 052 (HD040533)) | 93 242 | 23,368 | 6,076 | 29,444 |
| University of Alabama (includes Birmingham and Huntsville) (SUB 196-578 (AG021927)) | 93 866 | 15,847 | 4,120 | 19,967 |
| University of Alabama (includes Birmingham and Huntsville) (SUB NONE (AI067039)) | 93 855 | 263,511 | 68,513 | 332,024 |
| University of Alabama (includes Birmingham and Huntsville) (SUBCODE:005) | 93 855 | 249,654 | 56,061 | 305,715 |
| University of Alabama (includes Birmingham and Huntsville) (UAB001) | 93 866 | 89,989 | 22,920 | 112,909 |
| University of Alabama (includes Birmingham and Huntsville) (UAB-39147) | 93 865 | 1,582 | 830 | 2,412 |
| University of Alabama (includes Birmingham and Huntsville) (UAL-39871) | 93 853 | 950 | 499 | 1,449 |
| University of Alabama (includes Birmingham and Huntsville) (UCSF#A10518) | 93 242 | 44,719 | 24,303 | 69,022 |
| University of Alabama (includes Birmingham and Huntsville) (UCSF#A105667) | 93 242 | 25,085 | 13,676 | 38,761 |
| University of Alabama (includes Birmingham and Huntsville) (UCSF#A106474) | 99 RD | (779) | (257) | (1,036) |
| University of Alabama (includes Birmingham and Huntsville) (UCSF#A110707) | 99 RD | 6,057 | 1,367 | 7,424 |
| University of Alabama (includes Birmingham and Huntsville) (UCSF#A110719) | 93 279 | 20,496 | 5,142 | 25,638 |
| University of Alaska (incl Anchorage, Fairbanks, & Southeast Campuses) (07-0042) | 93 242 | 48,337 | 24,894 | 73,231 |
| University of Alaska (incl Anchorage, Fairbanks, & Southeast Campuses) (09-0026) | 12 431 | 42,569 | 23,200 | 65,769 |
| University of Alaska (incl Anchorage, Fairbanks, & Southeast Campuses) (09-0027) | 12 431 | 71,155 | 38,688 | 109,843 |
| University of Alaska (incl Anchorage, Fairbanks, & Southeast Campuses) (UAF070130) | 11 430 | 117,003 | 56,199 | 173,202 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Arizona (78663) | 47 050 | 1,783 | 464 | 2,247 |
| University of Arizona (79515) | 43 RD | 711 | 359 | 1,070 |
| University of Arizona (82765) | 12 630 | 3,987 | 2,173 | 6,160 |
| University of Arizona (SA7337) | 10 303 | 66,393 | 13,267 | 79,660 |
| University of Arizona (SUB Y502571 (CA134460)) | 93 393 | 22,226 | 12,113 | 34,339 |
| University of Arizona (SUB Y502629 (EEC-0812072)) | 47 041 | 113,137 | 41,513 | 154,650 |
| University of Arizona (Y403056) | 47 050 | 10,909 | 5,455 | 16,364 |
| University of Arizona (Y410677-10) | 47 076 | 231,435 | 89,771 | 321,206 |
| University of Arizona (Y414432) | 47 050 | 6,896 | 3,448 | 10,344 |
| University of Arizona (Y453040) | 93 394 | 989 | 514 | 1,503 |
| University of Arizona (Y481851) | 43 RD | 23,383 | 12,393 | 35,776 |
| University of Arizona (Y481891) | 12 800 | 66,705 | 36,020 | 102,725 |
| University of Arizona (Y482806) | 93 895 | 23,658 | 12,539 | 36,197 |
| University of Arizona (Y501118) | 47 041 | 10,889 | 5,880 | 16,769 |
| University of Arizona (Y502538) | 93 855 | 122,915 | 63,916 | 186,831 |
| University of Arizona (Y503105) | 43 002 | 6,155 | 2,195 | 8,350 |
| University of Arizona (Y503161) | 47 071 | 133,009 | 48,239 | 181,248 |
| University of Arizona (Y503223) | 97 061 | 53,193 | 24,743 | 77,936 |
| University of Arizona (Y773057) | 93 866 | 221,810 | 42,809 | 264,619 |
| University of Arkansas (57527) | 99 RD | (982) | (98) | (1,080) |
| University of Arkansas (UA AES 90805-15) | 10 RD | 32,554 | 7,813 | 40,367 |
| University of Arkansas (UAAES9095702) | 10 206 | 4,465 | 1,116 | 5,581 |
| University of Auckland (New Zealand) (20062048) | 93 837 | 77,578 | 41,892 | 119,470 |
| University of British Columbia (Canada) (27R69123) | 12 420 | 33,230 | 17,114 | 50,344 |
| University of Central Florida (108603) | 12 431 | 28,794 | 14,973 | 43,767 |
| University of Central Florida (16296052-02) | 43 RD | 5,104 | 1,480 | 6,584 |
| University of Central Florida (176161) | 93 172 | 44,713 | 22,015 | 66,728 |
| University of Central Florida (59869) | 47 049 | 14,426 | 7,357 | 21,783 |
| University of Central Florida (86642) | 47 049 | 1,404 | (1,404) | - |
| University of Central Florida (EASTES 16296044) | 43 RD | 7,751 | 2,248 | 9,999 |
| University of Central Florida (SUB 150247(NS055193)) | 93 850 | 20,819 | 11,346 | 32,165 |
| University of Central Florida (NS055193) | 93 850 | 19,358 | 10,550 | 29,908 |
| University of Chicago (23132-A) | 47 078 | 787 | (787) | - |
| University of Chicago (28065-4) | 12 431 | 34,775 | 14,606 | 49,381 |
| University of Chicago (29546) | 43 RD | 6,437 | - | 6,437 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Chicago (30085-R) | 47 070 | 39,521 | 14,924 | 54,445 |
| University of Chicago (34252-A) | 47 078 | 392,279 | 139,878 | 532,157 |
| University of Chicago (34252D) | 47 078 | 28,823 | 12,227 | 41,050 |
| University of Chicago (36312-01) | 93 853 | 297,857 | 162,332 | 460,189 |
| University of Chicago (37774) | 93 393 | 153,359 | 83,580 | 236,939 |
| University of Chicago (39633) | 81 112 | 959 | 508 | 1,467 |
| University of Chicago (59828) | 93 393 | (96,663) | (49,782) | (146,445) |
| University of Chicago (82203) | 93 RD | 154 | 73 | 227 |
| University of Chicago (82453) | 93 RD | 2,622 | 763 | 3,385 |
| University of Chicago (82465) | 93 226 | 156 | 74 | 230 |
| University of Chicago (83005) | 99 RD | (30,337) | (14,572) | (44,909) |
| University of Chicago (AGO12857UCLA) | 93 866 | 13,912 | 7,513 | 21,425 |
| University of Chicago (CCR-0325113) | 47 070 | 86,086 | 44,764 | 130,850 |
| University of Chicago (NS050375) | 93 851 | 83,110 | 45,295 | 128,405 |
| University of Chicago (SUB 27210 (CA37447)) | 93 399 | 4,656 | 2,537 | 7,193 |
| University of Chicago (SUB 30085-H (SCI-0503697)) | 47 070 | 1,064,340 | 490,299 | 1,554,639 |
| University of Chicago (SUB 30180 (DC007206)) | 93 173 | 19,093 | 6,581 | 25,674 |
| University of Chicago (SUB 34588 (HG004264)) | 93 172 | 158,649 | 80,411 | 239,060 |
| University of Chicago (SUB 37130-B (OCI-0742145)) | 47 080 | 174,663 | 95,191 | 269,854 |
| University of Cincinnati (incl College of Medicine, Emcreg Intl) (00027252) | 93 853 | 19,066 | 9,819 | 28,885 |
| University of Cincinnati (incl College of Medicine, Emcreg Intl) (1PN2EY018230) | 93 867 | 42,908 | 22,312 | 65,220 |
| University of Cincinnati (incl College of Medicine, Emcreg Intl) (57674) | 93 853 | (585) | (4) | (589) |
| University of Cincinnati (incl College of Medicine, Emcreg Intl) (OSR #04037211) | 93 855 | 44,059 | 22,691 | 66,750 |
| University of Cincinnati (incl College of Medicine, Emcreg Intl) (P021040N6351105) | 93 853 | 15,431 | 8,024 | 23,455 |
| University of Cincinnati (incl College of Medicine, Emcreg Intl) (SAP-1006575) | 93 213 | 56,261 | 29,537 | 85,798 |
| University of Cincinnati (incl College of Medicine, Emcreg Intl) (SRS#19449 SAP-G100121-1004349) | 99 RD | 25,116 | 6,382 | 31,498 |
| University of Colorado System (0000057336) | 93 RD | 18,617 | (78) | 18,539 |
| University of Colorado System (0000059448) | 43 RD | 11,476 | 3,328 | 14,804 |
| University of Colorado System (0000066154) | 43 RD | 72,834 | 21,122 | 93,956 |
| University of Colorado System (04-169-02) | 12 300 | 34,353 | 17,560 | 51,913 |
| University of Colorado System (154-1260) | 47 070 | 17,502 | 2,912 | 20,414 |
| University of Colorado System (154-1261) | 47 070 | 15,516 | 5,043 | 20,559 |
| University of Colorado System (154-1392) | 47 074 | 2,638 | 686 | 3,324 |
| University of Colorado System (1541996) | 97 RD | 18,264 | 9,589 | 27,853 |
| University of Colorado System (1542491) | 99 RD | 37,256 | 19,187 | 56,443 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Colorado System (1543106) | 47 041 | 8,447 | 8,694 | 17,141 |
| University of Colorado System (154-4267) | 99 RD | 43,671 | 11,355 | 55,026 |
| University of Colorado System (154-5475) | 12 910 | 51,852 | 24,493 | 76,345 |
| University of Colorado System (20803) | 93 838 | (36,940) | (19,383) | (56,323) |
| University of Colorado System (31217) | 93 853 | 2,073 | 1,168 | 3,241 |
| University of Colorado System (59094) | 93 399 | (408) | (220) | (628) |
| University of Colorado System (59099) | 93 242 | 36,122 | 18,964 | 55,086 |
| University of Colorado System (59463) | 47 049 | 2,629 | 1,249 | 3,878 |
| University of Colorado System (62038-004) | 12 431 | 67,310 | 35,338 | 102,648 |
| University of Colorado System (62038-005) | 12 431 | 34,145 | 17,755 | 51,900 |
| University of Colorado System (68485) | 43 002 | 2,147 | 1,127 | 3,274 |
| University of Colorado System (78746) | 12 RD | 1,040 | (1,040) | - |
| University of Colorado System (82027) | 43 002 | 1,210 | 660 | 1,870 |
| University of Colorado System (FY05.266.001) | 47 RD | 29,913 | 15,705 | 45,618 |
| University of Colorado System (FY07.262.001) | 93 855 | 45,763 | 24,712 | 70,475 |
| University of Colorado System (FY08.064.003) | 93 838 | 60,225 | 32,822 | 93,047 |
| University of Colorado System (FY08.294.007 AMD 1 UCLA YR 10.) | 93 399 | 20,743 | 11,201 | 31,944 |
| University of Colorado System (FY08.354.001) | 93 RD | 56,417 | 29,619 | 86,036 |
| University of Colorado System (FY09.294.007 AMD UCLA YR11) | 93 399 | 101,343 | 54,725 | 156,068 |
| University of Colorado System (FY09.361.001) | 93 866 | 19,472 | 10,515 | 29,987 |
| University of Colorado System (S0000003105) | 43 RD | 347,126 | 135,867 | 482,993 |
| University of Colorado System (SUB 154-3427 (SES-0648447)) | 47 075 | 13,405 | 3,485 | 16,890 |
| University of Colorado System (SUB 62038-003 (W911NF-04-1-024) | 12 RD | 102,063 | 49,973 | 152,036 |
| University of Connecticut (832309) | 93 389 | 37,861 | 20,634 | 58,495 |
| University of Connecticut (EF-06266) | 47 050 | 30,068 | 16,387 | 46,455 |
| University of Delaware (19447) | 47 RD | 6,280 | 1,758 | 8,038 |
| University of Delaware (7548) | 93 859 | 48,528 | 17,735 | 66,263 |
| University of Florida (00064374) | 10 304 | 5,760 | 3,797 | 9,557 |
| University of Florida (6087-0000000257) | 10 001 | 4,888 | - | 4,888 |
| University of Florida (78516) | 93 856 | (20,711) | (10,873) | (31,584) |
| University of Florida (79163) | 93 RD | (391) | (197) | (588) |
| University of Florida (82324) | 81 049 | (1,455) | (756) | (2,211) |
| University of Florida (87780) | 47 070 | 11,111 | 3,889 | 15,000 |
| University of Florida (NNX07AC46A) | 43 999 | 36,358 | 14,989 | 51,347 |
| University of Florida (OSR #03033239) | 93 865 | 93,572 | 48,190 | 141,762 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Florida (UF06036) | 93 855 | 22,167 | 12,081 | 34,248 |
| University of Florida (UF07054) | 93 859 | 102,042 | 51,985 | 154,027 |
| University of Florida (UF07103) | 93 867 | 78,794 | 41,984 | 120,778 |
| University of Florida (UF07104) | 96 867 | 138,826 | 75,661 | 214,487 |
| University of Florida (UF08081) | 93 853 | 99,793 | 44,604 | 144,397 |
| University of Florida (UFIFAS00071438) | 10 206 | 121 | (121) | - |
| University of Georgia (incl Skidaway Institute of Oceanography) (21496-1) | 93 837 | 57,917 | 31,565 | 89,482 |
| University of Georgia (incl Skidaway Institute of Oceanography) (2989820627) | 46 201 | 242 | 126 | 368 |
| University of Georgia (incl Skidaway Institute of Oceanography) (82642) | 81 049 | (1,091) | (562) | (1,653) |
| University of Georgia (incl Skidaway Institute of Oceanography) (RC2845063504058) | 47 074 | 172,033 | 55,525 | 227,558 |
| University of Georgia (incl Skidaway Institute of Oceanography) (RC293-502/3843568) | 10 206 | 2,093 | 523 | 2,616 |
| University of Georgia (incl Skidaway Institute of Oceanography) (RR166-585/3505738) | 47 074 | 34,613 | 18,109 | 52,722 |
| University of Georgia (incl Skidaway Institute of Oceanography) (RR185-332/9823137-2) | 81 049 | 47,444 | 19,537 | 66,981 |
| University of Georgia (incl Skidaway Institute of Oceanography) (RR271-389-9036257) | 47 RD | 657 | 345 | 1,002 |
| University of Georgia (incl Skidaway Institute of Oceanography) (RR274-297/3504008) | 93 279 | 106,786 | 50,453 | 157,239 |
| University of Georgia (incl Skidaway Institute of Oceanography) (RR274-305/3505998) | 93 279 | 4,867 | 2,555 | 7,422 |
| University of Georgia (incl Skidaway Institute of Oceanography) (RR274-329/3842588) | 93 242 | 15,493 | 4,028 | 19,521 |
| University of Georgia (incl Skidaway Institute of Oceanography) (RR757-009/3842468 (CA12854)) | 93 395 | 25,308 | 12,161 | 37,469 |
| University of Georgia (incl Skidaway Institute of Oceanography) (RR793-008/4688728) | 93 855 | 71,592 | 18,125 | 89,717 |
| University of Hawaii (incl Research Corporation of The Univ of Hawaii) (82775) | 11 433 | 1,898 | 304 | 2,202 |
| University of Hawaii (incl Research Corporation of The Univ of Hawaii) (87811) | 93 862 | 32,936 | 17,127 | 50,063 |
| University of Hawaii (incl Research Corporation of The Univ of Hawaii) (NS056883) | 93 853 | 130,325 | 68,615 | 198,940 |
| University of Hawaii (incl Research Corporation of The Univ of Hawaii) (Z750189) | 93 393 | 23,683 | 12,197 | 35,880 |
| University of Hawaii (incl Research Corporation of The Univ of Hawaii) (Z786169) | 47 050 | 34,490 | 15,760 | 50,250 |
| University of Hawaii (incl Research Corporation of The Univ of Hawaii) (Z792090) | 47 074 | 156,422 | 66,720 | 223,142 |
| University of Hawaii (incl Research Corporation of The Univ of Hawaii) (Z792649) | 10 303 | 17,917 | 4,479 | 22,396 |
| University of Hawaii (incl Research Corporation of The Univ of Hawaii) (Z809307) | 12 300 | 10,274 | 5,343 | 15,617 |
| University of Hawaii (incl Research Corporation of The Univ of Hawaii) (Z827025) | 12 RD | 35,831 | 8,190 | 44,021 |
| University of Houston (R06-0048) | 81 RD | 26,840 | 13,957 | 40,797 |
| University of Idaho (P0016076) | 10 902 | 8,692 | 869 | 9,561 |
| University of Illinois (2003-00972-4) | 47 041 | 101,324 | 52,688 | 154,012 |
| University of Illinois (2005-03117-02) | 12 800 | 137,161 | 61,905 | 199,066 |
| University of Illinois (2005-04292-01) | 93 394 | 36,601 | 17,202 | 53,803 |
| University of Illinois (2005-05652-03) | 47 041 | 19,218 | 5,266 | 24,484 |
| University of Illinois (20060013909) | 93 867 | 26,350 | 20,577 | 46,927 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Illinois (2006-02853-02-02) | 47 041 | 53,191 | 16,646 | 69,837 |
| University of Illinois (2007-03998-01) | 47 041 | 58,933 | 28,795 | 87,728 |
| University of Illinois (2008-02016-02) | 12 800 | 112,346 | 59,544 | 171,890 |
| University of Illinois (2008-02125-02/A3385) | 93 859 | 29,914 | 16,303 | 46,217 |
| University of Illinois (2008-04092-01) | 10 206 | 5,306 | 1,327 | 6,633 |
| University of Illinois (2008-04984-01) | 93 394 | 48,506 | 23,607 | 72,113 |
| University of Illinois (57818) | 99 RD | 5 | 1 | 6 |
| University of Illinois (59400) | 99 RD | (1,813) | (861) | (2,674) |
| University of Illinois (84592) | 93 867 | (3,538) | (1,936) | (5,474) |
| University of Illinois (87436) | 47 RD | 28,991 | 15,075 | 44,066 |
| University of Illinois (E6633) | 93 242 | 102,812 | 53,462 | 156,274 |
| University of Illinois (OSR #03034571) | 93 859 | 350,999 | 178,882 | 529,881 |
| University of Illinois (SUB 2008-05208-01 (HT-50013-08) | 45 169 | 10,634 | 2,940 | 13,574 |
| University of Iowa (02031533) | 93 853 | 12,550 | 3,202 | 15,752 |
| University of Iowa (1000542164) | 47 050 | 68,042 | 32,727 | 100,769 |
| University of Iowa (1000554038) | 93 867 | 583,284 | 264,951 | 848,235 |
| University of Iowa (1000603847) | 93 847 | 276,313 | 150,499 | 426,812 |
| University of Iowa (1000609945) | 93 172 | 57 | 15 | 72 |
| University of Iowa (1000623551) | 93 853 | 4,848 | 1,042 | 5,890 |
| University of Iowa (1000642681) | 12 431 | 93,561 | 44,704 | 138,265 |
| University of Iowa (1000671629) | 93 853 | 4,465 | 2,099 | 6,564 |
| University of Iowa (1000677657) | 93 242 | 11,325 | 2,944 | 14,269 |
| University of Iowa (1-11626-00) | 93 855 | 88,953 | 48,568 | 137,521 |
| University of Iowa (1177500) | 93 853 | 5,017 | 2,609 | 7,626 |
| University of Iowa (59773) | 93 853 | 53,113 | 24,963 | 78,076 |
| University of Iowa (80184) | 93 867 | 15,411 | 8,322 | 23,733 |
| University of Iowa (82019) | 93 853 | 1,873 | 890 | 2,763 |
| University of Iowa (PO 1000551919) | 93 867 | 123,470 | 61,601 | 185,071 |
| University of Kansas (incl KU Medical Center) (83509) | 93 RD | (188) | (56) | (244) |
| University of Kansas (incl KU Medical Center) (FY2003-019) | 47 074 | 32,338 | 28,443 | 60,781 |
| University of Kansas (incl KU Medical Center) (FY2005-020) | 47 074 | 127,333 | 66,213 | 193,546 |
| University of Kansas (incl KU Medical Center) (FY2009-002) | 93 389 | 17,310 | 4,557 | 21,867 |
| University of Kentucky Research Foundation (3048044900-07-293) | 93 866 | 20,227 | 10,619 | 30,846 |
| University of Kentucky Research Foundation (SUB 3048104383-08-472 (NS05663) | 93 853 | 17,276 | 9,416 | 26,692 |
| University of Louisville (05-1523 Z10A) | 93 866 | 4,852 | 2,620 | 7,472 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Louisville (79103) | 93 866 | 3,904 | 2,108 | 6,012 |
| University of Lowell Research Foundation, Massachusetts (S21007540000006) | 47 050 | 7,942 | 3,733 | 11,675 |
| University of Maine System (UM-S617) | 43 001 | 25,455 | 13,865 | 39,320 |
| University of Maryland (0000004874) | 43 001 | 46,972 | 25,365 | 72,337 |
| University of Maryland (0000005721) | 43 RD | 68,918 | 9,015 | 77,933 |
| University of Maryland (31351) | 93 865 | 3,935 | 759 | 4,694 |
| University of Maryland (59930) | 99 RD | (192) | (83) | (275) |
| University of Maryland (82438) | 84 RD | 171 | 81 | 252 |
| University of Maryland (86871) | 93 RD | 16,430 | 8,543 | 24,973 |
| University of Maryland (CG0409) | 47 070 | 93,776 | 34,684 | 128,460 |
| University of Maryland (CG0618) | 43 RD | 45,781 | 11,903 | 57,684 |
| University of Maryland (SR000000615) | 93 242 | 124,000 | 64,480 | 188,480 |
| University of Maryland (SR00000473) | 93 395 | 36,116 | 19,683 | 55,799 |
| University of Maryland (SUB 2931303 (NBCH1080007)) | 12 910 | 47,198 | 16,881 | 64,079 |
| University of Maryland (SUB Z887103 (N00014-08-1-0638)) | 12 300 | 86,100 | 36,578 | 122,678 |
| University of Maryland (UCSF#A105995) | 93 286 | 94,020 | 47,563 | 141,583 |
| University of Maryland (Z175902) | 93 864 | 10,770 | 5,654 | 16,424 |
| University of Maryland (Z311702) | 47 049 | 24,074 | 6,259 | 30,333 |
| University of Maryland (Z311703) | 47 049 | 25,181 | 6,547 | 31,728 |
| University of Maryland (Z452401) | 47 049 | 7,751 | 2,015 | 9,766 |
| University of Maryland (Z460802) | 47 041 | 50,550 | 20,951 | 71,501 |
| University of Maryland (Z488101) | 47 049 | 45,359 | 23,814 | 69,173 |
| University of Maryland (Z492801) | 47 049 | 87,200 | 32,568 | 119,768 |
| University of Maryland (Z507207) | 10 206 | 7,421 | 1,855 | 9,276 |
| University of Maryland (Z627304) | 43 RD | 60,412 | 27,833 | 88,245 |
| University of Maryland (Z639401) | 99 RD | 21,139 | 8,053 | 29,192 |
| University of Maryland (Z645101) | 99 RD | 1,441 | 375 | 1,816 |
| University of Maryland (Z780702) | 11 440 | 4,107 | 1,483 | 5,590 |
| University of Maryland (Z882801) | 12 300 | 119,895 | 47,175 | 167,070 |
| University of Maryland (Z918801) | 43 RD | 271,235 | 130,311 | 401,546 |
| University of Maryland (Z918806) | 12 431 | 56,162 | 22,920 | 79,082 |
| University of Maryland (Z922301) | 99 RD | 90,877 | 41,483 | 132,360 |
| University of Maryland (Z923001) | 97 061 | 24,353 | 23,851 | 48,204 |
| University of Maryland (Z930119) | 97 061 | 35,026 | 16,418 | 51,444 |
| University of Maryland (Z939701) | 12 800 | 27,573 | 13,787 | 41,360 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Maryland Foundation, Inc. (the) (82041) | 99 RD | 135 | 64 | 199 |
| University of Massachusetts (05-003115 A 02) | 47 076 | 820 | 443 | 1,263 |
| University of Massachusetts (06003599C01) | 47 049 | 25,224 | 9,112 | 34,336 |
| University of Massachusetts (09-0052 33 E 00) | 47 049 | 57 | 28 | 85 |
| University of Massachusetts (09-005334 C 00) | 12 999 | 38,819 | 8,140 | 46,959 |
| University of Massachusetts (6100541/RFS900048) | 93 085 | 5,393 | 2,939 | 8,332 |
| University of Massachusetts (SUB (03-003721 G 00) DE-FC02-0) | 81 049 | 425,486 | 225,216 | 650,702 |
| University of Massachusetts (SUB 09-005177 A 00 (DE-FG02-08) | 81 049 | 16,188 | 8,822 | 25,010 |
| University of Medicine and Dentistry of New Jersey (020609) | 93 866 | 9,167 | 4,767 | 13,934 |
| University of Medicine and Dentistry of New Jersey (191509) | 93 855 | 3,853 | 2,023 | 5,876 |
| University of Medicine and Dentistry of New Jersey (20063453) | 93 273 | 17,995 | 9,717 | 27,712 |
| University of Medicine and Dentistry of New Jersey (506634-1) | 93 242 | 56,974 | 13,513 | 70,487 |
| University of Medicine and Dentistry of New Jersey (79144) | 93 242 | 5,000 | - | 5,000 |
| University of Medicine and Dentistry of New Jersey (99-705) | 93 854 | 800 | - | 800 |
| University of Medicine and Dentistry of New Jersey (OSR #03034848) | 93 RD | 57,741 | 15,013 | 72,754 |
| University of Medicine and Dentistry of New Jersey (UCSF#A105001) | 93 145 | 227,836 | 18,227 | 246,063 |
| University of Medicine and Dentistry of New Jersey (UMN-33598) | 93 837 | 112,396 | 59,008 | 171,404 |
| University of Medicine and Dentistry of New Jersey (UMN-38033) | 93 866 | 121,948 | 64,023 | 185,971 |
| University of Memphis (MEMPHIS-39424) | 47 075 | 19,693 | 10,339 | 30,032 |
| University of Miami (08000816) | 93 242 | 54,470 | 28,324 | 82,794 |
| University of Miami (66154W/PO#M120605) | 93 853 | 23,906 | 6,216 | 30,122 |
| University of Miami (66171M) | 47 050 | 8,690 | 2,260 | 10,950 |
| University of Miami (66437T) | 93 286 | 121,133 | 65,412 | 186,545 |
| University of Miami (79245) | 93 286 | (874) | (459) | (1,333) |
| University of Miami (84997) | 99 RD | 41,836 | 13,806 | 55,642 |
| University of Miami (P142128) | 47 050 | 2,176 | 1,142 | 3,318 |
| University of Miami (P148130) | 47 050 | 33,709 | 5,172 | 38,881 |
| University of Miami (P749523) | 47 050 | 133,843 | 14,316 | 148,159 |
| University of Miami (SB080099) | 43 050 | 32,995 | 15,508 | 48,503 |
| University of Michigan (incl William Davidson Institute) (02030894) | 93 103 | 4,892 | - | 4,892 |
| University of Michigan (incl William Davidson Institute) (07002360) | 96 007 | 19,565 | 10,174 | 29,739 |
| University of Michigan (incl William Davidson Institute) (3000584656) | 47 076 | 30,562 | 7,269 | 37,831 |
| University of Michigan (incl William Davidson Institute) (3000654836) | 12 901 | 114,653 | 42,288 | 156,941 |
| University of Michigan (incl William Davidson Institute) (3000676233) | 93 310 | 49,252 | 26,843 | 76,095 |
| University of Michigan (incl William Davidson Institute) (3000691491-RPT) | 93 310 | 12,846 | 4,239 | 17,085 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Michigan (incl William Davidson Institute) (3000770489-ALIAS) | 99 RD | 221 | 73 | 294 |
| University of Michigan (incl William Davidson Institute) (3000826284) | 81 121 | 104,431 | 55,349 | 159,780 |
| University of Michigan (incl William Davidson Institute) (3000833599) | 81 121 | 31,844 | 10,194 | 42,038 |
| University of Michigan (incl William Davidson Institute) (3000840461) | 81 121 | 127,586 | 60,147 | 187,733 |
| University of Michigan (incl William Davidson Institute) (3000864778) | 93 866 | 20,771 | 11,217 | 31,988 |
| University of Michigan (incl William Davidson Institute) (3000895047) | 12 431 | 16,555 | 8,577 | 25,132 |
| University of Michigan (incl William Davidson Institute) (3000913901) | 43 RD | 8,725 | 4,624 | 13,349 |
| University of Michigan (incl William Davidson Institute) (3000926669) | 93 866 | 35,613 | 15,848 | 51,461 |
| University of Michigan (incl William Davidson Institute) (3000936959) | 43 RD | 34,958 | 18,178 | 53,136 |
| University of Michigan (incl William Davidson Institute) (3000950866) | 12 910 | 125,224 | 46,520 | 171,744 |
| University of Michigan (incl William Davidson Institute) (3000968654) | 93 395 | 13,534 | 4,466 | 18,000 |
| University of Michigan (incl William Davidson Institute) (3000997965) | 93 855 | 4,275 | 2,266 | 6,541 |
| University of Michigan (incl William Davidson Institute) (3001023051) | 12 800 | 114,122 | 25,932 | 140,054 |
| University of Michigan (incl William Davidson Institute) (3001062376) | 47 075 | 5,867 | 3,168 | 9,035 |
| University of Michigan (incl William Davidson Institute) (3001075955) | 93 866 | 34,917 | 19,030 | 53,947 |
| University of Michigan (incl William Davidson Institute) (3001130178) | 93 393 | 87,990 | 47,955 | 135,945 |
| University of Michigan (incl William Davidson Institute) (3001134208) | 93 173 | 103,975 | 54,587 | 158,562 |
| University of Michigan (incl William Davidson Institute) (31387) | 93 866 | (597) | (155) | (752) |
| University of Michigan (incl William Davidson Institute) (58540) | 81 121 | (273) | (141) | (414) |
| University of Michigan (incl William Davidson Institute) (59898) | 93 859 | 512 | 243 | 755 |
| University of Michigan (incl William Davidson Institute) (79804) | 81 087 | 13 | 7 | 20 |
| University of Michigan (incl William Davidson Institute) (86271) | 93 395 | (337) | (175) | (512) |
| University of Michigan (incl William Davidson Institute) (87200) | 93 242 | 12,989 | 4,416 | 17,405 |
| University of Michigan (incl William Davidson Institute) (F004675) | 93 865 | 174,090 | 88,786 | 262,876 |
| University of Michigan (incl William Davidson Institute) (F011098) | 12 431 | 13,140 | 6,848 | 19,988 |
| University of Michigan (incl William Davidson Institute) (F011687) | 93 837 | 14,775 | 4,478 | 19,253 |
| University of Michigan (incl William Davidson Institute) (F012586) | 93 846 | 11,896 | 6,177 | 18,073 |
| University of Michigan (incl William Davidson Institute) (F013430) | 47 049 | 54,431 | 26,551 | 80,982 |
| University of Michigan (incl William Davidson Institute) (F013460) | 47 041 | 10,127 | 5,215 | 15,342 |
| University of Michigan (incl William Davidson Institute) (F015533) | 81 117 | 151,676 | 69,935 | 221,611 |
| University of Michigan (incl William Davidson Institute) (F015579) | 93 866 | 20,207 | 10,508 | 30,715 |
| University of Michigan (incl William Davidson Institute) (F015733) | 93 395 | 85,384 | 42,758 | 128,142 |
| University of Michigan (incl William Davidson Institute) (F017430) | 93 859 | 108,040 | 58,881 | 166,921 |
| University of Michigan (incl William Davidson Institute) (F020119) | 93 395 | 48,029 | 17,069 | 65,098 |
| University of Michigan (incl William Davidson Institute) (F020120) | 93 395 | 30,118 | 15,662 | 45,780 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Michigan (incl William Davidson Institute) (F021095) | 93 399 | 277,007 | 101,748 | 378,755 |
| University of Michigan (incl William Davidson Institute) (RR018627) | 93 389 | 71,350 | 38,886 | 110,236 |
| University of Michigan (incl William Davidson Institute) (SHRP-S-09) | 20 RD | 35,191 | 18,651 | 53,842 |
| University of Michigan (incl William Davidson Institute) (STRP016447MAGDOT) | 47 049 | 38,144 | 19,835 | 57,979 |
| University of Michigan (incl William Davidson Institute) (SUB 3000586212 (FA9550-06-027) | 12 800 | 46,892 | 21,106 | 67,998 |
| University of Michigan (incl William Davidson Institute) (SUB 3001070733 (PHY-0804114)) | 47 049 | 20,391 | 11,113 | 31,504 |
| University of Michigan (incl William Davidson Institute) (SUB 3001078880 (W911NSF-08-A-0) | 12 431 | 10,231 | 3,350 | 13,581 |
| University of Michigan (incl William Davidson Institute) (SUB 5000001777 (HD041249)) | 93 865 | 25,932 | 11,911 | 37,843 |
| University of Michigan (incl William Davidson Institute) (SES-0452281) | 47 075 | 621 | 339 | 960 |
| University of Michigan (incl William Davidson Institute) (3000602270) | 93 RD | 15,381 | 3,076 | 18,457 |
| University of Minnesota (018843) | 84 RD | 58,921 | 27,845 | 86,766 |
| University of Minnesota (57035) | 93 RD | (11) | (3) | (14) |
| University of Minnesota (58571) | 47 049 | 13,334 | 6,867 | 20,201 |
| University of Minnesota (59616) | 93 856 | 45 | 21 | 66 |
| University of Minnesota (59822) | 43 RD | 17,479 | 9,002 | 26,481 |
| University of Minnesota (59841) | 99 RD | (8,657) | (4,112) | (12,769) |
| University of Minnesota (59920) | 93 777 | 624 | 182 | 806 |
| University of Minnesota (59921) | 93 395 | 9,667 | 4,592 | 14,259 |
| University of Minnesota (78502) | 43 RD | (27,076) | 27,076 | - |
| University of Minnesota (78797) | 43 RD | (8,932) | 8,932 | - |
| University of Minnesota (79192) | 93 279 | (515) | (278) | (793) |
| University of Minnesota (80540) | 93 855 | 118,556 | 64,020 | 182,576 |
| University of Minnesota (82159) | 93 856 | 5,883 | 1,529 | 7,412 |
| University of Minnesota (82384) | 93 856 | 10,374 | 2,697 | 13,071 |
| University of Minnesota (82477) | 93 855 | 13,265 | 6,301 | 19,566 |
| University of Minnesota (82905) | 47 074 | 17 | 10 | 27 |
| University of Minnesota (83088) | 93 846 | (187) | (97) | (284) |
| University of Minnesota (83171) | 93 853 | 41,738 | 22,747 | 64,485 |
| University of Minnesota (83630) | 93 856 | 11,523 | 2,996 | 14,519 |
| University of Minnesota (87823) | 93 RD | 3,202 | 1,665 | 4,867 |
| University of Minnesota (A000060271) | 47 049 | 3,012 | 1,551 | 4,563 |
| University of Minnesota (A485621503) | 93 279 | 56,950 | 15,395 | 72,345 |
| University of Minnesota (A5286056135) | 47 049 | 15,916 | - | 15,916 |
| University of Minnesota (B51867531R1) | 93 859 | 42,600 | 23,004 | 65,604 |
| University of Minnesota (B6367633801) | 93 849 | 15,656 | 8,368 | 24,024 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Minnesota (B8476016101) | 93 361 | 13,009 | 3,382 | 16,391 |
| University of Minnesota (H000575201) | 93 172 | 11,704 | 3,414 | 15,118 |
| University of Minnesota (M6616285701) | 93 855 | 125,566 | 29,878 | 155,444 |
| University of Minnesota (N000067401) | 93 855 | 46,700 | 17,896 | 64,596 |
| University of Minnesota (OSR #04036378) | 93 855 | 256,532 | 119,100 | 375,632 |
| University of Minnesota (P00000028803) | 93 853 | 232,320 | 112,828 | 345,148 |
| University of Minnesota (P661628536) | 93 855 | 77,218 | 41,698 | 118,916 |
| University of Minnesota (Q3036031101) | 84 324 | 146,002 | 78,841 | 224,843 |
| University of Minnesota (Q4096504111) | 10 206 | 6,790 | 1,698 | 8,488 |
| University of Minnesota (Q4096504601) | 10 206 | 4,210 | 1,053 | 5,263 |
| University of Minnesota (Q4096504701) | 10 206 | 33,749 | 8,437 | 42,186 |
| University of Minnesota (Q6696295101) | 10 206 | 13,836 | 4,620 | 18,456 |
| University of Minnesota (Q6736000701) | 10 206 | (955) | (1,400) | (2,355) |
| University of Minnesota (S6476716101) | 93 847 | 81,908 | 42,183 | 124,091 |
| University of Minnesota (S6476775404) | 93 855 | 46,377 | 25,044 | 71,421 |
| University of Minnesota (SUB P000000628802 (NS063718)) | 93 853 | 48,147 | 26,240 | 74,387 |
| University of Minnesota (SUB T5166251101 (AST-0706980)) | 47 049 | 30,261 | 16,493 | 46,754 |
| University of Minnesota (T5366216005) | 47 080 | 155,529 | 75,564 | 231,093 |
| University of Minnesota (T5366216006) | 47 050 | 147,180 | 68,275 | 215,455 |
| University of Minnesota (X514629102) | 43 RD | 24,514 | 9,022 | 33,536 |
| University of Minnesota (X5336545101) | 43 RD | 3,455,230 | 980,132 | 4,435,362 |
| University of Minnesota (X5336565201) | 43 RD | 164,023 | 11,961 | 175,984 |
| University of Minnesota (X9106031101) | 97 061 | 42,028 | 22,716 | 64,744 |
| University of Mississippi (07-08-013) | 12 431 | 604,649 | 300,368 | 905,017 |
| University of Mississippi (09-01-045) | 15 423 | 1,506 | 241 | 1,747 |
| University of Mississippi (09-08-015) | 81 089 | 15,807 | 2,294 | 18,101 |
| University of Mississippi (09-09-023) | 11 468 | 37,671 | 20,531 | 58,202 |
| University of Mississippi (67271-01) | 93 242 | 53,251 | 29,022 | 82,273 |
| University of Mississippi (82298) | 93 395 | 139 | 72 | 211 |
| University of Mississippi (82669) | 93 283 | (2,898) | (1,492) | (4,390) |
| University of Mississippi (83604) | 99 RD | 559 | 90 | 649 |
| University of Missouri System (Columbia/Kansas City/Rolla/St.Louis) (00015697/12894) | 47 074 | 89,462 | 48,757 | 138,219 |
| University of Missouri System (Columbia/Kansas City/Rolla/St.Louis) (08001633) | 47 074 | 51,064 | 26,553 | 77,617 |
| University of Missouri System (Columbia/Kansas City/Rolla/St.Louis) (82228) | 93 371 | (1,181) | (614) | (1,795) |
| University of Missouri System (Columbia/Kansas City/Rolla/St.Louis) (C0006883-3) | 10 217 | 24,246 | 6,061 | 30,307 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Missouri System (Columbia/Kansas City/Rolla/St.Louis) (SUB 00023760-02 (07-C-NE-UMR)) | 21 100 | 16,774 | 5,313 | 22,087 |
| University of Missouri System (Columbia/Kansas City/Rolla/St.Louis) (SUB C00018996-1 (DK055835)) | 93 847 | 11,200 | 6,104 | 17,304 |
| University of Montana (PG-5676-03) | 47 050 | 68,069 | 7,547 | 75,616 |
| University of Nebraska (25-0514-0056-002) | 43 RD | 26,342 | 14,357 | 40,699 |
| University of Nebraska (2505500001121) | 47 078 | 273 | 71 | 344 |
| University of Nebraska (2505500001126) | 47 078 | 2,332 | 606 | 2,938 |
| University of Nebraska (2505500001141) | 47 078 | 750 | 390 | 1,140 |
| University of Nebraska (2505500001156) | 47 078 | 25,569 | 13,296 | 38,865 |
| University of Nebraska (3619052142001) | 93 394 | 18,492 | 9,616 | 28,108 |
| University of Nebraska (82104) | 99 RD | (1,097) | (521) | (1,618) |
| University of Nebraska (SUB 34-5160-2033-001 (DA026146)) | 93 279 | 73,372 | 39,988 | 113,360 |
| University of New Hampshire (03-739, AMEND. 08) | 47 074 | 1,545 | 734 | 2,279 |
| University of New Hampshire (03745) | 47 074 | 8,173 | 85 | 8,258 |
| University of New Hampshire (06000183) | 11 419 | 42,981 | 24,401 | 67,382 |
| University of New Hampshire (06-001) | 99 RD | 558,415 | 301,544 | 859,959 |
| University of New Mexico (271164-873N) | 99 RD | 31,965 | 16,465 | 48,430 |
| University of New Mexico (3R28L) | 93 393 | 20,684 | 5,378 | 26,062 |
| University of New Mexico (58686) | 93 283 | 10,186 | 5,093 | 15,279 |
| University of New Mexico (650089-873R) | 12 431 | 18,692 | 8,785 | 27,477 |
| University of New Mexico (82936) | 47 050 | 362 | 197 | 559 |
| University of New Mexico (976014-873R) | 47 074 | 531 | 273 | 804 |
| University of North Carolina (20080725) | 93 853 | 21,563 | 5,164 | 26,727 |
| University of North Carolina (5-33888) | 93 399 | 6,964 | 3,587 | 10,551 |
| University of North Carolina (5-34323) | 93 837 | 128,319 | 69,934 | 198,253 |
| University of North Carolina (5-34683-02) | 93 172 | 99,958 | 50,979 | 150,937 |
| University of North Carolina (5-34753) | 93 226 | 14,288 | 7,787 | 22,075 |
| University of North Carolina (5-34821) | 93 855 | 20,927 | 11,405 | 32,332 |
| University of North Carolina (5-35881 PROJECT 6) | 12 420 | 49,641 | 26,061 | 75,702 |
| University of North Carolina (5-50055) | 93 393 | 7,787 | 2,025 | 9,812 |
| University of North Carolina (5-50663) | 93 286 | 119,025 | 60,517 | 179,542 |
| University of North Carolina (5-50886) | 93 143 | 235,071 | 60,772 | 295,843 |
| University of North Carolina (551123) | 93 865 | 31,918 | 16,597 | 48,515 |
| University of North Carolina (5-51264) | 93 837 | 84,556 | 45,660 | 130,216 |
| University of North Carolina (5-51779) | 93 172 | 5,611 | 2,946 | 8,557 |
| University of North Carolina (554459) | 84 325 | 288,234 | 22,422 | 310,656 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of North Carolina (5-54569) | 47 075 | 5,737 | 3,012 | 8,749 |
| University of North Carolina (59669) | 47 074 | 995 | 243 | 1,238 |
| University of North Carolina (59879) | 93 121 | 2,984 | 1,417 | 4,401 |
| University of North Carolina (87487) | 98 RD | 22,166 | 11,526 | 33,692 |
| University of North Carolina (NS039444) | 93 853 | 25,850 | 14,870 | 40,720 |
| University of North Carolina (SUB 20090748 (OCI-0910431)) | 47 080 | 320,769 | 164,256 | 485,025 |
| University of North Carolina (SUB 2-32555 (HG002647)) | 93 172 | 93,897 | 44,498 | 138,395 |
| University of North Carolina (SUB 5-34438 GM079271)) | 93 859 | 1,625 | 885 | 2,510 |
| University of North Carolina (SUB 5-50916 (CA084314)) | 93 RD | 118,203 | 64,421 | 182,624 |
| University of North Carolina (SUB 5-54730 (OCI-0848296)) | 47 080 | 275,057 | 141,378 | 416,435 |
| University of North Carolina (UCSF#A105972) | 93 859 | 64,870 | 31,577 | 96,447 |
| University of North Carolina (UCSF#A111593) | 93 853 | 1,319 | 408 | 1,727 |
| University of North Carolina (UNC552147) | 93 242 | 84 | (84) | - |
| University of North Carolina (UNC-CH5-51385) | 93 242 | 10,754 | 5,861 | 16,615 |
| University of Notre Dame (incl Gem) (08003379) | 93 856 | 99,420 | 51,699 | 151,119 |
| University of Notre Dame (incl Gem) (09020120) | 47 049 | 1,398 | - | 1,398 |
| University of Notre Dame (incl Gem) (200237) | 12 300 | 124,010 | 25,381 | 149,391 |
| University of Notre Dame (incl Gem) (79957) | 12 300 | 88,158 | 45,819 | 133,977 |
| University of Notre Dame (incl Gem) (ND-44530) | 47 049 | 4,162 | - | 4,162 |
| University of Notre Dame (incl Gem) (PHY02-07072-002) | 47 049 | 30 | - | 30 |
| University of Notre Dame (incl Gem) (PHY-0715396) | 47 049 | 3,771 | - | 3,771 |
| University of Notre Dame (incl Gem) (PHY-0715396-002) | 47 049 | 6,944 | - | 6,944 |
| University of Notre Dame (incl Gem) (UND# 200763) | 93 856 | 76,266 | 38,133 | 114,399 |
| University of Oklahoma (59791) | 12 630 | (130,261) | (31,154) | (161,415) |
| University of Oklahoma (RS20071741-01) | 93 061 | 126,838 | 32,978 | 159,816 |
| University of Oklahoma (SUB RS20070789 (MH076972)) | 93 242 | 5,481 | 2,987 | 8,468 |
| University of Oregon (206661A) | 47 075 | 2,412 | 1,302 | 3,714 |
| University of Oregon (207051A) | 47 075 | 5,205 | 2,732 | 7,937 |
| University of Oregon (234151H, TASK 5.10-002) | 81 049 | 11,837 | 6,037 | 17,874 |
| University of Oregon (23415S) | 81 049 | 26,166 | 4,880 | 31,046 |
| University of Oregon (SA239810D) | 20 108 | 24,621 | 13,049 | 37,670 |
| University of Pennsylvania (01030203) | 47 070 | 8,002 | 4,121 | 12,123 |
| University of Pennsylvania (10004119) | 93 865 | 8,059 | 2,982 | 11,041 |
| University of Pennsylvania (20082824) | 93 865 | 25,999 | 14,040 | 40,039 |
| University of Pennsylvania (539991) | 99 RD | 89,520 | 22,019 | 111,539 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Pennsylvania (544252-B) | 12 431 | 58,919 | 30,466 | 89,385 |
| University of Pennsylvania (5-44252-C) | 12 431 | 130,954 | 43,236 | 174,190 |
| University of Pennsylvania (5-44362F) | 12 RD | (231) | 231 | - |
| University of Pennsylvania (544863) | 12 420 | 104,907 | 54,557 | 159,464 |
| University of Pennsylvania (545964) | 93 396 | 34,953 | 18,175 | 53,128 |
| University of Pennsylvania (546101) | 93 286 | 22,378 | 12,084 | 34,462 |
| University of Pennsylvania (5-46563-A) | 93 855 | 25,001 | 13,625 | 38,626 |
| University of Pennsylvania (548974) | 93 864 | 32,759 | 17,690 | 50,449 |
| University of Pennsylvania (549109) | 93 855 | 32,909 | 17,935 | 50,844 |
| University of Pennsylvania (549109-PALEFSKY) | 93 855 | 62,135 | - | 62,135 |
| University of Pennsylvania (549842) | 93 838 | 16,605 | 9,050 | 25,655 |
| University of Pennsylvania (549905) | 93 848 | 107,171 | 58,408 | 165,579 |
| University of Pennsylvania (550162) | 12 300 | 24,824 | 12,780 | 37,604 |
| University of Pennsylvania (550740) | 12 300 | 48,266 | 12,214 | 60,480 |
| University of Pennsylvania (550913) | 93 113 | 18,919 | 9,838 | 28,757 |
| University of Pennsylvania (551224) | 93 185 | 5,847 | 3,186 | 9,033 |
| University of Pennsylvania (59843) | 93 864 | (2,681) | (1,273) | (3,954) |
| University of Pennsylvania (59977) | 93 865 | (1,181) | (638) | (1,819) |
| University of Pennsylvania (84706) | 12 431 | (2,591) | (1,412) | (4,003) |
| University of Pennsylvania (AI06620) | 93 856 | 6,061 | 3,303 | 9,364 |
| University of Pennsylvania (SUB 548484 (AI072106)) | 93 855 | 10,127 | 5,519 | 15,646 |
| University of Pennsylvania (SUB 548874 (AI068730)) | 93 856 | 24,804 | 13,518 | 38,322 |
| University of Pennsylvania (SUB 549727(AI052845)) | 93 856 | 17,668 | 9,629 | 27,297 |
| University of Pennsylvania (SUB 550162 (N00014-08-1-0747)) | 12 300 | 64,814 | 23,944 | 88,758 |
| University of Pittsburgh (0001035) | 93 866 | 13,200 | 3,183 | 16,383 |
| University of Pittsburgh (0001933) | 93 838 | 66,403 | 35,858 | 102,261 |
| University of Pittsburgh (0001933/113402-8) | 93 838 | 36,863 | 7,329 | 44,192 |
| University of Pittsburgh (0002052) | 93 279 | 71,442 | 18,028 | 89,470 |
| University of Pittsburgh (0002441/403612) | 92 218 | 5,491 | 1,331 | 6,822 |
| University of Pittsburgh (0003124) | 93 866 | 10,174 | 5,290 | 15,464 |
| University of Pittsburgh (0003710) | 81 049 | 57,143 | 23,870 | 81,013 |
| University of Pittsburgh (0004757) | 93 361 | 10,006 | 2,602 | 12,608 |
| University of Pittsburgh (0005131) | 93 RD | 29,725 | 15,457 | 45,182 |
| University of Pittsburgh (0005237/109757-1) | 93 278 | 51,434 | 27,133 | 78,567 |
| University of Pittsburgh (0005432) | 93 866 | 84,553 | 20,802 | 105,355 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Pittsburgh (0006130) | 16 542 | 7,475 | 3,924 | 11,399 |
| University of Pittsburgh (0006700) | 93 855 | 18,442 | 9,682 | 28,124 |
| University of Pittsburgh (0009195/114123-1) | 93 866 | 25,964 | 14,021 | 39,985 |
| University of Pittsburgh (0009889) | 93 855 | 85,631 | 38,880 | 124,511 |
| University of Pittsburgh (0010745) | 12 420 | 268,796 | 118,907 | 387,703 |
| University of Pittsburgh (108216) | 93 837 | 6,078 | 3,086 | 9,164 |
| University of Pittsburgh (1094524) | 93 866 | 17,189 | 1,076 | 18,265 |
| University of Pittsburgh (109919) | 93 856 | 30,859 | 8,023 | 38,882 |
| University of Pittsburgh (1102151) | 93 242 | 3,904 | 3,565 | 7,469 |
| University of Pittsburgh (110657-3) | 93 279 | 24,997 | 13,123 | 38,120 |
| University of Pittsburgh (1109803) | 93 213 | 198,194 | 48,564 | 246,758 |
| University of Pittsburgh (1116611) | 93 242 | 4,403 | 2,289 | 6,692 |
| University of Pittsburgh (58121) | 93 273 | (2,537) | (1,383) | (3,920) |
| University of Pittsburgh (58560) | 93 397 | 2,460 | 1,320 | 3,780 |
| University of Pittsburgh (59913) | 99 RD | 31,501 | - | 31,501 |
| University of Pittsburgh (79179) | 93 866 | 865 | 467 | 1,332 |
| University of Pittsburgh (79852) | 93 242 | (15,214) | (3,956) | (19,170) |
| University of Pittsburgh (80412) | 93 855 | (1,503) | (811) | (2,314) |
| University of Pittsburgh (87147) | 93 866 | (337) | (175) | (512) |
| University of Pittsburgh (87213) | 93 866 | 336 | 175 | 511 |
| University of Pittsburgh (9000613) | 93 859 | 236 | 123 | 359 |
| University of Pittsburgh (9002952) | 93 242 | 3,285 | 1,741 | 5,026 |
| University of Pittsburgh (992033-UCSF) | 93 213 | 54,919 | 14,279 | 69,198 |
| University of Pittsburgh (OSR #03033736) | 93 846 | 6,894 | 1,740 | 8,634 |
| University of Pittsburgh (OSR #04035805) | 93 846 | 37,183 | 9,275 | 46,458 |
| University of Puerto Rico (Puerto Rico) (2007-001949) | 93 838 | 16,872 | 4,387 | 21,259 |
| University of Puerto Rico (Puerto Rico) (DEB-0620910) | 47 074 | 19,742 | 5,133 | 24,875 |
| University of Puerto Rico (Puerto Rico) (UCSF#A109681) | 93 RD | 22,631 | 5,458 | 28,089 |
| University of Queensland (Australia) (20073581) | 99 RD | 36,853 | 20,085 | 56,938 |
| University of Redlands (59798) | 12 RD | 96 | - | 96 |
| University of Rochester (02030929) | 93 853 | 59,729 | 30,760 | 90,489 |
| University of Rochester (02032034) | 93 837 | 9,511 | 4,898 | 14,409 |
| University of Rochester (412399-004G) | 47 074 | 19,764 | 9,684 | 29,448 |
| University of Rochester (412776-G) | 81 121 | 65,533 | 33,709 | 99,242 |
| University of Rochester (412988-G) | 93 867 | 67,408 | 35,735 | 103,143 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Rochester (4131111G) | 93 RD | 2,680 | - | 2,680 |
| University of Rochester (413231-G) | 93 853 | 10,586 | 2,752 | 13,338 |
| University of Rochester (413753-G) | 93 853 | 3,677 | 844 | 4,521 |
| University of Rochester (413860-G) | 93 226 | 17,050 | 4,433 | 21,483 |
| University of Rochester (413884-G) | 12 420 | 2,087 | 1,096 | 3,183 |
| University of Rochester (413963-G) | 93 389 | 3,462 | 1,869 | 5,331 |
| University of Rochester (414056G) | 93 837 | 75,351 | 39,182 | 114,533 |
| University of Rochester (414128-G) | 93 866 | 48,404 | 25,412 | 73,816 |
| University of Rochester (414157-G) | 93 172 | 105,819 | 50,236 | 156,055 |
| University of Rochester (414252-G) | 93 853 | 3,951 | 2,074 | 6,025 |
| University of Rochester (414409-G) | 93 172 | 2,957 | 1,597 | 4,554 |
| University of Rochester (414431-G) | 12 300 | 12,218 | 4,108 | 16,326 |
| University of Rochester (414466-G) | 93 867 | 36,337 | 19,259 | 55,596 |
| University of Rochester (414467-G) | 93 867 | 9,526 | 5,049 | 14,575 |
| University of Rochester (414575-G) | 93 361 | 33,601 | 8,736 | 42,337 |
| University of Rochester (414582-G) | 93 853 | 23,343 | 12,605 | 35,948 |
| University of Rochester (414607-G) | 84 305 | 20 | 10 | 30 |
| University of Rochester (414616G) | 93 867 | 9,647 | 5,017 | 14,664 |
| University of Rochester (414700-G) | 91 372 | 17,313 | - | 17,313 |
| University of Rochester (59788) | 12 300 | 51,990 | 24,962 | 76,952 |
| University of Rochester (59883) | 93 846 | (3,647) | (1,732) | (5,379) |
| University of Rochester (82516) | 93 172 | 12,065 | 3,137 | 15,202 |
| University of Rochester (82528) | 93 RD | 811 | - | 811 |
| University of Rochester (82571) | 99 RD | 6,162 | 1,602 | 7,764 |
| University of Rochester (83031) | 93 172 | 499 | 130 | 629 |
| University of Rochester (86389) | 93 853 | (2,058) | (10) | (2,068) |
| University of Rochester (99025008) | 93 853 | 831 | 216 | 1,047 |
| University of Rochester (OSR #03033640) | 93 389 | 18,528 | 9,542 | 28,070 |
| University of Rochester (PO# 414006-G - UR# 5-27939) | 12 300 | 61,832 | 33,698 | 95,530 |
| University of Rochester (SUB 412195-G (HD02449)) | 93 172 | 50 | 13 | 63 |
| University of Rochester (SUB 412757-G (DE-FC02-04ER5478)) | 81 RD | 226,033 | 53,569 | 279,602 |
| University of Rochester (SUB 414253-G (NS050095)) | 93 853 | 10,443 | 2,715 | 13,158 |
| University of Rochester (UR-36966) | 93 RD | 42,644 | 11,087 | 53,731 |
| University of South Carolina (07-1412) | 12 RD | 84,741 | 40,438 | 125,179 |
| University of South Carolina (07-1413) | 12 431 | 114,117 | 51,500 | 165,617 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of South Carolina (08-1529) | 93 RD | 15,940 | 5,154 | 21,094 |
| University of South Carolina (09-1582) | 47 049 | 45,640 | 10,001 | 55,641 |
| University of South Carolina (13010FA87) | 47 RD | 31,451 | 16,593 | 48,044 |
| University of South Florida (1213-1056-00-A) | 81 049 | 14,966 | 7,708 | 22,674 |
| University of South Florida (57512) | 84 116 | 1,464 | 117 | 1,581 |
| University of South Florida (6119-1144-00-K) | 93 RD | 350,947 | 115,732 | 466,679 |
| University of South Florida (6403-1017-03-A) | 93 242 | 43,239 | 20,831 | 64,070 |
| University of South Florida (UCSF#A111732) | 93 847 | 2,901 | 957 | 3,858 |
| University of South Florida (UCSF#A111845) | 93 847 | 696,071 | 371,218 | 1,067,289 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (022412) | 12 RD | (82,572) | (52,432) | (135,004) |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (087905) | 93 866 | 32,722 | 18,481 | 51,203 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (108019) | 47 070 | 35,570 | 14,041 | 49,611 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (109314) | 93 859 | 11,019 | 5,730 | 16,749 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (110516) | 93 242 | 96,415 | 25,068 | 121,483 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (111697) | 93 865 | 56,884 | 11,862 | 68,746 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (115668) | 47 070 | 61,051 | 27,933 | 88,984 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (117729) | 99 RD | 16,784 | 4,364 | 21,148 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (117977) | 99 RD | 246,851 | 125,057 | 371,908 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (119523) | 47 050 | 22,876 | 12,124 | 35,000 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (119525) | 47 505 | 22,975 | 11,832 | 34,807 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (119934) | 15 807 | 22,051 | 9,702 | 31,753 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (120044) | 47 050 | 136,361 | 54,629 | 190,990 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (123879) | 47 050 | 6,867 | 3,537 | 10,404 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (123966) | 93 242 | 468,882 | 243,819 | 712,701 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (124361) | 47 050 | 88,709 | 47,903 | 136,612 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (124505) | 93 837 | 7,299 | 3,942 | 11,241 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (124722) | 12 RD | 16,126 | 8,305 | 24,431 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (124866) | 66 509 | 17,799 | 9,611 | 27,410 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (127046) | 47 050 | 4,028 | 2,195 | 6,223 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (127561) | 15 807 | 23,615 | 12,398 | 36,013 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (127570) | 93 279 | 14,685 | 7,710 | 22,395 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (129569) | 47 050 | 20,874 | 11,272 | 32,146 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (129620) | 93 867 | 3,714 | 1,969 | 5,683 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (130303) | 15 807 | 31,076 | 13,399 | 44,475 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (131534) | 93 867 | 25,786 | 12,635 | 38,421 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (131536) | 93 279 | 8,939 | 4,827 | 13,766 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (132262) | 93 866 | 59,195 | 31,965 | 91,160 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (132581) | 81 RD | 23,326 | 12,246 | 35,572 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (132587) | 93 279 | 20,633 | 11,142 | 31,775 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (132599) | 93 279 | 11,923 | 6,438 | 18,361 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (31195) | 93 866 | (35,301) | (68,481) | (103,782) |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (57587) | 93 866 | 29,701 | 16,039 | 45,740 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (59377) | 93 242 | 1,249 | 593 | 1,842 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (59674) | 66 508 | 1,776 | 870 | 2,646 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (59846) | 93 866 | 8,345 | 2,170 | 10,515 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (78562) | 93 393 | (3,668) | (1,926) | (5,594) |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (78744) | 93 393 | 20,045 | 10,622 | 30,667 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (79017) | 93 395 | 494 | 148 | 642 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (79094) | 93 891 | (31,538) | (8,200) | (39,738) |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (79115) | 93 866 | 18,794 | 10,149 | 28,943 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (80400) | 93 894 | 9,536 | 5,150 | 14,686 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (82006) | 93 395 | 517 | 150 | 667 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (82157) | 93 866 | 10,883 | 2,829 | 13,712 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (82160) | 93 866 | (48,207) | (12,534) | (60,741) |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (83192) | 93 273 | 1,309 | 713 | 2,022 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (83268) | 93 394 | (2,947) | (1,518) | (4,465) |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (DBI0820846) | 47 074 | 46,192 | 22,870 | 69,062 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H29364) | 10 303 | 3,376 | 748 | 4,124 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H30615) | 93 113 | 49,910 | 14,587 | 64,497 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H31512-005) | 47 041 | 280,468 | 88,536 | 369,004 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H31517) | 66 509 | 24,292 | (1,365) | 22,927 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H31931) | 93 242 | 40,896 | 22,363 | 63,259 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H33121) | 93 242 | 8,880 | 4,783 | 13,663 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H36666) | 93 891 | 111,159 | 28,901 | 140,060 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H38035) | 93 891 | 4,269 | 1,110 | 5,379 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H38608) | 93 113 | 103,142 | 47,288 | 150,430 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H39719) | 93 394 | 17,140 | 7,466 | 24,606 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H39726) | 93 242 | 28,578 | 7,430 | 36,008 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H40036) | 93 393 | 20,729 | 11,297 | 32,026 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H40043) | 93 866 | 16,598 | 8,963 | 25,561 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H40302) | 93 866 | 82,921 | 16,598 | 99,519 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H40303) | 93 866 | 132,078 | 68,681 | 200,759 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H40304) | 93 866 | 4,816 | 2,504 | 7,320 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H40305) | 93 866 | 24,184 | 12,576 | 36,760 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H40341) | 93 866 | 77,700 | 20,202 | 97,902 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H40342) | 93 866 | 22,897 | 11,907 | 34,804 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (H52063) | 93 837 | 51,113 | 27,601 | 78,714 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (I08681) | 93 389 | 50,757 | 10,608 | 61,365 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (I08686) | 93 389 | 106,807 | 57,676 | 164,483 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (PO127751) | 84 RD | 111,760 | 45,115 | 156,875 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (PO131549) | 47 050 | 5,768 | 2,970 | 8,738 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SC-08-89) | 47 050 | 9,933 | 5,066 | 14,999 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SC-08-90) | 47 050 | 13,492 | 3,508 | 17,000 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SC-08-91) | 47 050 | 15,854 | 4,122 | 19,976 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SC-08-92) | 47 050 | 139 | 36 | 175 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SUB 122580(2007-ST-061-0000 | 97 061 | 34,488 | 16,069 | 50,557 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SUB 123954(OCE-0749313)) | 47 080 | 55,922 | 28,345 | 84,267 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SUB 127045 (EAR-0744493)) | 47 050 | 67,682 | 29,424 | 97,106 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SUB H38259(AA011999)) | 93 273 | 29,367 | 16,005 | 45,372 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SUB H40490 (AA011999)) | 93 273 | 1,490 | 812 | 2,302 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SUB H40491 (AA011999)) | 93 273 | 2,786 | 1,518 | 4,304 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SUB PO130867 (OCI-0636438)) | 47 080 | 36,695 | 10,404 | 47,099 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SUB USC# H38624 (28XS017)) | 93 RD | 11,040 | 6,012 | 17,052 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (SUB118318(EAR-0623704)) | 47 050 | 36,359 | 19,815 | 56,174 |
| University of Tennessee (31074) | 81 RD | 7,173 | 3,730 | 10,903 |
| University of Tennessee (4995-001.01) | 47 RD | 24,316 | 11,788 | 36,104 |
| University of Tennessee (86987) | 93 855 | 88,463 | 45,116 | 133,579 |
| University of Tennessee (OR10986-001.06) | 99 RD | 16,958 | 6,026 | 22,984 |
| University of Tennessee (UTN-37775) | 93 838 | 61,148 | 32,103 | 93,251 |
| University of Texas System (07-009) | 93 389 | 35,000 | 18,200 | 53,200 |
| University of Texas System (09027) | 66 509 | 14,890 | 7,743 | 22,633 |
| University of Texas System (82236) | 93 856 | (131) | (34) | (165) |
| University of Texas System (OSR #04036025) | 93 389 | 471 | 243 | 714 |
| University of Texas-Austin (82938) | 47 041 | 116,613 | 54,965 | 171,578 |
| University of Texas-Austin (SUB UTA07-078(EAR-0622374)) | 47 050 | 279,420 | 142,787 | 422,207 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Texas-Austin (SUB UTA08-021 (EF-0715370)) | 47 074 | 14,667 | 7,993 | 22,660 |
| University of Texas-Austin (UTA05-186) | 81 089 | 16,955 | 8,817 | 25,772 |
| University of Texas-Austin (UTA05-953) | 47 049 | 9,488 | 4,934 | 14,422 |
| University of Texas-Austin (UTA06487) | 47 RD | 35,271 | 15,663 | 50,934 |
| University of Texas-Austin (UTA07570) | 47 049 | 807 | 420 | 1,227 |
| University of Texas-Austin (UTA08-487) | 93 394 | 7,420 | 3,896 | 11,316 |
| University of Texas-Dallas (006038) | 93 848 | 5,395 | 2,805 | 8,200 |
| University of Texas-Dallas (31209) | 93 866 | (27,625) | (13,398) | (41,023) |
| University of Texas-Dallas (82378) | 93 848 | 2,664 | 1,265 | 3,929 |
| University of Texas-Dallas (83090) | 93 859 | 1,110 | 527 | 1,637 |
| University of Texas-Dallas (SUB GMO-010169 (N01-MH 90003)) | 93 242 | 1,394 | 362 | 1,756 |
| University of Texas-Dallas (SUB GMO-010170 (N01-MH90003)) | 93 242 | 113,258 | 28,415 | 141,673 |
| University of Texas-El Paso (26-1408-0161) | 93 855 | 45,261 | 24,441 | 69,702 |
| University of Texas-El Paso (2614088161) | 93 RD | 12,516 | 3,254 | 15,770 |
| University of Texas-El Paso (26-1408-89-61) | 93 588 | 20,265 | 10,943 | 31,208 |
| University of Texas-Houston (0005125B) | 93 865 | 5,968 | 1,552 | 7,520 |
| University of Texas-Houston (0005417-0005481A) | 93 846 | 4,818 | 2,626 | 7,444 |
| University of Texas-Houston (17506/98125311) | 93 RD | 23,617 | 6,140 | 29,757 |
| University of Texas-Houston (23163/98113682) | 93 393 | 13,030 | 7,036 | 20,066 |
| University of Texas-Houston (23640/98410438) | 93 393 | 47,717 | 26,006 | 73,723 |
| University of Texas-Houston (24302/98012682) | 93 394 | 28,874 | 15,159 | 44,033 |
| University of Texas-Houston (24348/98110314) | 93 399 | 28,326 | 15,296 | 43,622 |
| University of Texas-Houston (80440) | 93 395 | 2,707 | 1,462 | 4,169 |
| University of Texas-Houston (82473) | 93 RD | 6 | 3 | 9 |
| University of Texas-Houston (82648) | 93 397 | (12,691) | (5,901) | (18,592) |
| University of Texas-Houston (82742) | 93 853 | (3,749) | (1,931) | (5,680) |
| University of Texas-Houston (87914) | 93 389 | 14,382 | 7,479 | 21,861 |
| University of Texas-Houston (OSR #06042131) | 93 395 | 89,566 | 46,126 | 135,692 |
| University of Texas-Houston (UCSF#A105784) | 93 397 | 14,419 | 7,288 | 21,707 |
| University of Texas-Houston (UCSF#A106725) | 93 393 | 38,292 | 9,893 | 48,185 |
| University of Texas-San Antonio (124603/123590) | 93 399 | 173,966 | 89,592 | 263,558 |
| University of Texas-San Antonio (125970/125704) | 12 RD | 12,861 | 7,010 | 19,871 |
| University of Texas-San Antonio (126155-88) | 93 853 | 1,751 | 221 | 1,972 |
| University of Texas-San Antonio (126333125762) | 12 420 | 63,771 | 24,429 | 88,200 |
| University of Texas-San Antonio (126682/124473) | 93 110 | 16,334 | 1,634 | 17,968 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Texas-San Antonio (128041/127308) | 93 286 | 38,825 | 14,304 | 53,129 |
| University of Texas-San Antonio (59927) | 93 121 | 13,811 | 6,560 | 20,371 |
| University of Texas-San Antonio (82281) | 93 853 | (496) | (129) | (625) |
| University of Texas-San Antonio (84626) | 93 110 | 528 | - | 528 |
| University of Texas-San Antonio (SUB 126155-40 (NS038529)) | 93 853 | 30,060 | 7,501 | 37,561 |
| University of Texas-Southwestern Medical Center at Dallas (07001600) | 93 127 | 8,196 | 2,787 | 10,983 |
| University of Texas-Southwestern Medical Center at Dallas (10-2007) | 99 RD | 17,174 | 4,294 | 21,468 |
| University of Texas-Southwestern Medical Center at Dallas (57888) | 93 848 | (3,215) | (1,752) | (4,967) |
| University of Texas-Southwestern Medical Center at Dallas (59250) | 93 RD | (4,240) | (1,102) | (5,342) |
| University of Texas-Southwestern Medical Center at Dallas (82372) | 93 848 | (457) | (236) | (693) |
| University of Texas-Southwestern Medical Center at Dallas (83909) | 93 838 | 3,616 | 1,970 | 5,586 |
| University of Texas-Southwestern Medical Center at Dallas (84553) | 93 978 | 9,167 | 733 | 9,900 |
| University of Texas-Southwestern Medical Center at Dallas (87913) | 93 RD | 31,269 | 8,000 | 39,269 |
| University of Texas-Southwestern Medical Center at Dallas (GMO-010111) | 93 RD | 167,917 | 41,980 | 209,897 |
| University of Texas-Southwestern Medical Center at Dallas (GMO-500802) | 93 848 | 26,076 | 13,429 | 39,505 |
| University of Texas-Southwestern Medical Center at Dallas (GMO-501018) | 93 397 | 7,832 | 4,120 | 11,952 |
| University of Texas-Southwestern Medical Center at Dallas (GMO-901012) | 93 395 | 89,843 | 48,515 | 138,358 |
| University of Texas-Southwestern Medical Center at Dallas (SUB GMO-800738 (N01 MH 90003)) | 93 RD | 10,833 | 1,874 | 12,707 |
| University of The Pacific (NE83364701) | 66 951 | 11,744 | 6,107 | 17,851 |
| University of The Philippines (San Fernando, Pampanga, Philippines) (SR-2-20-007-TA-103) | 98 RD | 16,186 | 4,208 | 20,394 |
| University of The State of New York, The (USNY) (SUB RR-MOVEBANK-01(DBI-0756920) | 47 074 | 67,860 | 36,984 | 104,844 |
| University of Toronto (Canada) (59880) | 93 361 | (888) | (422) | (1,310) |
| University of Utah (018752) | 93 859 | 611 | 317 | 928 |
| University of Utah (07002789) | 93 RD | 179,038 | 84,554 | 263,592 |
| University of Utah (10004246) | 12 630 | 34,653 | 9,010 | 43,663 |
| University of Utah (10006456) | 93 286 | 32,981 | 7,180 | 40,161 |
| University of Utah (2405151) | 93 849 | (3,630) | (1,960) | (5,590) |
| University of Utah (2411092) | 12 RD | 75,763 | 39,397 | 115,160 |
| University of Utah (2506064S2) | 47 049 | 18,592 | 9,668 | 28,260 |
| University of Utah (82216) | 93 RD | 15,920 | 4,633 | 20,553 |
| University of Utah (82366) | 93 865 | (490) | (252) | (742) |
| University of Utah (83862) | 93 865 | (655) | (52) | (707) |
| University of Utah (84510) | 93 RD | (1,031) | (531) | (1,562) |
| University of Vermont (SUB 19108 (HL083926)) | 93 839 | 54,047 | 29,456 | 83,503 |
| University of Virginia (08000079) | 47 076 | 1,285 | 668 | 1,953 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Virginia (59812) | 12 300 | (3,393) | (1,598) | (4,991) |
| University of Virginia (78565) | 84 305 | 2,637 | 1,384 | 4,021 |
| University of Virginia (82464) | 93 853 | 4 | 1 | 5 |
| University of Virginia (82488) | 93 853 | 26,332 | 6,846 | 33,178 |
| University of Virginia (82512) | 93 862 | 364 | 198 | 562 |
| University of Virginia (86696) | 93 375 | 4,090 | 2,127 | 6,217 |
| University of Virginia (GC11146121243) | 93 375 | 52,009 | 27,045 | 79,054 |
| University of Virginia (GC11331-128822) | 93 865 | 172,325 | 43,189 | 215,514 |
| University of Virginia (GC11572.128524) | 93 859 | 2,466 | 1,294 | 3,760 |
| University of Virginia (GC11729.131129) | 93 859 | 99,150 | 40,835 | 139,985 |
| University of Virginia (GG10897-127400-001) | 43 RD | 91,167 | 23,703 | 114,870 |
| University of Virginia (GG10919-127974) | 12 300 | 106,585 | 53,841 | 160,426 |
| University of Virginia (GG10931-128298) | 12 300 | 323,332 | 127,977 | 451,309 |
| University of Virginia (GG10959128686) | 12 800 | 353,843 | 144,312 | 498,155 |
| University of Virginia (GG10959-128687) | 12 800 | 54,777 | 24,006 | 78,783 |
| University of Virginia (GM10087-125784) | 84 305 | 59,309 | 31,930 | 91,239 |
| University of Virginia (GM10087129159) | 84 305 | 19,138 | 9,952 | 29,090 |
| University of Virginia (OSR #05040571) | 99 RD | 93,223 | 33,004 | 126,227 |
| University of Virginia (SUB GC11136-128459 (NS037666)) | 93 853 | 67,001 | 17,420 | 84,421 |
| University of Virginia (SUB GC11287.124881 (GM064346)) | 93 862 | 91,462 | 49,847 | 141,309 |
| University of Virginia (SUB GC11572.128515 (GM064346)) | 93 862 | 33,857 | 18,452 | 52,309 |
| University of Virginia (SUB GC11572.128525 (GM064346)) | 93 862 | 11,571 | 6,306 | 17,877 |
| University of Virginia (SUB GC11729.131128 (GM064346)) | 93 862 | 132,902 | 72,431 | 205,333 |
| University of Washington (012990) | 93 837 | 200,224 | 46,928 | 247,152 |
| University of Washington (119665) | 93 866 | 16,831 | 8,758 | 25,589 |
| University of Washington (152544) | 47 041 | 30,285 | 14,619 | 44,904 |
| University of Washington (195741) | 43 RD | 89,841 | 21,082 | 110,923 |
| University of Washington (211140) | 12 300 | 173,214 | (93,185) | 80,029 |
| University of Washington (245931) | 12 300 | 218,779 | 105,995 | 324,774 |
| University of Washington (253682) | 93 866 | 59,832 | 15,413 | 75,245 |
| University of Washington (297713) | 47 070 | 99,012 | 26,779 | 125,791 |
| University of Washington (312408) | 93 145 | 38,718 | - | 38,718 |
| University of Washington (313081) | 99 RD | 92,287 | 49,835 | 142,122 |
| University of Washington (323100) | 99 RD | 8,765 | 4,777 | 13,542 |
| University of Washington (339599) | 47 RD | 22,718 | 11,813 | 34,531 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|-----------------------------------|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Washington (388264) | 93 848 | 129,119 | 33,571 | 162,690 |
| University of Washington (427385) | 47 070 | 43,359 | 13,322 | 56,681 |
| University of Washington (431055) | 47 049 | 142,822 | 58,672 | 201,494 |
| University of Washington (439659) | 93 848 | 86,900 | 22,594 | 109,494 |
| University of Washington (448422) | 93 837 | 221,258 | 120,586 | 341,844 |
| University of Washington (448569) | 93 RD | 45,143 | 24,603 | 69,746 |
| University of Washington (449637) | 43 RD | 7,232 | 2,097 | 9,329 |
| University of Washington (454159) | 93 865 | 21,988 | 11,874 | 33,862 |
| University of Washington (464679) | 10 200 | 9,151 | - | 9,151 |
| University of Washington (465784) | 93 866 | 47,409 | 24,653 | 72,062 |
| University of Washington (491963) | 93 393 | 4,336 | 2,341 | 6,677 |
| University of Washington (508414) | 43 RD | 119,902 | 65,937 | 185,839 |
| University of Washington (521221) | 93 143 | 30,000 | 15,000 | 45,000 |
| University of Washington (522188) | 93 393 | 11,417 | 6,051 | 17,468 |
| University of Washington (541997) | 93 864 | 85,499 | 44,032 | 129,531 |
| University of Washington (544968) | 93 866 | 20,984 | 11,016 | 32,000 |
| University of Washington (544973) | 93 866 | 21,851 | 5,631 | 27,482 |
| University of Washington (554336) | 12 910 | 155,537 | 68,456 | 223,993 |
| University of Washington (556159) | 93 837 | 113,860 | 62,054 | 175,914 |
| University of Washington (556179) | 93 837 | 138,612 | 75,544 | 214,156 |
| University of Washington (566714) | 99 RD | 59,514 | 30,650 | 90,164 |
| University of Washington (567070) | 93 837 | 5,949 | 1,547 | 7,496 |
| University of Washington (577120) | 93 865 | 345,493 | 161,789 | 507,282 |
| University of Washington (58076) | 12 910 | (3,620) | (2,107) | (5,727) |
| University of Washington (58592) | 93 864 | (7,450) | (3,837) | (11,287) |
| University of Washington (586584) | 93 110 | 7,881 | 4,255 | 12,136 |
| University of Washington (58704) | 93 848 | 7,644 | 1,988 | 9,632 |
| University of Washington (58762) | 66 509 | 1,493 | 388 | 1,881 |
| University of Washington (594722) | 93 RD | 10,015 | 5,208 | 15,223 |
| University of Washington (59744) | 93 865 | 7,948 | 4,133 | 12,081 |
| University of Washington (59845) | 47 049 | 140,579 | 60,668 | 201,247 |
| University of Washington (59854) | 93 929 | (1,017) | (519) | (1,536) |
| University of Washington (59884) | 99 RD | (10,788) | (1,618) | (12,406) |
| University of Washington (641032) | 93 855 | 2,794 | 726 | 3,520 |
| University of Washington (759405) | 47 050 | 1,068 | 517 | 1,585 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Washington (79079) | 93 866 | 1,996 | 451 | 2,447 |
| University of Washington (79082) | 93 866 | (339) | (105) | (444) |
| University of Washington (80241) | 99 RD | (175) | (46) | (221) |
| University of Washington (82472) | 93 RD | 1,573 | 747 | 2,320 |
| University of Washington (82480) | 93 839 | (34,836) | (9,057) | (43,893) |
| University of Washington (82570) | 93 839 | 6,438 | 1,674 | 8,112 |
| University of Washington (83000) | 93 866 | (421) | (163) | (584) |
| University of Washington (83043) | 93 853 | 158,657 | 41,251 | 199,908 |
| University of Washington (83160) | 93 849 | 6,881 | 3,750 | 10,631 |
| University of Washington (83354) | 93 866 | (10,026) | (2,607) | (12,633) |
| University of Washington (892006) | 47 050 | 11,269 | 5,296 | 16,565 |
| University of Washington (913639) | 10 200 | 1,656 | - | 1,656 |
| University of Washington (AI078229572298) | 93 855 | 45,289 | 23,098 | 68,387 |
| University of Washington (MH069259) | 93 242 | 51,607 | 28,125 | 79,732 |
| University of Washington (NS048595) | 93 853 | 466 | 121 | 587 |
| University of Washington (OSR #01029674) | 93 856 | 51,134 | 1,123 | 52,257 |
| University of Washington (OSR #04036764) | 93 865 | 2,530 | 1,303 | 3,833 |
| University of Washington (OSR #04037194) | 93 865 | (522) | (269) | (791) |
| University of Washington (OSR #04037680) | 93 837 | 6,511 | 3,353 | 9,864 |
| University of Washington (SA08000279) | 43 RD | 153,907 | 56,009 | 209,916 |
| University of Washington (SUB 460266 (EY012925)) | 93 867 | 70,084 | 13,111 | 83,195 |
| University of Washington (SUB 529985 (NS058302)) | 93 853 | 20,881 | 11,380 | 32,261 |
| University of Washington (SUB 544978 (AG016976)) | 93 866 | 32,376 | 8,418 | 40,794 |
| University of Washington (SUB 585452 (DK082325)) | 93 849 | 16,910 | 9,216 | 26,126 |
| University of Washington (SUB WU-09-262 (CA113793)) | 93 395 | 65,743 | 35,830 | 101,573 |
| University of Wisconsin (015K536) | 93 859 | 43,473 | 10,886 | 54,359 |
| University of Wisconsin (034K801) | 10 672 | 9,071 | 907 | 9,978 |
| University of Wisconsin (042K372) | 98 RD | 39,038 | 8,309 | 47,347 |
| University of Wisconsin (04-8125) | 93 RD | 1,889 | 992 | 2,881 |
| University of Wisconsin (076K266) | 93 865 | 143,488 | 73,095 | 216,583 |
| University of Wisconsin (091K044) | 93 838 | 38,957 | 21,232 | 60,189 |
| University of Wisconsin (124K692) | 12 800 | 2,220 | 1,177 | 3,397 |
| University of Wisconsin (250F305) | 47 074 | 159,246 | 74,404 | 233,650 |
| University of Wisconsin (406F766) | 47 RD | 267,286 | 33,531 | 300,817 |
| University of Wisconsin (407F772) | 47 076 | 153,503 | - | 153,503 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Wisconsin (57074) | 93 866 | 56 | 15 | 71 |
| University of Wisconsin (57986) | 93 866 | 47,414 | 12,328 | 59,742 |
| University of Wisconsin (646G192) | 47 RD | 22,627 | 11,879 | 34,506 |
| University of Wisconsin (79128) | 93 866 | (23,634) | (6,145) | (29,779) |
| University of Wisconsin (80114) | 93 866 | (63,124) | (17,138) | (80,262) |
| University of Wisconsin (82028) | 93 397 | 5,285 | 2,510 | 7,795 |
| University of Wisconsin (83029) | 93 866 | 143,723 | 37,368 | 181,091 |
| University of Wisconsin (837F745) | 93 393 | 86,189 | 21,176 | 107,365 |
| University of Wisconsin (87255) | 10 RD | 9,091 | 909 | 10,000 |
| University of Wisconsin (A867090) | 12 RD | 178,191 | 90,004 | 268,195 |
| University of Wisconsin (G068132) | 47 RD | 420,313 | 156,325 | 576,638 |
| University of Wisconsin (K087584) | 47 074 | 2,538 | 661 | 3,199 |
| University of Wisconsin (P215773) | 93 866 | 222,967 | 53,964 | 276,931 |
| University of Wisconsin (P686151) | 10 001 | 99,631 | 25,904 | 125,535 |
| University of Wisconsin (P699075) | 99 RD | 91,597 | (8,277) | 83,320 |
| University of Wisconsin (R170111) | 12 432 | 39,199 | 21,364 | 60,563 |
| University of Wisconsin (SUB 647F290 (DE-FC02-06ER41436) | 81 049 | 188,570 | 100,397 | 288,967 |
| University of Wisconsin (X166961) | 47 041 | 54,455 | 22,923 | 77,378 |
| University of Wisconsin (X279425) | 93 866 | 7,303 | 1,899 | 9,202 |
| University of Wisconsin (X425250) | 93 865 | 1,352 | 710 | 2,062 |
| University of Wisconsin (X440764) | 47 078 | 180,949 | 52,475 | 233,424 |
| University of Wyoming (07004830) | 47 074 | 42,618 | 22,161 | 64,779 |
| University of Wyoming (NAZU48565UCSC-001) | 81 049 | 165 | 84 | 249 |
| UNLV Research Foundation (University of Nevada, Las Vegas) (RF-07-SHGR-011) | 81 087 | 3,718 | 1,747 | 5,465 |
| Urban Education Partnership (frmly Los Angeles Education Partnership) (57898) | 84 342 | (754) | (60) | (814) |
| Urban Institute (78610) | 93 RD | 5 | 3 | 8 |
| Urs Corporation (incl Urs Greiner Woodward Clyde & Dames and Moore) (PA07S0002) | 81 049 | 12,811 | 6,790 | 19,601 |
| Us Immunodeficiency Network (usidnet) (PRIME:N01-A1-30070) | 93 RD | 61,766 | 33,662 | 95,428 |
| Usda Economic Research Service (981834-23) | 10 250 | 17,806 | 9,704 | 27,510 |
| Utah State University (incl Western Sare) (04-1167001) | 47 070 | 10,932 | 8,191 | 19,123 |
| Utah State University (incl Western Sare) (041535042) | 10 200 | 4,555 | - | 4,555 |
| Utah State University (incl Western Sare) (041535054) | 10 200 | 2,403 | - | 2,403 |
| Utah State University (incl Western Sare) (041535055) | 10 200 | 5,168 | - | 5,168 |
| Utah State University (incl Western Sare) (061553005) | 10 200 | 14,973 | - | 14,973 |
| Utah State University (incl Western Sare) (061553017) | 10 200 | 13,735 | - | 13,735 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Utah State University (incl Western Sare) (061553037) | 10 200 | 16,584 | - | 16,584 |
| Utah State University (incl Western Sare) (080019028) | 10 215 | 8,337 | - | 8,337 |
| Utah State University (incl Western Sare) (080019043) | 10 215 | 2,487 | - | 2,487 |
| Utah State University (incl Western Sare) (080861006) | 10 215 | 13,693 | - | 13,693 |
| Utah State University (incl Western Sare) (59756) | 10 200 | 3,075 | - | 3,075 |
| Utah State University (incl Western Sare) (59759) | 10 200 | 722 | - | 722 |
| Utah State University (incl Western Sare) (7050401) | 47 041 | 49,021 | 20,568 | 69,589 |
| Utah State University (incl Western Sare) (86885) | 10 200 | 10,708 | - | 10,708 |
| Utah State University (incl Western Sare) (SW06091) | 10 200 | 41,226 | - | 41,226 |
| Valador, Inc. (UCSC AMES-01-MOD 3) | 43 001 | 71,646 | 18,628 | 90,274 |
| Vanderbilt University (Tennessee) (17290-S1) | 93 865 | 21,884 | 11,489 | 33,373 |
| Vanderbilt University (Tennessee) (18727-S2) | 12 RD | 265,596 | 107,449 | 373,045 |
| Vanderbilt University (Tennessee) (18736) | 84 RD | 86,182 | 19,147 | 105,329 |
| Vanderbilt University (Tennessee) (18796-S2) | 12 RD | 127,529 | 48,739 | 176,268 |
| Vanderbilt University (Tennessee) (18988-S1) | 47 076 | 85,388 | 14,713 | 100,101 |
| Vanderbilt University (Tennessee) (20670-S1) | 93 RD | 3,671 | 834 | 4,505 |
| Vanderbilt University (Tennessee) (58523) | 93 394 | (49,256) | (26,632) | (75,888) |
| Vanderbilt University (Tennessee) (59172) | 93 394 | 47,029 | 25,396 | 72,425 |
| Vanderbilt University (Tennessee) (71025) | 93 RD | 61,653 | 33,601 | 95,254 |
| Vanderbilt University (Tennessee) (82646) | 93 847 | 267 | 135 | 402 |
| Vanderbilt University (Tennessee) (SUB NONE (DC008640)) | 93 173 | 4,429 | 1,152 | 5,581 |
| Vanderbilt University (Tennessee) (VUMC 33525) | 93 866 | 9,612 | 2,499 | 12,111 |
| Vanderbilt University (Tennessee) (VUMC31982-R) | 93 394 | 102,562 | 55,384 | 157,946 |
| Vanderbilt University (Tennessee) (VUMC34120) | 93 838 | 125,869 | 67,388 | 193,257 |
| Vanderbilt University (Tennessee) (VUMC35084-R) | 93 847 | 98,029 | 52,936 | 150,965 |
| Venture Gain LLC (aka Vg Bioinformatics) (VG-UCB-0001) | 47 041 | 11,834 | 6,272 | 18,106 |
| Venture Gain LLC (aka Vg Bioinformatics) (VG-UCB-0002) | 12 420 | 31,386 | 6,873 | 38,259 |
| Veris Technologies; Advance (88082) | 10 212 | 246 | 128 | 374 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (07223) | 93 866 | 43,713 | 23,824 | 67,537 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (07442-PO3285155) | 12 420 | 54,152 | 22,194 | 76,346 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (79327) | 93 242 | (1,406) | (225) | (1,631) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (79329) | 93 242 | (7,584) | (1,213) | (8,797) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (79485) | 99 RD | (113) | (59) | (172) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (81839) | 99 RD | (3,485) | (558) | (4,043) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (81866) | 99 RD | (8) | (3) | (11) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82121) | 99 RD | (1,325) | (212) | (1,537) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82123) | 93 242 | (11,426) | (1,828) | (13,254) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82163) | 93 846 | 17 | 3 | 20 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82164) | 93 846 | (50) | (8) | (58) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82165) | 93 395 | (2,199) | (352) | (2,551) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82192) | 99 RD | (27) | (4) | (31) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82193) | 99 RD | 202 | 32 | 234 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82658) | 93 242 | (165,126) | (26,420) | (191,546) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82668) | 99 RD | (52) | - | (52) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82670) | 93 849 | 6,081 | 973 | 7,054 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82671) | 93 242 | (28,977) | (4,636) | (33,613) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82672) | 93 242 | 2,746 | 439 | 3,185 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (82686) | 93 242 | 2,864 | 458 | 3,322 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (83400) | 93 855 | (726) | (116) | (842) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (83413) | 93 389 | 3,327 | 532 | 3,859 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (83421) | 93 855 | (838) | (134) | (972) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (83434) | 93 856 | (1,944) | (311) | (2,255) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (83454) | 93 242 | 12,360 | 1,976 | 14,336 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (83468) | 93 242 | 73,349 | 11,736 | 85,085 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (83487) | 93 849 | (4,951) | (792) | (5,743) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (83504) | 93 847 | 4,744 | 759 | 5,503 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (AR052728) | 93 846 | (39,149) | (20,028) | (59,177) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (SUB 272546(HL066941)) | 93 839 | 85,062 | 46,359 | 131,421 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (SUB 272547(HL066941)) | 93 839 | 142,547 | 77,688 | 220,235 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (SUB NONE(HL069941)) | 93 839 | 289,638 | 157,800 | 447,438 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA FRANK 287928 (DA021182)) | 93 279 | 8,968 | 1,435 | 10,403 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA AGHA 286282 (HS017246)) | 93 226 | 11,334 | 1,813 | 13,147 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ALDERN 282929 (AI071803)) | 93 855 | 27,189 | 4,350 | 31,539 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ALDERN 285262 (AI074057)) | 93 855 | 16,343 | 2,615 | 18,958 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ANCOLI-ISRAEL 286312 (AG02)) | 93 860 | 3,690 | 590 | 4,280 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ARCHER 286299 (DA010475)) | 93 279 | 11,694 | 1,871 | 13,565 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BEADLE 282930 (AI071803)) | 93 856 | 31,889 | 5,102 | 36,991 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BEADLE 285261 (AI074057)) | 93 855 | 51,407 | 8,225 | 59,632 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BHARGAVA 285117 (DK060733)) | 93 848 | 31,394 | 5,023 | 36,417 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BLAIR 275652 (AI070535)) | 93 856 | 27,141 | 4,343 | 31,484 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BLAIR 283696 (AI068086-04)) | 93 855 | 22,344 | 3,575 | 25,919 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BLANTZ 282564 (5 R01 DK028)) | 93 849 | 63,650 | 10,184 | 73,834 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BOND 287562 (AG012674)) | 93 866 | 19,912 | 3,186 | 23,098 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BROWN 280162 (RR021992)) | 93 389 | 19,068 | 3,051 | 22,119 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BROWN 287558 (AG012674)) | 93 866 | 6,382 | 1,020 | 7,402 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BRZOZOWSKA-PRECHTL 286311) | 93 853 | 22,857 | 3,657 | 26,514 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA CESARIO 287009 (AA005526)) | 93 273 | 6,783 | 1,085 | 7,868 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA CHOJKIER (DK046971)) | 93 848 | 71,450 | 11,432 | 82,882 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA CHOJKIER 284769 (DK038652-)) | 93 848 | 60,923 | 9,748 | 70,671 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA CHOJKIER 287281 (DK046971)) | 47 075 | 9,931 | 1,589 | 11,520 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA CIARALDI 287927 (MH082805)) | 93 242 | 7,621 | 1,219 | 8,840 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DALE 284606 (RR021992-02)) | 93 389 | 10,362 | 1,658 | 12,020 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DALE 287559 (AG012674)) | 93 866 | 8,400 | 1,344 | 9,744 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DANKO 280160 (5 U10 AA0084)) | 93 270 | 41,005 | 6,561 | 47,566 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DELIS 287560 (AG012674)) | 93 866 | 6,593 | 1,055 | 7,648 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DISAHROON 285888 (AI036214)) | 93 856 | 44,397 | 7,103 | 51,500 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DONOHUE 283094 (MH080151)) | 93 242 | (200) | (32) | (232) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DRUMMOND 286239 (0729021)) | 47 075 | 33,880 | 5,421 | 39,301 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA EVANS 285111 (AG028827)) | 93 866 | 17,157 | 2,745 | 19,902 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA FERAMISCO 287958 (HL088426)) | 93 837 | 7,374 | 1,180 | 8,554 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA FITZGERALD 283642 (CA11833)) | 93 394 | 21,108 | 3,377 | 24,485 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA FRANK 286496 (AA013419)) | 99 RD | 6,158 | 985 | 7,143 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GABBAI 282567(DK028602)) | 93 849 | 11,417 | 1,827 | 13,244 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GALLO 283641(AR052728-03)) | 93 846 | 8,133 | 1,301 | 9,434 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GOLSHAN 284064 (MH071410-0)) | 99 RD | 4,946 | 791 | 5,737 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GOLSHAN 285057 (MH071539)) | 93 242 | 25,273 | 4,044 | 29,317 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GOLSHAN 285106 (MH071536)) | 93 242 | 55,203 | 8,833 | 64,036 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GOLSHAN 285113 (AG028827)) | 93 866 | 11,635 | 1,862 | 13,497 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GOLSHAN 287431 (MH062849)) | 93 848 | 17,476 | 2,796 | 20,272 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GOLSHAN 288637 (MH064722)) | 99 RD | 8,203 | 1,327 | 9,530 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GRANHOLM 284065 (MH071410-)) | 93 240 | 31,982 | 5,117 | 37,099 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GREGG 284060 (AA005526-25)) | 93 273 | 13,311 | 2,130 | 15,441 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GROESSL 287106 (HS017246)) | 93 226 | 2,130 | 341 | 2,471 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GUATELLI 282563 (AI076040-)) | 93 856 | 29,742 | 4,759 | 34,501 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GUATELLI 283206 (AI038201-)) | 93 856 | 71,753 | 11,480 | 83,233 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HAMILTON 289407 (HS017246)) | 93 866 | 1,099 | 176 | 1,275 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HAMMOND 276327 (5 R01 HL08)) | 93 837 | 42,739 | 6,838 | 49,577 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HAMMOND 276328 (2 P01 HL0)) | 93 837 | 65,215 | 10,434 | 75,649 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HAMMOND 287384 (HL088426)) | 93 837 | 28,870 | 4,619 | 33,489 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HENRY 284525 (MH71536)) | 93 242 | 8,742 | 1,398 | 10,140 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HONG 276343 (AT001910)) | 93 213 | 5,861 | 938 | 6,799 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HOSTETLER (AI074057)) | 93 855 | 48,359 | 7,737 | 56,096 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HOSTETLER 282931 (AI071803)) | 93 855 | 39,865 | 6,378 | 46,243 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HOSTETLER 286086 (CA105039)) | 99 RD | 68,498 | 10,960 | 79,458 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HUANG 283618 (HL066941-07)) | 93 837 | 57,781 | 9,245 | 67,026 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HUANG 288472 (AI067395)) | 93 855 | 18,080 | 2,893 | 20,973 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA INSEL 289227 (HL088390)) | 93 839 | 1,318 | 211 | 1,529 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA JAK 2080159 (NIRG-07-59114)) | 99 RD | 13,733 | 2,197 | 15,930 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA JAK 287561 (AG012674)) | 93 866 | 2,654 | 425 | 3,079 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA JESTE 284062 (MH071410-04)) | 99 RD | 10,165 | 1,626 | 11,791 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA JESTE 285105 (MH071536)) | 93 242 | 53,070 | 8,491 | 61,561 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA JESTE 287557 (MH064722)) | 93 242 | 9,180 | 1,469 | 10,649 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA JIANG 285116 (DK60733)) | 93 848 | 45,105 | 7,217 | 52,322 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA JIN 285107 (MH071536)) | 93 242 | 174,642 | 27,942 | 202,584 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA KELSOE 287555 (MH064722)) | 93 242 | 10,690 | 1,710 | 12,400 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA KHANG 283207 (DK056248)) | 99 RD | 26,040 | 4,166 | 30,206 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA KHANG 283708 (DK028602)) | 93 849 | 29,347 | 4,696 | 34,043 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA KORNBLUTH 286015 (AI036214)) | 93 856 | 6,073 | 972 | 7,045 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA KORNBLUTH 287530 (AI073240)) | 93 856 | 8,351 | 1,340 | 9,691 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA KORSAPATI 284762 (DK06073)) | 93 849 | 4,658 | 745 | 5,403 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LANG 288814 (DOD/BVARI)) | 99 RD | 11,731 | 1,877 | 13,608 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LARSON 284222 (HD047400)) | 93 865 | 13,082 | 2,093 | 15,175 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LEW 276326 (HL081741)) | 93 837 | 12,038 | 1,926 | 13,964 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LIN 288051 (MH080151)) | 93 279 | 9,758 | 1,561 | 11,319 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LINDAMER 285112 (AG028827)) | 93 866 | 25,032 | 4,005 | 29,037 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LINDAMER 285168 (MH071539)) | 93 242 | 68,318 | 10,931 | 79,249 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LIU 284580 (AA015760)) | 93 273 | 11,115 | 1,778 | 12,893 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LIU 286064 (RR021992)) | 93 389 | 21,722 | 3,476 | 25,198 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LOONEY 285885 (AI036214)) | 93 856 | 4,573 | 732 | 5,305 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LOREDO 282847 (HS017246-01)) | 93 866 | 9,863 | 1,578 | 11,441 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LYDEN 284583 (MH071536)) | 93 242 | 8,805 | 1,409 | 10,214 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA MAHATA 286335 (HL058120-08)) | 99 RD | 35,096 | 5,615 | 40,711 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA MAISEL 287388 (HL066941)) | 93 837 | 17,893 | 2,863 | 20,756 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA MCQUAID 284063 (MH071410)) | 99 RD | 6,626 | 1,060 | 7,686 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA MITTAL 284783 (DK060733-06)) | 99 RD | 58,620 | 9,379 | 67,999 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA MIYANOHARA 287975 (HL08842)) | 93 837 | 8,386 | 1,342 | 9,728 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA MUDALIAR 284524 (MH071536)) | 93 242 | 11,252 | 1,800 | 13,052 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA MUDALIAR 286088 (MH082805)) | 93 242 | 6,642 | 1,063 | 7,705 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NEISMAN 285145 (NS047570)) | 93 867 | 12,889 | 2,062 | 14,951 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NGUYEN 282354 (MH080151-01)) | 93 242 | 12,968 | 2,075 | 15,043 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NIESMAN 285999 (HL081400)) | 93 839 | 11,188 | 1,790 | 12,978 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NOTESTINE 280165 (RR021992)) | 93 389 | 7,081 | 1,133 | 8,214 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NOTESTINE 280166 (RR021992)) | 99 RD | 9,113 | 1,458 | 10,571 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NOTESTINE 286314 (AG024506)) | 93 866 | 2,198 | 352 | 2,550 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA OZYURT 280167 (RR021992-03)) | 93 389 | 40,626 | 6,500 | 47,126 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PALMER 284873 (AG028827)) | 93 866 | 52,576 | 8,416 | 60,992 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PALMER 285108 (MH071536)) | 93 860 | 12,857 | 2,057 | 14,914 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PANNEERSELVAM 286000 (HL08)) | 93 837 | 20,774 | 3,324 | 24,098 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PATEL 283619 (HL081741)) | 93 839 | 34,075 | 5,452 | 39,527 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PATEL 283620 (HL066941-07)) | 93 837 | (18,747) | (2,999) | (21,746) |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PATEL 286001 (HL081400)) | 93 839 | 79,235 | 12,676 | 91,911 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PATEL 287409 (HL088426)) | 93 837 | 10,577 | 1,692 | 12,269 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PAULUS 284579 (AA015760)) | 93 273 | 8,536 | 1,366 | 9,902 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PAULUS 289372 (DA021182)) | 93 279 | 615 | 98 | 713 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PENNY 287389 (HL066941)) | 93 839 | 11,987 | 1,918 | 13,905 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PERKINS 285033 (HL88390)) | 93 837 | 5,763 | 922 | 6,685 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PLATOSHYN 287113 (HL046345)) | 93 839 | 6,913 | 1,106 | 8,019 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA REDWINE (AT001910)) | 93 213 | 8,405 | 1,345 | 9,750 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RESOVSKY 286313 (AG24506)) | 93 866 | 26,468 | 4,235 | 30,703 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RIOS 284072 (HS017246-01)) | 93 866 | 78,086 | 12,494 | 90,580 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROSS 285784 (HL046345-17)) | 93 839 | 38,269 | 6,123 | 44,392 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROSS 285787 (HD88390)) | 93 837 | 34,212 | 5,474 | 39,686 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROTH 283616 (HL081741-03)) | 99 RD | 21,692 | 3,470 | 25,162 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROTH 283617 (HL066941-07)) | 93 837 | 30,872 | 4,940 | 35,812 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROTH 286002 (HL081400)) | 93 839 | 88,365 | 14,139 | 102,504 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROTH 287652 (HL088426)) | 93 837 | 7,494 | 1,199 | 8,693 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROTH 287672 (HL088390)) | 93 837 | 17,677 | 2,828 | 20,505 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SALMON 287578 (AG012674)) | 93 866 | 12,956 | 2,073 | 15,029 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SATRIANO 282559 (DK070667)) | 93 849 | 23,748 | 3,800 | 27,548 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SCHUCKIT 280161(5 U10 AA00)) | 93 270 | 21,418 | 3,427 | 24,845 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SCHUCKIT 284059 (AA005526-)) | 93 273 | 34,397 | 5,518 | 39,915 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SCHUCKIT 284578 (AA015760)) | 93 273 | 10,961 | 1,754 | 12,715 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SCHULTEIS 286300 (DA010475)) | 99 RD | 51,640 | 8,262 | 59,902 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SILVERMAN 248808 (AR052367)) | 93 848 | 9,586 | 1,534 | 11,120 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SIMMONS 284576 (AA015760)) | 93 273 | 4,408 | 705 | 5,113 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SMITH 274848 (SUNY)) | 99 RD | 34,703 | 5,552 | 40,255 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SMITH 284061(AA005526-25)) | 93 273 | 40,865 | 6,538 | 47,403 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SMITH 285081 (AI077304)) | 93 855 | 31,892 | 5,103 | 36,995 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SMITH 286610 (AI036214-14)) | 93 856 | 13,235 | 2,118 | 15,353 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPELLMAN 276325 (5 R01 HL0)) | 93 837 | 11,980 | 1,917 | 13,897 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPELLMAN 287385 (HL088426)) | 93 837 | 48,938 | 7,830 | 56,768 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPINA 285887 (AI036214)) | 99 RD | 32,045 | 5,127 | 37,172 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPINA 287429 (AMFAR 107177)) | 93 837 | 24,119 | 3,859 | 27,978 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPINA 287430 (07456)) | 93 837 | 6,817 | 1,091 | 7,908 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA STEPNOWSKY 283627 (HS01724)) | 93 866 | 68,089 | 10,894 | 78,983 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA STEPNOWSKY 287601 (HS01747)) | 93 866 | 24,887 | 3,982 | 28,869 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TAPERT 284058 (AA005526)) | 99 RD | 3,322 | 532 | 3,854 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TAPERT 284577 (DA021182)) | 93 279 | 8,773 | 1,404 | 10,177 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TAPERT 284581 (AA015760)) | 93 273 | 7,448 | 1,192 | 8,640 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TERKELTAUB 280177 (AR04936)) | 93 846 | 15,061 | 2,410 | 17,471 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TERKELTAUB 285098 (AR0541)) | 93 846 | 39,128 | 6,260 | 45,388 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TERKELTAUB 285099 (HL08725)) | 93 837 | 74,130 | 11,861 | 85,991 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA THOMAS 282356 (1-7308-04-1)) | 99 RD | 18,716 | 2,995 | 21,711 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA THOMSON 282566 (5 R01 DK28)) | 93 849 | 55,877 | 8,940 | 64,817 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA THOR 285034 (HL88390)) | 93 837 | 6,789 | 1,086 | 7,875 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TSUTSUMI 285998 (HL081400)) | 93 839 | 3,809 | 610 | 4,419 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA VALIAEVA 284605 (AI071803-)) | 93 856 | 23,491 | 3,759 | 27,250 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA VALLON 282565 (5 R01 DK028)) | 93 849 | 36,701 | 5,872 | 42,573 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA VALLON 283208 (DK056248-09)) | 99 RD | 58,683 | 9,389 | 68,072 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WANG-RODRIQUEZ 284057 (HL8)) | 93 839 | (4,712) | (754) | (5,466) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WEBSTER 285609 (HD047400)) | 99 RD | 25,499 | 4,080 | 29,579 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WETHERELL 284958 (MH080151)) | 93 242 | 35,600 | 5,696 | 41,296 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WETHERELL 286317 (0729021)) | 47 075 | 32,715 | 5,234 | 37,949 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WITKE 285886 (AI036214)) | 93 856 | 77,129 | 12,341 | 89,470 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WOELK 285889 (AI036214)) | 93 856 | 9,828 | 1,573 | 11,401 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA YUAN 287112 (HL046345)) | 93 839 | 9,598 | 1,536 | 11,134 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ZISOOK 282355 (MH080151-01)) | 93 242 | 3,104 | 497 | 3,601 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ZURAW 276334 (AI070535)) | 93 855 | 60,019 | 9,603 | 69,622 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ZURAW 276335 (C1000095)) | 99 RD | 9,630 | 1,541 | 11,171 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ZURAW 283205 (AI068086)) | 93 856 | 79,001 | 12,640 | 91,641 |
| Vibration Impact & Pressure Sensors (VIP-44257) | 12 300 | 10,768 | 3,778 | 14,546 |
| Virginia Commonwealth University (PT095050-SC100043) | 47 070 | 30,827 | 16,646 | 47,473 |
| Virginia Commonwealth University (PT096223-SC100223) | 93 396 | 8,500 | 4,590 | 13,090 |
| Virginia Commonwealth University (PT096479-SC100535) | 93 848 | 4,206 | 2,272 | 6,478 |
| Virginia Commonwealth University (PT096479-SC101033) | 93 848 | 12,773 | 6,961 | 19,734 |
| Virginia Commonwealth University (PT096510-SC100655) | 93 837 | 5,254 | 2,852 | 8,106 |
| Virginia Commonwealth University (PT100978-SC100661) | 93 393 | 42,404 | 18,321 | 60,725 |
| Virginia Polytechnic Institute (19326425678) | 98 RD | 7,647 | 1,988 | 9,635 |
| Virginia Polytechnic Institute (47762519326) | 47 RD | 8,122 | 4,224 | 12,346 |
| Virginia Polytechnic Institute (CR-19097-430345) | 12 300 | 93,340 | 35,569 | 128,909 |
| Virginia Polytechnic Institute (CR19326428146) | 10 664 | 11,673 | 3,035 | 14,708 |
| Virginia Polytechnic Institute (CR19455477823) | 47 074 | 58,755 | 27,992 | 86,747 |
| Vitalea Science Inc.: 07-004861 (87901) | 93 848 | 107,485 | 52,442 | 159,927 |
| Wake Forest University (00027428) | 93 848 | 20,115 | 4,785 | 24,900 |
| Wake Forest University (31335) | 93 RD | 286 | (298) | (12) |
| Wake Forest University (57191) | 93 389 | (1,413) | (763) | (2,176) |
| Wake Forest University (57242) | 99 RD | (23,490) | (12,342) | (35,832) |
| Wake Forest University (82612) | 93 848 | (20,232) | (5,060) | (25,292) |
| Wake Forest University (GM048085) | 93 859 | 16,848 | 9,183 | 26,031 |
| Wake Forest University (WFUHS # N01 HC-45205) | 98 387 | 23,348 | 12,258 | 35,606 |
| Wake Forest University (WFUHS 13749) | 93 389 | 64,749 | 34,965 | 99,714 |
| Wake Forest University (WFUHS 31181) | 99 RD | 186 | 100 | 286 |
| Wake Forest University (WFUHS11200) | 93 866 | 11,946 | 2,944 | 14,890 |
| Wake Forest University (WFUHS14108) | 93 848 | 9,015 | 2,222 | 11,237 |
| Walden House, Inc. (20064370) | 93 243 | 40,983 | 9,109 | 50,092 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Walden House, Inc. (58388) | 93 243 | 4,106 | 907 | 5,013 |
| Washington State University (Pullman, Wa) (102428G001844) | 10 206 | 6,719 | 1,680 | 8,399 |
| Washington State University (Pullman, Wa) (103706G001833) | 10 206 | 5,250 | 1,312 | 6,562 |
| Washington State University (Pullman, Wa) (104099-G002257) | 93 114 | 52,586 | 28,659 | 81,245 |
| Washington State University (Pullman, Wa) (108936_G002304) | 10 206 | 17,112 | 4,278 | 21,390 |
| Washington State University (Pullman, Wa) (109837_G002313) | 93 394 | 73,778 | 19,068 | 92,846 |
| Washington State University (Pullman, Wa) (111343_G002436) | 99 RD | 3,332 | 733 | 4,065 |
| Washington State University (Pullman, Wa) (20746-G002416) | 93 859 | 56,856 | 30,986 | 87,842 |
| Washington State University (Pullman, Wa) (31457) | 10 500 | (18,778) | (693) | (19,471) |
| Washington State University (Pullman, Wa) (G001791) | 81 RD | 87,490 | 18,212 | 105,702 |
| Washington State University (Pullman, Wa) (G002046) | 10 500 | 4,793 | - | 4,793 |
| Washington State University (Pullman, Wa) (G002216) | 10 .50 | 26,189 | 6,809 | 32,998 |
| Washington University, (St. Louis, Mo) (31364) | 93 394 | 96,426 | 50,141 | 146,567 |
| Washington University, (St. Louis, Mo) (58840) | 93 853 | (650) | (169) | (819) |
| Washington University, (St. Louis, Mo) (59239) | 93 853 | (400) | (104) | (504) |
| Washington University, (St. Louis, Mo) (59263) | 93 853 | 14,857 | 4,323 | 19,180 |
| Washington University, (St. Louis, Mo) (59355) | 93 853 | 1,637 | 413 | 2,050 |
| Washington University, (St. Louis, Mo) (59838) | 93 132 | 9,464 | 2,754 | 12,218 |
| Washington University, (St. Louis, Mo) (59856) | 93 399 | 3,107 | 1,670 | 4,777 |
| Washington University, (St. Louis, Mo) (59959) | 93 853 | 476 | 226 | 702 |
| Washington University, (St. Louis, Mo) (78666) | 93 RD | 1,470 | 167 | 1,637 |
| Washington University, (St. Louis, Mo) (79891) | 99 RD | 9,300 | 2,706 | 12,006 |
| Washington University, (St. Louis, Mo) (79892) | 99 RD | 164 | 48 | 212 |
| Washington University, (St. Louis, Mo) (82507) | 93 856 | (2,596) | (1,233) | (3,829) |
| Washington University, (St. Louis, Mo) (82560) | 93 853 | 6,710 | 1,745 | 8,455 |
| Washington University, (St. Louis, Mo) (83250) | 99 RD | (20,801) | (6,240) | (27,041) |
| Washington University, (St. Louis, Mo) (83810) | 93 853 | 2,981 | 775 | 3,756 |
| Washington University, (St. Louis, Mo) (NNX07AH37G) | 43 RD | 692 | 377 | 1,069 |
| Washington University, (St. Louis, Mo) (OSR #04037222) | 93 853 | 36,305 | 9,456 | 45,761 |
| Washington University, (St. Louis, Mo) (SUB WU-00-49 (AR 33097)) | 93 846 | 45,521 | 24,809 | 70,330 |
| Washington University, (St. Louis, Mo) (SUB WU-06-64 (NS050425)) | 93 853 | 8,248 | 4,495 | 12,743 |
| Washington University, (St. Louis, Mo) (SUB WU-07-56 (NS036265)) | 93 853 | 4,711 | 2,927 | 7,638 |
| Washington University, (St. Louis, Mo) (SUB WU-09-112 (HL088476)) | 93 839 | 4,126 | 2,249 | 6,375 |
| Washington University, (St. Louis, Mo) (SUB WU-09-155 (AG032438)) | 93 866 | 87,021 | 20,143 | 107,164 |
| Washington University, (St. Louis, Mo) (SUB WU-99-80 (NS32228)) | 93 853 | 4,191 | 1,090 | 5,281 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Washington University, (St. Louis, Mo) (WU-06-07 PO NO. 29485H) | 93 853 | 51,921 | 27,769 | 79,690 |
| Washington University, (St. Louis, Mo) (WU08140) | 93 867 | 190,583 | 102,137 | 292,720 |
| Washington University, (St. Louis, Mo) (WU-08-218) | 93 853 | 6,102 | 1,587 | 7,689 |
| Washington University, (St. Louis, Mo) (WU-09-157) | 93 866 | 2,769 | 720 | 3,489 |
| Washington University, (St. Louis, Mo) (WU-HT-08-26) | 93 837 | 134,865 | 66,368 | 201,233 |
| Washington University, (St. Louis, Mo) (WU-HT-08-27) | 93 .83 | 216,868 | 103,034 | 319,902 |
| Washington University, (St. Louis, Mo) (WU-HT-09-50) | 93 837 | 10,287 | 4,680 | 14,967 |
| Washington, State of (86013) | 20 RD | 1,054 | (1,062) | (8) |
| Washington, State of (SA6099) | 20 RD | 4,891 | 2,543 | 7,434 |
| Washington, State of (SUB GCA 5977) | 20 205 | 12,924 | 7,044 | 19,968 |
| Water Environment Research Foundation (59607) | 99 RD | (5,567) | (2,756) | (8,323) |
| Water Environment Research Foundation (DEC13U06) | 66 606 | 12,933 | 3,233 | 16,166 |
| Wayne State University (82626) | 12 420 | 1,207 | 621 | 1,828 |
| Wayne State University (WSU07076) | 47 049 | 37,313 | 14,363 | 51,676 |
| Wellcome Trust, The (incl Sanger Institute) (Great Britain) (0238-B01) | 93 865 | 65,216 | 34,564 | 99,780 |
| Wellcome Trust, The (incl Sanger Institute) (Great Britain) (0244-03-001) | 93 172 | 153,996 | 74,113 | 228,109 |
| Wellesley College (25558-1) | 99 RD | 13,845 | 7,476 | 21,321 |
| Wellesley College (25626-1) | 99 RD | 4,323 | 2,334 | 6,657 |
| West Virginia University (03102UCD) | 10 001 | 1,507 | - | 1,507 |
| West Virginia University (DC007695) | 93 173 | 9,118 | 4,969 | 14,087 |
| Westat (7887-S018) | 99 RD | 300,385 | 162,473 | 462,858 |
| Westat (83117) | 93 RD | 50,913 | 15,874 | 66,787 |
| Westat (83496) | 93 279 | (83,851) | (45,299) | (129,150) |
| Westat (8489) | 93 RD | 62,089 | 33,839 | 95,928 |
| Westat (8530-S034) | 99 RD | 795 | 430 | 1,225 |
| Westat (8530-S039) | 93 RD | 326,298 | 106,963 | 433,261 |
| Westat (CALGB-03-07) | 93 RD | 16,154 | 4,846 | 21,000 |
| Westat (HD052102) | 93 279 | 260,230 | 140,735 | 400,965 |
| Westat (SUB AMP-PHACS (HD052102)) | 93 279 | 251,925 | 136,209 | 388,134 |
| Westat (UCSF#A105994) | 93 RD | 85 | 28 | 113 |
| Wested (frmly Far West Laboratory) (01093264) | 99 RD | 26,863 | 6,984 | 33,847 |
| Wested (frmly Far West Laboratory) (4106) | 47 RD | 13,921 | (2,281) | 11,640 |
| Wested (frmly Far West Laboratory) (5387 S07-091) | 84 305 | 91,716 | 49,527 | 141,243 |
| Wested (frmly Far West Laboratory) (78893) | 99 RD | 639 | 166 | 805 |
| Wested (frmly Far West Laboratory) (S05-059) | 84 305 | 134,738 | 69,402 | 204,140 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Wested (frmly Far West Laboratory) (S05-085) | 47 RD | 95,798 | 24,907 | 120,705 |
| Western Center For Child Protection (59956) | 99 RD | 2,282 | 593 | 2,875 |
| Western Governors' Association (2011303) | 81 502 | 11,988 | 6,234 | 18,222 |
| Western Governors' Association (201150730) | 10 RD | 21,389 | 11,122 | 32,511 |
| Western United Resource Development, Inc (07004285) | 66 034 | 2,121 | 1,103 | 3,224 |
| Weston Geophysical Corporation (2007-0703) | 81 RD | 24,508 | 12,989 | 37,497 |
| Westside Community Services (San Francisco, California) (UCSF#A102617) | 93 RD | 266,113 | 23,950 | 290,063 |
| Wet Labs, Inc. (SB070041) | 12 300 | 25,488 | 3,364 | 28,852 |
| Wildlife Conservation Society (New York Zoological Society) (20072147) | 98 011 | 17,422 | 2,904 | 20,326 |
| Wildlife Tr Aiucsc01 Kilpat 04/10 (59987) | 99 RD | 1,042 | 271 | 1,313 |
| Wistar Institute, The (OSR #03033821) | 93 393 | 222,898 | 114,793 | 337,691 |
| Women & Infants Hospital of Rhode Island (20062598) | 93 279 | 41,994 | 10,696 | 52,690 |
| Women & Infants Hospital of Rhode Island (57947) | 93 279 | 3,399 | 861 | 4,260 |
| Women & Infants Hospital of Rhode Island (79131) | 93 279 | 2,234 | 573 | 2,807 |
| Women's Alcoholism Center (59955) | 99 RD | 7,097 | 3,194 | 10,291 |
| Woods Hole Oceanographic Institution (A100507) | 12 300 | 82,216 | 44,397 | 126,613 |
| Woods Hole Oceanographic Institution (A100530) | 12 300 | 128,276 | 20,524 | 148,800 |
| Woods Hole Oceanographic Institution (A100536) | 47 050 | 4,464 | 2,277 | 6,741 |
| Woods Hole Oceanographic Institution (A100549) | 47 050 | 296,573 | 151,043 | 447,616 |
| Woods Hole Oceanographic Institution (A100608) | 11 460 | 32,174 | 15,269 | 47,443 |
| Woods Hole Oceanographic Institution (WHRC-UCI2008) | 43 RD | 17,160 | 9,009 | 26,169 |
| World Learning for International Development (GSM-025) | 98 001 | 56,990 | 13,380 | 70,370 |
| Wyle Laboratories (86543) | 12 RD | 7,811 | 2,656 | 10,467 |
| Wyle Laboratories (ELS0022482) | 12 RD | 10,831 | 3,683 | 14,514 |
| Xcyte Therapies, Inc. (82235) | 93 395 | 722 | 191 | 913 |
| Xerces Society, The (20063129) | 10 RD | 10,934 | 1,640 | 12,574 |
| Xerces Society, The (20063193) | 10 RD | 10,790 | 1,618 | 12,408 |
| Xoma Corporation (P17641) | 93 RD | 81,588 | 44,427 | 126,015 |
| Xoma Corporation (UCSF#A111083) | 93 RD | 114,228 | 62,254 | 176,482 |
| Yale University (07001379) | 93 172 | 281,193 | 144,602 | 425,795 |
| Yale University (82444) | 93 853 | (80) | (21) | (101) |
| Yale University (83036) | 93 853 | 26,071 | 6,779 | 32,850 |
| Yale University (83345) | 93 394 | (27,883) | (14,360) | (42,243) |
| Yale University (83613) | 93 865 | 5,975 | 478 | 6,453 |
| Yale University (86231) | 93 853 | 44 | (44) | - |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Yale University (86815) | 93 853 | 11,241 | 5,965 | 17,206 |
| Yale University (86890) | 93 864 | 98,805 | 50,098 | 148,903 |
| Yale University (87908) | 93 853 | 18,161 | 9,188 | 27,349 |
| Yale University (A05694 (M04A00103)) | 93 865 | 45,861 | 24,765 | 70,626 |
| Yale University (A05917) | 93 837 | 5,093 | 1,655 | 6,748 |
| Yale University (A06334 (M06A00175)) | 93 866 | 31,551 | 16,722 | 48,273 |
| Yale University (A06509) | 93 847 | 175,271 | 33,850 | 209,121 |
| Yale University (A06817 (M-08-110)) | 93 853 | 111,322 | 59,000 | 170,322 |
| Yale University (A06973) | 93 838 | 10,908 | 5,945 | 16,853 |
| Yale University (A07146) | 93 837 | 10,930 | 5,738 | 16,668 |
| Yale University (A07164) | 93 837 | 116,422 | 63,450 | 179,872 |
| Yale University (A07392 (M09A10168)) | 93 837 | 8,196 | 4,426 | 12,622 |
| Yale University (C09P10246) | 45 312 | 24,249 | 12,731 | 36,980 |
| Yale University (DA021253) | 93 286 | 18,186 | 9,911 | 28,097 |
| Yale University (OSR #05039318) | 93 853 | 44,422 | 13,313 | 57,735 |
| Yale University (OSR #06041891) | 93 853 | 15,702 | 4,083 | 19,785 |
| Yale University (SUB 20050583 (NS044876)) | 93 853 | 11,293 | 2,936 | 14,229 |
| Yeshiva University (incl Albert Einstein College of Medicine) (9-526-2232) | 93 866 | 18,710 | 10,103 | 28,813 |
| Yeshiva University (incl Albert Einstein College of Medicine) (9-526-2375) | 93 866 | 41,735 | 22,537 | 64,272 |
| Yeshiva University (incl Albert Einstein College of Medicine) (9-526-2412) | 93 855 | 3,675 | 1,985 | 5,660 |
| Yeshiva University (incl Albert Einstein College of Medicine) (9-526-2554) | 93 855 | 60,919 | 32,896 | 93,815 |
| Yeshiva University (incl Albert Einstein College of Medicine) (9-526-2927) | 93 866 | 13,053 | 7,049 | 20,102 |
| Yeshiva University (incl Albert Einstein College of Medicine) (9-526-2979) | 93 866 | 76,537 | 41,330 | 117,867 |
| Yeshiva University (incl Albert Einstein College of Medicine) (9-526-5836) | 93 837 | 9,270 | 2,093 | 11,363 |
| Yeshiva University (incl Albert Einstein College of Medicine) (OSR #05040746) | 93 393 | 167,725 | 85,983 | 253,708 |
| Yeshiva University (incl Albert Einstein College of Medicine) (HL071064) | 93 839 | 11,236 | 5,842 | 17,078 |
| Yeshiva University (incl Albert Einstein College of Medicine) (UCSF#A110400) | 93 855 | 47,659 | 25,974 | 73,633 |
| Ziva Corporation (2008-2382) | 12 910 | 3,137 | 1,709 | 4,846 |
| Ziva Corporation (20070212) | 12 431 | 42,484 | 22,363 | 64,847 |
| Zona Technology, Inc. (SUB ZTUCSD021209 (NNX09CA25C)) | 43 RD | 10,592 | 2,877 | 13,469 |
| Zona Technology, Inc. (ZTUC1111808) | 12 800 | 1,052 | 552 | 1,604 |
| Total Pass Through Agency Awards Expended | | 233,863,476 | 80,537,695 | 314,401,171 |
| Partial Pass Through Agency Awards Expended | | | | |
| 3E Technologies International, Inc. (frmly Aeptec Microsystems, Inc.) (59639) | 12 RD | 59,689 | - | 59,689 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Advanced Micro Devices, Inc. (AMD) (incl Vantis Corporation) (MATCH TO DIG06-10213) | 99 RD | 36,536 | 15,011 | 51,547 |
| American Cancer Soc, Inc. (CRP-99-318-06-CPPB) | 99 RD | 70,602 | - | 70,602 |
| Assn for Institutional Research (UCR-08073562) | 47 076 | 23,663 | - | 23,663 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (57691) | 43 RD | (8) | (4) | (12) |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-AR-10941.01-A) | 43 999 | 3,686 | 1,843 | 5,529 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-GO-11101.01-A) | 43 999 | 72,904 | 36,340 | 109,244 |
| Baylor College of Medicine (Houston,TX) (5600207218) | 93 173 | 32,924 | 14,222 | 47,146 |
| Brigham Young University (05-0135) | 84 116 | 6,870 | 550 | 7,420 |
| Broncus Technologies Inc. (57209) | 99 RD | 28,141 | 5,305 | 33,446 |
| Brookhaven Science Associates, LLC (Brookhaven National Laboratory) (57752) | 99 RD | 111 | - | 111 |
| Cal Bth Office of Traffic Safety (15682) | 20 RD | (5,453) | (818) | (6,271) |
| Cal Bth Office of Traffic Safety (15869) | 20 600 | (596) | 308 | (288) |
| Cal Bth Office of Traffic Safety (77601) | 99 RD | 36,520 | - | 36,520 |
| Cal Bth Office of Traffic Safety (AL0662) | 20 RD | 105,916 | 2,934 | 108,850 |
| Cal Bth Trans, Commission (CAL Transportation Commission) (08-6344R) | 20 999 | 36,444 | 5,121 | 41,565 |
| Cal Bth Trans, Commission (CAL Transportation Commission) (65A0279) | 20 762 | 94,318 | 15,155 | 109,473 |
| Cal Bth Trans, Commission (CAL Transportation Commission) (65A0287) | 99 RD | 74,926 | 11,915 | 86,841 |
| Cal Bth Trans, Commission (CAL Transportation Commission) (74A0343) | 20 762 | 65,398 | 10,113 | 75,511 |
| Cal Bth Trans, Facilities Construction, Division of (18816) | 20 205 | (9,560) | (1,434) | (10,994) |
| Cal Bth Trans, New Technology and Research, Division of (65A0214) | 20 RD | 526,926 | 38,686 | 565,612 |
| Cal Bth Trans, New Technology and Research, Division of (65A0282) | 99 RD | 12,083 | 2,115 | 14,198 |
| Cal Bth Trans, New Technology and Research, Division of (65A0283) | 99 RD | 27,714 | 4,850 | 32,564 |
| Cal Bth Trans, New Technology and Research, Division of (65A0300) | 20 RD | 213,028 | 14,435 | 227,463 |
| Cal Bth Trans, New Technology and Research, Division of (74A0376) | 20 RD | 49,958 | 6,108 | 56,066 |
| Cal Bth Trans, New Technology and Research, Division of (74A0377) | 20 RD | 42,936 | 5,163 | 48,099 |
| Cal Bth Trans, New Technology and Research, Division of (74A0378) | 20 RD | 24,723 | 2,500 | 27,223 |
| Cal Bth Trans, New Technology and Research, Division of (74A0380) | 20 RD | 9,015 | 140 | 9,155 |
| Cal Bth Trans, New Technology and Research, Division of (74A0381) | 20 RD | 58,187 | 6,094 | 64,281 |
| Cal Bth Trans, New Technology and Research, Division of (87602) | 20 RD | 249,716 | 14,284 | 264,000 |
| Cal Bth Trans, New Technology and Research, Division of (87604) | 20 RD | 106,126 | 16,413 | 122,539 |
| Cal Bth Trans, New Technology and Research, Division of (87606) | 20 RD | 59,959 | 10,106 | 70,065 |
| Cal Bth Trans, New Technology and Research, Division of (87608) | 20 RD | 24,795 | 3,383 | 28,178 |
| Cal Bth Trans./miscellaneous (18220) | 20 RD | (1,973) | - | (1,973) |
| Cal Bth Trans./miscellaneous (59A0508) | 20 RD | 70,648 | 7,065 | 77,713 |
| Cal Bth Trans./miscellaneous (65A0275) | 20 RD | 141,153 | 21,915 | 163,068 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal Bth Trans./miscellaneous (LTAP-6073 (005)) | 20 RD | 345,926 | 26,415 | 372,341 |
| Cal Bth Trans./miscellaneous (LTAP-6073(016)) | 20 RD | 222,434 | 22,243 | 244,677 |
| Cal DE Curriculum & Instruction Branch (15883) | 84 RD | (1,906) | (72) | (1,978) |
| Cal DE Curriculum & Instruction Branch (NCLB5-CFLP-UCB) | 84 367 | (7,739) | - | (7,739) |
| Cal DE Curriculum & Instruction Branch (NCLB5-CHSSP-UCB) | 84 367 | (775) | - | (775) |
| Cal DE Curriculum & Instruction Branch (NCLB5-CMP-UCSC) | 84 367 | 30,329 | 2,426 | 32,755 |
| Cal DE Curriculum & Instruction Branch (NCLB5-CSP-UCSC) | 84 367 | 50,926 | 4,074 | 55,000 |
| Cal DE Curriculum & Instruction Branch (NCLB5-CWP-UCB) | 84 367 | (35,871) | - | (35,871) |
| Cal DE Curriculum & Instruction Branch (NCLBS-CWP-UCI) | 84 367 | 1,034 | 82 | 1,116 |
| Cal DE Curriculum & Instruction Branch (NCLBX-CFLP-UCB) | 99 RD | (2,147) | - | (2,147) |
| Cal DE Curriculum & Instruction Branch (NCLBX-CHSSP-UCB) | 84 367 | (29,922) | - | (29,922) |
| Cal DE Curriculum & Instruction Branch (NCLBX-CSP-UCB) | 84 367 | (888) | - | (888) |
| Cal DE/miscellaneous Divisions or Bureaus (04/05-01-14535-X850-01-3A) | 84 287 | 687,054 | 6,235 | 693,289 |
| Cal DE/miscellaneous Divisions or Bureaus (NCLBS-RPI-UCB) | 84 367 | 59,874 | - | 59,874 |
| Cal EPA Air Resources Board (04815) | 10 RD | 13,412 | 1,341 | 14,753 |
| Cal EPA Water Control Board (05-040-558-0) | 66 RD | 150,518 | 19,567 | 170,085 |
| Cal Governor's Emergency Services, Office of (87617) | 16 575 | 138,615 | - | 138,615 |
| Cal Governor's Emergency Services, Office of (87618) | 16 588 | 563,678 | 28,184 | 591,862 |
| Cal Governor's Emergency Services, Office of (87619) | 16 588 | 72,029 | 3,601 | 75,630 |
| Cal Governor's Emergency Services, Office of (EM08121141) | 16 588 | 260,212 | 12,951 | 273,163 |
| Cal H&W Alcohol & Drug Programs (15733) | 93 959 | - | 5,488 | 5,488 |
| Cal H&W Education, Liaison, Office of (77747) | 10 558 | 77,000 | - | 77,000 |
| Cal H&W Education, Liaison, Office of (NCLB4-CHSSP-UCB) | 84 367 | 901 | 72 | 973 |
| Cal H&W Health Care Services, Department of (DHCS) (0655320) | 10 RD | 82,450 | 22,253 | 104,703 |
| Cal H&W Social Services, Department of (02-2071) | 93 RD | 387,291 | 58,094 | 445,385 |
| Cal H&W Social Services, Department of (08-2016) | 99 RD | 617,601 | 83,729 | 701,330 |
| Cal H&W Social Services, Department of (15795) | 93 RD | 243 | 49 | 292 |
| Cal H&W Social Services, Department of (15960) | 93 RD | (73) | - | (73) |
| Cal H&W Social Services, Department of (18506) | 10 561 | 83 | - | 83 |
| Cal H&W Social Services, Department of (77617) | 99 RD | (46) | (5) | (51) |
| Cal H&W Social Services, Department of (77618) | 99 RD | 9,632 | - | 9,632 |
| Cal Postsecondary Education Commission (CN077297) | 10 574 | 55,249 | 13,662 | 68,911 |
| Cal Ra Calfed Bay-Delta Authority (1051) | 99 RD | 46,165 | 7,063 | 53,228 |
| Cal Ra Calfed Bay-Delta Authority (1053) | 66 606 | 32,159 | 6,763 | 38,922 |
| Cal Ra Calfed Bay-Delta Authority (77199) | 11 417 | 8,090 | 737 | 8,827 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal Ra Calfed Bay-Delta Authority (R/SF-3) | 11 417 | 7,910 | 875 | 8,785 |
| Cal Ra Energy Resources and Conservation Development Commission (FED-03-015) | 81 119 | 81,567 | 4,984 | 86,551 |
| Cal Ra Parks and Recreation, Department of (18854) | 99 RD | 438 | - | 438 |
| Cal Ra Parks and Recreation, Department of (18905) | 99 RD | 1,677 | - | 1,677 |
| Cal Secretary of State (83064) | 39 011 | (259) | (65) | (324) |
| Cal State Library (18839) | 45 310 | 319 | 32 | 351 |
| Cal State Library (40-7120) | 45 310 | 41,813 | 4,181 | 45,994 |
| Cal State Library (40-7121) | 45 310 | 42,971 | 4,297 | 47,268 |
| Calif Institute of Technology (incl Celt Development Corporation) (68-1078771) | 81 RD | 61,115 | 30,558 | 91,673 |
| Calif Institute of Technology (incl Celt Development Corporation) (7C-1086733) | 99 RD | 39,132 | 20,349 | 59,481 |
| Case Western Reserve University (RES501493) | 93 879 | 103,911 | 42,034 | 145,945 |
| Cfd Research Corporation (461) | 99 RD | 27,199 | 2,180 | 29,379 |
| Colorado State University (SUB G-3844-1 (DE-FG02-04ER2562) | 81 049 | 2,888 | 1,501 | 4,389 |
| Dartmouth College (212) | 11 609 | 54,235 | 8,315 | 62,550 |
| Ensco, Inc. (27158-3300/GL5151) | 12 800 | 19,968 | 9,984 | 29,952 |
| Ensco, Inc. (58666) | 99 RD | 26,214 | 13,107 | 39,321 |
| First Point Scientific, Inc. (58620) | 12 999 | 18,200 | 9,100 | 27,300 |
| Florida International University (58546) | 47 041 | (462) | (122) | (584) |
| Foundation for The National Institutes of Health, Inc. (LO05GCGH0) | 93 999 | 599,909 | 89,986 | 689,895 |
| George Washington University (U01DK61055) | 93 RD | 7,599 | 1,976 | 9,575 |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (B-12-M06-S15-005) | 47 070 | 79,112 | 37,415 | 116,527 |
| Health Effects Institute (57676) | 66 500 | (14,472) | (4,342) | (18,814) |
| Institute for The Prevention of In-custody Deaths, Inc. (IPICD) (20081975) | 99 RD | 7,452 | 1,937 | 9,389 |
| Intl Center for Agricultural Research in Dry Areas (ICARDA) (Intl) (N-441470) | 99 RD | 5,823 | 1,456 | 7,279 |
| Invitrogen Corporation (incl Bioreliance Corp) (San Diego, Ca) (20073727) | 99 RD | 33,803 | 16,197 | 50,000 |
| Jet Propulsion Laboratory (1318648) | 43 999 | 698 | 349 | 1,047 |
| Jet Propulsion Laboratory (1326769) | 99 RD | 8,774 | 4,562 | 13,336 |
| Jet Propulsion Laboratory (57628) | 99 RD | (248) | (134) | (382) |
| Johns Hopkins University (57366) | 93 867 | (53,712) | (28,736) | (82,448) |
| Jumpstart for Young Children, Inc. (BB PROJ #880200) | 99 RD | 63,112 | 3,419 | 66,531 |
| Lawrence Livermore National Security, LLC (91952) | 81 RD | 10,582 | 2,751 | 13,333 |
| Lawrence Livermore National Security, LLC (B573218) | 81 RD | 9,160 | 4,855 | 14,015 |
| Lawrence Livermore National Security, LLC (B573228) | 99 RD | 11,867 | 1,690 | 13,557 |
| Lehigh University (Pennsylvania) (540312-78001) | 84 324 | 4,394 | 2,087 | 6,481 |
| Lockheed Martin Corporation (incl Orincon) (TT0714908) | 99 RD | 13,652 | 6,826 | 20,478 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (0217-8215-01/610325-9052) | 99 RD | 24,170 | 12,085 | 36,255 |
| Los Angeles Unified School District (0600949) | 84 351 | 8,527 | 4,438 | 12,965 |
| Michigan State University (58653) | 99 RD | 22,367 | 11,183 | 33,550 |
| Michigan State University (58654) | 99 RD | 8,802 | - | 8,802 |
| Michigan State University (612220R) | 47 074 | 77,575 | 38,788 | 116,363 |
| Michigan State University (61-2946) | 99 RD | 111,950 | 16,900 | 128,850 |
| Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (06121342, 2003-NT-1107) | 12 910 | 89,840 | 36,473 | 126,313 |
| Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (06121354, 2003-NT-1107) | 12 910 | 87,911 | 34,460 | 122,371 |
| Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (06121355, 2003-NT-1107) | 12 910 | 228,732 | 39,423 | 268,155 |
| Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (06121356, 2003-NT-1107) | 12 910 | 236,664 | 44,160 | 280,824 |
| Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (06121357, 2003-NT-1107) | 12 910 | 107,291 | 24,981 | 132,272 |
| Montana State University (G200-08-W1809) | 10 217 | 11,635 | 2,909 | 14,544 |
| Monterey Bay Aquarium Research Institute (0811210) | 11 473 | 71,810 | 33,462 | 105,272 |
| Natl Science Teachers Association (EHR-0227184-VMSS-10) | 47 076 | 315,548 | 66,651 | 382,199 |
| Northern Arizona University (incl Natl Inst for Climatic Change Res) (59799) | 84 336 | (2,161) | (173) | (2,334) |
| Northern Arizona University (incl Natl Inst for Climatic Change Res) (59856) | 84 RD | 12,148 | 972 | 13,120 |
| Northern Arizona University (incl Natl Inst for Climatic Change Res) (AZK36W9-01) | 84 RD | 112,649 | 9,012 | 121,661 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (59193) | 92 242 | 58,380 | 15,179 | 73,559 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (59487) | 93 846 | 116,184 | 30,208 | 146,392 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (59718) | 99 RD | 43,446 | 11,296 | 54,742 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (59900) | 93 846 | 77,927 | 20,261 | 98,188 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (59951) | 93 847 | 87,260 | 22,687 | 109,947 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (59975) | 93 846 | 58,619 | 15,241 | 73,860 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82801) | 99 RD | 67,929 | 17,661 | 85,590 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82813) | 99 RD | 134 | 35 | 169 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82847) | 93 864 | 4,914 | 1,278 | 6,192 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82853) | 99 RD | 129,199 | 33,592 | 162,791 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82859) | 93 837 | 178,112 | 46,309 | 224,421 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82863) | 93 393 | 27,111 | 7,049 | 34,160 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82873) | 93 859 | 86,089 | 22,383 | 108,472 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82876) | 99 RD | 159,483 | 41,466 | 200,949 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82877) | 93 394 | 26,391 | 6,862 | 33,253 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82885) | 93 859 | 33,968 | 8,832 | 42,800 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82900) | 93 853 | 34,485 | 8,966 | 43,451 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82902) | 93 396 | 103,838 | 26,998 | 130,836 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82903) | 99 RD | 4,056 | 1,054 | 5,110 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82909) | 99 RD | 4,770 | 1,240 | 6,010 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82916) | 99 RD | 35 | 9 | 44 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82921) | 93 242 | 41,435 | 10,773 | 52,208 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82925) | 99 RD | 62,187 | 16,168 | 78,355 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82940) | 93 837 | 142,346 | 37,010 | 179,356 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82943) | 93 837 | 25,687 | 6,678 | 32,365 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82948) | 93 286 | 20,076 | 5,220 | 25,296 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82950) | 93 846 | 84 | 22 | 106 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82962) | 43 001 | 158,501 | 41,210 | 199,711 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82976) | 99 RD | 121,915 | 31,698 | 153,613 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82984) | 99 RD | 39,462 | 10,260 | 49,722 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82987) | 99 RD | 28,738 | 7,472 | 36,210 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82990) | 99 RD | 86,666 | 22,533 | 109,199 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82996) | 93 929 | 28,054 | 7,294 | 35,348 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (83214) | 93 856 | 69,215 | 17,996 | 87,211 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (83234) | 93 837 | 81,520 | 21,195 | 102,715 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (83248) | 93 866 | 8,097 | 2,105 | 10,202 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (83249) | 93 929 | 45,426 | 11,811 | 57,237 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (83280) | 99 RD | 63,827 | 16,595 | 80,422 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (83361) | 93 847 | 35,045 | 9,112 | 44,157 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (83362) | 99 RD | 105,816 | 27,512 | 133,328 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (83366) | 99 RD | 38,882 | 10,109 | 48,991 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (83451) | 93 846 | 99,214 | 25,796 | 125,010 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84008) | 93 859 | 96,480 | 25,085 | 121,565 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84009) | 93 866 | 117,881 | 30,649 | 148,530 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84010) | 93 866 | 87,363 | 22,714 | 110,077 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84014) | 93 866 | 34,480 | 8,965 | 43,445 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84015) | 93 866 | 28,582 | 7,431 | 36,013 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84016) | 93 866 | 151,462 | 39,380 | 190,842 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84018) | 93 866 | 191,347 | 49,750 | 241,097 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84020) | 93 866 | 109,111 | 28,369 | 137,480 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84026) | 93 866 | 22,997 | 5,979 | 28,976 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84032) | 99 RD | 102,876 | 26,748 | 129,624 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84033) | 93 375 | 102,278 | 26,592 | 128,870 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84041) | 99 RD | 75,382 | 19,599 | 94,981 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84043) | 93 846 | 84,862 | 22,064 | 106,926 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84046) | 93 837 | 11,114 | 2,890 | 14,004 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84047) | 99 RD | 16,779 | 4,362 | 21,141 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84048) | 99 RD | 7,420 | 1,929 | 9,349 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84051) | 99 RD | 25,601 | 6,656 | 32,257 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84053) | 99 RD | 95,304 | 24,779 | 120,083 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84055) | 99 RD | 106,146 | 27,598 | 133,744 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84057) | 93 853 | 99,778 | 25,942 | 125,720 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84058) | 99 RD | 10,036 | 2,609 | 12,645 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84060) | 99 RD | 57,920 | 15,059 | 72,979 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84061) | 99 RD | 43,829 | 11,396 | 55,225 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84062) | 99 RD | 78,084 | 20,302 | 98,386 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84063) | 99 RD | 54,002 | 14,040 | 68,042 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84066) | 99 RD | 3,669 | 954 | 4,623 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84067) | 99 RD | 41,708 | 10,844 | 52,552 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84068) | 99 RD | 57,420 | 14,929 | 72,349 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84070) | 99 RD | 57,758 | 15,017 | 72,775 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84071) | 99 RD | 136,078 | 35,380 | 171,458 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84073) | 99 RD | 44,455 | 11,558 | 56,013 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84074) | 99 RD | 15,367 | 3,995 | 19,362 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84075) | 99 RD | 88,524 | 23,016 | 111,540 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84076) | 99 RD | 88,895 | 23,113 | 112,008 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84077) | 99 RD | 49,088 | 12,763 | 61,851 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84078) | 99 RD | 7,762 | 2,018 | 9,780 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84080) | 99 RD | 13,883 | 3,610 | 17,493 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84081) | 99 RD | 33,095 | 8,605 | 41,700 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84082) | 99 RD | 47,979 | 12,475 | 60,454 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84085) | 99 RD | 39,322 | 10,224 | 49,546 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84086) | 99 RD | 55,625 | 14,463 | 70,088 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84087) | 99 RD | 39,345 | 10,230 | 49,575 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84088) | 99 RD | 29,928 | 7,781 | 37,709 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84089) | 99 RD | 73,051 | 18,993 | 92,044 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84091) | 99 RD | 39,974 | 10,393 | 50,367 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84092) | 99 RD | 98,977 | 25,734 | 124,711 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84093) | 99 RD | 86,727 | 22,549 | 109,276 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84094) | 99 RD | 53,483 | 13,906 | 67,389 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84095) | 99 RD | 67,642 | 17,587 | 85,229 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84096) | 99 RD | 33,889 | 8,811 | 42,700 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84097) | 99 RD | 48,924 | 12,720 | 61,644 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84098) | 99 RD | 41,699 | 10,842 | 52,541 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84099) | 99 RD | 5,608 | 1,458 | 7,066 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84100) | 99 RD | 17,610 | 4,578 | 22,188 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84101) | 99 RD | 47,326 | 12,305 | 59,631 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84102) | 99 RD | 38,041 | 9,891 | 47,932 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84103) | 99 RD | 34,449 | 8,957 | 43,406 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84104) | 99 RD | 14,311 | 3,721 | 18,032 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84105) | 99 RD | 26,558 | 6,905 | 33,463 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84106) | 99 RD | 30,506 | 7,932 | 38,438 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84107) | 99 RD | 127,322 | 33,104 | 160,426 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84108) | 99 RD | 76,384 | 19,860 | 96,244 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84109) | 99 RD | 80,078 | 20,820 | 100,898 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84110) | 99 RD | 93,633 | 24,345 | 117,978 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84111) | 99 RD | 96,515 | 25,094 | 121,609 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84112) | 99 RD | 2,687 | 699 | 3,386 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84113) | 99 RD | 45,269 | 11,770 | 57,039 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84114) | 99 RD | 16,556 | 4,305 | 20,861 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84115) | 99 RD | 30,047 | 7,812 | 37,859 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84116) | 99 RD | 65,192 | 16,950 | 82,142 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84117) | 99 RD | 6,065 | 1,577 | 7,642 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84118) | 99 RD | 89,115 | 23,170 | 112,285 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84119) | 99 RD | 36,641 | 9,527 | 46,168 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84120) | 99 RD | 19,767 | 5,139 | 24,906 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84121) | 99 RD | 14,551 | 3,783 | 18,334 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84122) | 99 RD | 13,333 | 3,467 | 16,800 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84123) | 99 RD | 6,597 | 1,715 | 8,312 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84124) | 99 RD | 9,809 | 2,550 | 12,359 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84125) | 99 RD | 20,153 | 5,240 | 25,393 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84126) | 99 RD | 21,540 | 5,600 | 27,140 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84127) | 99 RD | 19,666 | 5,113 | 24,779 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84128) | 99 RD | 91,167 | 23,704 | 114,871 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84129) | 99 RD | 36,998 | 435 | 37,433 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (OSR #02030936) | 93 866 | 20,699 | 5,235 | 25,934 |
| Oakridge Natl Lab (DOE GOCO Operated by Ut-Battelle, LLC) (4000063616) | 81 087 | 577,743 | 50,123 | 627,866 |
| Ohio State University (includes Research Foundation) (83045) | 93 396 | (27,363) | (11,934) | (39,297) |
| Oklahoma State University (AB-5-65910.UCR) | 10 206 | 4,040 | 1,010 | 5,050 |
| Orbital Technologies Corporation (OrbiTec) (OTC-GS-0215-1) | 99 RD | 37,246 | 18,623 | 55,869 |
| Photonic Systems, Inc. (82771) | 12 630 | 22 | 12 | 34 |
| Raytheon (4400305512) | 99 RD | 74,602 | 34,126 | 108,728 |
| Research Triangle Institute (aka RTI International) (1-312-0210871) | 16 999 | 30,192 | 15,096 | 45,288 |
| Research Triangle Institute (aka RTI International) (4-340-0211720) | 99 RD | 25,592 | 9,997 | 35,589 |
| Riverside Community College District (20875) | 84 031 | 162,290 | - | 162,290 |
| Rochester Institute of Technology (30792-01) | 99 RD | 17,927 | 5,369 | 23,296 |
| Rutgers University (SUB0600106) | 10 001 | 145 | - | 145 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (83047) | 93 837 | 145,113 | 79,087 | 224,200 |
| San Francisco, City and County (20720) | 99 RD | 274 | 33 | 307 |
| San Francisco, City and County (87184) | 93 944 | 259 | 31 | 290 |
| San Jose State University Foundation (21-1314-4064-UCSC) | 43 RD | 18,173 | 4,725 | 22,898 |
| Santa Clara University (NSF042-01) | 47 075 | 46,890 | 25,555 | 72,445 |
| Stanford University (SUB 16079890-33477-A) | 99 RD | 321,434 | 175,182 | 496,616 |
| Tehachapi Valley Healthcare District (incl Tehachapi Hospital) (UCR-08033129) | 93 226 | 21,448 | 5,577 | 27,025 |
| Tottori University (Japan) (OA-RN07-SF-722) | 93 940 | 53,123 | 4,469 | 57,592 |
| University of Arizona (Y432825) | 10 303 | 25,117 | 6,279 | 31,396 |
| University of Connecticut (6129) | 47 041 | 45,698 | 21,169 | 66,867 |
| University of Houston (R08-0048) | 47 041 | 31,443 | 13,409 | 44,852 |
| University of Illinois (2006-02946-01) | 10 206 | 1,706 | 427 | 2,133 |
| University of Maryland (SC20085A) | 93 859 | 63,656 | 28,521 | 92,177 |
| University of Massachusetts (06-003720 G 00) | 47 041 | 17,500 | 5,391 | 22,891 |
| University of Minnesota (03091244) | 99 RD | 4,921 | 2,584 | 7,505 |
| University of New Hampshire (PZ06113) | 43 001 | 11,965 | 5,983 | 17,948 |
| University of New Mexico (3R63K/363K1) | 93 283 | 5,948 | 2,974 | 8,922 |
| University of Pittsburgh (003927, 010821-1) | 47 070 | 44,304 | 19,876 | 64,180 |
| University of Pittsburgh (SUB 0005287 (DE017439)) | 93 242 | 11,185 | 6,096 | 17,281 |
| University of Rochester (412400-G) | 47 074 | 34,615 | 17,307 | 51,922 |
| University of Rochester (413634-G) | 99 RD | 9,916 | 4,959 | 14,875 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Rochester (80477) | 93 172 | 242 | 85 | 327 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (07124, P.O 119942) | 47 050 | 16,889 | 6,817 | 23,706 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (08083, P.O 119942) | 47 050 | 3,333 | 1,667 | 5,000 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (08110, P.O. 119942) | 47 050 | 6,667 | 3,333 | 10,000 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (08125, P.O 119942) | 47 050 | 7,977 | 2,903 | 10,880 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (08126, P.O 119942) | 47 050 | 6,667 | 3,333 | 10,000 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (08160, P.O 119942) | 47 050 | 5,333 | 2,667 | 8,000 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (53-4873-2960; 090917) | 93 866 | 1,782 | 891 | 2,673 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (58562) | 47 050 | 8,679 | 4,340 | 13,019 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (P.O. 119942) | 47 050 | 33,000 | 16,500 | 49,500 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (PO 119942) | 47 050 | 18,117 | 9,059 | 27,176 |
| University of Texas-San Antonio (1100-10-01) | 47 079 | 100 | - | 100 |
| University of Vermont (2007 ORIGINAL/HILL) | 10 206 | 23,657 | 5,914 | 29,571 |
| Utah State University (incl Western Sare) (080019005) | 10 215 | 49,478 | - | 49,478 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BLANTZ 283007 (5 R01 DK056)) | 93 849 | 21,306 | 3,409 | 24,715 |
| Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA THOMSON 285225 (DK056248)) | 93 849 | 66,672 | 10,667 | 77,339 |
| Virginia Polytechnic Institute (19690-425678) | 99 RD | 7,829 | 2,036 | 9,865 |
| World Anti-doping Agency (Canada) (57104) | 99 RD | (110,833) | (22,167) | (133,000) |
| Total Partial Pass Through Agency Awards Expended | | 18,071,478 | 3,694,941 | 21,766,419 |
| Total Research And Development Cluster | | 2,204,130,614 | 688,439,724 | 2,892,570,338 |
| Other Programs | | | | |
| Federal Agency Awards Expended | | | | |
| Corporation for Nat'l & Community Service | 94 005 | (629) | (31) | (660) |
| Corporation for Nat'l & Community Service | 94 007 | 355,547 | (19,411) | 336,136 |
| Corporation for Nat'l & Community Service | 94 013 | 199,126 | - | 199,126 |
| Total Corporation For National Service | | 554,044 | (19,442) | 534,602 |
| Department of Agriculture | 10 001 | 273,216 | (4,751) | 268,465 |
| Department of Agriculture | 10 025 | 80,000 | - | 80,000 |
| Department of Agriculture | 10 200 | 317,953 | 4,095 | 322,048 |
| Department of Agriculture | 10 206 | 103,350 | 20,905 | 124,255 |
| Department of Agriculture | 10 210 | 44,363 | - | 44,363 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Department of Agriculture | 10 219 | 158 | 39 | 197 |
| Department of Agriculture | 10 250 | - | (225) | (225) |
| Department of Agriculture | 10 303 | 33,693 | 12,967 | 46,660 |
| Department of Agriculture | 10 304 | 295,661 | - | 295,661 |
| Department of Agriculture | 10 443 | 120,622 | 25,078 | 145,700 |
| Department of Agriculture | 10 456 | 53,408 | 5,341 | 58,749 |
| Department of Agriculture | 10 500 | 21,055,985 | - | 21,055,985 |
| Department of Agriculture | 10 680 | 133,405 | 880 | 134,285 |
| Department of Agriculture | 10 902 | 58,304 | 6,022 | 64,326 |
| Department of Agriculture | 10 912 | 4,239 | 797 | 5,036 |
| Department of Agriculture | 10 913 | 7,158 | - | 7,158 |
| Department of Agriculture | 10 960 | 170,949 | 17,096 | 188,045 |
| Department of Agriculture | 10 962 | 277,378 | 27,908 | 305,286 |
| Department of Agriculture | 10 unknown | 901,846 | 69,057 | 970,903 |
| Total Department Of Agriculture | | 23,931,688 | 185,209 | 24,116,897 |
| Department of Commerce | 11 420 | 20,000 | - | 20,000 |
| Department of Commerce | 11 429 | 980,144 | 6,215 | 986,359 |
| Department of Commerce | 11 469 | 65,795 | 21,713 | 87,508 |
| Department of Commerce | 11 473 | 56,763 | 14,759 | 71,522 |
| Department of Commerce | 11 474 | 18,968 | - | 18,968 |
| Department of Commerce | 11 609 | 591 | - | 591 |
| Department of Commerce | 11 618 | 75,096 | - | 75,096 |
| Department of Commerce | 11 unknown | 1,329,472 | 316,999 | 1,646,471 |
| Department of Commerce | 99 unknown | 7,217 | - | 7,217 |
| Total Department Of Commerce | | 2,554,046 | 359,686 | 2,913,732 |
| Department of Defense | | | | |
| Advanced Research Projects Agency | 12 910 | - | (2,002) | (2,002) |
| Advanced Research Projects Agency | 12 unknown | (1,999) | (986) | (2,985) |
| Total Advanced Research Projects Agency | | (1,999) | (2,988) | (4,987) |
| Dept of the Air Force | 12 630 | (9,580) | 15,298 | 5,718 |
| Dept of the Air Force | 12 800 | 39,550 | 1 | 39,551 |
| Total Dept of the Air Force | | 29,970 | 15,299 | 45,269 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | <u>Federal CFDA #</u> | <u>Direct Expenditures</u> | <u>Indirect Expenditures</u> | <u>Total Expenditures</u> |
|-----------------------------|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Dept of the Army | 12 420 | 733,919 | 12,660 | 746,579 |
| Dept of the Army | 12 431 | 14,609 | (160) | 14,449 |
| Dept of the Army | 12 unknown | 847,724 | 131,916 | 979,640 |
| Total Dept of the Army | | 1,596,252 | 144,416 | 1,740,668 |
| Dept of the Navy | 12 300 | 211,148 | 8,082 | 219,230 |
| Dept of the Navy | 12 unknown | 3,427,649 | 983,767 | 4,411,416 |
| Total Dept Of the Navy | | 3,638,797 | 991,849 | 4,630,646 |
| Separate Agencies | 12 901 | 82,751 | 29,421 | 112,172 |
| Separate Agencies | 12 unknown | 44,597 | 13,899 | 58,496 |
| Total Separate Agencies | | 127,348 | 43,320 | 170,668 |
| Total Department Of Defense | | 5,390,368 | 1,191,896 | 6,582,264 |
| Department of Education | 84 007 | 1,541,380 | - | 1,541,380 |
| Department of Education | 84 015 | 2,503,305 | 109,993 | 2,613,298 |
| Department of Education | 84 016 | 36,832 | 2,947 | 39,779 |
| Department of Education | 84 017 | 276,491 | 61,631 | 338,122 |
| Department of Education | 84 021 | 40,573 | - | 40,573 |
| Department of Education | 84 022 | 5,735 | - | 5,735 |
| Department of Education | 84 033 | 1,436,992 | 2 | 1,436,994 |
| Department of Education | 84 042 | 1,780,369 | 142,588 | 1,922,957 |
| Department of Education | 84 044 | 1,897,498 | 76,958 | 1,974,456 |
| Department of Education | 84 047 | 3,135,326 | 136,268 | 3,271,594 |
| Department of Education | 84 063 | 1,193,482 | - | 1,193,482 |
| Department of Education | 84 116 | 169,163 | 42,751 | 211,914 |
| Department of Education | 84 170 | 5,787 | - | 5,787 |
| Department of Education | 84 184 | 57,116 | - | 57,116 |
| Department of Education | 84 195 | 885,443 | 68,534 | 953,977 |
| Department of Education | 84 200 | 11,692 | - | 11,692 |
| Department of Education | 84 217 | 1,245,936 | 73,035 | 1,318,971 |
| Department of Education | 84 220 | 344,186 | 27,299 | 371,485 |
| Department of Education | 84 229 | 163,085 | 13,047 | 176,132 |
| Department of Education | 84 305 | 641,089 | 40,223 | 681,312 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Department of Education | 84 334 | 8,787,827 | 669,401 | 9,457,228 |
| Department of Education | 84 335 | 658,093 | 12,945 | 671,038 |
| Department of Education | 84 337 | - | (150) | (150) |
| Department of Education | 84 363 | 76,049 | 23,846 | 99,895 |
| Department of Education | 84 unknown | 621,802 | 15,151 | 636,953 |
| Total Department of Education | | 27,515,251 | 1,516,469 | 29,031,720 |
| Department of Energy | 81 004 | 672 | 135 | 807 |
| Department of Energy | 81 049 | 137,192 | (130) | 137,062 |
| Department of Energy | 81 108 | 55,026 | 16,508 | 71,534 |
| Department of Energy | 81 unknown | 64,369 | 3 | 64,372 |
| Department of Energy | 99 unknown | 6,831 | - | 6,831 |
| Total Department of Energy | | 264,090 | 16,516 | 280,606 |
| FEMA - Department of Homeland Security | 97 036 | 122,687 | - | 122,687 |
| Department of Interior | 15 224 | 2,446 | 428 | 2,874 |
| Department of Interior | 15 231 | 1,727 | 302 | 2,029 |
| Department of Interior | 15 608 | 3,970 | 264 | 4,234 |
| Department of Interior | 15 630 | 21,252 | 4,675 | 25,927 |
| Department of Interior | 15 807 | - | (138) | (138) |
| Department of Interior | 15 808 | 121,553 | - | 121,553 |
| Department of Interior | 15 922 | 11,899 | 2,975 | 14,874 |
| Department of Interior | 15 unknown | 95,159 | 18,926 | 114,085 |
| Total Department of Interior | | 258,006 | 27,432 | 285,438 |
| Department of Justice | 16 525 | 183,747 | - | 183,747 |
| Department of Justice | 16 560 | 3,979 | - | 3,979 |
| Department of Justice | 16 710 | (7,346) | - | (7,346) |
| Department of Justice | 16 unknown | 29,056 | (477) | 28,579 |
| Total Department of Justice | | 209,436 | (477) | 208,959 |
| Department of Labor | | 117,558 | 31,464 | 149,022 |
| Department of State | 19 402 | 15,614 | 4,997 | 20,611 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Department of State | 19 409 | (1,979) | (15,432) | (17,411) |
| Department of State | 19 415 | 217,677 | 38,735 | 256,412 |
| Department of State | 19 418 | 2,313,560 | 223,946 | 2,537,506 |
| Department of State | 19 424 | 106,911 | - | 106,911 |
| Department of State | 19 unknown | 267,359 | 2,205 | 269,564 |
| Total Department of State | | 2,919,142 | 254,451 | 3,173,593 |
| Department of Transport | 20 106 | 41,304 | - | 41,304 |
| Department of Transport | 20 215 | 61,962 | - | 61,962 |
| Department of Transport | 20 502 | 4,000 | - | 4,000 |
| Department of Transport | 20 603 | 4,000 | - | 4,000 |
| Department of Transport | 20 700 | (3,430) | 16,704 | 13,274 |
| Department of Transport | 20 701 | 1,224,243 | 10,712 | 1,234,955 |
| Department of Transport | 20 931 | 30,000 | - | 30,000 |
| Department of Transport | 20 unknown | 122,688 | 9,794 | 132,482 |
| Total Department of Transport | | 1,484,767 | 37,210 | 1,521,977 |
| Environmental Protection Agency | 66 436 | 967 | 213 | 1,180 |
| Environmental Protection Agency | 66 509 | 457 | 12,108 | 12,565 |
| Environmental Protection Agency | 66 514 | 83,564 | 307 | 83,871 |
| Environmental Protection Agency | 66 607 | (1,717) | - | (1,717) |
| Environmental Protection Agency | 66 666 | 13,219 | - | 13,219 |
| Environmental Protection Agency | 66 716 | 69,600 | 14,348 | 83,948 |
| Environmental Protection Agency | 66 unknown | 16,236 | - | 16,236 |
| Total Environmental Protection Agency | | 182,326 | 26,976 | 209,302 |
| Department of Health & Human Services | | | | |
| Office of Human Development Service | 93 600 | 869,196 | - | 869,196 |
| Office of Human Development Service | 93 632 | 472,145 | 37,772 | 509,917 |
| Office of Human Development Service | 93 925 | 348,445 | - | 348,445 |
| Total Office of Human Development Service | | 1,689,786 | 37,772 | 1,727,558 |
| HHS Office of the Secretary | 93 013 | (15) | (4) | (19) |
| HHS Office of the Secretary | 93 389 | 75,077 | 27,505 | 102,582 |
| Total HHS Office of the Secretary | | 75,062 | 27,501 | 102,563 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | <u>Federal CFDA #</u> | <u>Direct Expenditures</u> | <u>Indirect Expenditures</u> | <u>Total Expenditures</u> |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Centers for Medicare and Medicaid Services | 93 779 | 122,658 | 9,896 | 132,554 |
| PHS/Adamha | 93 230 | 281,404 | 10,993 | 292,397 |
| PHS/Adamha | 93 243 | 1,729,706 | 216,548 | 1,946,254 |
| Total PHS/Adamha | | 2,011,110 | 227,541 | 2,238,651 |
| PHS/Agency for Health Care Policy and Research | 93 225 | 500,806 | 36,158 | 536,964 |
| PHS/Agency for Health Care Policy and Research | 93 226 | 288,584 | 17,612 | 306,196 |
| PHS/Agency for Health Care Policy and Research | 93 unknown | 2,720 | 218 | 2,938 |
| Total PHS/Agency for Health Care Policy and Research | | 792,110 | 53,988 | 846,098 |
| PHS/Center for Disease Control | 93 063 | 68,175 | 5,119 | 73,294 |
| PHS/Center for Disease Control | 93 262 | 693,766 | 102,960 | 796,726 |
| PHS/Center for Disease Control | 93 263 | 21,160 | 7,754 | 28,914 |
| PHS/Center for Disease Control | 93 283 | 2,692,574 | 406,759 | 3,099,333 |
| PHS/Center for Disease Control | 93 941 | - | (10,272) | (10,272) |
| PHS/Center for Disease Control | 93 unknown | 234,971 | 100,278 | 335,249 |
| PHS/Center for Disease Control | 99 unknown | (2,046) | - | (2,046) |
| Total PHS/Center for Disease Control | | 3,708,600 | 612,598 | 4,321,198 |
| PHS/Food & Drug Administration | 93 448 | 419,986 | 102,171 | 522,157 |
| PHS/Food & Drug Administration | 93 unknown | 127 | 42 | 169 |
| Total PHS/Food & Drug Administration | | 420,113 | 102,213 | 522,326 |
| PHS/Health Resources & Services Admin | 93 019 | 59,035 | 4,723 | 63,758 |
| PHS/Health Resources & Services Admin | 93 107 | 804,479 | 17,471 | 821,950 |
| PHS/Health Resources & Services Admin | 93 110 | 2,344,342 | 231,656 | 2,575,998 |
| PHS/Health Resources & Services Admin | 93 117 | 284,021 | 22,665 | 306,686 |
| PHS/Health Resources & Services Admin | 93 145 | 6,654,781 | 303,339 | 6,958,120 |
| PHS/Health Resources & Services Admin | 93 153 | 467,334 | 85,707 | 553,041 |
| PHS/Health Resources & Services Admin | 93 156 | 523,000 | 35,231 | 558,231 |
| PHS/Health Resources & Services Admin | 93 157 | (1,342) | (184) | (1,526) |
| PHS/Health Resources & Services Admin | 93 186 | 350,415 | 25,682 | 376,097 |
| PHS/Health Resources & Services Admin | 93 189 | (879) | (70) | (949) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| PHS/Health Resources & Services Admin | 93 224 | 599,192 | 52,250 | 651,442 |
| PHS/Health Resources & Services Admin | 93 236 | (102) | 167 | 65 |
| PHS/Health Resources & Services Admin | 93 247 | 1,234,199 | 95,505 | 1,329,704 |
| PHS/Health Resources & Services Admin | 93 249 | 290,434 | 11,553 | 301,987 |
| PHS/Health Resources & Services Admin | 93 253 | 1,667,185 | 166,720 | 1,833,905 |
| PHS/Health Resources & Services Admin | 93 358 | (7,036) | (563) | (7,599) |
| PHS/Health Resources & Services Admin | 93 359 | 442,340 | 35,387 | 477,727 |
| PHS/Health Resources & Services Admin | 93 822 | 303,490 | 23,591 | 327,081 |
| PHS/Health Resources & Services Admin | 93 844 | 146,412 | 11,713 | 158,125 |
| PHS/Health Resources & Services Admin | 93 884 | 2,397,007 | 174,474 | 2,571,481 |
| PHS/Health Resources & Services Admin | 93 916 | (2,352) | (188) | (2,540) |
| PHS/Health Resources & Services Admin | 93 918 | 645,125 | 403 | 645,528 |
| PHS/Health Resources & Services Admin | 93 925 | 1,524,177 | - | 1,524,177 |
| PHS/Health Resources & Services Admin | 93 969 | 663,620 | 47,867 | 711,487 |
| PHS/Health Resources & Services Admin | 93 996 | 425,313 | 10,402 | 435,715 |
| PHS/Health Resources & Services Admin | 93 unknown | 14,558 | 1,784 | 16,342 |
| ARRA-PHS/Health Resources & Services Admin | 93 703 | 32,532 | 2,837 | 35,369 |
| Total PHS/Health Resource & Service Admin | | 21,861,280 | 1,360,122 | 23,221,402 |
| NIH Aging, National Institute on | 93 846 | 32,471 | - | 32,471 |
| NIH Aging, National Institute on | 93 866 | 2,961,486 | 476,494 | 3,437,980 |
| NIH Aging, National Institute on | 93 unknown | 437,216 | 93,658 | 530,874 |
| NIH Alcohol Abuse and Alcoholism, National Institute of | 93 272 | 388,200 | 30,740 | 418,940 |
| NIH Alcohol Abuse and Alcoholism, National Institute of | 93 273 | 129,973 | 8,853 | 138,826 |
| NIH Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of | 93 398 | 45,181 | 22,641 | 67,822 |
| NIH Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of | 93 461 | 98,790 | 6,625 | 105,415 |
| NIH Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of | 93 846 | 1,523,619 | 111,515 | 1,635,134 |
| NIH Biomedical Imaging and Bioengineering, Natl Institute of (NIBIB) | 93 286 | 1,004,751 | 75,925 | 1,080,676 |
| NIH Center For Scientific Review | 93 855 | (5,637) | (451) | (6,088) |
| NIH Child Health & Human Development, National Institute of | 93 281 | 28,450 | - | 28,450 |
| NIH Child Health & Human Development, National Institute of | 93 864 | 167,955 | 12,137 | 180,092 |
| NIH Child Health & Human Development, National Institute of | 93 865 | 5,411,930 | 754,566 | 6,166,496 |
| NIH Child Health & Human Development, National Institute of | 93 unknown | 200,262 | 13,310 | 213,572 |
| NIH Child Health & Human Development, National Institute of | 99 unknown | (298) | - | (298) |
| NIH Deafness & Other Communication Disorders, Natl Institute on | 93 170 | 262,810 | 18,625 | 281,435 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| NIH Deafness & Other Communication Disorders, Natl Institute on | 93 173 | 589,871 | 22,486 | 612,357 |
| NIH Deafness & Other Communication Disorders, Natl Institute on | 99 unknown | 25,145 | - | 25,145 |
| NIH Dental and Craniofacial Research,national Institute of (NIDCR) | 93 121 | 2,323,761 | 147,481 | 2,471,242 |
| NIH Diabetes, Digestive & Kidney Diseases, National Institute of | 93 847 | 2,956,807 | 569,540 | 3,526,347 |
| NIH Diabetes, Digestive & Kidney Diseases, National Institute of | 93 848 | 1,245,112 | 89,391 | 1,334,503 |
| NIH Diabetes, Digestive & Kidney Diseases, National Institute of | 93 849 | 1,738,595 | 119,453 | 1,858,048 |
| NIH Diabetes, Digestive & Kidney Diseases, National Institute of | 93 894 | 924 | 18,479 | 19,403 |
| NIH Drug Abuse, National Institute of (NIDA) | 93 277 | 195,690 | 15,655 | 211,345 |
| NIH Drug Abuse, National Institute of (NIDA) | 93 278 | 630,179 | 31,088 | 661,267 |
| NIH Drug Abuse, National Institute of (NIDA) | 93 279 | 1,290,186 | 80,944 | 1,371,130 |
| NIH Drug Abuse, National Institute of (NIDA) | 93 310 | 15,552 | 7,364 | 22,916 |
| NIH Drug Abuse, National Institute of (NIDA) | 93 729 | 1,748 | - | 1,748 |
| NIH Drug Abuse, National Institute of (NIDA) | 99 unknown | 40,444 | - | 40,444 |
| ARRA-NIH Drug Abuse, National Institute of (NIDA) | 93 701 | 1,748 | - | 1,748 |
| NIH Environmental Health Sciences, National Institute of | 93 113 | 523,126 | 33,897 | 557,023 |
| NIH Environmental Health Sciences, National Institute of | 93 114 | 244,701 | - | 244,701 |
| NIH Environmental Health Sciences, National Institute of | 93 115 | 6,152 | 3,353 | 9,505 |
| NIH Environmental Health Sciences, National Institute of | 93 142 | 1,027,205 | 47,707 | 1,074,912 |
| NIH Environmental Health Sciences, National Institute of | 93 894 | 155,001 | 6,857 | 161,858 |
| NIH General Medical Science, National Institute of | 93 242 | 46,769 | - | 46,769 |
| NIH General Medical Science, National Institute of | 93 390 | 84,141 | 6,731 | 90,872 |
| NIH General Medical Science, National Institute of | 93 862 | 1,203,524 | 68,743 | 1,272,267 |
| NIH General Medical Science, National Institute of | 93 867 | 219,099 | 119,409 | 338,508 |
| NIH General Medical Science, National Institute of | 93 880 | 1,737 | - | 1,737 |
| NIH General Medical Science, National Institute of | 93 unknown | 3,481 | 278 | 3,759 |
| NIH General Medical Science, National Institute of | 99 unknown | (2,023) | - | (2,023) |
| NIH Heart, Lung & Blood, National Institute Of | 93 838 | 8,405 | 4,581 | 12,986 |
| NIH Heart, Lung & Blood, National Institute of | 93 233 | - | (40) | (40) |
| NIH Heart, Lung & Blood, National Institute of | 93 837 | 4,213,994 | 380,131 | 4,594,125 |
| NIH Heart, Lung & Blood, National Institute of | 93 838 | 1,985,595 | 144,783 | 2,130,378 |
| NIH Heart, Lung & Blood, National Institute of | 93 839 | 1,155,370 | 94,971 | 1,250,341 |
| NIH Heart, Lung & Blood, National Institute of | 98 837 | 26,040 | - | 26,040 |
| NIH Heart, Lung & Blood, National Institute of | 99 unknown | 52,245 | - | 52,245 |
| NIH John F. Fogarty International Center | 93 307 | 259,925 | 18,714 | 278,639 |
| NIH John F. Fogarty International Center | 93 989 | 2,060,857 | 242,667 | 2,303,524 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| NIH Medicine, Natl Library of | 93 879 | 404,537 | 25,135 | 429,672 |
| NIH Medicine, Natl Library of | 93 unknown | 903,796 | 258,481 | 1,162,277 |
| NIH Mental Health, National Institute of (NIMH) | 93 242 | 2,177,108 | 604,507 | 2,781,615 |
| NIH Mental Health, National Institute of (NIMH) | 93 281 | 1,268,879 | 101,903 | 1,370,782 |
| NIH Mental Health, National Institute of (NIMH) | 93 282 | 4,575,957 | 318,360 | 4,894,317 |
| ARRA-NIH Mental Health, National Institute of (NIMH) | 93 701 | 16,348 | 1,308 | 17,656 |
| NIH Natl Cancer Institute (NCI) | 93 390 | 24,571 | - | 24,571 |
| NIH Natl Cancer Institute (NCI) | 93 393 | 486,482 | 266,660 | 753,142 |
| NIH Natl Cancer Institute (NCI) | 93 394 | - | (333) | (333) |
| NIH Natl Cancer Institute (NCI) | 93 395 | 4,531 | 109,537 | 114,068 |
| NIH Natl Cancer Institute (NCI) | 93 396 | 10,907 | 156,623 | 167,530 |
| NIH Natl Cancer Institute (NCI) | 93 397 | 168,172 | 726,351 | 894,523 |
| NIH Natl Cancer Institute (NCI) | 93 398 | 6,838,959 | 498,227 | 7,337,186 |
| NIH Natl Cancer Institute (NCI) | 93 399 | 5,767 | 52,011 | 57,778 |
| NIH Natl Cancer Institute (NCI) | 93 855 | 35,357 | - | 35,357 |
| NIH Natl Cancer Institute (NCI) | 93 unknown | 547,822 | 166,027 | 713,849 |
| NIH Natl Cancer Institute (NCI) | 99 unknown | 137,846 | (1) | 137,845 |
| NIH Natl Ctr Complementary & Alternative Medicine | 93 213 | 768,434 | 60,106 | 828,540 |
| NIH Natl Ctr Research Resources (incl Mutant Mouse Reg Res Ctrs-MMRRRC | 93 306 | 221,502 | 18,170 | 239,672 |
| NIH Natl Ctr Research Resources (incl Mutant Mouse Reg Res Ctrs-MMRRRC | 93 333 | (663) | 144 | (519) |
| NIH Natl Ctr Research Resources (incl Mutant Mouse Reg Res Ctrs-MMRRRC | 93 389 | 4,417,959 | 345,815 | 4,763,774 |
| NIH Natl Ctr Research Resources (incl Mutant Mouse Reg Res Ctrs-MMRRRC | 93 866 | 191,214 | 16,810 | 208,024 |
| NIH Natl Ctr on Minority Health and Health Disparities | 93 307 | 1,004 | 77,259 | 78,263 |
| NIH Natl Eye Institute | 93 867 | 2,034,520 | 551,678 | 2,586,198 |
| NIH Natl Human Genome Research Institute | 93 172 | 994,792 | 56,323 | 1,051,115 |
| NIH Natl Inst of Allergy&infectious Diseases | 93 855 | 4,037,876 | 1,101,698 | 5,139,574 |
| NIH Natl Inst of Allergy&infectious Diseases | 93 856 | 964,069 | 144,456 | 1,108,525 |
| NIH Neurological Disorders & Stroke, Natl Institute of | 93 583 | 25,525 | - | 25,525 |
| NIH Neurological Disorders & Stroke, Natl Institute of | 93 853 | 3,416,187 | 259,644 | 3,675,831 |
| NIH Neurological Disorders & Stroke, Natl Institute of | 93 854 | (3,383) | 268 | (3,115) |
| NIH Neurological Disorders & Stroke, Natl Institute of | 93 unknown | 78,738 | 40,944 | 119,682 |
| NIH Nursing Research, National Institute of (NINR) | 93 361 | 1,315,100 | 69,060 | 1,384,160 |
| NIH Nursing Research, National Institute of (NINR) | 99 unknown | (1,794) | - | (1,794) |
| NIH/Miscellaneous Agencies & Departments | 93 110 | (16,990) | (1,359) | (18,349) |
| NIH/Miscellaneous Agencies & Departments | 93 389 | 41,041 | 217 | 41,258 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| NIH/Miscellaneous Agencies & Departments | 93 461 | 50,352 | 3,008 | 53,360 |
| NIH/Miscellaneous Agencies & Departments | 93 862 | 2,685 | - | 2,685 |
| NIH/Miscellaneous Agencies & Departments | 93 884 | (41,957) | (3,357) | (45,314) |
| NIH/Miscellaneous Agencies & Departments | 93 925 | 196,000 | - | 196,000 |
| NIH/Miscellaneous Agencies & Departments | 93 969 | (798) | (64) | (862) |
| NIH/Miscellaneous Agencies & Departments | 93 989 | 4,902 | 1,274 | 6,176 |
| NIH/Miscellaneous Agencies & Departments | 99 unknown | 16,820 | (1,600) | 15,220 |
| Total PHS/Natl Inst Health | | 73,338,439 | 9,924,591 | 83,263,030 |
| PHS/Other | 93 299 | (8,006) | (641) | (8,647) |
| PHS/Other | 93 unknown | 3,045 | - | 3,045 |
| Total PHS/Other | | (4,961) | (641) | (5,602) |
| Total Department of Health & Human Services | | 104,014,197 | 12,355,581 | 116,369,778 |
| Department of Housing & Urban Development | 14 511 | 50,367 | - | 50,367 |
| Department of Housing & Urban Development | 14 516 | 13,839 | - | 13,839 |
| Total Department of Housing & Urban Development | | 64,206 | - | 64,206 |
| Library of Congress | 42 006 | 1,025,715 | 63,612 | 1,089,327 |
| National Aeronautics & Space Administration | 43 001 | 30,177 | 2,777,740 | 2,807,917 |
| National Aeronautics & Space Administration | 43 002 | 398,207 | 90,907 | 489,114 |
| National Aeronautics & Space Administration | 43 unknown | 683,793 | 39,243 | 723,036 |
| National Aeronautics & Space Administration | 73 unknown | 334,209 | 30,079 | 364,288 |
| National Aeronautics & Space Administration | 99 unknown | 299,908 | 912 | 300,820 |
| Total National Aeronautics & Space Administration | | 1,746,294 | 2,938,881 | 4,685,175 |
| National Archives & Records | 89 003 | 34,667 | - | 34,667 |
| National Foundation Arts & Humanities | 12 unknown | 159,309 | - | 159,309 |
| National Foundation Arts & Humanities | 15 904 | 233,055 | - | 233,055 |
| National Foundation Arts & Humanities | 15 929 | 55,577 | 13,894 | 69,471 |
| National Foundation Arts & Humanities | 45 024 | 272,464 | 28,030 | 300,494 |
| National Foundation Arts & Humanities | 45 149 | 441,029 | 90,596 | 531,625 |
| National Foundation Arts & Humanities | 45 161 | 105,538 | - | 105,538 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| National Foundation Arts & Humanities | 45 162 | 76,840 | 20,187 | 97,027 |
| National Foundation Arts & Humanities | 45 163 | 92,684 | 360 | 93,044 |
| National Foundation Arts & Humanities | 45 164 | 88,460 | - | 88,460 |
| National Foundation Arts & Humanities | 45 301 | 48,724 | 14,423 | 63,147 |
| National Foundation Arts & Humanities | 45 312 | 298,912 | 113,469 | 412,381 |
| National Foundation Arts & Humanities | 45 313 | 21,936 | 4,205 | 26,141 |
| Total National Foundation Arts & Humanities | | 1,894,528 | 285,164 | 2,179,692 |
| National Science Foundation | 47 046 | 60,654 | - | 60,654 |
| National Science Foundation | 47 075 | 46,600 | - | 46,600 |
| National Science Foundation | 47 076 | 37,132 | 6,322 | 43,454 |
| Total National Science Foundation | | 144,386 | 6,322 | 150,708 |
| Small Business Administration | 59 037 | 30,705 | 2,701 | 33,406 |
| Small Business Administration | 59 unknown | 1,131,587 | 90,537 | 1,222,124 |
| Total Small Business Administration | | 1,162,292 | 93,238 | 1,255,530 |
| Veterans Affairs | 64 unknown | 2,678,453 | (23,058) | 2,655,395 |
| Other Agencies | 99 unknown | 125,112 | 1,588 | 126,700 |
| Total Federal Agency Awards Expended | | 178,393,259 | 19,348,718 | 197,741,977 |
| Pass Through Agency Awards Expended | | | | |
| Academy of Applied Science (AAS-46005) | 12 431 | 18,250 | - | 18,250 |
| Academy of Applied Science (ASS-43552) | 12 431 | 4,938 | - | 4,938 |
| Allen/loeb Associates (UCSF#A108211) | 93 941 | 53,034 | 13,789 | 66,823 |
| Alliances for Quality Education, Inc. (57650) | 93 244 | (32,282) | (2,583) | (34,865) |
| American Academy of Child & Adolescent Psychiatry (20050026) | 93 277 | 25,072 | 2,529 | 27,601 |
| American Academy of Child & Adolescent Psychiatry (20050261) | 93 277 | 117,875 | 7,727 | 125,602 |
| American Academy of Child & Adolescent Psychiatry (80086) | 93 277 | (998) | (30) | (1,028) |
| American Assn for The Advancement of Science (SB070034) | 15 unknown | 28,767 | 1,438 | 30,205 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (20080731) | 93 394 | 27,993 | 7,278 | 35,271 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (20081094) | 93 394 | 14,279 | 3,713 | 17,992 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (79300) | 93 394 | 41,653 | 10,830 | 52,483 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (82586) | 93 396 | 5,192 | 1,558 | 6,750 |
| American College of Radiology (incl ACR Imaging Network, ACRIN) (UCSF#A109202) | 93 unknown | 543,087 | 286,046 | 829,133 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| American Educational Research Association (020091) | 99 unknown | 6,777 | 542 | 7,319 |
| American Educational Research Association (022544) | 84 unknown | 9,418 | 753 | 10,171 |
| American Educational Research Association (58868) | 84 305 | 14,748 | 1,180 | 15,928 |
| American Intl Health Alliance Inc. (AIHA) (83426) | 93 145 | 281 | 23 | 304 |
| American Intl Health Alliance Inc. (AIHA) (H-F3-MOZ-07-P-PTR-PWPS) | 99 unknown | 363,753 | 29,100 | 392,853 |
| American Intl Health Alliance Inc. (AIHA) (H-F3-TAN-07-P-PTR-MUCH) | 99 unknown | 110,472 | 8,839 | 119,311 |
| American Intl Health Alliance Inc. (AIHA) (H-F4-SAF-08-PTR-FPUC) | 93 unknown | 65,899 | 5,272 | 71,171 |
| American Intl Health Alliance Inc. (AIHA) (H-F5-ETH-09-P-PTR-ARAT-00) | 93 unknown | 98,866 | 7,909 | 106,775 |
| ARD, Inc. (Association in Rural Development) (1809UCD001) | 98 unknown | 16,167 | 3,557 | 19,724 |
| Arhoolie Foundation, The (20050014) | 45 149 | 22,904 | 7,329 | 30,233 |
| Arts Midwest (32564) | 45 024 | 26,036 | - | 26,036 |
| Assn for Institutional Research (SB090080) | 47 075 | 7,100 | - | 7,100 |
| Assn of American Medical Colleges (20842) | 93 283 | 31,480 | 8,309 | 39,789 |
| Assn of Occupational and Environmental Clinics (82087) | 93 161 | 1,354 | 95 | 1,449 |
| Assn of Occupational and Environmental Clinics (82388) | 93 161 | (1,797) | (126) | (1,923) |
| Assn of Occupational and Environmental Clinics (OSR #05039057) | 93 161 | 66,868 | 4,681 | 71,549 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-HF-01221.01-A) | 99 unknown | 77,745 | 9,261 | 87,006 |
| Assn of Univ for Res Astronomy (aka Space Teles Sci Inst) (HST-HF-01226.01-A) | 99 unknown | 85,057 | 11,786 | 96,843 |
| Associated Universities,inc.(incl National Radio Astronomy Observatory (86426) | 47 unknown | - | 108 | 108 |
| Auburn University (31448) | 10 500 | 500 | - | 500 |
| Auburn University (86919) | 10 unknown | 90,950 | - | 90,950 |
| Auburn University (87592) | 10 500 | 431 | - | 431 |
| Battelle Pacific Northwest Laboratories (83637) | 81 unknown | 13,429 | - | 13,429 |
| Baylor College of Medicine (Houston,TX) (59053) | 93 922 | (2,746) | (220) | (2,966) |
| Bilateral Safety Corridor Coalition (BSCC) (SUB BSCC(HHSP23320062926YC)) | 93 unknown | 5,393 | 270 | 5,663 |
| Bryn Mawr College (40525-3) | 12 553 | 95,811 | 34,444 | 130,255 |
| Butte, County of (87728) | 93 unknown | 101,864 | - | 101,864 |
| Caci Intl Inc. (incl Caci Dynamic Systems, Inc., Caci-iss, Inc.) (57520) | 99 unknown | 98,171 | 19,634 | 117,805 |
| Cal Bth Office of Traffic Safety (017059) | 20 600 | 24,979 | 3,673 | 28,652 |
| Cal Bth Office of Traffic Safety (AL0915) | 20 unknown | 111,778 | 12,223 | 124,001 |
| Cal Bth Office of Traffic Safety (OPO708) | 20 600 | 118,883 | 8,960 | 127,843 |
| Cal Bth Trans./miscellaneous (00447) | 20 205 | 43,159 | - | 43,159 |
| Cal Bth Trans./miscellaneous (53A0141) | 20 unknown | 73,122 | - | 73,122 |
| Cal Bth Trans./miscellaneous (83076) | 20 unknown | 12,244 | - | 12,244 |
| Cal Community Colleges & Chancellor's Office (18838) | 93 859 | 47 | 4 | 51 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal DE Child Development Division (CCAP-8266) | 99 unknown | 2,697 | - | 2,697 |
| Cal DE Child Development Division (CIMS-7411, 33-3005-00-8) | 93 575 | 1,781 | - | 1,781 |
| Cal DE Curriculum & Instruction Branch (18429) | 84 367 | (15) | - | (15) |
| Cal DE Curriculum & Instruction Branch (18573) | 84 367 | (1,115) | (89) | (1,204) |
| Cal DE Curriculum & Instruction Branch (18575) | 84 367 | 1,994 | 159 | 2,153 |
| Cal DE Curriculum & Instruction Branch (18579) | 84 367 | 14,762 | 1,416 | 16,178 |
| Cal DE Curriculum & Instruction Branch (18790) | 84 367 | (277) | (22) | (299) |
| Cal DE Curriculum & Instruction Branch (83211) | 84 367 | 201 | 16 | 217 |
| Cal DE Curriculum & Instruction Branch (83234) | 84 367 | 3,343 | 267 | 3,610 |
| Cal DE Curriculum & Instruction Branch (83239) | 84 367 | 4,061 | 325 | 4,386 |
| Cal DE Curriculum & Instruction Branch (NCLB4-CHSSP-UCI) | 84 367 | 20,919 | 1,673 | 22,592 |
| Cal DE Curriculum & Instruction Branch (NCLB4-CMP-UCI) | 84 367 | (72) | (6) | (78) |
| Cal DE Curriculum & Instruction Branch (NCLB4-CMP-UCSC) | 84 367 | 100 | 8 | 108 |
| Cal DE Curriculum & Instruction Branch (NCLB4-CRLP-UCI) | 84 367 | 3,206 | 257 | 3,463 |
| Cal DE Curriculum & Instruction Branch (NCLB4-CRLP-UCSC) | 84 367 | (213) | (17) | (230) |
| Cal DE Curriculum & Instruction Branch (NCLB4-CWP-UCSD) | 84 367 | 728 | 58 | 786 |
| Cal DE Curriculum & Instruction Branch (NCLB5CHSSPSO) | 84 367 | 115,115 | 9,416 | 124,531 |
| Cal DE Curriculum & Instruction Branch (NCLB5-CMP-UCB) | 84 367 | 30,328 | 2,426 | 32,754 |
| Cal DE Curriculum & Instruction Branch (NCLB5CMPUCD) | 84 367 | 24,074 | 1,926 | 26,000 |
| Cal DE Curriculum & Instruction Branch (NCLB5-CRLP-UCSC) | 84 367 | 41,067 | 3,285 | 44,352 |
| Cal DE Curriculum & Instruction Branch (NCLBSCHSSPSO) | 84 367 | 55,575 | 4,446 | 60,021 |
| Cal DE Curriculum & Instruction Branch (NCLBS-CRLP-UCI) | 84 367 | 2,104 | 168 | 2,272 |
| Cal DE Curriculum & Instruction Branch (NCLBSCWPUCD) | 84 367 | 619 | 49 | 668 |
| Cal DE Curriculum & Instruction Branch (NCLBXTCAPUCD) | 84 367 | 34,863 | - | 34,863 |
| Cal DE Curriculum & Instruction Branch (UCLA-41506) | 84 367 | 124,320 | 9,946 | 134,266 |
| Cal DE Curriculum & Instruction Branch (UCLA-41552) | 84 367 | 80,317 | 6,425 | 86,742 |
| Cal DE Vocational Education (83067) | 84 unknown | 753,297 | - | 753,297 |
| Cal DE/miscellaneous Agencies (NCLB5-CSP-UCSF) | 84 367 | 50,925 | 4,074 | 54,999 |
| Cal DE/miscellaneous Divisions or Bureaus (07-65801) | 99 unknown | 182,384 | 9,117 | 191,501 |
| Cal DE/miscellaneous Divisions or Bureaus (18315) | 84 367 | 1,506,091 | - | 1,506,091 |
| Cal DE/miscellaneous Divisions or Bureaus (18316) | 84 367 | 547,843 | - | 547,843 |
| Cal DE/miscellaneous Divisions or Bureaus (18390) | 93 unknown | (6,019) | (1,565) | (7,584) |
| Cal DE/miscellaneous Divisions or Bureaus (18434) | 84 unknown | (1,691) | (113) | (1,804) |
| Cal DE/miscellaneous Divisions or Bureaus (18442) | 84 unknown | 96 | - | 96 |
| Cal DE/miscellaneous Divisions or Bureaus (18462) | 99 unknown | (1,015) | (37) | (1,052) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal DE/miscellaneous Divisions or Bureaus (18479) | 84 282 | (1,419) | - | (1,419) |
| Cal DE/miscellaneous Divisions or Bureaus (18553) | 10 559 | 20,129 | - | 20,129 |
| Cal DE/miscellaneous Divisions or Bureaus (18859) | 93 575 | 20 | - | 20 |
| Cal DE/miscellaneous Divisions or Bureaus (18963) | 10 558 | 9,465 | - | 9,465 |
| Cal DE/miscellaneous Divisions or Bureaus (18968) | 10 558 | (2,938) | - | (2,938) |
| Cal De/Miscellaneous Divisions or Bureaus (20080455) | 84 367 | 23,260 | 1,861 | 25,121 |
| Cal DE/miscellaneous Divisions or Bureaus (2660-9A) | 10 558 | 19,011 | - | 19,011 |
| Cal DE/miscellaneous Divisions or Bureaus (42-7808-OV) | 10 559 | 10,886 | - | 10,886 |
| Cal DE/miscellaneous Divisions or Bureaus (77832) | 93 575 | 3,244 | - | 3,244 |
| Cal DE/miscellaneous Divisions or Bureaus (77833) | 93 575 | 2,000 | - | 2,000 |
| Cal DE/miscellaneous Divisions or Bureaus (83255) | 84 367 | 42,203 | 3,310 | 45,513 |
| Cal De/Miscellaneous Divisions or Bureaus (83509) | 84 367 | 24,505 | - | 24,505 |
| Cal DE/miscellaneous Divisions or Bureaus (88071) | 84 367 | (99) | (8) | (107) |
| Cal DE/miscellaneous Divisions or Bureaus (88094) | 99 unknown | (925) | (231) | (1,156) |
| Cal DE/miscellaneous Divisions or Bureaus (CCAP-8176) | 93 575 | 2,232 | - | 2,232 |
| Cal DE/miscellaneous Divisions or Bureaus (CCAP-8435) | 93 575 | 2,174 | - | 2,174 |
| Cal DE/miscellaneous Divisions or Bureaus (CIMS-8278) | 93 596 | 1,774 | - | 1,774 |
| Cal DE/miscellaneous Divisions or Bureaus (CIMS-8619) | 93 575 | 1,954 | - | 1,954 |
| Cal DE/miscellaneous Divisions or Bureaus (CIMS-8663) | 93 575 | 1,477 | - | 1,477 |
| Cal DE/miscellaneous Divisions or Bureaus (CRPM-7075) | 93 575 | 8,624 | - | 8,624 |
| Cal DE/miscellaneous Divisions or Bureaus (CSCC-8362) | 93 575 | 2,011 | - | 2,011 |
| Cal DE/miscellaneous Divisions or Bureaus (ITQ-07-418) | 84 367 | 408,097 | 32,185 | 440,282 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLB4-CHSSP-UCLA) | 84 367 | 1,142 | 91 | 1,233 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLB4-CMP-UCLA) | 84 367 | 9,364 | 749 | 10,113 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLB4-CRLP-UCLA) | 84 367 | 1,498 | 120 | 1,618 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLB5-CHSSP-UCLA) | 84 367 | 55,556 | 4,445 | 60,001 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLB5-CMP-SO) | 84 367 | 8,863 | 709 | 9,572 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLB5-CMP-UCLA) | 84 367 | 56,481 | 4,518 | 60,999 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLB5-CRLP-UCLA) | 84 367 | 66,214 | 5,297 | 71,511 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLB5-CSP-UCLA) | 84 367 | 77,022 | 6,162 | 83,184 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLB5-CWP-UCLA) | 84 367 | 70,630 | 5,650 | 76,280 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBS-CHSSP-UCLA) | 84 367 | 367 | 29 | 396 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBS-CMP-SO) | 84 367 | 30,227 | 2,418 | 32,645 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBS-CMP-UCLA) | 84 367 | 4,359 | 349 | 4,708 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBS-CSP-UCLA) | 84 367 | 2,114 | 169 | 2,283 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBS-CWP-UCLA) | 84 367 | 3,562 | 284 | 3,846 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBS-RPI-UCLA) | 84 367 | 61,223 | 4,898 | 66,121 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBX-CHSSP-UCLA) | 84 367 | 36,905 | - | 36,905 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBX-CMP-SO) | 84 367 | 169,397 | - | 169,397 |
| Cal DE/miscellaneous Divisions or Bureaus (NCLBXCMPUCD) | 84 367 | 18,500 | - | 18,500 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBX-CMP-UCLA) | 84 367 | 36,792 | - | 36,792 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBX-CRLP-UCLA) | 84 367 | 27,594 | - | 27,594 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBX-CSP-SO) | 84 367 | 147,543 | - | 147,543 |
| Cal DE/miscellaneous Divisions or Bureaus (NCLBXCSPUCD) | 12 unknown | 34,174 | - | 34,174 |
| Cal DE/Miscellaneous Divisions or Bureaus (NCLBX-CSP-UCR) | 84 367 | 3,195 | - | 3,195 |
| Cal DE/miscellaneous Divisions or Bureaus (NCLBXCWPUCD) | 84 928 | 3,398 | - | 3,398 |
| Cal DFA Food and Agriculture, Dept. of (18400) | 10 156 | 173 | (292) | (119) |
| Cal DFA Food and Agriculture, Dept. of (83465) | 10 unknown | 29,021 | 1,451 | 30,472 |
| Cal EPA Pesticide Regulation (070093C) | 66 unknown | 295,315 | - | 295,315 |
| Cal EPA Pesticide Regulation (08C0115) | 66 unknown | 199,266 | - | 199,266 |
| Cal EPA Pesticide Regulation (18282) | 66 700 | 10,675 | 2,669 | 13,344 |
| Cal EPA Pesticide Regulation (18568) | 66 700 | 296 | - | 296 |
| Cal EPA Water Control Board (18563) | 66 460 | (543) | (81) | (624) |
| Cal Governor's Emergency Services, Office of (18433) | 93 643 | (10) | (1) | (11) |
| Cal Governor's Emergency Services, Office of (18450) | 16 575 | (4,088) | - | (4,088) |
| Cal Governor's Emergency Services, Office of (83484) | 97 074 | 537 | 134 | 671 |
| Cal Governor's Emergency Services, Office of (EF07021141) | 93 unknown | 47,962 | 2,398 | 50,360 |
| Cal Governor's Emergency Services, Office of (RC08241141) | 16 575 | 247,052 | - | 247,052 |
| Cal Governor's Emergency Services, Office of (UC07031141) | 93 643 | 115,863 | 4,605 | 120,468 |
| Cal H&W Alcohol & Drug Programs (07-00151) | 93 959 | 9,417 | 2,286 | 11,703 |
| Cal H&W Alcohol & Drug Programs (08-00133) | 93 243 | 92,956 | 20,736 | 113,692 |
| Cal H&W Alcohol & Drug Programs (18266) | 93 959 | (267) | (67) | (334) |
| Cal H&W Alcohol & Drug Programs (88060) | 93 959 | 134 | 34 | 168 |
| Cal H&W Health Care Services, Department of (DHCS) (03-76125) | 93 unknown | 183 | (2) | 181 |
| Cal H&W Health Care Services, Department of (DHCS) (04-35140) | 93 994 | 73,142 | 7,314 | 80,456 |
| Cal H&W Health Care Services, Department of (DHCS) (05-45333) | 99 unknown | 93,080 | 8,462 | 101,542 |
| Cal H&W Health Care Services, Department of (DHCS) (0546082) | 93 283 | 1,208,972 | 128,560 | 1,337,532 |
| Cal H&W Health Care Services, Department of (DHCS) (05-46184) | 93 unknown | 766,132 | 61,291 | 827,423 |
| Cal H&W Health Care Services, Department of (DHCS) (05-46236) | 99 unknown | 629,772 | 50,382 | 680,154 |
| Cal H&W Health Care Services, Department of (DHCS) (06-55426) | 93 unknown | 286,466 | 22,917 | 309,383 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal H&W Health Care Services, Department of (DHCS) (07-65809) | 93 unknown | 157,824 | 12,626 | 170,450 |
| Cal H&W Health Care Services, Department of (DHCS) (07-65922) | 93 917 | 269,312 | 34,841 | 304,153 |
| Cal H&W Health Care Services, Department of (DHCS) (08-85528) | 93 184 | 1,820 | 455 | 2,275 |
| Cal H&W Health Care Services, Department of (DHCS) (18266) | 99 unknown | (2,044) | (163) | (2,207) |
| Cal H&W Health Care Services, Department of (DHCS) (18270) | 93 994 | 373 | - | 373 |
| Cal H&W Health Care Services, Department of (DHCS) (18286) | 93 994 | (421) | - | (421) |
| Cal H&W Health Care Services, Department of (DHCS) (18288) | 93 994 | (2,088) | - | (2,088) |
| Cal H&W Health Care Services, Department of (DHCS) (18331) | 99 unknown | 1,004 | 80 | 1,084 |
| Cal H&W Health Care Services, Department of (DHCS) (18341) | 93 988 | (877) | (70) | (947) |
| Cal H&W Health Care Services, Department of (DHCS) (18361) | 93 917 | 546 | - | 546 |
| Cal H&W Health Care Services, Department of (DHCS) (18376) | 93 988 | 1,426 | 114 | 1,540 |
| Cal H&W Health Care Services, Department of (DHCS) (18389) | 93 994 | (1,125) | - | (1,125) |
| Cal H&W Health Care Services, Department of (DHCS) (18392) | 93 959 | (1,063) | (293) | (1,356) |
| Cal H&W Health Care Services, Department of (DHCS) (18415) | 93 110 | (643) | (64) | (707) |
| Cal H&W Health Care Services, Department of (DHCS) (18426) | 93 917 | 333 | - | 333 |
| Cal H&W Health Care Services, Department of (DHCS) (18451) | 10 561 | 59,113 | 13,005 | 72,118 |
| Cal H&W Health Care Services, Department of (DHCS) (18503) | 93 unknown | 53,300 | 7,439 | 60,739 |
| Cal H&W Health Care Services, Department of (DHCS) (18514) | 93 unknown | (25) | - | (25) |
| Cal H&W Health Care Services, Department of (DHCS) (18559) | 10 561 | 146,161 | 32,318 | 178,479 |
| Cal H&W Health Care Services, Department of (DHCS) (18591) | 93 994 | (6,965) | (697) | (7,662) |
| Cal H&W Health Care Services, Department of (DHCS) (18653) | 99 unknown | (451) | (67) | (518) |
| Cal H&W Health Care Services, Department of (DHCS) (18730) | 99 unknown | 1,388 | 361 | 1,749 |
| Cal H&W Health Care Services, Department of (DHCS) (18761) | 93 994 | (301) | - | (301) |
| Cal H&W Health Care Services, Department of (DHCS) (18833) | 93 994 | - | (179) | (179) |
| Cal H&W Health Care Services, Department of (DHCS) (18851) | 93 unknown | (5,646) | (847) | (6,493) |
| Cal H&W Health Care Services, Department of (DHCS) (18855) | 93 unknown | 164,745 | 8,932 | 173,677 |
| Cal H&W Health Care Services, Department of (DHCS) (18963) | 99 unknown | 2,200 | 176 | 2,376 |
| Cal H&W Health Care Services, Department of (DHCS) (18968) | 99 unknown | 5,154 | 412 | 5,566 |
| Cal H&W Health Care Services, Department of (DHCS) (83138) | 10 561 | 244,219 | 43,392 | 287,611 |
| Cal H&W Health Care Services, Department of (DHCS) (88001) | 93 940 | (2,821) | - | (2,821) |
| Cal H&W Health Care Services, Department of (DHCS) (88005) | 93 940 | (571) | - | (571) |
| Cal H&W Health Care Services, Department of (DHCS) (88009) | 99 unknown | 555 | 44 | 599 |
| Cal H&W Health Care Services, Department of (DHCS) (88034) | 10 561 | 2,801 | 700 | 3,501 |
| Cal H&W Health Care Services, Department of (DHCS) (88076) | 99 unknown | (1,161) | - | (1,161) |
| Cal H&W Health Care Services, Department of (DHCS) (88083) | 93 unknown | 35,212 | 2,817 | 38,029 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal H&W Health Care Services, Department of (DHCS) (88085) | 93 994 | (1,423) | 23 | (1,400) |
| Cal H&W Health Care Services, Department of (DHCS) (88088) | 99 unknown | 17 | 1 | 18 |
| Cal H&W Health Care Services, Department of (DHCS) (88147) | 93 940 | 933 | 4 | 937 |
| Cal H&W Mental Health, Department of (18627) | 99 unknown | 1,127 | 293 | 1,420 |
| Cal H&W Mental Health, Department of (18722) | 99 unknown | 2,010 | 523 | 2,533 |
| Cal H&W Mental Health, Department of (SA6143-77729) | 99 unknown | 233,150 | 16,662 | 249,812 |
| Cal H&W Public Health, Department of (CDPH) (05-45609) | 93 991 | 55,623 | - | 55,623 |
| Cal H&W Public Health, Department of (CDPH) (070792) | 93 unknown | 13,397 | 1,127 | 14,524 |
| Cal H&W Public Health, Department of (CDPH) (08002161) | 93 unknown | 67,706 | 5,416 | 73,122 |
| Cal H&W Public Health, Department of (CDPH) (08-85010) | 93 994 | 197,336 | 14,061 | 211,397 |
| Cal H&W Public Health, Department of (CDPH) (0885387) | 93 unknown | 20,144 | - | 20,144 |
| Cal H&W Public Health, Department of (CDPH) (08-85617) | 93 940 | 375,502 | 55,240 | 430,742 |
| Cal H&W Public Health, Department of (CDPH) (0885624) | 93 unknown | 1,206,611 | 115,894 | 1,322,505 |
| Cal H&W Public Health, Department of (CDPH) (18603) | 93 991 | 22,450 | - | 22,450 |
| Cal H&W Public Health, Department of (CDPH) (83125) | 93 unknown | (714) | - | (714) |
| Cal H&W Public Health, Department of (CDPH) (83440) | 10 561 | 392,714 | 86,397 | 479,111 |
| Cal H&W Public Health, Department of (CDPH) (SUB 08-85559 (NONE)) | 93 unknown | 47,853 | 7,178 | 55,031 |
| Cal H&W Social Services, Department of (082038) | 93 unknown | 16,707 | - | 16,707 |
| Cal H&W Social Services, Department of (082039) | 93 unknown | 22,663 | - | 22,663 |
| Cal H&W Social Services, Department of (082040) | 93 unknown | 522,696 | - | 522,696 |
| Cal H&W Social Services, Department of (082042) | 93 unknown | 1,665,897 | - | 1,665,897 |
| Cal H&W Social Services, Department of (082048) | 93 unknown | 114,202 | - | 114,202 |
| Cal H&W Social Services, Department of (18267) | 10 561 | (961,507) | 1,161 | (960,346) |
| Cal H&W Social Services, Department of (18276) | 93 667 | (3,035) | - | (3,035) |
| Cal H&W Social Services, Department of (18436) | 93 658 | (1,788) | - | (1,788) |
| Cal H&W Social Services, Department of (18521) | 93 658 | 7,370 | - | 7,370 |
| Cal H&W Social Services, Department of (18588) | 10 561 | (1,223,482) | 132 | (1,223,350) |
| Cal H&W Social Services, Department of (83014) | 10 unknown | 134 | - | 134 |
| Cal H&W Social Services, Department of (83172) | 93 unknown | 1,209 | - | 1,209 |
| Cal H&W Social Services, Department of (83303) | 93 unknown | 320,118 | 80,414 | 400,532 |
| Cal H&W Social Services, Department of (83423) | 10 unknown | (72,686) | - | (72,686) |
| Cal H&W Social Services, Department of (86596) | 10 561 | 561,730 | 256,797 | 818,527 |
| Cal H&W Social Services, Department of (C000069582) | 93 unknown | 15,678 | - | 15,678 |
| Cal Industrial Relations, Department of (18867) | 93 575 | 76 | - | 76 |
| Cal Industrial Relations, Department of (CCAP-8399) | 93 575 | 2,501 | - | 2,501 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal Office of Planning and Research (CV 08-007) | 94 007 | 17,095 | 899 | 17,994 |
| Cal Postsecondary Education Commission (18256) | 84 367 | 954 | 76 | 1,030 |
| Cal Postsecondary Education Commission (18305) | 99 unknown | 10,455 | 836 | 11,291 |
| Cal Postsecondary Education Commission (18527) | 84 367 | 151,562 | (37,946) | 113,616 |
| Cal Postsecondary Education Commission (18814) | 84 281 | (5,109) | (409) | (5,518) |
| Cal Postsecondary Education Commission (ITQ02335) | 84 367 | 155,839 | 12,467 | 168,306 |
| Cal Postsecondary Education Commission (ITQ-02-337) | 84 367 | 120,676 | 9,654 | 130,330 |
| Cal Postsecondary Education Commission (ITQ-02-339) | 84 367 | 254,682 | 14,142 | 268,824 |
| Cal Postsecondary Education Commission (ITQ-02-342) | 84 367 | 89,762 | 7,181 | 96,943 |
| Cal Postsecondary Education Commission (ITQ-03-350) | 84 999 | 68,284 | 5,463 | 73,747 |
| Cal Postsecondary Education Commission (ITQ-03-360) | 84 367 | 826,294 | 27,245 | 853,539 |
| Cal Postsecondary Education Commission (ITQ-08-534) | 84 367 | 81,125 | 6,490 | 87,615 |
| Cal Ra Fish and Game, Department of (18258) | 11 438 | - | 16,491 | 16,491 |
| Cal Ra Forestry, Department of (83094) | 10 680 | 43,680 | 4,368 | 48,048 |
| Cal Scholarshare Investment Board (06-55536) | 99 unknown | 35,000 | 2,800 | 37,800 |
| Cal Service Corps, The (frmlly Governor's Ofc on Srvc & Volunteerism) (03ACHY12-C72) | 94 006 | (50,256) | (6,315) | (56,571) |
| Cal State Library (18312) | 45 310 | 88,005 | 8,801 | 96,806 |
| Cal State Library (406880) | 45 310 | 35,295 | 3,530 | 38,825 |
| Cal State Library (407224) | 45 310 | 7,289 | 729 | 8,018 |
| Cal State Library (99201056) | 99 unknown | 34,791 | 3,483 | 38,274 |
| Cal State Universities Campuses (84555) | 47 076 | (713) | (57) | (770) |
| Calif Almond Board (08-ENTO3-DAANE) | 99 unknown | 10,622 | - | 10,622 |
| Calif Family Health Council, Inc. (1324-5320-71209-08) | 93 217 | 49,726 | - | 49,726 |
| Calif Family Health Council, Inc. (1324-5320-71209-09) | 93 217 | 36,957 | - | 36,957 |
| Calif Family Health Council, Inc. (OSR #04036778) | 93 217 | 77,762 | 19,373 | 97,135 |
| Calif Fire Safe Council, Inc. (94215) | 15 228 | - | 30 | 30 |
| Calif Medical Center (20080454) | 93 600 | 52,719 | 7,908 | 60,627 |
| Calif Medical Center (20090916) | 99 unknown | 55,887 | 8,383 | 64,270 |
| Carbon Solutions, Inc. (79065) | 99 unknown | - | (59) | (59) |
| Case Western Reserve University (HSH230200732011C) | 99 unknown | 70,277 | 16,212 | 86,489 |
| Center for Applied Special Technology (aka Cast, Inc.) (UCB0711-07) | 84 324 | 123,125 | 24,532 | 147,657 |
| Center for Health Training (the) (82456) | 93 unknown | 1,370 | 411 | 1,781 |
| Center for Plant Conservation (Missouri) (026934) | 15 unknown | 6,826 | 819 | 7,645 |
| Center for Plant Conservation (Missouri) (026935) | 15 unknown | 2,941 | 353 | 3,294 |
| Center To Protect Workers' Rights, The (OSR #04036736) | 93 955 | 93,052 | 20,970 | 114,022 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Chemonics International Consulting Division (UCDASAP01) | 10 unknown | 86,054 | 29,258 | 115,312 |
| Children's Hospital and Research Center at Oakland (incl Chori) (12.8028AUCD01) | 93 172 | 753,800 | 236,721 | 990,521 |
| Children's Hospital of Orange County (07004777) | 93 283 | 2,743 | (2,743) | - |
| Children's Hospital of Orange County (09000339) | 93 283 | 43,038 | 3,443 | 46,481 |
| Children's Hospital of Orange County (20063235) | 93 110 | 116,397 | 9,312 | 125,709 |
| Children's Hospital of Orange County (20063477) | 93 110 | 71,269 | 5,702 | 76,971 |
| Children's Hospital of Orange County (79083) | 93 110 | 54,238 | 4,337 | 58,575 |
| Children's Hospital of Orange County (80340) | 93 110 | (3,637) | (291) | (3,928) |
| Children's Hospital of Orange County (83309) | 93 283 | (2,327) | (186) | (2,513) |
| Children's Hospital of Orange County (86224) | 93 283 | 883 | 71 | 954 |
| Children's Hospital of Orange County (OSR #05040590) | 93 110 | 63,254 | 5,069 | 68,323 |
| Circlepoint (2174.41) | 15 231 | 10,028 | 3,309 | 13,337 |
| Colorado State University (88121) | 10 200 | 10,136 | - | 10,136 |
| Consortium of Univ for The Advancement of Hydrologic Science, Inc. (57530) | 99 unknown | 17,205 | 1,377 | 18,582 |
| Cornell University (56184-8812) | 10 217 | 8,256 | - | 8,256 |
| Dartmouth College (124) | 97 001 | 1,905 | 35,609 | 37,514 |
| Cal Bth Trans./miscellaneous(18632) | 20 701 | 15,000 | - | 15,000 |
| Duke University (incl Duke Clinical Research Institute) (1UH01HL6374701A2) | 93 387 | - | 171 | 171 |
| Economic Development & Financing Corporation (EDFC) (SA7394) | 11 307 | 1,814 | 272 | 2,086 |
| Education Development Center, Inc. (021975) | 47 unknown | (11,046) | (3,481) | (14,527) |
| Education Development Center, Inc. (20880) | 47 076 | 7,103 | 1,563 | 8,666 |
| Emmes Corporation, The (59457) | 93 unknown | 19,478 | 5,653 | 25,131 |
| Endeavor, Inc. (79803) | 84 341 | (9,387) | (751) | (10,138) |
| Georgia Institute of Technology (incl Georgia Tech Research Corp.) (B12M06S12) | 12 unknown | 53,530 | 23,695 | 77,225 |
| Georgia, State of (83979) | 93 041 | (2,453) | (221) | (2,674) |
| H M Jackson Fdn 24391 9/01 (82274) | 12 unknown | 15,218 | 4,428 | 19,646 |
| Hawaii, State of (DOH REF. NO. 8.07) | 93 283 | 4,196 | 1,091 | 5,287 |
| Health Research, Inc. (incl Roswell Park Cancer Institute) (82619) | 93 unknown | 3,284 | 956 | 4,240 |
| Hispanic Assn of Colleges and Universities (HACU) (59763) | 47 unknown | - | 2,250 | 2,250 |
| Hispanic-serving Health Professions Schools, Inc. ((U50-CCU325128-02-01)) | 93 283 | - | 243 | 243 |
| HRL Laboratories, LLC (79000) | 99 unknown | 110,183 | 11,018 | 121,201 |
| Imperial County (ICOE-41312) | 84 unknown | 67,138 | 5,371 | 72,509 |
| Inglewood, City of (08-113) | 14 218 | 39,764 | - | 39,764 |
| Iowa State University (incl Iowa Energy Center) (86411) | 10 unknown | 63,189 | 20,921 | 84,110 |
| Jaeb Center for Health Research, Inc. (86818) | 93 867 | - | 33 | 33 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Jet Propulsion Laboratory (1273192) | 99 unknown | 18,282 | 3,794 | 22,076 |
| Jet Propulsion Laboratory (1335903) | 43 unknown | 2,914 | 962 | 3,876 |
| Johns Hopkins University (82949) | 47 049 | (282) | - | (282) |
| Juvenile Diabetes Research Foundation International (3-2008-48) | 93 855 | 42,212 | - | 42,212 |
| Kansas State University (87590) | 10 500 | 153,616 | - | 153,616 |
| Kansas State University (88133) | 10 500 | 36,627 | - | 36,627 |
| La Clinica De La Raza Fruitvale Health Project, Inc. (1329) | 93 unknown | 78,135 | 25,785 | 103,920 |
| Lantheus Medical Imaging (1053-008-45-02) | 99 unknown | 1,766 | 365 | 2,131 |
| Lawrence Livermore National Security, LLC (87739) | 81 unknown | 38,047 | - | 38,047 |
| Los Alamos National Security, LLC (SUB 72232-002-06) | 81 unknown | 203,999 | 116,972 | 320,971 |
| Los Angeles Biomedical Research Institute At Harbor-UCLA Medical Ctr (20063006) | 99 unknown | 117,215 | - | 117,215 |
| Los Angeles County Office of Education (055420:07:08) | 84 367 | 18,407 | 1,473 | 19,880 |
| Los Angeles County Office of Education (055421:07:08) | 84 363 | 15,008 | 1,201 | 16,209 |
| Los Angeles County Office of Education (056900:07:08) | 84 363 | 13,014 | 1,041 | 14,055 |
| Los Angeles County Office of Education (064619:08:09) | 84 215 | 24,454 | 1,956 | 26,410 |
| Los Angeles County Office of Education (20608) | 84 367 | 651 | 52 | 703 |
| Los Angeles Dept of Children and Family Services, County of (77073) | 99 unknown | (1,263) | 31,523 | 30,260 |
| Los Angeles Dept of Children and Family Services, County of (77124) | 93 556 | 182,316 | 18,232 | 200,548 |
| Los Angeles Health Dept, County of (20654) | 93 069 | 16,335 | 4,608 | 20,943 |
| Los Angeles Health Dept, County of (H-703663) | 93 889 | 101,124 | 23,817 | 124,941 |
| Los Angeles Health Dept, County of (PH-000286) | 93 069 | 67,191 | 15,956 | 83,147 |
| Los Angeles Unified School District (0900116) | 84 287 | 298,272 | 14,914 | 313,186 |
| Los Angeles Unified School District (77103) | 99 unknown | (6,013) | (301) | (6,314) |
| Los Angeles, City of (77069) | 17 263 | (41,539) | (2,182) | (43,721) |
| Los Angeles, County of (H-300159) | 93 889 | 272,237 | - | 272,237 |
| Los Angeles, County of (H-701039) | 93 889 | 34,223 | - | 34,223 |
| Los Rios Community College District (07004446) | 47 076 | 7,565 | 2,572 | 10,137 |
| Magee-Womens Health Corporation (59608) | 93 865 | 241 | 115 | 356 |
| Marquette University (Milwaukee, Wi) (02031897) | 84 133 | (343) | (89) | (432) |
| Mayo Foundation for Medical Education & Research (incl Clinic&clin Tri (87912) | 93 853 | 3,512 | 1,826 | 5,338 |
| Mendocino, County of (PA#07-08) | 93 unknown | 5,520 | 998 | 6,518 |
| Merced Union High School District (58022) | 99 unknown | 13,842 | - | 13,842 |
| Merced, County of (88125) | 99 unknown | 5,725 | 1,260 | 6,985 |
| Montana State University (G14509W1945) | 10 210 | 21,600 | - | 21,600 |
| Monterey Peninsula Foundation (20062343) | 12 300 | 10,781 | 4,851 | 15,632 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Mount Sinai School of Medicine (New York) (017041) | 93 853 | 32,064 | - | 32,064 |
| Museum of Science (4209-LHS-1) | 43 unknown | 46,105 | 23,974 | 70,079 |
| Natl 4-H Council (88160) | 10 500 | 1,968 | - | 1,968 |
| Natl Academy of Sciences(incl Inst of Med,Natl Res Cncl,Natl Acd Engr) (P281111) | 81 unknown | 10,293 | - | 10,293 |
| Natl Assn of County and City Health Officials (NACCHO) (2009-011502) | 93 008 | 35,000 | 9,100 | 44,100 |
| Natl Council on Economic Education (NCEE) (57581) | 81 unknown | 3,232 | - | 3,232 |
| Natl Council on the Aging (04074199) | 93 048 | (381) | (38) | (419) |
| Natl Fish and Wildlife Foundation (CA20080116012) | 10 912 | 9,845 | 1,464 | 11,309 |
| Natl Space Biomedical Research Institute (PF01903) | 43 unknown | 25,038 | - | 25,038 |
| Natl Writing Project Corporation (01-CA18) | 84 928 | 28,007 | - | 28,007 |
| Natl Writing Project Corporation (57539) | 99 unknown | 271 | - | 271 |
| Natl Writing Project Corporation (57663) | 84 928 | 27,548 | - | 27,548 |
| Natl Writing Project Corporation (59713) | 84 928 | 50,514 | - | 50,514 |
| Natl Writing Project Corporation (59835) | 84 928 | 84,716 | - | 84,716 |
| Natl Writing Project Corporation (79008) | 84 928 | (18,667) | - | (18,667) |
| Natl Writing Project Corporation (92-CA03) | 84 928 | 114,018 | - | 114,018 |
| Natl Writing Project Corporation (92-CA06) | 84 928 | 40,098 | - | 40,098 |
| Natl Writing Project Corporation (92-CA07) | 84 928 | 56,513 | - | 56,513 |
| Natl Writing Project Corporation (92-CA09) | 84 928 | 30,003 | - | 30,003 |
| Natl Writing Project Corporation (92-CA14) | 84 928 | 16,652 | - | 16,652 |
| Natl Writing Project Corporation (92-CA15 AMEND 21A) | 99 unknown | 59,502 | - | 59,502 |
| Natl Writing Project Corporation (NWP2009) | 84 928 | 2,526 | - | 2,526 |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (80152) | 47 041 | (7,962) | (1,323) | (9,285) |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (OMSA-2006) | 47 041 | (178) | (57) | (235) |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (OMSA-2007-SSL-UCLA) | 47 041 | 252,401 | 63,999 | 316,400 |
| Network for Earthquake Engineering Simulation Consortium Inc. (NEES) (OMSA-2008-SSL-UCLA) | 47 041 | 527,763 | 159,162 | 686,925 |
| New England Research Institute, Inc. (OSR #05039104) | 93 273 | 14,711 | 7,576 | 22,287 |
| New York Hall of Science (ONE(1)) | 47 076 | 5,459 | 1,638 | 7,097 |
| Nis Solutions (84759) | 14 unknown | 2,184 | 259 | 2,443 |
| North Carolina Agricultural and Technical State University (82864) | 12 300 | 44,676 | - | 44,676 |
| Northeast Valley Health Corporation (20070496) | 93 224 | 181,118 | - | 181,118 |
| Northeast Valley Health Corporation (20082073) | 93 191 | 9,409 | 3,011 | 12,420 |
| Northeast Valley Health Corporation (57505) | 93 224 | 155,435 | - | 155,435 |
| Northwest California Resource Conservation and Development Council (86828) | 10 674 | - | 23 | 23 |
| Northwestern University (0760350C445961) | 10 206 | 19,191 | 4,495 | 23,686 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| NSABP Foundation, Inc. (Natl Surgical Adjuvant Breast & Bowel Project) (UCLA-YR. 38) | 93 395 | 27,605 | 8,834 | 36,439 |
| Oak Ridge Associated Universities (82246) | 81 049 | 7,208 | 2,098 | 9,306 |
| Oakland Unified School District (20060946) | 99 unknown | 30,000 | - | 30,000 |
| Oregon Health Sciences University (82943) | 47 050 | 164 | 74 | 238 |
| Oregon State University (87510) | 11 417 | 33,604 | 7,393 | 40,997 |
| Pacific Institute for Research & Eval (incl Prevention Resch Ctr) (03122201) | 93 273 | 10,611 | 1,061 | 11,672 |
| Pacific Institute for Research & Eval (incl Prevention Resch Ctr) (82295) | 93 273 | 5,476 | - | 5,476 |
| Parkinson's Institute (formerly Calif Parkinson's Foundation) (6125-601-UCSF) | 12 420 | 58,584 | 15,232 | 73,816 |
| Parkinson's Institute (formerly Calif Parkinson's Foundation) (83375) | 93 853 | (568) | (148) | (716) |
| Parkinson's Institute (formerly Calif Parkinson's Foundation) (83692) | 93 113 | (401) | (104) | (505) |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (08002271) | 93 unknown | 43,930 | 22,844 | 66,774 |
| Partners Healthcare System, Inc.(incl Massachusetts General Hospital) (09001301) | 93 853 | 128 | 33 | 161 |
| Pennsylvania State University (incl Penn State Research Foundation) (3619UCDUSDA8710) | 10 206 | 22,695 | 5,674 | 28,369 |
| Physical Optics Corporation (57546) | 93 048 | - | (15) | (15) |
| Public Health Foundation Enterprises, Inc. (2186.001/ADAPT) | 93 941 | 11,943 | 3,105 | 15,048 |
| Public Health Foundation Enterprises, Inc. (84787) | 93 unknown | (100) | (8) | (108) |
| Public Health Institute (formerly Calif Public Health Foundation) (1013139) | 93 unknown | 149,339 | 49,282 | 198,621 |
| Public Health Institute (formerly Calif Public Health Foundation) (1014479-R) | 93 938 | 33,607 | 11,090 | 44,697 |
| Purdue University (100589) | 98 unknown | 34,011 | 8,693 | 42,704 |
| Rancho Santiago Community College District (DO-07-039) | 84 334 | 148,550 | - | 148,550 |
| Rancho Santiago Community College District (DO-08-057) | 84 334 | 100,792 | - | 100,792 |
| Riverside, County of (87169) | 99 unknown | 286,495 | - | 286,495 |
| Rutgers University (2004CA001) | 10 unknown | 2,556 | - | 2,556 |
| Rutgers University (3473) | 99 unknown | 7,347 | - | 7,347 |
| Sacramento Area Council of Governments (87224) | 20 unknown | 56,695 | - | 56,695 |
| Sacramento City Unified School District (SA0900249) | 84 215 | 20,159 | 1,613 | 21,772 |
| Sacramento City Unified School District (SA0900250) | 84 215 | 113,370 | 9,069 | 122,439 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (55147A P1623 7803 211) | 47 076 | 28,722 | 9,478 | 38,200 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (55170A 7802) | 12 300 | 23,649 | 9,344 | 32,993 |
| San Diego State Univ Rsch Fdn (incl Hansen Inst for World Peace) (55301AP26997807213) | 93 768 | 37,073 | - | 37,073 |
| San Francisco Community Clinic Consortium (82574) | 93 252 | 13 | 4 | 17 |
| San Francisco State University Foundation, Inc. (82318) | 84 252 | (3,932) | (315) | (4,247) |
| San Francisco Unified School District (20792) | 47 unknown | - | 1,164 | 1,164 |
| San Mateo County (068278) | 99 unknown | 5,448 | 1,634 | 7,082 |
| San Mateo County (74100-06-C304) | 93 unknown | 10,917 | 3,275 | 14,192 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Sandia National Laboratories (86555) | 81 unknown | 49,999 | - | 49,999 |
| Santa Ana Unified School District (39562) | 84 unknown | 26,640 | 770 | 27,410 |
| Santa Barbara, County of (20612) | 93 243 | - | (187) | (187) |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (4400142671) | 12 300 | 249 | 66 | 315 |
| Science Applications Intl Corp (SAIC)(incl Geo-cntr, Eai Corp) (SUB P010003906 (GS-23F-8006H)) | 12 300 | 133,762 | 34,778 | 168,540 |
| Sexual Minority Alliance of Alameda County (SMAAC) (82588) | 93 939 | (53,066) | (15,920) | (68,986) |
| Shasta, County of (08004115) | 93 658 | 15,477 | - | 15,477 |
| Simon Fraser University (Canada) (UCSF#A107001) | 93 unknown | 5,158 | - | 5,158 |
| Social & Scientific Systems, Inc. (31224) | 93 856 | 194 | 51 | 245 |
| Solano, County of (08001298) | 84 215 | 84,164 | 6,733 | 90,897 |
| Southwest Educational Development Laboratory (P.O.53779) | 99 unknown | 15,710 | 5,027 | 20,737 |
| Southwest Educational Development Laboratory (P.O.54604) | 99 unknown | 119,437 | 38,218 | 157,655 |
| Sri International (incl Sarnoff Corporation) (55-000164) | 47 076 | 555 | 289 | 844 |
| Sri International (incl Sarnoff Corporation) (66-000091) | 47 076 | 65,451 | 19,635 | 85,086 |
| Stanford University (19390120-26709-B) | 99 unknown | 1,745 | 951 | 2,696 |
| Sweetwater Union High School District (Chula Vista, Ca) (SUB 20061465 (P334A050319)) | 84 unknown | 37,004 | 2,960 | 39,964 |
| Texas A&M University (20074866203752) | 10 500 | 309,764 | 30,976 | 340,740 |
| Texas A&M University (570232) | 12 300 | (2,270) | 39,917 | 37,647 |
| U.S. Civilian Research and Development Foundation (CRDF) (86908) | 19 unknown | - | 61 | 61 |
| United Negro College Fund (UNCF) (SB060144) | 43 unknown | 8,500 | - | 8,500 |
| University and Community College System of Nevada (incl UNLV,Reno,DRI) (UNR-07-96) | 47 076 | 296,447 | 103,636 | 400,083 |
| University of Arizona (82127) | 93 unknown | (686) | (55) | (741) |
| University of Arizona (SA7337) | 10 303 | 76,465 | 19,117 | 95,582 |
| University of Chicago (29546) | 43 unknown | (6,437) | - | (6,437) |
| University of Colorado System (79035) | 99 unknown | - | (40) | (40) |
| University of Florida (87236) | 10 001 | 9,945 | - | 9,945 |
| University of Georgia (incl Skidaway Institute of Oceanography) (UCSF#A105851) | 99 unknown | 18,711 | 2,245 | 20,956 |
| University of Hawaii (incl Research Corporation of The Univ of Hawaii) (87589) | 10 500 | 24,833 | - | 24,833 |
| University of Houston (SUB 07-01 0636352(OCI-0636352)) | 47 080 | 7,427 | 3,342 | 10,769 |
| University of Houston (SUB 0739194-02 (CNS-0739194)) | 47 070 | 6,552 | 2,949 | 9,501 |
| University of Iowa (1000614991) | 93 172 | 3,569 | 2,981 | 6,550 |
| University of Iowa (87909) | 93 unknown | 12,463 | 6,481 | 18,944 |
| University of Maine System (UM-S649) | 45 149 | 28,471 | - | 28,471 |
| University of Maryland (H98230-09-1-0249) | 12 901 | 2,827 | 905 | 3,732 |
| University of Maryland (H98230-09-1-0250) | 12 901 | 9 | 3 | 12 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| University of Mississippi (07-03-084) | 93 185 | - | (131) | (131) |
| University of Nebraska (87542) | 10 500 | 28,821 | - | 28,821 |
| University of North Carolina (5-50526) | 93 110 | 58,831 | 13,888 | 72,719 |
| University of North Carolina (58678) | 93 647 | - | (1,148) | (1,148) |
| University of North Carolina (84716) | 93 unknown | 8,812 | 4,759 | 13,571 |
| University of North Carolina (84739) | 10 unknown | 1,935 | 194 | 2,129 |
| University of Pennsylvania (08001923) | 93 867 | 22,443 | 11,670 | 34,113 |
| University of Pennsylvania (550128) | 93 864 | 43,327 | - | 43,327 |
| University of Rochester (4131111G) | 93 unknown | 2,787 | 1,372 | 4,159 |
| University of Rochester (87911) | 93 853 | 2,431 | 1,540 | 3,971 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (123332) | 47 050 | 130,123 | 37,828 | 167,951 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (57500) | 84 015 | - | 1,355 | 1,355 |
| University of Southern California (incl Kenneth Norris, Jr Cancer Ctr) (82539) | 93 867 | - | (147) | (147) |
| University of Texas-Houston (82069) | 93 397 | - | 721 | 721 |
| University of Texas-San Antonio (122214/122209) | 99 unknown | 20,356 | 1,629 | 21,985 |
| University of Utah (71253) | 93 865 | 3,865 | 309 | 4,174 |
| University of Utah (83002) | 93 865 | - | 419 | 419 |
| University of Washington (312408) | 93 145 | 350,863 | 31,167 | 382,030 |
| University of Washington (444068) | 98 unknown | 174,011 | 43,900 | 217,911 |
| University of Washington (82423) | 93 145 | 3,795 | 304 | 4,099 |
| University of Washington (82556) | 93 145 | (1,720) | (3) | (1,723) |
| University of Washington (83062) | 93 145 | 87,382 | 7,253 | 94,635 |
| University of Washington (83454) | 93 856 | 1,690 | 921 | 2,611 |
| University of Washington (SUB 587237 (HA06801)) | 93 266 | 696,494 | 53,354 | 749,848 |
| University of Wisconsin (407F772) | 47 076 | 78,671 | 37,996 | 116,667 |
| University of Wyoming (86650) | 10 500 | 6,677 | - | 6,677 |
| Utah State University (incl Western Sare) (86579) | 10 200 | 4,318 | - | 4,318 |
| Utah State University (incl Western Sare) (87536) | 10 215 | 15,246 | - | 15,246 |
| Utah State University (incl Western Sare) (88122) | 10 215 | 1,198 | - | 1,198 |
| Vanderbilt University (Tennessee) (VUMC 34895) | 93 989 | 146,059 | 7,152 | 153,211 |
| Ventura County Community College District (OC-UCSB1) | 84 031 | 48,848 | - | 48,848 |
| Washington State University (Pullman, Wa) (31468) | 10 500 | 204 | (204) | - |
| Washington State University (Pullman, Wa) (59449) | 10 500 | 7,189 | 1,582 | 8,771 |
| Washington State University (Pullman, Wa) (G002046) | 10 500 | 10,129 | - | 10,129 |
| Watts Labor Community Action Committee (WLCAC) (77036) | 99 unknown | (14,866) | (743) | (15,609) |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|---|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Webplay (Santa Monica, Ca) (20060197) | 84 351 | 24,635 | 6,889 | 31,524 |
| West Hills Community College District (incl Coalinga & Lemoore) (86984) | 84 unknown | 5,934 | - | 5,934 |
| Westat (31465) | 93 unknown | - | 511 | 511 |
| Westat (57636) | 99 unknown | 168,681 | 53,978 | 222,659 |
| Wested (frmly Far West Laboratory) (4956 S05-093) | 84 283 | 429,625 | 133,502 | 563,127 |
| Wested (frmly Far West Laboratory) (5448 S08-069) | 47 076 | 4,828 | 1,545 | 6,373 |
| WestHills Comm College District (57535) | 84 031 | 271,068 | - | 271,068 |
| WestHills Comm College District (57538) | 84 031 | 82,586 | - | 82,586 |
| WestHills Comm College District (P031S0600050) | 84 031 | 31,879 | - | 31,879 |
| Woods Hole Oceanographic Institution (A100522) | 47 050 | 5,131 | 821 | 5,952 |
| World Institute on Disability H133B4 (59986) | 99 unknown | 4,148 | 622 | 4,770 |
| Yale University (A07225-M07A00669) | 93 865 | 78,772 | 747 | 79,519 |
| Total Pass Through Agency Awards Expended | | 30,002,565 | 3,805,854 | 33,808,419 |
| Partial Pass Through Agency Awards Expended | | | | |
| Alameda County Health Care Services Agency (C-94-0340) | 99 unknown | 190,315 | 22,838 | 213,153 |
| Auburn University (05-ACES-539109-UCR) | 10 500 | 9,325 | - | 9,325 |
| Cal Bth Office of Traffic Safety (77601) | 99 unknown | 431 | (435,520) | (435,089) |
| Cal Bth Trans./miscellaneous (18360) | 20 unknown | - | 31 | 31 |
| Cal DE Curriculum & Instruction Branch (18837) | 84 367 | 4,589 | 367 | 4,956 |
| Cal DE Curriculum & Instruction Branch (18857) | 84 367 | 45 | 4 | 49 |
| Cal DE Curriculum & Instruction Branch (18865) | 84 367 | 22,969 | - | 22,969 |
| Cal DE Curriculum & Instruction Branch (83212) | 84 367 | 346 | 28 | 374 |
| Cal DE Curriculum & Instruction Branch (NCLB4-CWP-UCSC) | 84 367 | 19,964 | 1,597 | 21,561 |
| Cal DE Curriculum & Instruction Branch (NCLB5-CFLP-UCB) | 84 367 | 15,762 | 642 | 16,404 |
| Cal DE Curriculum & Instruction Branch (NCLB5-CHSSP-UCB) | 84 367 | 47,458 | 3,735 | 51,193 |
| Cal DE Curriculum & Instruction Branch (NCLB5-CWP-UCB) | 84 367 | 55,819 | 1,596 | 57,415 |
| Cal DE Curriculum & Instruction Branch (NCLB5CWPUCD) | 84 367 | 49,333 | 3,947 | 53,280 |
| Cal DE Curriculum & Instruction Branch (NCLB5TCAPUCD) | 84 367 | 52,203 | 4,146 | 56,349 |
| Cal DE Curriculum & Instruction Branch (NCLBS-CWP-SO) | 84 367 | 2,584 | 207 | 2,791 |
| Cal DE Curriculum & Instruction Branch (NCLBS-CWP-UCB) | 84 367 | 6,275 | 502 | 6,777 |
| Cal DE Curriculum & Instruction Branch (NCLBS-CWP-UCSD) | 84 367 | 251 | 20 | 271 |
| Cal DE Curriculum & Instruction Branch (NCLBSTCAPUCD) | 84 367 | 6,998 | 559 | 7,557 |
| Cal DE Curriculum & Instruction Branch (NCLBX-CFLP-UCB) | 99 unknown | 2,147 | - | 2,147 |
| Cal DE Curriculum & Instruction Branch (NCLBX-CHSSP-UCB) | 84 367 | 29,922 | - | 29,922 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal DE Curriculum & Instruction Branch (NCLBX-CSP-UCB) | 84 367 | 888 | - | 888 |
| Cal DE Curriculum & Instruction Branch (SUB NCLB5-CSP-UCSD (CN088144)) | 84 367 | 53,593 | 4,287 | 57,880 |
| Cal DE Curriculum & Instruction Branch (SUB NCLB5-CWP-UCSD (CN088144)) | 84 367 | 45,504 | 3,640 | 49,144 |
| Cal DE Curriculum & Instruction Branch (UCLB5-CSP-UCR) | 84 367 | 9,311 | 745 | 10,056 |
| Cal DE/miscellaneous Divisions or Bureaus (1493-5A) | 10 558 | 4,895 | - | 4,895 |
| Cal DE/miscellaneous Divisions or Bureaus (15992) | 10 558 | 22,973 | - | 22,973 |
| Cal DE/miscellaneous Divisions or Bureaus (18859) | 10 558 | 11,367 | - | 11,367 |
| Cal DE/miscellaneous Divisions or Bureaus (83175) | 84 unknown | 115,746 | 9,254 | 125,000 |
| Cal DE/miscellaneous Divisions or Bureaus (83245) | 84 367 | 75,790 | 6,063 | 81,853 |
| Cal DE/miscellaneous Divisions or Bureaus (NCLBS-CMP-UCB) | 84 367 | 5,502 | 440 | 5,942 |
| Cal DE/miscellaneous Divisions or Bureaus (NCLBS-CSP-UCB) | 84 367 | 2,062 | 165 | 2,227 |
| Cal DE/miscellaneous Divisions or Bureaus (NCLBS-RPI-UCB) | 84 367 | 47,791 | 8,613 | 56,404 |
| Cal DE/miscellaneous Divisions or Bureaus (NCLBXCHSSPSO) | 84 367 | 143,439 | - | 143,439 |
| Cal DE/miscellaneous Divisions or Bureaus (NCLBXCHSSPUCD) | 84 367 | 7,902 | - | 7,902 |
| Cal EPA Water Control Board (053112500) | 66 640 | 32,095 | - | 32,095 |
| Cal Governor's Emergency Services, Office of (HMGP 1628-42-20) | 83 unknown | 24,869 | - | 24,869 |
| Cal H&W Developmental Services, Department of (18316) | 99 unknown | (15,533) | - | (15,533) |
| Cal H&W Education, Liaison, Office of (NCLB4-CMP-UCB) | 84 367 | (1,751) | (140) | (1,891) |
| Cal H&W Education, Liaison, Office of (NCLB4-CWP-SO) | 84 367 | 53 | 4 | 57 |
| Cal H&W Education, Liaison, Office of (NCLB4-CWP-UCB) | 84 367 | 47,582 | 3,807 | 51,389 |
| Cal H&W Health Care Services, Department of (DHCS) (18289) | 99 unknown | 17,573 | 431 | 18,004 |
| Cal H&W Health Care Services, Department of (DHCS) (18456) | 93 779 | (278) | (25) | (303) |
| Cal H&W Health Care Services, Department of (DHCS) (18505) | 10 unknown | 121,896 | 28,685 | 150,581 |
| Cal H&W Social Services, Department of (05-2016) | 93 unknown | 182,373 | - | 182,373 |
| Cal H&W Social Services, Department of (062015) | 10 unknown | 2,982,661 | 649,660 | 3,632,321 |
| Cal H&W Social Services, Department of (08-2029) | 93 unknown | 9,998,680 | - | 9,998,680 |
| Cal H&W Social Services, Department of (08-2030) | 93 unknown | 894,689 | - | 894,689 |
| Cal H&W Social Services, Department of (15785) | 93 unknown | 11,100 | - | 11,100 |
| Cal H&W Social Services, Department of (18506) | 10 561 | - | (65) | (65) |
| Cal H&W Social Services, Department of (18551) | 10 unknown | 14,899 | - | 14,899 |
| Cal H&W Social Services, Department of (18555) | 93 658 | 147,847 | - | 147,847 |
| Cal H&W Social Services, Department of (77618) | 99 unknown | 10,707,076 | - | 10,707,076 |
| Cal H&W Social Services, Department of (SA 06-002378) | 10 561 | 11,740 | 3,052 | 14,792 |
| Cal Institute for Regenerative Medicine (CIRM) (18614) | 99 unknown | 360,015 | 36,002 | 396,017 |
| Cal Postsecondary Education Commission (SUB NONE (ITQ-03-350)) | 84 unknown | 82,950 | 6,636 | 89,586 |

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

| | Federal CFDA # | Direct Expenditures | Indirect Expenditures | Total Expenditures |
|--|---------------------------|--------------------------------|----------------------------------|-------------------------------|
| Cal Ra Fish and Game, Department of (SUB S07500026 (NONE)) | 15 615 | 5,000 | - | 5,000 |
| Cal State Library (40-7009) | 45 310 | 5,000 | - | 5,000 |
| Cal State Universities Campuses (18427) | 84 129 | (907) | (73) | (980) |
| Cal Student Aid Comm (incl Cal Grants, Workstudy, Grad Fellow, Etc) (SUB 20084081(Q-08-006)) | 84 unknown | 522,473 | - | 522,473 |
| Calif Space Authority, Inc. (07-CSA-MPTI-S01-UCR) | 17 259 | 39,200 | 3,920 | 43,120 |
| Center for Plant Conservation (Missouri) (023760) | 15 unknown | 6,516 | 782 | 7,298 |
| Inst of International Education (86287) | 84 unknown | (1,305) | - | (1,305) |
| Inst of International Education (87522) | 84 unknown | 245,126 | - | 245,126 |
| Los Angeles Universal Preschool (LAUP) (57094) | 99 unknown | (4,316) | (428) | (4,744) |
| Natl Writing Project Corporation (92-CA11) | 84 928 | 32,694 | - | 32,694 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (82957) | 99 unknown | - | (243) | (243) |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (83318) | 99 unknown | 107,675 | 27,995 | 135,670 |
| Northern Calif Institute for Research and Education, Inc. (VA Fdn) (84083) | 99 unknown | 7,247 | 1,884 | 9,131 |
| Private Industry Council of Southeast Los Angeles County, Inc. (20060929) | 99 unknown | - | 347 | 347 |
| Santa Clara County (20653) | 10 561 | 39,654 | 7,290 | 46,944 |
| Santa Clara County (88147) | 10 561 | 79,220 | 17,396 | 96,616 |
| Western States Arts Foundation (TWP090069) | 45 unknown | 2,500 | - | 2,500 |
| Western States Arts Foundation (TWP090146) | 45 024 | 2,500 | - | 2,500 |
| Yolo County (20652) | 84 215 | 144,752 | 33,809 | 178,561 |
| Total Partial Pass Trough Agency Awards Expended | | <u>27,917,064</u> | <u>458,632</u> | <u>28,375,696</u> |
| Total Other Programs | | <u>236,312,888</u> | <u>23,613,204</u> | <u>259,926,092</u> |
| Total Federal Awards | | <u>\$ 2,963,062,919</u> | <u>\$ 712,052,928</u> | <u>\$ 3,675,115,847</u> |

The accompanying notes are an integral part of this schedule.

University of California
Notes to Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2009

1. Basis of Accounting

The accompanying Schedule of Expenditures of Federal Awards includes the federal grant activity of the University and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the University financial statements. Negative amounts represent adjustments in the normal course of business to amounts reported in a prior year.

Consistent with the provisions of OMB Circular A-133, the accompanying Schedule of Expenditures of Federal Awards does not include the expenditures of the Lawrence Berkeley National Laboratory - a major Department of Energy (DOE) national laboratory operated and managed by the University under contracts directly with DOE for the year ended June 30, 2009 – as it is a government-owned, contractor operated (GOCO) facility.

2. Loan Programs

The University participates in the following federal loan programs:

| | CFDA Number | 2008-2009 Amount Authorized or Advanced (in thousands) | Outstanding Balance as of June 30, 2009 (in thousands) |
|--|------------------------|---|---|
| U. S. Department of Education | | | |
| Federal Perkins Loan Program | 84.038 | \$ 22,857 | \$220,720 |
| Federal Family Educational Loan Program | 84.032 | \$343,078 | N/A |
| Federal Direct Student Loan Program | 84.268 | \$587,837 | N/A |
| U.S. Department of Health and Human Services | | | |
| Health Professions Student Loan Program/Loans for Disadvantaged Students/Primary Care Loan Program | 93.342 | \$4,379 | \$35,573 |
| Nursing Student Loan Program | 93.364 | \$327 | \$1,387 |
| Nurse Faculty Loan Program | 93.264 | \$277 | \$941 |

University of California
Notes to Schedule of Expenditures of Federal Awards (Continued)
For the Fiscal Year Ended June 30, 2009

3. CFDA Numbers

Research and Development programs included in the Schedule of Expenditures of Federal Awards are presented by federal agency and major subdivision within the federal agency. Pass-through and partial pass-through awards have been presented by pass-through entity, CFDA number, sponsor's award number, when available, or by the University assigned identifier. In accordance with instructions distributed by the Federal Audit Clearinghouse, when CFDA numbers are not available, federal awards are presented by federal agency number and the suffix "unknown" for the federal identification number. When the federal agency and the federal identification number are not available, "99.unknown" is used.

4. Partial Pass-Throughs

The University has included, within the Schedule of Expenditures of Federal Awards, amounts received from pass-through entities which include funds from both federal and nonfederal sources because the pass-through entity is unable to determine the portion that is federal.

5. Commingled Assistance

The California Student Aid commission (CSAC) administers the State Cal Grant A and B Programs, selects the student recipients of these grant awards, and provides the funds to participating institutions for disbursement. Leveraging Educational Assistance Partnership (LEAP) funds (CFDA Number 84.069A) from the United States Department of Education may comprise up to approximately 1.2% of the total funding for these Cal Grant awards. In fiscal year 2009 the University received Cal Grant A and B funds in the amount of \$337,699,832; however, CSAC is unable to determine the amount of LEAP funds, if any, represented in those awards. As such, the Schedule of Expenditures of Federal Awards does not include State Cal Grant A and B awards.

6. Robert C. Byrd Honors Scholarship Program (CFDA 84.185A)

The Robert C. Byrd Honors Scholarship Program is a federally funded, state-administered program that recognizes exceptionally able high school seniors. The awardees are selected by the state education agencies in the states in which those high school students reside; the state education agencies then send the funds on behalf of those students to the institutions of higher education in which they enroll. In fiscal year 2009, University students received \$2,229,371 from the Robert C. Byrd Honors Scholarship Program administered by various state education agencies.

7. Amount Provided to Subrecipients

The amount of Federal Direct Research and Development funds expended by sub-awardees in fiscal year 2009 totaled \$300,032,301. The amount of Federal Direct other funds expended by sub-awardees in fiscal year 2009 totaled \$9,988,947.

**Report of Independent Auditors on Internal Control
over Financial Reporting and on Compliance and Other
Matters Based on an Audit of Financial Statements
Performed in Accordance with *Government Auditing Standards***

To The Regents of the University of California

We have audited the financial statements listed in the accompanying table of contents, which collectively comprise the financial statements of the University of California (the "University"), a component unit of the State of California, as of and for the year ended June 30, 2009, and have issued our report thereon dated October 14, 2009. As discussed in the significant accounting policies in the Notes to Financial Statements, the University adopted Governmental Accounting Standards Board Statement No. 49, *Accounting and Financial Reporting for Pollution Remediation Obligations*, as of July 1, 2008. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. The financial statements of the University's component units were not audited in accordance with *Government Auditing Standards*.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the University's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over financial reporting.

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to initiate, authorize, record, process, or report financial data reliably in accordance with generally accepted accounting principles such that there is more than a remote likelihood that a misstatement of the entity's financial statements that is more than inconsequential will not be prevented or detected by the entity's internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the financial statements will not be prevented or detected by the entity's internal control.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to management of the University in a separate letter dated October 16, 2009 which is included in Appendix A.

This report is intended solely for the information and use of the University's Board of Regents, its audit committee, management, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

PriceWaterhouseCoopers LLP

October 14, 2009

**Report of Independent Auditors on Compliance with Requirements
Applicable to Each Major Program and on Internal Control over Compliance
in Accordance with OMB Circular A-133**

To The Regents of the University of California

Compliance

We have audited the compliance of the University of California (the "University") with the types of compliance requirements described in the U.S. Office of Management and Budget ("OMB") Circular A-133 *Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2009, except as described in the second paragraph of this report. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

We did not audit the University's compliance with the requirements governing Student Loan Billing and Collection compliance requirements specified by the Federal Perkins Loan Program and described in the OMB Circular A-133 *Compliance Supplement*. Compliance with these requirements was audited by other auditors whose report thereon has been furnished to us, and our opinion expressed herein, insofar as it relates to the University's compliance with those requirements, is based solely on the report of the other auditors. Additionally, we did not audit the University's compliance with the requirements governing the reporting requirements over Student Status Confirmation Reports specified by the Federal Family Education Loan Program and described in the OMB Circular A-133 *Compliance Supplement*. Compliance with these requirements was audited by other auditors whose report thereon has been furnished to us, and our opinion expressed herein, insofar as it relates to the University's compliance with those requirements, is based solely on the report of the other auditors.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the University's compliance with those requirements.

In our opinion, based on our audit and the reports of other auditors, the University complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2009. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items 09-1 through 09-4.

Internal Control over Compliance

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, except as noted in the following paragraph, we considered the University's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

We did not consider internal control over compliance with the Student Loan Billing and Collection compliance requirements specified by the Federal Perkins Loan Program and described in the OMB Circular A-133 *Compliance Supplement*. Internal control over these compliance requirements was considered by the other auditors referred to above; and our report, insofar as it relates to the University's internal control over those compliance requirements, is based solely upon the report of the other auditors. Additionally, we did not consider internal control over compliance with the requirements governing the reporting requirements over Student Status Confirmation Reports specified by the Federal Family Education Loan Program and described in the OMB Circular A-133 *Compliance Supplement*. Internal control over these compliance requirements was considered by the other auditors referred to above; and our report, insofar as it relates to the University's internal control over those compliance requirements, is based solely upon the report of the other auditors.

A control deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to administer a federal program such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a federal program that is more than inconsequential will not be prevented or detected by the entity's internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity's internal control. Our consideration and the other auditors' consideration of the internal control over compliance was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. Also, the reports of the other auditors did not identify any deficiencies in internal control over compliance that they consider to be material weaknesses, as defined above.



The University's response to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the University's response and, accordingly, we express no opinion on it.

This report is intended solely for the information and use of the University's Board of Regents, its audit committee, management, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than those specified parties.

Price Waterhouse Coopers LLP

March 29, 2010

**University of California
 Schedule of Findings and Questioned Costs
 For the Year Ended June 30, 2009**

Part I – Summary of Auditors’ Results

Financial Statements

| | |
|---|---------------|
| Type of auditors' report issued: | Unqualified |
| Internal control over financial reporting: Material weakness(es) identified? | No |
| Significant deficiencies identified that are not considered to be material weakness(es)? | None Reported |
| Noncompliance material to financial statements noted? | No |

Federal Awards

| | |
|--|---------------|
| Type of auditors' report issued on compliance for major programs: | Unqualified |
| Internal control over major programs: Material weakness(es) identified? | No |
| Significant deficiencies identified not considered to be material weakness(es)? | None Reported |
| Any audit findings disclosed that are required to be reported in accordance with OMB Circular A-133, Section 510(a)? | Yes |

Programs Subjected to Audit Procedures as Major Programs

| Name of Federal Program | CFDA Number | |
|--|--------------------|--------------|
| Research and Development Cluster | Various | |
| Student Financial Aid Cluster | Various | |
| ARRA - State Fiscal Stabilization Fund | 84.394 | |
| Dollar threshold used to distinguish between Type A and Type B programs: | | \$11,044,193 |
| Auditee qualified as low-risk auditee? | | Yes |

Part II – Financial Statement Findings

None reported.

**University of California
Schedule of Findings and Questioned Costs
(Continued)
For the Year Ended June 30, 2009**

Part III – Federal Award Findings and Questioned Costs

Finding 09-01: Untimely Cost Transfers

Research and Development Cluster

National Institutes of Health, Northern California Institute for Research and Education, Inc., CFDA # 93.000, Award # NCIRE, fiscal 2008-2009, \$2,512

National Science Foundation, CFDA # 47.050, Award # SCI0503944, fiscal 2008-2009, \$100,000

National Oceanic and Atmospheric Administration, CFDA # 11.008, Award # NA17RJ1231, fiscal 2008-2009, \$47,792

National Institutes of Health, National Institute of Allergy and Infectious Diseases, CFDA # 93.000, Award # P30AI036214, fiscal 2008-2009, \$71,950

Office of Naval Research, CFDA # 12.300, Award # N00014-05-1-0439, fiscal 2008-2009, \$1,428

National Science Foundation, CFDA # 47.050, Award # 0620276, fiscal 2008-2009, \$2,310

Citation

2 CFR section 220 Appendix A part C.4.d.(4)

Questioned Costs

None noted.

Criteria

The NIH Grants Policy Statement states: "Cost transfers to NIH grants by grantees, consortium participants, or contractors under grants that represent corrections of clerical or bookkeeping errors should be accomplished within 90 days of when the error was discovered." The University expects to discover errors within 120 days from the close of the month in which the original charge posts to the ledger. Thus, the University has established a policy to require cost transfers to be recorded within 120 days of the end of the month in which the original charge posts to the ledger. While NIH policy does not restrict the time period for cost transfers until the error has been discovered, the University has elected to limit cost transfers to within a total of 120 days of the end of the month in which the original charge posts to the ledger to provide a more systematic control over cost transfers and in recognition of University financial policy that expects timely reconciliation of ledgers. If, because of unavoidable circumstances, an adjustment must be made beyond the 120-day period, a full explanation, including a well-documented account of all the events leading to the tardy adjustment, must be provided, reviewed and approved.

Condition

Cost transfer testing was performed at four campuses. Out of a total of 120 valid cost transfers selected for testing, we noted 10 instances at two campuses in which the transfers were completed more than 120 days from the close of the month in which the original charge was posted to the ledger. We noted the transfers occurred on average 106 days late. The number of days late was calculated based on the University's policy. We could not determine the date of discovery of the error. However, even though the 10 transfers were completed more than 120 days from the close of the month in which the original charge was posted to the ledger, all of them included full explanations, including well-documented accounts of all the events leading to the late adjustments, as required by University policy.

Cause

For all our observations of late cost transfers, various causes were noted.

University of California
Schedule of Findings and Questioned Costs
(Continued)
For the Year Ended June 30, 2009

Effect

The untimely cost transfers allowed costs not ultimately determined for a particular award, to remain on that award for longer than is prescribed by University policy.

Recommendation

While we could not determine if any of the transfers were untimely as defined by NIH policy, we recommend that the University enhance its focus on achieving timely cost transfers to ensure compliance with the University and agency guidelines.

Management's Views and Corrective Action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Schedule of Findings and Questioned Costs
(Continued)
For the Year Ended June 30, 2009

Part III – Federal Award Findings and Questioned Costs (Continued)

Finding 09-02: Ensure Title IV Funds Are Returned In a Timely Manner

Student Financial Aid Cluster

Federal Perkins Loan Program, CFDA # 84.038, N/A - no federal award number, fiscal 2008 - 2009

Citation

34 CFR section 668.173(b)

Questioned Costs

None.

Criteria

An institution with students receiving Title IV aid must return all unearned portions of aid to the Department of Education or to the lender within 45 days after the date it determines that the student withdrew.

Condition

Title IV testing was performed at three campuses. Out of a total of 60 calculations examined for testing, we noted two instances in which the funds were not returned within 45 days at two campuses. Delays of 54 and 98 days were noted.

Cause

For one instance, the campus department notified the Registrar's Office of the effective date of the student's cancellation/withdrawal action after more than 30 days had elapsed. For the other instance, the Automatic Cancel Withdrawal System (ACWS) imports the student data from the Registrar database (REG), and compares it to the Student Aid Management System (SAMS). This comparison identifies students who are entitled to financial aid payments and/or owe refunds. Due to the volume of information, this reconciliation takes approximately one business day to extract the data from each system (REG, ACWS and SAMS). Thus, a lag is created when updates are made to REG. If a student's status in REG is altered during the time the comparison is performed (e.g. withdrawal status), the status change will not be captured by ACWS and therefore, not updated timely in SAMS. For one student selected for testing, the status change occurred during the time of the reconciliation between ACWS and SAMS, and his withdrawal status was not reflected timely. As a result, a return to Title IV refund calculation was not performed and applied to his account within the required 45 day period. The error was identified during a subsequent system comparison in which the refund was appropriately applied.

Effect

Returns to Title IV Funds were not submitted within the time frame as required by federal guidelines.

Recommendation

We recommend that management enhance controls to ensure the need to return Title IV funds is identified on a timely basis and that amounts are returned within the required period.

Management's Views and Corrective Action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Schedule of Findings and Questioned Costs
(Continued)
For the Year Ended June 30, 2009

Part III – Federal Award Findings and Questioned Costs (Continued)

Finding 09-03: Timely Submission of Student Status Changes

Student Financial Aid Cluster

Federal Family Education Loan Program, CFDA # 84.032, N/A - no federal award number, fiscal 2008-2009

Citation

34 CFR section 682.610

Questioned Costs

None noted.

Criteria

The University must complete and return within 30 days of receipt the student status confirmation report unless the University expects to complete its next student status report within 60 days.

Condition

Student status change testing was performed at three campuses. Out of a total of 90 students selected for testing, we noted five instances in which the students' status changes were not reported to the National Student Loan Data System (NSLDS) within the prescribed time frame at one campus. Delays of 119 days were noted and three instances where the data was never sent were also noted.

Cause

The University's procedures with respect to accurate reporting to NSLDS of borrowers' enrollment status changes and effective dates were not always closely followed.

Effect

Student status changes were not submitted within the time frame as required by Federal Regulations.

Recommendation

We recommend that the Registrar be more diligent when comparing the NSLDS list to a list of students with federal loans and whose status has changed since the last NSLDS report. In addition, personnel performing the return of federal funds process should notify the Registrar regarding withdrawn or less than half time students on a timely basis. This will help ensure timely submission of student status changes to the NSLDS by the Registrar.

Management's Views and Corrective action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Schedule of Findings and Questioned Costs
(Continued)
For the Year Ended June 30, 2009

Part III – Federal Award Findings and Questioned Costs (Continued)

Finding 09-04: Student Eligibility

Robert C. Byrd Honors Scholarship Program, CFDA # 84.185, N/A - no federal award number, fiscal 2008-2009

Citation

34 CFR section 668.37 and No. 6 under eligibility requirements from the U.S. Department of Education Robert C. Byrd Honors Scholarship Program

Questioned Costs

None noted.

Criteria

An eligible student applicant must file a Statement of Selective Service Registration Status with the school that he or she plans to attend during the same secondary academic year in which the scholarship is to be awarded.

Condition

Student eligibility under the Robert C. Byrd Honors Scholarship Program (the BYRD Scholarship) was tested at three campuses. Out of a total of 120 students selected for testing, of which only one individual received solely the BYRD scholarship, we noted one instance in which the student file did not include his agreement to serve as required by the BYRD Scholarship.

Cause

The procedure at one of the campuses relies on the Free Application for Federal Student Aid (FAFSA) process to ensure that students have registered with selective service, or signed a Statement of Selective Service Registration Status. However, for students that only receive the BYRD Scholarship and no other Title IV funds, they were not required to complete and submit a FAFSA and as a result, have not confirmed registration with Selective Service. Furthermore, the University does not have a process in place to identify these students and request them to file an agreement to serve.

Effect

An agreement to serve or a Statement of Selective Service Registration Status was not filed with the University for those students who receive BYRD Scholarship disbursements and did not receive any other Title IV funds.

Recommendation

We recommend that the University implement a process in which to identify students who did not file a FAFSA to ensure that the student is eligible to receive the funds as required by the program. Specifically for the BYRD Scholarship, we recommend that the University require each student who has applied for the scholarship to file an agreement to serve.

Management's Views and Corrective action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California

Summary Schedule of Prior Findings and Questioned Costs

For the Year Ended June 30, 2009

Part A: Findings

Finding 08-1, 07-01, 06-05, 05-01 Untimely Cost Transfers

Similar instances of noncompliance were noted in 2009. See Finding 09-01.

The University continues its initiative to encourage the development and identification of best practices to further ensure timely cost transfers. Examples of best practices implemented at various campuses include:

- Required approval by the Assistant Vice Chancellor (AVC)/Controller for all transfers to federally sponsored funds that exceed the 120 day policy limit.
- Implementation of automated accounting system edits and workflows so that a non-payroll cost transfer that is later than 120 days from the date of original posting cannot be processed without the AVC/Controller's direct online approval of the journal entry.
- Review of all Payroll Expense cost transfers that affect federal funds and federal flow-through funds by the Extramural Fund Compliance Unit on a daily basis. Payroll expense transfers that exceed the 120 days from the date of original posting and for which an exception request has not been submitted and approved by the AVC/Controller, are immediately returned to the issuing department.

The above combination of accounting system technology constraints, payroll expense transfer review, expanded and enforced operating procedures in the late cost transfer area, and the AVC/Controller's direct intervention has been very effective in preventing late cost transfers to federal funds.

Finding 08-02, 07-02 Ensure Title IV Funds Are Returned In a Timely Manner

The following corrective action was completed by the affected campus. Similar instances of noncompliance were noted in 2009. See Finding 09-02.

The process for identifying students who are receiving federal student financial aid, but are not enrolled, is performed on a weekly basis in the Financial Aid and Scholarship Office. In addition, the communication protocol between campus units involved in the process has also been improved to better ensure timely reporting of enrollment changes.

Finding 08-03, 07-03 Timely Submission of Student Status Changes

The following corrective action was completed by the affected campus. Similar instances of noncompliance were noted in 2009. See Finding 09-03.

At one campus, the finding pertained only to new students withdrawing prior to the third week cut off. Due to the small population of students involved, the Office of the Registrar has implemented a process to identify the students and manually update the National Student Loan Data System (NSLDS) to capture these students as withdrawn.

At the second campus, the Registrar's Office has implemented a weekly reporting schedule that better ensures compliance with the 30-day reporting requirement to NSLDS. In addition, the communication protocol between campus offices involved in the process has been improved to better ensure timely information sharing.

University of California
Summary Schedule of Prior Findings and Questioned Costs
(Continued)
For the Year Ended June 30, 2009

Part A (Continued)

Finding 08-04 Timeliness of Federal Report Submission

The following corrective action was completed by the affected campus. No similar instances of noncompliance were noted in the current year.

The campus Extramural Funds Accounting (EFA) enhanced its monitoring processes of quarterly Federal Cash Transaction Report (FCTR) submissions to ensure compliance with timely submissions as prescribed by federal agency regulations. EFA managers reviewed timelines for FCTR submissions at the beginning of each quarter with supervisory personnel and monitored progress to ensure reporting deadlines were met.

Finding 08-05 Period of Availability

The following corrective action was completed by the affected campus. No similar instances of noncompliance were noted in the current year.

All transactions of this unit occurring after the award end dates were thoroughly reviewed and transferred to appropriate funding sources. The administration of the unit was restructured whereby all transactions affecting its funds are initiated by the unit with close oversight by the University Dean's Office to improve efficiencies and compliance.

Additional staff was hired to assist with contract and grant administration in the affected department. Departmental procedures for the review of expenditures and project collaboration with other campus departments were enhanced. The campus also implemented a system edit to prevent the initiation of purchase orders against expired contract and grant funds by validating the fund expiration date.

Part B: Other Reports

The University utilizes Affiliated Computer Services, Inc. Education Services (ACS) as its institutional servicer to perform certain compliance requirements related to the Federal Perkins Loan Program. The ACS Audits of Federal Student Financial Assistance Programs Report for the year ended June 30, 2008 includes two findings related to the application of a grace period following deferment and improper processing of payment due dates, together with ACS management's responses to these findings. No such instances were noted in the report for the year ended June 30, 2009.



VICE PRESIDENT—FINANCIAL MANAGEMENT

OFFICE OF THE PRESIDENT
1111 Franklin Street, 10th Floor
Oakland, California 94607-5200

March 29, 2010

MANAGEMENT'S RESPONSE AND CORRECTIVE ACTION PLAN

REPORT ON AUDIT OF FINANCIAL STATEMENTS AND ON FEDERAL AWARD PROGRAMS IN ACCORDANCE WITH OMB CIRCULAR A-133 FOR THE YEAR ENDED JUNE 30, 2009

Finding 09-01: Untimely Cost Transfers

In the fiscal year ended June 30, 2009, the University of California continued its effort to enhance its controls to ensure that untimely cost transfers do not result in unallowable costs charged to federal awards. While untimely cost transfers have not been eliminated entirely, the instances identified during this year's audit again decreased over the previous year. It is also important to note that none of the late transfers identified by the audit resulted in unallowable charges to federal awards; the late transfers were fully justified and documented in accordance with established University policy, and were allowable to the charged awards. The controls implemented at each campus prevent unallowable costs to be charged to federal awards as a result of late cost transfers.

Each campus has, with full support of its Controller and the Vice Chancellors—Research, taken action to enhance its controls and compliance with the University's cost transfer policy. These actions included:

- Implementation of controls to identify and restrict the transfer of costs to federal funds if the transfer is processed more than 120 days from the close of the month in which the original costs were incurred.
- Implementation of special review and approval procedures to allow a transfer of costs to be processed after the 120 day period when appropriate. The approval procedures identify specific conditions when approval is warranted.
- Continuation of discussions with the stakeholders, including the Accounting Office, Research Office, Principal Investigators and departmental management personnel to:
 - Raise their awareness of the importance of timely cost transfers,
 - Identify additional procedures, action or best practices that can lead to enhanced compliance, and
 - Analyze and develop a comprehensive approach to compliance at each campus, including identification and formulation of systems, procedures and project administration support models required for compliance.

Specifically, at one campus, a web-based cost transfer system is used that calls out cost transfers for which the timing exceeds 120 days beyond the closing of the accounting period in which the original transaction occurred. These cost transfers are then subjected to intense scrutiny for compliance. All of the late cost transfers identified

during the audit complied with UC Policy and did not violate the costing principles of A-21. While they exceeded the 120 day guideline, it should be noted that one transfer was between two different accounts for the same award; another was a transfer of cost from a federal to a non-federal fund. The campus continued to work with departments to discover and correct costing errors in a more timely manner. In all cases, any cost transfers which violate A-21 principles or UC Policy were not allowed.

Finding 09-02: Ensure Title IV Funds Are Returned in a Timely Manner

At one campus, the Financial Aid & Scholarships Office (FASO) relies on a network program (Automated Cancel/Withdrawal System or ACWS) to identify students who are subject for review for compliance with federal Return-to-Title IV regulations. Going forth, FASO has enhanced its business process for the identification of student financial aid records subject to a possible Return of Title IV aid. This includes a new monthly reconciliation review by FASO's Accountant to insure no student aid record subject to the review gets missed in the interface between the two campus systems.

The finding at another campus pertained to one instance where the official withdrawal date did not match the refund percentage that was given by the Registrar's office. These situations usually involve an appeal by the student to request a greater refund than what they would be eligible for based on the official date of withdrawal. Unfortunately, the Return of Title IV aid process was delayed in this case until we could follow-up with the Registrar and the student to determine the official withdrawal date.

Student Financial Aid has now implemented controls in conjunction with the Registrar's office to ensure timely return of Title IV funds. In the case where the official withdrawal date and refund percentage do not match, we will now use the student's last date of attendance upon which to base our withdrawal calculation. This will eliminate the follow-up process and ensure the review process and Return of Title IV aid happen in a timely manner.

Finding 09-03: Timely Submission of Student Status Changes

The campus Registrar's Office is now validating that the updated information sent to the National Student Loan Data System (NSLDS) is in fact correctly uploaded. The Registrar's Office receives either an error report or confirmation that the file has been submitted and uploaded correctly. This step provides assurance that NSLDS has the validated and updated information.

Because the information on withdrawal or half time student status comes from the Registrar's Office, there is no need for Student Financial Aid to give this information to the Registrar. Rather, Student Financial Aid receives reports from the Registrar's Office on student status and uses these reports to determine appropriate action.

Finding 09-04: Student Eligibility

At the affected campus, the Financial Aid & Scholarship Office (FASO) has implemented a revised, enhanced Byrd Scholarship process for non-filers of a Free Application for Federal Student Aid (FAFSA) to insure that no Byrd Scholarship funds are disbursed until a Byrd recipient has satisfied federal eligibility requirements. In the case of non-FAFSA filers, FASO's disbursement of a Byrd Scholarship is now subject to students completing FASO's new

Management's Response and Corrective Action Plan
March 29, 2010

"Byrd Scholarship Eligibility Verification Form" which includes a certification for students to confirm whether they are registered with the Selective Service.



Jorge Ohy
University of California

Appendix A

University of California

Report to The Regents' Committee on Compliance and Audit
For the year ended June 30, 2009

October 16, 2009

To The Regents' Committee on Compliance and Audit of the
University of California

We recently completed the audit of the financial statements of the University of California (the "University") for the year ended June 30, 2009 and reported on them, as well as on the separately issued financial statements of related entities, including the University's five medical centers, the University of California Retirement System and the University of California Health and Welfare Benefit Program (including the Retiree Health Benefit Trust). In planning and performing our audit of the financial statements of the University, in accordance with auditing standards generally accepted in the United States of America, we considered its internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the University's internal control over financial reporting. Accordingly, we do not express an opinion on the University's internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses as defined in the amendment to AU 325, *Communicating Internal Control Related Matters Identified in an Audit*, of the AICPA Professional Standards and shown below:

Control Deficiency – exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis.

Significant Deficiency - a control deficiency, or combination of control deficiencies, that adversely affects the company's ability to initiate, authorize, record, process, or report financial data reliably in accordance with generally accepted accounting principles such that there is more than a remote likelihood that a misstatement of the entity's financial statements that is more than inconsequential will not be prevented or detected.

Material Weakness - a control deficiency, or combination of control deficiencies, that result(s) in more than a remote likelihood that a material misstatement of the financial statements will not be prevented or detected.

We have completed our evaluation on whether any identified audit adjustments or control deficiencies, individually or in aggregate, are to be classified as material weaknesses. We identified no deficiencies involving internal control over financial reporting that we consider to be material weaknesses as defined above. We are providing you with a report of certain control



To The Regents' Committee on Compliance and Audit of the
University of California
October 16, 2009

deficiencies that are of a lesser magnitude. See the following report for detailed comments along with management's responses.

Comments relative to the Lawrence Berkeley National Laboratory (LBNL) have been included in our "Observations from Audit Procedures," which is provided under separate cover.

The comments contained in this letter are summaries of those comments which generally represent common themes across the Office of the President, the campuses and academic medical centers, or are significant. Management at the Office of the President, the campuses and academic medical centers have responded and, where appropriate, are taking corrective action to address our comments. The full text of all our comments is contained in separately issued letters and available under separate cover.

Our comments reflect our desire to be of continuing assistance to the University. We look forward to discussing these matters with you at the November 2009 Regents' Committee on Compliance and Audit meeting. Please contact Joan Murphy at (415) 498-7690 if you have any questions regarding this report.

This letter is intended solely for the information and use of The Regents' Committee on Compliance and Audit, management and others within the University.

Sincerely,

A handwritten signature in black ink that reads "PricewaterhouseCoopers LLP". The signature is written in a cursive, flowing style.

PricewaterhouseCoopers LLP



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THIS REPORT AND THE INFORMATION THAT IT CONTAINS ARE SOLELY FOR THE BENEFIT AND RESTRICTED USE OF THE REGENTS AND ARE NOT INTENDED TO BE USED OR RELIED UPON BY ANY OTHER PARTY.

Current Year Comments

1. Improve Timeliness and Documentation of Control Procedures Performed – Control Deficiency

Observation

We continue to observe at various locations certain control procedures that are not performed timely or not documented as reviewed. Specifically, we found exceptions involving reconciliations of certain cash, revenues and receivables, purchases and payables, payroll and other general ledger accounts. The reconciliation preparation and review process are critical in ensuring the appropriate and timely identification and resolution of potential errors particularly in regards to key reconciliations. While we did not see an increase in the frequency of control exceptions compared to last year, we encourage the University to continue to focus on improvement in this area.

Recommendation

We recommend that management at the Office of the President, the campus locations and academic medical centers, continue to evaluate the effectiveness of internal control procedures and ensure the timeliness and documentation of the control performed and reviewed.

Management's Response

This observation was made at three campuses and at the Office of the President. The identified exceptions can be characterized as either 1) incomplete evidence of a date or a signature by a preparer or a reviewer (but in no cases both), 2) incomplete reconciliations early in the year but complete reconciliations as of June 30 due to improvements in controls as a result of a consolidated business unit, or 3) the need to improve documentation of reconciliations for certain general ledger accounts at one campus.

At each location, management agreed with the observation and indicated they will implement policies and procedures to ensure appropriate and timely review, approval and documentation of identified key controls.

Importantly, in conjunction with the completion of the financial statements, management at all locations has represented that to the best of their knowledge and belief all reconciling items considered to be material were identified and were appropriately adjusted in the consolidated financial statements. There were no material unreconciled differences or material general ledger suspense account items that should have been adjusted or reclassified to another account balance. There were no material general ledger suspense account items written off to a balance sheet account, which should have been written off to an income statement account and vice versa.

Information Technology General Controls

The following comments are related to Information Technology General Controls (ITGC) which have a pervasive impact across multiple business cycles. Our approach for assessing deficiencies for ITGCs included both qualitative and quantitative considerations.

From a qualitative perspective, we considered: (1) the pervasiveness of ITGCs across multiple business processes and transactions; (2) the occurrence of ITGC related breaches in security across multiple locations; (3) recurrence of findings from prior year; (4) the complexity of UC's systems environment; (5) the proximity of the finding to applications and data; and (6) whether a deficiency is related to data for accounts that are susceptible to loss or fraud.

We also performed a quantitative analysis to understand materiality thresholds, and determined whether compensating controls exist and are operating effectively to reduce the risk of a misstatement in the financial statements to a less than inconsequential amount. Given the pervasive nature of all ITGC findings, it is not viable to quantify these findings to an exposure of a specific dollar amount. As a result, compensating controls need to operate at a low enough dollar threshold across multiple business cycles. As such, we recommend that management and The Regents give careful consideration to these findings and their impact.

2. Perform Periodic Reviews of IT Professional and Application User Access – Control Deficiency

Observation

As part of our testing over ITGC across nine locations including four campuses and five academic medical centers, we evaluated whether IT professional and application users are assessed by IT and business management on a periodic basis to ensure that access rights are commensurate with existing roles and responsibilities.

In general, we noted improvement for the fiscal year ending June 30, 2009 over the prior year. More specifically, the findings this year are related to the absence of formal documentation and the "timing" of operating period in which the control was able to operate (i.e. a control may have been remediated as at Q1 or Q2 of the current fiscal year, but not operating sufficiently for the period of the entire year, as required by SAS 112).

12 findings related to IT professional and application user access. Half of these findings relate to missing evidence of the formalized documentation of the reviews being performed, which is an improvement over the prior year where these findings were related to reviews which were not being performed. The remaining six findings are instances in which reviews of user access rights are not consistently performed by IT and business management or where all financially significant applications are not included in these periodic reviews.

The absence of formal periodic reviews and assessments of IT professional and application user access increases the risk of unauthorized access to data and systems. Unauthorized or inappropriate access may allow users to have improper access levels at the transaction level which may have an impact on transaction processing and financial reporting.

Recommendation

During our testing of manual key controls, we identified certain compensating key controls which, if operating effectively, would mitigate the financial reporting risk posed by the aforementioned issue. For the year ended June 30, 2009, these manual controls were tested and concluded to be operating effectively. While compensating controls are present, we propose the following recommendations to reinforce the importance of having all key controls, both systems-related and manual, operate effectively, at each and all levels of the process to fully mitigate financial reporting risks.

In regards to IT professional users, we recommend IT management at each location continue to conduct a formal, periodic review of privileged system users, including formalizing the documentation of reviews which are already occurring. IT management should also consider whether all key IT professional users are included as a part of this review.

In regards to application users, we recommend that functional owners or business management continue to perform a formal periodic review process to ensure that these individuals' access rights are commensurate with their current roles and responsibilities. The formalized review should also consider whether detailed roles and responsibilities associated are included as a part of this review to ensure that access rights are commensurate with existing roles and responsibilities (i.e. segregation of duties is carefully considered). Business management should also work together with IT management, and consider whether inappropriate users identified through this review have performed unauthorized transactions during the interim period that may need to be investigated and resolved.

In addition, documentation evidencing periodic reviews and assessments over any identified inappropriate access should be retained.

Management's Response

This observation was made at three campuses, four medical centers and Office of the President. At each location, management agreed with the observation and indicated that it will continue to adhere to the process to conduct formal, periodic reviews of system users with privileged access to key systems, as well as individual users of these systems, to ensure appropriateness of access rights. Management has indicated that documentation to evidence these reviews will be retained.

3. Perform Risk Assessments and Monitor Sensitive Data Access – Control Deficiency

Observation

Database Administrators currently have the ability to directly access and modify transaction data. IT management has not performed a risk assessment at every location to determine whether that access is appropriate, and whether activities would need to be monitored.

In general, we noted improvement for the fiscal year ending June 30, 2009 when compared to the prior year. More specifically, the findings this year are related to the monitoring of activities for environments in which management performed a risk assessment, and the "timing" of operating period in which the control was able to operate (i.e. a control may have been remediated as at Q1 or Q2 of the current fiscal year, but not operating sufficiently for the period of the entire year, as required by SAS 112).

Seven findings are related to direct access to data.

- Two findings are instances in which management has considered a risk assessment, but has not yet initiated monitoring procedures.
- Two findings related to instances in which management has considered a risk assessment, and has initiated monitoring procedures, but the procedures have not been in effect during the entire fiscal year.
- One finding related to instances in which direct access to data monitoring is not occurring consistently across all key datasets.
- Two findings related to instances in which management has not yet considered the likelihood, potential implication and risks of directly accessing data, including a formal risk assessment.

While progress has been made by management to address the monitoring of sensitive data access, opportunities continue to exist to enhance the monitoring controls in place to mitigate the risk posed by those personnel that have the ability to directly change key data outside the normal course of posting transactions. This increases the risk that users with direct access to data could enact changes that may affect underlying transaction data and therefore impact financial reporting.

Recommendation

During our testing of manual key controls, we identified certain compensating key controls which, if operating effectively, would mitigate the financial reporting risk posed by the aforementioned issue. For the year ended June 30, 2009, these manual controls were tested and concluded to be operating effectively. While compensating controls are present, we propose the following recommendations to reinforce the importance of having all key controls, both systems-related and manual, operate effectively, at each and all levels of the process to fully mitigate financial reporting risks.

We recommend that IT management and Finance management at each location perform appropriate risk assessments over sensitive data sets and tables relating to all significant systems and accounts. Based on this risk assessment, IT management should also consider implementing formal monitoring of activities performed by database administrators for appropriateness.

Management's Response

This observation was made at four campuses, two medical centers and at the Office of the President. At all locations, management has agreed with the observation and has indicated that it will continue to adhere to the process to perform and document periodic risk assessments of sensitive data and tables for key systems and accounts. Management has agreed to continue to investigate implementing independent computer systems to monitor activities of database and system administrators with the ability to access or modify key systems and transaction data.

Status of Prior Year Comments

Management takes responsibility for tracking the implementation status of prior year management letter comments. We are informing you of management's progress in implementing the previous recommendations.

COMMENTS ORIGINATING IN FY08

| | Status |
|---|---------------|
| 1. Improve Reviews and Ensure Timeliness of Key Controls | In-progress |
| 2. Strengthen Accounting for Non-routine Transactions at the Campus Level | Completed |
| 3. Perform Periodic Reviews of IT User Access | In-progress |
| 4. Perform Risk Assessments and Monitor Sensitive Data Access | In-progress |