

The Regents of the University of California

COMMITTEE ON COMPLIANCE AND AUDIT

March 18, 2009

The Committee on Compliance and Audit met on the above date at the Commons, Riverside Campus.

Members Present: Regents Cole, De La Peña, Reiss, Ruiz, Scorza, and Varner; Ex officio member Blum; Advisory member Croughan

In attendance: Regents Gould, Johnson, Kozberg, Lozano, Makarechian, Marcus, Schilling, Shewmake and Yudof, Regents-designate Bernal, Nunn Gorman, and Stovitz, Faculty Representative Powell, Associate Secretary Shaw, General Counsel Robinson, Chief Compliance and Audit Officer Vacca, and Executive Vice President Lapp

The meeting convened at 4:25 p.m. with Committee Chair Ruiz presiding.

1. **APPROVAL OF MINUTES OF PREVIOUS MEETING**

Upon motion duly made and seconded, the minutes of the meeting of January 7 were approved. Associate Secretary Shaw distributed a letter from General Counsel Robinson to Committee Chair Ruiz clarifying the Office of General Counsel's advice on sexual harassment prevention training, which was discussed at the January 7 meeting. It is the opinion of the Office that the University is legally obligated to require that its supervisors complete the sexual harassment prevention training.

3. **APPROVAL OF EXTERNAL AUDIT PLAN FOR THE YEAR ENDING JUNE 30, 2009**

The President recommended that the scope of the external audit of the University for the year ending June 30, 2009, including the expanded external audit coverage of the Lawrence Berkeley National Laboratory, be approved.

[Background material was mailed to Regents in advance of the meeting, and copies are on file in the Office of the Secretary and Chief of Staff.]

Upon motion duly made and seconded, the Committee approved the President's recommendation and voted to present it to the Board.

The meeting adjourned at 4:30 p.m.

Attest:

Associate Secretary