

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON COMPLIANCE AND AUDIT

Date: **January 15, 2013**
Time: **3:15 p.m.**¹
Location: **UCSF–Mission Bay Community Center**
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment Period² (20 minutes)

	Action	Approval of the Minutes of the Meeting of October 31, 2012
A3	Discussion	Plan for External Audit Request for Proposal
A4	Discussion	Continuous Monitoring and Continuous Auditing – Internal Audit Partnering with Management
A5	Discussion	Information Technology Expertise for Internal Audit
A6	Discussion	Information Technology Model and Interim Leadership

...continued

Committee membership: Regents De La Peña, Kieffer, Lozano, Makarechian, Mendelson, Ruiz, Stein, and Zettel (Chair); Ex officio members Brown and Lansing; Advisory members Feingold and Powell; Staff Advisors Barton and Smith

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, January 10, 2013.

Guidelines

In fairness to all who wish to address the Committee, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

- A7 Discussion Internal Audit Activities Report
- A8 Discussion Ethics and Compliance Activities Report
- A9 Discussion Update on Response to Recent Education Events