

The Regents of the University of California

**COMMITTEE ON GOVERNANCE**

September 14, 2011

The Committee on Governance met on the above date at UCSF–Mission Bay Community Center, San Francisco.

Members present: Regents Blum, Pattiz, Ruiz, Varner, and Wachter

In attendance: Regents Crane, De La Peña, Gould, Hallett, Island, Johnson, Kieffer, Lansing, Makarechian, Marcus, Mireles, Newsom, Pelliccioni, Reiss, Schilling, Yudof, and Zettel, Regents-designate Rubenstein and Stein, Faculty Representatives Anderson and Powell, Secretary and Chief of Staff Kelman, Associate Secretary Shaw, General Counsel Robinson, Chief Investment Officer Berggren, Chief Compliance and Audit Officer Vacca, Provost Pitts, Executive Vice President Brostrom, Chief Financial Officer Taylor, Senior Vice Presidents Dooley and Stobo, Vice Presidents Beckwith, Darling, Duckett, Lenz, and Sakaki, Chancellors Birgeneau, Block, Desmond-Hellmann, Drake, Fox, Katehi, Leland, White, and Yang, and Recording Secretary McCarthy

The meeting convened at 11:10 a.m. with Committee Vice Chair Varner presiding.

**1. APPROVAL OF MINUTES OF PREVIOUS MEETING**

Upon motion duly made and seconded, the minutes of the meeting of March 17, 2011 were approved.

**2. AMENDMENT OF THE SCHEDULE OF REPORTS TO THE REGENTS**

The President recommended that the Schedule of Reports be amended, as shown in Attachment 1.

[Background material was mailed to Regents in advance of the meeting, and a copy is on file in the Office of the Secretary and Chief of Staff.]

Committee Vice Chair Varner explained that this item was a result of efforts to streamline the reporting process, avoid duplication and unnecessary staff effort, and better align the reports with the timing of data collection.

Upon motion duly made and seconded, the Committee approved the President's recommendation and voted to present it to the Board.

The meeting adjourned at 11:15 a.m.

Attest:

Secretary and Chief of Staff

Additions shown by underscoring; deletions shown by strikethrough

**SCHEDULE OF REPORTS TO THE REGENTS**

[Pursuant to Bylaw 16.8(a)]

Amended ~~January~~ September 2011

	Month(s) Presented or Mailed to Regents
<b>BOARD</b>	
Report of the President Concerning University Activities and Individuals (the President's Report)	January March May July September November
<b>COMMITTEE ON COMPENSATION</b>	
Annual Reports on Executive Compensation for Calendar Year ___: (a) Incumbents in Senior Management Positions and (b) Deans and Certain Faculty Administrators ( <i>mbm*</i> )	July
Annual Reports on Compensated Outside Professional Activities for Calendar Year ___: <del>(a) Incumbents in Senior Management Positions and (b) Deans and Certain Faculty Administrators</del> ( <i>mbm*</i> )	July
<u>Annual Report on Compensated Outside Professional Activities for Calendar Year ___: Deans and Faculty Administrators</u> ( <i>mbm*</i> )	<u>January</u>
Annual Report on Health Sciences Compensation Plan Participants' Compensation that Exceed the Reporting Threshold ( <i>mbm*</i> )	November
<u>Annual</u> <del>Biannual</del> Report on Academic Personnel Salaries Above the Indexed Compensation Level ( <i>mbm*</i> )	<del>May</del> November
Annual Report on Adjustment of the Indexed Compensation Level ( <i>mbm*</i> )	September

\*mbm: Report is sent to all Regents as a mailing between meetings (mbm)

\*\* Modified from annual to biennial report in January 2010

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**[Pursuant to Bylaw 16.8(a)]**  
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	Month(s) Presented or Mailed to Regents
<del>Biannual</del> <u>Bi-Monthly</u> Compensation Monitoring Reports: (a) Incumbents in Certain Senior Management Positions; <u>and</u> (b) Deans and Full-Time Faculty Administrators; <del>and</del> (c) <del>Actions for Certain Athletic Positions and Coaches</del> Systemwide ( <i>mbm*</i> )	<del>January</del> <del>March</del> May July September November
<u>Annual Compensation Monitoring Report for Calendar Year ____ : Actions for Certain Athletic Positions and Coaches Systemwide</u>	<u>July</u>
<b><del>COMMITTEE ON COMPENSATION and</del></b>	
<b><del>COMMITTEE ON LONG RANGE PLANNING</del></b>	
Biennial Accountability Sub-Report on Faculty Competitiveness [ <del>Biennial cycle begins 2011</del> ]**	January
Biennial Accountability Sub-Report on Staff [Beginning 2010]	September
<b>COMMITTEE ON COMPLIANCE AND AUDIT</b>	
Annual Report on Internal Audit Plans	July
Annual Review of External Audit of Hastings College of the Law ( <i>mbm*</i> )	March
Annual Report on Ethics and Compliance	September
Annual Report of External Auditors for the Year Ended June 30, ____	November
Annual Report on Internal Audit Activities	November
<b>COMMITTEE ON EDUCATIONAL POLICY</b>	
Annual Report on Private Support, Major Donors, and Namings and Endowed Chairs ( <i>mbm*</i> )	November

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**[Pursuant to Bylaw 16.8(a)]**  
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	<b>Month(s) Presented or Mailed to Regents</b>
Statistical Summary of Students and Staff ( <i>mbm</i> *)	March
Annual Report on Time to Degree ( <i>mbm</i> *)	September
Annual Report on Nonresident Undergraduate Students ( <i>mbm</i> *)	November
Annual Report on Student Financial Support ( <i>mbm</i> *)	March
Annual Report on Undergraduate Admissions Requirements [effective 2013; will include Report on Comprehensive Review] ( <i>mbm</i> *)	July
Annual Report on Proposals Seeking Research Funding from the Tobacco Industry ( <i>mbm</i> *)	September
<u>Biennial Report on Comprehensive Review</u> ( <i>mbm</i> *) [Consolidate with the Annual Report on Undergraduate Admissions Requirements, 2013 effective 2012]	<del>April</del> <u>July</u>
<b>COMMITTEE ON EDUCATIONAL POLICY and COMMITTEE ON FINANCE</b>	
Annual Report on Self-Supporting Professional Degree Programs ( <i>mbm</i> *)	August
<b>COMMITTEE ON EDUCATIONAL POLICY and COMMITTEE ON LONG RANGE PLANNING</b>	
<del>Biennial Accountability Sub-Report on Graduate Academic and Professional Degree Students [Beginning 2010]</del>	<del>July</del>
<del>Biennial Accountability Sub-Report on Student Success [Beginning 2011]**</del>	<del>July</del>
Annual Accountability Sub-Report on Diversity at the University of California	<del>September</del> <u>January</u>
Annual Accountability Sub-Report on the University of California Admissions and Enrollments	March

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<b>COMMITTEE ON FINANCE</b>	
Annual Report on Risk Management ( <i>mbm</i> *)	January
Annual Report on Use of Outside Counsel ( <i>mbm</i> *)	January
Annual Report on Settlements and Separation Agreements	January
Annual Report on University Housing Assistance Programs ( <i>mbm</i> *)	January
Annual Report on Expenditures of Associates to the President and Chancellors ( <i>mbm</i> *)	September
University of California Financial Reports	November
Annual University of California Retirement Plan-Actuarial Valuation Report	November
Annual Report on Debt Capital and External Finance Approvals ( <i>mbm</i> *)	January
Annual Report on the University of California Technology Transfer Program ( <i>mbm</i> *)	May
Annual Report on Administrative Efficiencies	May
<del>Annual Report on Advocacy</del>	<del>January</del>
Annual Report on Newly Approved Indirect Costs ( <i>mbm</i> *)	November
<u>Bi-Monthly Report on New Litigation (<i>mbm</i>*)</u>	<u>January</u> <u>March</u> <u>May</u> <u>July</u> <u>September</u> <u>November</u>

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<b>COMMITTEE ON FINANCE and COMMITTEE ON OVERSIGHT OF THE DEPARTMENT OF ENERGY LABORATORIES</b>	
Annual Report on the Net Fee Income Received as Owner of a Limited Liability Company Managing a Department of Energy National Laboratory and Expenditures Made Therefrom ( <i>mbm*</i> )	November
<b>COMMITTEE ON GROUNDS AND BUILDINGS</b>	
Annual Report on Sustainable Practices ( <i>mbm*</i> )	January
Annual Report on Chancellor's Residence and Office Capital Projects ( <i>mbm*</i> )	September
Annual Report on Major Capital Projects Implementation ( <i>mbm*</i> )	October
Ten Year Consolidated State and Non-State Capital Financial Plan	November
<b>COMMITTEE ON HEALTH SERVICES</b>	
Biannual Activity and Financial Status Report on Hospitals and Clinics ( <i>mbm*</i> )	<del>February</del> <u>March</u> November
<del><b>COMMITTEE ON HEALTH SERVICES and COMMITTEE ON LONG RANGE PLANNING</b></del>	
<del>Biennial Accountability Sub-Report on Health Sciences and Services [Biennial cycle begins 2011]**</del>	<del>March</del>
<b>COMMITTEE ON INVESTMENTS</b>	
Annual Endowment Investment Report ( <i>mbm*</i> )	February
Annual Report on Divestment Policies ( <i>mbm*</i> )	September

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Annual Report of the Treasurer ( <i>mbm</i> *)	November
<b>COMMITTEE ON LONG RANGE PLANNING</b>	
<del>Biennial Accountability Sub-Report on University Private Support [Biennial cycle begins 2010]**</del>	<del>January</del>
Annual University of California Accountability Report	<del>May</del> <u>July</u>
<del>Biennial Accountability Sub-Report on the Research Enterprise [Biennial cycle begins 2010]**</del>	<del>January</del>

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