

NOTICE OF MEETING

The Regents of the University of California

COMMITTEE ON COMPLIANCE AND AUDIT

Date: **November 7, 2011**
Time: **2:30 p.m.**
Locations: **1111 Franklin Street, Room 11326, Oakland**
West Coast Room, Covell Commons, Los Angeles Campus
3104 Mosher Alumni House, Santa Barbara Campus

Live audio Internet broadcasts of the open session is available during the meeting. Please use this link to connect to the audio streaming during the meeting:

http://california.granicus.com/ViewPublisher.php?view_id=2

Agenda – Open Session

Public Comment Period¹

	Action	Approval of the Minutes of the Meeting of September 13, 2011
A5	Discussion	Annual Report of External Auditors for the Year Ended June 30, 2011
A6	Discussion	Chief Financial Officer Division AIM Report: Actionable Information for Managers
A7	Discussion	Annual Report on Internal Audit Activities, 2010-11
A8	Discussion	Report on Ethics and Compliance Activities

Committee membership: Regents Crane, Makarechian, Mireles, Pelliccioni, Ruiz, and Zettel (Chair); Ex officio members Brown, Gould, and Lansing; Advisory member Anderson; Staff Advisor Herbert

¹ This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, November 3.

Guidelines

In fairness to all who wish to address the Committee, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced.