ANNUAL REPORT ON
University Private Support
2000-2001
UNIVERSITY OF CALIFORNIA


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Office of the President
University and External Relations
The Annual Report on University Private Support 2000-2001 can be viewed online at:
http://www.ucop.edu/uer/instadv/annual/welcome.html

This report is a compilation of private support data campus narratives and foundation rosters prepared by each campus. The method of rounding may produce the appearance of minor inconsistencies in various totals and percentages, but the differences do not affect the accuracy of the data.
In a stunning achievement during a time of economic uncertainty, private support to the University of California in 2000-2001 surpassed the $1 billion mark for the second consecutive year. Private gifts and pledges totaled $1.178 billion in 2000-2001, coming off a record year when the university received an unprecedented total of $1.222 billion in 1999-2000.

The superb annual results in 2000-2001 reflect the generous support provided to major fundraising campaigns at the Berkeley and Los Angeles campuses; the private fundraising efforts for construction of the San Francisco campus’s new site at Mission Bay; and private support for Gov. Davis’ initiative to create four California Institutes for Science and Innovation on UC campuses.

The University of California's remarkable achievement over the past two years in receiving gifts and private grants in excess of $1 billion is a continuing testament to the high regard in which the 10-campus system is held by its alumni and friends, foundations, and corporations, as well as a recognition of its far-reaching educational, research and public service contributions to the people of California, the nation and the world.

The results underscore the continued confidence among donors in the quality of UC’s programs and the importance of its mission. It is also a testament of the hard work of the university's professional fundraising staff and of the countless and dedicated volunteers who assist the campuses in fundraising efforts, especially campus foundation trustees, whose names appear in this report.
Annual Report on University Private Support
2000-2001

Private Support to Regents and Foundations for Fiscal Year 2000-2001

<table>
<thead>
<tr>
<th>Campus</th>
<th>Regents</th>
<th>Foundations</th>
<th>Totals</th>
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<td>Berkeley</td>
<td>$241,235,905</td>
<td>$74,086,442</td>
<td>$315,322,347</td>
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<td>Davis</td>
<td>58,661,631</td>
<td>18,690,926</td>
<td>77,352,557</td>
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<tr>
<td>Irvine</td>
<td>19,889,875</td>
<td>32,150,930</td>
<td>52,040,805</td>
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<td>Los Angeles</td>
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<tr>
<td>Merced*</td>
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<td>5,038,993</td>
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<tr>
<td>Riverside</td>
<td>13,076,803</td>
<td>4,928,570</td>
<td>18,005,373</td>
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<tr>
<td>San Diego</td>
<td>46,387,460</td>
<td>75,510,302</td>
<td>121,897,762</td>
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<td>San Francisco</td>
<td>110,735,877</td>
<td>129,218,433</td>
<td>239,954,310</td>
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<td>Santa Barbara</td>
<td>21,175,104</td>
<td>26,855,494</td>
<td>48,030,598</td>
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<tr>
<td>Santa Cruz</td>
<td>9,401,848</td>
<td>4,101,617</td>
<td>13,503,465</td>
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<tr>
<td>Universitywide</td>
<td>4,542,464</td>
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<td>4,542,464</td>
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<tr>
<td>Totals:</td>
<td>$691,139,190</td>
<td>$487,480,139</td>
<td>$1,178,619,329</td>
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Source: University of California Office of the President

* The David and Lucile Packard Foundation on June 22, 2001, approved a grant to UC Merced of up to $12.5 million. As this report goes to press, the award letter specifying the exact amount has not been issued, pending legal review by the Packard Foundation. The full amount of the Packard grant, following confirmation of the terms and amount of the award, will be reflected in the 2001-02 private support total for UC Merced.

Total Giving (in millions)

- Total: $1,178,619,329
- Regents: $691,139,190
- Foundations: $487,480,139
Cumulatively, the University has received commitments of more than $8.6 billion in private support during the last 10 years. The chart above shows the percentage change from year to year in the total for private support.

**Gifts for Endowment, Campus Improvement and Operations**

- **Operations**: $745,751,637 (63.3%)
- **Endowment**: $206,732,606 (17.5%)
- **Campus Improvement**: $194,153,634 (16.5%)
- **Unrestricted**: $31,981,450 (2.7%)

Donors in 2000-2001 directed $745.8 million (or 63.3 percent) of support for university operations; $206.7 (or 17.5 percent) for endowment; $194.2 (or 16.5 percent) for campus improvement; and $31.9 million (or 2.7 percent) for unrestricted purposes. Last year, the shares were 51.1 percent for operations, 29.6 percent for campus improvement, an identical 17.5 percent for endowment, and 1.8 percent unrestricted.
Gifts from alumni in 2000-2001 reached an all-time high, totaling $219 million, an increase of 15.6 percent from the previous year. This is a particularly impressive achievement considering the performance of the financial markets. Gifts from non-alumni fell to $242 million (a decrease of 16 percent).
Gifts and grants received for departmental support, including gifts designated for a particular academic division or department, or endowed chair, totaled $343.4 million (an increase of 5.9 percent from the previous year). This was followed by support for research totaling $272.4 million (a decrease of 14.9 percent); gifts for campus improvement totaling $194.2 million (a decrease of 46 percent); and gifts for other purposes totaling $151.5 million (an increase of 147.7 percent). The latter category includes non-instructional services (museums, patient care, public service and similar programs) and gifts restricted for endowment, but otherwise unrestricted by the donor as to use.

Gifts for student support totaled $119 million (an increase of 43 percent). Instruction received $54.3 million (an increase of 33.7 percent); department support for programs in agriculture received $11.7 million (an increase of 22 percent). Gifts unrestricted by their donors as to purpose totaled $31.9 million (an increase of 48.3 percent).
In its first 92 years, the University received gifts to endow a total of 28 chairs. By 1980, an additional 52 chairs had been established. Now, 21 years later, the total stands at 777 endowed chairs and professorships, which are distributed across the campuses and provide support for the teaching, research and public service activities of incumbents and their departments. Chair lists for each campus are posted at http://www.ucop.edu/uer/instadv/endowedchairs.html
For the second consecutive year, the University received gifts and pledges for endowment in excess of $200 million. Endowment gifts to The Regents and the campus foundations totaled $206.7 million in 2000-2001, down 6.8 percent from the record of $213.5 million recorded the previous year. Gifts for endowment are a particularly important asset. The principal is held in perpetuity and invested to produce income that should grow over time in excess of the rate of inflation. As the chart shows, the balance of new endowment gifts has shifted from The Regents to the Campus Foundations, which received 71 percent of the new gifts for endowment in 2000-2001.
PRIVATE SUPPORT

Gifts by Area: $1,178,619,329

- Health sciences $506,147,794 (42.9%)
- Engineering $195,251,350 (16.6%)
- Arts, letters and sciences $137,518,675 (11.7%)
- Medicine $315,161,011 (26.3%)
- Misc. Programs * $123,312,565 (24.4%)
- Dentistry $23,841,551 (4.7%)
- Biological Sciences $12,218,812 (2.4%)
- Pharmacy $8,142,326 (1.6%)
- Nursing $8,130,362 (1.6%)
- Public Health $6,713,390 (1.3%)
- Medical Center $5,287,151 (1.0%)
- Biomedical Sciences $2,997,583 (0.6%)
- Optometry $343,043 (0.1%)

* Chancellor and annual funds, alumni associations, energy labs, museums, public programs, etc.

Gifts for Health Sciences: $506,147,794

- Medicine $315,161,011 (62.3%)
- Misc. Programs * $123,312,565 (24.4%)
- Dentistry $23,841,551 (4.7%)
- Public Health $6,713,390 (1.3%)
- Medical Center $5,287,151 (1.0%)
- Biomedical Sciences $2,997,583 (0.6%)

* Beckman Laser Institute, Cancer Programs, Chancellor Funds, Graduate Division and Neuroscience Programs
Gifts by Type of Asset

- **Cash**: $604,887,126 (51.3%)
- **Pledges**: $440,910,308 (37.4%)
- **Securities**: $88,880,702 (7.5%)
- **Non-monetary**: $30,781,890 (2.6%)
- **Real property**: $13,159,300 (1.1%)

Cash gifts and private grants totaling $604.9 million were received in 2000-2001 (an increase of 19.4 percent from the previous year). Pledges to The Regents and the Campus Foundations totaled $440.9 million (a decrease of 6.63 percent), while gifts of securities totaled $88.9 million (a decrease of 32.3 percent). The increase in cash contributions and decrease in gifts of securities may be attributed in part to the fact that while there is a tax advantage to giving appreciated securities, from an income tax point of view it is better to sell depreciated securities, deducting the loss and giving the proceeds to charity. Non-monetary gifts, including gifts-in-kind, totaled $30.8 million (a decrease of 0.9 percent), while gifts of real property totaled $13.2 million (a decrease of 83.7 percent from the previous year).

**Fundraising Campaigns**

The Berkeley campus, which led in fundraising among the campuses, concluded its billion dollar capital campaign in December 2000, raising a total of $1.4 billion over seven years, a record for any institution, public or private, without a medical school. UCLA and UC San Francisco are both involved in high profile programs to construct new health sciences medical and research facilities. Campaign UCLA, with a goal of $1.6 billion, which will conclude in 2002, seeks funding for capital projects and programs across the campus. In addition, the San Francisco campus is preparing to launch in September 2001 the public phase of a major capital campaign with a goal of $1.4 billion.

Among the campuses in the public phases of fundraising campaigns during the 2000-2001 year, the aggregate goal was approximately $3 billion. This is similar to the previous year’s goal, reflecting the conclusion of Berkeley’s $1.4 billion campaign and the addition of others.
## PRIVATE SUPPORT

<table>
<thead>
<tr>
<th>Name of Campaign</th>
<th>Goal</th>
<th>Duration</th>
<th>Gifts/Pledges by 6/30/01</th>
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<tbody>
<tr>
<td><strong>Berkeley</strong></td>
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<td></td>
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<tr>
<td>The Campaign for the New Century</td>
<td>$1,100,000,000</td>
<td>1993-2000</td>
<td>$1,444,403,876</td>
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<td><strong>Davis</strong></td>
<td></td>
<td></td>
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<tr>
<td>50th Anniversary Campaign</td>
<td>50,000,000</td>
<td>1996-2002</td>
<td>74,066,478</td>
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<tr>
<td>Center for the Arts</td>
<td>30,000,000</td>
<td>1997-2002</td>
<td>18,024,000</td>
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<tr>
<td>Campaign for Tahoe, CA&amp;ES and Research</td>
<td>13,000,000</td>
<td>1996-2001</td>
<td>13,000,000</td>
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<td>Dairy Campaign, Veterinary Medicine</td>
<td>2,650,000</td>
<td>1999-2001</td>
<td>2,642,500</td>
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<td>Daniel J. Dykstra Chair, School of Law</td>
<td>400,000</td>
<td>2000-2002</td>
<td>77,650</td>
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<tr>
<td>M.I.N.D. Institute, UCD Medical Center</td>
<td>4,500,000</td>
<td>1998-2001</td>
<td>2,540,000</td>
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<td>Engineering III</td>
<td>350,000</td>
<td>1998-2001</td>
<td>341,031</td>
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<td>Seed Biotechnology Center</td>
<td>1,500,000</td>
<td>1998-2001</td>
<td>1,245,000</td>
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<td>Transplant Hope, UCD Medical Center</td>
<td>1,000,000</td>
<td>1998-2006</td>
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<td>Joe Tupin Professorship, UCD Medical Center</td>
<td>500,000</td>
<td>2000-2003</td>
<td>500,000</td>
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<td>Aggie Band, Student Affairs</td>
<td>250,000</td>
<td>1999-2001</td>
<td>221,334</td>
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<td>Tennis Court, Student Affairs</td>
<td>300,000</td>
<td>2000-2002</td>
<td>182,000</td>
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<td>Opportunities for Distinction, Division of Biological Sciences</td>
<td>8,500,000</td>
<td>2000-2005</td>
<td>531,894</td>
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<td><strong>TOTAL</strong></td>
<td>112,950,000</td>
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<td>Biomedical Research Center</td>
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<td>1997-2001</td>
<td>25,383,102</td>
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<td>Earth Science System Building</td>
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<td>School of the Arts</td>
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<td><strong>TOTAL</strong></td>
<td>50,910,418</td>
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<td>Campaign UCLA</td>
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<td><strong>Riverside</strong></td>
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<td>Alumni and Visitors Center</td>
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<td>1996-2001</td>
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<td><strong>San Diego</strong></td>
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<tr>
<td>Campus in planning phase for a capital campaign</td>
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<td><strong>San Francisco</strong></td>
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<tr>
<td>Campus in planning phase for a capital campaign</td>
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<td><strong>Santa Barbara</strong></td>
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<tr>
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<tr>
<td><strong>Santa Cruz</strong></td>
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<tr>
<td>Center for Adaptive Optics, Natural Sciences</td>
<td>3,000,000</td>
<td>2000-2002</td>
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<td>Center for Justice, Tolerance and Community, Social Sciences</td>
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<td>Center for Ocean Health, Natural Sciences</td>
<td>7,000,000</td>
<td>1998-2001</td>
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<td>Child Care Center, Student Affairs</td>
<td>2,500,000</td>
<td>1999-2005</td>
<td>11,633</td>
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<td>Institute for Humanities Research, Humanities Division</td>
<td>5,000,000</td>
<td>2001-2005</td>
<td>5,150</td>
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<tr>
<td>Physical Sciences Building, Natural Sciences</td>
<td>7,000,000</td>
<td>1999-2002</td>
<td>25,000</td>
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<tr>
<td>University Center; Chancellor’s Office</td>
<td>400,000</td>
<td>1999-2004</td>
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<td><strong>TOTAL</strong></td>
<td>34,900,000</td>
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<td>5,456,671</td>
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<td><strong>GRAND TOTAL</strong></td>
<td>$2,903,760,418</td>
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<td>$3,142,208,113</td>
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Sending a clear signal that public higher education relies more than ever on private support, alumni and friends of UC Berkeley gave $315.3 million in 2000-01 to a wide variety of programs to benefit students and faculty.

The record amount reflects the increased significance of private funds, which help buffer UC Berkeley at a time when the state of California struggles with a softening economy and a lingering energy problem.

In its bid to attract, strengthen and retain the best faculty members and students for the campus, the Berkeley campus has sought to increase the level of participation by alumni in annual giving. The total represents 69,737 gifts by alumni and friends of the university. Alumni support alone increased 120 percent over the previous year.

In recent years, UC Berkeley has doubled the amount of private support raised annually. In 1994-95, by comparison, the campus raised $156.1 million in contributions from alumni and friends.

The campus has turned to the Internet as a medium for generating private support, launching an e-giving portal (givetocal.berkeley.edu) for some 300 campus funds and 100 units. In its first year, UC Berkeley's online fundraising totals ranked second among all universities, after Princeton, according to a comprehensive survey released in June by the Chronicle of Philanthropy.

Major contributions in the 2000-01 fiscal year were:

- Individual gifts totaling $85 million from Sehat Sutardja and Weili Dai; Mr. and Mrs. Pehong Chen; and Mr. and Mrs. Diosdado Banatao. These are earmarked for the Center for Information Technology Research in the Interest of Society (CITRIS).

- $25 million from the Wayne and Gladys Valley Foundation for the Center for Biomedical and Health Sciences.

- $16 million bequest from Catherine Magistretti ’36 for fellowship endowments in the College of Letters & Science.

- $5 million from Coleman Fung ’87 for the East Asian Library and Studies Center.

- $5 million from the Wheeler Foundation for neurosciences, which funded the campus’s new brain imaging center that includes the nation's most powerful brain scanner devoted entirely to research.

The banner fundraising year includes the final six months of the Campaign for the New Century, which garnered $1.44 billion. When it ended in December 2000, UC Berkeley's campaign was the most successful ever completed by a public university and by any university without a medical school.

The success of the campaign and fundraising in 2000-01 set the tone for a continued reliance on private support, said Chancellor Robert M. Berdahl.
Berkeley

University Private Support Program

**Total Giving** ($ millions)

- **Total** $315,322,347
- **Regents** $241,235,905
- **Foundation** $74,086,442

**Gift Source**

- **Alumni** $168,838,331 (53.3%)
- **Foundations** $55,090,371 (17.5%)
- **Individuals (non-alumni)** $43,944,875 (13.9%)
- **Corporations** $35,188,021 (11.2%)
- **Other sources** $10,648,939 (3.4%)
- **Campus-related** $1,611,809 (0.5%)

**Gift Purpose**

- **Other purposes** $119,251,765 (37.8%)
- **Departmental support** $71,795,013 (22.8%)
- **Student support** $45,865,967
- **Campus improvement** $42,499,397 (13.5%)
- **Research** $28,841,400 (9.1%)
- **Unrestricted** $6,168,392 (2.0%)
- **Instruction** $882,556 (0.3%)
- **Departmental Support/Agriculture** $17,855 (<0.1%)
UC Davis in 2000-01 set another record for private gifts and grants, raising more than $77.35 million from 43,729 donors. These results represent an increase of $5.12 million (7 percent) and more than 9,000 donors (25%) from the previous year. This is the fifth consecutive year that the campus has set a new mark for private support.

The biological sciences, School of Veterinary Medicine and School of Law showed strong increases from 1999-2000, with the veterinary medicine program raising more than $19 million (an increase of more than 27 percent) and the biological sciences receiving nearly $4.1 million (an increase of $577,000). Private gifts and grants to the UC Davis law school increased by 47 percent to $297,000.

Other UC Davis programs had significant increases in private support. They included the Institute of Transportation Studies (up 21 percent to $1.4 million), the library (up 45 percent to $474,000), the annual fund (up 5 percent to $1.5 million), athletics (up 42 percent to $840,800), and the campus’s arts presenting organization, UC Davis Presents, which increased its private support by $71,400 (or 22 percent).

The College of Agriculture and Environmental Sciences, which last year set an all-time high for private support of $18.5 million, raised $15.8 million in 2000-01. The health sciences divisions once again raised the third largest amount at UC Davis – more than $12.8 million.

Seven new campus funds for endowed chairs and professorships were approved during the fiscal year. Four of these faculty positions resulted from gifts made during the fiscal year to support orthopedics, pulmonary and critical care, orchestral conducting and environmental engineering.

Alumni and friends provided more than $26 million (31 percent) of the total, while corporations provided another $21 million (28 percent) and private foundations nearly $20 million (25 percent). Donors contributed $58.68 million to UC Davis through the UC Board of Regents and $18.69 million through the UC Davis Foundation.

Research received the lion’s share of gifts and grants in 2000-01 – $27.68 million. Academic departments received another $15.25 million, and programs in agriculture were given more than $11.61 million. Donors contributed $8.55 million for student support and $8 million for campus improvements.

“The generosity of our alumni and friends is an important resource for strength and flexibility in our educational programs, research and community outreach,” said Larry Vanderhoef, UC Davis chancellor. “I am especially grateful that our supporters were so generous during a climate of economic uncertainty.”

Note: UC Davis totals include many gifts and private grants made to the Division of Agriculture and Natural Resources, including Cooperative Extension in California’s 58 counties.
University Private Support Program

Total Giving ($ millions)

- Total $77,352,557
- Regents $58,681,631
- Foundation $18,690,926

Gift Source

- Corporations $23,395,861 (30.2%)
- Individuals (non-alumni) $21,375,972 (27.6%)
- Foundations $19,681,161 (25.4%)
- Other sources $9,466,586 (12.2%)
- Alumni $2,745,459 (3.5%)
- Campus-related organizations $687,709 (0.9%)

Gift Purpose

- Research $27,684,035 (35.8%)
- Departmental support $15,249,815 (19.7%)
- Departmental support/Agriculture $11,613,304 (15.0%)
- Student support $8,550,560 (11.1%)
- Campus improvement $8,000,208 (10.3%)
- Other purposes $4,460,977 (5.8%)
- Unrestricted $1,534,792 (2.0%)
- Instruction $258,865 (0.3%)
UC Irvine received more than $52 million in private gifts in 2000-01, making the fiscal year ending June 30, 2001, the campus's second best in terms of private fund-raising. It was the third consecutive year that private gifts exceeded $49 million.

“Private support is increasingly important as UC Irvine grows to help accommodate the largest influx of students in UC's history,” said Chancellor Ralph J. Cicerone. “We are extremely grateful for the generosity of our donors and proud to report that with each gift, UCI is making a difference — in the lives of our students, our faculty and society as a whole.”

“This level of giving during a period of economic uncertainty mirrors the community's lasting confidence in UCI,” said Thomas T. Tierney, immediate past chair of The UCI Foundation, which generates and manages charitable donations on the campus's behalf. Tierney chaired the foundation from 1998 through June 2001 during the UCI's best fundraising years.

Current Foundation Chair Ted Smith was himself a major donor during the past year. The Ted and Janice Smith Family Foundation gave UC Irvine $1.5 million to fund an endowed chair for the department of information and computer science.

Foundation grants totaling more than $20 million made up almost 40 percent of this year's total, up from 10 percent the previous fiscal year. These gifts included $7 million from The Donald L. Bren Foundation, the Claire Trevor Bren Foundation and Peter Bren in support of UCI's School of the Arts. The school has been named the Claire Trevor School of the Arts in honor of Bren's late stepmother, an Academy Award- and Emmy Award-winning actress. The school also benefited from a $1 million gift from UCI Foundation members Donald and Joan Beall, whose family foundation made the gift in support of the recently established Beall Center for Art and Technology.

Other major foundation grants included $2 million from the W. M. Keck Foundation, $2 million from the Avon Products Foundation and more than $1 million from the Pegasus Foundation. The Keck grant was awarded to UCI's School of Physical Sciences to build the nation's first accelerator mass spectrometry facility dedicated exclusively to research on the environmental processes that determine atmospheric carbon dioxide levels. The Avon grant went to UCI's Chao Family Comprehensive Cancer Center to expand breast cancer research and make more diagnostic and treatment programs available to low-income women. It was the second consecutive year that Avon awarded a grant to UCI. The Pegasus gift will be used to establish an endowed chair in radiological sciences in the College of Medicine.

Alumni giving was up from previous years, largely because of the generosity of two former students. Glenn Schaeffer, an alumnus of the School of Humanities, made an investment to launch the International Center for Writing and Translation. Alumna and UCI Foundation member Martha F. Newkirk and her husband, James, donated $1 million to establish the Newkirk Center for Science and Society.
**University Private Support Program**

### Total Giving ($ millions)

<table>
<thead>
<tr>
<th>Year</th>
<th>Foundation</th>
<th>Regents</th>
<th>Other sources</th>
<th>Alumni</th>
<th>Individuals (non-alumni)</th>
<th>Corporations</th>
<th>Foundations</th>
<th>Campus-related organizations</th>
</tr>
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<tbody>
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<td>$20,167,741</td>
<td>$32,150,930</td>
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</tbody>
</table>

### Gift Source

- **Foundations**: $20,167,741 (38.8%)
- **Corporations**: $12,464,815 (24.0%)
- **Individuals (non-alumni)**: $10,194,758 (19.5%)
- **Other sources**: $4,927,107 (9.5%)
- **Alumni**: $4,255,883 (8.2%)
- **Campus-related organizations**: $30,500 (0.1%)

### Gift Purpose

- **Departmental support**: $20,198,883 (38.8%)
- **Research**: $15,954,302 (30.7%)
- **Student support**: $6,014,792 (11.6%)
- **Unrestricted**: $4,613,171 (8.9%)
- **Campus improvement**: $4,382,480 (8.4%)
- **Instruction**: $491,326 (0.9%)
- **Other purposes**: $385,850 (0.7%)
Marking the fifth consecutive year in which UCLA's gift total has topped $200 million, Campaign UCLA received nearly $283 million in private gifts and grants during 2000-2001.

This figure, the second largest in the campus's history, reflects gifts and pledges received between July 1, 2000 and June 30, 2001. It brings the total for Campaign UCLA over the $1.5-billion mark, equaling 94 percent of the campaign's overall goal of $1.6 billion.

Campaign UCLA, the most ambitious fundraising effort ever undertaken by a public university, is scheduled to conclude in June 2002. Funds raised are supporting academic and research programs across the campus, providing financial aid for students, building new facilities and supporting the work of faculty in the laboratory and in the classroom. They are enabling the University to enhance its library and cultural collections and acquire vital equipment for instruction and research.

"The campaign continues to bring essential support to areas both of high priority and great need," according to Dennis Slon, associate vice chancellor, development. "We are extremely grateful to our donors who have participated so generously in our efforts towards making UCLA a world-class university."

Most of the funds are earmarked for specific purposes, and about 31 percent will be endowed to generate perpetual funding for various programs and departments. Six campus units have reached or surpassed their individual goals, including the UCLA School of Medicine, which has raised more than any other single unit and whose $800-million goal represented half of the overall campus target.

Among the highlights of the past year was a $20 million gift from Los Angeles businessman Eli Broad and his wife, Edye, to the School of the Arts and Architecture. The gift will help build the Broad Art Center, a state-of-the-art visual arts arena that will enrich the creative life of the campus.

Also in the arts, the UCLA Hammer Museum will be undergoing a major renovation beginning next year, funded by a capital campaign. Los Angeles art patron, philanthropist and UCLA alumna Eileen Harris Norton has made a lead gift of $2 million to create a new contemporary art gallery.

And in a boon for conservation research, the J. Paul Getty Trust has pledged $2 million to the Cotsen Institute of Archaeology at UCLA to support a joint master's degree program on the Conservation of Ethnographic and Archaeological Materials.

Chancellor Albert Carnesale noted, “Campaign UCLA will ensure UCLA's standing as one of the world's great universities and enhance our mission of superb education, groundbreaking research and valuable community service.”
Los Angeles University Private Support Program

Total Giving ($ millions)

- Total $282,930,655
- Regents $180,993,230
- Foundation $121,937,425

Gift Source

- Foundations $162,248,249 (57.3%)
- Individuals (non-alumni) $56,348,836 (19.9%)
- Alumni $32,867,069 (11.6%)
- Corporations $26,813,021 (9.5%)
- Campus-related organizations $4,307,642
- Other sources $345,838 (0.1%)

Gift Purpose

- Departmental support $106,688,347 (37.7%)
- Campus improvement $60,880,856 (21.5%)
- Research $59,145,713 (20.9%)
- Student support $19,113,013 (6.8%)
- Instruction $17,097,531 (6.0%)
- Other purposes $12,376,473
- Unrestricted $7,628,723 (2.7%)
In its first full year of fundraising, UC Merced in 2000-01 was fortunate enough to attract a number of endowed chairs and a major grant from the William and Flora Hewlett Foundation.

The Hewlett grant is particularly significant because it provides support for UC Merced's commitment to environmental and conservation issues. In addition, the David and Lucile Packard Foundation approved a multi-million dollar grant to support programs the campus has initiated to preserve the environment as plans are finalized to open the campus in 2004. This gift will be received in the next academic year and includes funding for the purchase of the campus site.

The endowed chairs are a singular triumph – they all come from donors with local ties, thus underscoring the deep belief of the local citizens in the new campus and their willingness to support it with personal commitments. Gifts to support endowed chairs have been made by Ted and Jan Falasco for earth sciences, Walter and Isabel Coats for the arts, Keith and Elinor Shaffer for engineering, and County Bank for economics.

In addition, Mrs. Margaret Josephine of Fresno has pledged a $1 million bequest in honor of her late husband, Joseph Josephine, for an endowed chair in the biological sciences, as well as for a scholarship fund for students from Fresno attending UC Merced. Her gift for a scholarship will join a growing number of special funds for student support initiated by Mrs. Nina Dolores Wack of Mariposa who made the very first gift to UC Merced. She will endow the Nina Dolores Wack Special Education Fellowship to support graduate students planning for careers working with learning-impaired individuals.

“We are extremely grateful for the strong show of support we have received from our donors and volunteers in our first-year efforts in building private support,” said Chancellor Carol Tomlinson-Keasey. “From its inception, the University of California has been a public-private partnership. This partnership will be key to our success as we enhance access to higher education for the children of the San Joaquin Valley and serve the region in creating a world-class research university.”

The UC Merced Foundation Board of Trustees has also been most responsive to the needs of the campus. Trustee Leo Kolligian has made the lead gift to the campus library, which is to be named in honor of Leo and his late wife, Dottie. Mr. Kolligian is a former UC Board of Regents’ chair.

Funding for endowed chairs, facilities, research and student support is critical for the immediate success of UC Merced. The goal of the campus is to attract the very best faculty and students and to support them with the most modern facilities and equipment. Contributors to the campus have provided unprecedented support for the achievement of this goal.

Note: The David and Lucile Packard Foundation on June 22, 2001, approved a grant to UC Merced of up to $12.5 million. As this report goes to press, the award letter specifying the exact amount has not been issued, pending legal review by the Packard Foundation. The full amount of the Packard grant, following confirmation of the terms and amount of the award, will be reflected in the 2001-02 private support total for UC Merced.
### University Private Support Program

#### Merced

**Total giving ($ millions)**

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<th>Year</th>
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<td>$0</td>
</tr>
<tr>
<td>Total</td>
<td>$5,038,993</td>
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</tbody>
</table>

#### Gift Source

- **Individuals**: $2,530,146 (50.2%)
- **Foundations**: $2,000,000 (39.7%)
- **Corporations**: $508,847 (10.1%)

#### Gift Purpose

- **Campus improvement**: $3,000,000 (59.5%)
- **Departmental support**: $1,500,000 (29.9%)
- **Student support**: $532,747 (10.6%)
- **Unrestricted**: $5,246 (0.1%)
- **Other purposes**: $1,000 (< 0.1%)
Individual, foundation and corporate giving to UC Riverside increased 5.5 percent to $18 million in 2000-01.

The annual results are noteworthy because unlike previous years, no single major gift accounted for a significant portion of the total. The final tally represents good solid baseline fundraising, said Pamela Hillman, associate vice chancellor for development. “Our figures this year represent a growing development program, one with greater participation and more individual gifts,” Hillman said.

While corporate and foundation support remained relatively stable, UC Riverside received a significant increase in support from individual donors. Gifts from individuals accounted for 28 percent of total private support. In dollars, gifts by individuals grew by 33 percent in 2000-01.

Highlights for the year included:

- The UCR Genomics Institute received a $3.1 million gift of scientific instrumentation from the Monsanto Foundation in St. Louis.

- Intercollegiate Athletics fundraising increased by 69 percent to nearly $650,000.

- The College of Humanities, Arts and Social Sciences received a $1 million gift and a matching challenge pledge of $4 million from a resident of Riverside for creation of an arts center along the downtown mall in Riverside.

- The Bourns College of Engineering received a gift of computer software valued at $1.6 million from Mentor Graphics of Portland.

- Grants totaling $580,000 from the Ford and Rockefeller Foundations supported projects in the Center for Ideas and Society to study the impact of cultural diversity on society and the arts in the United States.

- The Southeast Asia Studies Program was awarded a four-year $400,000 grant from the Henry Luce Foundation as seed funding for two new faculty positions in Southeast Asian studies, an emerging strength of the campus.

Of particular note is the level of planned giving and estate distribution gifts to UC Riverside, which tripled to more than $3 million in 2000-01. They include a $1.3 million individual gift from the estate of Jeannette Henry Costo to the Rupert Costo Chair in American Indian History, first established in 1986. Mrs. Costo, who died in January, and her late husband, Rupert Costo, helped establish UCR as a major national center for the study of Native American history. They also founded the Costo Library of the American Indian at UCR, a resource containing some 80,000 items, including papers from the American Indian Historical Society and other documents, books, legal papers and photographs.

Also in 2000-01, the campus completed the initial appointments of professional development staff in each of the academic colleges and schools, bringing the total to seven full-time development officers in the academic units. In addition, several positions have been added to the central development staff, including a director of campaign management and director of campaign communications.
Riverside University Private Support Program

**Total Giving ($ millions)**
- Total: $18,005,373
- Regents: $13,076,803
- Foundation: $4,928,570

**Gift Source**
- Corporations $8,175,891 (45.4%)
- Individuals (non-alumni) $4,449,639
- Foundations $2,600,902 (14.4%)
- Other sources 2,135,981 (11.9%)
- Alumni $629,224 (3.5%)
- Campus-related organizations $13,735

**Gift Purpose**
- Departmental support $11,124,140 (61.6%)
- Research $3,466,793 (19.3%)
- Student support $1,956,651 (10.9%)
- Other purposes $1,033,374 (5.7%)
- Unrestricted $397,774 (2.2%)
- Departmental support/Agriculture $14,756 (0.1%)
- Campus improvement $11,885 (<0.1%)
UC San Diego received private support in 2000-01 totaling $121 million, making it the third consecutive year that the campus exceeded $115 million in private gifts and grants.

The past three years’ private support totals have resulted in a 60 percent increase over the prior three years (July 1998 - June 2001 compared with July 1995 - June 1998). As a result of the recent fundraising successes, the campus has initiated many new academic and research programs that will positively impact the campus as well as the San Diego community.

“UCSD has an ambitious vision for the upcoming decade as we approach our golden anniversary,” said Chancellor Robert C. Dynes. “Private support is essential for UCSD to fully achieve this vision and our mission in education, research and community service.

“Without private support, our new initiatives would never become a reality and many of our students and faculty would not have the opportunity to learn and work here. We are very honored and grateful to have such a high level of support and involvement from the community.”

Among the private support received during the year are gifts of $1 million or more for several major campus initiatives, including the California Institute for Telecommunications and Information Technology [Cal-(IT)2], one of Gov. Davis’ California Institutes for Science and Innovation; the School of Medicine’s Center for Functional Magnetic Resonance Imaging and the Rebecca and John Moores UCSD Cancer Center; and unrestricted endowment funds that may be used at the chancellor’s discretion for important campus projects.

Additional private support was designated for student fellowships and scholarships, as well as endowed chairs for faculty.

Selected in December 2000 as one of four UC-based institutes, Cal-(IT)2 will be funded by a four-year, $100-million state allocation matched by more than $200 million expected from industry, federal, private, and university resources. Cal-(IT)2, led by UCSD in partnership with UC Irvine, unites some 220 UCSD and UCI faculty with research professionals from more than 50 leading California telecommunications, computer, software, biomedical, and venture capital companies.

In 2000-01, more than $24 million in private support was formally pledged to UCSD by several of these corporate partners, including Qualcomm, Conexant Systems, IBM, Ericsson Wireless Communications, Intersil, and SAIC.

UCSD received a $2 million grant from the W. M. Keck Foundation to help equip the new Center for Functional Magnetic Resonance Imaging. This regional, state-of-the-art facility will enable researchers to conduct sophisticated studies of both the structure and function of the brain. In recognition of the grant, the 6,500 square-foot facility, now under construction on the UCSD campus, permission will be sought to name the center as the W. M. Keck Building.

Rik and Flo Henrikson, longtime supporters of UC San Diego, established a trust and a deferred gift that will establish an endowment for unrestricted support for the campus. The Henriksions’ gifts provide crucial discretionary dollars for the chancellor to use in the areas of greatest need, providing UCSD flexibility to pursue campus priorities.
San Diego

University Private Support Program

Total Giving ($ millions)

- Total: $121,897,762
- Foundation: $75,510,302
- Regents: $46,387,460

Gift Source

- Corporations: $42,362,130 (34.8%)
- Individuals (non-alumni): $35,544,803 (29.2%)
- Foundations: $30,167,619 (24.7%)
- Other sources: $11,732,024 (9.6%)
- Alumni: $1,783,318 (1.5%)
- Campus-related organizations: $307,868 (0.3%)

Gift Purpose

- Research: $53,272,311 (43.7%)
- Campus improvement: $19,849,646 (16.3%)
- Departmental support: $16,463,084 (13.5%)
- Student support: $11,637,464 (9.5%)
- Instruction: $11,159,595 (9.2%)
- Unrestricted: $9,348,419 (7.7%)
- Other purposes: $167,240 (0.1%)
Gifts, pledges and private grants to UC San Francisco in 2000-01 totaled $240 million, reflected in 18,336 contributions from 15,098 donors. During the year, the schools of medicine, nursing and pharmacy and the graduate division posted their highest totals ever.

The fundraising effort for the new campus at Mission Bay continued to press forward, with $50 million in new commitments. UCSF’s new 43-acre campus is a central part of the campus’s drive to consolidate and expand its role as a world leader in biomedical research and health science education.

“From Mission Bay’s state-of-the-art laboratories, scientists will launch explorations that bring the world closer to treatments for cancer, aging, dementia, AIDS and countless other devastating illnesses with which humans struggle now,” said Chancellor J. Michael Bishop. “The benefits of Mission Bay will be felt around the world.”

The progress and momentum of the Mission Bay project is due largely to new leadership gifts and pledges made in 2000-01 from the following UCSF Foundation members: Alejandro C. Zaffaroni, member of the Mission Bay Task Force; Nan Tucker McEvoy; Roger L. Evans, Mission Bay Task Force; Elinor and Eugene Friend; Barbara and William Edwards; Pamela and Richard Kramlich; Deborah and Halsey Minor; Diana and Alfred Wilsey, Mission Bay Task Force; Barbara and Richard Rosenberg; Leslie Tang Schilling and Alexander Schilling, Mission Bay Task Force; Jean and William K. Coblentz; Megan and Norman Schultz; Anne and Roger Walther; and Leigh and William Matthes.

Gifts to Mission Bay were also given by the Koret Foundation ($10 million), Wayne and Gladys Valley Foundation ($10 million), Charles and Helen Schwab Family Foundation ($5 million), and Eric and Carmel Greenberg ($5 million).

Other leading donors to UCSF for the year included:

- The American Legacy Foundation, which gave $15 million to establish permanent Internet access to tens of millions of pages of once-secret tobacco industry documents and to develop a center for scholarly study of this material.

- The Herbert & Marion Sandler Family Supporting Foundation, which gave $5.5 million to fund the Program in Biological and Biomedical Sciences, $2.8 million to support basic research in asthma and $1.5 million to create a neurogenetics center at UCSF.

- The Pierre & Christine Lamond Family Foundation, which gave $2.5 million to establish a Distinguished Professorship in the Program in Human Genetics, $1.5 million to a technologies fund for the holder of the Lamond Distinguished Professorship in Human Genetics and $1.2 million for graduate and medical fellowships in human genetics.

- The Wayne and Gladys Valley Foundation, which, through an additional gift, contributed $5 million to support the Pacific Vascular Research Laboratory.

- The Avon Products Foundation, which gave the UCSF Comprehensive Cancer Center a $2.2 million gift to bolster cutting-edge breast cancer research and treatment.

- The John E. and Helen K. Cahill Fund, which made a gift of $2 million to establish an endowed chair in neurocritical care and stroke services in honor of Dr. Daryl Gress, who saved the life of John Cahill, Jr., after a skiing accident.

- Foundation board member Jeanne Robertson and her husband, Sanford, who made a $1 million gift to launch a new $10-million research endowment for the Center for Neurobiology and Psychiatry.
San Francisco University Private Support Program

### Total Giving ($ millions)

- **Total**: $239,954,310
- **Foundation**: $129,218,433
- **Regents**: $110,735,877

### Gift Source

- **Foundations**: $140,817,385
- **Individuals (non-alumni)**: $53,829,254
- **Other sources**: $32,464,653 (13.5%)
- **Corporations**: $8,752,831 (3.6%)
- **Alumni**: $2,804,757 (1.2%)
- **Campus-related organizations**: $1,285,431 (0.5%)

### Gift Purpose

- **Departmental support**: $72,431,428 (30.2%)
- **Research**: $61,425,477 (25.6%)
- **Campus improvement**: $53,884,909 (22.5%)
- **Instruction**: $21,880,271 (9.1%)
- **Student support**: $19,853,866 (8.3%)
- **Other purposes**: $10,064,331 (4.2%)
- **Unrestricted**: $414,028 (0.2%)
Philanthropic gifts and pledges to UC Santa Barbara reached an all-time high of $48 million in 2000-01, showing significant gains in support for teaching, research and various campus programs.

The contributions from alumni, friends, corporations and foundations increased by more than $17.5 million (nearly 60 percent) from the previous record year when philanthropy totaled $30.5 million.

“UCSB's eminence is directly enhanced by the growing generosity of our alumni and friends,” said Chancellor Henry T. Yang. “This has been an extraordinary year of notable milestones for UCSB in terms of increased philanthropic support and international recognition of UCSB's pioneering contributions to society.”

Last year, UCSB celebrated two new Nobel Prizes – one in chemistry and the other in physics – for landmark scientific research that ushered in today’s Information Age. The campus’s distinguished faculty now includes three Nobel laureates.

Also, the campus was selected by Gov. Gray Davis to host one of the initial California Institutes for Science and Innovation. Numerous corporate and philanthropic partnerships were established as a result of the California NanoSystems Institute, a research alliance between UCSB and UCLA that is expected to produce scientific advances in fields critical to the future of California’s economy.

In 2000-01, UC Santa Barbara received a record 19,300 gifts. New levels of support were also established for faculty research ($15.3 million), departmental support ($26 million) and student support and instruction ($3.8 million).

Major gifts and grants were received across the disciplines, including $10 million from Ambassador and Mrs. Donald Gevirtz to support the Gevirtz Graduate School of Education. Marilyn Gevirtz is a member of the UCSB Foundation board of trustees. In addition, foundation trustee Paul Orfalea and his wife, Natalie, contributed a total of $3.25 million to the Orfalea Family Children’s Center and Gevirtz Graduate School of Education.

“This exceptional year is the result of the generosity of donors who stepped up to new levels of philanthropic support and the expansion of substantive corporate partnerships,” said Gary A. Greinke, associate vice chancellor for development. “Significant interaction between campus colleagues and the leadership and involvement of Chancellor Yang and UCSB Foundation trustees helped make this possible.”

Corporate support for technology transfer and education included the following philanthropic components: a total of $4.6 million from Mitsubishi Chemical Corp. of Japan for the Center for Advanced Materials and the Solid State Lighting and Display Center; $2.35 million from Stanley Electronics of Japan for graduate student support and research at the Solid State Lighting and Display Center; $1.2 million from Cree Inc., also for the center; and $2.7 million for engineering graduate fellowships, symposia and equipment from Walsin Lihwa of Taiwan.

Other gifts and grants included $1.5 million from the W. K. Kellogg Foundation to aid Latino education and $1 million from Charles R. Schwab for Catholic Studies. A number of donors established endowments, which will provide ongoing expendable distributions. A chair in the Institute for Theoretical Physics was endowed by UCSB Foundation trustee Frederick Gluck with a $1 million gift. Other endowments included $1 million from Jeff Henley, an alumnus, and his wife, Judy, to establish an endowed chair in economics.
UC Santa Cruz received $13.5 million in private support in 2000-01, which includes $2.28 million from the David and Lucile Packard Foundation for coastal ocean research.

“This grant recognizes the crucial role of UCSC’s scientific community in increasing our understanding of the ocean environments most heavily influenced by human activity,” said Chancellor M.R.C. Greenwood. “Coming on top of an earlier five-year commitment by the Packard Foundation, this is a vote of confidence in UCSC’s outstanding field research.”

UCSC’s portion of the original grant was $3.2 million. The grant funds the Partnership for Interdisciplinary Studies of Coastal Oceans and involves researchers at UCSC, UC Santa Barbara, Oregon State University, and Stanford University. The new funding enables UCSC researchers to expand their activities in several critical areas, including molecular genetics, nearshore oceanography and selective tagging of marine species.

UC Santa Cruz’s New Teacher Center, a national resource dedicated to teacher development and new teacher training, also received considerable support, drawing grants from three different foundations. The Walter S. Johnson Foundation gave $750,000 to the center’s efforts to assist school districts, with an emphasis on the San Francisco East Bay. The Stupski Family Foundation gave $730,293 to create and disseminate a coaching-based professional development model for administrators. The Noyce Foundation gave $500,000 for the center’s Silicon Valley initiative for teacher support.

In addition to the larger gifts, UCSC received gifts from many individual donors who provide significant support to the campus annually. Gifts to the campus’s annual fund, including the telephone outreach program, direct mail and personal solicitations, totaled $1.2 million. Included in this total is $133,000 given to the alumni association scholarship fund.

Trustees of the UC Santa Cruz Foundation, which supports UCSC through its private fundraising efforts, gave more than $1 million to the campus this past year.

Ronald P. Suduiko, UCSC’s vice chancellor for university relations, credited individuals, businesses, foundations, and others for providing valuable support to UCSC.

“We are extremely grateful for the many individuals and organizations that have come forward to support the programs and people of UCSC,” he said. “As a public institution, we rely upon philanthropy to enhance the support provided by the state and student fees. It is only through this generosity that we are able to provide such a high-quality learning environment for our students.”
Santa Cruz

University Private Support Program

Total Giving ($ millions)

- Total $13,503,465
- Regents $9,401,848
- Foundation $4,101,617

Gift Source

- Foundations $7,199,481 (53.3%)
- Individuals (non-alumni) $2,256,347 (16.7%)
- Corporations $1,931,028 (14.3%)
- Other sources $1,125,280 (8.3%)
- Alumni $824,741 (6.1%)
- Campus-related organizations $165,588 (1.2%)

Gift Purpose

- Research $5,639,320 (41.8%)
- Other purposes $3,707,363 (27.5%)
- Instruction $1,432,743 (10.6%)
- Departmental support $1,142,549 (8.5%)
- Student support $710,505 (5.3%)
- Campus improvement $522,896 (4.6%)
- Unrestricted $248,089 (1.8%)
The Universitywide programs include multi-campus research units; the three national laboratories that UC manages under contract with the federal government; the Mathematics, Engineering, Science Achievement (MESA) student outreach program; the Puente Program, another important and successful outreach for students statewide; the University of California Press; the Education Abroad Program; and a portion of the division of Agriculture and Natural Resources, which also has programs on the Berkeley, Davis and Riverside campuses. The total also includes COSMOS (California State Summer School for Math Sciences), a recently inaugurated summer residency program for entering 9th through 12th grade students with exceptional aptitude in math and science.

Gifts to support UC Cooperative Extension reported previously in this section are now reported by UC Davis, which processes the gifts to support the Cooperative Extension programs in California's 58 counties.

Multi-campus research units include the Humanities Research Institute, and the state-funded Breast Cancer Research and Tobacco Research Programs, among others.

The U.S. Department of Energy national laboratories include the Lawrence Berkeley National Laboratory and the Lawrence Livermore National Laboratory in California and the Los Alamos National Laboratory in New Mexico.

The MESA program assists educationally disadvantaged students at pre-college, community college and university levels to excel in math and science and ultimately to attain degrees in math-based fields.

The Puente Program has helped tens of thousands of educationally under-served students to succeed in college through its innovative combination of accelerated writing instruction, academic counseling and mentoring by community professionals. In California, Puente works in 38 community colleges and 32 high schools. Its goal is to prepare and enroll students in four-year institutions such as UC.

The Education Abroad Program provides for foreign study for nearly 2,500 students at more than 130 institutions in 34 countries. In most cases, UC students are fully integrated into the academic curriculum of the foreign host university, and UC students study alongside their foreign counterparts. Through its exchanges, partnerships and initiatives, EAP expands the scope of education at UC by giving students access to the vast new sources of knowledge available worldwide, to special pedagogical methods and field study opportunities, and to widely varying cultural, social, political and economic environments.

University of California Press, the nonprofit publishing arm of UC, is one of the nation's largest university publishers. It has earned worldwide recognition for its innovative titles and excellence in book design and production. Each year, it publishes 180 new clothbound books, 90 paperback books and 30 scholarly journals. About a third of its books are written by UC faculty.
Universitywide and Multi-campus Programs

Gift Purpose

- Student support $1,851,889 (40.8%)
- Research $1,719,933 (37.9%)
- Departmental support $550,742 (12.1%)
- Instruction $252,745 (5.6%)
- Unrestricted $79,200 (1.7%)
- Departmental support/Agriculture $72,225 (1.6%)
- Other purposes $15,730 (0.3%)

Gift Source

- Foundations $2,452,964 (54.0%)
- Corporations $1,194,521 (26.3%)
- Campus-related organizations $444,040 (9.8%)
- Individuals (non-alumni) $434,948 (9.6%)
- Other sources $15,991 (0.4%)

Total Giving ($ millions)

- 91-92
- 92-93
- 93-94
- 94-95
- 95-96
- 96-97
- 97-98
- 98-99
- 99-00
- 00-01

Total $4,542,464
The comparison of UC’s fundraising results to other colleges and universities is difficult. In the section that follows, data are offered on fundraising by the University of California as a whole in contrast with the performance at other institutions. The comparative data are presented for 1999-2000 from the most recent nationwide survey published by the Council for Aid to Education (CAE), a division of the RAND Corp.

The information compares UC’s fundraising results by several indices: with eight institutions (four public and four private) that UC uses for faculty salary comparisons; and 23 public institutions that UC uses for student fee and financial aid comparisons.

In all cases, the comparative data are based on the CAE reporting convention, the most widely used system in the United States. It differs from the reporting convention historically used for gift reports to the UC Board of Regents in that CAE reports only outright gifts and grants and pledge payments received during the fiscal year; it does not include new pledges. By contrast, the Regents’ reporting convention, employed in the system and campus sections of this report, includes outright gifts, grants and pledges made during the fiscal year, but excludes payments made on pledges previously reported. Neither convention includes transfers from federal, state or local governments. For instance, on page 1, we report that the University’s 2000-2001 private support total using the Regents reporting convention is $1.18 billion. For the same period, using the CAE reporting convention, the University’s total is $990.6 million.

The Comparison 8 institutions used for faculty salary comparison include four public institutions: University of Illinois System, University of Michigan, State University of New York at Buffalo and the University of Virginia; and four private institutions: Harvard University, Yale University, Stanford University and the Massachusetts Institute of Technology.

The Comparison 23 institutions used for student fee and financial aid comparisons include: University of Colorado, Cornell University, University of Illinois System, Indiana University, University of Iowa, Iowa State University, University of Kansas, University of Maryland, University of Michigan, Michigan State University, University of Minnesota, University of Missouri, University of Nebraska, State University of New York at Buffalo, University of North Carolina, Ohio State University, University of Oregon, Pennsylvania State University, Purdue University, University of Texas, University of Virginia, University of Washington, and the University of Wisconsin.
Private support per student

The average private support per student at UC remains higher than at the 23 public universities used for student fee and financial aid comparisons. It also remains significantly lower than at the Comparison 8 institutions. Private support per student at UC increased by $582 from the previous year -- a 12% increase.

Private support per student at Comparison 8 institutions

With respect to the Comparison 8 institutions, the distinction between public and private remains striking. The continuing impressive results of the University of Virginia reflect both the age and effort of that public institution; they also may indicate what can be achieved at a public institution.
For many years, UC has attracted a substantial amount of corporate support because of its standing as a premier research university. Among the comparison institutions, the privates -- Harvard, Stanford and Yale universities and the Massachusetts Institute of Technology -- continue to receive more corporate support per student. Among the publics, the University of Virginia has in the past, led by a substantial margin; however, UC has closed the gap in the recent year.

In recent years, the value of private foundation assets has grown substantially and foundation giving has increased as well. However, there remains a substantial disparity in per-student averages for gifts from foundations between public and private institutions. Although there has been some change, several private foundations continue to have a policy against gifts to public universities. The recent declines in the value of certain financial asset classes could make continued increases in giving from foundations more difficult in the future.
**Student financial aid per student**

UC leads among public comparison institutions for outright gifts for student financial support, while support received by the privates reflects the fact that higher tuition institutions typically generate more money for financial aid.

**Capital purposes per student**

Gifts for capital purposes include gifts for endowment, such as scholarships, fellowships and endowed chairs. Again, the University of Virginia leads the publics by a substantial margin.
Giving by alumni of record is a commonly used indicator of the success of alumni giving efforts. It is also used by the U.S. News & World Report to measure “alumni satisfaction” of undergraduate alumni. While the appropriateness of that metric for alumni satisfaction may be disputed, it is nevertheless important to understand how UC compares to other universities in this area.

The UC alumni participation rate in private giving, which has been above 10% for two years, dropped to 9.5% in 1999-2000. By contrast, three of the four public comparison institutions recorded increases; the fourth experienced a decline, but remains substantially above UC’s rate. UC has potential for increases in this area, which the UC campuses have targeted for improvement.

Percentage of alumni of record who gave

UC continues to have a substantially lower alumni participation rate than the institutions that make up both the Comparison 8 and the Comparison 23.
Giving by alumni solicited

Another way of looking at the effectiveness of alumni giving programs is to examine alumni giving not as a percentage of alumni of record who made a gift, but as a percentage of only those alumni solicited who made a gift. Not surprisingly, this results in a percentage higher (20.7%) than the percentage of alumni of record who gave (9.5%). Alumni participation in terms of alumni solicited has seen a large improvement over the last year (13.8%). Since the percent of alumni of record who gave did not improve, this may mean that the UC campuses solicited fewer alumni overall, but those who were solicited were more likely to make a gift.

Percentage of alumni solicited who gave

In the highly competitive field of annual fundraising, public institutions are working hard to build programs that will attract alumni support. The results are demonstrated by the average of success for the Comparison 23 and Comparison 8. UC, which began active fundraising programs only a generation ago, is still building its philanthropic tradition among alumni, students and faculty.
Average alumni gift

The UC system experienced a 40% increase in the size of the alumni gift and surpassed the Comparison 23. Notwithstanding this improvement, UC as a system continues to lag behind the Comparison 8 in the size of the average gift.

Alumni giving as a percentage of all gifts

Alumni giving, as a percentage of all gifts, declined by 26% this year and remains relatively small in comparison with other institutions. In part, this is a reflection of UC's success in attracting corporate and foundation gifts, as well as gifts from non-alumni individuals.
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### Private Support to the Regents and the Campus Foundations

1979-80 to 1999-2001

<table>
<thead>
<tr>
<th>Fiscal Years</th>
<th>Regents</th>
<th>Foundations</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1979-1980</td>
<td>87,353,472</td>
<td>17,238,292</td>
<td>104,591,764</td>
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<td>1981-1982</td>
<td>122,475,220</td>
<td>26,551,087</td>
<td>149,026,307</td>
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<td>1982-1983</td>
<td>123,063,779</td>
<td>34,430,545</td>
<td>157,494,324</td>
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<td>1984-1985</td>
<td>178,479,512</td>
<td>49,133,944</td>
<td>227,613,456</td>
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<td>1985-1986</td>
<td>186,253,924</td>
<td>68,757,418</td>
<td>255,011,342</td>
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<td>1986-1987</td>
<td>207,593,332</td>
<td>86,031,331</td>
<td>293,624,663</td>
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<td>1987-1988</td>
<td>223,256,391</td>
<td>89,903,475</td>
<td>313,159,866</td>
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<td>1988-1989</td>
<td>233,701,000</td>
<td>133,156,000</td>
<td>366,857,000</td>
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<td>1989-1990</td>
<td>315,323,651</td>
<td>120,750,398</td>
<td>436,074,049</td>
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<td>1990-1991</td>
<td>311,795,189</td>
<td>102,919,879</td>
<td>414,715,068</td>
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<td>1992-1993</td>
<td>341,073,528</td>
<td>131,279,500</td>
<td>472,353,028</td>
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<td>1993-1994</td>
<td>308,864,760</td>
<td>150,391,500</td>
<td>459,256,260</td>
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<td>1995-1996</td>
<td>429,052,653</td>
<td>291,949,785</td>
<td>721,002,438</td>
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<td>1996-1997</td>
<td>450,199,449</td>
<td>276,118,749</td>
<td>726,318,198</td>
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<td>1997-1998</td>
<td>427,768,819</td>
<td>326,731,603</td>
<td>754,500,422</td>
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<td>1998-1999</td>
<td>595,840,889</td>
<td>330,229,035</td>
<td>926,069,924</td>
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<td>1999-2000</td>
<td>638,217,019</td>
<td>583,950,217</td>
<td>1,222,167,236</td>
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<td>2000-2001</td>
<td>691,139,190</td>
<td>487,480,139</td>
<td>1,178,619,329</td>
</tr>
</tbody>
</table>

**Chart:**

The chart illustrates the annual total support to the Regents and the Campus Foundations from 1971-72 to 2000-01, with a significant increase in the 1990s. The data shows a consistent increase in support over the years, with a notable peak in 1999-2000.
## PRIVATE SUPPORT

### Appendix II

**Total giving for 10 Years**

<table>
<thead>
<tr>
<th>Location</th>
<th>Regents</th>
<th>Foundation</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>BERKELEY</strong></td>
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<tr>
<td>1992-1993</td>
<td>44,879,561</td>
<td>119,213,161</td>
<td></td>
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<tr>
<td>1993-1994</td>
<td>4,476,962</td>
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<tr>
<td>1994-1995</td>
<td>15,085,874</td>
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<tr>
<td>1995-1996</td>
<td>188,934,528</td>
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<tr>
<td>1996-1997</td>
<td>182,427,930</td>
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<td></td>
</tr>
<tr>
<td>1997-1998</td>
<td>174,237,088</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1998-1999</td>
<td>57,605,864</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1999-2000</td>
<td>60,361,838</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2000-2001</td>
<td>315,322,347</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| **Davis**         |         |            |          |
| 1991-1992         | 28,988,569 |            |
| 1992-1993         | 38,660,364 |            |
| 1993-1994         | 29,811,903 |            |
| 1994-1995         | 41,513,801 |            |
| 1995-1996         | 59,432,392 |            |
| 1996-1997         | 44,142,548 |            |
| 1997-1998         | 52,104,314 |            |
| 1998-1999         | 63,662,239 |            |
| 1999-2000         | 77,352,557 |            |
| 2000-2001         | 77,352,557 |            |

| **IRRIVNE**        |         |            |          |
| 1991-1992         | 5,038,993 |            |
| 1992-1993         | 44,879,561 |            |
| 1993-1994         | 119,213,161 |            |
| 1994-1995         | 110,695,022 |            |
| 1995-1996         | 15,085,874 |            |
| 1996-1997         | 182,427,930 |            |
| 1997-1998         | 174,237,088 |            |
| 1998-1999         | 57,605,864 |            |
| 1999-2000         | 60,361,838 |            |
| 2000-2001         | 315,322,347 |            |

| **LOS ANGELES**   |         |            |          |
| 1992-1993         | 119,213,161 |            |
| 1993-1994         | 110,695,022 |            |
| 1994-1995         | 15,085,874 |            |
| 1995-1996         | 182,427,930 |            |
| 1996-1997         | 174,237,088 |            |
| 1997-1998         | 57,605,864 |            |
| 1998-1999         | 60,361,838 |            |
| 1999-2000         | 315,322,347 |            |
| 2000-2001         | 315,322,347 |            |

| **MERCED**        |         |            |          |
| 1991-1992         | 5,038,993 |            |
| 1992-1993         | 44,879,561 |            |
| 1993-1994         | 119,213,161 |            |
| 1994-1995         | 110,695,022 |            |
| 1995-1996         | 15,085,874 |            |
| 1996-1997         | 182,427,930 |            |
| 1997-1998         | 174,237,088 |            |
| 1998-1999         | 57,605,864 |            |
| 1999-2000         | 60,361,838 |            |
| 2000-2001         | 5,038,993 |            |

| **RIVERSIDE**     |         |            |          |
| 1992-1993         | 119,213,161 |            |
| 1993-1994         | 110,695,022 |            |
| 1994-1995         | 15,085,874 |            |
| 1995-1996         | 182,427,930 |            |
| 1996-1997         | 174,237,088 |            |
| 1997-1998         | 57,605,864 |            |
| 1998-1999         | 60,361,838 |            |
| 1999-2000         | 315,322,347 |            |
| 2000-2001         | 315,322,347 |            |

| **SANTA BARBARA** |         |            |          |
| 1991-1992         | 2,214,938 |            |
| 1992-1993         | 9,112,717 |            |
| 1993-1994         | 3,127,752 |            |
| 1994-1995         | 3,946,171 |            |
| 1995-1996         | 3,946,171 |            |
| 1996-1997         | 8,546,795 |            |
| 1997-1998         | 13,946,419 |            |
| 1998-1999         | 18,029,530 |            |
| 1999-2000         | 23,941,753 |            |
| 2000-2001         | 3,946,171 |            |

| **SANTA CRUZ**    |         |            |          |
| 1992-1993         | 9,112,717 |            |
| 1993-1994         | 3,127,752 |            |
| 1994-1995         | 3,946,171 |            |
| 1995-1996         | 3,946,171 |            |
| 1996-1997         | 8,546,795 |            |
| 1997-1998         | 13,946,419 |            |
| 1998-1999         | 18,029,530 |            |
| 1999-2000         | 23,941,753 |            |
| 2000-2001         | 3,946,171 |            |

| **SANTA CRUIZ**   |         |            |          |
| 1991-1992         | 1,499,273 |            |
| 1992-1993         | 1,864,097 |            |
| 1993-1994         | 2,382,630 |            |
| 1994-1995         | 3,124,360 |            |
| 1995-1996         | 3,946,171 |            |
| 1996-1997         | 8,546,795 |            |
| 1997-1998         | 13,946,419 |            |
| 1998-1999         | 18,029,530 |            |
| 1999-2000         | 23,941,753 |            |
| 2000-2001         | 3,946,171 |            |

Note: UC Davis totals include certain gifts and private grants made to the Systemwide Division of Agriculture and Natural Resources. In prior years, these were reported in the Universitywide and Multi-campus Programs section of this report.
**Gift Data**
The gift data included in the Annual Report on the University Private Support Program is compiled using the UC system convention for gift reporting (i.e., includes pledges when made, not paid; outright gifts and private grants, not contracts; and gifts from foreign sources).

**Regents**
The Regents of the University of California is the corporate body entrusted by the enabling legislation with the University's organization and administration.

**Campus foundations**
Campus foundations, originally established at the Los Angeles campus in 1945 and the Berkeley campus in 1948 as alumni foundations to secure private support for student aid, are independently incorporated as nonprofit, public benefit corporations. They serve as the primary fundraising arm for their respective campuses. The strength of the foundations rests with the direction provided by their community-based volunteer trustees. Each foundation is staffed by University personnel. They are located on each campus.

**Sources of Gifts**
This category identifies the original source of gifts and grants to the Regents and the campus foundations.

**Individuals**

**Alumni**
Alumni are defined as former undergraduate or graduate students, full- or part-time, who have earned some credit toward a degree offered by a particular campus of the University of California.

**Individuals (non-alumni)**
All individual donors who are not alumni are covered by this classification, including: parents; enrolled students; faculty; staff; and trustees.

**Organizations**

**Campus-related organizations**
This classification covers student groups, alumni associations, and University support groups, but not campus foundations. A transfer to the Regents from a campus foundation is reported in the classification appropriate to the original donor when the gift was received by the campus foundation.

**Corporations**
This classification covers both for-profit entities and non-profit entities that are funded by for-profit entities. For-profit entities are defined as corporations, businesses, partnerships, and cooperatives that have been organized for profit-making purposes, including corporations owned by individuals and families, incorporated professional individuals, and other closely-held companies.

Examples of non-profit entities that are funded by for-profit entities include: industry or trade associations; professional, union, or lobbying organizations; and non-profit organizations funded by one or more companies or individuals operating for profit, including corporate foundations.

**Foundations**
This classification covers private tax-exempt entities established and operated exclusively for charitable purposes; non-profit foundations or charitable trusts, including funds or endowments designated by the Internal Revenue Service as grant-making foundations; community foundations; family foundations; or charitable trusts. This classification excludes corporate foundations (which are classified as for-profit entities), campus foundations, or quasi-government entities such as the National Endowment for the Humanities.

**Other sources**
This classification covers all non-profit organizations other than those described above, including fund-raising consortia, religious organizations, and higher educational institutions or associations.

**Purposes of Gifts**
This category identifies the intended use of private support as designated by the donor or grantor.

**Research**
This classification applies to private support that is restricted to scientific, technical, and humanistic investigation, including salaries and other support of research projects.
PRIVATE SUPPORT

Glossary

Student Support
This classification includes support that is restricted to non-repayable financial aid to undergraduate or graduate students, including scholarships, fellowships, awards, prizes, and private support for work-study students, as well as gift funds to support loans to be repaid by undergraduate and graduate students.

Instruction
This classification is restricted to support for seminars, conferences, lecture programs, and teaching awards, including salaries, honoraria, and employee benefits.

Campus Improvement
This classification includes support for ongoing operation of the physical plant, including its buildings and grounds, other facilities, and equipment. It also includes gifts and private grants of real and personal property, including equipment and works of art for use by the University. It also covers gifts and private grants that are restricted by the donor or grantor to purchase buildings, other facilities, equipment, and land for use by the University; or to construct or carry out major renovation of buildings and other facilities; or to retire indebtedness. In addition, the classification applies to gifts of library materials and monetary support that are restricted to acquire, restore, and preserve books, periodicals, manuscripts, maps, and related materials; to acquire audiovisual and other equipment; or to support other activities of campus libraries.

Departmental Support
This classification covers gifts that are restricted by the donor to a particular academic division, department, or entity, but otherwise unrestricted. It also covers gifts that are restricted by the donor to a particular academic division, department, or unit, and further restricted by the donor to a particular purpose for which no other purpose category is listed, including endowed chairs. In addition, it covers gifts and grants that have been given for support of agricultural research and public service, which the Berkeley, Davis, and Riverside campuses and the system office break out separately, but excludes instruction and student financial aid.

This classification covers support of non-instructional services beneficial to individuals and groups external to the University (e.g., exhibits, museums, patient care, public service, and similar facilities and programs); and gifts restricted by the donor for endowment, which maintains the principal in perpetuity, but otherwise unrestricted by the donor as to use of the endowment income.

Unrestricted
This classification includes gifts made by the donor for current use without restriction, regardless of any subsequent administrative designation or purpose.

TYPES OF ASSETS

This category identifies the form (type of asset) of a gift at the time it is transferred to the University or the Campus Foundation.

Cash
This classification covers currency, coins, checks, money orders, and bank drafts.

Securities
This classification includes stocks, bonds, and related instruments such as promissory (mortgage) notes (and insurance policies if maintained rather than surrendered for cash).

Real Property
This classification applies to real estate, including land, buildings, and other improvements; and to oil, mineral, and related rights. Real property is reported at its fair market value.

Pledge
This classification applies to the promise to make a gift or private grant, the amount of the gift or grant to be paid subsequently by the donor, usually in installments.

Non-monetary items
This classification applies to personal or company property (except securities and real property), including works of art, books, and scientific and other equipment. Non-monetary gifts are reported at their fair market value.