

Annual Report on University Private Support

2010-11

### Annual Report on University Private Support

For more information on the campuses and their private support programs, visit these websites:

http://campaign.berkeley.edu http://campaign.ucdavis.edu/ http://www.uadv.uci.edu/ http://www.giveto.ucla.edu/ http://makeagift.ucmerced.edu/ http://www.ucr.edu/giving http://www.giving.ucsd.edu http://www.support.ucsf.edu http://www.ia.ucsb.edu/dev/ways http://giving.ucsc.edu

Reporting Conventions Used in this Report

The Annual Report on University Private Support includes data for each campus and the University using two of three gift reporting conventions employed by the University. The first reporting convention, which is cash-based, is used for comparisons with other institutions. It is also employed for the Council on Aid to Education's (CAE) national Voluntary Support of Education Survey completed by colleges and universities. This reporting convention includes outright gifts, private grants, and payments on pre-existing pledges, but does not include new pledges. This method of gift reporting is used for the body of this report and is thought to present the best perspective on the cash flow that derives from private support.

The second method is a variation of the accrual system and includes new, legally binding pledges up to five years in duration, plus outright and private gifts. In this system, payments made on pledges are not included. The method is the reporting standard used for many reports on capital campaigns and is thought to present the best perspective on the level of current fundraising activity.

These first two reporting standards are shown at the bottom of each campus narrative. The breakdown follows for system support over the last five years:

| REPORTING CONVENTIONS | 06-07                            | 07-08           | 08-09         | 09-10         | 10-11           | _      | <u>10-11 TOTALS</u> |
|-----------------------|----------------------------------|-----------------|---------------|---------------|-----------------|--------|---------------------|
|                       | Pledge Payments<br>\$326,776,785 | \$430,532,189   | \$345,526,353 | \$398,805,156 | \$482,947,723   |        |                     |
| CASH (CAE)            | New Outright Gift                | s               |               |               |                 | $\sim$ | \$1,588,171,375     |
| ACCRUAL (REGENTS)     | \$972,489,037                    | \$1,178,508,703 | \$961,742,544 | \$926,621,238 | \$1,105,223,652 |        |                     |
| ACCROAL (REGENTS)     | New Pledges                      |                 |               |               |                 |        | \$1,587,947,958     |
|                       | \$420,090,081                    | \$778,095,560   | \$372,291,139 | \$596,935,348 | \$482,724,306   |        |                     |

There is a third reporting convention that is employed by the University's accounting office for its end-of-year audited financial reports. This method is also based on accrual; however, on the revenue side, unconditional, legally-binding pledges are recorded at the time they are promised, balanced by receivable accounts which are reduced as pledge payments are received. This method is used in the annual UC Financial Report.

The Annual Report on University Private Support 2010-11 is prepared by the UC Office of Institutional Advancement: Geoff O'Neill, Cheryl May, Susan Quinn, Shirley Trant; and Harry Mok, Integrated Communications. The Report may be viewed online at: www.ucop.edu/instadv/reports.html

This report is a compilation of private support data, campus narratives, and foundation rosters prepared by each campus. The method of rounding may produce the appearance of minor inconsistencies in various totals and percentages, but the differences do not affect the accuracy of the data.

### UNIVERSITY OF CALIFORNIA

Annual Report on University Private Support 2010-11

#### **TABLE OF CONTENTS**

| Overview of the Fundraising Year              | 1         |
|---|-----------|
| Summary Data                                  |           |
| System Summary                                | 6         |
| • Source, Purpose and Asset Type              | 7         |
| Additions to Endowment                        | 8         |
| Planned Giving Assets Under Management        | 9         |
| Bequests Distributed                          | 10        |
| Current Fundraising Campaigns                 | 11        |
| Uses of Private Support                       |           |
| Restrictions on Use                           | 14        |
| Gifts by Discipline                           | 15        |
| Endowed Chairs                                | 16        |
| Division of Agriculture and Natural Resources | 17        |
| Universitywide and Multi-Campus Programs      | 18        |
| The Campuses                                  |           |
| • Berkeley                                    | 20        |
| • Davis                                       | 22        |
| • Irvine                                      | 24        |
| Los Angeles                                   | 26        |
| Merced  | 28        |
| Riverside                                     | 30        |
| San Diego                                     | 32        |
| San Francisco                                 | 34        |
| Santa Barbara                                 | 36        |
| Santa Cruz                                    | 38        |
| Campus Foundation Leadership                  | 42        |
| Glossary                                      | 46        |
| 20 Years of Private Support for the System    | <b>48</b> |
| 10 Years of Private Support for the Campuses  | 49        |

Office of the President External Relations Institutional Advancement

#### Overview of the Fundraising Year

Annual Report on University Private Support



This past fiscal year, the University of California received almost \$1.6 billion in private support. The \$1,588,171,375 received represents a significant increase of over \$250 million over the prior year, and the eleventh consecutive year in which the University has received more than \$1 billion in private support.

The Annual Report on Private Support attempts to provide an overview of fundraising at the University of California in two separate ways. First, summary schedules that break out the gift totals into major categories based on gift source and gift purpose. In short, who is supporting UC and what areas are being supported? Second, campus schedules that provide this same summary information – including campus narratives that offer further insight into the role of philanthropy on each campus.

In reviewing the summary data and the individual campus reports, there are several themes that emerge: the strong donor base in place at each of our campuses, as reflected in the number of specific gifts that were made to the University; recognition of the value that the University provides to local communities and to the state; philanthropic support directed to areas such as healthcare, the environment and other pioneering research; and the strong support from alumni and friends in the midst of unprecedented economic challenges.

• Corporations, Foundations, Alumni, Friends, Parents, and faculty and staff all participate in supporting the activities of the University. Many of the individual campus reports note the record number of donors that supported their particular campus this past year. *If added together, there would be over a quarter of a million donors contributing annually to the betterment of UC.* 

- Philanthropic support is directed towards virtually every aspect of the life and mission of the University, including: research, endowed faculty chairs, departmental support, capital projects, and student support. These are all areas where donors have recognized the value that UC provides, and have directed their gifts in support of these University activities. Funding for all of these areas has remained strong, despite the significant economic challenges impacting the philanthropic community.
- The vast majority of donors have an affinity with a particular campus, and the UC Campus Foundations are instrumental in raising support for their particular campus. Reflecting this fact, giving via the Campus Foundations was greater than giving directly to the University for the first time ever this past year. Consistent with prior years, the Annual Report includes gifts and grants made directly to the University ("Regents"), as well as support that flows through the Campus Foundations. In charts summarizing how gifts are used by the University, Regents and Foundation support is combined. This is due to the fact that gifts to Regents or to Campus Foundations are ultimately expended in the same fashion, as gifts to a UC Campus Foundation and payout from a Campus Foundation endowment is distributed to the University for expenditure.
- *Philanthropic support assists UC with excellence <u>and</u> access. Historically, philanthropic support at UC has been viewed as providing the extra "margin of excellence" that allows the University to flourish as a world-class institution. This is evident in the support for endowed*



chairs which continues to provide a mechanism for UC to ensure faculty excellence. This past year, UC added approximately 70 chairs, bringing the total to more than 1,500 – over half of which have been established since 2000. Giving to other endowed funds was also strong this past year, as UC received over \$400 million in new gifts for endowment – assuring a strong financial footing for future generations. Gifts for scholarships and fellowships help ensure access, and UC raised slightly over \$121 million this past fiscal year for this purpose. While this is an increase over the prior year's amount of \$103 million, the need for student support has never been greater. Accordingly, campuses are increasing efforts to broaden the base of scholarship donors through matching gifts and student-sponsored events.

- The report seeks to provide an overview of philanthropic activity at the University. While virtually impossible to capture the full impact of a quarter of a million donors, the brief narratives highlight how specific gifts are making a difference in the lives of UC students. Whether a multi-million dollar gift for cutting edge research, a gift designed to encourage small gifts from graduating seniors and young alumni, transformative gifts to provide ongoing endowment support for a School or College, social media campaigns to raise scholarship funds to honor a fellow alum, or gifts to endow Arts & Lectures series every gift is helping UC continue its mission of research, teaching, and public service.
- At a time when UC is facing significant budgetary pressures, the strong level of private support reflects the confidence that the philanthropic community has in the University. This support, through gifts of all sizes, reflect the understanding that *there is no greater return on your philanthropic investment than a gift to UC*.

This support is critical in helping to maintain access and excellence at the University of California. As such, the University is grateful to its alumni and friends for their support – and for recognizing the many ways in which the University is serving the people of California.

Frequent references are provided throughout the Annual Report to campus websites and other campus materials and resources. We invite you to "visit" each campus on line with the hope that you will leave each website with both recognition of the uniqueness of the individual campus, as well as an understanding of the overall importance of philanthropy at UC. Please note that this report, along with the Annual Report for the prior nine years, can be found online at: <u>http://www.ucop.edu/instadv/reports.html</u>.



### **Summary Data**



#### **System Summary**

#### Private Support to Regents and Campus Foundations by Cash Reporting Convention 2010-11

| Campus         | Regents       | Foundations   | Totals          |
|----------------|---------------|---------------|-----------------|
| Berkeley       | \$192,348,778 | \$136,406,540 | \$328,755,318   |
| Davis          | 85,163,260    | 26,267,603    | 111,430,863 *   |
| Irvine         | 45,206,472    | 49,695,442    | 94,901,914 *    |
| Los Angeles    | 155,932,215   | 259,098,207   | 415,030,422 *   |
| Merced         | 2,367,090     | 2,011,339     | 4,378,429       |
| Riverside      | 19,130,423    | 15,809,829    | 34,940,252      |
| San Diego      | 58,206,080    | 57,994,648    | 116,150,728 *   |
| San Francisco  | 167,608,633   | 241,839,330   | 409,447,963 *   |
| Santa Barbara  | 31,239,975    | 16,221,209    | 47,461,184      |
| Santa Cruz     | 12,548,411    | 7,784,046     | 20,332,457      |
| Universitywide | 5,341,845     | 0             | 5,341,845       |
| Total          | \$775,093,182 | \$813,078,193 | \$1,588,171,375 |

Source: University of California Office of the President

\*These figures include support for Medical Centers and Schools of Medicine. Data on these campuses and private support for all University health science programs are found on subsequent pages of this report. The Regents have approved a medical school to be established at Riverside.





#### 2010-11 Source, Purpose and Asset: \$ 1.588 billion

#### 2010-11 Additions to Endowment: \$406.6 million



| Year  | Regents     |       | Foundatio   | ons   | Total       |
|-------|-------------|-------|-------------|-------|-------------|
| 01-02 | 58,718,178  | 27.4% | 155,557,203 | 72.6% | 214,275,381 |
| 02-03 | 109,630,734 | 42.9% | 145,688,180 | 57.1% | 255,318,914 |
| 03-04 | 43,772,747  | 19.1% | 185,982,998 | 80.9% | 229,755,745 |
| 04-05 | 45,617,515  | 23.5% | 148,828,104 | 76.5% | 194,445,619 |
| 05-06 | 77,819,299  | 26.3% | 217,703,327 | 73.7% | 295,522,627 |
| 06-07 | 61,305,213  | 21.9% | 219,214,614 | 78.1% | 280,519,827 |
| 07-08 | 43,803,130  | 11.6% | 332,359,032 | 88.4% | 376,162,162 |
| 08-09 | 29,564,957  | 10.3% | 258,451,471 | 89.7% | 288,016,428 |
| 09-10 | 22,230,543  | 9.5%  | 212,480,081 | 90.5% | 234,710,624 |
| 10-11 | 71,949,963  | 17.7% | 334,621,406 | 82.3% | 406,571,370 |

The preliminary estimate of the value of all UC endowments as of June 30, 2011 is \$10.6 billion .



#### 2010-11 Planned Giving Assets Under Management

The illustrations on these pages show the total of the planned giving assets where The Regents or a campus foundation is serving as the trustee. The fiscal total under management for 2011 is \$344,458,143. All of these assets are irrevocably dedicated to the University and will ultimately be distributed for charitable purposes on the campuses.

The University of California has established planned giving programs at each of our campuses. University staff work with donors and their advisors, if appropriate, to help structure gifts that meet the donors' philanthropic and financial objectives. Frequently, this results in the creation of a planned gift, such as a charitable remainder trust, pooled income fund, charitable gift annuity, and similar arrangements. Under these arrangements, the principal of the planned gifts is distributed to the University upon the death of the income beneficiaries or at the expiration of a term of years to be used for the charitable purpose designated by the donors.

The totals do not include planned giving assets that are managed by third parties (banks, trust companies), bequest expectancies, or any revocable gifts.



#### 2010-11 Total Planned Giving Assets: \$344,458,143

#### 2010-11 Bequests Distributed



The University of California receives steady and significant support from bequests, although there is inherent unpredictability in both the number of bequests and the dollar amount received in any year. Bequests are a frequent source of endowments, as donors seek an opportunity to ensure continued support for the institution – particularly in areas such as student support via the establishment of endowed scholarship and fellowship funds.

The growth in the number of bequests received is reflective of the demographics of the UC alumni population, as well as the efforts of the campus planned giving offices. Whenever possible, the campuses steward family members and friends after receiving bequests so that they are kept aware of the use and benefits of their loved one's legacy.

This past year, the University received \$149 million in distributions from 548 estates and trusts in which the University was designated as a beneficiary. These distributions may take the form of a specific bequest of property or cash, as well as a residual bequest of a percentage of the residue in the decedent's estate or trust. Frequently, a donor has provided support for a particular area during his/her lifetime, and provides a bequest to enable this support to continue into the future.

### 2010-11 Current Fundraising Campaigns

| Name of Campaign  | Goal             | Duration  | Gifts/Pledges<br>by 6/30/11 |
|---|------------------|-----------|-----------------------------|
| Berkeley  |                  |           |                             |
| The Campaign for Berkeley   | \$3,000,000,000  | 2005-2013 | \$2,173,922,475             |
| Davis   |                  |           |                             |
| The Campaign for UC Davis   | 1,000,000,000    | 2006-2014 | 696,317,750                 |
| Irvine  |                  |           |                             |
| Shaping the Future Campaign   | 1,000,000,000    | 2005-2015 | 647,469,358                 |
| Los Angeles   |                  |           |                             |
| Initiative to Ensure Academic Excellence  | 750,000,000      | 2004-2013 | 525,173,356                 |
| Anderson School of Management   | 100,000,000      | 2007-2010 | 104,082,583                 |
| School of Law   | 100,000,000      | 2006-2013 | 97,573,854                  |
| Merced<br>Ernest and Julio Gallo School<br>of Management Endowment<br>Riverside | 10,000,000       | 2002-2012 | 7,602,156                   |
| No campaign underway at this time   |                  |           |                             |
| <b>San Diego</b><br>Invent the Future - Student Support Camp                    | oaign 50,000,000 | 2009-2012 | 28,077,727                  |
| <b>San Francisco</b><br>Campaign for the UCSF Medical Center<br>at Mission Bay  | 600,000,000      | 2007-2014 | 367,900,000                 |
| <b>Santa Barbara</b><br>The Campaign for UC Santa Barbara<br>Phase 1            | 500,000,000      | 2000-2009 | 623,584,006                 |
| <b>Santa Cruz</b><br>Organizational/Quiet Phase                                 | 300,000,000      | 2009-2016 | 61,357,299                  |
| GRAND TOTAL   | \$7,410,000,000  |           | \$5,333,060,564             |



### USES OF PRIVATE SUPPORT



#### 2010-11 Restrictions on Use



The University received \$406.6 million (25.6 percent) for endowments held by The Regents and the Campus Foundations. Campus improvement funds totaled \$253.6 million (16.0 percent); an additional \$903.0 million (56.9 percent) supported other aspects of current operations. Unrestricted funds totaled \$25.0 million and comprised 1.6 percent of the total for private support.



#### 2010-11 Gifts by Discipline

| Distribution by Discipline: \$ 1,588,171,373 | Total           | Percentage |
|--|-----------------|------------|
| Agriculture and Natural Resources            | \$51,615,822    | 3.12%      |
| Arts, Letters and Sciences                   | 154,331,602     | 10.90%     |
| Athletics/Sports                             | 55,845,109      | 3.11%      |
| Business/Management                          | 43,573,613      | 3.22%      |
| Engineering                                  | 139,938,724     | 7.11%      |
| Financial Aid (non-Departmental)             | 41,474,041      | 1.05%      |
| Health Sciences and Medicine                 | 766,013,610     | 48.59%     |
| Law  | 29,744,104      | 2.31%      |
| Libraries                                    | 16,700,177      | 2.07%      |
| Miscellaneous*                               | 106,118,918     | 8.51%      |
| Other Academic Programs                      | 32,848,355      | 5.67%      |
| Other Professional Schools                   | 129,550,137     | 3.66%      |
| Veterinary Medicine                          | 20,417,161      | 1.50%      |
| Total  | \$1,588,171,373 | 100.00%    |

\*Chancellor and annual funds, alumni associations, energy laboratory, museums, public programs, etc.

#### Gifts for Health Sciences and Medicine: \$766,013,610

| Campus                  | Total         | Percentage |
|-------------------------|---------------|------------|
| Berkeley                | \$33,760,665  | 4.41%      |
| Davis                   | 25,549,645    | 3.34%      |
| Irvine                  | 35,303,064    | 4.61%      |
| Los Angeles             | 194,844,842   | 25.44%     |
| Merced                  | 200,438       | 0.03%      |
| Riverside               | 894,017       | 0.12%      |
| San Diego               | 65,593,832    | 8.56%      |
| San Francisco           | 409,447,963   | 53.45%     |
| Universitywide Programs | 419,144       | 0.05%      |
| Total                   | \$766,013,610 | 100.00%    |
| Area                    |               |            |
| Biological Sciences     | \$15,697,103  | 2.05%      |
| Biomedical Sciences     | 8,305282      | 1.08%      |
| Dentistry               | 7,510,725     | 0.98%      |
| Medical Center          | 122,705,390   | 16.02%     |
| Medicine                | 501,055,771   | 65.41%     |
| Nursing                 | 9,728,883     | 1.27%      |
| Optometry               | 1,672,891     | 0.22%      |
| Other**                 | 22,789,384    | 2.98%      |
| Pharmacy                | 50,756,439    | 6.63%      |
| Public Health           | 25,791,743    | 3.37%      |
| Total                   | \$766,013,610 | 100.00%    |

\*\* Chancellors' funds, Beckman Laser Institute, Graduate Division for Health Sciences, special cancer and neuroscience programs, etc.

#### **Endowed Chairs**



#### Cumulative

Well over half of all endowed chairs were established in the last ten years. The current total, spread among the campuses, is 1,542 and growing; 69 chairs were established in 2010-11.

The chart below illustrates the extent to which the chairs that were established this past fiscal year are spread amongst the various academic disciplines.



#### Endowed Chairs by Area: \$83,298,317 (Established 2010-2011)

#### 2010-11 Division of Agriculture and Natural Resources

More than a century ago, the University of California's Division of Agriculture and Natural Resources (ANR) began serving Californians through the creation, development and delivery of new knowledge and technologies in agricultural, natural and human resources. Comprised of the California Agricultural Experiment Station and Cooperative Extension systems, as well as six statewide programs and nine Research and Extension Centers, ANR's campus and county-based units serve every California community. All aspects of the work of ANR are enhanced by private support, including research, instruction, student aid and fellowships, campus improvement, capital projects, youth development programs, and Extension programs and activities.

The California Agricultural Experiment Station (AES) is a world-renowned provider of basic and applied research.

- AES scientists work to ensure a safe, dependable, nutritious, diverse, and affordable food supply.
- They develop programs to support the preservation and protection of the state's natural resources.
- They collaborate with UC Cooperative Extension specialists and county-based advisors.
- AES scientists hold regular faculty appointments at Berkeley, Davis and Riverside.

University of California Cooperative Extension (UCCE) provides a trusted system for delivering science-based information to the consumer.

- Advisors and specialists work with farmers, ranchers and industry representatives to solve problems related to issues of agricultural sustainability, air and water quality, food safety, pest management, natural resource conservation, and others.
- UCCE advisors in farm, 4-H and nutrition, and family and consumer sciences bring the University of California knowledge to all corners of the state by working in and collaborating with local communities.
- Advisors work with youth, low-income families and general consumers to educate tomorrow's leaders in teaching nutrition, healthy lifestyles and financial management.

In the 2010-11 fiscal year, the University of California received \$71,365,667in private support for agriculture and natural resources programs.

#### Total Giving to ANR: \$71,365,667



#### 2010-11 Universitywide and Multi-Campus Programs

In addition to the ten campuses and the Division of Agriculture and Natural Resources profiled in this report, the University operates many Universitywide and multi-campus research, outreach and public service programs. Among these are the following:

- University of California Humanities Research Institute (UCHRI), a multi-campus research unit, serving all ten campuses in the UC system. Recognized nationally and internationally, the Institute promotes collaborative work representing different fields and institutions both within and beyond the University of California.
- MESA (Mathematics, Engineering, Science Achievement) program, which assists more than 20,000 educationally disadvantaged students each year at pre-college, community college and university levels to excel in math and science and ultimately to attain degrees in math-based fields.
- COSMOS (California State Summer School for Math Sciences), a summer residency program for 9ththrough 12th-grade students with exceptional aptitude in math and science.
- Science and Math Initiative (SMI), which had the goal to produce 1,000 science and math teachers annually by 2010.
- Puente Project, which has helped tens of thousands of educationally under-served students to succeed in college through its innovative combination of accelerated writing instruction, academic counseling and mentoring by community professionals. In California, Puente is located in 59 community colleges and 34 high schools. Its goal is to prepare and enroll students in four-year institutions such as UC.
- Education Abroad Program, that provides foreign study for over 4,500 students at more than 130 institutions in 32 countries. In most cases, UC students are fully integrated into the academic curriculum of the foreign host university, and UC students study alongside their native counterparts. Through its exchanges, partnerships and initiatives, EAP expands the scope of education at UC by giving students access to the vast new sources of knowledge available worldwide, to special pedagogical methods and field study opportunities, and to widely varying cultural, social, political and economic environments.
- University of California Press, the nonprofit publishing arm of UC, is one of the nation's largest university publishers. It has earned worldwide recognition for its innovative titles and excellence in book design and production. Each year, it publishes 200 new clothbound books and more than 50 scholarly journals. Approximately one-third of its books are written by UC faculty.
- U.S. Department of Energy E. O. Lawrence Berkeley National Laboratory. The Center for Science & Engineering Education (CSEE) carries out the Laboratory's education mission to train the next generation of scientists and engineers.



#### Total Giving to Universitywide and Multi-Campus Programs: \$5,341,845

### THE CAMPUSES

#### 2010-11



#### BERKELEY

# THE CAMPUSES

2010-11



Alumni, parents and friends signaled their support for UC Berkeley in 2010-11 by contributing \$328.8 million to benefit students and faculty now and in the future. With gifts from some 55,100 donors, this message of faith in the university comes amid a continuing decline in state support.

State funding to UC Berkeley has decreased by more than 50 percent in the last 30 years, with the state providing only 16 percent of the campus budget today and an expected 10 to 12 percent by the time 2011-12 is complete.

"Cal students and faculty are grateful to our alumni, parents and friends for their support," said Vice Chancellor for University Relations Scott Biddy. "Private support gives Berkeley the critical edge, stretches our scarce state dollars and expands our capacity to be innovative across all disciplines."

Giving from 2005 to 2010 has been resounding enough that UC Berkeley was ranked No. 1 among universities for growth in contributions from alumni, friends, foundations and others. According to the Council for Aid to Education, philanthropy increased by 54.6 percent during that time, compared to 49.1 percent at MIT, which came in second.

UC Berkeley has succeeded in raising support from a wide range of donors at every giving level and has experienced remarkable results among its newest generation of alumni.

The New Alumni Challenge — a program that matches gifts from donors of the of the five most recent graduating classes (up to \$1,000 a donor) as well as from students in the current graduating class — has engaged young alumni, helping to grow a tradition of giving for the long term. In 2010-11, 4,478 of UC Berkeley's newest graduates contributed \$694,000 to programs across campus through the New Alumni Challenge.

The UC Berkeley Foundation Board of Trustees funded the first two years of the New Alumni Challenge, from 2009-10 through 2010-11. Paul Jacobs, an alumnus, chairman and CEO of Qualcomm Inc., and his wife Stacy, also an alumna, are matching all contributions to the 2011-12 New Alumni Challenge and the Senior Class Gift.

Thanks to these and all donors, the campus has raised nearly \$2.2 billion toward the \$3 billion goal in The Campaign for Berkeley, which runs from 2005 through 2013.

Students and faculty across campus will benefit from campaign support raised in the following areas:

- Faculty chairs and funds: \$300.1 million
- Research: \$459.1 million
- Graduate fellowships: \$121.3 million
- Undergraduate scholarships: \$129.8 million
- Program support: \$727.8 million
- Facilities: \$193.5 million
- Annual support: \$199.6 million

Gifts to the university's endowment ensure that funds will be generated in perpetuity, and so far in the campaign 33 percent of all donations have been directed to the endowment.

| <b>REPORTING CONVENTIONS</b> | 06-07             | 07-08         | 08-09         | 09-10         | 10-11         | 10-11 TOTALS  |
|------------------------------|-------------------|---------------|---------------|---------------|---------------|---------------|
|                              | Pledge Payments   |               |               |               |               |               |
| CASH (CAE)                   | \$65,402,335      | \$70,348,960  | \$73,525,884  | \$91,354,199  | \$72,171,936  |               |
|                              | New Outright Gift | s             |               |               |               | \$328,755,318 |
| ACCRUAL(REGENTS)             | \$200,034,342     | \$237,267,176 | \$202,806,092 | \$222,500,868 | \$256,583,382 | <u> </u>      |
| ACCROAL(REGENTS)             | New Pledges       |               |               |               |               | \$315,845,908 |
|                              | \$67,842,279      | \$172,008,772 | \$103,388,163 | \$90,621,428  | \$59,262,526  |               |



# THE CAMPUSES

#### 2010-11



In the 2010-11 fiscal year, UC Davis publicly launched its first comprehensive fundraising initiative, The Campaign for UC Davis. The campaign seeks to inspire 100,000 donors to contribute \$1 billion to further the university's mission and vision. As of June 30, 2011, more than 83,000 donors had committed more than \$696.3 million as part of the campaign.

The campaign's totals to-date include private contributions made during the 2010-11 fiscal year. In 2010-11, donors committed more than \$112.2 million (under the accrual reporting standard) and \$111.4 million (under the cash reporting standard) in philanthropic support to UC Davis. This marks the fifth year in a row that philanthropic contributions to UC Davis surpassed \$100 million. Fundraising activity for campaign-counting purposes totaled \$117.6 million for the fiscal year, according to nationally recognized Council for Advancement and Support of Education (CASE) guidelines—a separate convention from the accrual and cash reporting standards.

The gifts, pledges and private grants came from a broad group of more than 40,000 donors. Alumni, parents, faculty, staff, students and other friends of UC Davis, along with corporations, foundations and other organizations contributed to the university.

"Private gifts are a crucial source of funding for UC Davis as we work to address the world's most critical issues through our innovative teaching, research, patient care and public service," said Chancellor Linda P.B. Katehi. "We are very grateful to our donors for making philanthropic investments that advance UC Davis' land-grant mission to serve society."

Some of the year's philanthropic highlights include:

- A \$2 million commitment to Intercollegiate Athletics from Bruce Edwards, a 1960 graduate of UC Davis, and his wife Diane, for operations and maintenance of Aggie Stadium.
- Support from the Andrew W. Mellon Foundation, including a \$1.485 million grant to underwrite four multiyear research initiatives in the humanities.
- More than \$1.7 million in gifts to the UC Davis Annual Fund from nearly 15,000 donors. Gifts to the annual fund provide unrestricted support for the university's immediate needs, including student scholarships and faculty support, as well as areas of emerging opportunity.
- A \$3 million pledge from the Jackson Family Foundation, founded by the late winemaker Jess Jackson and his wife, Barbara R. Banke, to construct the Jess S. Jackson Sustainable Winery Building at UC Davis' Robert Mondavi Institute for Wine and Food Science.

In July 2011, UC Davis appointed Shaun B. Keister—a veteran fundraiser with experience in higher education, arts and conservation philanthropy—as the campus's first vice chancellor for development and alumni relations, following a nationwide search.

"UC Davis is among the nation's top public research universities and is committed to its mission to address society's critical issues," said Keister. "And that is what higher education philanthropy is all about in the 21st century."

| <b>REPORTING CONVENTIO</b> | <u>NS</u> | 06-07             | 07-08         | 08-09        | 09-10        | 10-11        | <u>10-11 TOTALS</u> |
|----------------------------|-----------|-------------------|---------------|--------------|--------------|--------------|---------------------|
|                            |           | Pledge Payments   |               |              |              |              |                     |
| CASH (CAE)                 |           | \$13,055,373      | \$40,674,657  | \$38,907,585 | \$26,386,610 | \$11,446,847 |                     |
| CASH (CAE)                 | $\sim$    | New Outright Gift | s             |              |              |              | \$111,430,863       |
| ACCRUAL (REGENTS)          | $\sim$    | \$82,346,567      | \$59,695,300  | \$53,953,097 | \$82,847,003 | \$99,984,015 |                     |
| ACCROAL (REGENTS)          | $\sim$    | New Pledges       |               |              |              |              | \$112,264,434       |
|                            |           | \$17,728,062      | \$156,506,610 | \$51,778,515 | \$20,082,689 | \$12,280,419 |                     |

#### Total Giving (\$ Millions) - Cash



#### IRVINE

# THE CAMPUSES

2010-11

Although the economic downturn continues, private giving at UC Irvine increased in 2010-11 fiscal year, with 22,575 gifts donated to the campus during this period. The fundraising total of \$103.3 million eclipses the \$57.5 million in funds raised during the previous year, and the university recorded its highest total in cash gifts and grants, receiving more than \$94.9 million. This figure includes commitments fulfilled from those made in previous years.

A major initiative within the Shaping the Future campaign, Students First, is generating increased scholarship and fellowship support for UCI.

"By providing more scholarships and fellowships, UC Irvine ensures its capacity to foster the highestquality knowledge creation, fundamental and applied research, and education to prepare future scientists, academics, doctors, lawyers, executives and researchers for an increasingly competitive global environment," said Gregory Leet, vice chancellor of University Advancement."

During 2010-11, the UC Irvine Foundation received \$4.9 million in combined private funding for both undergraduate and graduate support. More than 70 percent of all UCI students receive some form of financial aid to offset the cost of tuition and fees. Additionally, UCImpact was created to coordinate campuswide fundraising for scholarships and fellowships.

With four years remaining in the comprehensive campaign, fundraising is on target, with more than \$666.8 million raised to date. The culmination of the campaign will coincide with the university's 50th anniversary in 2015. See *www.ucifuture.com* to learn more.

UCI continues to be a priority in donors' minds. In 2010-11, UCI saw an increase in fundraising over the previous year, and here are some highlights:

- A \$5 million gift from Julia and George Argyros to UC Irvine's Gavin Herbert Eye Institute. The gift will establish the Julia & George Argyros Ambulatory Surgery Center in the newest and most state-of-the-art eye care and treatment facility to be built on the West Coast, slated to open in 2013.
- An historic clubhouse near California's largest state park will be turned into a long-sought UC Irvine field research center for biologists, astronomers, anthropologists and others. The Steele/Burnand Anza Borrego Desert Research Center was made possible through a generous gift from Audrey Steele Burnand, who has deep ties with the small town of Borrego Springs where the property is located.
- Alumna Martha Newkirk '72, Ph.D. '91, and her husband, Jim, contributed \$5 million toward the construction of a new alumni center that will serve as a revitalized gateway to the UCI campus for new students and returning alumni.

UCI has added nearly 5 million square feet of instructional, research and medical facility space since the campaign began, including UC Irvine Douglas Hospital, Sue & Bill Gross Hall, the Contemporary Arts Center, Humanities Gateway, Social & Behavioral Sciences Gateway, a telemedicine/medical education building and the 275,000-square-foot Student Center.

| <b>REPORTING CONVENTIO</b> | <u>DNS</u> | 06-07              | 07-08        | 08-09        | 09-10        | 10-11        | <u>10-11 TOTALS</u> |
|----------------------------|------------|--------------------|--------------|--------------|--------------|--------------|---------------------|
|                            |            | Pledge Payments    |              |              |              |              |                     |
| CASH (CAE)                 |            | \$18,119,150       | \$27,580,621 | \$18,689,657 | \$38,553,500 | \$22,448,501 |                     |
| CASH (CAE)                 | $\leq$     | New Outright Gifts |              |              |              |              | \$94,901,914        |
| ACCRUAL (REGENTS)          |            | \$58,481,474       | \$64,974,415 | \$57,369,847 | \$50,914,220 | \$72,453,413 | $\sim$              |
| ACCROAL (REGENTS)          | $\leq$     | New Pledges        |              |              |              |              | \$103,341,501       |
|                            |            | \$61,611,848       | \$65,056,496 | \$26,390,767 | \$6,590,047  | \$30,888,088 |                     |



**Gift Source** 





#### LOS ANGELES

# THE CAMPUSES

2010-11

The spirit of generosity, coupled with donors' strong confidence in the direction UCLA's leaders are taking the university, helped push the total amount of gifts and pledges in fiscal year 2010-2011 to nearly \$480 million (\$415 million under the cash reporting standard), a 26 percent increase over the previous year.

In a challenging economic year, private giving to UCLA increased by more than \$100 million over last year's total, reflecting our donors' belief in the university's public mission and in its future at a time when the state has had to cut spending on higher education.

Not only did the total amount of dollars raised increase, but the number of gifts and pledges rose 5 percent, from 76,243 in fiscal year 2010 to 79,797 in fiscal year 2011.

Among those who increased their support were UCLA faculty members. With a push from a facultygiving campaign that launched in December 2010, faculty giving increased 51 percent from \$5.81 million in fiscal 2010 to \$8.77 million in fiscal 2011. The number of faculty donors also increased 17 percent from 1,312 to 1,529.

More UCLA students also responded to the call to give back to the university — at whatever level they could — with a total commitment of \$33,694 in fiscal 2011.

"This has been an exceptional year for philanthropy at UCLA," said Vice Chancellor of External Affairs Rhea Turteltaub. "It's a testament to the university and to our donors who have decided to make a strategic investment in and partnership with the university at a time when private giving is more critical than ever to students and faculty."

Highlighting 2010-11 were four extraordinary gifts that reflected confidence in UCLA's resilience and stewardship:

- Alumni Meyer and Renee Luskin made a transformative gift of \$100 million, funding that will be equally divided between the UCLA Luskin School of Public Affairs and a planned residential conference center that promises to expand dialogue between schools, government and business leaders, and the public.
- The Lincy Foundation, founded by Kirk Kerkorian, transferred all its assets, approximately \$200 million, to UCLA to create the Dream Fund. Initial distributions from the fund were used to establish \$20 million in new, merit-based scholarships for undergraduates with demonstrated financial need.
- Last April, a generous gift of \$25 million by longtime supporters John E. Anderson and his wife, Marion, extended the couple's legacy of philanthropy, totaling nearly \$42 million, to the UCLA Anderson School of Management. A UCLA alumnus and entrepreneur, John Anderson passed away on July 29, 2011.
- In May, it was announced that music industry executive Morris "Mo" Ostin, who has continually supported the arts at UCLA, had donated \$10 million to the university to establish a state-of-the-art music facility.

"From our student donors to our major philanthropic leaders and everyone in between, we are extremely grateful for the deep commitment that so many have made to UCLA," Turteltaub said.

| REPORTING CONVENTION |          | 06-07<br>Pledge Payments           | 07-08              | 08-09         | 09-10         | 10-11         | 10-11 TOTALS  |
|----------------------|----------|------------------------------------|--------------------|---------------|---------------|---------------|---------------|
| CASH (CAE)           |          | \$113,949,674                      | \$149,900,103      | \$94,934,393  | \$114,530,302 | \$159,631,629 |               |
| ACCRUAL (REGENTS)    | $\times$ | New Outright Gift<br>\$250,830,064 | s<br>\$306,754,229 | \$256,754,593 | \$225,876,461 | \$255,398,793 | \$415,030,422 |
| ACCROAL (REGENTS)    |          | New Pledges<br>\$122,294,965       | \$174,336,434      | \$104,120,511 | \$153,404,140 | \$224,099,756 | \$479,498,548 |

#### 2010-11



\$28,401,603

6.9%

Instruction \$15,454,876 3.7% \$149,018,426

35.9%

#### MERCED

# THE CAMPUSES

2010-11



Despite the state's dire economic status, UC Merced has stayed focused on conducting research, adding critical capacity to the UC system and bringing educational opportunity and economic investment to a long-neglected region of the state.

The campus saw a 58 percent increase in private giving over last fiscal year, demonstrating the support of alumni, friends and the UC Merced Foundation Board of Trustees. The growing board has provided critical advice, advocacy and assistance.

Calvin E. Bright, a UC Merced trustee since 2000, is committed to giving students the support they need to achieve their goals. The Bright Family Foundation donated \$2 million to provide students with advising and learning-support services.

A \$1 million scholarship fund from Foster Poultry Farms was established to help UC Merced students receive the financial support needed to further their education. Sue Foster, the company's director of corporate giving and past vice chair of UC Merced's foundation, has cultivated the partnership, resulting in nearly \$2.3 million in donations.

Paramount Farms is vested in providing access to higher education in the San Joaquin Valley, establishing a \$1 million scholarship fund. Stewart Resnick, a UCLA graduate, and his wife, Lynda, have assisted hundreds of UC Merced students through two previous scholarship gifts and have helped fund the expansion of the UC Scholars Early Academic Outreach Program.

The campus continues to establish itself as a leader in renewable energy and sustainability research and a \$250,000 gift from Southern California Edison will help train the next generation of leaders. The gift is an investment in future technologies that will ultimately improve energy efficiency and water resource management on a global scale.

The Wells Fargo Foundation has helped to close the gap for students by donating \$250,000 to support student scholarships. This is the fifth scholarship gift from the San Francisco-based bank, where former foundation trustee chair JoAnn Bertges serves as executive vice president and commercial banking division manager.

Foundation trustee Edward Kashian and his wife, Jeanne, have continued their support with a \$100,000 gift to further develop a cutting-edge stem cell program to improve the understanding of cancer, heart disease, diabetes, neural degeneration, immune rejection and infectious diseases.

A previous \$1 million gift from Pacific Gas and Electric Company to support student retention, recruitment and service learning continues to pay dividends. In addition to naming the PG&E Engineering Service Learning Laboratory, the utility is sponsoring such clubs as National Society of Black Engineers and Society of Women Engineers.

The university surpassed a significant milestone this year, awarding its 1,000th degree. Additionally, alumni are investing in the campus's current and future excellence by committing to serve on UC Merced's first Alumni Association Board.

| REPORTING CONVENTIO | <u>DNS</u>   | 06-07                          | 07-08       | 08-09       | 09-10       | 10-11       | <u>10-11 TOTALS</u> |
|---------------------|--------------|--------------------------------|-------------|-------------|-------------|-------------|---------------------|
|                     |              | Pledge Payments<br>\$3,191,931 | \$4,407,215 | \$5,372,918 | \$1,030,268 | \$2,306,297 |                     |
| CASH (CAE)          | $\checkmark$ | New Outright Gifts             |             |             |             |             | \$4,378,429         |
| ACCRUAL (REGENTS)   | <u> </u>     | \$3,158,577                    | \$6,191,301 | \$2,949,042 | \$1,741,055 | \$2,072,132 | \$6,576,149         |
|                     |              | New Pledges<br>\$5,321,979     | \$1,405,330 | \$1,020,365 | \$1,388,932 | \$4,504,017 | \$6,576,147         |

#### Total Giving (\$ Millions) - Cash



#### RIVERSIDE

# THE CAMPUSES

#### 2010-11



In support of its campus-wide strategic plan and under the leadership of Chancellor Timothy White, private giving at UC Riverside increased by almost 48 percent in 2010-11 from the previous year to reach more than \$34 million and the total number of gifts rose 11 percent. UC Riverside is recognized as one of the most diverse university campuses in the nation, and it embodies the promise of the Golden State, harnessing its potential to transform lives, enrich society and bring advanced research from the lab to the marketplace.

UC Riverside's strategic plan emphasizes academic excellence, access, diversity and engagement. Notable gifts made in support of UC Riverside's research mission include:

- Hong Kong-based inventor and entrepreneur Winston Chung established a \$10 million endowment and was named the inaugural Fellow of the Bourns College of Engineering. The endowment established by Chung will support two new professorships—one in energy innovation and one in sustainability—as well as the Winston Chung Global Energy Center in the College of Engineering-Center for Environmental Research and Technology.
- A global leader in the flavor and fragrance industry, corporate partner Givaudan pledged \$1 million to create the Givaudan Citrus Variety Collection Endowed Chair.
- W. M. Keck Foundation gave \$1 million for a three-year project to study the genomics and disease-transmission mechanisms of mosquitoes.
- Beckman Coulter provided in-kind gifts of more than \$882,000 to equip the new Materials Science and Engineering building.
- Rochelle Campbell gave \$520,000 to establish the Neil A. Campbell Science Learning Laboratory in the College of Natural and Agricultural Sciences. The lab will provide a new way for undergraduates to participate in genomics research.
- The Bill and Melinda Gates Foundation gave \$100,000 for research on the prevention of disease caused by invasive species.
- The Afred P. Sloan Foundation gave \$750,000 for genomics research.

In 2010-11, UC Riverside celebrated the opening of the new Barbara and Art Culver Center of the Arts in downtown Riverside and also completed the first phase of a newly renovated athletics practice center. To further facilitate UC Riverside's community engagement and teaching activities, key gifts include:

- The Rosenthal family created a \$1 million bequest that will benefit students in the performing arts. In addition, they made a \$100,000 cash gift to name the MaryLu Clayton Rosenthal Dance Studio in the College of Humanities, Arts and Social Sciences.
- The Gluck Foundation gave \$600,000 to support K-12 arts outreach and education.
- Inland Southern California business and community leaders provided more than \$100,000 in gifts to fund the establishment of the School of Business Administration (SOBA) Economic Forecasting conference.
- Schools First Federal Credit Union gave \$100,000 to an endowed fund for teacher education.

| <b>REPORTING CONVENT</b> |        | 06-07              | 07-08        | 08-09        | 09-10        | 10-11        |          | 10-11 TOTALS |
|--------------------------|--------|--------------------|--------------|--------------|--------------|--------------|----------|--------------|
|                          |        | Pledge Payments    |              |              |              |              |          |              |
| CASH (CAE)               |        | \$4,395,533        | \$4,549,837  | \$2,436,892  | \$4,648,120  | \$13,305,070 |          |              |
|                          | <      | New Outright Gifts |              |              |              |              | $\sim$ > | \$34,940,252 |
| ACCRUAL (REGENTS)        |        | \$14,279,063       | \$16,834,185 | \$18,078,580 | \$18,764,510 | \$21,635,182 |          |              |
|                          | $\sim$ | New Pledges        |              |              |              |              |          | \$34,564,912 |
|                          |        | \$7,533,403        | \$5,155,341  | \$1,478,868  | \$4,633,960  | \$12,929,730 |          |              |



48.4%

#### SAN DIEGO

# THE CAMPUSES

2010-11

In a memorable 2010-11 fiscal year, UC San Diego celebrated its 50th Anniversary, raised \$120.7 million in private support (\$116 million under the cash reporting standard) —including \$11.0 million committed to its student support campaign—and was named by the *Washington Monthly* as first in the nation for "what colleges are doing for the country."

Steve and Lisa Altman pledged \$10 million to name the Altman Clinical and Translational Research Institute. In addition, they will host an annual "Rock the Cure" event to benefit the Pediatric Diabetes Research Center. The event generates more than \$500,000 in critically needed funds for research.

A number of corporate partners, including Cubic Corporation, Qualcomm and Sempra Energy, helped to fund 50th Anniversary events. The milestone inspired a generous \$2 million donation from Audrey Geisel to jumpstart the renovation of University House. As a result of UC San Diego's commitment to community service, former President Bill Clinton brought 1,000 students to campus for the Clinton Global Initiative University.

A combination of volunteerism and philanthropy honored Léon Roach III, a beloved track and field student-athlete who was fatally injured in 2009. "Vault-a-thons" raised more than \$37,000, while 180 volunteers completed a memorial spectator terrace that would have cost an estimated \$350,000.

UC San Diego received gifts and grants from over 28,000 donors during fiscal 2010-11. They supported causes across the campus, including 1,300 gifts from students, faculty, staff and alumni for the Chancellor's annual 5K Run/Walk for Scholars. Our largest number of alumni ever—over 8,000, including Ken Kroner and his wife, Jennifer—demonstrated their willingness to invest in UC San Diego. The couple funded the *Kroner Family Endowed Fellowship in Social Sciences* with a \$1 million gift.

Other generous donors provided support through both leadership and legacy gifts as well as grants:

- \$3.5 million in grants from the Gordon and Betty Moore Foundation for Calit2 and Marine Sciences research;
- \$3.5 million bequest from Arthur and Carol Murray to support cancer and retina research
- \$3 million bequest from Patty Morris to fund arthritis and Alzheimer's disease research;
- \$2.4 million grant by the Hellman Family Foundation to support junior faculty members;
- Over \$2 million from Irwin and Joan Jacobs to support the School of Engineering;
- \$2 million pledge from Matthew and Iris Strauss to create the Strauss Center for the Early Detection of Ovarian Cancer;
- \$1.8 million bequest from Allen and Pearl Reiter to endow research at the Stein Institute for Research on Aging
- Nearly \$1.5 million from Qualcomm to support research, faculty, and students in a variety of areas on campus; and
- \$1 million from the James B. Ax Family Foundation to support the UC San Diego Center for Astrophysics; and
- \$1 million from the Amgen Foundation for the Amgen Scholars program.

| <b>REPORTING CONVENTIONS</b>          |                   | 06-07                              | 07-08             | 08-09        | 09-10        | 10-11        | 10-11 TOTALS  |
|---------------------------------------|-------------------|------------------------------------|-------------------|--------------|--------------|--------------|---------------|
|                                       |                   | Pledge Payments                    |                   |              |              |              |               |
| CASH (CAE)                            | $\langle \rangle$ | \$25,489,370                       | \$25,183,237      | \$23,702,360 | \$18,209,880 | \$16,317,590 | \$116,150,728 |
|                                       | $\sim$            | New Outright Gift<br>\$109.041.115 | s<br>\$96.661.949 | ¢77 204 000  | ¢00 200 170  | \$99,833,138 | $\checkmark$  |
| ACCRUAL (REGENTS)                     | <                 | 1 1000 0 10                        | \$76,661,747      | \$77,204,090 | \$88,389,170 | \$77,833,138 | \$120,704,871 |
| , , , , , , , , , , , , , , , , , , , |                   | New Pledges<br>\$20,575,996        | \$21,993,506      | \$23,236,179 | \$59,015,653 | \$20,871,732 | \$120,704,871 |
### Total Giving (\$ Millions) - Cash



### SAN FRANCISCO

# THE CAMPUSES

2010-11



UC San Francisco raised \$409 million in private support during fiscal year 2011 – the highest fundraising total in campus history. The amount is more than 50 percent over the previous year's total of \$268 million.

For seven consecutive years, revenue from private support has surpassed the revenue UCSF receives from state appropriations, making philanthropy an ever more vital piece of UCSF's \$3.6 billion budget. In 2011, critical contributions came from all corners of the UCSF philanthropic community, including:

- The estate of Nina Ireland, a long-time UCSF supporter who passed away in October 2010, made a \$48 million bequest to the university the largest bequest in its history. The contribution will allow the university's pulmonary medicine program to further its leading efforts to study and treat a host of complex pulmonary conditions, including interstitial lung disease and idiopathic pulmonary fibrosis.
- UCSF Chancellor Susan Desmond-Hellmann, M.D., M.P.H., and her husband, Nicholas Hellmann, M.D., made an extraordinary \$1 million matching gift for professional student scholarships, motivated by the campus's new educational fundraising priority. Each of the schools of dentistry, medicine, nursing, and pharmacy will receive \$250,000 for student support upon securing a matching gift of \$250,000, creating the first Chancellor's Endowed Scholarships at UCSF. The School of Medicine secured its matching gift from alumnus and UCSF Foundation Board member Faustino Bernadett Jr., M.D. '80, and his wife, Martha Bernadett, M.D., and the School of Pharmacy received its from husband-and-wife alumni Kevin Rodondi, PharmD '85, and Lisa Rodondi, PharmD '84.
- Ray and Dagmar Dolby donated \$20 million to provide funding for a stem cell building on the UCSF Parnassus Campus. In honor of their generosity, the building was named the Ray and Dagmar Dolby Regeneration Medicine Building. The steel structure, which officially opened on February 9, 2011, is the headquarters for the Eli and Edythe Broad Center of Regeneration Medicine and Stem Cell Research at UCSF, one of the largest and most comprehensive programs of its kind in the United States.
- Gracie Morris, a 10-year-old whose grandfather was treated for the deadly blood cancer multiple myeloma at UCSF, raised over \$9,000 for the campus's multiple myeloma research program by participating in triathlons, soccer, running, swimming and other events. At every event, Morris furthered the cause for multiple myeloma research, convincing over 90 friends, friends of friends and fellow athletes to become donors.

Throughout the year, UCSF continued to identify novel strategies for expanding this indispensable community. For example, it established the first-ever UCSF Challenge for the Children, a social mediabased fundraising contest that won the support of prominent Silicon Valley companies, tech industry executives and other celebrities. Nearly 165,000 people donated to the highly successful campaign, which raised more than \$1 million for UCSF Benioff Children's Hospital.

| <b>REPORTING CONVENTIONS</b> | 06-07             | 07-08         | 08-09         | 09-10         | 10-11         | 10-11 TOTALS  |
|------------------------------|-------------------|---------------|---------------|---------------|---------------|---------------|
|                              | Pledge Payments   |               |               |               |               |               |
| CASH (CAE)                   | \$49,402,376      | \$72,909,478  | \$67,506,212  | \$85,008,902  | \$162,808,554 | \$409,447,963 |
| CASIT(CAL)                   | New Outright Gift | ts            |               |               |               |               |
| ACCRUAL (REGENTS)            | \$202,542,965     | \$293,158,540 | \$232,918,101 | \$183,896,040 | \$246,639,409 |               |
| ACCROAL (REGENTS)            | New Pledges       |               |               |               |               | \$354,121,811 |
|                              | \$66,317,006      | \$147,913,348 | \$41,554,111  | \$245,115,850 | \$107,482,402 |               |





### SANTA BARBARA

# THE CAMPUSES

### 2010-11



The Campaign for UC Santa Barbara continues to attract strong philanthropic support for the campus, thus far generating more than \$623 million for priority projects and initiatives to ensure UCSB's excellence for future generations.

Of that total, UCSB received \$34.8 million (\$47.5 million under the cash reporting standard) in gifts and pledges from alumni, parents and friends during 2010-11 for scholarships, research and pioneering academic programs. Contributions and grants from individuals, foundations and corporations were distributed across the disciplines with a substantial increase in alumni giving and support for students. By the end of the fiscal year on June 30, the campus had received 17,551 gifts.

UCSB Chancellor Henry T. Yang expressed his deep appreciation for the ongoing generosity and devotion of alumni and friends. "Your visionary gifts have helped us build a brilliant faculty, recruit and support highly motivated students, and enhance our innovative research and education programs," he said. "Such generous support is especially heartwarming during this economically challenging time. UC Santa Barbara is honored to partner with our loyal donors as we move forward with renewed vigor into the next phase of our campaign."

Since the campaign was launched in 2000, private giving has increased substantially, resulting in the campus's top fund-raising years. UCSB's endowment has grown by \$130 million. A total of 60 new endowed professorships have been established to advance teaching and research. Also, 170 new graduate fellowships have been created. Major capital projects and campus enhancements were also completed.

California has been hit particularly hard by the economic crises, resulting in significant budget reductions for the University of California and its campuses. Although philanthropic contributions to UCSB were down from \$44.5 million the previous year, alumni giving rose by \$1 million to \$3.6 million during 2010-11.

Scholarship and fellowship support exceeded \$5 million, helping to counteract the rising cost of a UC education. Major gifts for students included a \$550,000 bequest from Ardis Higgins for endowed scholarships and fellowships in music; \$1 million from the Howard Hughes Medical Institute for undergraduate research in the sciences; and \$1 million from the Walton Foundation for graduate fellowships at the Bren School of Environmental Science and Management.

In support of the arts, longtime campus benefactor Sara Miller McCune made a \$1.5 million contribution to Arts & Lectures for endowment and programming support. Also, alumnus Scott Frank, an award-winning screenwriter, donated an additional \$250,000 to expand the screenwriting curriculum in film and media studies and support related programming at the Carsey-Wolf Center.

Gifts and grants for faculty research totaled \$13.1 million, and included \$1 million from UCSB alumnus Richard Whited and his wife, Paula, to establish an endowed chair to support the teaching and research of an outstanding assistant professor with interdisciplinary research interests focusing on energy efficiency.

| <b>REPORTING CONVENTIONS</b> | 06-07              | 07-08        | 08-09        | 09-10        | 10-11        | <u>10-11 TOTALS</u> |
|------------------------------|--------------------|--------------|--------------|--------------|--------------|---------------------|
| /                            | Pledge Payments    |              |              |              |              |                     |
|                              | \$20,989,756       | \$23,465,849 | \$14,458,245 | \$13,796,584 | \$18,462,453 |                     |
| CASH (CAE)                   | New Outright Gifts |              |              |              |              | \$47,461,183        |
| ACCRUAL (REGENTS)            | \$30,269,586       | \$66,746,499 | \$26,363,594 | \$31,067,413 | \$28,998,730 |                     |
| ACCROAL (REGERTS)            | New Pledges        |              |              |              |              | \$34,834,220        |
|                              | \$40,556,734       | \$14,710,313 | \$14,265,599 | \$13,486,417 | \$5,835,490  |                     |

### 2010-11

### Total Giving (\$ Millions) - Cash



**Gift Source** 



## SANTA CRUZ

# THE CAMPUSES

2010-11

Enthusiastic alumni, parents, faculty and friends demonstrated their support of UC Santa Cruz by contributing nearly \$22 million this year in gifts and pledges. Total giving to UCSC jumped almost 10 percent, from just over \$20 million in 2009-10 to \$21.9 million in 2010-11. Because UCSC faces continuing budgetary challenges from decreased state support, private giving is increasingly important.

UCSC Chancellor George Blumenthal said he was heartened by this year's increase in giving. "This outpouring of support makes me even more optimistic about the campus's future," he said. "Private giving is more important than ever, and these gifts will make a real difference to our students, our programs and our research endeavors."

- Contributions from or on behalf of parents of current and former students increased from \$718,000 to \$998,000
- Contributions from or on behalf of faculty increased from \$575,000 to \$1.76 million, including bequest intentions.
- The total number of alumni gifts increased from 3,299 to 3,574.
- Gifts included \$940,404 in realized bequests.
- Contributions for student support (gifts and pledges) rose from \$1.6 million to \$2.8 million
- Total unrestricted giving (gifts and pledges) rose from just over \$1 million to \$1.2 million.

Among the most generous individual donors were philanthropists Jack and Peggy Baskin, who pledged \$1 million to the Jack Baskin and Peggy Downes Baskin Fellowships for engineering students; Deborah Seymour, who gave \$500,000 to the Seymour Marine Discovery Center Endowment, in celebration of the center's 10th anniversary; and the W.M. Keck Foundation, which gave \$1 million to UCSC's Electrical Engineering department. The Hellman Fellows Fund pledged \$625,000 to provide research support to junior faculty.

Over 450 donations, from \$10 to \$5,000, poured into UCSC to honor alumnus Gabe Zimmerman, 30, a congressional staffer killed in the January shooting spree that wounded his boss, Rep. Gabrielle Giffords, D-Ariz. A fundraising effort, begun by two UCSC graduates and enhanced by social media such as Facebook, raised over \$50,000 to fund an endowed scholarship. Many new donors used the improved online donation system.

The 2011 senior class raised money for a student-controlled legacy gift and, for the first time, awarded four student scholarships from funds they raised. Students encouraged peer support for the UCSC Fund.

A substantial \$7.3 million, not included in our overall giving total, was pledged in planned gifts. Twelve individuals included the campus in their estate plans and 10 members of UCSC's legacy society shared bequest intentions. Two irrevocable charitable gift annuities were created.

"Forty-five years after our first students arrived, UCSC has matured into one of the world's great universities while retaining the fundamental character envisioned by its founders," Blumenthal said. This year also marked the 30th anniversary of Shakespeare Santa Cruz and the 25th anniversary of UCSC's famous banana slug mascot.

| <b>REPORTING CONVENTION</b> | <u>vs</u> | 06-07              | 07-08        | 08-09        | 09-10        | 10-11        | _      | 0-11 TOTALS  |
|-----------------------------|-----------|--------------------|--------------|--------------|--------------|--------------|--------|--------------|
|                             |           | Pledge Payments    |              |              |              |              |        |              |
| CASH (CAE) 📈                |           | \$11,496,419       | \$10,564,880 | \$5,604,494  | \$4,351,766  | \$3,740,418  |        |              |
| CASIT (CAL)                 |           | New Outright Gifts |              |              |              |              | $\sim$ | \$20,332,457 |
| ACCRUAL(REGENTS) <          | $\sim$    | \$16,186,503       | \$16,795,451 | \$26,707,623 | \$17,548,987 | \$16,592,039 |        |              |
| ACCROAL(REGENTS)            |           | New Pledges        |              |              |              |              |        | \$21,861,421 |
|                             | $\sim$    | \$9,408,236        | \$14,782,647 | \$3,822,254  | \$2,519,722  | \$5,269,382  |        |              |

Total Giving (\$ Millions) - Cash



6.3%

## PRIVATE SUPPORT Campus Foundation Leadership





















## PRIVATE SUPPORT Campus Foundation Leadership

#### BERKELEY

#### University of California Foundation Website: https://foundation.berkeley.edu/

#### **Executive Officers**

Chair: Arnold N. Silverman '60, M.S. 61, Menlo Park
Vice Chair: Frank E. Baxter '61, Santa Monica
Chair–Audit Committee: Georgia Lee '76, San Francisco
Chair–Campaign Steering Committee: H. Michael Williams, '82, Lafayette
Chair–Finance & Administration Committee: Richard L. Greene '60; J.D. '63; San Francisco
Chair–Governance Committee: James M. Koshland, '73, East Palo Alto
Chair–Programs Committee: Richard E. Steiny, '79, Atherton
Chair–Nominating Committee: Gary A. Freedman '66, Santa Monica
Immediate Past Chair: Katherine S. Lau '88, Los Altos
BEMCO BOARD MEMBER: William F. Ausfahl '61, Moraga

BEMCO BOARD MEMBER: Colleen C. McAndrews '67, Santa Monica

#### **Administrative Officers**

President: F. Scott Biddy, Vice Chancellor–University Relations

- Executive Vice President: Irene Kim, Assistant Vice Chancellor–Advancement
- Vice President: David Blinder, Associate Vice Chancellor– University Relations
- Associate Vice President: Kevin Crilly, Director–Gift Planning
- Corporate Secretary: MiHi Ahn, Assistant Director, Strategic Planning–University Relations
- Associate Secretary: Sylvia Mehner, Administrative Analyst, University Relations
- Treasurer: Loraine Binion, Executive Director–Finance and Administration
- Assistant Treasurer: Sylvia A. Worthington, Senior Security and Special Gifts Analyst
- Assistant Treasurer: Lina Wang, Associate Director of Accounting Operations

#### DAVIS

UC Davis Foundation Website: http://giving.ucdavis.edu/uc\_davis\_foundation.html

#### **Executive Officers**

Chair: Kevin Bacon '72, Austin, TX Vice Chair: Bruce Edwards '60, Los Gatos Chair-Audit Committee: Anne Gray, Davis Chair-Development Committee: Bret Hewitt '76, '83, Arlington, VA Chair-Finance & Investment Committee: Eamonn Dolan '83, Oakland Chair-Nominating Committee: Michael Chapman '58, Sacramento Immediate Past Chair: Pam Fair '80, San Diego President: Shaun Keister, Vice Chancellor-Development and Alumni Relations Secretary: Paul Prokop, Interim Associate Vice Chancellor of University Development Treasurer: Isabella Corbin, Executive Director of Administrative Services

#### IRVINE

#### University of California, Irvine Foundation Website: www.ucifoundation.org

#### Officers

Chair: Hazem H. Chehabi, M.D., Newport Beach Chair-Alumni & Student Relations Committee: Martha A. Newkirk, Ph.D. '81, Laguna Beach Chair-Audit Committee: Mark P. Robinson, Jr., Esq., Corona del Mar Chair-Campaign & Development Committee: Douglas K. Freeman, J.D., LL.M., Corona del Mar Chair-Donor Relations Committee: Salma A. Chehabi, Newport Beach Chair-Finance Committee: John R. Evans, Irvine Chair-Government Relations/Advocacy Committee: Gary H. Hunt, Corona del Mar Chair-Governance Committee: Dean A. Yoost, Irvine Chair-Investment: Rick E. Keller, CFP, Villa Park Chair-Nomination & Board Development: James V. Mazzo, Laguna Beach Chair-Strategic Planning: Emile K. Haddad, Mission Viejo President: Michael V. Drake, Chancellor Vice-President: Gregory R. Leet, Vice Chancellor, University Advancement Chief Financial Officer: Lynn Rahn, Assistant Vice Chancellor Resource Planning and Administration General Counsel: Gary J. Singer, Esq. '74, Newport Beach Secretary: Jackie M. Barbera, Senior Director, University Advancement

## PRIVATE SUPPORT

**Campus Foundation Leadership** 

#### LOS ANGELES The UCLA Foundation Website: www.UCLAFoundation.org

#### **Officers and Committee Chairs**

Chair: Maurice M. Salter '69, Ed.D. '77, Los Angeles Immediate Past Chair: Betsy Wood Knapp, Los Angeles Executive Vice President: Rhea Turteltaub, Vice Chancellor-**External Affairs** Vice President-Development: Steve Gamer, Associate Vice Chancellor-Development Interim Vice President-Finance/Treasurer: George Letteney, Interim Assistant Vice Chancellor-Finance & Information Management Executive Director/Secretary: Steve Gamer, Assistant Vice Chancellor-Campus Wide Initiatives Chair-Audit Committee: S.L. Huang, San Juan Capistrano Chair-Executive Committee: Maurice M. Salter '69, Ed.D. '77, Los Angeles Chair-Finance Committee: Eleanor V. Brewer, M.B.A. '86 Chair-Investment Committee: Steven L. Klosterman '73, Encinitas Chair-Investment Management Committee: Steven L. Klosterman '73, Encinitas Chair–Nominations Committee: Betsy Wood Knapp, Los Angeles Chair-Philanthropy Committee: Charles R. Williams '57, M.B.A. '58, Malibu

#### MERCED

UC Merced Foundation Website: http://ur.ucmerced.edu/

#### **Executive Officers**

Chair: Josh Becker, Menlo Park Vice Chair: Robert Tinker, Mountain View Secretary: Art Kamangar, Merced Treasurer: Leo Kolligian, Santa Monica Corporate President: Dorothy Leland, Chancellor Corporate Vice President: David Hosley, Interim Vice Chancellor–University Relations Executive Director: Jan Mendenhall, Associate Vice Chancellor-Development Audit Chair: Cyril Lawrence Development Chair: Robert Bernstein Finance and Investment Chair: Dick Otter Membership Chair: Coke Hallowell

Members at Large: JoAnn Bertges, Bob Gallo, Rani Yadav-Ranjan

#### RIVERSIDE UC Riverside Foundation Website: www.foundation.ucr.edu

#### **Executive Officers**

Chair: Pamela Rubin, Beverly Hills
Chair-Elect: S. Sue Johnson '62, Riverside
Treasurer: Robert J. Sepe, Riverside
Immediate Past Chair: Nicholas H. Goldware '70, Riverside
President: Peter A. Hayashida, Vice Chancellor, University Advancement
Executive Vice President: Joel B. Munson, Associate Vice Chancellor Development
Vice President, Finance and Chief Financial Officer: Jan Wildman, Assistant Vice Chancellor Advancement Administration
Associate Treasurer: Elizabeth Wottring-Gonzales, Executive Director, University Advancement Finance & Administration

Secretary: Patricia D. Kohlmeier, Executive Director, Foundation Relations and Special Initiatives

#### SAN Diego

U.C. San Diego Foundation Website: http://ucsdfoundation.ucsd.edu/

#### **Executive Officers**

Chair: Julia R. Brown Vice Chair: Sheldon C. Engelhorn, '72 Treasurer: Eugene L. Step

#### **Committee Chairs**

Chair–Audit Committee: Teresa Young, '74 Chair–Investment /Finance Committee: Robert S. Marren, '86 Chair–Development Committee: Leo Spiegel, '83 Chair–Stewardship Committee: Elizabeth Van Denburgh, '78 Chair–Nominating Committee: Stephen Schreiner, '80 Chair–Student Foundation Committee: Darcy Bingham

#### **Operating Officers**

Chancellor: Marye Anne Fox President: Steven W. Relyea, Vice Chancellor–External & Business Affairs Vice President: Graig Eastin, Associate Vice Chancellor– Development Vice President: Patrick Carew, Associate Vice Chancellor– Health Sciences Development Assistant Treasurer: Gary Matthews, Vice Chancellor– Resource Management & Planning Chief Financial Officer: Marlene Shaver, Assistant Vice Chancellor–Advancement Services Acting Corporate Secretary: Alexandra Edmondson, Board Operations Analyst

## PRIVATE SUPPORT Campus Foundation Leadership

#### SAN FRANCISCO

#### UCSF Foundation Website: http://support.ucsf.edu/ucsf-foundation

Chair: Richard M. Rosenberg

- President: Susan Desmond-Hellmann, MD, MPH, Chancellor
- Vice President: Janice Eisele, Interim Vice Chancellor, University Development and Alumni Relations
- Treasurer: John Plotts, Senior Vice Chancellor, Finance and Administration
- Secretary: Steve Downs, Executive Director, Financial Services and Administration, Development and Alumni Relations

Chair-Audit Committee: W. Clarke Swanson, Jr.

Chair-Investment Committee: William E. Oberndorf

Chair-Government Relations Committee: Carmen Policy

Chair-Marketing Committee: Lynne Benioff

Chair-Nominating Committee: Nancy Hellman Bechtle

### SANTA BARBARA

UC Santa Barbara Foundation Website: www.ia.ucsb.edu/ucsb-foundation

#### **Foundation Officers**

Chair: Bruce G. Wilcox '77 Past Chair & Nominations Chair: Daniel P. Burnham Vice Chair–Investments/Treasurer: Jane H. Williams Vice Chair–Development: Gwendolyn A. Brown '71 Vice Chair–Donor Relations: Edward E. Birch '95 Secretary: Susan Worster '70

Acting Executive Director: Beverly J. Colgate, Acting Associate Vice Chancellor-Development

Chief Financial Officer: Eric J. Sonquist, Director of Finance & Administration

#### SANTA CRUZ U.C. Santa Cruz Foundation Website: http://foundation.ucsc.edu/

#### **Executive Officers**

President: Kenneth J. Doctor '71, Aptos
Vice President, Board Affairs: Richard F. Moss '85, Los Angeles
Vice President, Engagement: Michael P. Graydon '70, Pasadena
Vice President, Events: Mary E. Doyle '74, Woodside
Vice President, Board Opportunity Fund: Kristen Marinovic '91, Santa Cruz
Parliamentarian: Betsy Buchalter Adler '70, San Francisco
Chair–Executive Committee: Kenneth J. Doctor '71, Aptos
Chair–Finance Committee: Loren A. Steck '73, Carmel
Chair–Trustee Membership Committee: Richard F. Moss '85, Los Angeles
Chair–Audit Committee: Robert T. Falltrick '69, San Francisco

#### **Administrative Officers**

Executive Secretary: Donna M. Murphy, Vice Chancellor– University Relations Treasurer: TBD Assistant Treasurer: William L. Jump, Foundation Controller Foundation Director: Ann E. McCrow

## PRIVATE SUPPORT



#### **GIFT DATA**

The gift data included in the Annual Report on the University Private Support Program are compiled using the Council for Aid to Education/cash convention for gift reporting (i.e., includes outright gifts, pledge payments, and private grants payments, not contracts). This is the reporting convention used for comparisons with other educational institutions.

#### **REGENTS**

The Board of Regents of the University of California is the corporate body entrusted by the California State Constitution, Article IX, section 9, with the University's organization and administration.

#### **CAMPUS FOUNDATIONS**

Campus foundations, serving each of the ten University of California campuses, are independently incorporated as nonprofit, public-benefit corporations. They serve as the primary fundraising arm for their respective campuses. The strength of the foundations rests with the direction provided by their community-based volunteer trustees. Each foundation is staffed by University personnel.

#### **SOURCES OF GIFTS**

This category identifies the original source of gifts and grants to The Regents and the campus foundations.

#### **INDIVIDUALS**

Individuals are divided into a number of categories, including:

#### Alumni

Alumni are defined as former undergraduate or graduate students, full- or part-time, who have earned some credit toward a degree offered by a particular campus of the University of California. Alumni status takes precedence in the reporting of private support, so that gifts from faculty, staff and parents who are also alumni would be counted in the *alumni* category.

#### Faculty

Faculty members are defined as individuals with full- or part-time academic appointments within the University.

#### Staff

Staff members are employed in non-academic positions throughout the University.

#### Parents

Parents have children who are current or former students at any campus of the University.

#### Individuals (non-alumni)

All individual donors who are not alumni, faculty or staff are covered by this classification.

#### Bequests

Bequests are defined as gifts received from deceased donors' estates, trusts or other estate plans that designate the University as a beneficiary.

#### **ORGANIZATIONS**

#### Campus-related organizations

This classification covers student groups, alumni associations, and University support groups, but not campus foundations. A gift to a campus foundation is reported in the classification appropriate to the original donor when the gift was received by the campus foundation.

#### Corporations

This classification covers both for-profit entities and nonprofit entities that are funded by for-profit entities. For-profit entities are defined as corporations, businesses, partnerships, and cooperatives that have been organized for profit-making purposes, including corporations owned by individuals and families, incorporated professional individuals, and other closely held companies.

Examples of non-profit entities that are funded by for-profit entities include: industry or trade associations; professional, union, or lobbying organizations; and non-profit organizations funded by one or more companies or individuals operating for profit, including corporate foundations.

#### Foundations

This classification covers private tax-exempt entities established and operated exclusively for charitable purposes; non-profit foundations or charitable trusts, including funds or endowments designated by the Internal Revenue Service as grant-making foundations; community foundations; family foundations; or charitable trusts. This classification excludes corporate foundations, campus foundations and quasigovernment entities, such as the National Endowment for the Humanities. To capture additional data, a category has been added for foundations established by alumni.

#### Other sources

This classification covers all non-profit organizations other than those described above, including fundraising consortia, religious organizations, and higher educational associations or institutions.

#### **PURPOSES OF GIFTS**

This category identifies the intended use of private support as designated by the donor or grantor.

#### Research

This classification applies to private support that is restricted to scientific, technical and humanistic investigation, including salaries and other support of research projects. Funds for research may also be included in the "campus improvement" classification (capital projects to support research) and in the "departmental support" classification (endowed chairs).

#### Student support

This classification includes support that is restricted to nonrepayable financial aid to undergraduate and graduate students, including undergraduate scholarships, graduate fellowships, awards, prizes, and private support for work-study students, as well as gift funds to support loans to be repaid by undergraduate and graduate students.

#### Instruction

This classification is restricted to support for seminars, conferences, lecture programs, and faculty recognition awards, including salaries, honoraria and employee benefits.

#### Campus improvement

This classification covers support for capital projects and for ongoing operation of the physical plant, including grounds and buildings, facilities, and equipment. It includes gifts and private grants of real and personal property, including equipment and works of art for use by the University. It also covers gifts and private grants that are restricted by the donor or grantor to purchase buildings, other facilities, equipment, and land for use by the University; to construct or carry out major renovation of buildings and other facilities; and to retire indebtedness. In addition, the classification applies to gifts of library materials and monetary support that is restricted to acquire, restore, and preserve books, periodicals, manuscripts, maps, and related materials; to acquire audiovisual and other equipment; and to support other activities of campus libraries.

#### Departmental support

This classification covers gifts that are restricted by the donor to a particular academic division, department or entity. It also covers gifts that are restricted by the donor to a particular academic division, department or unit, and further restricted by the donor to a particular purpose for which no other purpose category is listed, including endowed chairs, but excluding support for instruction and student financial support, which are reported in the related classifications.

#### Other purposes

This classification covers support of non-instructional services beneficial to individuals and groups external to the University (e.g., exhibits, museums, patient care, public service, and similar facilities and programs); and gifts restricted by the donor for endowment, which maintains the principal in perpetuity, but otherwise unrestricted by the donor as to use of the endowment income. It also includes support for intercollegiate athletics. Note that gifts to support athletic scholarships are reported in "student support" and gifts for athletic capital projects are reported in "campus improvement".

#### Unrestricted

This classification includes gifts made by the donor for current use without restriction, regardless of any subsequent administrative designation or purpose.

#### **TYPE OF ASSETS**

This category identifies the form (type of asset) comprising the support received this year by the University and the campus foundations.

#### Cash

This classification covers currency, coins, checks, money orders, credit cards transactions, and bank drafts.

#### Securities

This classification includes stocks, bonds, and related instruments, such as promissory (mortgage) notes and insurance policies, if maintained rather than surrendered for cash.

#### Real property

This classification applies to real estate, including land, buildings, and other improvements; and to oil, mineral and related rights. Real property is reported at its fair market value.

#### Non-monetary items

This classification applies to personal or company property (except securities and real property), including works of art, books, intellectual property, and scientific and other equipment. Non-monetary gifts are reported at their fair market value.

#### **PLANNED GIFTS**

A planned gift is often referred to as a "split-interest arrangement"; it involves benefits for the donor (or another non-charitable beneficiary) and for the charitable institution. In such a circumstance, a donor who makes a current gift-in-trust is eligible for an income for life or for a period of years related to the underlying assets of the gift, as well as to certain incometax benefits for making a charitable contribution. The donor may name himself or herself or another individual as the lifeincome beneficiary; on the demise of the beneficiary or at the conclusion of the trust term, the charitable remainder is applied to the charitable purpose specified in the gift agreement.

Face value is a term that refers to the total amount of a planned gift agreement, i.e., the amount conveyed by gift to establish a split-interest trust or other planned gift.

Present value is a term that refers to the discounted value of a planned gift used to predict in today's dollars what the gift will be worth when it becomes available to support the charitable purpose for which it was designated by the donor.

## PRIVATE SUPPORT Appendix

2009-2010

2010-2011

## Private Support to The Regents and the Campus Foundations (CAE/Cash Convention)

#### 1991-92 to 2010-11 Foundation **Fiscal Years** Regents Total 372,643,080 1991-1992 265,656,168 106,986,912 1992-1993 322,993,532 123,380,160 446,373,692 293,837,983 123,441,673 417,279,656 1993-1994 1994-1995 286,612,728 160,132,785 446,745,513 1995-1996 418,367,669 189,583,298 607,950,967 1996-1997 454,269,849 241,513,863 695,783,712 1997-1998 414,224,944 290,143,326 704,368,270 1998-1999 480,533,690 348,788,445 829,322,135 1999-2000 522,810,531 432,084,528 954,895,059 621,634,140 400,873,079 1,022,507,219 2000-2001 2001-2002 629,248,027 382,349,876 1,011,597,903 2002-2003 709,481,356 405,505,130 1,114,986,486 2003-2004 569,903,692 463,331,591 1,033,235,283 687,234,899 2004-2005 509,643,409 1,196,878,308 710,146,541 586,131,053 2005-2006 1,296,277,594 2006-2007 679,918,570 619,347,252 1,299,265,822 2007-2008 882,276,658 726,764,234 1,609,040,892 743,466,901 563,801,996 1,307,268,897 2008-2009

731,029,449

\$775,093,182



594,396,945

\$813,078,193

1,325,426,394

\$1,588,171,375

## PRIVATE SUPPORT Appendix

#### Private Support to The Regents and the Campus Foundations (CAE/Cash Convention)

| BERKELEY    | Regents     | Foundation               | Total                      | RIVERSIDE                 | Regents                          | Foundation               | Total                       |
|-------------|-------------|--------------------------|----------------------------|---------------------------|----------------------------------|--------------------------|-----------------------------|
| 2001-2002   | 164,725,453 | 58,825,468               | 223,550,922                | 2001-2002                 | 23,198,970                       | 7,132,830                | 30,331,800                  |
| 2002-2003   | 138,739,314 | 52,078,162               | 190,817,477                | 2002-2003                 | 10,836,579                       | 5,198,357                | 16,034,937                  |
| 2003-2004   | 110,884,590 | 71,516,306               | 182,400,896                | 2003-2004                 | 11,271,603                       | 5,951,870                | 17,223,473                  |
| 2004-2005   | 132,647,534 | 90,026,054               | 222,673,588                | 2004-2005                 | 14,695,527                       | 4,787,221                | 19,482,748                  |
| 2005-2006   | 177,070,648 | 106,642,052              | 283,712,700                | 2005-2006                 | 17,235,810                       | 24,301,626               | 41,537,435                  |
| 2006-2007   | 165,321,195 | 100,115,482              | 265,436,677                | 2006-2007                 | 12,318,430                       | 6,356,166                | 18,674,596                  |
| 2007-2008   | 184,714,198 | 122,901,938              | 307,616,136                | 2007-2008                 | 15,753,177                       | 5,630,845                | 21,384,022                  |
| 2008-2009   | 156,695,051 | 119,636,924              | 276,331,975                | 2008-2009                 | 14,166,436                       | 6,349,037                | 20,515,473                  |
| 2009-2010   | 190,455,289 | 123,399,778              | 313,855,067                | 2009-2010                 | 18,704,227                       | 4,708,403                | 23,412,630                  |
| 2010-2011   | 192,348,778 | 136,406,540              | 328,755,318                | 2010-2011                 | 19,130,423                       | 15,809,829               | 34,940,252                  |
| DAVIG       | <b>D</b> (  | <b>E</b> 1.2             | <b>T</b> ( ]               |                           | <b>D</b> (                       | <b>E</b> 1.4             | <b>T</b> ( 1                |
| DAVIS       | Regents     | Foundation               | Total                      | SAN DIEGO                 | Regents                          | Foundation               | Total                       |
| 2001-2002   | 55,998,937  | 26,898,413               | 82,897,350                 | 2001-2002                 | 59,924,172                       | 41,289,349               | 101,213,521                 |
| 2002-2003   | 52,383,870  | 12,242,398               | 64,626,269                 | 2002-2003                 | 60,041,862                       | 78,547,353               | 138,589,215                 |
| 2003-2004   | 62,817,960  | 18,565,112               | 81,383,072                 | 2003-2004                 | 53,371,665                       | 58,227,110               | 111,598,775                 |
| 2004-2005   | 52,855,601  | 47,341,313               | 100,196,914                | 2004-2005                 | 56,857,995                       | 83,300,096               | 140,158,091                 |
| 2005-2006   | 57,204,093  | 21,446,184               | 78,650,277                 | 2005-2006                 | 83,282,133                       | 102,903,612              | 186,185,745                 |
| 2006-2007   | 75,439,046  | 19,962,893               | 95,401,939                 | 2006-2007                 | 56,301,068                       | 78,229,417               | 134,530,485                 |
| 2007-2008   | 59,934,103  | 40,435,854               | 100,369,957                | 2007-2008                 | 68,041,924                       | 53,803,262               | 121,845,186                 |
| 2008-2009   | 67,434,643  | 25,426,040               | 92,860,683                 | 2008-2009                 | 55,609,306                       | 45,297,144               | 100,906,450                 |
| 2009-2010   | 85,995,075  | 23,238,538               | 109,233,613                | 2009-2010                 | 53,236,522                       | 53,362,528               | 106,599,050                 |
| 2010-2011   | 85,163,260  | 26,267,603               | 111,430,863                | 2010-2011                 | 58,206,080                       | 57,944,648               | 116,150,728                 |
| IRVINE      | Regents     | Equidation               | Total                      |                           | CO Degente                       | Foundation               | Total                       |
| 2001-2002   | 15,801,241  | Foundation<br>23,099,576 | <u>Total</u><br>38,900,817 | SAN FRANCISC<br>2001-2002 | CO <u>Regents</u><br>130,790,000 | Foundation<br>76,437,552 | <b>Total</b><br>207,227,552 |
|             | 28,593,600  | 24,632,084               | 53,225,684                 |                           | 140,103,546                      | , ,                      | 207,227,332                 |
| 2002-2003   | 26,446,614  |                          | 52,347,035                 | 2002-2003                 | 90,034,936                       | 85,493,594               | , ,                         |
| 2003-2004   | , ,         | 25,900,421               | , ,                        | 2003-2004                 |                                  | 123,961,844              | 213,996,780                 |
| 2004-2005   | 24,383,198  | 30,682,570               | 55,065,768                 | 2004-2005                 | 202,885,156                      | 90,047,225               | 292,932,381                 |
| 2005-2006   | 50,698,808  | 33,652,991               | 84,351,799                 | 2005-2006                 | 94,138,297                       | 107,068,066              | 201,206,363                 |
| 2006-2007   | 31,868,905  | 44,731,718               | 76,600,623                 | 2006-2007                 | 125,527,416                      | 126,417,925              | 251,945,341                 |
| 2007-2008   | 44,468,291  | 48,086,745               | 92,555,036                 | 2007-2008                 | 214,749,838                      | 151,318,180              | 266,068,018                 |
| 2008-2009   | 41,736,986  | 34,322,518               | 76,059,504                 | 2008-2009                 | 155,258,075                      | 145,166,238              | 300,424,313                 |
| 2009-2010   | 33,523,824  | 55,943,896               | 89,467,720                 | 2009-2010                 | 129,511,202                      | 139,393,739              | 268,904,941                 |
| 2010-2011   | 45,206,472  | 49,695,442               | 94,901,914                 | 2010-2011                 | 167,608,633                      | 241,839,330              | 409,447,963                 |
| LOS ANGELES | Regents     | Foundation               | Total                      | SANTA BARBA               | RA Regents                       | Foundation               | Total                       |
| 2001-2002   | 146,693,728 | 135,649,641              | 282,343,369                | 2001-2002                 | 16,955,698                       | 9,724,290                | 26,679,988                  |
| 2002-2003   | 187,378,254 | 132,084,530              | 319,462,784                | 2002-2003                 | 51,345,517                       | 10,671,661               | 62,017,178                  |
| 2002-2003   | 122,662,204 | 139,486,383              | 262,148,587                | 2002-2003                 | 62,556,126                       | 13,659,014               | 76,215,140                  |
| 2003-2004   | 140,422,261 | 141,130,212              | 281,552,473                | 2003-2004                 | 34,644,655                       | 14,340,743               | 48,985,398                  |
| 2005-2006   | 157,372,797 | 162,207,756              | 319,580,553                | 2005-2006                 | 35,054,856                       | 20,511,372               | 55,566,228                  |
| 2006-2007   | 149,741,487 | 215,038,251              | 364,779,738                | 2006-2007                 | 32,283,162                       | 18,976,181               | 51,259,343                  |
| 2007-2008   | 194,543,756 | 262,110,576              | 456,654,332                | 2007-2008                 | 59,022,398                       | 31,189,950               | 90,212,348                  |
| 2008-2009   | 187,202,246 | 164,486,740              | 351,688,986                | 2008-2009                 | 26,840,317                       | 13,981,522               | 40,821,839                  |
| 2009-2009   | 172.136.294 | 168.270.469              | 340,406,763                | 2008-2009                 | 27,007,295                       |                          |                             |
| 2009-2010   | 155,932,215 | 259,098,207              | 415,030,422                | 2009-2010                 | 31,239,974                       | 17,856,703<br>16,221,209 | 44,863,998<br>47,461,183    |
| 2010-2011   | 155,952,215 | 239,090,207              | 415,050,422                | 2010-2011                 | 51,259,974                       | 10,221,209               | 47,401,105                  |
| MERCED      | Regents     | Foundation               | Total                      | SANTA CRUZ                | Regents                          | Foundation               | Total                       |
| 2001-2002   | 1,570,077   | n/a                      | 1,570,077                  | 2001-2002                 | 10,642,457                       | 3,292,756                | 13,935,213                  |
| 2002-2003   | 7,930,886   | 162,104                  | 8,092,990                  | 2002-2003                 | 13,816,598                       | 4,394,886                | 18,211,484                  |
| 2003-2004   | 3,356,106   | 1,017,842                | 4,373,948                  | 2003-2004                 | 10,052,257                       | 5,045,689                | 15,097,946                  |
| 2004-2005   | 3,330,652   | 1,770,679                | 5,101,331                  | 2004-2005                 | 18,837,949                       | 6,217,296                | 25,055,245                  |
| 2005-2006   | 10,363,874  | 2,236,081                | 12,599,955                 | 2005-2006                 | 20,889,392                       | 5,161,313                | 26,050,705                  |
| 2006-2007   | 4,387,939   | 1,962,569                | 6,350,508                  | 2006-2007                 | 20,126,272                       | 7,556,650                | 27,682,922                  |
| 2007-2008   | 6,222,938   | 4,375,578                | 10,598,516                 | 2007-2008                 | 20,449,025                       | 6,911,306                | 27,360,331                  |
| 2008-2009   | 5,823,885   | 2,498,075                | 8,321,960                  | 2007-2000                 | 25,674,359                       | 6,637,758                | 32,312,117                  |
| 2009-2009   | 1,832,781   | 938,543                  | 2,771,324                  | 2009-2010                 | 14,616,404                       | 7,284,348                | 21,900,752                  |
| 2010-2011   | 2,367,090   | 2,011,339                | 4,378,429                  | 2010-2011                 | 12,548,411                       | 7,784,046                | 20,332,457                  |
| 2010-2011   | 2,307,030   | 2,011,339                | 7,370,429                  | 2010-2011                 | 12,340,411                       | /,/04,040                | 20,332,437                  |

Note: UC Davis totals include certain gifts and private grants made to the Systemwide Division of Agriculture and Natural Resources.