# SCHEDULE OF REPORTS TO THE REGENTS

**[Pursuant to the Policy on Reports to Regents]**

Amended March 2021

<table>
<thead>
<tr>
<th>Month(s) Provided to Regents</th>
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## BOARD

- **Annual University of California Accountability Report**: July
- **UC Health Strategic Plan and Budget**: May
- **Health Systems Transactions Approved by Health Services Committee (mbm)**: July

## GOVERNANCE COMMITTEE

- **Annual Reports on Executive Compensation for Calendar Year ___**: (a) Incumbents in Senior Management Positions and (b) Deans and Certain Faculty Administrators (mbm): July
- **Annual Report on Compensated Outside Professional Activities for Calendar Year ___**: Incumbents in Senior Management Positions (mbm): July
- **Semi-Annual Report on Outside Professional Activities Approved in the Preceding Six Month Period**: Incumbents in Senior Management Positions (mbm): January, July
- **Annual Report on Compensated Outside Professional Activities for Calendar Year ___**: Deans and Faculty Administrators (mbm): January
- **Annual Compensation Monitoring Report for Calendar Year ___**: Actions for Certain Athletic Positions and Coaches Systemwide (mbm): July
- **Annual Report on Diversity in Campus and Systemwide Executive Searches**: September

## COMPLIANCE AND AUDIT COMMITTEE

- **Biennial Report on Risk Management (mbm)**: November
- **Annual Report on Use of Outside Counsel (mbm)**: January
- **Annual Report on Settlements and Separation Agreements (mbm)**: January

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1 mbm: Report is sent to all Regents as a mailing between meetings (mbm)
<table>
<thead>
<tr>
<th>Report Description</th>
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<tbody>
<tr>
<td>Bi-Monthly Report on New Litigation (mbm)</td>
<td>January</td>
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<tr>
<td></td>
<td>March</td>
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<td></td>
<td>May</td>
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<td>July</td>
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<td>September</td>
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<td>November</td>
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<tr>
<td>Internal Audit Plan</td>
<td>July</td>
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<tr>
<td>Annual Review of External Audit of Hastings College of the Law (mbm)</td>
<td>March</td>
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<tr>
<td>Report on Financial Statements and Expenditures of Federal Awards in Accordance</td>
<td>March</td>
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<tr>
<td>with Uniform Guidance (mbm)</td>
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<tr>
<td>Annual Ethics and Compliance Plan</td>
<td>July</td>
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<tr>
<td>Ethics, Compliance and Audit Services Annual Report</td>
<td>November</td>
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<tr>
<td>Annual Report of External Auditors for the Year Ended June 30, ___</td>
<td>November</td>
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**ACADEMIC AND STUDENT AFFAIRS COMMITTEE**

<table>
<thead>
<tr>
<th>Report Description</th>
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<tbody>
<tr>
<td>Annual Report on Student Financial Support (mbm)</td>
<td>March</td>
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<tr>
<td>Annual Report on Undergraduate Admissions Requirements and Comprehensive Review</td>
<td>May</td>
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<tr>
<td>(mbm)</td>
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<tr>
<td>Annual Report on Self-Supporting Professional Degree Programs (mbm)</td>
<td>August</td>
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<tr>
<td>Annual Accountability Sub-Report on Diversity at the University of California</td>
<td>September</td>
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<tr>
<td>University of California Technology Commercialization Report (mbm)</td>
<td>May</td>
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<tr>
<td>Annual Report on Implementation of Regents Policy on Student-Athletes and the</td>
<td>September</td>
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<tr>
<td>Guiding Principles to Enhance Student-Athlete Welfare (mbm)</td>
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**FINANCE AND CAPITAL STRATEGIES COMMITTEE**

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<tr>
<th>Report Description</th>
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<tr>
<td>Annual Report on University Housing Assistance Programs (mbm)</td>
<td>January</td>
</tr>
<tr>
<td>University of California Financial Reports</td>
<td>November</td>
</tr>
<tr>
<td>Annual University of California Retirement Plan-Actuarial Valuation Report</td>
<td>November</td>
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</table>
Annual Actuarial Valuation of the University of California Retiree Health Benefit Program | November

Annual Report on Debt Capital and External Finance Approvals (mbm) | February

Annual Report on Major Capital Projects Implementation (mbm) | October

Capital Financial Plan | November

Significant Information Technology Projects | March

| July (mbm) | November (mbm)

Annual Report on Sustainable Practices | January

**HEALTH SERVICES COMMITTEE**

University of California Medical Centers Reports (mbm) | March

| June | November

Annual Report on Health Sciences Compensation Plan Participants’ Compensation that Exceeds the Reporting Threshold (mbm) | November

Annual Report on Student Health and Counseling Centers and UC Student Health Insurance Plan (mbm) | March

**INVESTMENTS COMMITTEE**

Annual Endowment Investment Report (mbm) | December

Annual Report of the Chief Investment Officer | September

**PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE**

Annual Report on Private Support, Major Donors, and Namings and Endowed Chairs | November