

Office of the President

TO MEMBERS OF THE GOVERNANCE AND COMPENSATION COMMITTEE:

ACTION ITEM

For Meeting of September 13, 2017

RESOLUTIONS TO EXCLUDE ACCESS TO FEDERAL CLASSIFIED INFORMATION

RECOMMENDATION

The President of the University recommends that the Governance and Compensation Committee recommend to the Regents that the resolutions pertaining to the University's Department of Defense Facility Security Clearance and pending Department of Energy Facility Security Clearance be approved as shown in Attachments 1 and 2.

BACKGROUND

At their March 2012 meeting and amended on December 30, 2015 and March 16, 2017, the Regents approved Regents Policy 1600: *Policy on Security Clearance for Access to Federal Classified Information*, to conform the University's security clearance procedures to federal law and regulation.

As a result of the approved action the University will formally document exclusion resolutions for all new, uncleared Key Management Personnel (KMP), as defined in the Policy, upon their assumption of a KMP position. The Facility Security Office will make the appropriate entry in the federal database upon receipt of the resolution. If a KMP elects to apply for a personnel security clearance and is granted the clearance, the Facility Security Office will update the federal database to reflect the granting of access authorization. Otherwise, the initial resolution continues in effect without further action by the University.

This item proposes adoption of exclusion resolutions for the following:

Alexander Bustamante	Senior Vice President and Chief Compliance and Audit Officer
Michael Brown	Provost and Executive Vice President – Academic Affairs

Pending issuance of the required access authorization, the above named KMP (upon assumption of a KMP position) shall be excluded from all access to classified information and/or special nuclear material and shall not participate in any decision or other matter pertaining to the protection of classified information and/or special nuclear material.

RESOLUTION

Pursuant to the *Policy on Security Clearance for Access to Federal Classified Information* adopted on March 29, 2012, and amended on December 30, 2015 and March 16, 2017, and this Resolution, the following named Key Management Personnel member as defined in Regents Policy 1600 shall not require, shall not have, and can be effectively excluded from access to all classified information and/or special nuclear material released to the Regents of the University of California until such individual is granted the required access authorization from the cognizant security agency. And, as a consequence of this Resolution, such individual does not occupy a position that would enable him to adversely affect the policies or practices of the University of California, or its subsidiary, regarding the performance of classified contracts for the United States Government.

NAME

TITLE

Alexander Bustamante Senior Vice President and Chief Compliance and Audit Officer

RESOLUTION

Pursuant to the *Policy on Security Clearance for Access to Federal Classified Information* adopted on March 29, 2012, and amended on December 30, 2015 and March 16, 2017, and this Resolution, the following named Key Management Personnel member as defined in Regents Policy 1600 shall not require, shall not have, and can be effectively excluded from access to all classified information and/or special nuclear material released to the Regents of the University of California until such individual is granted the required access authorization from the cognizant security agency. And, as a consequence of this Resolution, such individual does not occupy a position that would enable him to adversely affect the policies or practices of the University of California, or its subsidiary, regarding the performance of classified contracts for the United States Government.

NAME

TITLE

Michael Brown

Provost and Executive Vice President – Academic Affairs