

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **November 16, 2016**
Time: **Upon adjournment of the open session meeting¹**
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of September 14, 2016

C4(X) Discussion Update on State Audits of the University

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C5(X) Discussion Compliance and Audit Personnel Matters

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

C6(X) Discussion Annual Consultation with Regents' Auditors Concerning
Performance of University Personnel

Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]

...continued

Committee membership: Regents Brody (Vice Chair), De La Peña, Elliott, Makarechian, Newsom, Pérez, Sherman, Varner, and Zettel (Chair); Ex officio members Brown and Lozano; Advisory members Chalfant and Monge; Chancellor Gillman

¹ All times indicated and the order of business are approximate and subject to change.

- C7(X) Action Recommended Settlements for Board Action
1. Regents v. Flad & Associates, et al. – Proposed Settlement – Design and Construction Defects Claims – San Francisco
 2. Villa v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles
- Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*
- C8(X) Discussion Appellate, Trial Court Developments and Updates
1. CHOUDHRY v. REGENTS – Complaint Served – Preliminary Injunction/Race/National Origin Discrimination – Berkeley
 2. CLASS ACTION CLAIMS – Pre-Litigation – Consumer Privacy Statute – Los Angeles
 3. DE VRIES v. REGENTS – Oral Argument on Appeal – Taxpayer Injunction to Enjoin Nonresident Tuition Exemption and Financial Aid for Undocumented Immigrants – Systemwide
 4. DOE v. REGENTS – Motion to Lift Stay Denied and Oral Argument on Appeal – Student Sexual Misconduct – San Diego
 5. DOE v. REGENTS – Motion for Reconsideration Pending – Student Sexual Misconduct – Santa Barbara
 6. FEDERATED UNIVERSITY POLICE OFFICERS’ ASSOCIATION, et al. v. REGENTS, et al. – Case Dismissed – Claim by Police Officers of Illegal Recording Devices – Irvine
 7. HORNE v. REGENTS – Defense Jury Verdict – Medical Malpractice – San Francisco Medical Center
 8. IHLY v. REGENTS – Court of Appeal Decision – Medical Malpractice – Los Angeles Medical Center
 9. THE KOALA v. KHOSLA, et al. – Motion to Dismiss Granted with Leave to Amend – First Amendment – San Diego
 10. MEDIVATION v. REGENTS – Appellate Decision – Intellectual Property – Los Angeles
 11. MISSION BAY ALLIANCE v. HAWGOOD – Settlement Conference and Demurrer Hearing Held – Taxpayer Petition for Writ of Mandate Challenging Memorandum of Understanding – San Francisco

12. REGENTS v. AISEN – Orders Denying Regents’ Contempt Motion and Granting Defendants’ Modification of Injunction – Breach of Fiduciary Duty – San Diego
13. REGENTS v. BANK OF AMERICA, et al. – Hearing on Motion to Dismiss – Antitrust – Office of the President
14. REPORTERS COMMITTEE v. REGENTS – Redacted Records Made Available – Public Records Act – Berkeley
15. ROHNER, et al. v. REGENTS – Complaint for Declaratory Relief – Open Meetings – Irvine
16. ROSS v. REGENTS – Trial Commencement – Whistleblower Retaliation – Los Angeles
17. SERCARZ v. REGENTS – Summary Judgment in Favor of Regents Upheld on Appeal – Retaliation – Los Angeles
18. WENTWORTH v. REGENTS – Complaint Served – Disability Discrimination – Berkeley
19. WU v. TABSH – Defense Verdict – Medical Malpractice – Los Angeles
20. General Counsel’s Update on Pending or Threatened Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C9(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from July 28, 2016 to September 26, 2016

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]