

NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date: **January 15, 2019**
Time: **12:30 p.m.¹**
Location: **Fisher Banquet Room West**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

	Action	Approval of the Minutes of the Meeting of November 13, 2018
I-1	Discussion	2019 Economic Forecast
I-2	Discussion	Update on Investment Products
I-3	Discussion	Review of Asset Classes

Investments Subcommittee Membership: Regents Anderson, Anguiano (Vice Chair), Park, and Sherman (Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Bhavnani, Simmons, and Um; Staff Advisor Main; Student Advisor Huang

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

SPECIAL COMMITTEE ON BASIC NEEDS

Date: **January 15, 2019**
Time: **3:30 p.m.¹**
Location: **Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco**

Agenda – Open Session

- | | | |
|----|------------|---|
| S1 | Discussion | Review of University of California Basic Needs Efforts |
| S2 | Discussion | Consultation with Campus and Systemwide Basic Needs Committee Leaders |
| S3 | Discussion | Special Committee Future Items and Priorities |

Committee membership: Regents Anguiano, Butler, Cohen, Graves (Chair), Morimoto, Ortiz Oakley, and Pérez; Ex officio members Newsom, Kieffer, and Napolitano; Chancellor May; Advisory members Bhavnani, Simmons, and Weddle

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **January 16, 2019**
Time: **8:30 a.m.¹**
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment Period²

Approval of the Minutes of the Meeting of November 14, 2018

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, January 10, 2019.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

NOTICE OF MEETING

The Regents of the University of California

PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE

Date: **January 16, 2019**
Time: **9:30 a.m.¹**
Location: **Fisher Banquet Room West**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

- | | | |
|----|------------|---|
| | Action | Approval of the Minutes of the Meeting of November 14, 2018 |
| P1 | Discussion | Annual Report on Sustainable Practices |
| P2 | Discussion | Annual Report on University Private Support 2017-18 |
| P3 | Discussion | Community Outreach and Impacts, Davis Campus |
| P4 | Discussion | Regents Engagement Plan |
| P5 | Discussion | Student Advocacy Efforts |

Committee Membership: Regents Anderson, Blum, Butler, Graves (Vice Chair), Guber, Lansing, Leib, Ortiz Oakley (Chair), Rendon, and Sherman; Ex officio members Newsom, Kieffer, and Napolitano; Chancellors Blumenthal, Christ, Hawgood, May, and Wilcox; Advisory members Bhavnani, Simmons, and Um; Staff Advisor Klimow; Student Advisor Huang

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **January 16, 2019**
Time: **9:30 a.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

	Action	Approval of the Minutes of the Meeting of November 14, 2018
C1	Discussion	Update on Implementation of Recommendations from State Audit of University of California Office of the President Administrative Expenditures
C2	Discussion	Update on Implementation of Recommendations from State Audit of Sexual Harassment Cases

Committee membership: Regents Anguiano (Vice Chair), Cohen, Elliott (Chair), Estolano, Makarechian, Morimoto, Park, Pérez, Tauscher, and Zettel; Ex officio members Newsom and Kieffer; Chancellors Block, Gillman, Khosla, Leland, and Yang; Advisory members May and Weddle; Staff Advisor Main

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **January 16, 2019**
Time: **Upon adjournment of the open session meeting¹**
Location: **Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco**

Agenda – Closed Session

	Action	Approval of the Minutes of the Meeting of November 14, 2018
C3(X)	Discussion	Legal Issues Regarding <i>Sander v. Regents</i> Litigation and Discrimination Allegations

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C4(X)	Action	Recommended Settlements for Board Action
		1. Breen v. Regents – Proposed Settlement – Retaliation – UC Irvine Medical Center
		2. Calderon-Fernandez v. Regents – Proposed Settlement – Medical Malpractice – San Francisco

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

...continued

Committee membership: Regents Anguiano (Vice Chair), Cohen, Elliott (Chair), Estolano, Makarechian, Morimoto, Park, Pérez, Tauscher, and Zettel; Ex officio members Newsom and Kieffer; Chancellors Block, Gillman, Khosla, Leland, and Yang; Advisory members May and Weddle

¹ All times indicated and the order of business are approximate and subject to change.

C5(X)	Discussion	Appellate, Trial Court Developments and Updates
		<ol style="list-style-type: none"> 1. ADLOUNI, et al. v. REGENTS – Hearing Set for Preliminary Settlement Approval – Privacy – Los Angeles 2. BIRDEN v. REGENTS – Race Discrimination – Trial Continued – UCLA Medical Center 3. DHILLON v. REGENTS – Retaliation – Trial to Commence – San Francisco 4. DOE v. REGENTS, et al. – Appellate Decision – Faculty Discipline – San Diego 5. FACULTY SEXUAL MISCONDUCT CASES – Various Activity – Los Angeles and Berkeley 6. JENNINGS v. REGENTS, et al. – Motion to Dismiss Granted – Violation of First Amendment and Personal Injury – Berkeley 7. MAUNA KEA ANAINA HOU, et al. v. BOARD OF LAND AND NATURAL RESOURCES, STATE OF HAWAII, et al. – Decisions of Hawaii Supreme Court – Permit for Construction of Thirty Meter Telescope – Development in Non-UC Case 8. NASSAR v. REGENTS – Defense Verdict – Medical Malpractice – Los Angeles Medical Center 9. REGENTS v. AISEN – New Judge Assigned and Trial Date Reset – Breach of Fiduciary Duty – San Diego 10. REGENTS, et al. v. BROAD INSTITUTE, INC., et al. – Update Following Federal Circuit Decision – Patent Dispute – Berkeley 11. REGENTS, et al. v. U.S. DEPARTMENT OF HOMELAND SECURITY, et al. – Ninth Circuit Affirms Preliminary Injunction and Defendants Petition for Certiorari – Administrative Procedures Act and Due Process Claims Challenging Rescission of DACA – Systemwide 12. REQUA v. REGENTS – Trial Court Ruling – Impairment of Implied Contract for Retiree Health Benefits – Office of the President 13. ROSEN v. REGENTS – California Court of Appeal Ruling – Negligence – Los Angeles 14. SANDER v. REGENTS – Petition Filed – California Public Records Act – Systemwide 15. SAVE BERKELEY’S NEIGHBORHOODS v. REGENTS, et al. – Demurrer to Third Amended Complaint Scheduled – CEQA Compliance – Berkeley 16. SCRICCA v. REGENTS – Disability Discrimination – Trial to Commence – UC Irvine Medical Center

17. STUDENT SEXUAL MISCONDUCT CASES – Various Activity – Various Campuses
18. YOUNG AMERICA’S FOUNDATION, et al. v. NAPOLITANO, et al. – Conditional Settlement Reached – Constitutional Claims Regarding Campus Speakers – Berkeley
19. General Counsel’s Update on Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C6(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from October 3, 2018 to November 16, 2018

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: **January 16, 2019**
Time: **1:00 p.m.¹**
Location: **Fisher Banquet Room West**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

- | | | |
|----|------------|--|
| | Action | Approval of the Minutes of the Meeting of November 14, 2018 |
| A1 | Action | Approval of Professional Degree Supplemental Tuition for Two Graduate Professional Degree Programs, Berkeley and Santa Cruz Campuses |
| A2 | Discussion | Student Athletes at the University of California |
| A3 | Discussion | Update on UC Center Sacramento |

Committee membership: Regents Butler, Elliott, Estolano, Graves, Lansing, Morimoto, Ortiz Oakley, Pérez (Chair), Rendon, Tauscher (Vice Chair), and Zettel; Ex officio members Newsom, Kieffer, Napolitano, and Thurmond; Chancellors Block, Christ, Leland, Wilcox, and Yang; Advisory members May and Weddle; Staff Advisor Klimow

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

NATIONAL LABORATORIES SUBCOMMITTEE

Date: **January 16, 2019**
Time: **Upon adjournment of the Academic and Student Affairs Committee¹**
Location: **Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco**

Agenda – Open Session

- | | | |
|----|------------|---|
| | Action | Approval of the Minutes of the Meeting of November 14, 2018 |
| N1 | Discussion | Annual Report on Fiscal Year 2018 National Laboratory Performance Ratings |
| N2 | Discussion | Presentation on the State of Lawrence Livermore National Laboratory |

Committee Membership: Regents Estolano, Morimoto, Napolitano, Ortiz Oakley, Tauscher (Chair), and Zettel (Vice Chair); Ex officio member Pérez; Chancellors Block, Christ, and Yang; Advisory member May

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **January 16, 2019**
Time: **1:00 p.m.¹**
Location: **Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco**

Agenda – Open Session

- | | | |
|----|------------|---|
| | Action | Approval of the Minutes of the Meeting of November 14, 2018 |
| F1 | Action | <u>Consent Agenda:</u> Approval of Preliminary Plans Funding, Ambulatory Care Center Expansion with Eye Center, Davis Health Campus |
| F2 | Action | Approval of Budget and External Financing, Franklin Antonio Hall, San Diego Campus |
| F3 | Discussion | Pepper Canyon West Upper Division Undergraduate Student Housing Project, San Diego Campus |
| F4 | Discussion | Long Range Development Plan Amendment and Design, Student Housing West Project, Santa Cruz Campus |
| F5 | Discussion | UCPath Update |

Committee membership: Regents Anderson, Anguiano, Blum, Cohen, Leib, Makarechian (Chair), Park (Vice Chair), Rendon, and Sherman; Ex officio members Newsom, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Bhavnani, Simmons, and Um; Staff Advisor Main; Student Advisor Huang

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **January 16, 2019**
Time: **Upon adjournment of the open session meeting¹**
Location: **Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco**

Agenda – Closed Session

	Action	Approval of the Minutes of the Meeting of November 14, 2018
F6(X)	Discussion	Ground Lease Business Terms and Financing of First Phase of Student Housing West Project, Santa Cruz Campus

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

Committee membership: Regents Anderson, Anguiano, Blum, Cohen, Leib, Makarechian (Chair), Park (Vice Chair), Rendon, and Sherman; Ex officio members Newsom, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Bhavnani, Simmons, and Um

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **January 16, 2019**
Time: **4:00 p.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

- | | | |
|-------|------------|---|
| | Action | Approval of the Minutes of the Meeting of November 14, 2018 |
| G1(X) | Discussion | Incentive Compensation Using Non-State Funds for Fiscal Year 2017-18 for Chief Investment Officer and Vice President – Investments, Office of the President |

Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]

- | | | |
|-------|--------|---|
| G2(X) | Action | Appointment of Regents to the Health Services Committee |
|-------|--------|---|

Closed Session Statute Citation: Nomination of officers and members
[Education Code §92032(e)]

- | | | |
|-------|--------|---|
| G3(X) | Action | Appointment of Regents to the Investments Committee |
|-------|--------|---|

Closed Session Statute Citation: Nomination of officers and members
[Education Code §92032(e)]

- | | | |
|-------|------------|-------------------------------|
| G4(X) | Discussion | Collective Bargaining Matters |
|-------|------------|-------------------------------|

Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]

Committee membership: Regents Blum, Elliott, Lansing, Makarechian, Ortiz Oakley (Vice Chair), Pérez, Sherman (Chair), and Zettel; Ex officio members Newsom, Kieffer, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.

Revised
Deletions shown by strikethrough

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **January 16, 2019**
Time: **Upon adjournment of the closed session meeting¹**
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

- | | | |
|----|-------------------|--|
| | Action | Approval of the Minutes of the Meeting of November 14-15, 2018 |
| G1 | Action | Approval of Incentive Compensation Using Non-State Funds for Fiscal Year 2017-18 for Chief Investment Officer and Vice President – Investments, Office of the President as Discussed in Closed Session |
| G5 | Discussion | Review in Connection with the University of California 10 Campus Study |
| G6 | Action | Amendment of Regents Policy 7707 – Senior Management Group Outside Professional Activities |
| G7 | Action | Establishment of a New Position in the Senior Management Group of Chief Executive Officer, UC Riverside Health and the Market Reference Zone for the Position, Riverside Campus |
| G8 | Action | Approval of Market Reference Zones for Senior Management Group Positions in the Office of the Chief Investment Officer, Office of the President |

...continued

Committee membership: Regents Blum, Elliott, Lansing, Makarechian, Ortiz Oakley (Vice Chair), Pérez, Sherman (Chair), and Zettel; Ex officio members Newsom, Kieffer, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.

G9	Action	Establishment of a New Position in the Senior Management Group of Vice Chancellor for Community and Governmental Relations and the Market Reference Zone for the Position, San Francisco Campus
G10	Action	Extension of Student Advisor Pilot Program

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **January 17, 2019**
Time: **8:30 a.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of November 15,
2018

Committee Reports Including Approval of Recommendations from Committees:

Compliance and Audit Committee

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Finance and Capital Strategies Committee

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

Governance and Compensation Committee

Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)]

Nomination of officers and members [Education Code §92032(e)]

Collective bargaining matters [Government Code §3596(d)]

Health Services Committee (meeting of December 11, 2018)

Closed Session Statute Citations: Personnel matters [Education Code §92032(b)(7)]

Acquisition or disposition of property [Education Code §92032(b)(6)]

Litigation [Education Code §92032(b)(5)]

¹ All times indicated and the order of business are approximate and subject to change.

Officers' and President's Reports:

Report of Interim, Concurrence, and Committee Actions

Personnel Matters

Litigation [Education Code §92032(b)(5)]

Personnel matters [Education Code §92032(b)(7)]

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: January 17, 2019
Time: Upon adjournment of the closed session meeting¹
Location: Robertson Auditorium
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment²

Roll Call

Approval of the Minutes of the Meeting of November 15, 2018

Remarks from Student Associations

Notable Honors and Achievements

Committee Reports Including Approval of Recommendations from Committees:

Academic and Student Affairs Committee

Compliance and Audit Committee

Finance and Capital Strategies Committee

Governance and Compensation Committee

Health Services Committee (meeting of December 11, 2018)

Public Engagement and Development Committee

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, January 10, 2019.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

Investments Subcommittee

National Laboratories Subcommittee

Basic Needs Special Committee

- B1 Discussion Planning for a Multi-Year Framework
- B2 Discussion Review of the Governor's January Budget Proposal for 2019-20

Unfinished Business:

1. Amendment of Regents Policy 7104 – Selection of Laboratory Directors, Regents Policy 7105 – Appointments of Individuals to the Executive Committees of the Boards of Governors of Los Alamos National Security, LLC and Lawrence Livermore National Security, LLC, and Bylaw 22.2 – Specific Reservations
2. Amendment of Bylaws and Committee Charters, Establishment of an Investments Committee and Adoption of Investments Committee Charter, and Establishment of a Special Committee on Nominations

Officers' and President's Reports:

Report of Materials Mailed Between Meetings
Report of Interim, Concurrence, and Committee Actions