

COMPLIANCE AND AUDIT COMMITTEE (CLOSED SESSION)

March 18, 2021

TO THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

1. RECOMMENDED SETTLEMENTS FOR BOARD ACTION

A. *Aotaki, et al. v. Regents, et al. – Proposed Settlement – Medical Malpractice – Los Angeles Medical Center*

Committee vote: Regents Anguiano, Butler, Elliott, Estolano, Makarechian, Park, Pérez, and Sures voting “aye” and Regent Cohen voting “no.”

Board vote: Regents Butler, Cohen, Drake, Elliott, Estolano, Guber, Lansing, Leib, Makarechian, Mart, Muwwakkil, Ortiz Oakley, Park, Pérez, Reilly, Sherman, and Stegura voting “aye.”

B. *Fuentes v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles Medical Center*

Committee vote: Regents Butler, Cohen, Elliott, Estolano, Makarechian, and Pérez voting “aye” and Regents Anguiano, Park, and Sures abstaining.

Board vote: Regents Butler, Cohen, Drake, Elliott, Estolano, Guber, Lansing, Leib, Makarechian, Mart, Muwwakkil, Ortiz Oakley, Park, Pérez, Reilly, Sherman, and Stegura voting “aye.”

C. *Major v. Regents – Proposed Settlement – Whistleblower Retaliation – Los Angeles*

Committee vote: Regents Anguiano, Butler, Elliott, Estolano, Makarechian, Park, Pérez, and Sures voting “aye” and Regent Cohen voting “no.”

Board vote: Regents Butler, Drake, Elliott, Estolano, Guber, Lansing, Leib, Makarechian, Mart, Muwwakkil, Ortiz Oakley, Park, Pérez, Reilly, Sherman, and Sures voting “aye,” Regent Cohen voting “no,” and Regent Stegura abstaining.

D. *Yaeger v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles Medical Center*

Committee vote: Regents Anguiano, Butler, Elliott, Estolano, Makarechian, Park, Pérez, and Sures voting “aye” and Regent Cohen voting “no.”

Board vote: Regents Butler, Cohen, Drake, Elliott, Estolano, Guber, Lansing, Leib, Makarechian, Mart, Muwwakkil, Ortiz Oakley, Park, Pérez, Reilly, Sherman, and Stegura voting “aye.”

2 **FEDEROFF V. REGENTS, ET AL. – PROPOSED SETTLEMENT –
WHISTLEBLOWER RETALIATION– IRVINE MEDICAL CENTER**

Committee vote: Regents Anguiano, Butler, Cohen, Elliott, Estolano, Makarechian, Park, Pérez, and Sures voting “aye.”

Board vote: Regents Butler, Cohen, Drake, Elliott, Estolano, Guber, Lansing, Leib, Makarechian, Mart, Muwwakkil, Ortiz Oakley, Park, Pérez, Reilly, Sherman, and Stegura voting “aye.”

[Recommendations to be available for release pursuant to instructions from the Office of the General Counsel.]