

Approved

COMPLIANCE AND AUDIT COMMITTEE (CLOSED SESSION)

September 27, 2018

TO THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

RECOMMENDED SETTLEMENTS FOR BOARD ACTION

A. **HALLDORSON v. REGENTS – Proposed Settlement – Whistleblower Retaliation – San Diego**

[Recommendation to be available for release pursuant to instructions from the Office of the General Counsel.]

Committee vote: Regents Anguiano, Elliott, Kieffer, Makarechian, Morimoto, Park, and Pérez voting “aye,” Regent Tauscher abstaining, and Regent Zettel voting “no.”

Board vote: Regents Anderson, Anguiano, Butler, Cohen, Elliott, Estolano, Graves, Guber, Kieffer, Lansing, Leib, Morimoto, Napolitano, Ortiz Oakley, Park, Pérez, Sherman, and Tauscher voting “aye,” and Regent Zettel voting “no.”

B. **LIFE SCIENCE INTELLIGENCE, INC. v. REGENTS – Proposed Settlement – Breach of Contract – Irvine**

[Recommendation to be available for release pursuant to instructions from the Office of the General Counsel.]

Committee vote: Regents Anguiano, Elliott, Kieffer, Makarechian, Morimoto, Park, Pérez, Tauscher, and Zettel voting “aye.”

Board vote: Regents Anderson, Anguiano, Butler, Cohen, Elliott, Estolano, Graves, Guber, Kieffer, Lansing, Leib, Morimoto, Napolitano, Ortiz Oakley, Park, Pérez, Sherman, Tauscher, and Zettel voting “aye.”