

## NOTICE OF MEETING

**The Regents of the University of California**

### COMPLIANCE AND AUDIT COMMITTEE

Date: **January 25, 2017**  
Time: **Upon adjournment of the open session meeting<sup>1</sup>**  
Location: **Robertson Auditorium  
UCSF–Mission Bay Conference Center  
1675 Owens Street, San Francisco**

#### Agenda – Closed Session

- |       |        |  |
|-------|--------|--|
|       | Action | Approval of the Minutes of the Meeting of November 16, 2016  |
| C2(X) | Action | Recommended Settlements for Board Action   |
|       |        | 1. Class Action Claims – Proposed Pre-Litigation Settlement – Consumer Privacy Statute – Los Angeles               |
|       |        | 2. Peduzzi v. Vaughn Revocable Trust, et al. – Proposed Settlement – Partnership Dispute – Office of the President |

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

- |       |            |   |
|-------|------------|---|
| C3(X) | Discussion | Appellate, Trial Court Developments and Updates   |
|       |            | 1. BREEN v. REGENTS – Preliminary Injunction Denied and Individual Defendants Dismissed – Whistleblower Retaliation – Irvine Medical Center |
|       |            | 2. CHEN v. REGENTS – Verdict in Favor of Regents Affirmed – Personal Injury – Irvine  |
|       |            | 3. CHOUDHRY v. REGENTS – Voluntary Dismissal – Due Process – Berkeley   |

...continued

Committee membership: Regents Brody (Vice Chair), De La Peña, Elliott, Makarechian, Newsom, Pérez, Sherman, Varner, and Zettel (Chair); Ex officio members Brown and Lozano; Advisory members Chalfant and Monge; Chancellor Gillman

---

<sup>1</sup> All times indicated and the order of business are approximate and subject to change.

4. CRISPR-CAS9 INTERFERENCE PROCEEDING –  
Hearing Held – Patent Dispute – Berkeley
5. DE VRIES v. REGENTS – Judgment for University  
Affirmed – Taxpayer Injunction to Enjoin Nonresident  
Tuition Exemption and Financial Aid for Undocumented  
Immigrants – Systemwide
6. DOE v. REGENTS – Writ Against University Reversed on  
Appeal – Student Sexual Misconduct – San Diego
7. DOE v. REGENTS – Plaintiff’s Motion for  
Reconsideration Granted – Sexual Misconduct – Santa  
Barbara
8. DOE v. REGENTS – Writ Petition Denied – Sexual  
Misconduct – Los Angeles/Davis
9. DOE v. REGENTS – Filing of New Petitions and Hearings  
Held – Sexual Misconduct – Systemwide
10. IMPERIAL IRRIGATION DISTRICT v. REGENTS –  
Writ Petition Served – Public Records Act – Berkeley
11. KARASEK, et al. v. REGENTS – Motion to Dismiss  
Granted – Sexual Misconduct – Berkeley
12. THE KOALA v. KHOSLA, et al. – Motion to Dismiss  
Granted and Amended Complaint Filed – First Amendment  
– San Diego
13. KRISHNA LUNCH OF SOUTHERN CALIFORNIA,  
INC., et al. v. REGENTS AND MONICA LOZANO –  
Complaint Filed and Served – First Amendment – Los  
Angeles
14. LLM BAR EXAM v. REGENTS – Voluntarily Dismissal –  
Antitrust – Berkeley
15. LOKIETZ v. REGENTS, et al. – Trial Verdict – Medical  
Malpractice and Concealment – Los Angeles Medical  
Center
16. MAUNA KEA ANAINA HOU, et al. v. BOARD OF  
LAND AND NATURAL RESOURCES, STATE OF  
HAWAII, et al. – Decision of Hawaii Supreme Court –  
Permit for Construction of Thirty Meter Telescope –  
Development in Non-UC Case
17. MEDIVATION v. REGENTS – Appellate Decision –  
Intellectual Property – Los Angeles
18. MISSION BAY ALLIANCE v. HAWGOOD – Demurrer  
Sustained – Taxpayer Petition – San Francisco
19. MOORE v. REGENTS – Notice of Appeal Filed – Title IX  
– Santa Barbara
20. ROHNER, et al. v. IRVINE CAMPUS HOUSING  
AUTHORITY AND HOWARD GILLMAN – Case Settled  
– Open Meetings – Irvine

21. ROSS v. REGENTS – Trial Date Continued – Whistleblower Retaliation – Los Angeles
22. SORRELL v. REGENTS – Mediation Held – Sexual Harassment – Berkeley
23. WINFUNKE v. REGENTS – Race Discrimination – Summary Judgment Granted – Davis
24. General Counsel’s Update on Pending or Threatened Litigation

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*

C4(X)	Information    Settlements and Separation Agreements Under Delegated Authority Reported from September 27, 2016 to November 21, 2016
-------	--

*Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*