

The Regents of the University of California

COMMITTEE ON GOVERNANCE

July 17, 2014

The Committee on Governance met on the above date at UCSF–Mission Bay Conference Center, San Francisco.

Members Present: Regents De La Peña, Island, Kieffer, Makarechian, and Reiss

In attendance: Regents Engelhorn, Lansing, Lozano, Napolitano, Ruiz, Saifuddin, Sherman, Torlakson, Varner, and Wachter, Regents-designate Davis, Gorman, and Oved, Faculty Representatives Gilly and Jacob, Interim Secretary and Chief of Staff Shaw, General Counsel Robinson, Chief Compliance and Audit Officer Vacca, Chief Investment Officer Bachher, Provost Dorr, Executive Vice President and Interim Chief Financial Officer Brostrom, Senior Vice Presidents Dooley and Stobo, Vice Presidents Brown, Budil, Duckett, Lenz, and Sakaki, Chancellors Block, Blumenthal, Hawgood, Katehi, Wilcox, and Yang, Interim Chancellor Gillman, and Recording Secretary McCarthy

The meeting convened at 10:45 a.m. with Committee Chair Reiss presiding.

1. APPROVAL OF MINUTES OF PREVIOUS MEETING

Upon motion duly made and seconded, the minutes of the meeting of January 23, 2014 were approved.

2. AMENDMENT OF THE SCHEDULE OF REPORTS TO THE REGENTS

The President of the University recommended that the Schedule of Reports be amended, as shown in Attachment 1.

[Background material was provided to Regents in advance of the meeting, and a copy is on file in the Office of the Secretary and Chief of Staff.]

Committee Chair Reiss explained that this item proposed a routine revision of the Schedule of Reports.

Upon motion duly made and seconded, the Committee approved the President's recommendation and voted to present it to the Board.

The meeting adjourned at 10:50 a.m.

Attest:

Interim Secretary and Chief of Staff

Additions shown by underscoring; deletions shown by strikethrough

SCHEDULE OF REPORTS TO THE REGENTS

[Pursuant to Bylaw 16.8(a)]

Amended ~~January 2014~~ July 2014

	Month(s) Provided to Regents
BOARD	
Report of the President Concerning University Activities and Individuals (the President's Report)	January March May July September November
COMMITTEE ON COMPENSATION	
Annual Reports on Executive Compensation for Calendar Year ____: (a) Incumbents in Senior Management Positions and (b) Deans and Certain Faculty Administrators (<i>mbm</i> ¹)	July
Annual Report on Compensated Outside Professional Activities for Calendar Year ____: Incumbents in Senior Management Positions (<i>mbm</i>)	July
Annual Report on Compensated Outside Professional Activities for Calendar Year ____: Deans and Faculty Administrators (<i>mbm</i>)	January
Annual Report on Health Sciences Compensation Plan Participants' Compensation that Exceed the Reporting Threshold (<i>mbm</i>)	November
Annual Report on Academic Personnel Salaries Above the Indexed Compensation Level (<i>mbm</i>[*])	November
Annual Report on Adjustment of the Indexed Compensation Level (<i>mbm</i>)	September
Biannual Compensation Monitoring Reports: (a) Incumbents in Certain Senior Management Positions; and (b) Deans and Full Time Faculty Administrators (<i>mbm</i>[*])	May November

¹ *mbm*: Report is sent to all Regents as a mailing between meetings (*mbm*)

Annual Compensation Monitoring Report for Calendar Year ____: Actions for Certain Athletic Positions and Coaches Systemwide (*mbm*) July

COMMITTEE ON COMPLIANCE AND AUDIT

Internal Audit Plan July
Annual Review of External Audit of Hastings College of the Law (*mbm*) March
Annual Report on Ethics and Compliance September
Annual Report of External Auditors for the Year Ended June 30, ____ November
Annual Report on Internal Audit Activities November

COMMITTEE ON EDUCATIONAL POLICY

Annual Report on Private Support, Major Donors, and Namings and Endowed Chairs (*mbm*) November
Annual Report on Student Financial Support (*mbm*) March
Annual Report on Undergraduate Admissions Requirements and Comprehensive Review (*mbm*) November
~~Annual Report on Proposals Seeking Research Funding from the Tobacco Industry (*mbm**)~~ September
Annual Report on Self-Supporting Professional Degree Programs (*mbm*) August
Annual Accountability Sub-Report on Diversity at the University of California January

COMMITTEE ON FINANCE

Annual Report on Risk Management (*mbm*) January
Annual Report on Use of Outside Counsel (*mbm*) January
Annual Report on Settlements and Separation Agreements January
Annual Report on University Housing Assistance Programs (*mbm*) January

Annual Report on Expenditures of Associates to the President and Chancellors (<i>mbm</i>)	September
University of California Financial Reports	November
Annual University of California Retirement Plan-Actuarial Valuation Report	November
Annual Report on Debt Capital and External Finance Approvals (<i>mbm</i>)	January
Annual Report on the University of California Technology Transfer Program (<i>mbm</i>)	May
Annual Report on Administrative Efficiencies	May <u>September</u>
Annual Report on Newly Approved Indirect Costs (<i>mbm</i>)	November
Bi-Monthly Report on New Litigation (<i>mbm</i>)	January March May July September November
Annual Report on the Net Fee Income Received as Owner of a Limited Liability Company Managing a Department of Energy National Laboratory and Expenditures Made Therefrom (<i>mbm</i>)	November
Annual Report on the Commission on the Future Recommendations (<i>mbm*</i>)	July

COMMITTEE ON GROUNDS AND BUILDINGS

Annual Report on Sustainable Practices (<i>mbm</i>)	January
Annual Report on Chancellor’s Residence and Office Capital Projects (<i>mbm</i>)	September
Annual Report on Major Capital Projects Implementation (<i>mbm</i>)	October
Ten Year Consolidated State and Non-State Capital Financial Plan	November

COMMITTEE ON HEALTH SERVICES

Biannual Activity and Financial Status Report on Hospitals and Clinics (*mbm*) March
November

COMMITTEE ON INVESTMENTS

Annual Endowment Investment Report (*mbm*) February

Annual Report of the Treasurer (*mbm*) November

COMMITTEE ON LONG RANGE PLANNING

Annual University of California Accountability Report July