

NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date: **September 25, 2018**
Time: **1:00 p.m.**¹
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

Public Comment Period²

	Action	Approval of the Minutes of the Meeting of May 22, 2018
I-1	Discussion	Development of New Investment Product: Blue and Gold Endowment
I-2	Discussion	Update on Investment Products

Investments Subcommittee Membership: Regents Anderson, Anguiano (Vice Chair), Park, and Sherman (Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Bhavnani, Simmons, and Um; Staff Advisor Main; Student Advisor Huang; Advisor Zager

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, September 20, 2018.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date: **September 25, 2018**
Time: **Upon adjournment of the open session meeting¹**
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Closed Session

Action	Approval of the Minutes of the Meeting of May 22, 2018
I-3(X) Discussion	Update on the Office of the Chief Investment Officer Regarding Organization and Personnel

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

Investments Subcommittee Membership: Regents Anderson, Anguiano (Vice Chair), Park, and Sherman (Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Bhavnani, Simmons, and Um; Advisor Zager

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **September 26, 2018**
Time: **8:30 a.m.**¹
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Closed Session

B1(X) Discussion Legal Issues Regarding Constitutional Autonomy

Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **September 26, 2018**
Time: **Upon adjournment of the closed session meeting¹**
Location: **Centennial Ballroom A&B, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

Public Comment Period²

Approval of the Minutes of the Meeting of July 18, 2018

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, September 20, 2018.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

NOTICE OF MEETING

The Regents of the University of California

PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE

Date: **September 26, 2018**
Time: **10:30 a.m.¹**
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

- | | | |
|----|------------|---|
| | Action | Approval of the Minutes of the Meeting of July 18, 2018 |
| P1 | Discussion | 2019 Sacramento Advocacy Plan |
| P2 | Discussion | Community Outreach and Impacts, Merced Campus |
| P3 | Discussion | University of California Market Research Study |

Committee Membership: Regents Anderson, Blum, Graves (Vice Chair), Guber, Lansing, Ortiz Oakley (Chair), Rendon, Sherman, and Torlakson; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Christ, Hawgood, May, and Wilcox; Advisory members Bhavnani, Simmons, and Um; Staff Advisor Klimow; Student Advisor Huang

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **September 26, 2018**
Time: **10:30 a.m.**¹
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Open Session

	Action	Approval of the Minutes of the Meeting of July 18, 2018
C1	Discussion	Roles and Responsibilities of the Expert Financial Advisor to the Compliance and Audit Committee
C2	Discussion	Internal Audit Activities Report
C3	Discussion	Update on Implementation of Recommendations from State Audit of University of California Office of the President Administrative Expenditures
C4	Discussion	Report on Independent Assessment of Audit Implementation Status
C5	Discussion	Update on Academic Senate Response to the State Auditor's Report on Sexual Harassment Cases at the University of California

Committee membership: Regents Anguiano (Vice Chair), Elliott (Chair), Makarechian, Morimoto, Newsom, Park, Pérez, Tauscher, and Zettel; Ex officio members Brown and Kieffer; Chancellors Block, Gillman, Khosla, Leland, and Yang; Advisory members May and Weddle; Staff Advisor Main

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **September 26, 2018**
Time: **Upon adjournment of the open session meeting¹**
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Closed Session

- | | | |
|-------|--------|--|
| | Action | Approval of the Minutes of the Meetings of May 30 and July 18, 2018 |
| C6(X) | Action | Recommended Settlements for Board Action |
| | A. | Halldorson v. Regents – Proposed Settlement – Whistleblower Retaliation – San Diego |
| | B. | Life Science Intelligence, Inc. v. Regents – Proposed Settlement – Breach of Contract – Irvine |

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

- | | | |
|-------|------------|---|
| C7(X) | Discussion | Appellate, Trial Court Developments and Updates |
| | 1. | ARCONIC INC., et al. v. APC INVESTMENT CO., INC., et al. – Affirmative Recovery Settlements with Two Defendants – Hazardous Waste Remediation Costs – Multiple Campuses and Medical Centers |

...continued

Committee membership: Regents Anguiano (Vice Chair), Elliott (Chair), Makarechian, Morimoto, Newsom, Park, Pérez, Tauscher, and Zettel; Ex officio members Brown and Kieffer; Chancellors Block, Gillman, Khosla, Leland, and Yang; Advisory members May and Weddle

¹ All times indicated and the order of business are approximate and subject to change.

2. CITY AND COUNTY OF SAN FRANCISCO v. REGENTS, et al. – Supreme Court Briefing Completed – Action to Compel Payment of Municipal Parking Taxes – San Francisco
3. DOE v. CLAREMONT MCKENNA COLLEGE – Court of Appeal Decision in Non-University Litigation – Student Sexual Misconduct
4. FELARCA, et al. v. BIRGENEAU, et al. – Ninth Circuit Denies Petition for Rehearing and Issues Mandate; Remaining Defendants Dismissed – Claims Arising from Police Response to Campus Protests – Berkeley
5. HATTEN-MILHOLIN, et al. v. REGENTS and JOE BENGFORT – Settlement Approved – Employment Discrimination – San Francisco
6. KRISHNA LUNCH OF SOUTHERN CALIFORNIA, INC., et al. v. REGENTS, et al. – Appellate Briefing Schedule Extended – First Amendment – Los Angeles
7. MCMATH, JAH I v. CHR CO – Case Dismissed – Medical Malpractice – San Francisco
8. REGENTS v. AISEN – Case Remanded to San Diego County Superior Court – Breach of Fiduciary Duty – San Diego
9. REGENTS, et al. v. BROAD INSTITUTE, INC., et al. – Federal Circuit Affirms Adverse Patent Office Decision – Patent Dispute – Berkeley
10. REGENTS v. ISI INSPECTION SERVICES – Complaint Filed – Fraud and Breach of Contract – San Francisco
11. REGENTS v. TRAYER – Motion for New Trial Denied – Property Damage – Berkeley
12. REGENTS, et al. v. U.S. DEPARTMENT OF HOMELAND SECURITY, et al. – Developments in Texas and D.C. Cases – Administrative Procedures Act and Due Process Claims Challenging Rescission of DACA – Systemwide
13. REQUA, et al. v. REGENTS – Class Decertification Overturned – Impairment of Implied Contract for Retiree Health Benefits – Office of the President
14. ROBLES v. REGENTS, et al. – First Amended Complaint Filed – Violation of First Amendment and Personal Injury – Berkeley
15. SANDER v. STATE BAR OF CALIFORNIA – Court of Appeal Decision in Non-University Case – California Public Records Act
16. STUDENT SEXUAL MISCONDUCT CASES – Various Activity – Various Campuses

17. YALLEY, et al. v. LIBERTY LIFE ASSURANCE COMPANY OF BOSTON, et al. – Appeal Filed – Workers’ Compensation Benefits – Systemwide
18. YOUNG AMERICA’S FOUNDATION, et al. v. NAPOLITANO, et al. – Second Settlement Conference Held – Constitutional Claims Regarding Campus Speakers – Berkeley
19. General Counsel’s Update on Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C8(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from June 5, 2018 to August 13, 2018

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: **September 26, 2018**
Time: **1:00 p.m.¹**
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

- | | | |
|----|-------------|---|
| | Action | Approval of the Minutes of the Meeting of July 18, 2018 |
| A1 | Discussion | Update on Expanding the Vision for and Vitality of the UC Center Sacramento |
| A2 | Discussion | Accountability Sub-Report on Diversity: Faculty Diversity Outcomes |
| A3 | Discussion | Policies and Practices Regarding Treatment of Native American Remains and Artifacts |
| A4 | Discussion | University-Assisted Community Schools: A Growing National Movement |
| A5 | Information | Update on Regents Policy 3501: Student Athletes and Guiding Principles to Enhance Student-Athlete Welfare |

Committee membership: Regents Elliott, Graves, Lansing, Morimoto, Newsom, Ortiz Oakley, Pérez (Chair), Rendon, Tauscher (Vice Chair), and Zettel; Ex officio members Brown, Kieffer, Napolitano, and Torlakson; Chancellors Block, Christ, Leland, Wilcox, and Yang; Advisory members May and Weddle; Staff Advisor Klimow

¹ All times indicated and the order of business are approximate and subject to change.

Revised

Additions shown by underscoring; deletions shown by strikethrough

NOTICE OF MEETING

The Regents of the University of California

NATIONAL LABORATORIES SUBCOMMITTEE

Date: **September 26, 2018**
Time: **Upon adjournment of the Academic and Student Affairs Committee¹**
Location: **Centennial Ballroom C&D, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

- | | | |
|----|------------|--|
| | Action | Approval of the Minutes of the Meeting of July 18, 2018 |
| N1 | Action | Approval of Indemnity Agreement to Permit Triad National Security, LLC to Self Insure under New Mexico Workers Compensation Program |
| N2 | Discussion | National Laboratories Update |
| N3 | Discussion | Update on Triad National Security, LLC |

Committee Membership: Regents Morimoto, Napolitano, Newsom, Ortiz Oakley, Tauscher (Chair), and Zettel (Vice Chair); Ex officio member Pérez; Chancellors Block, Christ, and Yang; Advisory member May

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **September 26, 2018**
Time: **1:00 p.m.**¹
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Closed Session

	Action	Approval of the Minutes of the Meeting of July 18, 2018
F1(X)	Action	Approval of Acquisition of Property with Improvements, Santa Barbara Campus

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

F2(X)	Action	<u>Consent Agenda</u> : Amendment of Approval of Ground Lease Business Terms, Dining Agreements, and Financing for the Dundee Residence Hall and Glasgow Dining Project, Riverside Campus
-------	--------	--

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

Committee membership: Regents Anderson, Anguiano, Blum, Makarechian (Chair), Park (Vice Chair), Rendon, and Sherman; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Bhavnani, Simmons, and Um

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **September 26, 2018**
Time: **Upon adjournment of the closed session meeting¹**
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Open Session

	Action	Approval of the Minutes of the Meeting of July 18, 2018
F3	Discussion	Preliminary Discussion of the University of California 2019-20 Budget
F4	Discussion	University of California 2019-20 Budget for State Capital Improvements
F5	Discussion	Establishment of Bakar Bio <i>Enginuity</i> Hub at Woo Hon Fai Hall, Berkeley Campus
F6	Discussion	Development of North District Phase I Student Apartment Community, Riverside Campus
F7	Discussion	Classroom Building, Santa Barbara Campus
F8	Discussion	Committee Priorities and Items for the Upcoming Year

Committee membership: Regents Anderson, Anguiano, Blum, Makarechian (Chair), Park (Vice Chair), Rendon, and Sherman; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Bhavnani, Simmons, and Um; Staff Advisor Main; Student Advisor Huang

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **September 26, 2018**
Time: **4:00 p.m.**¹
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Closed Session

	Action	Approval of the Minutes of the Meeting of July 18, 2018
G1(X)	Discussion	Appointment of and Compensation for Senior Vice President – External Relations and Communications, Office of the President

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

G2(X)	Discussion	Market-Based Salary Adjustment for Vice Chancellor, Business and Administrative Services, Santa Cruz Campus
-------	------------	---

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

G3(X)	Discussion	Market-Based Salary Adjustment for University Librarian, Santa Cruz Campus
-------	------------	--

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

...continued

Committee membership: Regents Blum, Elliott, Lansing, Makarechian, Ortiz Oakley (Vice Chair), Pérez, Sherman (Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.

G4(X) Discussion Merit-Based Salary Increases for Certain Level One Senior Management Group Employees and Authorization for the President of the University to Approve Retroactive Merit-Based Salary Increases for Certain Level Two Senior Management Group and Management and Senior Professional Employees

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

G5(X) Action Appointment of Regents to Standing Committees and Subcommittees for 2018-19

*Closed Session Statute Citation: Nomination of officers and members
[Education Code §92032(e)]*

G6(X) Discussion Collective Bargaining Matters

*Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]*

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **September 26, 2018**
Time: **Upon adjournment of the closed session meeting¹**
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Open Session

- | | | |
|----|--------|--|
| | Action | Approval of the Minutes of the Meeting of July 18, 2018 |
| G1 | Action | Approval of Appointment of and Compensation for Senior Vice President – External Relations and Communications, Office of the President as Discussed in Closed Session |
| G2 | Action | Approval of Market-Based Salary Adjustment for Vice Chancellor, Business and Administrative Services, Santa Cruz Campus as Discussed in Closed Session |
| G3 | Action | Approval of Market-Based Salary Adjustment for University Librarian, Santa Cruz Campus as Discussed in Closed Session |
| G4 | Action | Approval of Merit-Based Salary Increases for Certain Level One Senior Management Group Employees and Authorization for the President of the University to Approve Retroactive Merit-Based Salary Increases for Certain Level Two Senior Management Group and Management and Senior Professional Employees as Discussed in Closed Session |
| G7 | Action | Dates of Regents Meetings for 2020 |

...continued

Committee membership: Regents Blum, Elliott, Lansing, Makarechian, Ortiz Oakley (Vice Chair), Pérez, Sherman (Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.

- | | | |
|----|------------|---|
| G8 | Action | Suspension of Bylaw 21.7 for the Limited Purpose of Enabling the Los Angeles Campus to Reappoint Regent Estolano to Part-Time Teaching and Advisory Board Positions, Provided that any such Positions are Uncompensated |
| G9 | Discussion | Plan for Narrowing University of California Office of the President Non-Represented Staff Salary Ranges |

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **September 27, 2018**
Time: **8:30 a.m.**¹
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of July 19, 2018

Committee Reports Including Approval of Recommendations from Committees:

Compliance and Audit Committee

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Finance and Capital Strategies Committee

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

Governance and Compensation Committee

*Closed Session Statute Citations: Personnel matters
[Education Code §92032(b)(7)]*

Nomination of officers and members [Education Code §92032(e)]

Collective bargaining matters [Government Code §3596(d)]

Health Services Committee (meeting of August 14)

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Investments Subcommittee

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

¹ All times indicated and the order of business are approximate and subject to change.

Officers' and President's Reports:

Report of Interim, Concurrence, and Committee Actions

Personnel Matters

Closed Session Statute Citations: Litigation [Education Code §92032(b)(5)]

Acquisition or disposition of property [Education Code §92032(b)(6)]

Personnel matters [Education Code §92032(b)(7)]

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **September 27, 2018**
Time: **Upon adjournment of the closed session meeting¹**
Location: **Centennial Ballroom A&B, Luskin Conference Center, Los Angeles Campus**

Agenda – Open Session

Public Comment²

Roll Call

Approval of the Minutes of the Meeting of July 19, 2018

Notable Honors and Achievements

Committee Reports Including Approval of Recommendations from Committees:

Academic and Student Affairs Committee

Compliance and Audit Committee

Finance and Capital Strategies Committee

Governance and Compensation Committee

Health Services Committee (meeting of August 14, 2018)

Public Engagement and Development Committee

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, September 20, 2018.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

Investments Subcommittee

National Laboratories Subcommittee

Unfinished Business

Technical Amendments of Standing Order 105.1 – Organization of the Academic Senate, and Bylaw 40.3 – Special Provisions Concerning Faculty; and Rescission of Standing Order 103.10 – Security of Employment, Concerning Senate Membership for Lecturers with Potential for Security of Employment and Lecturers and Senior Lecturers with Security of Employment

B2 Discussion University of California’s National Laboratories

B3 Discussion UC Health Overview

B4 Discussion UCOP Restructuring Effort: UC Health Advisory Committee Update

B5 Discussion Annual Update on Investment Products

Officers’ and President’s Reports:

Report of Materials Mailed Between Meetings

Report of Interim, Concurrence, and Committee Actions

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **September 27, 2018**
Time: **1:30 p.m.**¹
Location: **Luskin Conference Center, Centennial Hall, Salons A & B
Los Angeles Campus**

Agenda – Open Session

G10 Discussion [Review of Committee Structure and Committee Charters](#)

Committee membership: Regents Blum, Elliott, Lansing, Makarechian, Ortiz Oakley
(Vice Chair), Pérez, Sherman (Chair), and Zettel; Ex officio
members Brown, Kieffer, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.