

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **January 21, 2021**
Time: **Upon adjournment of the open session meeting¹**
Location: **Teleconference meeting conducted in accordance with Paragraph 3 of Governor Newsom's Executive Order N-29-20**

Agenda – Closed Session

- Action Approval of the Minutes of the Meeting of November 18, 2020
- C2(X) Discussion Update on the Pension Administration Project
- Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)] and Litigation [Education Code §92032(b)(5)]*
- C3(X) Discussion Cybersecurity Update on FireEye and SolarWinds Incidents
- Closed Session Statute Citation: Security matters [Government Code §11126(c)(18) and Education Code §92032(b)(1)]*
- C4(X) Action Recommended Settlements for Board Action
1. In re Grant – Proposed Settlement – Wrongful Death – Berkeley
 2. Regents v. Valeant Pharmaceuticals International, Inc. et al. – Proposed Settlement – Securities – Office of the President
- Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

...continued

Committee membership: Regents Anguiano, Butler, Cohen (Vice Chair), Elliott (Chair), Estolano, Makarechian, Park, Rendon, and Sures; Ex officio members Newsom and Pérez; Chancellors Christ, Gillman, Hawgood, Khosla, and Larive; Advisory members Gauvain and Zaragoza

¹ All times indicated and the order of business are approximate and subject to change.

C5(X)	Discussion	Appellate, Trial Court Developments and Updates
		<ol style="list-style-type: none">1. AMERICAN FEDERATION OF STATE, COUNTY, AND MUNICIPAL EMPLOYEES LOCAL 3299 v. REGENTS and SACRAMENTO INVESTMENT WITHOUT DISPLACEMENT, INC. v. REGENTS – California Environmental Quality Act – Davis2. CITY OF BERKELEY v. REGENTS, et al.; SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. – Two Lawsuits Pending – California Environmental Quality Act – Berkeley3. COMPLAINANT SEXUAL MISCONDUCT CASES – Various Activity – All Campuses4. DOES v. REGENTS – Lawsuits Pending – Sexual Misconduct – Los Angeles Medical Center and Campus Health5. EAST MEADOW ACTION COMMITTEE v. REGENTS, et al. – Compliance with Return to Writ – California Environmental Quality Act – Santa Cruz6. KIEL et al. v. REGENTS, et al. and TEAMSTERS LOCAL UNION 2010, et al. v. REGENTS et al. – Kiel Motion for Preliminary Injunction Denied and Case Dismissed, Teamsters Petition Served – Privacy and Equal Protection Claims and Procedural Challenge to Presidential Executive Order Requiring Vaccination for Flu – Office of the President7. MYSLINSKI v. REGENTS, et al. – Demurrer Filed – Class Action Claim for Withheld Gratuities – Los Angeles8. PANORAMIC HILL ASSOCIATION v. REGENTS, et al. – Hearing on the Merits Scheduled – California Environmental Quality Act – Berkeley9. PEDERSON v. REGENTS – Plaintiff Trial Verdict – Medical Malpractice – UCSF Medical Center10. RECINOS v. REGENTS – Demurrer Granted In Part – Class Action Claim Regarding Late Fees – Systemwide11. REGENTS, et al. v. CITY OF SANTA CRUZ – Amended Complaint To Be Filed – Declaratory Relief and Breach of Contract – Santa Cruz12. REGENTS, et al. v. FEMA – Hearing Scheduled – Appeal of Federal District Court Dismissal – Berkeley13. REGENTS, et al. v. UNDER AMOUR – Demurrer Hearing Rescheduled – Breach of Contract /Affirmative Recovery – Los Angeles14. RESPONDENT SEXUAL MISCONDUCT CASES – Various Activity – All Campuses

15. RIFFEL v. REGENTS, et al. – Motion to Dismiss Hearing Vacated – Admissions Fraud – Los Angeles
16. SANDER v. REGENTS, et al. – Tentative Ruling Issued and Hearing on Petition Continued – California Public Records Act – Systemwide
17. SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. – Remand to Trial Court – California Environmental Quality Act – Berkeley
18. SMITH v. REGENTS, et al. and NAPOLITANO – University’s Appellate Briefing Deadline Extended – State Equal Protection Claims Challenging Use of SAT and ACT Test Scores in Admissions – Office of the President
19. GENERAL COUNSEL’S UPDATE ON LITIGATION

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C6(X)

Information Settlements and Separation Agreements Under Delegated Authority Reported from October 1, 2020 to November 30, 2020

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]