GOVERNANCE COMMITTEE
July 18, 2019

TO THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

1. APPROVAL OF APPOINTMENT OF AND COMPENSATION FOR PEGGY
   ARRIVAS AS INTERIM ASSOCIATE VICE PRESIDENT – CAPITAL ASSET
   STRATEGIES AND FINANCE, IN ADDITION TO HER EXISTING
   APPOINTMENT AS ASSOCIATE VICE PRESIDENT – SYSTEMWIDE
   CONTROLLER, OFFICE OF THE PRESIDENT AS DISCUSSED IN CLOSED
   SESSION

Recommendation

The Governance Committee recommends approval of the following items in connection
with the appointment of and compensation for Peggy Arrivas as Interim Associate Vice
President – Capital Asset Strategies and Finance, Office of the President, in addition to
her existing appointment as Associate Vice President – Systemwide Controller, Office of
the President:

A. Appointment of Peggy Arrivas as Interim Associate Vice President – Capital
   Asset Strategies and Finance, Office of the President, effective as an exception to
   policy June 1, 2019 through May 31, 2020 or until the appointment of a new
   Associate Vice President – Capital Asset Strategies and Finance, Office of the President, whichever
   occurs first.

B. Per policy, continued appointment as Associate Vice President – Systemwide
   Controller, Office of the President.

C. Per policy, an annual base salary of $371,256 during the appointment as Interim
   Associate Vice President – Capital Asset Strategies and Finance, Office of the President. At the conclusion of the interim appointment, Ms. Arrivas’s annual
   base salary will revert to her annual base salary in effect as of June 5, 2018, plus
   any adjustments made under the Office of the President salary program since that
date.

D. Per policy, continuation of standard pension and health and welfare benefits and
   standard senior management benefits including eligibility for senior manager life
   insurance and executive salary continuation for disability.

E. Per policy, continued eligibility to participate in the UC Employee Housing
   Assistance Program, subject to all applicable program requirements.

F. Per policy, continuation of monthly contribution to the Senior Management
   Supplemental Benefit Program.
COMPARATIVE ANALYSIS

Recommended Compensation
Effective Date: June 1, 2019
Annual Base Salary: $371,256
Incentive Compensation: N/A
Target Cash Compensation*: $371,256
Funding: Partially or Fully State Funded

Budget and/or Prior Incumbent Data
Title: AVP–Capital Asset Strategies and Finance
Annual Base Salary: $324,805
Incentive Compensation: N/A
Target Cash Compensation*: $324,805
Funding: Partially or Fully State Funded

*Target Cash Compensation consists of base salary and, if applicable, incentive and/or stipend.

COMPETITIVE ANALYSIS
INTERIM ASSOCIATE VICE PRESIDENT – CAPITAL ASSET STRATEGIES AND FINANCE
(MRZ TITLE: ASSOCIATE VICE PRESIDENT - CAPITAL FINANCIAL PLANNING AND ANALYSIS)

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<th>Percentiles</th>
<th>25th</th>
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<th>75th</th>
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<th>50th</th>
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<td>5.11%</td>
<td>-5.63%</td>
<td>-19.27%</td>
<td>-44.00%</td>
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</table>


The compensation described above shall constitute the University’s total commitment until modified by the Regents or the President, as applicable under Regents policy, and shall supersede all previous oral and written commitments. Compensation recommendations and final actions will be released to the public as required in accordance with the standard procedures of the Board of Regents.

Submitted by: President Napolitano
Reviewed by: Governance Committee Chair Pérez
Office of the President, Systemwide Human Resources
Background to Recommendation

The President of the University recommends the appointment of and compensation for Peggy Arrivas as Interim Associate Vice President (AVP) – Capital Asset Strategies and Finance, Office of the President, in addition to her existing appointment as AVP – Systemwide Controller, Office of the President, with a proposed base salary of $371,256. The proposed base salary is seven percent ($24,288) above Ms. Arrivas’s current salary ($346,968), 0.8 percent above the 75th percentile ($368,300) of the Market Reference Zone for the AVP – Capital Asset Strategies and Finance position, and 14.3 percent above the previous incumbent’s salary of $324,805. Ms. Arrivas will remain eligible to participate in the 2019 merit program consistent with University-wide guidelines.

In July 2018, the President approved a five percent stipend for Ms. Arrivas in recognition of the additional responsibilities she was given following the departure of the previous incumbent in the AVP – Capital Asset Strategies and Finance role, Sandra Kim. Ms. Arrivas remained eligible for the 2018 systemwide merit program and received a three percent merit increase effective July 1, 2018, bringing her base salary from $336,861 to $346,968. Following the 2018 merit increase, her base plus the five percent stipend, which ended on May 31, 2019, came to $364,316. The five percent stipend was effective June 6, 2018 to May 31, 2019 or until the appointment of a new AVP, whichever occurs first.

During that time, Executive Vice President and Chief Financial Officer (CFO) Nathan Brostrom decided not to backfill the position or launch a national search until an assessment of his organization had been completed to determine the appropriate structure. CFO Brostrom will begin his appointment as Interim Chancellor, Merced campus in August 2019; however, he will still complete the organizational review. In the meantime, he has requested that Ms. Arrivas be appointed as the Interim AVP – Capital Asset Strategies and Finance as she has taken on the full set of responsibilities of that role in addition to her current responsibilities as the AVP – Systemwide Controller.

The interim appointment and compensation would be effective for 12 months retroactively from June 1, 2019 through May 31, 2020, or until a new AVP – Capital Asset Strategies and Finance is appointed, whichever occurs first. Once the appropriate structure is determined, CFO Brostrom plans to launch a competitive national recruitment to backfill the Associate Vice President – Capital Asset Strategies and Finance position following the completion of the Office of the CFO organizational structure review.

At the conclusion of the interim appointment, Ms. Arrivas will continue in her current position as AVP – Systemwide Controller, Office of the President, and her base salary will revert to the base salary that was in effect as of June 5, 2018, plus any adjustments made under the Office of the President salary program during the time she received the stipend and/or during the interim appointment.

This action requires approval by the Regents because the proposed base salary is above the 75th percentile of the Market Reference Zone for this Level Two Senior Management
Group position and because the retroactive effective date constitutes an exception to policy.

Committee vote: Regents Anguiano, Elliott, Estolano, Kieffer, Lansing, Leib, Makarechian, Napolitano, Pérez, Sherman, and Zettel voting “aye.”

Board vote: Regents Anguiano, Cohen, Elliott, Estolano, Guber, Kieffer, Lansing, Leib, Napolitano, Ortiz Oakley, Park, Pérez, Sherman, Simmons, Sures, Um, Weddle, and Zettel voting “aye.”

2. APPROVAL OF APPOINTMENT OF AND COMPENSATION FOR PK AGARWAL AS DEAN – UNIVERSITY EXTENSION, SANTA CRUZ CAMPUS AS DISCUSSED IN CLOSED SESSION

Recommendation

The Governance Committee recommends approval of the following items in connection with the appointment of and compensation for PK Agarwal as Dean – University Extension, Santa Cruz campus:

A. Per policy, appointment of PK Agarwal as Dean – University Extension, Santa Cruz campus, at 100 percent time.

B. Per policy, an annual base salary of $260,000.

C. Per policy, standard pension and health and welfare benefits and standard senior management benefits including eligibility for senior management life insurance and executive salary continuation for disability (eligible after five consecutive years of Senior Management Group service).

D. Per policy, eligibility to participate in the UC Employee Housing Assistance Program, subject to all applicable program requirements.

E. This action will be effective on or about August 1, 2019.

COMPARATIVE ANALYSIS

**Recommended Compensation**

**Effective Date:** On or About August 1, 2019  
**Title:** Dean–University Extension, Santa Cruz Campus  
**Annual Base Salary:** $260,000  
**Incentive Compensation:** N/A  
**Target Cash Compensation**: $260,000  
**Funding:** Partially or Fully State Funded
**Budget and/or Prior Incumbent Data**

**Title:** Dean–University Extension, Santa Cruz Campus  
**Annual Base Salary:** $192,209  
**Incentive Compensation:** N/A  
**Target Cash Compensation**: $192,209  
**Funding:** Partially or Fully State-Funded

"Target Cash Compensation consists of base salary and, if applicable, incentive and/or stipend.

**COMPETITIVE ANALYSIS**

**MARKET REFERENCE ZONE FOR DEAN – UNIVERSITY EXTENSION**  
**(MRZ TITLE: DEAN – UNIVERSITY EXTENSION – CAMPUSES WITHOUT HEALTH SERVICES)**

<table>
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<th>Percentiles</th>
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<td>% Difference</td>
<td>28.0%</td>
<td>13.5%</td>
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<td>-13.7%</td>
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**Survey Source:** College and University Professional Association (CUPA) Survey. CUPA reports base salary only.

The compensation described above shall constitute the University’s total commitment until modified by the Regents, the President, or the Chancellor, as applicable under Regents policy, and shall supersede all previous oral and written commitments. Compensation recommendations and final actions will be released to the public as required in accordance with the standard procedures of the Board of Regents.

**Submitted by:** President Napolitano  
**Reviewed by:** Governance Committee Chair Pérez  
**Office of the President, Systemwide Human Resources**

**Background to Recommendation**

The President of the University recommends approval of the appointment of and compensation for PK Agarwal as Dean – University Extension, Santa Cruz campus, at 100 percent time with a proposed annual base salary of $260,000 effective upon his hire date which is estimated to be August 1, 2019.

The campus conducted a competitive national recruitment, and Mr. Agarwal emerged as the top candidate.

This action requires approval by the Regents because the proposed base salary ($260,000) is above the 60th percentile of this Level Two Senior Management Group
position’s Market Reference Zone (MRZ) and exceeds the previous incumbent’s base salary by more than ten percent.

The proposed base salary and position in the MRZ are appropriately aligned with comparable positions at other UC campuses, taking into account Mr. Agarwal’s depth and breadth of directly applicable experience in the areas of technology, education, and program creation coupled with the challenge of a talent pool that is limited and very competitive.

Committee vote: Regents Anguiano, Elliott, Kieffer, Lansing, Leib, Makarechian, Napolitano, Pérez, Sherman, and Zettel voting “aye.”

Board vote: Regents Anguiano, Cohen, Elliott, Estolano, Guber, Kieffer, Lansing, Leib, Napolitano, Ortiz Oakley, Park, Pérez, Sherman, Simmons, Sures, Um, Weddle, and Zettel voting “aye.”

3. **APPROVAL OF APPOINTMENT OF AND COMPENSATION FOR CARRIE BYINGTON, M.D., AS EXECUTIVE VICE PRESIDENT – UC HEALTH, OFFICE OF THE PRESIDENT AS DISCUSSED IN CLOSED SESSION**

**Recommendation**

The Governance Committee recommends approval of the following items in connection with the appointment of and compensation for Carrie Byington as Executive Vice President – UC Health, Office of the President:

A. Per policy, appointment of Carrie Byington, M.D. as Executive Vice President – UC Health, Office of the President, at 100 percent time.

B. Per policy, annual base salary of $869,800, which will be partially or fully State-funded.

C. Per policy, eligibility to participate in the Short Term Incentive (STI) component of the Clinical Enterprise Management Recognition Plan (CEMRP), with a target award of 20 percent of base salary ($173,960) and maximum potential award of 30 percent of base salary ($260,940), subject to all applicable plan requirements and Administrative Oversight Committee approval. Actual award will be determined based on performance against pre-established objectives and will be prorated in her first year of participation. CEMRP incentive awards are funded by Health Enterprise revenues. No State funds will be used.

D. Per policy, eligibility to participate in the Long Term Incentive (LTI) component of the Clinical Enterprise Management Recognition Plan (CEMRP), with a target award of ten percent of base salary and a maximum potential award of 15 percent of base salary, subject to all applicable plan requirements and Administrative
Oversight Committee approval. The LTI uses rolling three-year performance periods, and any actual award will be determined based on performance against pre-established objectives over the three-year LTI performance period and will be prorated in her first three-year performance period. CEMRP incentive awards are funded by Health Enterprise revenues. No State funds will be used.

E. Reimbursement of actual and reasonable moving and relocation expenses associated with relocating Dr. Byington’s primary residence, subject to the limitations under Regents Policy 7710, Senior Management Group Moving Reimbursement, except for the specific exception to policy listed below.

(1) As an exception to policy, authorize reimbursement of the cost of either furnished or unfurnished temporary lodging up to 180 days, not to exceed $30,000 in total. The policy limitations of 90 days for reasonable residential parking fees and 30 days for meals, if the temporary quarters do not have cooking facilities, remain unchanged.

F. Per policy, eligibility to participate in the UC Employee Housing Assistance Program, subject to all applicable program requirements.

G. Per policy and contingent upon the San Francisco campus’s approval of a tenured academic appointment, eligibility to accrue sabbatical credits as a member of the tenured faculty, consistent with academic personnel policy.

H. Per policy, standard pension and health and welfare benefits and standard senior management benefits including eligibility for senior management life insurance and eligibility for executive salary continuation for disability (eligible after five consecutive years of Senior Management Group service).

I. For any outside professional activities, Dr. Byington will comply with applicable Outside Professional Activity (OPA) policies and reporting requirements.

J. This action will be effective as of Dr. Byington’s hire date, estimated to be on or about October 31, 2019.

**COMPARATIVE ANALYSIS**

**Recommended Compensation**

**Effective Date:** Date of hire, estimated to be on or about October 31, 2019

**Annual Base Salary:** $869,800

**Clinical Enterprise Management Recognition Plan (CEMRP) – Short Term Incentive (STI):** $173,960 (at 20 percent target rate)

**Clinical Enterprise Management Recognition Plan (CEMRP) – Long Term Incentive (LTI):** 10 percent target rate with the first possible payment to occur after the end of the 2021-22 Plan Year.
Target Cash Compensation:* $1,043,760, plus possible LTI awards starting after the end of the 2021-22 Plan Year
Funding: Partially or fully State-funded

Current Incumbent Data
Title: Executive Vice President – UC Health
Annual Base Salary: $652,800
Clinical Enterprise Management Recognition Plan (CEMRP) – Short Term Incentive (STI): $130,500 (at 20 percent target rate)
Clinical Enterprise Management Recognition Plan (CEMRP) – Long Term Incentive (LTI): 10 percent target rate with the first possible payment to occur after the end of the 2018-19 Plan Year.
Target Cash Compensation:* $783,300, plus possible LTI awards starting after the end of the 2018-19 Plan Year.
Funding: Partially or fully State-funded
* Target Cash Compensation consists of base salary and, if applicable, incentive and/or stipend.

COMPETITIVE ANALYSIS FOR EXECUTIVE VICE PRESIDENT – UC HEALTH, OFFICE OF THE PRESIDENT

(MRZ TITLE: EXECUTIVE VICE PRESIDENT - UC HEALTH)

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<th>MARKET REFERENCE ZONE FOR BASE SALARY</th>
<th>MARKET COMPOSITE FOR TARGET CASH COMPENSATION*</th>
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<td>Percentiles</td>
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</table>

* Excludes any possible LTI award.

Survey Source: Sullivan Cotter Survey of Manager and Executive Compensation, Public and Not-For-Profit Academic Medical Centers and National Health Systems

The compensation described above shall constitute the University’s total commitment until modified by the Regents or the President, as applicable under Regents policy, and shall supersede all previous oral and written commitments. Compensation recommendations and final actions will be released to the public as required in accordance with the standard procedures of the Board of Regents.

Submitted by: President Napolitano
Reviewed by: Governance Committee Chair Pérez
Health Services Committee Chair Lansing
Office of the President, Systemwide Human Resources
Background to Recommendation

The President of the University recommends that the Regents approve the appointment of and compensation for Carrie Byington, M.D. as Executive Vice President (EVP) – UC Health, Office of the President, effective upon her hire date which is estimated to be on or about October 31, 2019.

The EVP – UC Health position is classified as a Level One position in the Senior Management Group (SMG); therefore, this action requires approval by the Regents. In accordance with the Governance Committee Charter, the Chair of the Health Services Committee was consulted on this salary action as this is a role within UC Health; however, because the position is funded at least in part using State General Funds, the Governance Committee is the committee to recommend this action to the full Board of Regents for approval.

The current incumbent, Dr. John Stobo, will be retiring. The Office of the President completed a national competitive recruitment for this position and Dr. Byington has been identified as the top candidate from a diverse applicant pool.

The President is recommending an appointment at 100 percent time with an annual base salary of $869,800, which is at the 75th percentile of the Market Reference Zone (MRZ) for this position ($869,800) and 33.2 percent over the base salary of the current incumbent ($652,800). The proposed base salary is consistent with Regents Policy 7701, Senior Management Group Appointment and Compensation, and reflects an appropriate salary, taking into account Dr. Byington’s level of experience and her unique skill set spanning both the management of a clinical enterprise as well as the teaching and research areas that are essential to UC’s mission. Additionally, as it is hard to find proven leadership with experience in both the business and academic side of a health system, there is a limited talent pool and competitive market for this position.

Subject to academic personnel policy and review processes, the San Francisco campus will pursue a tenured faculty appointment for Dr. Byington at zero percent time and without salary. A tenured faculty position would underlie the EVP – UC Health position and be available at 100 percent time when she steps down from the EVP – UC Health position.

Additionally, the President is recommending an exception to policy of up to 180 days for the period of time covered for temporary housing-related expenses in connection with furnished or unfurnished temporary lodging. Regents Policy 7710, Senior Management Group Moving Reimbursement, authorizes reimbursement for the cost of furnished temporary lodging up to 90 days, reasonable residential parking fees up to 90 days, and meals for the first 30 days of residence in temporary quarters that do not have cooking facilities. The exception request is to extend only the reimbursement for temporary housing from 90 days to 180 days at a total cost not to exceed $30,000 and also to allow for reimbursement of either unfurnished or furnished housing for that time period. Residential parking fees and meals as noted above will not be extended past the limitations in policy.
The Office of the President will manage all other details of the relocation package for Dr. Byington within the limitations of policy.

Following review and approval by the Administrative Oversight Committee, Dr. Byington will be eligible to participate in the Clinical Enterprise Management Recognition Plan’s (CEMRP) Short Term Incentive (STI) component, with a target award of 20 percent of base salary ($173,960) and maximum potential award of 30 percent of base salary ($260,940), subject to all applicable plan requirements and Administrative Oversight Committee approval. Actual award will be determined based on performance against pre-established objectives and will be prorated in her first year of participation.

Also following review and approval by the Administrative Oversight Committee, Dr. Byington will be eligible to participate in CEMRP’s Long Term Incentive (LTI) component as well, with a target award of ten percent of base salary and a maximum potential award of 15 percent of base salary, subject to all applicable plan requirements and Administrative Oversight Committee approval. Actual award will be determined based on performance against pre-established objectives and will be prorated in her first three-year period of participation based on the number of complete months employed during that performance period.

As EVP – UC Health, Dr. Byington will be authorized to exercise the full authority of this position. She will report to the President of the University and will be responsible for providing strategic direction, oversight, and guidance for the UC Health System, which spans across six UC campuses and the UC Health Division within the Office of the President. She will develop systemwide objectives, programs, and initiatives that promote and strengthen collaboration across the system and are focused on quality of education and clinical delivery, financial performance, patient satisfaction, resource management, and execution of the UC Health System strategic plan.

Dr. Byington currently serves as the Jean and Thomas McMullin Professor and Dean of the Texas A&M College of Medicine, Senior Vice President of the Texas A&M University Health Science Center, and Vice Chancellor for Health Services for Texas A&M University System, a multi-institution public University system. Prior to joining Texas A&M University in 2017, Dr. Byington served at the University of Utah for over 20 years, in roles with increasing levels of responsibility. Her most recent roles at the University of Utah were held concurrently as Presidential Professor of Pediatrics and Associate Vice President for Faculty and Academic Affairs for the University of Utah Health Sciences Center, Vice Dean for Academic Affairs and Faculty Development for the University of Utah School of Medicine, and Director and Principal Investigator for the Utah Center for Clinical and Translational Science. She was also a Distinguished Service Professor at the University of Utah.
She holds active Medical Licenses in Texas and Utah and has also held Medical Licenses in California and Nevada. Dr. Byington is an active member of the American Board of Pediatrics in both General Pediatrics and Pediatric Infectious Diseases.

Dr. Byington received her bachelor’s degree from Texas A&M University and earned her medical degree from the Baylor College of Medicine, where she also completed an internship in pediatrics and residency in pediatrics, and served as the Neonatal Chief Resident. She also completed a fellowship at the University of California, San Francisco, in pediatric infectious diseases.

Committee vote: Regents Anguiano, Kieffer, Lansing, Leib, Makarechian, Napolitano, Pérez, Sherman, and Zettel voting “aye,” and Regent Elliott abstaining.

Board vote: Regents Anguiano, Cohen, Elliott, Estolano, Guber, Kieffer, Lansing, Leib, Napolitano, Ortiz Oakley, Park, Pérez, Sherman, Simmons, Sures, Um, Weddle, and Zettel voting “aye.”

4. ESTABLISHMENT OF A NEW SENIOR MANAGEMENT GROUP POSITION OF CHIEF STRATEGY OFFICER AND HEAD OF HEALTH AFFILIATES NETWORK, UCSF HEALTH, AND THE MARKET REFERENCE ZONE FOR THE POSITION, SAN FRANCISCO CAMPUS

The Governance Committee reports its approval of:

A. Establishment of a new Senior Management Group position of Chief Strategy Officer and Head of Health Affiliates Network, UCSF Health, San Francisco campus. This will be a Level Two position in the Senior Management Group.


C. The position also includes eligibility to participate in the Short Term Incentive (STI) component of the Clinical Enterprise Management Recognition Plan (CEMRP), with a target award of 15 percent and a maximum potential award of 25 percent of base salary. Participation is reviewed and approved prior to the start of each CEMRP Plan Year.

D. This action will be effective upon approval.

Background to Action

The President of the University recommends that the Governance Committee approve the establishment of a new Level Two position in the Senior Management Group (SMG) and the corresponding Market Reference Zone (MRZ) for this position titled, Chief Strategy Officer and Head of the Health Affiliates Network. A similar SMG-Level position does
not currently exist at any other UC Health System. This request was presented to the Health Sciences Committee at the June 2019 meeting and approved.

The working title for this position will be President – UCSF Health Affiliates Network and Chief Strategy Officer, and the position will report to the UCSF Health Chief Executive Officer, as a key member of the leadership team.

Committee vote: Regents Anguiano, Elliott, Estolano, Kieffer, Lansing, Leib, Makarechian, Napolitano, Pérez, Sherman, and Zettel voting “aye.”

5. RESOLUTION TO EXCLUDE ACCESS TO FEDERAL CLASSIFIED INFORMATION

The Committee recommends that the resolution pertaining to the University’s Department of Energy Facility Security Clearance be approved as shown in Attachment 1.

Committee vote: Regents Anguiano, Elliott, Estolano, Kieffer, Lansing, Leib, Makarechian, Napolitano, Pérez, Sherman, and Zettel voting “aye.”

Board vote: Regents Anguiano, Cohen, Elliott, Estolano, Guber, Kieffer, Lansing, Leib, Napolitano, Ortiz Oakley, Park, Pérez, Sherman, Simmons, Sures, Um, Weddle, and Zettel voting “aye.”

6. AMENDMENT OF THE SCHEDULE OF REPORTS TO THE REGENTS

The Committee recommends that the Schedule of Reports be amended as shown in Attachment 2.

Committee vote: Regents Anguiano, Elliott, Estolano, Kieffer, Lansing, Leib, Makarechian, Napolitano, Pérez, Sherman, and Zettel voting “aye.”

Board vote: Regents Anguiano, Cohen, Elliott, Estolano, Guber, Kieffer, Lansing, Leib, Napolitano, Ortiz Oakley, Park, Pérez, Sherman, Simmons, Sures, Um, Weddle, and Zettel voting “aye.”
RESOLUTION

Pursuant to the *Policy on Security Clearance for Access to Federal Classified Information* adopted on March 29, 2012, and amended on December 30, 2015 and March 16, 2017, and this Resolution, the following named Key Management Personnel member as defined in Regents Policy 1600 shall not require, shall not have, and can be effectively excluded from access to all classified information and/or special nuclear material released to the Regents of the University of California until such individual is granted the required access authorization from the cognizant security agency. And, as a consequence of this Resolution, such individual does not occupy a position that would enable him to adversely affect the policies or practices of the University of California, or its subsidiary, regarding the performance of classified contracts for the United States Government.

<table>
<thead>
<tr>
<th>NAME</th>
<th>TITLE</th>
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<tbody>
<tr>
<td>John A. Pérez</td>
<td>Chair of the Board of Regents</td>
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### SCHEDULE OF REPORTS TO THE REGENTS

**[Pursuant to the Policy on Reports to Regents]**

**Amended May 2018  July 2019**

<table>
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<th>Reports</th>
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<tr>
<td><strong>BOARD</strong></td>
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</tr>
<tr>
<td>Annual University of California Accountability Report</td>
<td>July</td>
</tr>
<tr>
<td>UC Health Strategic Plan and Budget</td>
<td>November  May</td>
</tr>
<tr>
<td>Health Systems Transactions Approved by Health Services Committee <em>(mbm)</em></td>
<td>July</td>
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<tr>
<td><strong>GOVERNANCE AND COMPENSATION COMMITTEE</strong></td>
<td></td>
</tr>
<tr>
<td>Annual Reports on Executive Compensation for Calendar Year___: (a) Incumbents in Senior Management Positions and (b) Deans and Certain Faculty Administrators <em>(mbm)</em></td>
<td>July</td>
</tr>
<tr>
<td>Annual Report on Compensated Outside Professional Activities for Calendar Year ___: Incumbents in Senior Management Positions</td>
<td>July</td>
</tr>
<tr>
<td>Semi-Annual Report on Outside Professional Activities Approved in the Preceding Six Month Period: Incumbents in Senior Management Positions <em>(mbm)</em></td>
<td>January  July</td>
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<tr>
<td>Annual Report on Compensated Outside Professional Activities for Calendar Year ___: Deans and Faculty Administrators <em>(mbm)</em></td>
<td>January</td>
</tr>
<tr>
<td>Annual Compensation Monitoring Report for Calendar Year ___: Actions for Certain Athletic Positions and Coaches Systemwide <em>(mbm)</em></td>
<td>July</td>
</tr>
<tr>
<td><strong>COMPLIANCE AND AUDIT COMMITTEE</strong></td>
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<tr>
<td>Biennial Report on Risk Management <em>(mbm)</em></td>
<td>November</td>
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<tr>
<td>Annual Report on Use of Outside Counsel <em>(mbm)</em></td>
<td>January</td>
</tr>
<tr>
<td>Annual Report on Settlements and Separation Agreements <em>(mbm)</em></td>
<td>January</td>
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1 mbm: Report is sent to all Regents as a mailing between meetings *(mbm)*
Bi-Monthly Report on New Litigation (mbm)  
January  
March  
May  
July  
September  
November

Internal Audit Plan  
July

Annual Review of External Audit of Hastings College of the Law (mbm)  
March

Summary of Results of the University’s A-133 Audit Report on Financial Statements and Expenditures of Federal Awards in Accordance with Uniform Guidance (mbm)  
March

Annual Ethics and Compliance Plan  
July

Annual Report on Ethics and Compliance  
September

Annual Report of External Auditors for the Year Ended June 30, ____  
November

Annual Report on Internal Audit Activities  
November

**ACADEMIC AND STUDENT AFFAIRS COMMITTEE**

Annual Report on Student Financial Support (mbm)  
March

Annual Report on Undergraduate Admissions Requirements and Comprehensive Review (mbm)  
March

Annual Report on Self-Supporting Professional Degree Programs (mbm)  
August

Annual Accountability Sub-Report on Diversity at the University of California  
May

University of California Technology Commercialization Report (mbm)  
May

September

**FINANCE AND CAPITAL STRATEGIES COMMITTEE**

Annual Report on University Housing Assistance Programs (mbm)  
January

University of California Financial Reports  
November

Annual University of California Retirement Plan-Actuarial Valuation Report  
November