

University of California

Report on Audit of Financial Statements and on Federal Awards Programs in Accordance with OMB Circular A-133 For the Year Ended June 30, 2012

<u>Location</u>	<u>EIN</u>
Office of the President	94-3067788
Berkeley	94-6002123
Davis	94-6036494
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Los Angeles	95-6006143
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Santa Barbara	95-6006145
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University of California

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Report of Independent Auditors

To The Regents of the University of California:

In our opinion, based upon our audits and the report of other auditors, the financial statements listed in the accompanying index which collectively comprise the financial statements of the University of California (the "University"), a component unit of the State of California, present fairly, in all material respects, the respective financial position and plans' and trust's fiduciary net position of the University, its aggregate discretely presented component units, and the University of California Retirement System (the "Plans") and the University of California Retiree Health Benefit Trust (the "Trust"), respectively, at June 30, 2012 and 2011, and the respective changes in financial position and cash flows of the University and its component units, and the changes in the Plans' and the Trust's fiduciary net position for the years then ended in conformity with accounting principles generally accepted in the United States of America. These financial statements are the responsibility of the University's management. Our responsibility is to express opinions on these financial statements based on our audits. We did not audit the financial statements of the UC Berkeley Foundation, which represents 24 and 23 percent of the assets, 24 and 23 percent of the net position, and 19 and 19 percent of the operating revenues of the University of California campus foundations as of and for the years ended June 30, 2012 and 2011, respectively. Those financial statements were audited by other auditors whose report thereon has been furnished to us, and our opinion, insofar as it relates to the amounts included for the UC Berkeley Foundation included in the aggregate discretely presented component units, is based upon the report of the other auditor. We conducted our audits of these statements in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. The financial statements of the University's component units were not audited in accordance with *Government Auditing Standards*. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits and the report of the other auditors provide a reasonable basis for our opinions.

In accordance with *Government Auditing Standards*, we have also issued our report dated October 11, 2012 on our consideration of the University's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters for the year ended June 30, 2012. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of our audits.

The accompanying Management's Discussion and Analysis and the required supplementary information on the University's Schedule of Funding Progress for UCRP and the Retiree Health Plan on pages 3 through 23 and page 89, respectively, are required by accounting principles generally accepted in the United States of America to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who



considers it to be an essential part of financial reporting for placing the basic financial statements in the appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise the University's basic financial statements. The accompanying Summary Schedule of Expenditures of Federal Awards and Schedule of Expenditures of Federal Awards for the year ended June 30, 2012 are presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and are not a required part of the basic financial statements. The Summary Schedule of Expenditures of Federal Awards and Schedule of Expenditures of Federal Awards are the responsibility of management and were derived from and relate directly to the underlying accounting and other records used to prepare the basic financial statements. The Summary Schedule of Expenditures of Federal Awards and Schedule of Expenditures of Federal Awards have been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves and other additional procedures, in accordance with auditing standards generally accepted in the United States of America. In our opinion, the Summary Schedule of Expenditures of Federal Awards and Schedule of Expenditures of Federal Awards are fairly stated in all material respects, in relation to the basic financial statements taken as a whole.

PricewaterhouseCoopers LLP

October 11, 2012, except for our report on the Summary Schedule of Expenditures of Federal Awards and Schedule of Expenditures of Federal Awards for which the date is February 11, 2013

Management's Discussion and Analysis (Unaudited)

The objective of Management's Discussion and Analysis is to help readers of the University of California's financial statements better understand the financial position and operating activities for the year ended June 30, 2012, with selected comparative information for the years ended June 30, 2011 and 2010. This discussion has been prepared by management and should be read in conjunction with the financial statements and the notes to the financial statements. Unless otherwise indicated years (2010, 2011, 2012, 2013, etc.) in this discussion refer to the fiscal years ended June 30.

The University of California's financial report communicates financial information for the University of California (the University), the University of California campus foundations (campus foundations), the University of California Retirement System (UCRS) and the University of California Retiree Health Benefit Trust (UCRHBT) through five primary financial statements and notes to the financial statements. Three of the primary statements, the statements of net position, the statements of revenues, expenses and changes in net position and the statements of cash flows, present the financial position, changes in financial position and cash flows for the University and the affiliated campus foundations. The financial statements for the campus foundations are presented discretely from the University. Two of the primary statements, the statements of plans' and trust's fiduciary net position and the statements of changes in plans' and trust's fiduciary net position, present the financial position and operating activities for UCRS and UCRHBT. The notes to the financial statements provide additional information that is essential to a full understanding of the financial statements.

THE UNIVERSITY OF CALIFORNIA

The University of California, one of the largest and most acclaimed institutions of higher learning in the world, is dedicated to excellence in teaching, research, health care and public service. The University has annual resources of nearly \$24.0 billion and encompasses ten campuses, five medical schools and medical centers, four law schools and a statewide Division of Agriculture and Natural Resources. The University is also involved in the operation and management of three national laboratories for the U.S. Department of Energy (DOE).

Campuses. The ten campuses are located in Berkeley, Davis, Irvine, Los Angeles, Merced, Riverside, San Diego, San Francisco, Santa Barbara and Santa Cruz. All of the campuses, except San Francisco, offer undergraduate, graduate and professional education; the San Francisco campus is devoted exclusively to graduate and professional education in health sciences.

Health sciences. The University operates one of the nation's largest health science and medical training programs. The instructional program is conducted in 16 health professional schools on six campuses. Our health programs include five medical centers, two dental schools, three nursing schools, two public health schools and two pharmacy schools, in addition to a school of optometry and a school of veterinary medicine. The University's medical schools play a leading role in the development of health services and advancement of medical science and research.

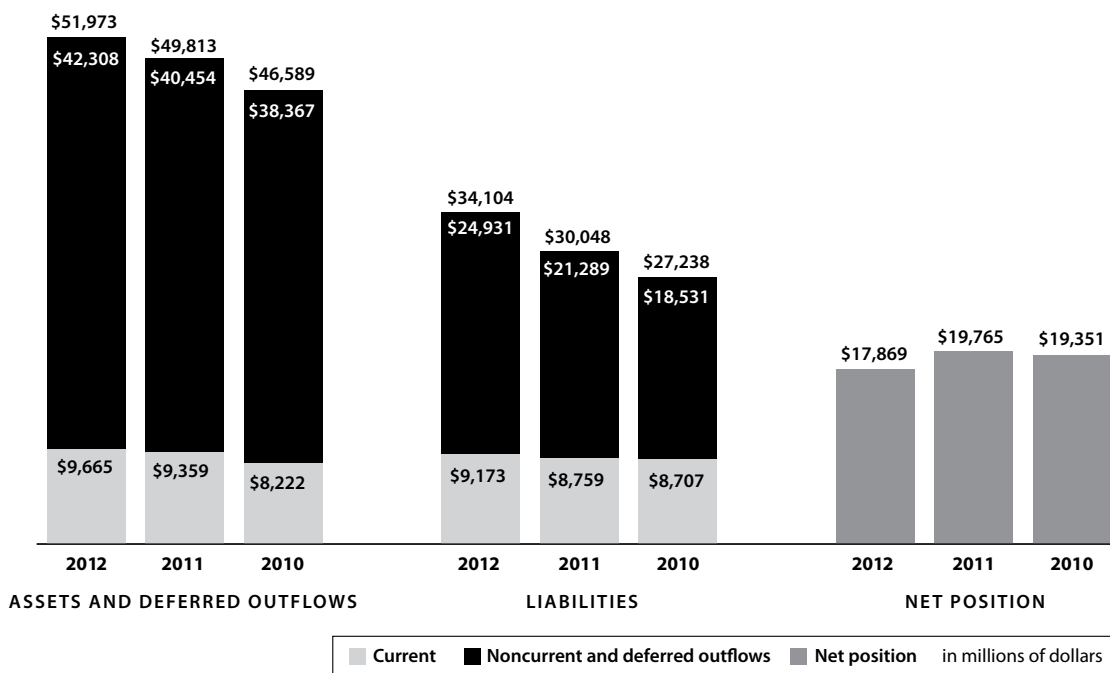
Law schools. The University has law schools at Berkeley, Davis, Irvine and Los Angeles. Also, the Hastings College of the Law in San Francisco is affiliated with the University, although not included in the financial reporting entity.

Agriculture and Natural Resources. The Division of Agriculture and Natural Resources is a statewide research and public service organization that serves a large and diverse agricultural community. The division conducts studies on the Berkeley, Davis and Riverside campuses, at nine research and extension centers and on private land in cooperation with California producers. In addition, research and educational programs are conducted in each of the state's 58 counties.

University Extension. The foremost continuing education program of its kind in size, scope and quality of instruction, University Extension offers almost 20,000 self-supporting courses statewide and in several foreign countries.

National laboratories. Under contract with the U.S. Department of Energy, the University operates and manages the Ernest Orlando Lawrence Berkeley National Laboratory (LBNL) in California. The University is a member in two separate joint ventures, Los Alamos National Security, LLC (LANS) and Lawrence Livermore National Security, LLC (LLNS), that operate and manage the Los Alamos National Laboratory (LANL) and Lawrence Livermore National Laboratory (LLNL), respectively, under contracts directly with the DOE. The laboratories conduct broad and diverse basic and applied research in nuclear science, energy production, national defense and environmental and health areas.

The University's Financial Position



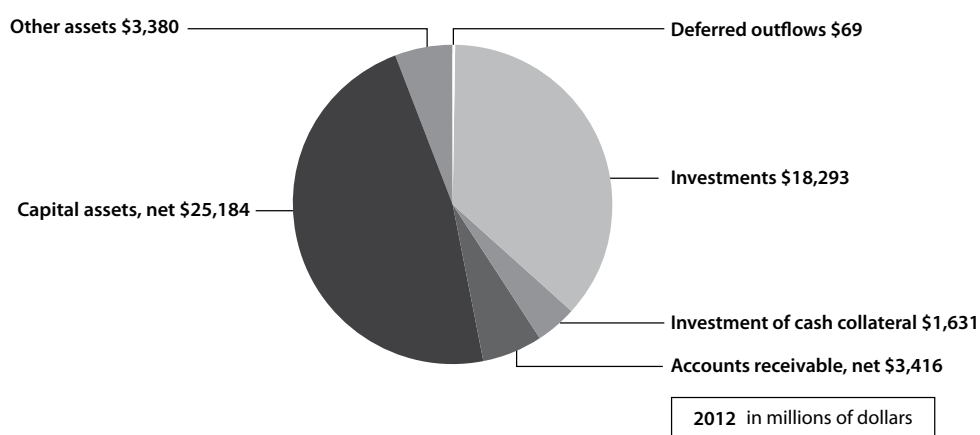
The statement of net position presents the financial position of the University at the end of each year. It displays all of the University's assets, deferred outflows and liabilities. The difference between assets, deferred outflows and liabilities is net position.

The major components of the assets, liabilities and net position as of 2012, 2011 and 2010 are as follows:

(in millions of dollars)

	2012	2011	2010
ASSETS			
Investments	\$18,293	\$18,259	\$15,953
Investment of cash collateral	1,631	2,043	2,538
Accounts receivable, net	3,416	2,990	3,043
Capital assets, net	25,184	23,710	22,463
Other assets	3,380	2,764	2,592
Total assets	51,904	49,766	46,589
DEFERRED OUTFLOW OF RESOURCES			
Deferred outflows from interest rate swap agreements	69	47	64
Total deferred outflow of resources	69	47	64
LIABILITIES			
Debt, including commercial paper	17,335	14,378	12,943
Securities lending collateral	1,631	2,043	2,539
Obligation to UCRP	1,919	1,725	1,608
Obligations for retiree health benefits	6,448	5,257	3,774
Other liabilities	6,771	6,645	6,374
Total liabilities	34,104	30,048	27,238
NET POSITION			
Invested in capital assets, net of related debt	11,360	11,162	10,794
Reserved for minority interests	47	31	19
Restricted:			
Nonexpendable	1,057	1,035	997
Expendable	5,505	5,944	5,024
Unrestricted	(100)	1,593	2,517
Total net position	\$17,869	\$19,765	\$19,351

The University's Assets and Deferred Outflows



The University's total assets have grown to \$51.9 billion in 2012, compared to \$49.8 billion in 2011 and \$46.6 billion in 2010. Generally, over the past two years, capital assets have increased while investments have fluctuated consistent with market performance.

Investments

Investments held by the University are principally carried in three investment pools, the Short Term Investment Pool (STIP), the Total Return Investment Pool (TRIP) and the General Endowment Pool (GEP). Cash for operations and bond proceeds for construction expenditures are invested in STIP. The University uses STIP to meet operational liquidity needs. TRIP allows participating campuses the opportunity to maximize the return on long-term capital by taking advantage of the economies of scale of investing in a large pool across a broad range of asset classes. TRIP is managed to a total return objective and is intended to supplement STIP. The GEP is a balanced portfolio and the primary investment vehicle for individual endowments and funds functioning as endowments.

The Regents of the University of California (The Regents) utilize asset allocation strategies that are intended to optimize investment returns over time in accordance with investment objectives and at acceptable levels of risk. The GEP portfolio return was a negative return of 0.7 percent in 2012 and a positive return of 20.2 percent in 2011. TRIP had positive returns of 6.7 percent in 2012 and 11.2 percent in 2011. STIP had positive returns of 2.4 percent and 2.5 percent in 2012 and 2011, respectively.

Investment of cash collateral

The University participates in a securities lending program incorporating securities owned by both the University and UCRS as a means to augment income. Cash collateral fluctuates in response to changes in demand from borrowers and the availability of securities based upon the University's asset allocation mix.

Capital assets, net

Capital spending continues at a brisk pace in order to provide the facilities necessary to support the University's teaching, research and public service mission and for patient care. These facilities include core academic buildings, libraries, student services, housing and auxiliary enterprises, health science centers, utility plants and infrastructure, and remote centers for educational outreach, research and public service. Total additions of capital assets were \$3.0 billion in 2012 as compared to \$2.7 billion in 2011 and \$2.5 billion in 2010.

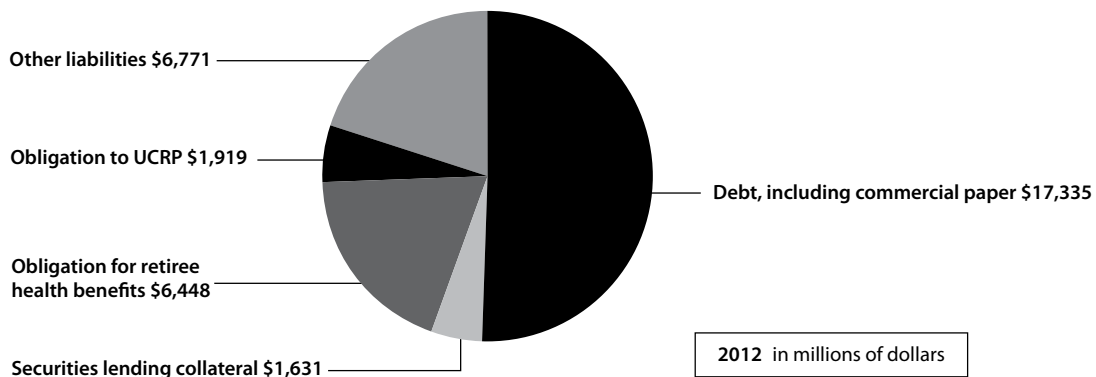
Other assets

Other assets include cash, investments held by trustees, pledge receivables, note and mortgage receivables, inventories and a receivable from the DOE.

Deferred outflows of resources

Changes in fair values of the University's interest rate swaps that are determined to be hedging derivatives are reported as deferred outflows of resources.

The University's Liabilities



The University's liabilities grew to \$34.1 billion in 2012, compared to \$30.0 billion in 2011 and \$27.2 billion in 2010, due to the issuance of additional debt and increases in the obligations for retiree pensions and health benefits.

Debt, including commercial paper

Capital assets are financed from a variety of sources, including University equity contributions, federal and state support, revenue bonds, bank loans, leases or structures that involve separate legal entities. Commercial paper and bank loans provide interim financing for capital assets during the construction period.

Outstanding debt increased by \$3.0 billion in 2012 and \$1.4 billion in 2011. A summary of the activity follows:

<i>(in millions of dollars)</i>		
	2012	2011
ADDITIONS TO OUTSTANDING DEBT		
General Revenue Bonds	\$2,460	\$ 396
Medical Center Pooled Revenue Bonds		757
Limited Project Revenue Bonds		682
Capital leases	427	40
Other borrowings	205	32
Blended Component Unit Revenue Bonds	110	
Commercial Paper	523	392
Bond premium, net	48	45
Additions to outstanding debt	3,773	2,344
REDUCTIONS TO OUTSTANDING DEBT		
Refinancing and prepayments	(277)	(413)
Scheduled principal payments	(444)	(370)
Payments on other borrowings	(71)	(86)
Other, including deferred financing costs, net	(24)	(40)
Reductions to outstanding debt	(816)	(909)
Net increase in outstanding debt	\$2,957	\$1,435

The University's debt, which is used to finance capital assets, includes \$1.3 billion of commercial paper outstanding at the end of 2012, \$800 million of commercial paper outstanding at the end of 2011 and \$408 million at the end of 2010. Total debt outstanding grew to \$17.3 billion at the end of 2012, compared to \$14.4 billion at the end of 2011 and \$12.9 billion at the end of 2010.

In 2012, \$3.8 billion of debt was issued. In July 2011, the University issued General Revenue Bonds totaling \$1.2 billion to finance pension contributions to UCRP and operating costs on an interim basis. Due to favorable interest rates, the University elected to issue taxable bonds for \$935 million to make additional contributions to UCRP. Funding additional UCRP contributions reduces the future growth of UCRP's unfunded liability and allows the University to lower future employer contributions. The University used \$263 million of tax-exempt bonds as an interim financing vehicle for operations. State appropriations of \$500 million due in the first quarter was deferred until the end of 2012. The University repaid the tax-exempt bonds of \$263 million on July 1, 2012.

In 2012, the University also issued General Revenue Bonds of \$1.3 billion to finance and refinance certain facilities and projects of the University. Reductions to outstanding debt in 2012 were \$816 million, including \$277 million for one-time principal payments for the refinancing or refunding of previously outstanding debt. The refinancing and refunding of previously outstanding debt resulted in gross savings of \$20.5 million.

In 2011, \$2.3 billion of debt was issued. General Revenue Bonds of \$396 million, Limited Project Revenue Bonds of \$682 million and Medical Center Pooled Revenue Bonds of \$757 million were issued to finance and refinance certain facilities and projects of the University. Reductions to outstanding debt in 2012 were \$909 million, including \$413 million for one-time principal payments for the refinancing or refunding of previously outstanding debt. The refinancing and refunding of previously outstanding debt resulted in gross savings of \$19.1 million.

The University's General Revenue Bond ratings are currently affirmed at Aa1 with a stable outlook by Moody's Investors Service, AA+ by Fitch with a stable outlook and AA by Standard & Poor's with a stable outlook. The University's Limited Project Revenue Bonds and Medical Center Pooled Revenue Bonds are currently affirmed at Aa2 with a stable outlook by Moody's Investors Service and AA- by Standard & Poor's with a stable outlook. The University's Limited Project Revenue Bonds are rated AA by Fitch.

Commercial paper borrowings increased by \$523 million at June 30, 2012 and increased by \$392 million at June 30, 2011. Commercial paper has been used as interim financing for construction projects and equipment financing. In 2012 and 2011, commercial paper was used for operations during the period the state deferred appropriation payments to the University. In February 2012, the University entered into a \$215 million revolving credit agreement with a major financial institution for the purpose of providing additional liquidity.

Securities lending collateral

Under the securities lending program, the University records a liability to the borrower for cash collateral received and held by the University for securities on loan at the end of the year. All borrowers are required to provide additional collateral by the next business day if the value of the collateral falls to less than 100 percent of the fair value of the securities lent. The amount of the securities lending collateral liability fluctuates directly with securities lending opportunities and the investment of cash collateral.

Obligations to UCRP and for retiree health benefits

The University has a financial responsibility for pension benefits associated with its defined benefit plan and for retiree health benefits. LBNL participates in the University's defined benefit pension plan, although the DOE has an ongoing financial responsibility to reimburse the University for LBNL's share of the obligation to UCRP. In addition, under certain circumstances the University makes contributions to UCRP for LANL and LLNL retirees and, based upon contractual arrangements with the DOE, is reimbursed by the DOE.

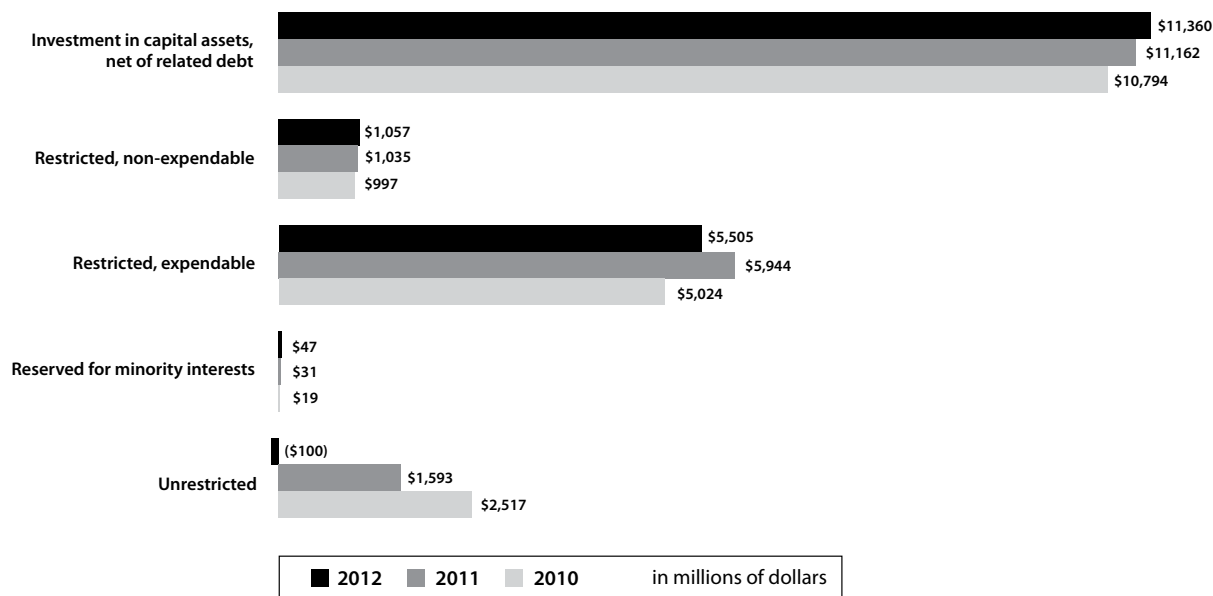
The University's obligation to UCRP represents the unfunded portion of the actuarial determined annual required contributions under the University's funding policy. The funding policy contributions for 2012 were \$2.2 billion, which represents 26.35 percent of covered compensation. The funding policy contributions for 2011 were \$1.9 billion, which represents 23.3 percent of covered compensation. Total contributions to UCRP for 2012 and 2011 were \$1.5 billion and \$1.4 billion, respectively.

The University's obligation for retiree health benefits is based upon an actuarial determination of the annual retiree health benefit expense. The University funds the retiree health expense through UCRHBT based upon a projection of benefits on a pay-as-you-go basis. The increase of \$1.2 billion and \$1.5 billion in both 2012 and 2011, respectively, in the obligation for retiree health benefits is due to the impact of amortizing the University's unfunded obligation. The unfunded liability for the campuses and medical centers as of the July 1, 2011 actuarial valuation was \$14.6 billion.

Other liabilities

Other liabilities consist of accounts payable, accrued salaries, other employee benefits, unearned revenue, funds held for others, DOE laboratories' liabilities, federal refundable loans, self-insurance and obligations under life income agreements.

The University's Net Position



Net position represents the residual interest in the University's assets and deferred outflows after all liabilities are deducted. The University's net position is \$17.8 billion in 2012, compared to \$19.8 billion in 2011 and \$19.4 billion in 2010. Net position is reported in the following categories: invested in capital assets, net of related debt; reserved for minority interests; restricted, nonexpendable; restricted, expendable; and unrestricted.

Invested in capital assets, net of related debt

The portion of net position invested in capital assets, net of accumulated depreciation and the related outstanding debt used to finance the acquisition, construction or improvement of these capital assets, is \$11.4 billion in 2012, compared to \$11.2 billion in 2011 and \$10.8 billion in 2010. The University continues to invest in its physical facilities.

Restricted, nonexpendable

Restricted, nonexpendable net position includes the corpus of the University's permanent endowments and the estimated fair value of certain planned giving arrangements. In 2012 and 2011, the increases in nonexpendable net position were principally due to investment performance in excess of the income distribution.

Restricted, expendable

Restricted, expendable net position is subject to externally imposed restrictions governing their use. Net position may be spent only in accordance with the restrictions placed upon them and may include endowment income and gains, subject to the University's spending policy; support received from gifts, appropriations or capital projects; trustee held investments; or other third-party receipts. The increases or decreases in restricted, expendable funds are principally due to unrealized appreciation or depreciation respectively in the fair value of investments related to restricted gifts and funds functioning as endowments.

Unrestricted

Under generally accepted accounting principles, net position that is not subject to externally imposed restrictions governing their use must be classified as unrestricted for financial reporting purposes. Although unrestricted net position is not subject to externally imposed restrictions, substantially all of the net position is allocated for academic and research initiatives or programs and for capital and other purposes. As of June 30, 2012, unrestricted net position is in a deficit position. The decreases in both 2012 and 2011 are due to pension plan funding requirements and increases in the obligation for retiree health benefits.

The University's Results of Operations

The statement of revenues, expenses and changes in net position is a presentation of the University's operating results. It indicates whether the financial condition has improved or deteriorated. In accordance with Governmental Accounting Standards Board (GASB) requirements, certain significant revenues relied upon and budgeted for fundamental operational support of the core instructional mission of the University are required to be recorded as nonoperating revenues, including state educational appropriations, private gifts and investment income. A summarized comparison of the operating results for 2012, 2011 and 2010, arranged in a format that matches the revenue supporting the core activities of the University with the expenses associated with core activities, is as follows:

(in millions of dollars)

	2012			2011			2010		
	OPERATING	NONOPERATING	TOTAL	OPERATING	NONOPERATING	TOTAL	OPERATING	NONOPERATING	TOTAL
REVENUES									
Student tuition and fees, net	\$ 3,237		\$ 3,237	\$ 2,811		\$ 2,811	\$ 2,401		\$ 2,401
State educational appropriations		\$1,964	1,964		\$2,651	2,651		\$ 2,782	2,782
Pell grants		359	359		352	352		298	298
Grants and contracts, net	5,240		5,240	5,249		5,249	4,939		4,939
Medical centers, educational activities and auxiliary enterprises, net	10,067	9	10,076	9,407	144	9,551	8,552		8,552
Department of Energy laboratories	1,014		1,014	977		977	910		910
Private gifts, net		805	805		816	816		794	794
Investment income, net		422	422		407	407		392	392
Other revenues	650	281	931	596	263	859	524	171	695
Revenues supporting core activities	20,208	3,840	24,048	19,040	4,633	23,673	17,326	4,437	21,763
EXPENSES									
Salaries and benefits	16,617		16,617	15,764		15,764	15,003		15,003
Scholarships and fellowships	599		599	597		597	531		531
Utilities	280		280	281		281	285		285
Supplies and materials	2,382		2,382	2,108		2,108	2,186		2,186
Depreciation and amortization	1,477		1,477	1,405		1,405	1,267		1,267
Department of Energy laboratories	1,008		1,008	970		970	904		904
Interest expense		632	632		572	572		460	460
Other expenses	3,051	93	3,144	3,029	68	3,097	2,752	31	2,783
Expenses associated with core activities	25,414	725	26,139	24,154	640	24,794	22,928	491	23,419
Income (loss) from core activities	\$ (5,206)	\$3,115	(2,091)	\$ (5,114)	\$3,993	(1,121)	\$ (5,602)	\$ 3,946	(1,656)
OTHER NONOPERATING ACTIVITIES									
Net appreciation (depreciation) in fair value of investments			(155)			1,082			771
Income before other changes in net assets			(2,246)			(39)			(885)
OTHER CHANGES IN NET POSITION									
State capital appropriations			140			190			160
Capital gifts and grants, net			198			247			189
Permanent endowments			12			16			11
Increase (decrease) in net position			(1,896)			414			(525)
NET POSITION									
Beginning of year			19,765			19,351			19,876
End of year			\$17,869			\$19,765			\$ 19,351

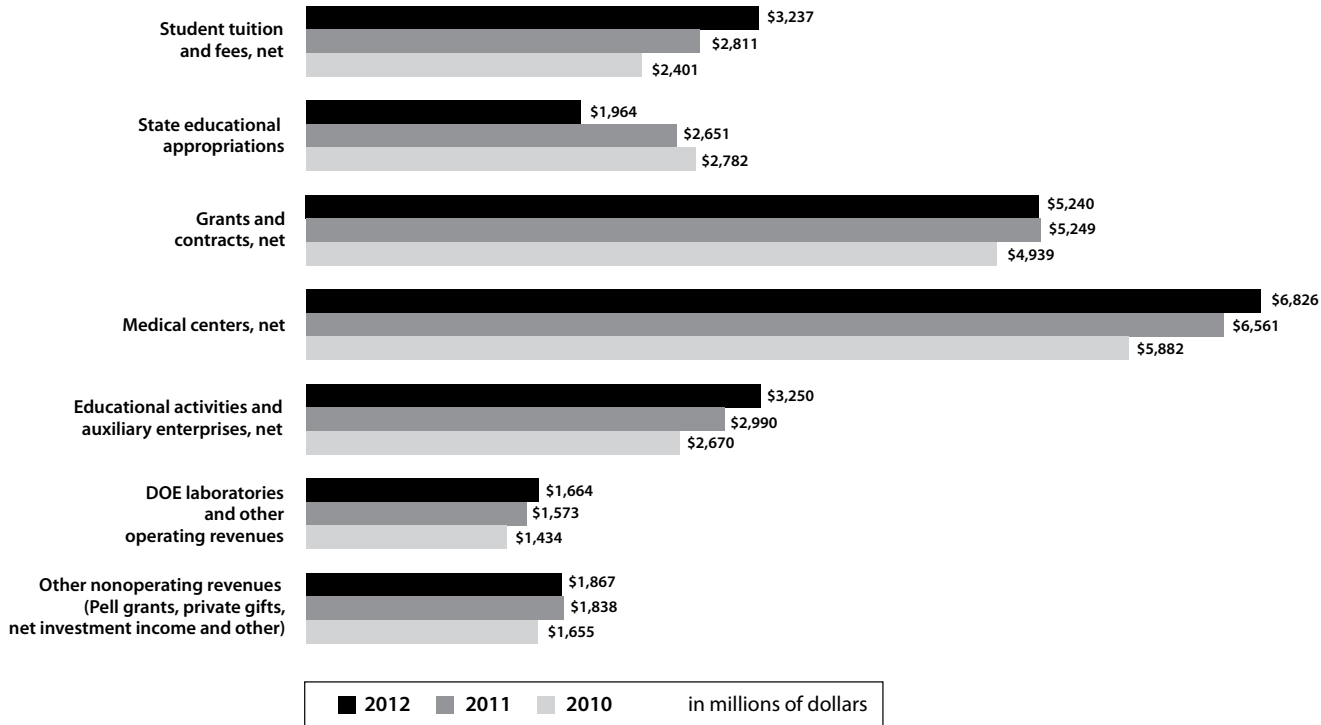
Revenues Supporting Core Activities

Revenues to support the University's core activities, including those classified as nonoperating revenues, were \$24.0 billion, \$23.7 billion and \$21.8 billion in 2012, 2011 and 2010, respectively. These diversified sources of revenue increased by \$0.3 billion in 2012 and by \$1.9 billion in 2011.

The state of California’s educational appropriations, in conjunction with student tuition and fees, are the core components that support the instructional mission of the University. Grants and contracts provide opportunities for undergraduate and graduate students to participate in basic research alongside some of the most prominent researchers in the country.

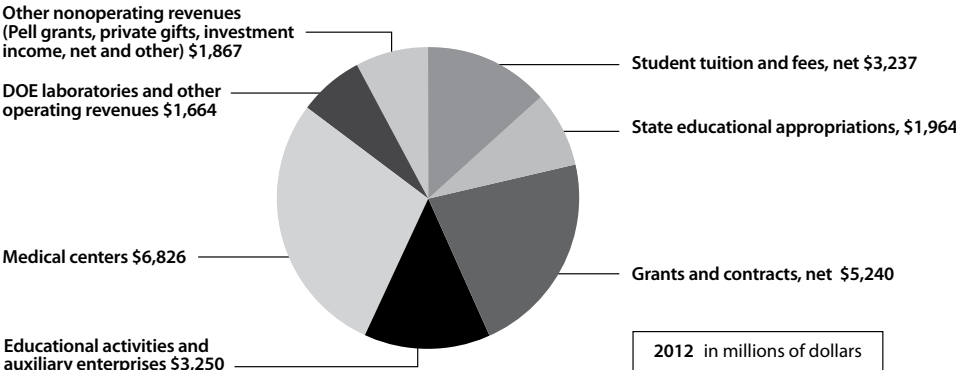
Gifts to the University allow crucial flexibility to faculty for support of their fundamental activities or new academic initiatives. Other significant revenues are from medical centers, educational activities and auxiliary enterprises such as student housing, food service operations and parking.

Revenues in the various categories have increased and decreased over the last three years as follows:



A major financial strength of the University includes a diverse source of revenues, including those from student fees, federally sponsored grants and contracts, medical centers, the state of California, private support and self-supporting enterprises. The variety of fund sources has become increasingly important over the past several years given the effects of the state’s financial crisis that required reductions in both instructional and non-instructional programs.

Categories of both operating and nonoperating revenue that supported the University’s core activities in 2012 are as follows:



Student tuition and fees, net

Net student tuition and fees were \$3,237 million, \$2,811 million and \$2,401 million in 2012, 2011 and 2010, respectively. Student tuition and fees, net of scholarship allowances, increased by \$426 million and \$410 million in 2012 and 2011, respectively. Scholarship allowances were \$979 million in 2012, \$830 million in 2011 and \$666 million in 2010. The increases in student tuition and fees over the past several years have been necessitated by the decline in state educational appropriations. Consistent with past practices, approximately one-third of the revenue generated from these fee increases was used for financial aid to mitigate the impact on low-income students.

In 2012 and 2011, enrollment grew by 0.9 percent and 1.1 percent, respectively. Mandatory tuition and fees for resident undergraduates were not changed in 2012. Mandatory tuition and fees for resident undergraduates were increased 8.0 percent and 15.0 percent effective summer 2011 and 2010, respectively. Additional mid-year increases in tuition of 9.6 percent effective fall 2011 and 15.0 percent effective winter 2010 were approved in response to reductions in state educational appropriations. Nonresident undergraduates and both resident and nonresident graduate students also experienced increases in mandatory tuition and fees. Professional degree supplemental tuition varies by discipline, although most programs increased supplemental tuition levels in 2012 and 2011.

State educational appropriations

Educational appropriations from the state of California were \$2.0 billion, \$2.7 billion and \$2.8 billion in 2012, 2011 and 2010, respectively. State educational appropriations decreased in 2012 by \$687 million and \$132 million in 2011 as the state continues to address its fiscal challenges and due to the expiration of federal stimulus programs. Federal stimulus funds of \$107 million and \$448 million were received in 2011 and 2010, respectively. State resources for enrollment growth, faculty and staff increases, and other inflationary cost increases were not available, leading to increases in student tuition and fees in 2011 and 2010.

Grants and contracts, net

Revenue from federal, state, private and local government grants and contracts — including an overall facilities and administration cost recovery of \$998 million, \$992 million and \$921 million in 2012, 2011 and 2010, respectively — were \$5,240 million in 2012, \$5,249 million in 2011 and \$4,939 million in 2010.

In 2012, federal grants and contracts revenue, including the federal facilities and administration cost recovery of \$749 million, were down slightly compared to 2011. This revenue is from a variety of federal agencies as indicated below:

(in millions of dollars)

	2012	2011	2010
Department of Health and Human Services	\$2,000	\$2,100	\$1,917
National Science Foundation	512	504	462
Department of Education	70	108	122
Department of Defense	253	235	227
National Aeronautics and Space Administration	97	96	90
Department of Energy (excluding national laboratories)	110	103	89
Other federal agencies	305	242	229
Federal grants and contracts net revenue	\$3,347	\$3,388	\$3,136

Medical centers, educational activities and auxiliary enterprises, net

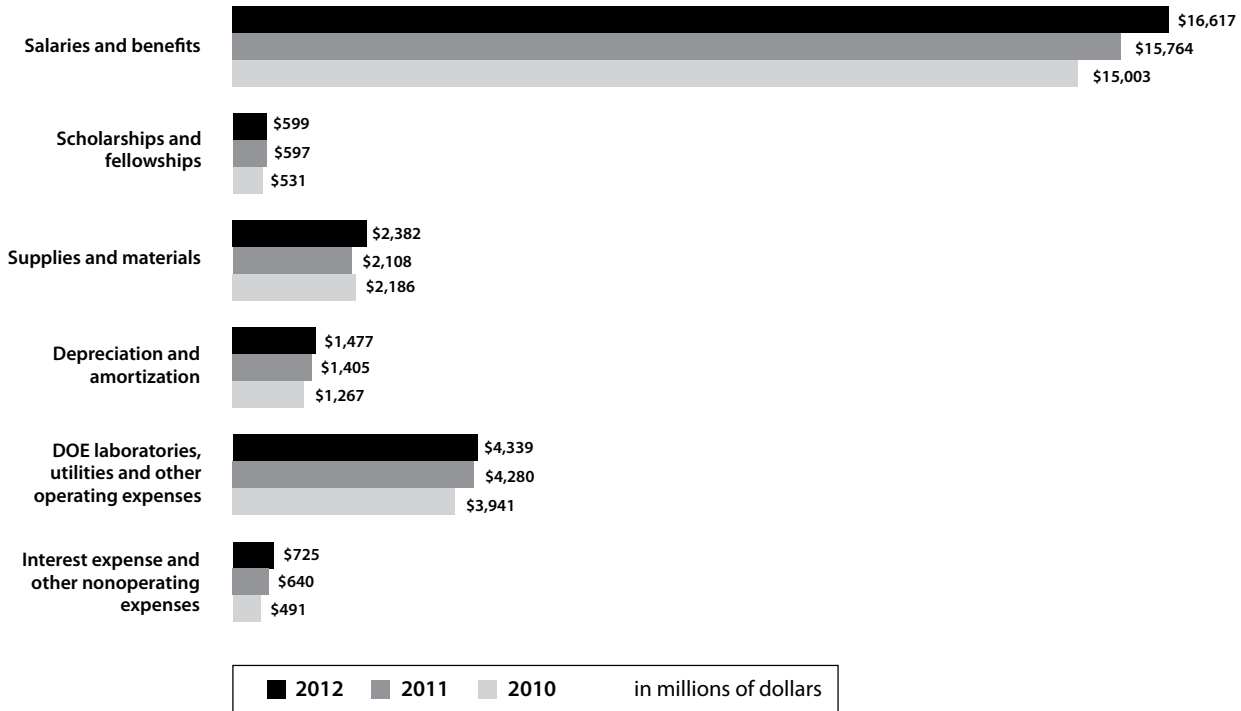
Medical center revenues, net of allowances, increased \$265 million and \$679 million in 2012 and 2011, respectively. The revenue growth in both years is primarily due to improved reimbursement rates from third-party payors and modest increases in outpatient volumes. During 2011, the medical centers received additional reimbursements related to the Federal Medicaid Assistance Percentage contained in the American Reinvestment and Recovery Act for poor and indigent patients.

Revenue from education activities, primarily physicians' professional fees, net of allowances, grew by \$156 million and \$310 million in 2012 and 2011, respectively. The growth is generally associated with an expanded patient base and higher rates from third-party payors.

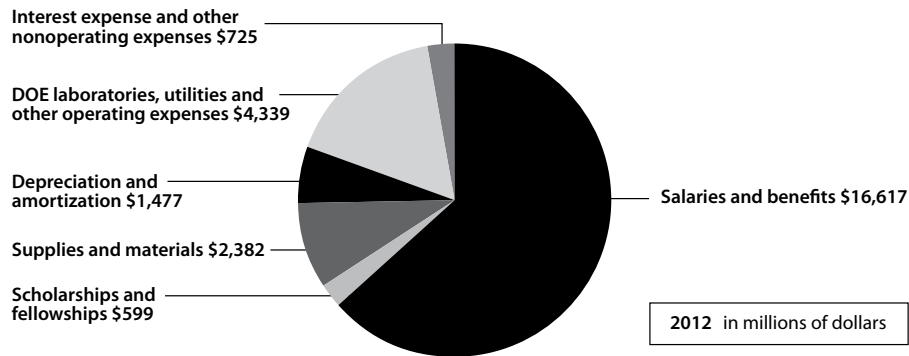
Expenses Associated with Core Activities

Expenses associated with the University’s core activities, including those classified as nonoperating expenses, were \$26.1 billion, \$24.8 billion and \$23.4 billion in 2012, 2011 and 2010, respectively. Expenses increased in 2012 by \$1.3 billion, primarily due to higher salaries and benefits and increased supplies and materials costs. Expenses increased in 2011 by \$1.4 billion, due to higher salaries and benefits.

Expenses in the various categories over the last three years are as follows:



Categories of both operating and nonoperating expenses related to the University’s core activities in 2012 are as follows:



Salaries and benefits

Over 63 percent of the University's expenses are related to salaries and benefits. There are over 137,500 full-time equivalent (FTE) employees in the University in 2012, excluding employees who are associated with LBNL whose salaries and benefits are included as laboratory expenses. The number of employees in 2012 increased 1.0 percent from 2011. In 2012, salaries and wages increased 1.0 percent due to an increase in the number of FTEs and 6.0 percent increase in the average salary per FTE. Benefits increased by 7.4 percent due to higher health insurance and workers' compensation costs. In 2011, salaries and wages increased 4.3 percent due to scheduled salary increases for academic and union-represented employees. Benefits increased by 6.5 percent due to higher health insurance costs.

Scholarships and fellowships

The University places a high priority on student financial aid as part of its commitment to affordability. Scholarships and fellowships, representing payments of financial aid made directly to students and reported as an operating expense, were flat as compared to 2011, and were higher by \$66 million in 2011 than in 2010, an increase of 12.4 percent. In addition, scholarship allowances, representing financial aid and fee waivers awarded by the University, are also forms of scholarship and fellowship costs that increased in 2012 by \$136 million, or 13.1 percent, to \$1.2 billion and increased in 2011 by 22.0 percent to \$1.0 billion. However, scholarship allowances are reported as an offset to revenue, not as an operating expense. On a combined basis, as the University continues its commitment to provide financial support for needy students, financial aid in all forms grew to \$1.8 billion in 2012 from \$1.6 billion in 2011 and \$1.4 billion in 2010, an increase of \$391 million over the past two years, or 28.3 percent.

Supplies and materials

During 2012, overall supplies and materials costs increased by \$274 million, or 13.0 percent, and decreased in 2011 by \$78 million, or 3.6 percent. In recent years, there has been inflationary pressure on the costs for medical supplies and laboratory instruments and higher costs for general supplies necessary to support expanded research activity and increased medical patient volumes. The University continues to find opportunities to manage the costs of supplies and materials in light of reduced state appropriations.

Other expenses

Other expenses consist of a variety of expense categories, including travel, rent, insurance, legal settlements and repairs and maintenance, plus any gain or loss on disposals of capital assets and other nonoperating expenses.

Operating Losses

In accordance with the GASB's reporting standards, operating losses were \$5.2 billion in 2012, \$5.1 billion in 2011 and \$5.6 billion in 2010. The operating loss in 2012 was partially offset by \$3.1 billion of net revenue that is required by the GASB to be classified as nonoperating, but clearly supports core operating activities of the University. Expenses associated with core activities in 2012 exceeded revenue available to support core activities by \$2.1 billion.

The operating loss in 2011 was partially offset by \$4.0 billion of net revenue that is required by the GASB to be classified as nonoperating, but clearly supports core operating activities of the University. Expenses associated with core activities in 2011 exceeded revenue available to support core activities by \$1.1 billion.

Other Nonoperating Activities

The University's other nonoperating activities, consisting of net appreciation or depreciation in the fair value of investments, are noncash transactions and, therefore, are not available to support operating expenses.

Net appreciation (depreciation) in fair value of investments

In 2012, the University recognized net depreciation in the fair value of investments of \$155 million compared to net appreciation of \$1.1 billion during 2011 and net appreciation of \$771 million during 2010. Equity markets showed strong performance in both 2011 and 2010.

Other Changes in Net Position

Similar to other nonoperating activities discussed above, other changes in net position are also not available to support the University's operating expenses in the current year. State capital appropriations and capital gifts and grants may only be used for the purchase or construction of the specified capital assets. Only income earned from gifts of permanent endowments is available in future years to support the specified program.

The University's enrollment growth requires new facilities, in addition to continuing needs for renewal, modernization and seismic correction of existing facilities. Capital appropriations from the state of California decreased by \$50 million in 2012, increased by \$30 million in 2011 and decreased by \$153 million in 2010. Capital appropriations are from bond measures approved by the California voters.

The University's Cash Flows

The statement of cash flows presents the significant sources and uses of cash. A summary comparison of cash flows for 2012, 2011 and 2010 is as follows:

(in millions of dollars)

	2012	2011	2010
Cash received from operations	\$ 18,878	\$ 17,966	\$ 16,160
Cash payments for operations	(21,736)	(19,955)	(17,703)
Net cash used by operating activities	(2,858)	(1,989)	(1,543)
Net cash provided by noncapital financing activities	4,878	3,922	3,225
Net cash provided by operating and noncapital financing activities	2,020	1,933	1,682
Net cash used by capital and related financing activities	(2,291)	(1,111)	(760)
Net cash provided (used) by investing activities	223	(789)	(1,262)
Net increase (decrease) in cash	(48)	33	(340)
Cash, beginning of year	181	148	488
Cash, end of year	\$ 133	\$ 181	\$ 148

Cash in demand deposit accounts is minimized by sweeping available cash balances into investment accounts on a daily basis. Due to the state's financial crisis, some payments to the University were deferred in 2012 and 2011. For 2012 and 2011, \$500 million due in the first quarter of 2012 was deferred until the end of the year.

Cash provided by operating and noncapital financing activities ranged between \$1.7 billion and \$2.0 billion over the last three years. In accordance with GASB requirements, certain cash flows relied upon for fundamental operational support of the core instruction mission of the University are reported as noncapital financing activities, including state educational appropriations, private gifts and grants, investment income and proceeds from debt and commercial paper issuance to finance pension contributions to UCRP and operating costs on an interim basis. As state appropriations decline and contribution rates for UCRP are increased to meet funding requirements, financing has been used by the University to fund pension contributions to UCRP and operations on an interim basis.

Net cash of \$2.3 billion, \$1.1 billion and \$0.8 billion was used in 2012, 2011 and 2010, respectively, for capital and related financing activities, primarily for purchases of capital assets and principal and interest payments, partially offset by sources that include new external financing, state and federal capital appropriations and gifts for capital purposes.

The year-to-year changes in cash provided (used) by investing activities is largely the result of the routine timing of investment purchases, sales and, to a lesser extent, investment income.

THE UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS

Separate foundations at each individual campus provide valuable assistance in fundraising, public outreach and other support for the missions of the campus and the University. Although independent boards govern each of these ten foundations, they are affiliated with, and their assets are dedicated for, the benefit of the University of California.

The Campus Foundations' Financial Position

The campus foundations' statement of net position presents their combined financial position at the end of the year. It displays all of the campus foundations' assets, liabilities and net position. The difference between assets and liabilities are net position, representing a measure of the current financial condition of the campus foundations.

The major components of the combined assets, liabilities and net position of the campus foundations at 2012, 2011 and 2010 are as follows:

<i>(in millions of dollars)</i>			
	2012	2011	2010
ASSETS			
Investments	\$5,161	\$5,152	\$4,037
Investment of cash collateral	65	125	182
Pledges receivable, net	641	554	387
Other assets	153	149	139
Total assets	6,020	5,980	4,745
LIABILITIES			
Securities lending collateral	65	125	182
Obligations under life income agreements	166	169	165
Other liabilities	254	276	214
Total liabilities	485	570	561
NET POSITION			
Restricted:			
Nonexpendable	2,586	2,441	2,107
Expendable	2,802	2,763	2,063
Unrestricted	147	206	14
Total net position	\$5,535	\$5,410	\$4,184

Investments remained flat in 2012 and increased by \$1.1 billion in 2011 due to recovery of the equity markets. The Board of Trustees for each campus foundation is responsible for its specific investment policy, although asset allocation guidelines are recommended to campus foundations by the Investment Committee of The Regents. The Boards of Trustees may determine that all or a portion of their investments will be managed by the University's Chief Investment Officer. The Chief Investment Officer managed \$1.1 billion, \$1.1 billion and \$1.0 billion of the campus foundations' investments at the end of 2012, 2011 and 2010, respectively.

Restricted, nonexpendable net position includes the corpus of the campus foundations' permanent endowments and the estimated fair value of certain planned giving arrangements. Restricted, expendable net position is subject to externally imposed restrictions governing their use. Net position may be spent only in accordance with the restrictions placed upon them and may include endowment income and investment gains, subject to each individual campus foundation's spending policy; support received from gifts; trustee held investments; or other third-party receipts. New gifts and net appreciation in the fair value of investments were the primary reasons for the changes in value in 2012 and 2011.

The Campus Foundations' Results of Operations

The campus foundations' combined statement of revenues, expenses and changes in net position is a presentation of their operating results for the year. It indicates whether their financial condition has improved or deteriorated during the year. A summarized comparison of the operating results for 2012, 2011 and 2010 is as follows:

(in millions of dollars)

	2012	2011	2010
OPERATING REVENUES			
Private gifts and other revenues	\$ 601	\$ 884	\$ 427
Total operating revenues	601	884	427
OPERATING EXPENSES			
Grants to campuses and other expenses	618	513	595
Total operating expenses	618	513	595
Operating income (loss)	(17)	371	(168)
NONOPERATING REVENUES (EXPENSES)			
Investment income	53	69	67
Net appreciation (depreciation) in fair value of investments	(95)	551	290
Other nonoperating revenues (expenses)	(9)	17	3
Income (loss) before other changes in net position	(68)	1,008	192
OTHER CHANGES IN NET POSITION			
Permanent endowments	193	218	162
Increase (decrease) in net position	125	1,226	354
NET POSITION			
Beginning of year	5,410	4,184	3,830
End of year	\$5,535	\$5,410	\$4,184

Operating revenues generally consist of current-use gifts, including pledges and income from other fundraising activities, although they do not include additions to permanent endowments and endowment income. Operating revenues fluctuate based upon fundraising campaigns conducted by the campus foundations during the year.

Operating expenses generally consist of grants to University campuses, comprised of current-use gifts and endowment income and other expenses, including gift fees. Grants to campuses typically follow the pattern indicated by private gift revenue; however, the campus' programmatic needs are also taken into consideration, subject to abiding by the restricted purposes of gifts to the endowment and the amounts available for grants in any particular year.

Grants to the campuses can only be made when the cash is received and, in addition, also include endowment investment income, classified as nonoperating income. Therefore, operating losses can occur when grants distributed to the campuses in any particular year exceed private gift revenue.

The Campus Foundations' Cash Flows

The campus foundations' combined statement of cash flows presents the significant sources and uses of cash and cash equivalents. A summary comparison of cash flows for 2012, 2011 and 2010 is as follows:

(in millions of dollars)

	2012	2011	2010
Net cash provided (used) by operating activities	\$(170)	\$ 51	\$(191)
Net cash provided by noncapital financing activities	174	187	141
Net cash used by investing activities	(7)	(232)	(35)
Net increase (decrease) in cash and cash equivalents	(3)	6	(85)
Cash and cash equivalents, beginning of year	104	98	183
Cash and cash equivalents, end of year	\$ 101	\$ 104	\$ 98

Cash payments for grants are an operating activity, but these payments also include investment income which is an investing activity. In addition, while the trend is for grants to campuses to coincide with contributions revenue, the timing may not always occur in the same year.

THE UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS)

UCRS is a valuable component of the comprehensive benefits package offered to employees of the University. UCRS consists of the University of California Retirement Plan (UCRP), a defined benefit plan for members; the University of California Retirement Savings Program (UCRSP) that includes four defined contribution plans (Defined Contribution Plan, Supplemental Defined Contribution Plan, 403(b) Plan and 457(b) Plan) to complement the defined benefit plan, with several investment portfolio options for participants' elective and non-elective contributions; and the California Public Employees Retirement System (PERS) Voluntary Early Retirement Incentive Plan (PERS-VERIP) for certain University employees that were members of PERS who elected early retirement.

UCRS' Financial Position and Result of Operations

The statement of plans' fiduciary net position presents the financial position of UCRS at the end of the fiscal year. It displays all of the retirement system's assets, liabilities and net position. The difference between assets and liabilities are the net position held in trust for pension benefits. These represent amounts available to provide pension benefits to members of UCRP and participants in the defined contribution plans and PERS-VERIP. At June 30, 2012, the UCRS' assets were \$67.9 billion, liabilities \$9.5 billion and net position held in trust for pension benefits \$58.4 billion, an increase of \$0.2 billion from 2011. Net position increased in 2011 by \$9.5 billion from 2010.

The major components of the assets, liabilities and net position available for pension benefits for 2012, 2011 and 2010 are as follows:

(in millions of dollars)

	2012	2011	2010
ASSETS			
Investments	\$54,409	\$54,218	\$45,856
Participants' interests in mutual funds	4,427	4,488	3,462
Investment of cash collateral	7,545	7,729	10,112
Other assets	1,565	648	449
Total assets	67,946	67,083	59,879
LIABILITIES			
Securities lending collateral	7,543	7,729	10,117
Other liabilities	1,938	1,138	1,076
Total liabilities	9,481	8,867	11,193
NET POSITION HELD IN TRUST FOR PENSION BENEFITS			
Members' defined benefit plan benefits	41,869	41,940	34,634
Participants' defined contribution plan benefits	16,597	16,276	14,052
Total net position held in trust for pension benefits	\$58,466	\$58,216	\$48,686

The statement of changes in the plans' fiduciary net position is a presentation of the UCRS' operating results. It indicates whether the financial condition has improved or deteriorated during the year. A summarized comparison of the operating results for 2012, 2011 and 2010 is as follows:

(in millions of dollars)

	2012	2011	2010
ADDITIONS (REDUCTIONS)			
Contributions	\$3,102	\$ 2,694	\$ 1,107
Net appreciation (depreciation) in fair value of investments	(978)	8,542	4,244
Investment and other income, net	1,292	1,320	1,192
Total additions (reductions)	3,416	12,556	6,543
DEDUCTIONS			
Benefit payments and participant withdrawals	3,125	2,987	2,618
Plan expenses	41	39	38
Total deductions	3,166	3,026	2,656
Increase in net position held in trust for pension benefits	\$ 250	\$ 9,530	\$3,887

The Regents utilize asset allocation strategies that are intended to optimize investment returns over time in accordance with investment objectives and at acceptable levels of risk. The overall investment gain based upon unit values for UCRS was 0.8 percent in 2012 compared to an investment gain of 20.5 percent in 2011 and 11.8 percent in 2010.

The participants' interests in mutual funds, representing defined contribution plan contributions to certain mutual funds on a custodial plan basis, fluctuate based upon market performance of the mutual funds and participant investment elections.

UCRS participates in the University's securities lending program as a means to augment income. All borrowers are required to provide collateral and the University records a liability to the borrower for cash collateral received and held by the University for securities on loan at the end of the year. Investments in cash collateral and the securities lending collateral liability fluctuate in response to changes in demand from borrowers and the availability of securities based upon the UCRS asset allocation mix.

Contributions to UCRP in 2012 and 2011 were \$2.1 and \$1.8 billion, respectively, due to increased employer and employee contribution rates and the additional deposits of \$935 million and \$1.1 billion made by the University to UCRP in 2012 and 2011, respectively.

Benefit payments and participant withdrawals were \$138 million more in 2012 than in 2011 and \$369 million more in 2011 than in 2010. Payments from UCRP increase each year due to a growing number of retirees receiving payments and cost-of-living adjustments. Benefit payments from UCRSP fluctuate based upon member withdrawals. At the beginning of 2012, there were 56,300 retirees and beneficiaries receiving payments from UCRS as compared to 54,000 at the beginning of 2011 and 51,500 at the beginning of 2010.

As of July 1, 2011, the date of the most recent actuarial report, UCRP's overall funded ratio was 82.5 percent compared to 86.7 percent as of July 1, 2010. The change in the funded status ratio for 2011 is attributable to updating the mortality tables to reflect longer expected lives of retirees and recognition of investment losses from previous years. The decline in the funded status for 2010 ratio is primarily attributable to the investment performance and the lack of employer and employee contributions prior to April 2011.

Additional information on the retirement plans can be obtained from the 2012 annual reports of the University of California Retirement System by writing to the University of California, Office of the President, Human Resources and Benefits, Post Office Box 24570, Oakland, California 94623.

THE UNIVERSITY OF CALIFORNIA RETIREE HEALTH BENEFIT TRUST (UCRHBT)

The UCRHBT was established on July 1, 2007 to allow certain University locations — primarily campuses and medical centers — that share the risks, rewards and costs of providing for retiree health benefits to fund such benefits on a cost-sharing basis and accumulate funds on a tax-exempt basis under an arrangement segregated from University assets. The University contributes toward retiree medical and dental benefits, although it does not contribute toward the cost of other benefits available to retirees. The DOE laboratories do not participate in the UCRHBT, therefore the DOE has no interest in the trust's assets.

UCRHBT's Financial Position and Result of Operations

The statement of trust's fiduciary net position presents the financial position of the UCRHBT at the end of the fiscal year. It displays all of the UCRHBT's assets, liabilities and net position. The difference between assets and liabilities are the net position held in trust for retiree health benefits. These represent amounts available to provide retiree health benefits to its participants.

The major components of the assets, liabilities and net position available for retiree health benefits for 2012, 2011 and 2010 are as follows:

(in millions of dollars)

	2012	2011	2010
ASSETS			
Investments	\$65	\$28	\$32
Other assets	30	46	39
Total assets	95	74	71
LIABILITIES			
Total liabilities	5	3	2
NET POSITION HELD IN TRUST FOR RETIREE HEALTH BENEFITS			
Total net position held in trust for retiree health benefits	\$90	\$71	\$69

The statement of changes in trust's fiduciary net position is a presentation of the UCRHBT's operating results. It indicates whether the financial condition has improved or deteriorated during the year. Summarized operating results for 2012, 2011 and 2010 are as follows:

(in millions of dollars)

	2012	2011	2010
ADDITIONS			
Contributions	\$330	\$288	\$254
Total additions	330	288	254
DEDUCTIONS			
Insurance premiums and payments	309	284	257
Plan expenses	3	2	2
Total deductions	312	286	259
Increase (decrease) in net position held in trust for retiree health benefits	\$ 18	\$ 2	\$ (5)

Contributions for retiree health benefits are made by the campuses and medical centers based upon projected pay-as-you-go financing. The University acts as a third-party administrative agent on behalf of the UCRHBT to pay health care insurers and administrators amounts currently due.

The retiree health benefits provided under the University's plan and any liabilities related to the future funding requirements for the retiree health benefits are reported by the University. The unfunded actuarial accrued liability for eligible participants as of July 1, 2011, the date of the latest actuarial valuation, was \$15.2 billion.

LOOKING FORWARD

The University of California is a world center of learning, known for generating a steady stream of talent, knowledge and social benefits, and has always been at the center of California's capacity to innovate. The excellence of its programs attracts the best students, leverages hundreds of millions of dollars in state, federal and private funding and promotes discovery of new knowledge that fuels economic growth.

The University's state-funded budget for 2013 is \$2.378 billion, up slightly from \$2.272 billion for 2012. In May, the governor identified a budget gap of \$15.7 billion for the state of California. The budget package adopted by the governor and the Legislature resolves about \$8 billion of the gap through budget cuts. The 2013 state budget assumes adoption of the governor's revenue raising initiative (The Schools and Local Public Safety Protection Act of 2012 - Attorney General reference number 12-0009) on the November ballot, which would address about \$5.6 billion of the gap. In the event that the governor's revenue raising initiative on the November ballot is not approved by the voters, the University's state-funded budget for 2013 will be reduced by \$250 million. In addition, the University would lose a \$125.4 million base budget adjustment in 2014 to cover the delayed buy-out of 2013 tuition. The total \$375.4 million budget reduction would lower the University's state-funded budget to \$2.128 billion, down \$1.129 billion from a high of \$3.257 billion in 2008. In addition to the above, over the course of 2013, the state will be deferring some payments to the University; \$500 million due in the first quarter of 2013 will be deferred until the end of 2013. Other deferrals are also possible.

The University remains highly competitive in attracting federal grants and contracts revenue, with fluctuations in the awards received closely paralleling trends in the budgets of federal research granting agencies. Over two-thirds of the University's federal research revenue comes from two agencies, the Department of Health and Human Services, primarily through the National Institutes of Health, and the National Science Foundation. Other agencies that figure prominently in the University's awards are the Department of Education, Department of Defense, the National Aeronautics and Space Administration and the Department of Energy. While the federal government works through its own financial constraints, there is a bipartisan effort underway to focus on innovation and competitiveness for the nation. In 2012, the University received approximately \$163 million in funding from federal economic stimulus funds made available by the American Recovery and Reinvestment Act (ARRA), representing approximately 4.8 percent of the University's federal grants and contract revenues in 2012. ARRA funds are expected to be fully expended by 2014. The University is a unique national resource for helping the nation address competitiveness and economic initiatives.

The University's private support is a testament to its distinction as a leader in philanthropy among the nation's colleges and universities and the high regard in which its alumni, corporations, foundations and other supporters hold the University. The level of private support underscores the continued confidence among donors in the quality of the University's programs and the importance of its mission. At the same time, private support in 2013 will likely continue to reflect the changes in the economy and financial markets, the effect of which is not determinable at this time.

Additional, affordable and accessible student housing continues to be required in order to satisfy demand. Most campus residence halls are occupied at design capacity. The University is responding to increased demand by building student housing in the traditional manner, with housing fees set to generate sufficient revenue to cover direct and indirect operating costs and debt service and by seeking development opportunities for privately owned housing on University campuses.

Currently, the University does not pre-fund retiree health benefits and provides for benefits on a pay-as-you-go basis. The unfunded liability for the campuses and medical centers as of the July 1, 2011 actuarial valuation was \$14.7 billion. The Regents approved a new eligibility formula for the Retiree Health Plan for all employees hired on or after July 1, 2013, and non-grandfathered members, that is based on a graduated formula using both a member's age and years of Retirement Plan service credit upon retirement, subject to collective bargaining for represented members.

UCRP costs are funded by a combination of investment earnings, employee member and employer contributions. The unfunded liability for the campuses and medical centers as of July 1, 2011 actuarial valuation was \$7.7 billion or 82.1 percent funded. As of July 1, 2012, the funded ratio is expected to decrease to approximately 78 percent. The total funding policy contributions in the July 1, 2011 actuarial valuations represent 26.4 percent of covered compensation. Member and employer contributions increased to 5 percent and 10 percent, respectively, of covered compensation in July 2012. The Regents approved increasing employee member and employer contributions to 6.5 percent and 12 percent, respectively, in July 2013. These contribution rates are below UCRP's total funding requirements. The Regents also approved a new tier of pension benefits applicable to employees hired on or after July 1, 2013, which would increase the early retirement age from 50 to 55, but retain many of the current features of UCRP. The new tier would not offer lump sum cash outs, inactive member Cost of Living

Adjustments (COLAs) or subsidized survivor annuities for spouses and domestic partners. These changes are subject to collective bargaining for union-represented employees.

In June 2012, the GASB issued Statement No. 68, Accounting and Financial Reporting for Pensions, effective for the University's fiscal year beginning July 1, 2014. This Statement revises existing standards for measuring and reporting pension liabilities for pension plans provided by the University to its employees. This Statement requires recognition of a liability equal to the net pension liability, which is measured as the total pension liability, less the amount of the pension plan's fiduciary net position. The total pension liability is determined based upon discounting projected benefit payments based on the benefit terms and legal agreements existing at the pension plan's fiscal year end. Projected benefit payments are required to be discounted using a single rate that reflects the expected rate of return on investments, to the extent that plan assets are available to pay benefits, and a tax-exempt, high-quality municipal bond rate when plan assets are not available. This Statement requires that most changes in the net pension liability be included in pension expense in the period of the change. As of June 30, 2012, the University reported an obligation to UCRP of \$1.9 billion, representing unfunded contributions to UCRP based upon the University's funding policy. Under GASB No. 68, the University's obligation to UCRP is expected to increase.

The University's medical centers have demonstrated very positive financial results, although they continue to face financial and competitive challenges in their regional markets, along with the added costs and responsibilities related to their function as academic institutions. The demand for health care services and the cost of providing them continue to increase significantly. In addition to the rising costs of salaries, benefits and medical supplies faced by hospitals across the state, along with the costs of maintaining and upgrading facilities, the University's medical centers also face additional costs associated with new technologies, biomedical research, the education and training of health care professionals and the care for a disproportionate share of the medically underserved in California. Other than Medicare and Medi-Cal (California's Medicaid program), health insurance payments do not recognize the added cost of teaching in their payment to academic medical centers. Over the last few years, Medicare margins have declined as a result of payment reductions. Changes to the Medi-Cal program will likely limit or reduce the rates of payment growth to the medical centers in future years. Also, as a result of state legislation, the medical centers face capital requirements to ensure that facilities can maintain uninterrupted operations following a major earthquake. While the state has provided additional capital to meet these requirements, the level of support provided will not cover the full cost to the University. Other sources of capital are required.

The continuing financial success of the medical centers is predicated on a multifaceted strategy, which includes competing in commercial markets and offering high quality regional services. Positive results in commercial contracts have helped address the lack of support for medical education and care for the poor. Further, the medical centers remain competitive in their respective markets by reducing costs through improved efficiencies, making strategic investments and by expanding their presence in the market through stronger links with other providers and payers. Payment strategies must recognize the need to maintain an operating margin sufficient to cover debt, provide working capital, purchase state-of-the-art equipment and invest in infrastructure and program expansion.

On March 23, 2010, the Patient Protection and Affordable Care Act (PPACA) was signed into law. On March 30, 2010 the Health Care and Education Reconciliation Act of 2011 was signed, amending the PPACA (collectively the "Affordable Care Act"). On June 29, 2012, the Supreme Court upheld the constitutionality of much of the Affordable Care Act. The Affordable Care Act addresses a broad range of topics affecting the health care industry, including a significant expansion of health care coverage. The coverage expansion is accomplished primarily through incentives for individuals to obtain and employers to provide health care coverage and an expansion in Medicaid eligibility. The Affordable Care Act also includes incentives for medical research and the use of electronic health records, changes designed to curb fraud, waste and abuse, and creates new agencies and demonstration projects to promote the innovation and efficiency in the health care delivery system. Some provisions of the health care reform legislation were effective immediately; others are being phased in through 2014. The medical centers will likely be affected by the coverage expansion provisions that go into effect in 2014, the effect of which is not determinable at this time.

The University must have a balanced array of many categories of facilities to meet its education, research and public service goals and continues to assess its long-term capital requirements. The support for the University's capital program will be provided from a combination of sources, including the state of California, external financing, gifts and other sources.

Additional budget information can be found at <http://universityofcalifornia.edu/news/budget/welcome.html>. Additional information concerning state budget matters and the state's financial condition may be found on the website of the state of California Department of Finance at <http://www.dof.ca.gov>.

Cautionary Note Regarding Forward-Looking Statements

Certain information provided by the University, including written as outlined above or oral statements made by its representatives, may contain forward-looking statements as defined in the Private Securities Litigation Reform Act of 1995. All statements, other than statements of historical facts, which address activities, events or developments that the University expects or anticipates will or may occur in the future contain forward-looking information.

In reviewing such information, it should be kept in mind that actual results may differ materially from those projected or suggested in such forward-looking information. This forward-looking information is based upon various factors and was derived using various assumptions. The University does not undertake to update forward-looking information contained in this report or elsewhere to reflect actual results, changes in assumptions or changes in other factors affecting such forward-looking information.

UNIVERSITY OF CALIFORNIA
STATEMENTS OF NET POSITION

At June 30, 2012 and 2011 (in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS	
	2012	2011	2012	2011
ASSETS				
Cash and cash equivalents	\$ 133,264	\$ 181,130	\$ 101,296	\$ 104,220
Short-term investments	3,557,341	3,710,307	334,818	362,884
Investment of cash collateral	1,388,262	1,603,647	55,863	103,194
Investments held by trustees	305,721	74,949		
Accounts receivable, net	3,416,380	2,989,589	23,062	20,273
Pledges receivable, net	48,829	54,101	141,644	133,562
Current portion of notes and mortgages receivable, net	34,827	32,359	10	10
Inventories	180,592	170,358		
Department of Energy receivable	367,112	360,962		
Other current assets	232,944	181,462	2,752	2,377
Current assets	9,665,272	9,358,864	659,445	726,520
Investments	14,735,057	14,548,358	4,826,399	4,788,985
Investment of cash collateral	242,914	439,616	9,260	22,038
Investments held by trustees	1,275,336	947,900		
Pledges receivable, net	59,981	68,371	499,490	420,338
Notes and mortgages receivable, net	316,509	315,554	1,394	978
Department of Energy receivable	184,996	147,349		
Capital assets, net	25,183,718	23,710,277		
Other noncurrent assets	239,624	229,260	24,608	21,632
Noncurrent assets	42,238,135	40,406,685	5,361,151	5,253,971
Total assets	51,903,407	49,765,549	6,020,596	5,980,491
DEFERRED OUTFLOW OF RESOURCES				
Deferred outflows from interest rate swap agreements	69,495	47,092		
Total deferred outflow of resources	69,495	47,092		
LIABILITIES				
Accounts payable	1,981,981	1,732,988	6,683	7,746
Accrued salaries	468,274	843,056		
Employee benefits	598,670	552,716		
Unearned revenue	874,163	889,573	25,188	45,228
Collateral held for securities lending	1,630,554	2,043,253	65,123	125,232
Commercial paper	1,322,810	799,810		
Current portion of long-term debt	923,635	529,038		
Funds held for others	262,984	258,437	196,734	205,110
Department of Energy laboratories' liabilities	46,505	121,919		
Other current liabilities	1,063,698	987,691	26,594	22,662
Current liabilities	9,173,274	8,758,481	320,322	405,978
Federal refundable loans	234,786	231,223		
Self-insurance	421,602	430,300		
Obligations under life income agreements	24,706	26,856	146,175	147,332
Long-term debt	15,088,502	13,048,873		
Obligation to UCRP	1,919,320	1,725,444		
Obligations for retiree health benefits	6,447,678	5,257,422		
Department of Energy laboratories' liabilities	283,104	115,164		
Other noncurrent liabilities	511,346	454,106	18,658	17,246
Noncurrent liabilities	24,931,044	21,289,388	164,833	164,578
Total liabilities	34,104,318	30,047,869	485,155	570,556
NET POSITION				
Invested in capital assets, net of related debt	11,359,688	11,161,810		
Reserved for minority interests	46,875	31,418		
Restricted:				
Nonexpendable:				
Endowments and gifts	1,057,187	1,034,662	2,586,490	2,440,564
Expendable:				
Endowments and gifts	5,066,296	5,320,752	2,801,855	2,763,020
Other, including debt service, loans, capital projects and appropriations	438,077	622,974		
Unrestricted	(99,539)	1,593,156	147,096	206,351
Total net position	\$17,868,584	\$ 19,764,772	\$5,535,441	\$ 5,409,935

See accompanying Notes to Financial Statements

STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION

Years ended June 30, 2012 and 2011 (in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS	
	2012	2011	2012	2011
OPERATING REVENUES				
Student tuition and fees, net	\$ 3,237,126	\$ 2,811,121		
Grants and contracts, net				
Federal	3,347,640	3,388,084		
State	546,831	528,543		
Private	1,118,132	1,121,146		
Local	227,686	211,321		
Medical centers, net	6,817,495	6,417,015		
Educational activities, net	2,028,495	1,872,008		
Auxiliary enterprises, net	1,221,157	1,117,970		
Department of Energy laboratories	1,014,199	976,294		
Campus foundation private gifts			\$ 596,242	\$ 880,889
Other operating revenues, net	649,577	596,261	4,513	3,585
Total operating revenues	20,208,338	19,039,763	600,755	884,474
OPERATING EXPENSES				
Salaries and wages	10,994,319	10,269,912		
UCRP benefits	1,885,003	1,681,138		
Retiree health benefits	1,498,962	1,754,620		
Other employee benefits	2,238,582	2,058,115		
Scholarships and fellowships	598,943	597,350		
Utilities	279,795	280,995		
Supplies and materials	2,381,963	2,107,881		
Depreciation and amortization	1,477,281	1,404,837		
Department of Energy laboratories	1,007,804	970,054		
Campus foundation grants			559,301	496,704
Other operating expenses	3,051,504	3,028,822	58,562	16,548
Total operating expenses	25,414,156	24,153,724	617,863	513,252
Operating income (loss)	(5,205,818)	(5,113,961)	(17,108)	371,222
NONOPERATING REVENUES (EXPENSES)				
State educational appropriations	1,963,578	2,650,545		
State financing appropriations	200,123	202,241		
State hospital fee grants	8,619	143,983		
Build America Bonds federal interest subsidies	65,095	52,216		
Federal Pell grants	359,408	352,469		
Private gifts, net	804,691	816,291		
Investment income:				
Short Term Investment Pool and other, net	308,972	286,935		
Endowment, net	103,158	107,760		
Securities lending, net	10,368	11,995	681	723
Campus foundations			52,501	68,574
Net (depreciation) appreciation in fair value of investments	(154,828)	1,082,277	(95,308)	550,849
Interest expense	(631,619)	(572,412)		
Loss on disposal of capital assets	(93,189)	(67,812)		
Other nonoperating revenues, net	16,030	7,743	(8,873)	16,608
Net nonoperating revenues	2,960,406	5,074,231	(50,999)	636,754
Income (loss) before other changes in net position	(2,245,412)	(39,730)	(68,107)	1,007,976
OTHER CHANGES IN NET POSITION				
State capital appropriations	139,629	190,009		
Capital gifts and grants, net	198,023	247,259		
Permanent endowments	11,572	16,155	193,613	218,309
Increase (decrease) in net position	(1,896,188)	413,693	125,506	1,226,285
NET POSITION				
Beginning of year	19,764,772	19,351,079	5,409,935	4,183,650
End of year	\$17,868,584	\$19,764,772	\$5,535,441	\$ 5,409,935

See accompanying Notes to Financial Statements

UNIVERSITY OF CALIFORNIA
STATEMENTS OF CASH FLOWS

Years ended June 30, 2012 and 2011 (in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS	
	2012	2011	2012	2011
CASH FLOWS FROM OPERATING ACTIVITIES				
Student tuition and fees	\$ 3,245,460	\$ 2,808,412		
Grants and contracts	5,134,107	5,358,454		
Medical centers	6,683,988	6,362,731		
Educational activities	1,974,070	1,855,650		
Auxiliary enterprises	1,224,355	1,123,930		
Collection of loans from students and employees	54,566	53,955		
Campus foundation private gifts			\$ 437,414	\$ 564,720
Payments to employees	(11,365,432)	(10,224,701)		
Payments to suppliers and utilities	(5,558,906)	(5,321,258)		
Payments for UCRP benefits	(1,537,354)	(1,441,054)		
Payments for retiree health benefits	(319,634)	(270,003)		
Payments for other employee benefits	(2,296,067)	(2,041,528)		
Payments for scholarships and fellowships	(599,433)	(598,578)		
Loans issued to students and employees	(58,928)	(57,482)		
Payments to campuses and beneficiaries			(561,344)	(509,573)
Other receipts (payments)	561,090	402,306	(46,453)	(4,284)
Net cash provided (used) by operating activities	(2,858,118)	(1,989,166)	(170,383)	50,863
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES				
State educational appropriations	1,971,482	2,650,619		
Federal Pell grants	363,851	351,664		
State hospital fee grants	8,619	143,983		
<i>Gifts received for other than capital purposes:</i>				
Private gifts for endowment purposes	12,677	11,516	171,082	185,894
Other private gifts	776,512	759,352		
Receipt of retiree health contributions from UCRP	33,794	26,254		
Payment of retiree health contributions to UCRHBT	(36,288)	(25,451)		
Receipts from UCRHBT	309,583	288,929		
Payments for retiree health benefits made on behalf of UCRHBT	(310,239)	(289,573)		
Student direct lending receipts	993,679	956,941		
Student direct lending payments	(993,677)	(956,941)		
Proceeds from debt issuance	1,200,000			
<i>Commercial paper financing:</i>				
Proceeds from issuance	740,530	19,888		
Payments of principal	(236,795)	(16,250)		
Interest paid on debt	(8,241)			
Other receipts	52,999	1,351	3,362	1,884
Net cash provided by noncapital financing activities	4,878,486	3,922,282	174,444	187,778
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES				
<i>Commercial paper financing:</i>				
Proceeds from issuance	130,265	590,431		
Payments of principal	(111,000)	(202,069)		
Interest paid	(1,419)	(1,472)		
State capital appropriations	180,389	131,114		
State financing appropriations	11,355	2,977		
Build America Bonds federal interest subsidies	63,843	50,763		
Capital gifts and grants	174,898	149,571		
Proceeds from debt issuance	1,765,934	1,984,722		
Proceeds from the sale of capital assets	1,306	3,962		
Proceeds from insurance recoveries		4,013		
Purchase of capital assets	(2,929,630)	(2,491,186)		
Refinancing or prepayment of outstanding debt	(276,893)	(412,875)		
Scheduled principal paid on debt and capital leases	(434,601)	(356,788)		
Interest paid on debt and capital leases	(857,923)	(564,890)		
Collateral paid under interest rate swap	(7,230)			
Net cash used by capital and related financing activities	(2,290,706)	(1,111,727)		

See accompanying Notes to Financial Statements

STATEMENTS OF CASH FLOWS *continued*

Years ended June 30, 2012 and 2011 (in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS	
	2012	2011	2012	2011
CASH FLOWS FROM INVESTING ACTIVITIES				
Proceeds from sales and maturities of investments	61,716,393	60,743,229	1,098,009	1,265,141
Purchase of investments	(61,914,030)	(61,938,621)	(1,157,991)	(1,566,293)
Investment income, net of investment expenses	420,109	406,783	52,997	69,250
Net cash provided (used) by investing activities	222,472	(788,609)	(6,985)	(231,902)
Net increase (decrease) in cash and cash equivalents	(47,866)	32,780	(2,924)	6,739
Cash and cash equivalents, beginning of year	181,130	148,350	104,220	97,481
Cash and cash equivalents, end of year	\$ 133,264	\$ 181,130	\$ 101,296	\$104,220
RECONCILIATION OF OPERATING LOSS TO NET CASH USED BY OPERATING ACTIVITIES				
Operating income (loss)	\$ (5,205,818)	\$ (5,113,961)	\$ (17,108)	\$ 371,222
<i>Adjustments to reconcile operating loss to net cash used by operating activities:</i>				
Depreciation and amortization expense	1,477,281	1,404,837		
Noncash gifts			(49,251)	(153,406)
Expense for doubtful accounts	322,978	279,131	(9,554)	45,511
<i>Change in assets and liabilities:</i>				
Investments			(825)	(793)
Accounts receivable	(637,346)	(442,201)	(180)	4,346
Pledges receivable			(77,766)	(212,569)
Investments held by trustees	(33,971)	(67,243)		
Inventories	(10,234)	175		
Other assets	(55,500)	(43,631)	(7,664)	(750)
Accounts payable	80,635	(89,398)	1,712	(93)
Accrued salaries	(374,782)	79,806		
Employee benefits	47,827	219,963		
Unearned revenue	(14,995)	(11,119)	1,367	2,360
Self-insurance	10,100	3,121		
Obligations to life beneficiaries			(8,319)	(10,543)
Obligation to UCRP	193,876	124,048		
Obligations for retiree health benefits	1,190,256	1,483,618		
Other liabilities	151,525	183,688	(2,795)	5,578
Net cash provided (used) by operating activities	\$ (2,858,118)	\$ (1,989,166)	\$ (170,383)	\$ 50,863
SUPPLEMENTAL NONCASH ACTIVITIES INFORMATION				
Capital assets acquired through capital leases	\$ 80,466	\$ 39,723		
Capital assets acquired with a liability at year-end	57,152	86,997		
Change in fair value of interest rate swaps classified as hedging derivatives	(22,404)	16,990		
Gifts of capital assets	58,152	78,364	\$ 145	\$ 105
Other noncash gifts	29,894	38,749	71,367	230,634
Proceeds from lease revenue bonds issued	337,250			
Debt service for, or refinancing of, lease revenue bonds				
Principal paid	(104,200)	(98,890)		
Interest paid	(119,648)	(114,892)		
Interest added to principal			905	373
Beneficial interest in charitable remainder trust			3,249	1,679

See accompanying Notes to Financial Statements

UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM AND RETIREE HEALTH BENEFIT TRUST
STATEMENTS OF PLANS' AND TRUST'S FIDUCIARY NET POSITION

At June 30, 2012 and 2011 (in thousands of dollars)

	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS)		UNIVERSITY OF CALIFORNIA RETIREE HEALTH BENEFIT TRUST (UCRHBT)		TOTAL UCRS AND UCRHBT	
	2012	2011	2012	2011	2012	2011
ASSETS						
Investments	\$ 54,408,678	\$ 54,218,018	\$ 65,053	\$ 27,795	\$ 54,473,731	\$ 54,245,813
Participants' interests in mutual funds	4,426,842	4,488,491			4,426,842	4,488,491
Investment of cash collateral	7,545,438	7,729,073			7,545,438	7,729,073
Participant 403(b) loans	146,055	139,424			146,055	139,424
Accounts receivable:						
Contributions from University and affiliates	355,045	301,070	4,196	20,782	359,241	321,852
Investment income	95,747	95,301		5	95,747	95,306
Securities sales and other	968,561	111,617	2,287	3,418	970,848	115,035
Prepaid insurance premiums			23,037	21,919	23,037	21,919
Total assets	67,946,366	67,082,994	94,573	73,919	68,040,939	67,156,913
LIABILITIES						
Payable to University			5,054	2,646	5,054	2,646
Payable for securities purchased	1,893,585	920,248			1,893,585	920,248
Member withdrawals, refunds and other payables	44,557	217,910			44,557	217,910
Collateral held for securities lending	7,542,664	7,729,038			7,542,664	7,729,038
Total liabilities	9,480,806	8,867,196	5,054	2,646	9,485,860	8,869,842
NET POSITION HELD IN TRUST						
Members' defined benefit plan benefits	41,868,728	41,940,183			41,868,728	41,940,183
Participants' defined contribution plan benefits	16,596,832	16,275,615			16,596,832	16,275,615
Retiree health benefits			89,519	71,273	89,519	71,273
Total net position held in trust	\$58,465,560	\$58,215,798	\$89,519	\$71,273	\$58,555,079	\$58,287,071

See accompanying Notes to Financial Statements

UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM AND RETIREE HEALTH BENEFIT TRUST
STATEMENTS OF CHANGES IN PLANS' AND TRUST'S FIDUCIARY NET POSITION

Years ended June 30, 2012 and 2011 (in thousands of dollars)

	UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS)		UNIVERSITY OF CALIFORNIA RETIREE HEALTH BENEFIT TRUST (UCRHBT)		TOTAL UCRS AND UCRHBT	
	2012	2011	2012	2011	2012	2011
ADDITIONS (REDUCTIONS)						
<i>Contributions:</i>						
Members and employees	\$ 1,243,918	\$ 1,010,260			\$ 1,243,918	\$ 1,010,260
Retirees			\$ 36,428	\$ 28,085	36,428	28,085
University	1,857,711	1,683,632	293,101	259,757	2,150,812	1,943,389
Total contributions	3,101,629	2,693,892	329,529	287,842	3,431,158	2,981,734
<i>Investment income (expense), net:</i>						
Net (depreciation) appreciation in fair value of investments	(977,490)	8,541,574			(977,490)	8,541,574
Interest, dividends and other investment income	1,235,940	1,267,034	14	84	1,235,954	1,267,118
Securities lending income	64,352	72,042			64,352	72,042
Securities lending fees and rebates	(12,093)	(22,770)			(12,093)	(22,770)
Total investment income, net	310,709	9,857,880	14	84	310,723	9,857,964
Interest income from contributions receivable	3,652	4,226			3,652	4,226
Total additions	3,415,990	12,555,998	329,543	287,926	3,745,533	12,843,924
DEDUCTIONS						
<i>Benefit payments:</i>						
Retirement payments	1,607,010	1,486,546			1,607,010	1,486,546
Member withdrawals	93,992	78,776			93,992	78,776
Cost-of-living adjustments	307,190	279,937			307,190	279,937
Lump sum cashouts	187,799	200,907			187,799	200,907
Preretirement survivor payments	38,545	35,931			38,545	35,931
Disability payments	35,189	35,298			35,189	35,298
Death payments	8,717	9,128			8,717	9,128
Participant withdrawals	846,375	860,562			846,375	860,562
Total benefit payments	3,124,817	2,987,085			3,124,817	2,987,085
<i>Insurance premiums:</i>						
Insured plans			259,393	234,204	259,393	234,204
Self-insured plans			30,500	28,781	30,500	28,781
Medicare Part B reimbursements			18,759	21,025	18,759	21,025
Total insurance premiums, net			308,652	284,010	308,652	284,010
<i>Expenses:</i>						
Plan administration	35,993	35,427	2,645	1,997	38,638	37,424
Other	5,418	4,097			5,418	4,097
Total expenses	41,411	39,524	2,645	1,997	44,056	41,521
Total deductions	3,166,228	3,026,609	311,297	286,007	3,477,525	3,312,616
Increase in net position held in trust	249,762	9,529,389	18,246	1,919	268,008	9,531,308
NET POSITION HELD IN TRUST						
Beginning of year	58,215,798	48,686,409	71,273	69,354	58,287,071	48,755,763
End of year	\$58,465,560	\$58,215,798	\$ 89,519	\$ 71,273	\$58,555,079	\$58,287,071

See accompanying Notes to Financial Statements

Notes to Financial Statements

Years ended June 30, 2012 and 2011

ORGANIZATION

The University of California (the University) was founded in 1868 as a public, state-supported institution. The California State Constitution provides that the University shall be a public trust administered by the corporation, “The Regents of the University of California,” which is vested with full powers of organization and government, subject only to such legislative control necessary to ensure the security of its funds and compliance with certain statutory and administrative requirements. The majority of the 26-member independent governing board (The Regents) is appointed by the governor and approved by the state Senate. Various University programs and capital outlay projects are funded through appropriations from the state’s annual Budget Act. The University’s financial statements are discretely presented in the state’s general purpose financial statements as a component unit.

FINANCIAL REPORTING ENTITY AND SIGNIFICANT ACCOUNTING POLICIES

Financial Reporting Entity

The University’s financial statements include the accounts of ten campuses, five medical centers, a statewide agricultural extension program and the operations of most student government or associated student organizations as part of the primary financial reporting entity because The Regents has certain fiduciary responsibility for these organizations. In addition, the financial position and operating results of certain other legally separate organizations are included in the University’s financial reporting entity on a blended basis if The Regents is determined to be financially accountable for the organization. Organizations that are not significant or financially accountable to the University, such as booster and alumni organizations are not included in the reporting entity. However, cash invested with the University by these organizations, along with the related liability, is included in the statement of net position. The statement of revenues, expenses and changes in net position excludes the activities associated with these organizations.

The University has ten legally separate, tax-exempt, affiliated campus foundations. The combined financial statements of the University of California campus foundations (campus foundations) are presented discretely in the University’s financial statements because of the nature and significance of their relationship with the University, including their ongoing financial support of the University. Campus foundations may invest all or a portion of their investments in University-managed investment pools. Securities in these investment pools are included in the University’s securities lending program. Accordingly, the campus foundations’ investments in University-managed investment pools and their allocated share of the securities lending activities have been excluded from the University’s financial statements and displayed in the campus foundations’ column.

Specific assets and liabilities and all revenues and expenses associated with the Lawrence Berkeley National Laboratory (LBNL), a major United States Department of Energy (DOE) national laboratory operated and managed by the University under contract directly with the DOE, are included in the financial statements.

The Regents has fiduciary responsibility for the University of California Retirement System (UCRS) that includes two defined benefit plans, the University of California Retirement Plan (UCRP) and the University of California Public Employees' Retirement System (PERS) Voluntary Early Retirement Incentive Plan (PERS-VERIP), and four defined contribution plans in the University of California Retirement Savings Program (UCRSP), consisting of the Defined Contribution Plan (DC Plan), the Supplemental Defined Contribution Plan (SDC Plan), the Tax-Deferred 403(b) Plan (403(b) Plan) and the 457(b) Deferred Compensation Plan (457(b) Plan). As a result, the UCRS statements of plans' fiduciary net position and changes in plans' fiduciary net position are shown separately in the University's financial statements.

The Regents also has fiduciary responsibility for the University of California Retiree Health Benefit Trust (UCRHBT). The UCRHBT statements of trust's fiduciary net position and changes in trust's fiduciary net position are shown separately in the University's financial statements. UCRHBT allows certain University locations and affiliates, primarily campuses and medical centers that share the risks, rewards and costs of providing for retiree health benefits, to fund such benefits on a cost-sharing basis and accumulate funds on a tax-exempt basis under an arrangement segregated from University assets. The Regents serves as Trustee of UCRHBT and has the authority to amend or terminate the Trust.

Significant Accounting Policies

The financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America, using the economic resources measurement focus and the accrual basis of accounting. The University follows accounting principles issued by the Governmental Accounting Standards Board (GASB).

In November 2010, the GASB issued Statement No. 61, *The Financial Reporting Entity: Omnibus*, effective for the University's fiscal year beginning July 1, 2011. This Statement modifies the existing requirements for the assessment of component units that should be included in the financial statements of the University. Implementation of Statement No. 61 had no effect on the University's net position or changes in net position for the years ended June 30, 2012 and 2011.

In June 2011, the GASB issued Statement No. 63, *Financial Reporting of Deferred Outflows of Resources, Deferred Inflows of Resources, and Net Position*, effective for the University's fiscal year beginning July 1, 2012; however, the University early adopted this Statement effective for the fiscal year beginning July 1, 2011. This Statement modifies the presentation of deferred inflows and deferred outflows in the financial statements. The effect of the changes from the implementation of Statement No. 63 on the University's financial statements for the year ended June 30, 2011 was as follows:

(in thousands of dollars)

	2011		2011
	Previously Issued	Change	As Revised
Other noncurrent assets	\$ 276,352	\$ (47,092)	\$ 229,260
Noncurrent assets	40,453,777	(47,092)	40,406,685
Total assets	\$ 49,812,641	(47,092)	49,765,549
DEFERRED OUTFLOW OF RESOURCES			
Deferred outflows from interest rate swap agreements		47,092	47,092
Total deferred outflow of resources		\$ 47,092	\$ 47,092

The adoption of Statement No. 63 did not result in any adjustments to the financial statements for the campus foundations, UCRS or UCRHBT.

In June 2011, the GASB issued Statement No. 64, *Derivative Instruments: Application of Hedge Accounting Termination Provisions*, effective for the University's fiscal year beginning July 1, 2012; however, the University early adopted this Statement effective for the fiscal year beginning July 1, 2011. This Statement clarifies the existing requirements for the termination of hedge accounting. Implementation of Statement No. 64 had no effect on the University's net position or changes in net position for the years ended June 30, 2012 and 2011.

The significant accounting policies of the University are as follows:

Cash and cash equivalents. The University and campus foundations consider all balances in demand deposit accounts to be cash. The University classifies all other highly liquid cash equivalents as short-term investments. Certain campus foundations classify their deposits in the University's Short Term Investment Pool as a cash equivalent.

Investments. Investments are recorded at fair value. Securities are generally valued at the last sale price on the last business day of the fiscal year, as quoted on a recognized exchange or utilizing an industry standard pricing service, when available. Securities for which no sale was reported as of the close of the last business day of the fiscal year are valued at the quoted bid price of a dealer who regularly trades in the security being valued. Certain securities may be valued on a basis of a price provided by a single source.

As a result of inactive or illiquid markets, certain investments in non-agency mortgage-backed fixed-income securities are valued on the basis of their estimated future principal and interest payments using appropriate risk-adjusted discount rates. The University believes this approximates the fair value of these investments.

Investments also include private equities, absolute return funds, real estate, real asset and certain corporate asset-backed securities. Private equities include venture capital partnerships, buyout and international funds. Interests in private equity and real estate partnerships are based upon valuations provided by the general partners of the respective partnerships as of March 31, adjusted for cash receipts, cash disbursements and securities distributions through June 30. Investments in absolute return partnerships are valued as of May 31, adjusted for cash receipts and cash disbursements through June 30. Interests in certain direct investments in real estate are estimated based upon independent appraisals. The University believes the carrying amount of these financial instruments and real estate is a reasonable estimate of fair value at June 30. Because the private equity, real estate and absolute return partnerships, along with direct investments in real estate, are not readily marketable, their estimated value is subject to uncertainty and, therefore, may differ significantly from the value that would be used had a ready market for such investments existed.

Deposits with the state of California are valued at contract value, which the University believes approximates fair value.

Investments in registered investment companies are valued based upon the reported net asset value of those companies. Mortgage loans, held as investments, are valued on the basis of their future principal and interest payments, and are discounted at prevailing interest rates for similar instruments. Insurance contracts are valued at contract value, plus reinvested interest, which approximates fair value. Estimates of the fair value of interests in externally held irrevocable trusts where the University is the beneficiary of either the income or the remainder that will not become a permanent endowment upon distribution to the University are based upon the present value of the expected future income or, if available, the University's proportional interest in the fair value of the trust assets.

Investments denominated in foreign currencies are translated into U.S. dollar equivalents using year-end spot foreign currency exchange rates. Purchases and sales of investments and their related income are translated at the rate of exchange on the respective transaction dates. Realized and unrealized gains and losses resulting from foreign currency changes are included in the University's statement of revenues, expenses and changes in net position.

Investment transactions are recorded on the date the securities are purchased or sold (trade date). Realized gains or losses are recorded as the difference between the proceeds from the sale and the average cost of the investment sold. Dividend income is recorded on the ex-dividend date and interest income is accrued as earned. Gifts of securities are recorded based on fair value at the date of donation.

Derivative financial instruments. Derivative instruments are recorded at fair value. Futures contracts, foreign currency exchange contracts, stock rights and warrants, options and swaptions are valued at the last sales price on the last day of the fiscal year, as quoted on a recognized exchange or utilizing an industry standard pricing service, when available. Financial institutions or independent advisors have estimated the fair value of the interest rate swaps and total return swaps using quoted market prices when available or discounted expected future net cash flows.

The University has entered into interest rate swap agreements to limit the exposure of its variable-rate debt to changes in market interest rates. Interest rate swap agreements involve the exchange with a counterparty of fixed- and variable-rate interest payments periodically over the life of the agreement without exchange of the underlying notional principal amounts. The net differential to be paid or received is recognized over the life of the agreements as an adjustment to interest expense. The University's counterparties are major financial institutions.

Derivatives are recorded at fair value as either assets or liabilities in the statement of net position. Certain derivatives are determined to be hedging derivatives and designated as either a fair value or cash flow hedge. Under hedge accounting, changes in the fair value of hedging derivatives are considered to be deferred inflows (for hedging derivatives with positive fair values) or deferred outflows (for hedging derivatives with negative fair values).

Changes in the fair value of derivatives that are not hedging derivatives are recorded as net appreciation or depreciation of investments in the statement of revenues, expenses and changes in net position.

Participants' interests in mutual funds. Participants in the University's defined contribution retirement plans may invest their account balances in funds managed by the University's Chief Investment Officer or in certain mutual funds.

Accounts receivable, net. Accounts receivable, net of allowance for uncollectible amounts, include reimbursements due from state and federal sponsors of externally funded research, patient billings, accrued income on investments and other receivables. Other receivables include local government and private grants and contracts, educational activities and amounts due from students, employees and faculty for services.

Pledges receivable, net. Unconditional pledges of private gifts to the University or to the campus foundations in the future, net of allowance for uncollectible amounts, are recorded as pledges receivable and revenue in the year promised at the present value of expected cash flows. Conditional pledges, including all pledges of endowments and intentions to pledge, are recognized as receivables and revenues when the specified conditions are met.

Notes and mortgages receivable, net. Loans to students, net of allowance for uncollectible amounts, are provided from federal student loan programs and from other University sources. Home mortgage loans, primarily to faculty, are provided from the University's Short Term Investment Pool and from other University sources. Mortgage loans provided by the Short Term Investment Pool are classified as investments and loans provided by other sources are classified as mortgages receivable in the statement of net position.

Inventories. Inventories, consisting primarily of supplies and merchandise for resale, are valued at cost, typically determined under the weighted average method, which is not in excess of net realizable value.

DOE national laboratories. The University operates and manages LBNL under a contract directly with the DOE. Specific assets and liabilities and all revenues and expenses associated with LBNL are included in the financial statements. Other assets, such as cash, property and equipment and other liabilities of LBNL are owned by the United States government rather than the University and, therefore, are not included in the statement of net position. The statement of cash flows excludes the cash flows associated with LBNL other than reimbursements, primarily related to pension and health benefits, since all other cash transactions are recorded in bank accounts owned by the DOE.

The University is a member in two separate joint ventures, Los Alamos National Security, LLC (LANS), and Lawrence Livermore National Security, LLC (LLNS), that operate and manage two other DOE laboratories, Los Alamos National Laboratory (LANL) and Lawrence Livermore National Laboratory (LLNL), respectively, under contracts directly with the DOE. The University's investment in LANS and LLNS is accounted for using the equity method. Accordingly, the University's statement of net position includes its equity interest in LANS and LLNS, adjusted for the equity in undistributed earnings or losses and the statement of revenues, expenses and changes in net position includes its equity in the current earnings or losses of LANS and LLNS.

The DOE is financially responsible for substantially all of the current and future costs incurred at any of the national laboratories, including pension and retiree health benefit costs. Accordingly, to the extent there is a liability on the University's statement of net position for pension or retiree health obligations related to these laboratories, the University records a receivable from the DOE. The University's statement of cash flows includes the cash flows related to DOE reimbursements for pension and/or health benefits attributable to any of these laboratories.

Capital assets, net. Land, infrastructure, buildings and improvements, intangible assets, equipment, libraries, collections and special collections are recorded at cost at the date of acquisition, or estimated fair value at the date of donation in the case of gifts. Estimates of fair value involve assumptions and estimation methods that are uncertain and, therefore, the estimates could differ from actual value. Intangible assets include easements, land rights, trademarks, patents and other similar arrangements. Capital leases are recorded at the present value of future minimum lease payments. Significant additions, replacements, major repairs and renovations to infrastructure and buildings are generally capitalized if the cost exceeds \$35,000 and if they have a useful life of more than one year. Minor renovations are charged to operations. Equipment with a cost in excess of \$5,000 and a useful life of more than one year is capitalized. All costs of land, library collections and special collections are capitalized.

Depreciation is calculated using the straight-line method over the estimated economic life of the asset. Equipment under capital leases is amortized over the estimated useful life of the equipment. Leasehold improvements are amortized using the straight-line method over the shorter of the life of the applicable lease or the economic life of the asset.

Estimated economic lives are generally as follows:

	YEARS
Infrastructure	25
Buildings and improvements	15–33
Equipment	2–20
Computer software	3–7
Intangible assets	2 – indefinite
Library books and collections	15

Capital assets acquired through federal grants and contracts where the federal government retains a reversionary interest are also capitalized and depreciated.

Inexhaustible capital assets, such as land or special collections that are protected, preserved and held for public exhibition, education or research, including art, museum, scientific and rare book collections, are not depreciated.

Interest on borrowings to finance facilities is capitalized during construction, net of any investment income earned on tax-exempt borrowings during the temporary investment of project related borrowings.

Unearned revenue. Unearned revenue primarily includes amounts received from grant and contract sponsors that have not been earned under the terms of the agreement and other revenue billed in advance of the event, such as student tuition and fees and fees for housing and dining services.

Funds held for others. Funds held for others result from the University or the campus foundations acting as an agent, or fiduciary, on behalf of organizations that are not significant or financially accountable to the University or campus foundations.

Federal refundable loans. Certain loans to students are administered by the University with funding primarily supported by the federal government. The University's statement of net position includes both the notes receivable and the related federal refundable loan liability representing federal capital contributions owed upon termination of the program.

Self-insurance programs. The University is self-insured for medical malpractice, workers' compensation, employee health care and general liability claims. These risks are subject to various claim and aggregate limits, with excess liability coverage provided by an independent insurer. Liabilities are recorded when it is probable a loss has occurred and the amount of the loss can be reasonably estimated. These losses include an estimate for claims that have been incurred, but not reported. The estimated liabilities are based upon an independent actuarial determination of the present value of the anticipated future payments.

Obligations under life income agreements. Obligations under life income agreements represent trusts with living income beneficiaries where the University has a residual interest. The investments associated with these agreements are recorded at fair value. The discounted present value of any income beneficiary interest is reported as a liability in the statement of net position. Gifts subject to such agreements are recorded as revenue, net of the income beneficiary share, at the date of the gift. Actuarial gains and losses are included in other nonoperating income (expense) in the statement of revenues, expenses and changes in net position. Resources that are expendable upon maturity are classified as restricted, expendable net position; all others are classified as restricted, nonexpendable net position.

Pollution remediation obligations. Upon an obligating event, the University estimates the components of any expected pollution remediation costs and recoveries from third parties. The costs, estimated using the expected cash flow technique, are accrued as a liability. Pollution remediation liabilities generally involve groundwater, soil and sediment contamination at certain sites where state and other regulatory agencies have indicated that the University is among the responsible parties. The liabilities are revalued annually and may increase or decrease the cost of recovery from third parties, if any, as a result of additional information that refines the estimates, or from payments made from revenue sources that support the activity. There were no expected recoveries at June 30, 2012 and 2011 reducing the pollution remediation liability.

Net position. Net position is required to be classified for accounting and reporting purposes into the following categories:

Invested in capital assets, net of related debt. This category includes all of the University's capital assets, net of accumulated depreciation, reduced by outstanding debt attributable to the acquisition, construction or improvement of those assets.

Reserved for minority interests. This category includes the net position of legally separate organizations attributable to other participants.

Restricted. The University and campus foundations classify the net position resulting from transactions with purpose restrictions as restricted net position until the specific resources are used for the required purpose or for as long as the provider requires the resources to remain intact.

Nonexpendable. The net position subject to externally-imposed restrictions, which must be retained in perpetuity by the University or the campus foundations, is classified as nonexpendable net position. This includes the University and campus foundation permanent endowment funds.

Expendable. The net position whose use by the University or the campus foundations is subject to externally-imposed restrictions that can be fulfilled by actions of the University or campus foundations pursuant to those restrictions or that expire by the passage of time are classified as expendable net position.

Unrestricted. The net position that is neither reserved, restricted nor invested in capital assets, net of related debt, are classified as unrestricted net position. The University's unrestricted net position may be designated for specific purposes by management or The Regents. The campus foundations' unrestricted net position may be designated for specific purposes by their Boards of Trustees. Substantially all of the University's unrestricted net position is allocated for academic and research initiatives or programs, for capital programs or for other purposes.

Expenses are charged to either restricted or unrestricted net position based upon a variety of factors, including consideration of prior and future revenue sources, the type of expense incurred, the University's budgetary policies surrounding the various revenue sources or whether the expense is a recurring cost.

Revenues and expenses. Operating revenues of the University include receipts from student tuition and fees, grants and contracts for specific operating activities and sales and services from medical centers, educational activities and auxiliary enterprises. Operating expenses incurred in conducting the programs and services of the University are presented in the statement of revenues, expenses and changes in net position as operating activities. The University's equity in current earnings or losses of LANS and LLNS is also an operating transaction.

Certain significant revenues relied upon and budgeted for fundamental operational support of the core instructional mission of the University are mandated by the GASB to be recorded as nonoperating revenues, including state educational appropriations, certain federal grants for student financial aid, private gifts and investment income, since the GASB does not consider them to be related to the principal operating activities of the University.

Campus foundations are established to financially support the University. Private gifts to campus foundations are recognized as operating revenues since, in contrast to the University, such contributions are fundamental to the core mission of the campus foundations. Foundation grants to the University are recognized as operating expenses by the foundations. Private gift or capital gift revenues associated with campus foundation grants to the University are recorded by the University as gifts when the foundations transfer the gifts to the University.

Nonoperating revenues and expenses include state educational appropriations, state financing appropriations, state hospital fee grants, "Build America Bonds" federal interest subsidies, federal Pell grants, private gifts for other than capital purposes, investment income, net unrealized appreciation or depreciation in the fair value of investments, interest expense and gain or loss on the disposal of capital assets.

State capital appropriations, capital gifts and grants and gifts for endowment purposes are classified as other changes in net position.

Student tuition and fees. Substantially, all student tuition and fees provide for the current operations of the University. A small portion of the student fees, reported as capital gifts and grants, is required for debt service associated with student union and recreational centers.

The University recognizes scholarship allowances as the difference between the stated charge for tuition and fees, housing and dining charges, recreational center and other fees, and the amount that is paid by the student and third parties on behalf of the student. Payments of financial aid made directly to students are classified as scholarship and fellowship expenses.

Scholarship allowances are netted in the statement of revenues, expenses and changes in net position for the years ended June 30, 2012 and 2011 as follows:

<i>(in thousands of dollars)</i>		
	2012	2011
Student tuition and fees	\$ 979,394	\$ 830,497
Auxiliary enterprises	171,663	185,079
Other operating revenues	21,014	20,652
Scholarship allowances	\$1,172,071	\$ 1,036,228

State appropriations. The state of California provides appropriations to the University on an annual basis. State educational appropriations are recognized as nonoperating revenue; however, the related expenses are incurred to support either educational operations or other specific operating purposes. State financing appropriations provide for principal and interest payments associated with lease-purchase agreements with the State Public Works Board and are also reported as nonoperating revenue. State appropriations for capital projects are recorded as revenue under other changes in net position when the related expenditures are incurred. Special state appropriations for AIDS, tobacco and breast cancer research are reported as grant operating revenue.

Grant and contract revenue. The University receives grant and contract revenue from governmental and private sources. The University recognizes revenue associated with the direct costs of sponsored programs as the related expenditures are incurred. Recovery of facilities and administrative costs of federally sponsored programs is at cost reimbursement rates negotiated with the University's federal cognizant agency, the U.S. Department of Health and Human Services. For the year ended June 30, 2012, the facilities and administrative cost recovery totaled \$997.8 million, \$748.5 million from federally sponsored programs and \$249.3 million from other sponsors. For the year ended June 30, 2011, the facilities and administrative cost recovery totaled \$992.0 million, \$752.0 million from federally sponsored programs and \$240.0 million from other sponsors.

Medical center revenue. Medical center revenue is reported at the estimated net realizable amounts from patients and third-party payors, including Medicare, Medi-Cal and others, for services rendered, as well as estimated retroactive adjustments under reimbursement agreements with third-party payors. Laws and regulations governing Medicare and Medi-Cal are complex and subject to interpretation. Retroactive adjustments are accrued on an estimated basis in the period the related services are rendered and adjusted in future periods as final settlements are determined. It is reasonably possible that estimated amounts accrued could change significantly based upon settlement, or as additional information becomes available.

UCRP benefits and obligation to UCRP. The University's cost for campus and medical center UCRP benefits expense is based upon the annual required contribution to UCRP, as actuarially determined. Contributions to UCRP are made based upon rates or amounts determined by The Regents, and reduce the University's obligation to UCRP in the statement of net position.

Both current employees and retirees at LBNL participate in UCRP. The University makes contributions to UCRP for LBNL employees and is reimbursed by the DOE based upon rates that are identical to those authorized by The Regents for campus and medical center employees. The University also makes contributions to UCRP for LANL and LLNL retirees and terminated vested members, whose benefits were retained in UCRP at the time the joint ventures were formed. The annual required contribution for the LANL and LLNL is actuarially determined based upon a contractual arrangement with the DOE that incorporates a formula targeted to maintain the LANL and LLNL segments within UCRP at a 100 percent funded level. The University is reimbursed by the DOE for these contributions. These contributions and reimbursements from the DOE are included as DOE laboratory expense and revenue, respectively in the statement of revenues, expenses and changes in net position.

The University records a receivable or payable from the DOE for the amounts that are due under the DOE contracts for pension benefits attributable to the DOE laboratories.

Retiree health benefits and obligations for retiree health benefits. The University's cost for campus and medical center retiree health benefits expense is based upon the annual required contribution to the retiree health plan, as actuarially determined. Campus and medical center contributions toward retiree health benefits, at rates determined by the University, are made to UCRHBT and reduce the obligation for retiree health benefits in the statement of net position.

LBNL participates in the University's retiree health plans. The annual required contribution for LBNL is actuarially determined independently from the University's campuses and medical centers, and included with the DOE laboratory expense in the statement of revenues, expenses and changes in net position. The University directly pays health care insurers and administrators amounts currently due under the University's retiree health benefit plans for retirees who previously worked at LBNL, and is reimbursed by the DOE. These contributions, in the form of direct payments, also reduce the University's obligation for retiree health benefits in the statement of net position. The reimbursement from the DOE is included as DOE laboratory revenue in the statement of revenues, expenses and changes in net position.

The University records a receivable from the DOE for the DOE's portion of the University's obligation for retiree health benefits attributable to LBNL. The University does not have any obligation for LANL or LLNL retiree health benefit costs since they do not participate in the University's retiree health plans.

Campus and medical center contributions toward retiree health costs made to UCRHBT, the University's LBNL-related payments made directly to health care insurers and administrators and the corresponding reimbursements from the DOE are operating activities in the statement of cash flows. Cash flows resulting from retiree health contributions from retirees are shown as noncapital financing activities in the statement of cash flows.

University of California Retiree Health Benefit Trust. UCRHBT receives the University's contributions toward retiree health benefits from campuses, medical centers and University affiliates. The University receives retiree health contributions from University affiliates and campus and medical center retirees that are deducted from their UCRP benefit payments. The University also remits these retiree contributions to UCRHBT.

The University acts as a third-party administrator on behalf of UCRHBT and pays health care insurers and administrators amounts currently due under the University's retiree health benefit plans for retirees who previously worked at a campus or medical center. UCRHBT reimburses the University for these amounts.

LBNL does not participate in UCRHBT; therefore, the DOE has no interest in the Trust's assets.

Compensated absences. The University accrues annual leave, including employer-related costs, for employees at rates based upon length of service and job classification and compensatory time based upon job classification and hours worked.

Endowment spending. Under provisions of California law, the Uniform Prudent Management of Institutional Funds Act allows for investment income, as well as a portion of realized and unrealized gains, to be expended for the operational requirements of University programs.

Tax exemption. The University is recognized as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code (IRC). Because the University is a state institution, related income received by the University is also exempt from federal tax under IRC Section 115(a). In addition, the University is exempt from state income taxes imposed under the California Revenue and Taxation Code. UCRS plans are qualified under IRC Section 401(a) and the related trusts are tax-exempt under Section 501(c)(3). The campus foundations are exempt under Section 501(c)(3). Income received by UCRHBT is tax-exempt under Section 115(a).

Use of estimates. The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenditures during the reporting period. Although management believes the estimates and assumptions are reasonable, they are based upon information available at the time the estimate or judgment is made and actual amounts could differ from those estimates.

New accounting pronouncements. In November 2010, the GASB issued Statement No. 60, *Accounting and Financial Reporting for Service Concession Arrangements*, effective for the University's fiscal year beginning July 1, 2012. This Statement requires the University to report the activities for certain public-private partnerships as service concession arrangements in the financial statements. Service concession arrangements are recorded when the arrangements meet certain criteria which include building and operating a facility, obtaining the right to collect fees from third parties, and transferring ownership of the facility to the University at the end of the arrangement. The University is evaluating the effect that Statement No. 60 will have on its financial statements.

In March 2012, the GASB issued Statement No. 65, *Items Previously Reported as Assets and Liabilities*, effective for the University's fiscal year beginning July 1, 2013. This Statement reclassifies, as deferred outflows of resources or deferred inflows of resources, certain items that were previously reported as assets and liabilities and recognizes, as outflows of resources or inflows of resources, certain items that were previously reported as assets and liabilities. The University is evaluating the effect that Statement No. 65 will have on its financial statements.

In March 2012, the GASB issued Statement No. 66, *Technical Corrections – 2012 – An Amendment of GASB Statements No. 10 and No. 62*, effective for the University's fiscal year beginning July 1, 2013. This Statement resolves conflicting guidance that resulted from the issuance of two pronouncements, Statements No. 54, *Fund Balance Reporting and Governmental Fund Type Definitions*, and No. 62, *Codification of Accounting and Financial Reporting Guidance Contained in Pre-November 30, 1989 FASB and AICPA Pronouncements*. The University is evaluating the effect that Statement No. 66 will have on its financial statements.

In June 2012, the GASB issued Statement No. 67, *Financial Reporting for Pension Plans*, effective for the University's fiscal year beginning July 1, 2013. This Statement revises existing standards for financial reporting for pension plans by changing the approach to measuring the net pension liability. The net pension liability is measured as the total pension liability, less the amount of the pension plan's fiduciary net position. The total pension liability is determined based upon discounting projected benefit payments based on the benefit terms and legal agreements existing at the pension plan's fiscal year end. Projected benefit payments are required to be discounted using a single rate that reflects the expected rate of return on investments, to the extent that plan assets are available to pay benefits, and a tax-exempt, high-quality municipal bond rate when plan assets are not available. Statement No. 67 will affect the information presented in the footnotes to the financial statements and required supplementary information for UCRP. The University is evaluating the effect that Statement No. 67 will have on its financial statements.

In June 2012, the GASB issued Statement No. 68, *Accounting and Financial Reporting for Pensions*, effective for the University's fiscal year beginning July 1, 2014. This Statement revises existing standards for measuring and reporting pension liabilities for pension plans provided by the University to its employees. This Statement requires recognition of a liability equal to the net pension liability, which is measured as the total pension liability, less the amount of the pension plan's fiduciary net position. The total pension liability is determined based upon discounting projected benefit payments based on the benefit terms and legal agreements existing at the pension plan's fiscal year end. Projected benefit payments are required to be discounted using a single rate that reflects the expected rate of return on investments, to the extent that plan assets are available to pay benefits, and a tax-exempt, high-quality municipal bond rate when plan assets are not available. This Statement requires that most changes in the net pension liability be included in pension expense in the period of the change. As of June 30, 2012, the University reported an obligation to UCRP of \$1.9 billion, representing unfunded contributions to UCRP based upon the University's funding policy. Under Statement No. 68, The University's obligation to UCRP is expected to increase. The University is evaluating the effect that Statement No. 68 will have on its financial statements.

1. CASH AND CASH EQUIVALENTS

The University maintains centralized management for substantially all of its cash and cash equivalents. Cash in demand deposit accounts is minimized by sweeping available cash balances into investment accounts on a daily basis.

Under University policy, deposits are only held at financial institutions that maintain an issuer rating on long term debt of A3 or higher by Moody's, A- or higher by Standard & Poor's or an Asset Peer Group rating of 65 or higher as defined by Sheshunoff Bank Rating Reports. In 2012, ratings for one of the University's banks were lowered below these thresholds. The University approved a temporary exception for this institution and continues to monitor the institution's financial condition. At June 30, 2012 and 2011, the carrying amount of the University's demand deposits, generally held in five nationally recognized banking institutions, was \$133.3 million and \$181.1 million, respectively, compared to bank balances of \$99.0 million and \$135.7 million, respectively. Deposits in transit and cash awaiting investment are the primary differences. Bank balances in excess of the Federal Deposit Insurance Corporation (FDIC) limits are collateralized by U.S. government securities held in the name of the bank.

The University does not have significant exposure to foreign currency risk in demand deposit accounts. Accounts held in foreign countries maintain minimum operating balances with the intent to reduce potential foreign exchange risk while providing an adequate level of liquidity to meet the obligations of the academic programs established abroad. The equivalent U.S. dollar balances required to support research groups and education abroad programs in foreign countries was \$2.7 million at June 30, 2012 and 2011.

The carrying amount of the campus foundations' cash and cash equivalents at June 30, 2012 and 2011 was \$101.3 million and \$104.2 million, respectively, compared to bank balances of \$67.2 million and \$74.4 million, respectively. Deposits in transit and cash awaiting investment are the primary differences. Included in bank balances are deposits in the University's Short Term Investment Pool of \$31.7 million and \$28.9 million at June 30, 2012 and 2011, respectively, with the remaining uncollateralized bank balances insured by the FDIC. The campus foundations do not have exposure to foreign currency risk in their cash and cash equivalents.

2. INVESTMENTS

The Regents, as the governing Board, is responsible for the oversight of the University's, UCRS' and UCRHBT's investments and establishes investment policy, which is carried out by the Chief Investment Officer. These investments are associated with the Short Term Investment Pool (STIP), Total Return Investment Pool (TRIP), General Endowment Pool (GEP), UCRS, UCRHBT and other investment pools managed by the Chief Investment Officer, or are separately invested. Pursuant to The Regents' policies on campus foundations, the Board of Trustees for each campus foundation may determine that all or a portion of their investments will be managed by the Chief Investment Officer. Asset allocation guidelines are provided to the campus foundations by the Investment Committee of The Regents.

STIP allows participants to maximize the returns on their short-term cash balances by taking advantage of the economies of scale of investing in a large pool with a broad range of maturities and is managed to maximize current earned income. Cash to provide for payroll, construction expenditures and other operating expenses for campuses and medical centers is invested in STIP. The available cash in UCRS or endowment investment pools awaiting investment, or cash for administrative expenses, is also invested in STIP.

Investments authorized by The Regents for STIP include fixed-income securities with a maximum maturity of five and one-half years. In addition, for STIP, The Regents has also authorized loans, primarily to faculty members residing in California, under the University's Mortgage Origination Program with terms up to 40 years.

TRIP allows participant campuses the opportunity to maximize the return on their long-term working capital by taking advantage of the economies of scale of investing in a large pool across a broad range of asset classes. TRIP is managed to a total return objective and is intended to supplement STIP. Investments authorized by The Regents for TRIP include a diversified portfolio of equity and fixed-income securities.

GEP is an investment pool in which a large number of individual endowments participate in order to benefit from diversification and economies of scale. GEP is a balanced portfolio and the primary investment vehicle for endowed gift funds. Where donor agreements place constraints on allowable investments, assets associated with endowments are invested in accordance with the terms of the agreements.

Other investment pools primarily facilitate annuity and life income arrangements. Separate investments are those that cannot be pooled due to investment restrictions or income requirements, or represent the University's estimated interest in externally held irrevocable trusts.

Investments authorized by The Regents for GEP, UCRS, other investment pools and separate investments include equity securities, fixed-income securities and certain other asset classes. The equity portion of the investment portfolios include both domestic and foreign common and preferred stocks which may be included in actively or passively managed strategies, along with a modest exposure to private equities. The University's investment portfolios may include foreign currency denominated equity securities. The fixed-income portion of the investment portfolios may include both domestic and foreign securities, along with certain securitized investments, including mortgage-backed and asset-backed securities. Fixed-income investment guidelines permit the use of futures and options on fixed-income instruments in the ongoing management of the portfolios. Real estate investments are authorized for both GEP and UCRS. Absolute return strategies, which may incorporate short sales, plus derivative positions to implement or hedge an investment position, are also authorized for GEP and UCRS.

Derivative instruments, including futures, forward contracts, options and swap contracts are authorized for portfolio rebalancing in accordance with The Regents' asset allocation policy and as substitutes for physical securities. They are not used for speculative purposes.

The Regents has also authorized certain employee account balances in defined contribution plans included as part of UCRS' investments to be invested in mutual funds. The participants' interests in mutual funds is not managed by the Chief Investment Officer and totaled \$4.4 billion and \$4.5 billion at June 30, 2012 and 2011, respectively.

Investments authorized by The Regents for the UCRHBT are restricted to a portfolio of high quality money market instruments in a commingled fund that is managed externally. The average credit quality of the portfolio is A-1/P-1 with an average maturity of 49 days. The fair value of UCRHBT's investment in this portfolio was \$65.1 million and \$27.8 million at June 30, 2012 and 2011, respectively.

The University has deposits of \$1 billion in the State Agency Investment Fund (SAIF). SAIF was created under California Government Code §16330. The deposit to SAIF bears interest at 2.0 percent annually, payable quarterly. The agreement expires on October 25, 2012 and can be extended for additional six month periods by agreement with the state. The University is permitted to withdraw funds on the maturity date of April 25, 2013, prior to the maturity date, the ability to withdraw funds is limited.

The composition of investments, by investment type at June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
<i>Equity securities:</i>						
Domestic	\$ 1,588,587	\$ 1,679,253	\$ 165,363	\$ 220,178	\$ 12,350,104	\$ 13,490,824
Foreign	1,566,010	1,598,342	7,691	66,143	8,123,100	9,497,591
Equity securities	3,154,597	3,277,595	173,054	286,321	20,473,204	22,988,415
<i>Fixed- or variable-income securities:</i>						
U.S. government guaranteed:						
U.S. Treasury bills, notes and bonds	556,927	1,351,366	203,761	222,691	1,909,978	2,356,266
U.S. Treasury strips	152,259	102,041	183	115	627,474	473,794
U.S. TIPS	202,814	225,994			3,540,623	3,512,877
U.S. government-backed securities			9,520	3,071	14,062	14,617
U.S. government-backed–asset-backed securities			589	145		
U.S. government guaranteed	912,000	1,679,401	214,053	226,022	6,092,137	6,357,554
Other U.S. dollar denominated:						
Corporate bonds	6,092,133	5,012,475	93,026	80,190	2,322,593	2,027,233
Commercial paper	799,493	2,517,403				
U.S. agencies	1,332,192	981,541	5,534	10,594	3,100,944	2,996,583
U.S. agencies–asset-backed securities	259,768	238,328	73,208	74,020	2,230,842	1,678,543
Corporate–asset-backed securities	111,893	100,994	31,299	6,510	714,276	1,061,912
Supranational/foreign	1,630,399	1,263,165	1,467	745	1,349,467	1,317,034
Other	5,862	221,836	17,903	11,960	15,317	11,849
Other U.S. dollar denominated	10,231,740	10,335,742	222,437	184,019	9,733,439	9,093,154
Foreign currency denominated:						
Corporate	33,558	18,060			199,159	103,967
Foreign currency denominated	33,558	18,060			199,159	103,967
<i>Commingled funds:</i>						
Absolute return funds	1,701,748	1,631,766	838,215	866,167	3,013,658	2,836,255
Balanced funds	5,892		800,283	810,675	33,577	
U.S. equity funds	155,439	156,686	522,168	499,183	1,247,806	1,248,291
Non-U.S. equity funds	498,040	489,792	689,010	643,018	3,491,197	2,923,089
U.S. bond funds	51,586	81,410	295,978	318,165	892,330	477,456
Non-U.S. bond funds	18,683		68,628	50,741	105,870	
Real estate investment trusts	225,258	159,123	80,424	82,718	102,247	90,864
Money market funds	420,369	257,403	459,308	436,960	2,087,161	2,053,697
Commingled funds	3,077,015	2,776,180	3,754,014	3,707,627	10,973,846	9,629,652
Investment derivatives	(26,284)	(1,740)	278	(1,081)	47,038	15,661
State of California deposit agreement	1,000,000					
Private equity	654,164	531,949	459,421	410,307	3,582,591	3,085,296
Mortgage loans	562,539	705,548	267	422		
Insurance contracts					514,147	630,091
Real assets	116,732	73,677			532,659	327,721
Real estate	466,055	398,128	133,990	118,923	2,260,458	1,986,507
Externally held irrevocable trusts	123,830	234,305	34,896	31,376		
Other investments	13,180	10,195	168,807	187,933		
Campus foundations' investments with the University	(1,059,918)	(1,064,408)				
UCRS investment in STIP	(966,810)	(715,967)				
Total investments	18,292,398	18,258,665	5,161,217	5,151,869	\$54,408,678	\$54,218,018
Less: Current portion	(3,557,341)	(3,710,307)	(334,818)	(362,884)		
Noncurrent portion	\$14,735,057	\$14,548,358	\$4,826,399	\$4,788,985		

Investment Risk Factors

There are many factors that can affect the value of investments. Some, such as custodial credit risk, concentration of credit risk and foreign currency risk may affect both equity and fixed-income securities. Equity securities respond to such factors as economic conditions, individual company earnings performance and market liquidity, while fixed-income securities are particularly sensitive to credit risks and changes in interest rates. Alternative investment strategies and their underlying assets and rights are subject to an array of economic and market vagaries that can limit or erode value.

Credit Risk

Fixed-income securities are subject to credit risk, which is the chance that a bond issuer will fail to pay interest or principal in a timely manner, or that negative perceptions of the issuer's ability to make these payments will cause security prices to decline. These circumstances may arise due to a variety of factors such as financial weakness, bankruptcy, litigation and/or adverse political developments.

A bond's credit quality is an assessment of the issuer's ability to pay interest on the bond, and ultimately, to pay the principal. Credit quality is evaluated by one of the independent bond rating agencies, for example Moody's Investors Service (Moody's) or Standard and Poor's (S&P). The lower the rating, the greater the chance, in the rating agency's opinion, that the bond issuer will default, or fail to meet its payment obligations. Generally, the lower a bond's credit rating, the higher its yield should be to compensate for the additional risk.

Certain fixed-income securities, primarily obligations of the U.S. government or those explicitly guaranteed by the U.S. government, are not considered to have credit risk. On August 8, 2011, S&P downgraded the credit ratings of certain long-term debt instruments issued by Fannie Mae and Freddie Mac and other U.S. government agencies linked to long-term U.S. debt. These downgrades could adversely affect the market value of such instruments and the credit risk associated with U.S. Treasury securities held as investments by the University.

Asset-backed securities are debt obligations that represent claims to the cash flows from pools of commercial, mortgage, credit card or student loans. Mortgage-backed securities issued by Ginnie Mae are backed by the full faith and credit of the U.S. government. Effective September 2008, Fannie Mae and Freddie Mac were placed under the conservatorship of the Federal Housing Finance Agency. At the same time, the U.S. Treasury put in place a set of financing agreements to ensure Fannie Mae and Freddie Mac have the ability to fulfill their obligations to holders of bonds that they have issued or guaranteed.

SAIF deposits are invested by the state in their pooled investment program. SAIF deposits are unrated and represent general credits of the state of California. In the event the credit ratings for the state's general obligation bonds is lower than BBB-, all SAIF deposits are required to be returned to the University. The state's general obligation bonds are rated A- by Fitch, A-1 by Moody's and A- by S&P.

The investment guidelines for STIP recognize that a limited amount of credit risk, properly managed and monitored, is prudent and provides incremental risk adjusted return over its benchmark (the benchmark for STIP, the two-year Treasury note, has no credit risk). No more than 5 percent of the total market value of the STIP portfolio may be invested in securities rated below investment grade (BB, Ba or lower). The average credit quality of STIP must be A or better and commercial paper must be rated at least A-1, P-1 or F-1.

The University recognizes that credit risk is appropriate in balanced investment pools such as TRIP, UCRS and GEP by virtue of the benchmarks chosen for the fixed-income portion of those pools.

Fixed-income benchmarks for TRIP include the Barclays Capital Aggregate Credit Index, Barclays Capital Aggregate Securitized Index, the Merrill Lynch High-Yield Cash Pay Index and the Barclays Capital Aggregate Government Index. The TRIP fixed-income benchmark is comprised of 69.2 percent high grade corporate bonds, 7.7 percent mortgage/asset-backed securities and 15.4 percent below investment grade securities, all of which carry some degree of credit risk. The remaining 7.7 percent is government-issued bonds.

The fixed-income benchmarks for UCRS and GEP, Barclays Capital U.S. Aggregate Bond Index, is comprised of 25.9 percent high grade corporate bonds and 33.0 percent mortgage/asset-backed securities, all of which carry some degree of credit risk. The remaining 41.1 percent is government-issued bonds.

Credit risk in TRIP, UCRS and GEP is managed primarily by diversifying across issuers. In addition, portfolio guidelines for UCRS and GEP mandate that no more than 10 percent of the market value of fixed-income securities may be invested in issues with credit ratings below investment grade. Further, the weighted average credit rating must be A or higher.

In addition, the investment policy for both UCRP and GEP allows for dedicated allocations to non-investment grade and emerging market bonds, an investment which entails credit, default and or sovereign risk.

The credit risk profile for fixed- or variable-income securities at June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
<i>Fixed- or variable-income securities:</i>						
U.S. government guaranteed	\$ 912,000	\$ 1,679,401	\$214,053	\$226,022	\$ 6,092,137	\$ 6,357,554
Other U.S. dollar denominated:						
AAA	555,097	1,415,993	93,765	91,411	5,295,237	4,990,811
AA	2,314,961	1,041,691	19,725	25,033	493,306	205,378
A	3,462,215	2,979,781	63,417	44,964	912,975	995,155
BBB	2,347,054	1,772,536	21,142	15,363	1,257,821	1,116,362
BB	328,300	272,787	7,281	4,595	451,650	454,870
B	314,004	275,042	3,126	2,632	1,077,664	994,472
CC or below	61,496	59,730	11,728		220,007	332,921
A-1 / P-1 / F-1	847,992	2,517,437		21	22,801	906
Not rated	621	745	2,253		1,978	2,279
Foreign currency denominated:						
A	31,763				187,263	
BBB		15,611				88,462
B	1,795	2,449			11,896	15,505
<i>Investment Derivatives:</i>						
AAA	(6,458)					
AA	(572)					
A	(25,849)				1,718	
<i>Commingled funds:</i>						
U.S. bond funds: Not rated	51,586	81,410	295,978	318,165	892,330	477,456
Non-U.S. bond funds: Not rated	18,683		68,628	50,741	105,870	
Money market funds: Not rated	420,369	257,403	459,308	436,960	2,087,161	2,053,697
<i>Mortgage loans: Not rated</i>	562,539	705,548	267	422		
<i>State of California deposit agreement:</i>						
A-	1,000,000					
<i>Insurance contracts: Not rated</i>					514,147	630,091

Custodial Credit Risk

Custodial credit risk is the risk that in the event of the failure of the custodian, the investments may not be returned.

Substantially all of the University's, campus foundations' and UCRS' securities are registered in the University's name by the custodial bank as an agent for the University. Other types of investments, including the University's deposit in SAIF, represent ownership interests that do not exist in physical or book-entry form. As a result, custodial credit risk for such investments is remote.

Concentration of Credit Risk

Concentration of credit risk is the risk associated with a lack of diversification, such as having substantial investments in a few individual issuers, thereby exposing the organization to greater risks resulting from adverse economic, political, regulatory, geographic or credit developments.

The U.S. and non-U.S. equity portions of the University and UCRS portfolios may be managed either passively or actively. For the portions managed passively, the concentration of individual securities is exactly equal to their concentration in the benchmark. While some securities have a larger representation in the benchmark than others, the University considers that passive management results in an absence of concentration of credit risk. For the portions managed actively, asset class guidelines do not specifically address concentration risk, but do state that the U.S. equity asset class, in the aggregate, will be appropriately diversified to control overall risk and will exhibit portfolio characteristics similar to the asset class benchmark (including concentration of credit risk). Concentration risk for individual portfolios is monitored relative to their individual benchmarks and agreed-upon risk parameters in their guidelines.

Investment guidelines addressing concentration of credit risk related to the investment grade fixed-income portion of the University and UCRS portfolios include a limit of no more than 3 percent of each portfolio's market value to be invested in any single issuer (except for securities issued by the U.S. government or its agencies and SAIF). These same guidelines apply to STIP. For high-yield and emerging market debt, the corresponding limit is 5 percent.

Each campus foundation may have its own individual investment policy designed to limit exposure to a concentration of credit risk. Securities issued or explicitly guaranteed by the U.S. government, mutual funds, external investment pools, other investment pools or investments that are invested by the University for the foundations are not subject to concentration of credit risk. Most of the foundations that hold other types of investments have policies to limit the exposure to an individual issuer.

The University's SAIF deposit represents 4.9 percent of investments. Investments in issuers other than U.S. government guaranteed securities and SAIF that represent 5 percent or more of investments held at June 30, 2012 and 2011 are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011
Federal National Mortgage Association	\$1,047,825		\$3,177,880	

Interest Rate Risk

Interest rate risk is the risk that the value of fixed-income securities will decline because of changing interest rates. The prices of fixed-income securities with a longer time to maturity, measured by effective duration, tend to be more sensitive to changes in interest rates and, therefore, more volatile than those with shorter durations. Effective duration is the approximate change in price of a security resulting from a 100 basis point (1 percentage point) change in the level of interest rates. It is not a measure of time.

Interest rate risk for STIP is managed by constraining the maturity of all individual securities to be less than five and one-half years. There is no restriction on weighted average maturity of the portfolio as it is managed relative to the liquidity demands of the investors. The nature and maturity of individual securities in STIP allow for the use of weighted average maturity as an effective risk management tool, rather than the more complex measure, effective duration.

Portfolio guidelines for the fixed-income portion of TRIP limit weighted average effective duration to the effective duration of the benchmarks (Barclays Capital Aggregate Credit Index, Barclays Capital Aggregate Securitized Index, the Merrill Lynch High-Yield Cash Pay Index and Barclays Capital Aggregate Government Index), plus or minus 10 percent. Similarly, portfolio guidelines for the fixed-income portion of UCRS and GEP limit weighted average effective duration to the effective duration of their benchmarks (Citigroup Large Pension Fund Index and Lehman Aggregate Index), plus or minus 20 percent. These portfolio guidelines constrain the potential price movement due to interest rate changes of the portfolio to be similar to that of the benchmark. There are similar restrictions for the high-yield and emerging market debt portfolios relative to their benchmarks.

The effective durations for fixed- or variable-income securities at June 30, 2012 and 2011 are as follows:

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
<i>Fixed- or variable-income securities:</i>						
U.S. government guaranteed:						
U.S. Treasury bills, notes and bonds	1.3	1.1	2.6	3.1	1.7	1.6
U.S. Treasury strips	8.4	8.0			8.7	6.9
U.S. TIPS	4.0	4.5			4.7	4.5
U.S. government-backed securities			3.4	3.7	5.2	5.5
U.S. government-backed–asset-backed securities			22.6	2.3		
Other U.S. dollar denominated:						
Corporate bonds	3.6	3.4	3.6	3.6	5.8	5.4
Commercial paper	0.1	0.1				
U.S. agencies	2.0	2.1	3.8	3.0	2.6	2.6
U.S. agencies–asset-backed securities	4.0	4.5	1.5	1.7	3.8	4.4
Corporate–asset-backed securities	4.6	4.5	0.6	0.2	3.7	3.5
Supranational / foreign	4.4	4.6	1.5	4.2	6.4	6.3
Other	14.4	0.3	4.3	5.2	14.5	13.0
Foreign currency denominated:						
Corporate	2.1	2.1			2.1	4.1
<i>Commingled funds:</i>						
U.S. bond funds	5.0	5.0	4.9	4.5	5.2	5.2
Non-U.S. bond funds			5.0	5.8		
Money market funds	0.0	0.0	1.8	1.4	1.5	1.5
<i>Investment derivatives</i>	2.7					
<i>State of California deposit agreement</i>	0.3					
<i>Insurance contracts</i>					0.0	0.0

The University considers the effective durations for commercial paper, mortgage loans, insurance contracts and money market funds, with the exception of STIP, to be zero. The terms of the mortgage loans include variable interest rates. Insurance contracts can be liquidated without loss of principal and money market funds consist of underlying securities that are of a short-term, liquid nature.

Investments may also include various mortgage-backed securities, collateralized mortgage obligations, structured notes, variable-rate securities and callable bonds that may be considered to be highly sensitive to changes in interest rates due to the existence of prepayment or conversion features, although the effective durations of these securities may be low.

At June 30, 2012 and 2011, the fair values of such investments are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
Mortgage-backed securities	\$ 287,416	\$ 203,249	\$ 71,999	\$ 63,422	\$2,521,787	\$1,828,295
Collateralized mortgage obligations	31,300	15,133	15,013	2,833	375,655	112,077
Other asset-backed securities		16,369	16,043	6,510	47,940	295,185
Structured notes	219				1,622	
Variable-rate securities	124,876	140,479			124,660	523,426
Callable bonds	1,461,061	1,150,143	793	458	2,802,075	1,850,704
Convertible bonds	663	1,198			4,439	7,184
Total	\$1,905,535	\$1,526,571	\$103,848	\$ 73,223	\$5,878,178	\$4,616,871

Mortgage-Backed Securities. These securities are issued primarily by Fannie Mae, Ginnie Mae and Freddie Mac, and various commercial entities and include short embedded prepayment options. Unanticipated prepayments by the obligees of the underlying asset reduce the total expected rate of return.

Collateralized Mortgage Obligations. Collateralized mortgage obligations (CMOs) generate a return based upon either the payment of interest or principal on mortgages in an underlying pool. The relationship between interest rates and prepayments makes the fair value highly sensitive to changes in interest rates. In falling interest rate environments, the underlying mortgages are subject to a higher propensity of prepayments. In rising interest rate environments, the opposite is true.

Other Asset-Backed Securities. Other asset-backed securities also generate a return based upon either the payment of interest or principal on obligations in an underlying pool, generally associated with auto loans or credit cards. As with CMOs, the relationship between interest rates and prepayments makes the fair value highly sensitive to changes in interest rates.

Variable-rate Securities. These securities are investments with terms that provide for the adjustment of their interest rates on set dates and are expected to have fair values that will be relatively unaffected by interest rate changes. Variable-rate securities may have limits on how high or low the interest rate may change. These constraints may affect the market value of the security.

Callable Bonds. Although bonds are issued with clearly defined maturities, an issuer may be able to redeem, or call, a bond earlier than its maturity date. The University must then replace the called bond with a bond that may have a lower yield than the original. The call feature causes the fair value to be highly sensitive to changes in interest rates.

At June 30, 2012 and 2011, the effective durations for these securities are as follows:

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
Mortgage-backed securities	4.2	4.9	1.4	1.6	4.0	5.0
Collateralized mortgage obligations	2.4	3.8	0.5	2.8	2.3	3.1
Other asset-backed securities		0.5	1.2	0.2	1.0	1.0
Structured notes	1.0				1.0	
Variable-rate securities	3.2	3.5			5.5	4.7
Callable bonds	2.9	3.3	2.3	4.2	3.1	3.5
Convertible bonds	3.8	9.1			3.7	9.0

Foreign Currency Risk

The University's strategic asset allocation policy for TRIP, UCRS and GEP includes allocations to non-U.S. equities and non-dollar denominated bonds. The benchmarks for these investments are not hedged; therefore foreign currency risk is an essential part of the investment strategies. Portfolio guidelines for U.S. investment-grade fixed-income securities also allow exposure to non-U.S. dollar denominated bonds up to 10 percent of the total portfolio market value. Exposure to foreign currency risk from these securities is permitted and it may be fully or partially hedged using forward foreign currency exchange contracts. Under the University's investment policies, such instruments are not permitted for speculative use or to create leverage. Similar limits on foreign exchange exposure apply to the high-yield debt and emerging market debt portfolios (10 percent and 20 percent, respectively).

At June 30, 2012 and 2011, the foreign currency risk expressed in U.S. dollars, organized by currency denomination and investment type, is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
<i>Equity securities:</i>						
Euro	\$ 381,317	\$ 462,714	\$ 369	\$ 13,513	\$ 1,977,615	\$ 2,741,927
British Pound	317,006	295,343	2,879	14,644	1,643,976	1,752,873
Japanese Yen	305,130	291,471	130	10,036	1,583,116	1,729,404
Canadian Dollar	147,304	142,184	781	1,721	764,893	869,330
Swiss Franc	119,003	121,599	2,862	9,344	617,370	720,923
Australian Dollar	117,138	115,927	109	3,679	608,258	696,350
Hong Kong Dollar	48,875	45,873		8,066	253,353	266,497
Swedish Krona	40,787	45,286		1,307	211,190	269,294
Singapore Dollar	28,469	26,139		478	147,852	153,473
Danish Krone	16,895	14,834		801	87,620	88,369
Norwegian Krone	16,322	13,788		1,251	84,382	81,401
Other	27,764	23,184	561	1,303	143,475	127,750
Subtotal	1,566,010	1,598,342	7,691	66,143	8,123,100	9,497,591
<i>Fixed-income securities:</i>						
Brazilian Real	4,027	2,855			23,740	16,176
Mexican Peso	4,378	2,256			25,814	12,786
Malaysian Ringgit	3,835	2,207			22,611	12,509
Euro	1,695	2,177			11,174	13,781
South African Rand	4,038	2,153			23,804	12,200
Indonesian Rupiah	2,528	2,023			14,903	11,465
Polish Zloty	3,573	1,515			21,064	8,584
Turkish Lira	3,752	1,191			22,121	6,748
New Russian Ruble	2,152				12,690	
Other	3,580	1,683			21,238	9,718
Subtotal	33,558	18,060			199,159	103,967
<i>Commingled funds (various currency denominations):</i>						
Balanced funds			154,561	205,850		
Non-U.S. equity funds	498,040	489,792	563,585	550,193	3,491,197	2,923,089
U.S. bond funds			13,751	4,691		
Non-U.S. bond funds	18,683		49,817	36,509	105,870	
Real estate investment trusts			9,890	12,840		
Absolute Return funds			11,275	26,400		
Subtotal	516,723	489,792	802,879	836,483	3,597,067	2,923,089
<i>Investment derivatives:</i>						
Swedish Krona	(269)	(514)			1,182	(1,337)
Australian Dollar	(1,502)	(892)			(1,016)	(592)
Canadian Dollar	(644)	(778)			37	76
British Pound	(733)	(320)			1,080	482
Euro	(1,937)	(2,919)			3,183	980
Other	(627)	558			632	760
Subtotal	(5,712)	(4,865)			5,098	369
<i>Private equity:</i>						
Euro	13,832	5,195	6,839	179	76,190	61,508
Other	2,237	451	7,547		11,786	9,984
<i>Real estate:</i>						
Hong Kong Dollar	1,575	4,399			7,353	30,438
Australian Dollar	1,141	3,345			5,324	23,143
Japanese Yen	1,106	2,820			5,163	19,511
Euro	883	2,571			4,120	17,789
British Pound	717	1,799			3,346	12,445
Singapore Dollar	827	1,702			3,859	11,775
Other	1,619	2,599			7,554	17,985
Subtotal	23,937	24,881	14,386	179	124,695	204,578
Total exposure to foreign currency risk	\$2,134,516	\$2,126,210	\$824,956	\$902,805	\$12,049,119	\$12,729,594

Liquidity Risks

Alternative investments are subject to liquidity risk. Alternative investments include hedge funds, limited partnerships, private equity and venture capital funds. Additionally, certain asset-backed securities are thinly traded and subject to liquidity risk.

Alternative investments include ownership interests in a wide variety of vehicles including partnerships and corporations that may be domiciled in the United States or offshore. Generally, there is little or no regulation of these investment vehicles by the Securities and Exchange Commission or the applicable state agencies. Managers of these investments employ a wide variety of strategies and have areas of concentration including absolute return, venture capital or early stage investing, private equity or later stage investing and the underlying investments may be leveraged to enhance the total investment return. Each asset class has guidelines and policies regarding the use of leverage. Such underlying investments may include financial assets such as marketable securities, non-marketable securities, derivatives and other synthetic and structured investments as well as tangible and intangible assets.

These securities do not have a ready market and ownership interests in these investment vehicles may not be traded without the approval of the general partner or fund management. These investments are subject to the risks generally associated with equities and fixed-income instruments with additional risks due to leverage and the lack of a ready market for acquisition or disposition of ownership interests.

The University's portfolio includes the following investments subject to liquidity risk as of June 30, 2012 and 2011:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
Absolute return funds	\$1,701,748	\$1,631,766	\$ 838,215	\$ 866,167	\$3,013,658	\$ 2,836,255
Private equity funds	654,164	531,949	459,421	410,307	3,582,591	3,085,296
Real estate funds	466,055	398,128	133,979	118,923	2,260,458	1,986,507
Real assets funds	116,732	73,677			532,659	327,721
Corporate-asset-backed securities		26,731	31,299	6,510		273,010
State of California deposit agreement	1,000,000					
Total	\$3,938,699	\$2,662,251	\$1,462,914	\$1,401,907	\$9,389,366	\$ 8,508,789

The University's Investment Pools

The composition of the University's investments at June 30, 2012, by investment pool, is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA				
	STIP	TRIP	GEP	OTHER	TOTAL
<i>Equity securities:</i>					
Domestic		\$ 608,758	\$ 905,192	\$ 74,637	\$ 1,588,587
Foreign		665,612	877,690	22,708	1,566,010
<i>Fixed- or variable-income securities:</i>					
U.S. government guaranteed	\$ 524,615	143,456	237,116	6,813	912,000
Other U.S. dollar denominated	6,985,203	2,580,707	641,010	24,820	10,231,740
Foreign currency denominated			33,558		33,558
Commingled funds	12,195	338,588	2,657,237	68,995	3,077,015
Investment derivatives		(4,659)	11,502	(33,127)	(26,284)
State of California deposit agreement	500,000			500,000	1,000,000
Private equity			631,255	22,909	654,164
Mortgage loans	562,539				562,539
Real assets			116,732		116,732
Real estate			443,726	22,329	466,055
Externally held irrevocable trusts				123,830	123,830
Other investments				13,180	13,180
Subtotal	8,584,552	4,332,462	6,555,018	847,094	20,319,126
Campus foundations' investments with the University	(465,470)		(470,033)	(124,415)	(1,059,918)
UCRS investment in STIP	(966,810)				(966,810)
Total investments	\$7,152,272	\$4,332,462	\$6,084,985	\$722,679	\$18,292,398

The total investment return based upon unit values, representing the combined income plus net appreciation or depreciation in the fair value of investments, for the year ended June 30, 2012 was 6.7 percent for TRIP, negative 0.7 percent for GEP and 0.8 percent for UCRS. The investment return for STIP distributed to participants, representing combined income and realized gains or losses, during the same period, was 2.4 percent. Other investments consist of numerous, small portfolios of investments, or individual securities, each with its individual rate of return.

Related Party Relationships with the University

UCRS and campus foundations may invest available cash in STIP. Shares are purchased or redeemed in STIP at a constant value of \$1 per share. Actual income earned, including any realized gains or losses on the sale of STIP investments, is allocated to UCRS and campus foundations based upon the number of shares held. Unrealized gains and losses associated with the fluctuation in the fair value of investments included in STIP are recorded by the University as the manager of the pool. The net asset value for STIP is held at a constant value of \$1 and is not adjusted for unrealized gains and losses associated with the fluctuation in the fair value of investments included in STIP.

The campus foundations may purchase or redeem shares in GEP or other investment pools at the unitized value of the portfolio at the time of purchase or redemption. Actual income earned is allocated to the campus foundations based upon the number of shares held.

UCRS

UCRS had \$966.8 million and \$716.0 million invested in STIP at June 30, 2012 and 2011, respectively. These investments are excluded from the University's statement of net position and are included in UCRS' statement of plans' fiduciary net position. They are categorized as commingled money market funds in the composition of investments for UCRS. STIP investment income in the University's statement of revenues, expenses and changes in net position is net of income earned by, and distributed to, UCRS totaling \$21.3 million and \$13.1 million for the years ended June 30, 2012 and 2011, respectively.

Campus Foundations

The Campus foundations' cash and cash equivalents and investments that are invested with the University and managed by the Chief Investment Officer are excluded from the University's statement of net position and included in the campus foundations' statement of net position. Under the accounting policies elected by each separate foundation, certain foundations classify all or a portion of their investment in STIP as cash and cash equivalents, rather than investments. Substantially all of the campus foundations' investments managed by the Chief Investment Officer are categorized as commingled funds or commingled money market funds by the campus foundations in the composition of investments.

The fair value of the campus foundations' cash and cash equivalents and investments that are invested with the University, by investment pool at June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	2012	2011
STIP	\$ 465,470	\$ 407,273
GEP	470,033	476,481
Other investment pools	124,415	180,654
Campus foundations' investments with the University	1,059,918	1,064,408
Classified as cash and cash equivalents by campus foundations	(31,857)	(25,927)
Classified as investments by campus foundations	\$1,028,061	\$1,038,481

Endowment investment income in the University's statement of revenues, expenses and changes in net position is net of income earned by, and distributed to, the campus foundations totaling \$19.4 million and \$20.7 million for the years ended June 30, 2012 and 2011, respectively.

Agency Relationships with the University

STIP and GEP are external investment pools and include investments on behalf of external organizations that are associated with the University, although not financially accountable to the University. These organizations are not required to invest in these pools. Participants purchase or redeem shares in STIP at a constant value of \$1 per share and purchase or redeem shares in GEP at the unitized value of the portfolio at the time of purchase or redemption. Actual income earned is allocated to participants based upon the number of shares held.

The fair value of these investments in each investment pool and the related liability associated with these organizations that are included in the University's statement of net position at June 30, 2012 and 2011 are as follows:

(in thousands of dollars)

	2012	2011
<i>Short-term investments:</i>		
STIP	\$ 86,088	\$ 78,399
GEP	161,616	164,149
Other investment pools	15,280	15,889
Total agency assets	\$262,984	\$258,437
Funds held for others	\$262,984	\$258,437

The composition of the net position at June 30, 2012 and 2011 for STIP and GEP is as follows:

(in thousands of dollars)

	STIP		GEP	
	2012	2011	2012	2011
Investments	\$ 8,584,552	\$ 9,517,840	\$6,555,018	\$ 6,718,777
Investment of cash collateral	316,259	981,044	546,983	613,618
Securities lending collateral	(316,143)	(981,039)	(546,782)	(613,615)
Other assets (liabilities), net	1,209,493	1,155,441	(154,955)	(71,655)
Net position	\$9,794,161	\$10,673,286	\$6,400,264	\$6,647,125

The changes in net position for STIP and GEP for the years ending June 30, 2012 and 2011 are as follows:

(in thousands of dollars)

	STIP		GEP	
	2012	2011	2012	2011
Net position, beginning of year	\$10,673,286	\$ 9,143,437	\$6,647,125	\$ 5,611,455
Investment income	239,260	231,349	106,019	112,136
Net appreciation (depreciation) in fair value of investments	(19,574)	(43,594)	(143,769)	1,041,536
Transfer to TRIP	(1,158,000)	(1,154,383)		
Participant contributions (withdrawals), net	59,189	2,496,477	(209,111)	(118,002)
Net position, end of year	\$ 9,794,161	\$ 10,673,286	\$6,400,264	\$ 6,647,125

3. SECURITIES LENDING

The University and UCRS jointly participate in a securities lending program as a means to augment income. The Campus foundations' cash and cash equivalents and investments that are invested with the University and managed by the Chief Investment Officer are included in the University's investment pools that participate in the securities lending program. The campus foundations' allocated share of the program's cash collateral received, investment of cash collateral and collateral held for securities lending is determined based upon their equity in the investment pools. The Board of Trustees for each campus foundation may also authorize participation in a direct securities lending program.

Securities are lent to selected brokerage firms for which collateral received equals or exceeds the fair value of such investments lent during the period of the loan. Securities loans immediately terminate upon notice by either the University or the borrower. Collateral may be cash or securities issued by the U.S. government or its agencies, or the sovereign or provincial debt of foreign countries. Securities collateral cannot be pledged or sold by the University unless the borrower defaults.

Loans of domestic equities and all fixed-income securities are initially collateralized at 102 percent of the fair value of securities lent. Loans of foreign equities are initially collateralized at 105 percent. All borrowers are required to provide additional collateral by the next business day if the value of the collateral falls to less than 100 percent of the fair value of securities lent.

Cash collateral received from the borrower is invested by lending agents, as agents for the University, in investment pools in the name of the University, with guidelines approved by the University. These investments are shown as investment of cash collateral in the statement of net position. At June 30, 2012 and 2011, the securities in these pools had a weighted average maturity of 26 and 17 days, respectively. The University records a liability for the return of the cash collateral shown as collateral held for securities lending in the statement of net position. Securities collateral received from the borrower is held in investment pools by the University's custodial bank.

At June 30, 2012, the University had insignificant exposure to borrowers because the amounts the University owed the borrowers were substantially the same as the amounts the borrowers owed the University. The University is indemnified by its lending agents against any losses incurred as a result of borrower default.

The composition of the securities lending programs at June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
SECURITIES LENT						
<i>For cash collateral:</i>						
Equity securities:						
Domestic	\$ 505,311	\$ 371,742	\$ 2,873	\$ 13,599	\$3,201,270	\$ 2,152,833
Foreign	114,280	136,197		8,425	589,520	810,944
Fixed-income securities:						
U.S. government guaranteed	112,855	733,304			2,494,838	3,208,305
Other U.S. dollar denominated	936,288	860,907			1,167,566	1,397,135
Campus foundations' share	(62,178)	(102,429)	62,178	102,429		
Lent for cash collateral	1,606,556	1,999,721	65,051	124,453	7,453,194	7,569,217
<i>For securities collateral:</i>						
Equity securities:						
Domestic	33,462	25,911			238,015	189,301
Foreign	242,726	246,011			1,256,606	1,448,031
Fixed-income securities:						
U.S. government guaranteed	5,392	750,211			948,138	1,982,260
Other U.S. dollar denominated	4,570	207,065			3,092	952,308
Foreign currency denominated		3,485				3,434
Lent for securities collateral	286,150	1,232,683			2,445,851	4,575,334
Total securities lent	\$1,892,706	\$3,232,404	\$65,051	\$124,453	\$9,899,045	\$12,144,551
COLLATERAL RECEIVED						
Cash	\$1,692,732	\$2,145,682	\$ 2,945	\$ 22,803	\$ 7,542,665	\$ 7,729,038
Campus foundations' share	(62,178)	(102,429)	62,178	102,429		
Total cash collateral received	1,630,554	2,043,253	65,123	125,232	7,542,665	7,729,038
Securities	310,269	1,279,314			2,652,016	4,748,412
Total collateral received	\$1,940,823	\$3,322,567	\$65,123	\$125,232	\$10,194,681	\$12,477,450
INVESTMENT OF CASH COLLATERAL						
<i>Fixed-income securities:</i>						
Other U.S. dollar denominated:						
Corporate bonds	\$ 120,005	\$ 194,601			\$ 534,734	\$ 700,977
Commercial paper	18,116	76,052			80,722	273,948
U.S. agencies		108,655				391,391
Repurchase agreements	889,171	1,151,179	\$ 2,945	\$ 22,803	3,962,069	4,146,701
Corporate asset-backed securities	282,589	124,761			1,259,192	449,407
Certificates of deposit/time deposits	296,053	192,111			1,319,184	692,009
Supranational/foreign	108,411	297,876			483,070	1,072,992
Other assets (liabilities), net*	(20,991)	457			(93,533)	1,648
Campus foundations' share	(62,178)	(102,429)	62,178	102,429		
Investment of cash collateral	1,631,176	2,043,263	65,123	125,232	\$7,545,438	\$ 7,729,073
Less: Current portion	(1,388,262)	(1,603,647)	(55,863)	(103,194)		
Noncurrent portion	\$ 242,914	\$ 439,616	\$ 9,260	\$ 22,038		

* Other assets (liabilities), net is comprised of pending settlements of cash collateral investments.

The University earns interest and dividends on the collateral held during the loan period, as well as a fee from the brokerage firm, and is obligated to pay a fee and rebate to the borrower. The University receives the net investment income. The securities lending income and fees and rebates for the years ended June 30, 2012 and 2011 are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
Securities lending income	\$12,768	\$17,537	\$881	\$1,051	\$64,352	\$ 72,042
Securities lending fees and rebates	(2,400)	(5,542)	(200)	(328)	(12,093)	(22,770)
Securities lending investment income, net	\$10,368	\$11,995	\$681	\$ 723	\$52,259	\$ 49,272

Investment Risk Factors

There are a variety of potential risk factors involved in a securities lending program. Risks associated with the investment of cash collateral may include the credit risk from fixed-income securities, concentration of credit risk, interest rate risk and foreign currency risk. In addition, there may be custodial credit risk associated with both cash and securities received as collateral for securities lent.

The University's and UCRS' investment policies and other information related to each of these risks are summarized below. Campus foundations that participate in a securities lending program may have their own individual investment policies designed to limit the same risks.

Credit Risk

The University's and UCRS' investment policies for the investment of cash collateral maintained in separately managed collateral pools restrict the credit rating of issuers to no less than A-1, P-1 or F-1 for short-term securities and no less than A2/A for long-term securities. Asset-backed securities must have a rating of AAA.

The credit risk profile for fixed- or variable-income securities associated with the investment of cash collateral at June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
<i>Fixed- or variable-income securities:</i>						
Other U.S. dollar denominated:						
AAA	\$ 271,846	\$ 285,604			\$1,211,320	\$1,028,784
AA+	79,090	21,820			352,419	78,600
AA		294,676				1,061,461
AA-	135,902	97,738			605,565	352,064
A+	40,915	26,056			182,315	93,858
A-1 / P-1 / F-1	1,186,592	1,419,341			5,287,352	5,112,658
Not rated			\$ 2,945	\$ 22,803		
Other assets (liabilities), net* : Not rated	(20,991)	457			(93,533)	1,648
Campus foundations' share	(62,178)	(102,429)	62,178	102,429		

* Other assets (liabilities), net is comprised of pending settlements of cash collateral investments.

Custodial Credit Risk

Cash collateral received for securities lent is invested in pools by the University's lending agents. The University and UCRS securities related to the investment of cash collateral are registered in the University's name by the lending agents. Securities collateral received for securities lent are held in investment pools by the University's lending agents. As a result, custodial credit risk is remote.

Concentration of Credit Risk

The University's and UCRS' investment policy with respect to the concentration of credit risk associated with the investment of cash collateral in the separately managed collateral pools restricts investments in any single issuer of corporate debt securities, time deposits, certificates of deposit, bankers acceptances and money market funds to no more than 5 percent of the portfolio value at the time of purchase. Campus foundations that directly participate in a securities lending program do not have specific investment policies related to concentration of credit risk, although the lending agreements with the agents establish restrictions for the type of investments and minimum credit ratings.

Investments in issuers other than U.S. government guaranteed securities that represent 5 percent or more of the total investment of cash collateral held by individual foundations at June 30, 2012 and 2011 are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS	
	2012	2011
HSBC	\$700	\$5,416
Merrill D (Agency MBS)	700	
Citibank	699	5,416
Mizuho D (Agency MBS)	699	
UBS D (Agency MBS)	147	
JP Morgan Chase		5,416
Royal Bank of Canada		5,416
Deutsche Bank Securities		1,140

Interest Rate Risk

The nature of individual securities in the collateral pools allows for the use of weighted average maturity as an effective risk management measure. The University's and UCRS' investment policy with respect to the interest rate risk associated with the investment of cash collateral in the separately managed collateral pools requires the weighted average maturity of the entire collateral pool to be less than 120 days. The maturity of securities issued by the U.S. government and asset-backed securities must be less than five years, corporate debt obligations must be less than two years and time deposits must be less than 190 days. Floating rate debt may be used, but it is limited to 65 percent of the market value of the portfolio.

The weighted average maturity expressed in days for fixed- or variable-income securities associated with the investment of cash collateral at June 30, 2012 and 2011 is as follows:

(in days)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
<i>Fixed- or variable-income securities:</i>						
Other U.S. dollar denominated:						
Corporate bonds	48	23			48	23
Commercial paper	28	31			28	31
Repurchase agreements	14	8	3	1	14	8
U.S. agencies		15				15
Corporate asset-backed securities	23	15			23	15
Certificates of deposit/time deposits	45	26			45	26
Supranational/foreign	53	38			53	38
<i>Commingled funds:</i>						
Money market funds		1				1

Investment of cash collateral may include various asset-backed securities, structured notes and variable-rate securities that may be considered to be highly sensitive to changes in interest rates due to the existence of prepayment or conversion features, although the weighted average maturity may be short.

At June 30, 2012 and 2011, the fair value of investments that are considered to be highly sensitive to changes in interest rates is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM	
	2012	2011	2012	2011	2012	2011
Other asset-backed securities	\$282,589	\$124,761			\$1,259,192	\$ 449,407
Variable-rate investments	120,006	492,477			534,734	1,773,969
Campus foundations' share	(28,998)	(39,141)	\$28,998	\$39,141		
Total	\$373,597	\$578,097	\$28,998	\$39,141	\$1,793,926	\$2,223,376

At June 30, 2012 and 2011, the weighted average maturity expressed in days for asset-backed securities was 17 days and 15 days, respectively, and for variable-rate investments was 48 days and 32 days, respectively.

Foreign Currency Risk

The University's and UCRS' investment policy with respect to the foreign currency risk associated with the investment of cash collateral maintained in separate collateral pools restricts investments to U.S. dollar-denominated securities. Therefore, there is no foreign currency risk.

4. DERIVATIVE FINANCIAL INSTRUMENTS

The University may use derivatives including futures, forward contracts, options and interest rate swap contracts as a substitute for investment in equity and fixed-income securities, to reduce the effect of fluctuating foreign currencies on foreign currency-denominated investments, or to limit its exposure of variable-rate bonds to changes in market interest rates. The Board of Trustees for each campus foundation may also authorize derivatives in its investment policy.

The University enters into futures contracts for the purpose of acting as a substitute for investment in equity and fixed-income securities. A futures contract is an agreement between two parties to buy and sell a security or financial index, interest rate or foreign currency at a set price on a future date. They are standardized contracts that can be easily bought and sold and are exchange-traded. Upon entering into such a contract, the University is required to pledge to the broker an amount of cash or securities equal to the minimum initial margin requirements of the exchange on which the contract is traded. Pursuant to the contract, the University agrees to receive from, or pay to, the counterparty an amount of cash equal to the daily fluctuation in the value of the contract. These contracts are settled on a daily basis, with the resulting realized gain or loss included in the statement of revenues, expenses and changes in net position. The settlement amount at the end of each day for each of the contracts, or variation margin, is included in investments and represents the fair value of the contracts.

Forward contracts are similar to futures contracts, although they are not exchange-traded. Foreign currency exchange contracts are forward contracts used to hedge against foreign currency exchange rate risks on non-U.S. dollar-denominated investment securities and to increase or decrease exposure to various foreign currencies.

An option contract gives the University the right, but not the obligation, to buy or sell a specified security or index at a fixed price during a specified period for a nonrefundable fee (the "premium"). The maximum loss to the University is limited to the premium originally paid for covered options. The University initially records premiums paid for the purchase of these options in the statement of net position as an investment which is subsequently adjusted to reflect the fair value of the options, with unrealized gains and losses included in the statement of revenues, expenses and changes in net position.

Rights and warrants provide the holder with the right, but not the obligation, to buy a stock at a predetermined price for a finite period of time. Warrants usually have a longer time period to expiration. The holder of a right or warrant is permitted to buy at a price that may be below the actual market price for that stock. Warrants and rights cease to exist and become worthless if not used by their expiration date.

A swap is a contractual agreement entered into between the University and a counterparty under which each agrees to exchange periodic fixed or variable payments for an agreed period of time based upon a notional amount of principal or value of the underlying contract. The payments correspond to an equity index, an interest rate or to currency. The University considers its futures, forward contracts, options, rights, warrants and certain interest rate swaps to be investment derivatives.

As a means to lower the University's borrowing costs, when compared against fixed-rate bonds at the time of issuance, the University entered into interest rate swap agreements in connection with certain of its variable-rate Medical Center Pooled Revenue Bonds. The University has determined that certain of its interest rate swaps are derivative instruments that meet the criteria for an effective hedge. Certain of the interest rate swaps are considered hybrid instruments since, at the time of execution, the fixed rate on each of the swaps was off-market and the University received an up-front payment. As such, these swaps are comprised of a derivative instrument, an at-the-market swap that is an effective hedge, and a companion instrument, a borrowing represented by the up-front payment. The unamortized amount of the borrowing under the companion instrument was \$29.6 million and \$30.1 million at June 30, 2012 and 2011, respectively. In August 2011, the University retired \$25.8 million of variable-rate Medical Center Pooled Revenue Bonds and discontinued hedge accounting for the related interest rate swaps which are classified for fiscal year ended June 30, 2012 as investment derivatives. The University discontinued hedge accounting on an interest rate swap with a notional value of 50.0 million. The University recognized \$26.1 million on the statement of revenues, expenses and changes in net position as a decrease upon hedge termination.

The fair value balances and notional amounts of derivative instruments outstanding at June 30, 2012 and 2011, categorized by type, and the changes in fair value of such derivatives for the years then ended are as follows:

University of California

(in thousands of dollars)

CATEGORY	NOTIONAL AMOUNT		FAIR VALUE-POSITIVE (NEGATIVE)			CHANGES IN FAIR VALUE		
	2012	2011	CLASSIFICATION	2012	2011	CLASSIFICATION	2012	2011
INVESTMENT DERIVATIVES								
<i>Futures contracts:</i>								
<i>Domestic equity futures:</i>								
Long positions	371,221	238,874	Investments	\$ 9,524	\$ 1,725	Net appreciation (depreciation)	\$12,294	\$45,109
Short positions	(2,578)		Investments	(62)		Net appreciation (depreciation)	(60)	(303)
<i>Foreign equity futures:</i>								
Long positions	43,766	33,368	Investments	877	397	Net appreciation (depreciation)	11,639	3,267
Short positions	(6,252)	(9,524)	Investments	(57)	(130)	Net appreciation (depreciation)	729	(883)
Futures contracts, net				10,282	1,992		24,602	47,190
<i>Foreign currency exchange contracts, net:</i>								
Long positions	24,541	37,705	Investments	270	(127)	Net appreciation (depreciation)	16,054	40,678
Short positions	(674,570)	(486,844)	Investments	(6,978)	(5,005)	Net appreciation (depreciation)	9,779	(78,301)
Futures currency exchange contracts, net				(6,708)	(5,132)		25,833	(37,623)
<i>Swaps:</i>								
Fixed interest rate swaps	1,050,000		Investments	(32,879)		Net appreciation (depreciation)	(32,803)	
Total return swaps equity	7		Investments	(19)		Net appreciation (depreciation)	32	
Swaps, net				(32,898)			(32,771)	
Stock rights/warrants	458		Investments	2,746	1,400	Net appreciation (depreciation)	(969)	498
Options/swaptions	34,778		Investments	294		Net appreciation (depreciation)	(2)	
Total investment derivatives				\$(26,284)	\$ (1,740)		\$16,693	\$10,065
CASH FLOW HEDGES								
<i>Interest rate swaps:</i>								
Pay fixed, receive variable	207,890	260,690	Other assets (liabilities)	\$(69,495)	\$(47,092)	Deferred (inflows) outflows	\$(22,404)	\$16,990

University of California Campus Foundations

(in thousands of dollars)

CATEGORY	NOTIONAL AMOUNT		FAIR VALUE-POSITIVE (NEGATIVE)			CHANGES IN FAIR VALUE		
	2012	2011	CLASSIFICATION	2012	2011	CLASSIFICATION	2012	2011
INVESTMENT DERIVATIVES								
<i>Futures contracts:</i>								
Domestic commodity futures:								
Long positions	9,208	20,095	Investments	\$396	\$ (946)	Net appreciation (depreciation)	\$(2,991)	\$2,150
Short positions	(3,372)	(1,856)	Investments	(118)	(135)	Net appreciation (depreciation)	142	(135)
Futures contracts, net				\$278	\$(1,081)		\$(2,849)	\$2,015

University of California Retirement System

(in thousands of dollars)

CATEGORY	NOTIONAL AMOUNT		FAIR VALUE-POSITIVE (NEGATIVE)			CHANGES IN FAIR VALUE		
	2012	2011	CLASSIFICATION	2012	2011	CLASSIFICATION	2012	2011
INVESTMENT DERIVATIVES								
<i>Futures contracts:</i>								
Domestic equity futures:								
Long positions	1,070,259	1,049,748	Investments	\$27,258	\$ 8,947	Net appreciation (depreciation)	\$35,284	\$ 169,147
Short positions	(17,452)		Investments	(422)		Net appreciation (depreciation)	(430)	(2,586)
Foreign equity futures:								
Long positions	255,703	226,551	Investments	5,352	2,764	Net appreciation (depreciation)	29,307	23,806
Short positions	(44,444)	(75,766)	Investments	(436)	(1,033)	Net appreciation (depreciation)	6,429	(7,043)
Futures contracts, net				31,752	10,678		70,590	183,324
<i>Foreign currency exchange contracts, net:</i>								
Long positions	169,471	194,006	Investments	2,098	(939)	Net appreciation (depreciation)	(26,176)	34,949
Short positions	(189,242)	(226,053)	Investments	(2,886)	(437)	Net appreciation (depreciation)	21,636	(35,399)
Foreign currency exchange contracts, net				(788)	(1,376)		(4,540)	(450)
<i>Swaps:</i>								
Fixed interest rate swaps			Investments	1,718		Net appreciation (depreciation)	2,182	
Total return swaps equity	40		Investments	(109)		Net appreciation (depreciation)	182	
Swaps, net				1,609			2,364	
Stock rights/warrants	2,289		Investments	12,679	6,359	Net appreciation (depreciation)	(4,222)	2,218
Options/swaptions	211,740		Investments	1,786			(13)	
Total investment derivatives				\$47,038	\$15,661		\$64,179	\$185,092

Objectives and Terms of Hedging Derivative Instruments

The objectives and terms of the hedging derivative instruments outstanding at June 30, 2012 and 2011, along with the credit rating of the associated counterparty, are as follows:

(in thousands of dollars)

TYPE	OBJECTIVE	NOTIONAL AMOUNT		EFFECTIVE DATE	MATURITY DATE	CASH PAID OR RECEIVED	TERMS	COUNTERPARTY CREDIT RATING	FAIR VALUE	
		2012	2011						2012	2011
UNIVERSITY OF CALIFORNIA										
Pay fixed, receive variable interest rate swap	Hedge of changes in cash flows on variable-rate Medical Center Pooled Revenue Bonds	83,115	85,915	2007	2032	None	Pay fixed 3.5897%; receive 58% of 1-Month LIBOR* plus 0.48%	A2/A	\$ (16,743)	\$ (9,133)
Pay fixed, receive variable interest rate swap	Hedge of changes in cash flows on variable-rate Medical Center Pooled Revenue Bonds	124,775	174,775	2008	2030 through 2043	None	Pay fixed 4.6359%; receive 67% of 3-Month LIBOR* plus 0.69%**	A2/A+	(52,752)	(37,959)
									\$(69,495)	\$(47,092)

* London Interbank Offered Rate (LIBOR)

**Weighted average spread

Hedging Derivative Financial Instrument Risk Factors

Credit Risk

The University could be exposed to credit risk if the interest rate swap counterparties to the contracts are unable to meet the terms of the contracts. Contracts with positive fair values are exposed to credit risk. The University faces a maximum possible loss equivalent to the amount of the derivative's fair value, less any collateral held by the University provided by the counterparty. Contracts with negative fair values are not exposed to credit risk.

Although the University has entered into the interest rate swaps with creditworthy financial institutions to hedge its variable-rate debt, there is credit risk for losses in the event of non-performance by counterparties or unfavorable interest rate movements.

There are no collateral requirements related to the interest rate swap with the \$83.1 million notional amount. Depending on the fair value related to the swap with the \$124.8 million notional amount, the University may be entitled to receive collateral from the counterparty to the extent the positive fair value exceeds \$35.0 million, or be obligated to provide collateral to the counterparty if the negative fair value of the swap exceeds \$75.0 million or the cash and investments held by the medical centers fall below \$250.0 million. As of June 30, 2012, collateral of \$7.2 million was required. No collateral was required as of June 30, 2011.

Interest Rate Risk

There is a risk the value of the interest rate swaps will decline because of changing interest rates. The values of the interest rate swaps with longer maturities tend to be more sensitive to changing interest rates and, therefore, more volatile than those with shorter maturities.

Basis Risk

There is a risk that the basis for the variable payment received on interest rate swaps will not match the variable payment on the bonds. This exposes the University to basis risk whenever the interest rates on the bonds are reset. The interest rate on the bonds is a tax-exempt interest rate, while the basis of the variable receipt on the interest rate swaps is taxable. Tax-exempt interest rates can change without a corresponding change in the LIBOR rate due to factors affecting the tax-exempt market which do not have a similar effect on the taxable market. However, there is no basis or tax risk related to the swap with the \$124.8 million notional amount since the variable-rate the University pays to the bond holders matches the variable-rate payments received from the swap counterparty and the interest rates are reset at the same intervals.

Termination Risk

There is termination risk for interest rate swaps associated with variable-rate bonds in the event of non-performance by counterparties in an adverse market resulting in cancellation of the synthetic interest rate and returning the interest rate payments to the variable interest rates on the bonds. In addition, depending on the agreement, certain interest rate swaps may be terminated if the swap counterparty's credit quality rating, as issued by Moody's or Standard & Poor's, falls below certain thresholds. For the interest rate swap with the \$83.1 million notional amount, the termination threshold is reached when the credit quality rating for either the underlying Medical Center Pooled Revenue Bonds or swap counterparty falls below Baa2 or BBB. For the swap with the \$124.8 million notional amount, the termination threshold is reached when the credit quality rating for the underlying Medical Center Pooled Revenue Bonds falls below Baa1/BBB, or the interest rate swap counterparty's rating falls below Baa1/BBB+. At termination, the University may also owe a termination payment if there is a realized loss based on the fair value of the interest rate swap.

5. INVESTMENTS HELD BY TRUSTEES

The University has entered into agreements with trustees to maintain trusts for the University's self-insurance programs, long-term debt requirements, capital projects and certain other requirements. In addition, the state of California retains on deposit certain proceeds from the sale of lease-revenue bonds to be used for capital projects. The combined fair value of all of the investments and deposits held by trustees was \$1.6 billion and \$1.0 billion at June 30, 2012 and 2011, respectively.

Self-Insurance Programs

Investments held by trustees for self-insurance programs include separate trusts for the workers' compensation and professional medical and hospital liability programs. Securities are held by the trustees in the name of the University. The trust agreements permit the trustees to invest in U.S. and state government or agency obligations, corporate debt securities, commercial paper or certificates of deposit.

The composition of cash and investments and the effective durations associated with fixed-income securities for self-insurance programs at June 30, 2012 and 2011 are as follows:

(in thousands of dollars)

	INVESTMENTS AT FAIR VALUE		EFFECTIVE DURATION	
	2012	2011	2012	2011
Cash	\$ (6,135)	\$ (844)		
Commingled funds:				
U.S. bond funds	575,782	514,561		0.0
Money market funds	39,811	61,850		
U.S. equity funds	104,870	97,426		
Total	\$714,328	\$ 672,993		

Self-insurance investments are held in externally managed commingled funds with underlying credit ratings ranging from B to AAA, where applicable.

Long-Term Debt

Investments held by trustees for future payment of principal and interest in accordance with various indenture and other long-term debt requirements totaled \$325.6 million and \$57.4 million at June 30, 2012 and 2011, respectively.

The state financing appropriations to the University are deposited in commingled U.S. bond funds managed by the state of California Treasurer's Office, as trustee, and used to satisfy the annual lease requirements under lease-purchase agreements with the state of California. The fair value of these deposits was \$52.9 million and \$52.5 million at June 30, 2012 and 2011, respectively.

In addition, other securities held by trustees are held in the name of the University. These trust agreements permit trustees to invest in U.S. and state government or agency obligations, commercial paper or other corporate obligations meeting certain credit rating requirements. The fair value of these investments was \$272.7 million and \$4.9 million at June 30, 2012 and 2011, respectively.

Capital Projects

Investments held by trustees to be used for capital projects totaled \$539.9 million and \$283.8 million at June 30, 2012 and 2011, respectively.

Proceeds from the sale of the state of California's lease revenue bonds to be used for financing certain University capital projects are deposited in a commingled U.S. bond fund managed by the state of California Treasurer's Office, as trustee, and distributed to the University as the projects are constructed. The fair value of these deposits was \$486.1 million and \$236.5 million at June 30, 2012 and 2011, respectively.

In addition, proceeds from the sale of bonds and certain University funds are held by trustees to be used for financing other capital projects. The fair value of these investments was \$53.8 million and \$47.3 million at June 30, 2012 and 2011, respectively. Substantially all of these investments are of a highly liquid, short-term nature.

University deposits into the trusts, or receipts from the trusts, are classified as an operating activity in the University's statement of cash flows if related to the self-insurance programs, or a capital and related financing activity if related to long-term debt requirements or a capital project. Deposits directly into trusts by third parties, investment transactions initiated by trustees in conjunction with the management of trust assets and payments from trusts directly to third parties are not included in the University's statement of cash flows.

6. ACCOUNTS RECEIVABLE

Accounts receivable and the allowance for doubtful accounts at June 30, 2012 and 2011 are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA					UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS
	STATE AND FEDERAL GOVERNMENT	MEDICAL CENTERS	INVESTMENT INCOME	OTHER	TOTAL	
<i>At June 30, 2012</i>						
Accounts receivable	\$577,037	\$1,564,165	\$97,852	\$1,508,890	\$3,747,944	\$23,062
Allowance for doubtful accounts	(3,240)	(266,352)		(61,972)	(331,564)	
Accounts receivable, net	\$573,797	\$1,297,813	\$97,852	\$1,446,918	\$3,416,380	\$23,062
<i>At June 30, 2011</i>						
Accounts receivable	\$576,100	\$1,431,697	\$97,042	\$1,210,919	\$3,315,758	\$20,273
Allowance for doubtful accounts	(2,265)	(280,811)		(43,093)	(326,169)	
Accounts receivable, net	\$573,835	\$1,150,886	\$97,042	\$1,167,826	\$2,989,589	\$20,273

The University's other accounts receivable are primarily related to private grants and contracts, physicians' professional fees, investment sales, tuition and fees, auxiliary enterprises, insurance rebates and legal settlements.

The campus foundations' accounts receivable are primarily related to investment income.

The expense for doubtful accounts have either increased or (decreased) the following revenues for the years ended June 30, 2012 and 2011:

(in thousands of dollars)

	2012	2011
Student tuition and fees	\$ (3,558)	\$ (1,112)
Grants and contracts:		
Federal	(1,221)	(834)
State	69	611
Private	(1,650)	338
Local	80	(309)
Medical centers	(303,792)	(266,413)
Educational activities	(10,459)	(9,650)
Auxiliary enterprises	(982)	(735)
Other operating revenues	(1,465)	(1,027)
Expense for doubtful accounts	\$(322,978)	\$(279,131)

Retirement System Contribution

The state of California agreed to make contributions related to certain prior years to the University for UCRP in annual installments over 30 years. During the years ended June 30, 2012 and 2011, under the terms of these agreements, the state of California contributed \$11.3 million, respectively, including interest at rates ranging from 8.0 percent to 8.5 percent. At June 30, 2012 and 2011, the remaining amounts owed to UCRP by the state were \$36.6 million and \$43.8 million, respectively. These amounts are recorded in the University's statement of net position as a receivable from the state of California and as a liability owed to UCRP.

7. PLEDGES RECEIVABLE

The composition of pledges receivable at June 30, 2012 and 2011 is summarized as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS	
	2012	2011	2012	2011
Total pledges receivable outstanding	\$ 128,127	\$ 139,618	\$ 861,253	\$ 777,172
Less: Unamortized discount to present value	(4,823)	(6,072)	(141,437)	(133,873)
Allowance for uncollectible pledges	(14,494)	(11,074)	(78,682)	(89,399)
Total pledges receivable, net	108,810	122,472	641,134	553,900
Less: Current portion of pledges receivable	(48,829)	(54,101)	(141,644)	(133,562)
Noncurrent portion of pledges receivable	\$ 59,981	\$ 68,371	\$ 499,490	\$ 420,338

Future receipts under pledge agreements for each of the five fiscal years subsequent to June 30, 2012 and thereafter are as follows:

(in thousands of dollars)

Year Ending June 30	UNIVERSITY OF CALIFORNIA	UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS
	2013	\$ 60,928
2014	30,978	88,311
2015	17,379	115,555
2016	8,017	54,493
2017	4,850	36,336
2018-2021	2,771	213,000
Beyond 2021	3,204	177,286
Total payments on pledges receivable	\$ 128,127	\$ 861,253

Adjustments to the allowance for uncollectible pledges for the University have decreased the following revenues for the years ended June 30, 2012 and 2011:

(in thousands of dollars)

	2012	2011
Private gifts	\$ (545)	\$ (821)
Capital gifts and grants	(3,275)	(1,186)

8. NOTES AND MORTGAGES RECEIVABLE

Notes and mortgages receivable at June 30, 2012 and 2011, along with the allowance for uncollectible amounts, are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA				UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS		
	CURRENT	NONCURRENT		TOTAL	CURRENT	NONCURRENT	TOTAL
		NOTES	MORTGAGES				
<i>At June 30, 2012</i>							
Notes and mortgages receivable	\$40,222	\$309,423	\$25,043	\$334,466	\$10	\$1,394	\$1,404
Allowance for uncollectible amounts	(5,395)	(17,816)	(141)	(17,957)			
Notes and mortgages receivable, net	\$34,827	\$291,607	\$24,902	\$316,509	\$10	\$1,394	\$1,404
<i>At June 30, 2011</i>							
Notes and mortgages receivable	\$37,241	\$304,601	\$26,894	\$331,495	\$10	\$978	\$988
Allowance for uncollectible amounts	(4,882)	(15,799)	(142)	(15,941)			
Notes and mortgages receivable, net	\$32,359	\$288,802	\$26,752	\$315,554	\$10	\$978	\$988

9. DOE NATIONAL LABORATORY CONTRACTS

Los Alamos National Security, LLC (LANS)

LANS operates and manages the DOE's LANL. LANS' current earnings or losses are dependent on the percentage of base and incentive fees earned under the terms of the contract, offset by any unallowable or disallowed costs. While the University has a 50 percent membership interest in LANS, its equity in the current earnings or losses is subject to certain limitations and special allocations of both the fees and costs. As a result, the University's equity in the current earnings or losses may range from 17.0 to 50.0 percent. For the years ended June 30, 2012 and 2011, the University recorded \$17.7 million and \$17.1 million, respectively, as its equity in the current earnings of LANS and received \$18.2 million and \$21.1 million in cash distributions in 2012 and 2011, respectively.

Lawrence Livermore National Security, LLC (LLNS)

LLNS manages and operates the DOE's LLNL. LLNS' current earnings or losses are dependent on the percentage of base and incentive fees earned under the terms of the contract, offset by any unallowable or disallowed costs. While the University has a 50 percent membership interest in LLNS, its equity in the current earnings or losses is 36.3 percent. For the years ended June 30, 2012 and 2011, the University recorded \$14.7 million and \$14.6 million, respectively, as its equity in the current earnings of LLNS and received \$15.0 million and \$14.2 million in cash distributions, respectively.

10. CAPITAL ASSETS

The University's capital asset activity for the years ended June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	2010	ADDITIONS	DISPOSALS	2011	ADDITIONS	DISPOSALS	2012
ORIGINAL COST							
Land	\$ 717,734	\$ 25,435	\$ (1,148)	\$ 742,021	\$ 38,100	\$ (39)	\$ 780,082
Infrastructure	517,025	38,663	(295)	555,393	20,411		575,804
Buildings and improvements	23,876,616	1,875,653	(48,846)	25,703,423	2,273,723	(41,271)	27,935,875
Equipment, software and intangibles	5,134,545	554,103	(246,247)	5,442,401	729,593	(274,061)	5,897,933
Libraries and collections	3,442,154	120,905	(12,370)	3,550,689	121,103	(59,344)	3,612,448
Special collections	319,337	12,061	(4,890)	326,508	19,137	(1,194)	344,451
Construction in progress	2,843,556	98,086		2,941,642	(155,807)		2,785,835
Capital assets, at original cost	\$ 36,850,967	\$ 2,724,906	\$ (313,796)	\$ 39,262,077	\$ 3,046,260	\$ (375,909)	\$ 41,932,428

	2010	DEPRECIATION AND AMORTIZATION	DISPOSALS	2011	DEPRECIATION AND AMORTIZATION	DISPOSALS	2012
ACCUMULATED DEPRECIATION AND AMORTIZATION							
Infrastructure	\$ 227,724	\$ 19,055	\$ (115)	\$ 246,664	\$ 18,165		\$ 264,829
Buildings and improvements	8,351,975	837,961	(26,773)	9,163,163	873,896	\$ (15,818)	10,021,241
Equipment, software and intangibles	3,378,587	430,126	(206,315)	3,602,398	465,262	(226,623)	3,841,037
Libraries and collections	2,429,630	117,696	(7,751)	2,539,575	119,958	(37,930)	2,621,603
Accumulated depreciation and amortization	\$ 14,387,916	\$ 1,404,838	\$ (240,954)	\$ 15,551,800	\$ 1,477,281	\$ (280,371)	\$ 16,748,710
Capital assets, net	\$ 22,463,051			\$ 23,710,277			\$ 25,183,718

11. SELF-INSURANCE, OBLIGATIONS UNDER LIFE INCOME AGREEMENTS AND OTHER LIABILITIES

The University's self-insurance and other liabilities at June 30, 2012 and 2011 are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA				UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS			
	2012		2011		2012		2011	
	CURRENT	NONCURRENT	CURRENT	NONCURRENT	CURRENT	NONCURRENT	CURRENT	NONCURRENT
Self-insurance programs	\$ 177,574	<u>\$ 421,602</u>	\$ 158,776	<u>\$ 430,300</u>				
Obligations under life income agreements	898	<u>\$ 24,706</u>	863	<u>\$ 26,856</u>	\$ 20,877	<u>\$ 146,175</u>	\$ 21,486	<u>\$ 147,332</u>
Other liabilities:								
Compensated absences	425,007	258,300	433,465	\$ 239,462				
UCRP*		27,934		36,161				
Accrued interest	100,518		82,327					
Fair value of interest rate swaps		69,495		47,092				
Other	359,701	155,617	312,260	131,391	5,717	18,658	1,176	17,246
Total	\$ 1,063,698	\$ 511,346	\$ 987,691	\$ 454,106	\$ 26,594	\$ 18,658	\$ 22,662	\$ 17,246

* UCRP has an equivalent amount recorded as a contribution receivable from the University in its statement of fiduciary net position.

Self-Insurance Programs

Changes in self-insurance liabilities for the years ended June 30, 2012 and 2011 are as follows:

(in thousands of dollars)

	MEDICAL MALPRACTICE	WORKERS' COMPENSATION	EMPLOYEE & STUDENT HEALTH CARE	GENERAL LIABILITY	TOTAL
<i>Year Ended June 30, 2012</i>					
Liabilities at June 30, 2011	\$193,592	\$301,759	\$ 5,560	\$88,165	\$589,076
Claims incurred and changes in estimates	27,633	66,651	233,956	19,091	347,331
Claim payments	(42,936)	(69,217)	(204,640)	(20,438)	(337,231)
Liabilities at June 30, 2012	\$178,289	\$299,193	\$ 34,876	\$86,818	\$599,176
Discount rate	5.0%	5.0%	Undiscounted	2.0%	

<i>Year Ended June 30, 2011</i>					
Liabilities at June 30, 2010	\$ 184,521	\$ 308,833	\$ 7,184	\$85,417	\$585,955
Claims incurred and changes in estimates	44,331	59,080	39,642	20,138	163,191
Claim payments	(35,260)	(66,154)	(41,266)	(17,390)	(160,070)
Liabilities at June 30, 2011	\$193,592	\$301,759	\$ 5,560	\$88,165	\$589,076
Discount rate	5.0%	5.0%	Undiscounted	2.0%	

Obligations Under Life Income Agreements

Changes in current and noncurrent obligations under life income agreements for the years ended June 30, 2012 and 2011 are as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA		UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS	
	ANNUITIES	LIFE BENEFICIARIES	ANNUITIES	LIFE BENEFICIARIES
<i>Year Ended June 30, 2012</i>				
Balance at June 30, 2011	\$12,137	\$15,582	\$50,424	\$118,394
New obligations to beneficiaries and change in liability, net	1,745	(547)	12,538	6,093
Payments to beneficiaries	(1,933)	(1,380)	(7,117)	(13,280)
Obligations under life income agreements at June 30, 2012	11,949	13,655	55,845	111,207
Less: Current portion	(472)	(426)	(7,250)	(13,627)
Noncurrent portion at June 30, 2012	\$11,477	\$13,229	\$48,595	\$ 97,580
<i>Year Ended June 30, 2011</i>				
Balance at June 30, 2010	\$ 11,518	\$ 16,275	\$56,061	\$107,954
New obligations to beneficiaries and change in liability, net	2,347	805	2,058	22,527
Payments to beneficiaries	(1,728)	(1,498)	(7,695)	(12,087)
Obligations under life income agreements at June 30, 2011	12,137	15,582	50,424	118,394
Less: Current portion	(397)	(466)	(6,960)	(14,526)
Noncurrent portion at June 30, 2011	\$11,740	\$15,116	\$43,464	\$103,868

12. DEBT

The University directly finances the construction, renovation and acquisition of facilities and equipment, or for such other purposes as are authorized by The Regents through the issuance of debt obligations or indirectly through structures that involve legally separate entities reported as blended component units. Commercial paper and bank loans provide for interim financing. Long-term financing includes revenue bonds, capital lease obligations and other borrowings.

The University's outstanding debt at June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	WEIGHTED AVERAGE INTEREST RATE	INTEREST RATE RANGE	MATURITY YEARS	2012	2011
INTERIM FINANCING:					
Commercial paper		0.1 - 0.4%	2012	\$ 1,322,810	\$ 799,810
LONG-TERM FINANCING:					
University of California General Revenue Bonds	4.3%	0.3 - 7.5%	2013-2112	8,088,720	5,955,242
University of California Limited Project Revenue Bonds	5.2%	2.0 - 6.3%	2013-2050	1,810,360	1,832,070
University of California Multiple Purpose Projects Revenue Bonds					87,830
University of California Medical Center Pooled Revenue Bonds	5.6%	2.9 - 6.6%	2013-2049	2,205,315	2,264,185
University of California Medical Center Revenue Bonds	5.2%	4.0 - 5.5%	2013-2039	80,795	83,720
Adjusted by: Unamortized deferred financing costs				(120,411)	(119,675)
Unamortized bond premium				255,550	231,266
University of California revenue bonds	4.7%			12,320,329	10,334,638
Capital lease obligations		0.0 - 10.0%	2012-2042	2,666,503	2,443,256
Other University borrowings		Various	2012-2047	318,518	197,415
Blended component unit revenue bonds, net	5.7%	3.0 - 6.5%	2013-2049	706,787	602,602
Total outstanding debt				17,334,947	14,377,721
Less: Commercial paper				(1,322,810)	(799,810)
Current portion of outstanding debt				(923,635)	(529,038)
Noncurrent portion of outstanding debt				\$15,088,502	\$13,048,873

Interest expense associated with financing projects during construction, net of any investment income earned on tax-exempt bond proceeds during construction, is capitalized. Total interest expense during the years ended June 30, 2012 and 2011 was \$708.3 million and \$636.4 million, respectively. Interest expense, net of investment income, totaling \$76.7 million and \$64.0 million was capitalized during the years ended June 30, 2012 and 2011, respectively. The remaining \$631.6 million in 2012 and \$572.4 million in 2011 is reported as interest expense in the statement of revenues, expenses and changes in net position.

Outstanding Debt Activity

The activity with respect to the University's current and noncurrent debt, including the revenue bonds associated with blended component units, for the years ended June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	UNIVERSITY REVENUE BONDS	CAPITAL LEASE OBLIGATIONS	OTHER UNIVERSITY BORROWINGS	BLENDED COMPONENT UNIT REVENUE BONDS	TOTAL
<i>Year Ended June 30, 2012</i>					
Long-term debt and capital leases at June 30, 2011	\$10,334,638	\$2,443,256	\$197,415	\$602,602	\$13,577,911
New obligations	2,459,715	427,432	205,005	109,735	3,201,887
Bond premium	47,604			995	48,599
Deferred financing costs	(13,451)				(13,451)
Refinancing or prepayment of outstanding debt	(254,040)	(9,715)	(13,138)		(276,893)
Scheduled principal payments	(243,530)	(194,470)	(70,764)	(6,454)	(515,218)
Amortization of bond premium	(23,322)			(354)	(23,676)
Amortization of deferred financing costs	12,715			263	12,978
Long-term debt and capital leases at June 30, 2012	12,320,329	2,666,503	318,518	706,787	16,012,137
Less: Current portion	(702,287)	(161,951)	(54,006)	(5,391)	(923,635)
Noncurrent portion at June 30, 2012	\$11,618,042	\$2,504,552	\$264,512	\$701,396	\$15,088,502
<i>Year Ended June 30, 2011</i>					
Long-term debt and capital leases at June 30, 2010	\$ 9,120,329	\$2,558,305	\$252,106	\$604,190	\$12,534,930
New obligations	1,835,571	39,723	31,714		1,907,008
Bond premium	44,808				44,808
Deferred financing costs	(31,342)				(31,342)
Refinancing or prepayment of outstanding debt	(412,875)				(412,875)
Scheduled principal payments	(213,635)	(154,772)	(86,405)	(1,360)	(456,172)
Amortization of bond premium	(19,541)			(491)	(20,032)
Amortization of deferred financing costs	11,323			263	11,586
Long-term debt and capital leases at June 30, 2011	10,334,638	2,443,256	197,415	602,602	13,577,911
Less: Current portion	(278,339)	(169,918)	(75,629)	(5,152)	(529,038)
Noncurrent portion at June 30, 2011	\$10,056,299	\$2,273,338	\$121,786	\$597,450	\$13,048,873

Commercial Paper

The University has available a \$2.0 billion commercial paper program, issued in two series, with tax-exempt and taxable components. Commercial Paper may be issued for interim/permanent financing for capital projects, interim financing of equipment, financing of working capital for the medical centers and other working capital needs, standby or interim financing for gift financed projects and working capital for the University.

The program's liquidity is supported by available investments in STIP and TRIP. Commercial paper is collateralized by a pledge of the revenues derived from the ownership or operation of the projects financed and constitute limited obligations of the University. There is no encumbrance, mortgage or other pledge of property securing commercial paper and the paper does not constitute general obligations of the University.

Commercial paper outstanding, including interest rates, at June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	2012		2011	
	INTEREST RATES	OUTSTANDING	INTEREST RATES	OUTSTANDING
Tax-exempt	0.1 - 0.2%	\$ 235,300	0.1-0.3%	\$ 246,300
Taxable	0.1 - 0.4%	1,087,510	0.1-0.4%	553,510
Total outstanding		\$1,322,810		\$ 799,810

University of California Revenue Bonds

Revenue bonds have financed various auxiliary, administrative, academic, medical center and research facilities of the University. They generally have annual principal and semiannual interest payments, serial and term maturities, contain sinking fund requirements and may have optional redemption provisions. Revenue bonds are not collateralized by any encumbrance, mortgage or other pledge of property, except pledged revenues, and do not constitute general obligations of The Regents. Revenue bond indentures require the University to use the facilities in a way which will not cause the interest on the tax-exempt bonds to be included in the gross income of the bondholders for federal tax purposes.

General Revenue Bonds are collateralized solely by General Revenues as defined in the Indenture. General Revenues are certain operating and nonoperating revenues of the University consisting of gross student tuition and fees; facilities and administrative cost recovery from contracts and grants; revenues from educational, auxiliary and other activities; and other revenues, including unrestricted investment income. The General Revenue Bond Indenture requires the University to set rates, charges and fees each year sufficient for General Revenues to pay for the annual principal and interest on the bonds and certain other financial covenants. General Revenues for the years ended June 30, 2012 and 2011 were \$9.7 billion and \$8.7 billion, respectively.

Limited Project Revenue Bonds are issued to finance auxiliary enterprises and are collateralized by a pledge consisting of the sum of the gross revenues of the specific projects. The Indenture requires the University to achieve the sum of gross project revenues equal to 1.1 times debt service and maintain certain other financial covenants. Pledged revenues for the years ended June 30, 2012 and 2011 were \$509.0 million and \$477.0 million, respectively.

Medical Center Pooled Revenue Bonds are issued to finance the University's medical center facilities and are collateralized by a joint and several pledge of the gross revenues of all five of the University's medical centers. Medical center gross revenues are excluded from General Revenues. The Medical Center Pooled Revenue Bond Indenture requires the medical centers to set rates, charges and fees each year sufficient for the medical center gross revenues to pay for the annual principal and interest on the bonds and certain other financial covenants. Gross revenues of the medical centers for the years ended June 30, 2012 and 2011 were \$6.9 billion and \$6.5 billion, respectively.

Medical Center Revenue Bonds have also financed certain facilities of one of the University's five medical centers and are collateralized by a pledge of the specific gross revenues associated with the medical center. The Medical Center Revenue Bond Indenture require one medical center to achieve debt service coverage of 1.1 times, set limitations on encumbrances, indebtedness, disposition of assets and transfer services, as well as maintain certain other financial covenants.

The pledge of revenues under Limited Project Revenue Bonds is subordinate to the pledge of revenues associated with General Revenue Bonds, but senior to pledges under commercial paper agreements or bank loans.

Medical Center gross revenues are not pledged for any purpose other than under the Indentures for the Medical Center Pooled Revenue Bonds, interest rate swap agreements and specific Medical Center Revenue Bonds. The pledge of medical center revenues under Medical Center Pooled Revenue Bonds is subordinate to the specific Medical Center Revenue Bonds. The pledge of medical center revenues for interest rate swap agreements may be at parity with or subordinate to specific Medical Center Revenue Bonds and Medical Center Pooled Revenue Bonds.

All indentures permit the University to issue additional bonds as long as certain conditions are met.

2012 Activity

In July 2011, General Revenue Bonds totaling \$1.2 billion, including \$550.0 million taxable fixed-rate notes, \$500.0 million taxable floating-rate notes and \$150.0 million taxable variable-rate demand bonds, were issued to finance pension contributions to UCRP, operating costs (on an interim basis) and issuance costs. The taxable fixed-rate notes have a stated interest rate of 0.5 percent for \$263.5 million, maturing in 2012, and 0.9 percent for \$286.5 million, maturing in 2013. The taxable floating-rate notes and taxable variable-rate demand bonds mature at various dates through 2041. The interest rates on the variable-rate demand bonds reset weekly, and, in the event of a failed remarketing, can be put back to The Regents for tender. In March and April 2012, the University amended the interest rate terms of the taxable floating-rate notes. The taxable floating-rate notes bear interest based on the one-month London Interbank Offer Rate (LIBOR) plus 0.54 percent.

In August 2011, General Revenue Bonds totaling \$399.8 million, including \$354.9 million tax-exempt bonds and \$44.9 million taxable bonds, were issued to finance and refinance certain facilities and projects of the University. Proceeds, including a bond premium of \$48.0 million, were used to pay for project construction and issuance costs and refund \$150.7 million of outstanding General Revenue Bonds and \$77.6 million of Multiple Purpose Project Revenue Bonds. The bonds mature at various dates through 2041. The tax-exempt bonds have a stated weighted average interest rate of 4.9 percent. The taxable

bonds have a stated weighted average interest rate of 4.7 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds.

In February 2012, General Revenue Bonds totaling \$860.0 million were issued to finance or refinance capital projects of the University or for such other purposes as authorized by The Regents. The bonds have a stated interest rate of 4.9 percent, maturing in 2112.

Subsequent Event

In July 2012, Limited Project Revenue Bonds totaling \$999.7 million, including \$899.3 million in tax-exempt and \$100.4 million in taxable bonds, were issued. Proceeds, including a bond premium of \$152.8 million, were used to finance certain facilities and projects of the University and refund \$853.9 million of outstanding Limited Project Revenue Bonds and outstanding General Revenue Bonds. The bonds mature at various dates through 2042. The tax-exempt bonds have a stated weighted average interest rate of 4.9 percent. The taxable bonds have a stated weighted average interest rate of 4.1 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds.

2011 Activity

In July 2010, General Revenue Bonds totaling \$144.0 million were issued to refinance certain facilities and projects of the University. Proceeds, including a bond premium of \$17.2 million, were also used to refund \$58.3 million of outstanding Multiple Purpose Projects Revenue Bonds and \$87.7 million of General Revenue Bonds. The bonds mature at various dates through 2024 and have a weighted average interest rate of 4.9 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds.

In September 2010, Limited Project Revenue Bonds totaling \$681.8 million, including \$486.1 million of taxable “Build America Bonds” and \$195.7 million of tax-exempt bonds, were issued to finance and re-finance certain facilities and projects of the University. Proceeds, including a bond premium of \$22.9 million, were used to pay for project construction and issuance costs and repay interim financing incurred prior to the issuance of the bonds, including commercial paper of \$18.2 million. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds.

The bonds mature at various dates through 2050. The taxable bonds have a stated weighted average interest rate of 6.0 percent and a net weighted average interest rate of 3.9 percent after the expected cash subsidy payment from the United States Treasury equal to 35.0 percent of the interest payable on the taxable bonds. The tax-exempt bonds have a stated weighted average interest rate of 4.5 percent.

In November 2010, Medical Center Pooled Revenue Bonds totaling \$757.3 million, including \$700.0 million of taxable “Build America Bonds,” \$9.2 million of taxable bonds and \$48.1 million of tax-exempt bonds, were issued to finance and refinance certain facilities and projects of the medical centers. Proceeds, including a bond premium of \$5.3 million, were used to pay for project construction and issuance costs. The bonds mature at various dates through 2048. The taxable bonds have a stated weighted average interest rate of 6.5 percent and a net weighted average interest rate of 4.2 percent after the expected cash subsidy payment from the United States Treasury equal to 35.0 percent of the interest payable on the taxable bonds. The taxable bonds have a weighted average interest rate of 5.2 percent. The tax-exempt bonds have a weighted average interest rate of 4.4 percent. The deferred premium will be amortized as a reduction to interest expense over the term of the bonds.

In December 2010, General Revenue Bonds totaling \$200.0 million of taxable “Build America Bonds” were issued to finance and refinance certain improvements and capital projects on various campuses. Proceeds were available to pay for project construction and issuance costs. The bonds were issued in an initial term rate mode and are subject to mandatory tender on March 1, 2013, upon which they are expected to be remarketed. The final maturity date is 2050. Through April 30, 2013, the taxable “Build America Bonds” have a stated interest rate of 2.0 percent and a net interest rate of 1.3 percent after the expected cash subsidy payment from the United States Treasury equal to 35.0 percent of the interest payable on the bonds.

In December 2010, California Statewide Communities Development Authority Recovery Zone Economic Development Bonds totaling \$48.7 million were issued to pay for project construction and issuance costs. The bonds mature in 2040. The bonds have a stated interest rate of 7.6 percent and a net interest rate of 4.2 percent after the expected cash subsidy payment from the United States Treasury equal to 45.0 percent of the interest payable on the bonds.

In January 2011, General Revenue Bonds totaling \$3.7 million, consisting of “Taxable-Clean Renewable Energy Bonds,” were issued to pay for project construction and issuance costs. The bonds mature in 2026 and have a stated interest rate of 5.8 percent and a net interest rate of 2.0 percent after the expected cash subsidy payment from the United States Treasury equal to 70.0 percent of the posted tax credit rate.

Capital Leases

The University has entered into lease-purchase agreements with the state of California that are recorded as capital leases. The state sells lease revenue bonds to finance construction of certain state-owned buildings to be used by the University. During the construction phase, the University acts as agent for the state. Bond proceeds remain on deposit with the state, as trustee, until the University is reimbursed as the project is constructed.

Upon completion, the buildings and equipment are leased to the University under terms and amounts that are sufficient to satisfy the state's lease revenue bond requirements with the understanding that the state will provide financing appropriations to the University to satisfy the annual lease requirements. At the conclusion of the lease term, ownership transfers to the University.

The University entered into lease-purchase agreements with the state totaling \$337.2 million during the year ended June 30, 2012, to finance the construction of various University projects. No agreements were entered into for the year ended June 30, 2011.

The state of California financing appropriation to the University under the terms of the lease-purchase agreements, recorded as nonoperating revenue, for the years ended June 30, 2012 and 2011 was \$200.1 million and \$202.2 million, respectively. The scheduled principal and interest, including accrued interest, reported in the University's financial statements for the years ended June 30, 2012 and 2011 contain amounts related to these lease-purchase agreements with the state of California as follows:

<i>(in thousands of dollars)</i>		
	2012	2011
Capital lease principal	\$104,200	\$ 98,890
Capital lease interest	118,191	111,436
Total	\$222,391	\$ 210,326

Associated with these lease-purchase agreements, in September 2012, the State Public Works Board (SPWB) of the State of California issued \$91.7 million in Lease Revenue Refunding Bonds (The Regents of the University of California) 2012 Series F in order to refund and defease all of the outstanding SPWB of the State of California Lease Revenue Bonds (The Regents of the University of California) 2002 Series A bonds.

Capital leases entered into with other lessors, typically for equipment, totaled \$90.2 million and \$39.7 million for the years ended June 30, 2012 and 2011, respectively.

Other University Borrowings

Other University borrowings consist of contractual obligations resulting from the acquisition of land or buildings and the construction and renovation of certain facilities, along with the borrowing component associated with a hybrid derivative instrument.

The University may use uncollateralized bank lines of credit with commercial banks to supplement commercial paper and to provide interim financing for buildings and equipment. The University may also enter into revolving lines of credit for general corporate purposes. Line of credit commitments, with various expiration dates through January 28, 2014, totaled \$319.7 million at June 30, 2012. Outstanding borrowings under these bank lines totaled \$168.1 million and \$55.7 million at June 30, 2012 and 2011, respectively.

Certain of the interest rate swaps are considered hybrid instruments. As such, the interest rate swaps are comprised of a derivative instrument and a companion instrument recorded as a borrowing. The unamortized amount of the borrowing was \$29.6 million and \$30.1 million at June 30, 2012 and 2011, respectively.

Blended Component Unit Revenue Bonds

Student Housing

The University has entered into ground leases with a legally separate, non-profit corporation that develops and owns student housing projects and related amenities and improvements on a University campus through the use of a single-project limited liability corporation (LLC). The LLC manages the premises. The University's reversionary interest in the land is not subordinated. All costs associated with the ownership, operation and management of the improvements are the obligation of the LLC. Student rental rates are established in order to provide for operating expenses and maintain the required debt service coverage ratios. The University is not responsible for any payments related to the ownership, operation or financing of the student housing. However, under GASB requirements, the financial position and operating results of this legally separate organization are incorporated into the University's financial reporting entity.

The LLC, through its conduit issuer, has outstanding Student Housing LLC Revenue Bonds to finance the construction of the student housing facility. The bonds generally have annual principal and semiannual interest payments, serial and term maturities, certain sinking fund requirements and optional redemption provisions. They are not collateralized by any encumbrance, mortgage or other pledge of property, except pledged revenues of the student housing project, and do not constitute general obligations of The Regents.

In December 2011, the LLC, through its conduit issuer, issued Student Housing LLC Revenue Bonds totaling \$94.5 million. Proceeds, including a bond premium of \$1.2 million, were used to refinance the debt of a third party to purchase a student housing project and pay issuance costs. Further, the remaining proceeds, with \$22.7 million in previously restricted bond funds, were used to refund \$103.1 million of outstanding Student Housing LLC Revenue Bonds.

At June 30, 2012, the LLC, through its conduit issuer, has outstanding Student Housing LLC Revenue Bonds totaling \$419.9 million. Proceeds, including a bond premium of \$1.7 million, were used to finance the construction of student housing projects and related amenities and improvements. The bonds mature at various dates through 2040 and have a weighted average interest rate of 5.5 percent.

Research Facilities

The University has a public/private partnership, for the purpose of developing, constructing and managing a neuroscience research laboratory building with a legally separate, non-profit corporation. In connection with the research laboratory building, the University entered into a ground lease with the corporation. The corporation has entered into a sub-ground lease with a developer to construct, own and manage the building. The University agreed to lease all of the space in the building from the developer. The University's base rent payments are equal to the principal and interest payments on the bonds issued by the corporation to finance the construction of the building. As security on the bonds, the developer has assigned all payments received from the University for the space lease to the bond trustee.

All of the board members of the non-profit corporation are appointed by the University and the University has the authority to determine the budget for the corporation. Under GASB requirements, the financial position and operating results of this legally separate organization are incorporated into the University's financial reporting entity.

The corporation, through a conduit issuer, has outstanding tax-exempt revenue bonds totaling \$19.7 million and taxable revenue bonds totaling \$188.0 million. Proceeds, including a bond premium of \$1.8 million, are principally to finance the construction of the research building. The tax-exempt revenue bonds mature at various dates from 2021 through 2025 and have a weighted average interest rate of 5.0 percent. They generally have annual serial maturities, semi-annual interest payments and optional redemption provisions. The taxable bonds mature in 2049 and have an interest rate of 6.5 percent. The taxable bonds were issued as "Build America Bonds," under which the U.S. Treasury is expected to send the non-profit corporation 35.0 percent of the semi-annual interest cost on the taxable bonds, making the net interest rate 4.2 percent post-subsidy. The bonds have a term maturity with various certain annual sinking fund requirements, semi-annual interest payments and optional redemption provisions.

In addition, the University has entered into a ground lease with a legally separate, non-profit corporation (the Consortium). The Consortium entered into an agreement with a developer to develop and own a research laboratory facility designed to expand collaborative work in stem cell research and facilitate its translation into tools and techniques to diagnose and treat degenerative diseases and other ailments. The developer is responsible for designing and constructing the research laboratory facility. All costs associated with the ownership, operation and management of the laboratory research facility are the obligation of the Consortium. The University, along with the other collaborative research partners, will lease space in the building. Under GASB requirements, the financial position and operating results of this legally separate organization are incorporated into the University's financial reporting entity.

The Consortium, through its conduit issuer, has outstanding revenue bonds totaling \$60.9 million. Proceeds, including a bond premium of \$3.1 million, are available to finance the construction of the research laboratory facility. The bonds mature at various dates through 2040 and have a weighted average interest rate of 4.9 percent. The bonds generally have annual principal and semiannual interest payments, serial and term maturities, certain sinking fund requirements and optional redemption provisions. Lease payments from the occupants of the building are pledged as collateral on the bonds. To the extent the lease payments are not sufficient to pay the debt service, the University is obligated to pay the shortfall.

Future Debt Service and Hedging Derivative Interest Rate Swaps

Future debt service payments for the University's fixed- and variable-rate debt and net receipts or payments on associated hedging derivative instruments for each of the five fiscal years subsequent to June 30, 2012 and thereafter are as presented below. Although not a prediction by the University of the future interest cost of the variable-rate bonds or the impact of the hedging derivative interest rate swaps, these amounts assume that current interest rates on variable-rate bonds and the current reference rates of the hedging derivative interest rate swaps will remain the same. As these rates vary, variable-rate bond interest payments and net hedging derivative interest rate swap payments will vary.

(in thousands of dollars)

	COMMERCIAL PAPER	UNIVERSITY REVENUE BONDS	CAPITAL LEASES		OTHER UNIVERSITY BORROWINGS	BLENDED COMPONENT UNIT REVENUE BONDS	TOTAL PAYMENTS	PRINCIPAL	INTEREST
			STATE	OTHER					
<i>Year Ending June 30</i>									
2013	\$1,323,483	\$ 1,088,601	\$ 237,606	\$ 55,961	\$ 56,557	\$ 45,338	\$ 2,807,546	\$ 2,056,793	\$ 750,753
2014		1,111,294	238,555	70,768	183,606	46,308	1,650,531	922,098	728,433
2015		813,863	237,634	23,117	25,155	47,219	1,146,988	440,509	706,479
2016		824,978	220,798	13,830	17,251	48,129	1,124,986	438,480	686,506
2017		799,777	220,756	8,207	10,701	62,758	1,102,199	435,820	666,379
2018-2022		3,933,522	1,064,559	20,777	9,451	248,207	5,276,516	2,255,582	3,020,934
2023-2027		3,697,739	802,879	23,210	4,965	259,043	4,787,836	2,348,043	2,439,793
2028-2032		3,306,168	638,936	28,239	5,243	257,282	4,235,868	2,404,683	1,831,185
2033-2037		2,889,968	133,984	34,357	5,262	253,857	3,317,428	2,090,809	1,226,619
2038-2042		2,468,759		38,711	4,679	150,548	2,662,697	1,951,450	711,247
2043-2047		993,275			2,204	69,308	1,064,787	632,439	432,348
2048-2112		3,963,241				26,022	3,989,263	1,224,553	2,764,710
Total future debt service	1,323,483	25,891,185	3,795,707	317,177	325,074	1,514,019	33,166,645	\$17,201,259	\$15,965,386
Less: Interest component of future payments	(673)	(13,705,995)	(1,337,897)	(103,907)	(6,556)	(810,358)	(15,965,386)		
Principal portion of future payments	1,322,810	12,185,190	2,457,810	213,270	318,518	703,661	17,201,259		
Adjusted by:									
Unamortized deferred financing costs		(120,411)				(4,576)	(124,987)		
Unamortized bond premium		255,550				7,702	263,252		
Present value of net minimum leases included in long-term debt				(4,577)			(4,577)		
Total debt	\$1,322,810	\$12,320,329	\$2,457,810	\$208,693	\$318,518	\$ 706,787	\$17,334,947		

Long-term debt does not include \$465.2 million and \$754.2 million of defeased liabilities at June 30, 2012 and 2011, respectively. Investments that have maturities and interest rates sufficient to fund retirement of these liabilities are being held in irrevocable trusts for the debt service payments. Neither the assets of the trusts nor the outstanding obligations are included in the University's statement of net position.

Medical Center Pooled Revenue bonds of \$83.1 million are variable-rate demand notes which give the debt holders the ability to tender the bonds back to the University upon demand. The University has entered into a bank standby bond purchase agreement to provide funds for the purchase of the bonds that have been tendered and not remarketed. The standby bond purchase agreement is scheduled to terminate on January 28, 2014. The University is required to repurchase any bonds held by the bank on the termination date of the agreement. The University has classified \$31.2 million and \$32.2 million of these bonds as current liabilities as of June 30, 2012 and 2011, respectively.

General Revenue bonds of \$150.0 million are variable-rate demand bonds which reset weekly, and, in the event of a failed remarketing, can be put back to the Regents for tender. The University has classified \$150.0 million of these bonds, issued in July 2011, as current liabilities as of June 30, 2012.

As rates vary, variable-rate bond interest payments and net swap payments will vary. Although not a prediction by the University of the future interest cost of the variable-rate bonds or the impact of the interest rate swaps, using rates as of June 30, 2012, combined debt service requirements of the variable-rate debt and net swap payments are as follows:

(in thousands of dollars)

	VARIABLE-RATE BONDS		INTEREST RATE SWAP, NET	TOTAL PAYMENTS
	PRINCIPAL	INTEREST		
<i>Year Ending June 30</i>				
2013	\$ 2,895	\$ 5,482	\$ 7,004	\$ 15,381
2014	3,000	5,448	6,915	15,363
2015	3,110	5,444	6,826	15,380
2016	3,230	5,460	6,734	15,424
2017	3,340	5,445	6,638	15,423
2018-2022	18,635	27,166	31,623	77,424
2023-2027	40,695	26,707	27,369	94,771
2028-2032	49,650	25,621	20,149	95,420
2033-2037	28,840	24,278	13,166	66,284
2038-2042	698,755	13,760	6,961	719,476
2043-2047	29,990	557	209	30,756
Total	\$882,140	\$145,368	\$133,594	\$1,161,102

13. THE UNIVERSITY OF CALIFORNIA RETIREMENT SYSTEM (UCRS)

Most University employees participate in UCRS. UCRS consists of the University of California Retirement Plan, a single employer, defined benefit plan funded with University and employee contributions; the University of California Retirement Savings Program that includes four defined contribution plans with options to participate in internally and externally managed investment portfolios generally funded with employee non-elective and elective contributions; and the California Public Employees' Retirement System (PERS) Voluntary Early Retirement Incentive Program (PERS-VERIP), a defined benefit plan for University employees who were members of PERS who elected early retirement. The Regents has the authority to establish and amend the benefit plans.

Condensed financial information related to each plan in UCRS for the years ended June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA RETIREMENT PLAN		UNIVERSITY OF CALIFORNIA RETIREMENT SAVINGS PROGRAM		UNIVERSITY OF CALIFORNIA PERS-VOLUNTARY EARLY RETIREMENT INCENTIVE PLAN		TOTAL	
	2012	2011	2012	2011	2012	2011	2012	2011
CONDENSED STATEMENT OF PLANS' FIDUCIARY NET POSITION								
Investments at fair value	\$42,066,296	\$42,273,447	\$12,279,193	\$11,875,709	\$63,189	\$68,862	\$54,408,678	\$54,218,018
Participants' interests in mutual funds			4,426,842	4,488,491			4,426,842	4,488,491
Investment of cash collateral	5,409,671	5,099,459	2,127,626	2,621,324	8,141	8,290	7,545,438	7,729,073
Other assets	1,125,409	482,147	438,858	165,060	1,141	205	1,565,408	647,412
Total assets	48,601,376	47,855,053	19,272,519	19,150,584	72,471	77,357	67,946,366	67,082,994
Collateral held for securities lending	5,407,683	5,099,436	2,126,843	2,621,312	8,138	8,290	7,542,664	7,729,038
Other liabilities	1,387,208	882,962	548,844	253,657	2,090	1,539	1,938,142	1,138,158
Total liabilities	6,794,891	5,982,398	2,675,687	2,874,969	10,228	9,829	9,480,806	8,867,196
Net position held in trust	\$41,806,485	\$41,872,655	\$16,596,832	\$16,275,615	\$62,243	\$67,528	\$58,465,560	\$58,215,798
CONDENSED STATEMENT OF CHANGES IN PLANS' FIDUCIARY NET POSITION								
Contributions	\$ 2,123,880	\$ 1,821,182	\$ 977,749	\$ 872,710			\$ 3,101,629	\$ 2,693,892
Net (depreciation) appreciation in fair value of investments	(783,531)	6,687,112	(192,647)	1,843,033	\$ (1,312)	\$ 11,429	(977,490)	8,541,574
Investment and other income, net	899,392	942,615	391,056	376,332	1,403	1,585	1,291,851	1,320,532
Total additions	2,239,741	9,450,909	1,176,158	3,092,075	91	13,014	3,415,990	12,555,998
Benefit payment and participant withdrawals	2,273,073	2,121,620	846,375	860,562	5,369	4,903	3,124,817	2,987,085
Plan expense	32,838	31,088	8,566	8,429	7	7	41,411	39,524
Total deductions	2,305,911	2,152,708	854,941	868,991	5,376	4,910	3,166,228	3,026,609
Increase in net position held in trust	(66,170)	7,298,201	321,217	2,223,084	(5,285)	8,104	249,762	9,529,389
Net position held in trust								
Beginning of year	41,872,655	34,574,454	16,275,615	14,052,531	67,528	59,424	58,215,798	48,686,409
End of year	\$41,806,485	\$41,872,655	\$16,596,832	\$16,275,615	\$62,243	\$67,528	\$58,465,560	\$58,215,798

Additional information on the retirement plans can be obtained from the 2011-2012 annual report of the University of California Retirement System.

University of California Retirement Plan

The University of California Retirement Plan (UCRP) provides lifetime retirement income, disability protection, death benefits and pre-retirement survivor benefits to eligible employees of the University of California and its affiliates. Membership in the retirement plan is required for all employees appointed to work at least 50 percent time for an indefinite period or for a definite period of a year or more. An employee may also become eligible by completing 1,000 hours of service within a 12-month period. Generally, five years of service are required for entitlement to plan benefits. The amount of the pension benefit is determined by salary rate, age and years of service credit with certain cost-of-living adjustments. The maximum monthly benefit is 100 percent of the employee's highest average compensation over a consecutive 36-month period, subject to certain limits imposed under the Internal Revenue Code.

The University's membership in UCRP consisted of the following at July 1, 2011, the date of the latest actuarial valuation:

	CAMPUSES AND MEDICAL CENTERS	DOE NATIONAL LABORATORIES	UNIVERSITY OF CALIFORNIA
Retirees and beneficiaries receiving benefits	43,729	12,567	56,296
Inactive members entitled to, but not yet receiving benefits	47,858	13,045	60,903
Active members:			
Vested	68,193	1,786	69,979
Nonvested	44,637	952	45,589
Total active members	112,830	2,738	115,568
Total membership	204,417	28,350	232,767

Contribution Policy

The Regents' contribution funding policy is based on a percentage of payroll using the entry age normal actuarial cost method. In determining the funding policy contribution, all July 1, 2010 amortization bases were combined to a single amortization base and amortized over a thirty-year period as a level dollar amount.

The total funding policy contribution rates as of July 1, 2011 are based on all of the Plan data, the actuarial assumptions and the Plan provisions adopted at the time of preparation of the actuarial valuation. They include all changes affecting future costs, adopted benefit changes, actuarial gains and losses and changes in the actuarial assumptions. Employee contributions by represented employees are subject to collective bargaining agreements. University and employee contributions were \$1.9 billion and \$272.4 million, respectively, during the year ended June 30, 2012. University and employee contributions were \$1.7 billion and \$143.3 million, respectively, during the year ended June 30, 2011.

LBNL is required to make employer and employee contributions in conformity with The Regents' contribution policy. In addition, under certain circumstances the University makes contributions to UCRP in behalf of LANL and LLNL retirees based upon a contractual arrangement with the DOE designed to maintain the 100 percent funded status of the LANL and LLNL segments within UCRP, and is reimbursed by the DOE.

Employee contributions to UCRP are accounted for separately and currently accrue interest at 6.0 percent annually. Upon termination, members may elect a refund of their contributions plus accumulated interest; vested terminated members who are eligible to retire may also elect monthly retirement income or a lump sum equal to the present value of their accrued benefits.

UCRP Benefits and Obligation to UCRP

The University's annual UCRP benefit expense is independently calculated for the campuses and medical centers and the DOE laboratories based upon the actuarially determined annual required contributions. The annual required contribution represents the level of funding that, if paid on an ongoing basis, is projected to cover the normal cost each year and amortize unfunded actuarial liabilities or surplus over a period of up to 30 years.

The University's annual UCRP benefit expense and related information for the years ended June 30, 2012 and 2011, segregated between the University and the DOE responsibility, is as follows:

(in thousands of dollars)

	CAMPUSES AND MEDICAL CENTERS		DOE NATIONAL LABORATORIES		UNIVERSITY OF CALIFORNIA	
	2012	2011	2012	2011	2012	2011
Actuarial valuation date	July 1, 2011	July 1, 2010	July 1, 2011	July 1, 2010	July 1, 2011	July 1, 2010
Annual required contribution	\$1,904,435	\$1,692,657	\$157,587	\$113,548	\$2,062,022	\$1,806,205
Interest on obligation to UCRP	138,046	120,105	(8,637)	482	129,409	120,587
Adjustment to annual required contribution	(157,478)	(131,624)	9,751	(544)	(147,727)	(132,168)
Annual UCRP cost	1,885,003	1,681,138	158,701	113,486	2,043,704	1,794,624
University contributions to UCRP	(1,523,187)	(1,441,927)	(326,641)	(235,074)	(1,849,828)	(1,677,001)
Increase (decrease) in obligation to UCRP	361,816	239,211	(167,940)	(121,588)	193,876	117,623
Obligation to UCRP						
Beginning of year	1,840,608	1,601,397	(115,164)	6,424	1,725,444	1,607,821
End of year	\$2,202,424	\$1,840,608	\$(283,104)	\$(115,164)	\$1,919,320	\$1,725,444
University contribution reimbursable from the DOE			\$326,640	\$235,074	\$326,640	\$235,074
DOE receivable for obligation to UCRP:						
Current			\$306,723	\$224,055	\$306,723	\$224,055
Total			306,723	224,055	306,723	224,055
DOE liability for obligation to UCRP:						
Noncurrent			283,104	115,164	283,104	115,164
Total			283,104	115,164	283,104	115,164
Net receivable for obligation to UCRP			\$23,619	\$108,891	\$23,619	\$108,891

The annual UCRP benefit cost, percentage of the annual UCRP benefit cost contributed to UCRP and the net obligation to UCRP for the University for the year ended June 30, 2012 and the preceding years are as follows:

(in thousands of dollars)

	CAMPUSES AND MEDICAL CENTERS	DOE NATIONAL LABORATORIES	UNIVERSITY OF CALIFORNIA
<i>Annual UCRP benefit cost:</i>			
June 30, 2012	\$1,885,003	\$158,701	\$2,043,704
June 30, 2011	1,681,138	113,486	1,794,624
June 30, 2010	1,597,534	89,845	1,687,379
<i>Percentage of annual cost contributed:</i>			
June 30, 2012	80.8%	205.8%	90.5%
June 30, 2011	85.8	207.1	93.4
June 30, 2010	4.1	92.9	8.8
<i>Net obligation (benefit) to UCRP:</i>			
June 30, 2012	\$2,202,423	\$(283,104)	\$1,919,320
June 30, 2011	1,840,608	(115,164)	1,725,444
June 30, 2010	1,601,397	6,424	1,607,821

Funded Status

Actuarial valuations represent a long-term perspective and involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. The projection of benefits does not explicitly incorporate the potential effects of the results of collective bargaining discussions on the contribution rate. Actuarially determined amounts are subject to periodic revisions as actual results are compared with past expectations and new estimates are made about the future.

All UCRP assets are available to pay any member's benefit. However, assets and liabilities for the campus and medical center segment of UCRP are internally tracked separately from the DOE national laboratory segments of UCRP.

The funded status of UCRP as of July 1, 2011 was as follows:

(in thousands of dollars)

	CAMPUSES AND MEDICAL CENTERS	DOE NATIONAL LABORATORIES	UNIVERSITY OF CALIFORNIA
Actuarial value of plan assets	\$35,315,069	\$ 7,442,202	\$42,757,271
Actuarial accrued liability	(43,011,985)	(8,819,321)	(51,831,306)
Unfunded actuarial accrued liability	\$(7,696,916)	\$(1,377,119)	\$(9,074,035)
Funded ratio	82.1%	84.4%	82.5%
Covered payroll	\$7,899,551	\$263,470	\$8,163,021
Unfunded actuarial accrued liability as a percentage of covered payroll	(97.4)%	(522.7)%	(111.2)%

The schedule of funding progress, presented as required supplementary information following the notes to the financial statements, includes multi-year trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits.

Actuarial Methods and Assumptions

Projections of benefits for financial reporting purposes are based upon the plan as understood by the University and plan members, and include the types of benefits provided at the time of each valuation and the historical cost pattern of sharing of benefit costs between the University and plan members to that point. The actuarial methods and assumptions used included techniques that are designed to reduce short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.

Significant actuarial methods and assumptions used in the valuation were:

- assumed return on investment of 7.5 percent per year;
- projected salary increases ranging from 4.3 to 6.8 percent per year;
- projected inflation at 3.5 percent;
- Entry Age Normal actuarial cost method;
- future life expectancy based upon recent group mortality experience; and
- assumed retirement ages, employee turnover and disability rates based on actual plan experience and future expectations for campuses, medical centers and LBNL.

The actuarial value of assets was determined by smoothing the effect of short-term volatility in the fair value of investments over a five-year period. The amortization period for the excess of actuarial accrued liability over the actuarial value of assets at July 1, 2011, for campuses and medical centers, the DOE national laboratories and total UCRP was 24 years for each.

University of California Retirement Savings Program

The University of California Retirement Savings Program includes four defined contribution plans providing retirement savings incentives that are generally available to all University employees. Participants' interests in the plans are fully and immediately vested and are distributable at retirement, termination of employment or death. Participants may also elect to defer distribution of the account until age 70 ½ or separation from service after age 70 ½, whichever is later, in accordance with Internal Revenue Code minimum distribution requirements. The plans also accept qualified rollover contributions.

Defined Contribution Plans

The Defined Contribution Plan (DC Plan) accepts both after-tax and pretax employee contributions that are fully vested. Pretax contributions are mandatory for all employees who are members of UCRP, as well as Safe Harbor participants (part-time, seasonal and temporary employees) who are not covered by Social Security. For UCRP members, monthly employee contributions range from approximately 2.0 percent to 4.0 percent of covered wages depending upon whether wages are below or above the Social Security wage base. For Safe Harbor participants, monthly employee contributions are 7.5 percent of covered wages. In April 2010, pre-tax employee contributions were discontinued, subject to collective bargaining for represented employees.

The University has a provision for matching employer and employee contributions to the DC Plan for certain summer session teaching or research compensation for eligible academic employees. The University may also make contributions in behalf of certain members of management. Employer contributions to the DC Plan were \$4.4 million and \$4.2 million for the years ended June 30, 2012 and 2011, respectively.

The Supplemental Defined Contribution Plan (SDC Plan) accepts employer contributions in behalf of certain designated employees. Employer contributions are fully vested and there is no provision for employee contributions. There were no employer contributions to the SDC Plan for the years ended June 30, 2012 or 2011.

Tax Deferred 403(b) Plan

The University's Tax-Deferred 403(b) Plan (403(b) Plan) accepts pretax employee contributions. The University may also make contributions in behalf of certain members of management. Employer contributions to the 403(b) Plan were \$1.6 million and \$1.5 million for the years ended June 30, 2012 and 2011, respectively.

457(b) Deferred Compensation Plan

The University's 457(b) Deferred Compensation Plan (457(b) Plan) accepts pretax employee contributions. The University may also make contributions in behalf of certain members of management. There were no employer contributions to the 457(b) Plan for the years ended June 30, 2012 and 2011.

Participants in the DC Plan, the SDC Plan, the 403(b) Plan and the 457(b) Plan may direct their elective and nonelective contributions to investment funds managed by the Chief Investment Officer. They may also invest account balances in certain mutual funds. The participants' interests in mutual funds is shown separately in the statement of plans' fiduciary net position.

University of California PERS-VERIP

The University of California PERS-VERIP is a defined benefit pension plan providing lifetime supplemental retirement income and survivor benefits to UC-PERS members who elected early retirement under provisions of the plan.

The University contributed to PERS in behalf of these UC-PERS members. As of July 1, 2012 there are 659 retirees or beneficiaries receiving benefits under this voluntary early retirement program.

The University and the DOE laboratories previously made contributions to the plan sufficient to maintain the promised benefits. The annual required contribution, net obligation to PERS-VERIP and any changes or adjustments to that obligation are all zero for the years ending June 30, 2012 and 2011.

14. RETIREE HEALTH BENEFIT COSTS AND OBLIGATIONS

The University administers single-employer health and welfare plans to provide health and welfare benefits, primarily medical, dental and vision, to eligible retirees and their eligible family members (retirees) of the University of California and its affiliates. The Regents has the authority to establish and amend the plans. Additional information can be obtained from the 2011–2012 annual report of the University of California Retiree Health Benefit Trust.

Membership in UCRP is required to become eligible for retiree health benefits. Participation in the retiree health benefit plans consisted of the following at July 1, 2011, the date of the latest actuarial valuation:

	CAMPUSES AND MEDICAL CENTERS	LBNL	UNIVERSITY OF CALIFORNIA
Retirees who are currently receiving benefits	34,559	1,675	36,234
Employees who may receive benefits at retirement	114,145	3,279	117,424
Total membership	148,704	4,954	153,658

Contribution Policy

The contribution requirements of the University and eligible retirees are established and may be amended by the University. The contribution requirements are based upon projected pay-as-you-go financing. University and retiree contributions toward premiums made under purchased plan arrangements are determined by applying the health plan contract rates across the number of participants in the respective plans. Premium rates for the self-insured plan contributions are set by the University based upon a trend analysis of the historic cost, utilization, demographics and administrative expenses to provide for the claims incurred and the actuarially determined level of incurred but not reported liability.

Contributions toward medical and dental benefits are shared between the University and the retiree. Contributions toward wellness benefits are made by the University. The University does not contribute toward the cost of other benefits available to retirees. Retirees employed by the University prior to 1990 and not rehired after that date are eligible for the University's maximum contribution if they retire before age 55 and have at least 10 years of service, or if they retire at age 55 or later and have at least 5 years of service. Retirees employed by the University after 1989 are subject to graduated eligibility provisions that generally require 10 years of service before becoming eligible for 50 percent of the maximum University contribution, increasing to 100 percent after 20 years of service.

Active employees do not make any contributions toward the retiree health benefit plans. Retirees pay the excess, if any, of the premium over the applicable portion of the University's contribution.

In addition to the explicit University contribution provided to retirees, there is an "implicit subsidy." The gross premiums for members that are not currently eligible for Medicare benefits are the same for active employees and retirees, based on a blend of their health costs. Retirees, on average, are expected to have higher health care costs than active employees. This is primarily due to the older average age of retirees. Since the same gross premiums apply to both groups, the premiums paid for active employees by the University are subsidizing the premiums for retirees. This effect is called the implicit subsidy. The implicit subsidy associated with retiree health costs paid during the past year is also considered to be a contribution from the University.

Retiree Health Benefit Expense and Obligation for Retiree Health Benefits

The University's retiree health benefit expense is independently calculated for the campuses and medical centers and LBNL based upon the actuarially determined annual required contribution. The annual required contribution represents the level of funding that, if paid on an ongoing basis, is projected to cover the normal cost each year and amortize unfunded actuarial liabilities over a period of up to 30 years.

The University's annual retiree health benefit expense and related information for the years ended June 30, 2012 and 2011, segregated between the University and the DOE responsibility, is as follows:

(in thousands of dollars)

	CAMPUSES AND MEDICAL CENTERS		LBNL		UNIVERSITY OF CALIFORNIA	
	2012	2011	2012	2011	2012	2011
Actuarial valuation date	July 1, 2011	July 1, 2010	July 1, 2011	July 1, 2010	July 1, 2011	July 1, 2010
Annual required contribution	\$1,761,348	\$ 1,927,158	\$ 60,835	\$ 63,395	\$1,822,183	\$ 1,990,553
Interest on obligations for retiree health benefits	281,054	202,253	8,104	5,744	289,158	207,997
Adjustment to annual required contribution	(543,440)	(374,791)	(15,638)	(10,854)	(559,078)	(385,645)
Annual retiree health benefit cost	1,498,962	1,754,620	53,301	58,285	1,552,263	1,812,905
University contributions:						
To UCRHBT	(292,279)	(258,995)			(292,279)	(258,995)
To health care insurers and administrators			(13,257)	(12,804)	(13,257)	(12,804)
Implicit subsidy	(54,074)	(54,927)	(2,397)	(2,561)	(56,471)	(57,488)
Total contributions	(346,353)	(313,922)	(15,654)	(15,365)	(362,007)	(329,287)
Increase in obligations for retiree health benefits	1,152,609	1,440,698	37,647	42,920	1,190,256	1,483,618
Obligations for retiree health benefits						
Beginning of year	5,110,073	3,669,375	147,349	104,429	5,257,422	3,773,804
End of year	\$6,262,682	\$5,110,073	184,996	147,349	6,447,678	5,257,422
Retiree health care reimbursement from the DOE during the year			13,257	12,804	13,257	12,804
DOE receivable for obligations for retiree health benefits						
Noncurrent			184,996	147,349	184,996	147,349
Total			\$184,996	\$ 147,349	\$ 184,996	\$ 147,349

The annual retiree health benefit cost, percentage of the annual retiree health benefit cost contributed to the retiree health benefit plan and the net obligation for retiree health benefits for the University for the year ended June 30, 2012 and the preceding years are as follows:

(in thousands of dollars)

	CAMPUSES AND MEDICAL CENTERS	DOE NATIONAL LABORATORIES	UNIVERSITY OF CALIFORNIA
<i>Annual retiree health benefit cost:</i>			
June 30, 2012	\$1,498,962	\$53,301	\$1,552,263
June 30, 2011	1,754,620	58,285	1,812,905
June 30, 2010	1,642,202	52,645	1,694,847
<i>Percentage of annual cost contributed:</i>			
June 30, 2012	23.2%	29.4%	23.4%
June 30, 2011	17.9	26.4	18.2
June 30, 2010	17.3	27.8	17.6
<i>Net obligation to the health benefit plan:</i>			
June 30, 2012	\$6,262,682	\$184,996	\$6,447,678
June 30, 2011	5,110,073	147,349	5,257,422
June 30, 2010	3,669,375	104,429	3,773,804

Funded Status

Actuarial valuations represent a long-term perspective and involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. Examples include assumptions about future employment, mortality, investment return and health care cost trends. The projection of benefits does not explicitly incorporate the potential effects of the results of collective bargaining discussions on the contribution rate. Actuarially determined amounts are subject to periodic revisions as actual rates are compared with past expectations and new estimates are made about the future.

The funded status of the plan as of July 1, 2011 was as follows:

(in thousands of dollars)

	CAMPUSES AND MEDICAL CENTERS	LBNL	UNIVERSITY OF CALIFORNIA
Actuarial value of plan assets	\$ 77,907		\$ 77,907
Actuarial accrued liability	(14,726,665)	\$(541,164)	(15,267,829)
Unfunded actuarial accrued liability	\$(14,648,758)	\$(541,164)	\$(15,189,922)
Value of the implicit subsidy included in the actuarial accrued liability	\$ 2,259,855	\$ 78,738	\$ 2,338,593
Funded ratio	0.5%	0.0%	0.5%
Covered payroll	\$ 7,899,551	\$ 263,470	\$ 8,163,021
Unfunded actuarial accrued liability as a percentage of covered payroll	(185.4)%	(205.4)%	(186.1)%

The schedule of funding progress, presented as required supplementary information following the notes to the financial statements, includes multi-year trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits.

Actuarial Methods and Assumptions

Projections of benefits for financial reporting purposes are based upon the plan as understood by the University and plan members, and include the types of benefits provided at the time of each valuation and the historical cost pattern of sharing of benefit costs between the University and plan members to that point. The actuarial methods and assumptions used include techniques that are designed to reduce short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.

Significant actuarial methods and assumptions used in the valuation were:

- assumed return on investment of 5.5 percent per year, representing the return on the University's assets expected to be used to finance benefits, smoothing the effect of gains and losses over a five-year period;
- health care cost trend rate ranging from 7.5 to 12.5 percent for non-Medicare and 7.5 to 20.0 percent for Medicare initially, depending on the type of plan, reduced by increments to an ultimate rate of 5 percent over nine years;
- projected inflation at 3.0 percent;
- amortization of the initial unfunded actuarial accrued liability over 30 years as a flat dollar amount on a closed basis;
- amortization of future actuarial gains and losses over 15 years as a flat dollar amount on a closed basis;
- amortization of the effects of changes in the plan design, or changes in assumptions, over 30 years as a flat dollar amount on a closed basis;
- Entry Age Normal actuarial cost method;
- future life expectancy based upon recent group mortality experience; and
- assumed retirement ages, employee turnover and disability rates based on actual plan experience and future expectations.

15. ENDOWMENTS AND GIFTS

Endowments and gifts are held and administered either by the University or by campus foundations.

University of California

The value of endowments and gifts held and administered by the University, exclusive of income distributed to be used for operating purposes, at June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA			
	RESTRICTED NONEXPENDABLE	RESTRICTED EXPENDABLE	UNRESTRICTED	TOTAL
<i>At June 30, 2012</i>				
Endowments	\$1,033,800	\$1,521,854	\$ 3,822	\$2,559,476
Funds functioning as endowments		2,038,194	1,365,236	3,403,430
Annuity and life income	23,387	4,284		27,671
Gifts		1,052,006	14,936	1,066,942
University endowments and gifts	\$1,057,187	\$4,616,338	\$1,383,994	\$7,057,519
<i>At June 30, 2011</i>				
Endowments	\$ 1,014,027	\$ 1,639,809	\$ 16,030	\$ 2,669,866
Funds functioning as endowments		2,235,705	1,411,812	3,647,517
Annuity and life income	20,635	4,196		24,831
Gifts		988,637	11,260	999,897
University endowments and gifts	\$ 1,034,662	\$ 4,868,347	\$ 1,439,102	\$ 7,342,111

The University's endowment income distribution policies are designed to preserve the value of the endowment in real terms (after inflation) and to generate a predictable stream of spendable income. Endowment investments are managed to achieve the maximum long-term total return. As a result of this emphasis on total return, the proportion of the annual income distribution provided by dividend and interest income and by capital gains may vary significantly from year to year. The University's policy is to retain the realized and unrealized appreciation with the endowment after the annual income distribution has been made. The net appreciation available to meet future spending needs, subject to the approval of The Regents, amounted to \$1.5 billion and \$1.6 billion at June 30, 2012 and 2011, respectively.

The portion of investment returns earned on endowments held by the University and distributed at the end of each year to support current operations for the following year is based upon a rate that is approved by The Regents. The annual income distribution transferred to the campuses from endowments held by the University was \$217.5 million and \$217.4 million for the years ended June 30, 2012 and 2011, respectively. The portion of this annual income distribution from accumulated capital gains, in addition to the dividend and interest income earned during the year, was \$157.1 million and \$152.5 million for the years ended June 30, 2012 and 2011, respectively. Accumulated endowment income available for spending in the future, including the annual income distribution, was \$534.7 million and \$537.3 million at June 30, 2012 and 2011, respectively.

Campus Foundations

The value of endowments and gifts held by the campus foundations and administered by each of their independent Board of Trustees at June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS			
	RESTRICTED NONEXPENDABLE	RESTRICTED EXPENDABLE	UNRESTRICTED	TOTAL
<i>At June 30, 2012</i>				
Endowments	\$2,508,383	\$ 613,063		\$3,121,446
Funds functioning as endowments		1,019,664		1,019,664
Annuity and life income	78,107	67,566		145,673
Gifts		1,101,562	\$ 147,096	1,248,658
Campus foundations' endowments and gifts	\$2,586,490	\$2,801,855	\$147,096	\$5,535,441
<i>At June 30, 2011</i>				
Endowments	\$ 2,356,031	\$ 723,922		\$3,079,953
Funds functioning as endowments		1,090,782		1,090,782
Annuity and life income	84,533	71,472		156,005
Gifts		876,844	\$ 206,351	1,083,195
Campus foundations' endowments and gifts	\$2,440,564	\$2,763,020	\$206,351	\$5,409,935

The campus foundations provided grants to the University's campuses totaling \$548.8 million and \$496.7 million during the years ended June 30, 2012 and 2011, respectively.

16. SEGMENT INFORMATION

The University's significant identifiable activities for which revenue bonds may be outstanding where revenue is pledged in support of revenue bonds are related to the University's medical centers. The medical centers' operating revenues and expenses consist primarily of revenues associated with patient care and the related costs of providing that care.

Condensed financial statement information related to each of the University's medical centers for the years ended June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA MEDICAL CENTERS				
	DAVIS	IRVINE	LOS ANGELES	SAN DIEGO	SAN FRANCISCO
<i>Year Ended June 30, 2012</i>					
Revenue bonds outstanding	\$329,874	\$288,495	\$644,120	\$176,387	\$847,234
Related debt service payments	\$31,880	\$23,071	\$41,471	\$14,184	\$54,187
Bonds due serially through	2047	2049	2049	2047	2049
CONDENSED STATEMENT OF NET POSITION					
Current assets	\$ 422,067	\$ 315,375	\$1,063,967	\$ 406,345	\$ 677,524
Capital assets, net	1,122,623	726,428	1,862,415	796,358	1,297,071
Other assets	26,162	39,542	9,834	11,226	402,363
Total assets	1,570,852	1,081,345	2,936,216	1,213,929	2,376,958
Total deferred outflow of resources			52,752		16,743
Current liabilities	192,730	154,785	307,700	138,731	263,972
Long-term debt	355,776	316,147	722,614	214,371	889,407
Other noncurrent liabilities		5,000	153,884	2,077	71,162
Total liabilities	548,506	475,932	1,184,198	355,179	1,224,541
Invested in capital assets, net of debt	727,648	420,363	1,051,459	557,388	759,131
Restricted					16,970
Unrestricted	294,698	185,050	753,311	301,362	393,059
Total net position	\$1,022,346	\$ 605,413	\$1,804,770	\$ 858,750	\$1,169,160
CONDENSED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION					
Operating revenues	\$1,337,229	\$734,569	\$1,798,681	\$1,044,942	\$1,977,134
Operating expenses	(1,207,599)	(628,497)	(1,485,601)	(903,947)	(1,791,290)
Depreciation expense	(84,821)	(48,414)	(104,124)	(45,110)	(90,259)
Operating income	44,809	57,658	208,956	95,885	95,585
Nonoperating revenues (expenses), net	(9,936)	(10,513)	(38,722)	220	5,161
Income before other changes in net position	34,873	47,145	170,234	96,105	100,746
Health systems support	(1,077)	(53,182)	(88,768)	(46,712)	(59,484)
Transfers (to) from University, net	42,403	(8,739)		46,746	
Other, including donated assets			8,182	11,399	4,394
Increase (decrease) in net position	76,199	(14,776)	89,648	107,538	45,656
Net position—June 30, 2011	946,147	620,189	1,715,122	751,212	1,123,504
Net position—June 30, 2012	\$1,022,346	\$605,413	\$1,804,770	\$ 858,750	\$1,169,160
CONDENSED STATEMENT OF CASH FLOWS					
Net cash provided (used) by:					
Operating activities	\$141,721	\$ 76,905	\$334,627	\$ 67,979	\$203,221
Noncapital financing activities	4,476	(53,172)	(92,391)	(44,789)	(57,511)
Capital and related financing activities	(101,162)	(86,297)	(180,236)	(97,105)	(509,654)
Investing activities	7,584	28,207	85,032	4,368	271,860
Net increase (decrease) in cash and cash equivalents	52,619	(34,357)	147,032	(69,547)	(92,084)
Cash and cash equivalents *—June 30, 2011	105,584	175,692	598,063	189,906	349,008
Cash and cash equivalents *—June 30, 2012	\$158,203	\$141,335	\$745,095	\$120,359	\$256,924

* Cash and cash equivalents on the medical centers' financial statements are included in the University's Short Term Investment Pool.

(in thousands of dollars)

UNIVERSITY OF CALIFORNIA MEDICAL CENTERS					
	DAVIS	IRVINE	LOS ANGELES	SAN DIEGO	SAN FRANCISCO
<i>Year Ended June 30, 2011</i>					
Revenue bonds outstanding	\$345,264	\$294,900	\$676,975	\$180,167	\$850,599
Related debt service payments	\$32,421	\$17,608	\$42,307	\$52,042	\$31,552
Bonds due serially through	2047	2049	2049	2049	2049
CONDENSED STATEMENT OF NET POSITION					
Current assets	\$ 367,458	\$ 307,482	\$ 907,111	\$ 389,056	\$ 756,924
Capital assets, net	1,111,322	712,025	1,728,111	687,612	957,406
Other assets	27,077	64,342	100,092	12,784	650,043
Total assets	1,505,857	1,083,849	2,735,314	1,089,452	2,364,373
Total deferred outflow of resources			37,959		9,133
Current liabilities	193,782	133,035	246,448	125,283	243,937
Long-term debt	365,928	325,625	698,744	212,957	946,642
Other noncurrent liabilities		5,000	112,959		59,423
Total liabilities	559,710	463,660	1,058,151	338,240	1,250,002
Invested in capital assets, net of debt	693,467	429,052	1,036,830	452,293	605,924
Restricted			17,469		13,491
Unrestricted	252,680	191,137	660,823	298,919	504,089
Total net position	\$ 946,147	\$ 620,189	\$ 1,715,122	\$ 751,212	\$ 1,123,504
CONDENSED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION					
Operating revenues	\$ 1,259,997	\$ 699,137	\$ 1,718,814	\$ 942,242	\$ 1,889,204
Operating expenses	(1,092,519)	(568,014)	(1,357,449)	(791,535)	(1,633,322)
Depreciation expense	(77,760)	(52,850)	(89,277)	(35,437)	(81,474)
Operating income	89,718	78,273	272,088	115,270	174,408
Nonoperating revenues, net	27,911	6,881	17,455	27,950	32,559
Income before other changes in net position	117,629	85,154	289,543	143,220	206,967
State and federal capital appropriations					
Health systems support	(41,066)	(48,147)	(85,548)	(55,905)	(42,395)
Transfers from University, net	17,569	1,022	24,854	2,024	
Other, including donated assets			3,481	15,851	27,003
Increase in net position	94,132	38,029	232,330	105,190	191,575
Net position—June 30, 2010	852,015	582,160	1,482,792	646,022	931,929
Net position—June 30, 2011	\$ 946,147	\$ 620,189	\$ 1,715,122	\$ 751,212	\$ 1,123,504
CONDENSED STATEMENT OF CASH FLOWS					
Net cash provided (used) by:					
Operating activities	\$138,755	\$144,378	\$404,572	\$146,161	\$252,739
Noncapital financing activities	(764)	(34,246)	(57,969)	(31,375)	(5,801)
Capital and related financing activities	(127,832)	(81,483)	(163,277)	(147,763)	492,565
Investing activities	3,606	44,395	8,703	37,588	(607,687)
Net increase in cash and cash equivalents	13,765	73,044	192,029	4,611	131,816
Cash and cash equivalents* – June 30, 2010	91,819	102,648	406,034	185,295	217,192
Cash and cash equivalents* – June 30, 2011	\$105,584	\$175,692	\$598,063	\$189,906	\$349,008

* Cash and cash equivalents on the medical centers' financial statements are included in the University's Short Term Investment Pool.

Summarized financial information for each medical center is from their separately audited financial statements. Certain revenue, such as financial support from the state for clinical teaching programs, is classified as state educational appropriations rather than medical center revenue in the University's statement of revenues, expenses and changes in net position. However, in the medical centers' separately audited financial statements and for segment reporting purposes, these revenues are classified as operating revenue.

Multiple purpose and housing system projects (including student and faculty housing, parking facilities, student centers, recreation and events facilities, student health service facilities and certain academic and administrative facilities) are also financed by revenue bonds; however, assets and liabilities are not required to be accounted for separately.

Additional information on the individual University of California Medical Centers can be obtained from their separate June 30, 2012 audited financial statements.

17. CAMPUS FOUNDATION INFORMATION

Under University policies approved by The Regents, each individual campus may establish a separate foundation to provide valuable assistance in fundraising, public outreach and other support for the missions of the campus and the University. Although independent boards govern these foundations, their assets are dedicated for the benefit of the University of California.

Condensed financial statement information related to the University's campus foundations, including their allocated share of the assets and liabilities associated with securities lending transactions in the University's investment pools, for the years ended June 30, 2012 and 2011 is as follows:

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS				
	BERKELEY	SAN FRANCISCO	LOS ANGELES	ALL OTHER	TOTAL
<i>Year Ended June 30, 2012</i>					
CONDENSED STATEMENT OF NET POSITION					
Current assets	\$ 103,960	\$ 127,390	\$ 228,065	\$ 200,030	\$ 659,445
Noncurrent assets	1,341,790	935,120	1,774,226	1,310,015	5,361,151
Total assets	1,445,750	1,062,510	2,002,291	1,510,045	6,020,596
Current liabilities	26,703	53,322	213,629	26,668	320,322
Noncurrent liabilities	74,962	14,861	35,268	39,742	164,833
Total liabilities	101,665	68,183	248,897	66,410	485,155
Restricted	1,344,056	993,839	1,614,108	1,436,342	5,388,345
Unrestricted	29	488	139,286	7,293	147,096
Total net position	\$1,344,085	\$994,327	\$1,753,394	\$1,443,635	\$5,535,441
CONDENSED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION					
Operating revenues	\$ 113,734	\$ 178,942	\$ 186,206	\$ 111,335	\$ 590,217
Operating expenses	(114,862)	(104,897)	(226,653)	(160,913)	(607,325)
Operating income	(1,128)	74,045	(40,447)	(49,578)	(17,108)
Nonoperating revenues	(17,179)	(2,412)	(24,810)	(6,598)	(50,999)
Income before other changes in net position	(18,307)	71,633	(65,257)	(56,176)	(68,107)
Permanent endowments	72,928	32,119	48,623	39,943	193,613
Increase in net position	54,621	103,752	(16,634)	(16,233)	125,506
Net position—June 30, 2011	1,289,464	890,575	1,770,028	1,459,868	5,409,935
Net position—June 30, 2012	\$1,344,085	\$994,327	\$1,753,394	\$1,443,635	\$5,535,441
CONDENSED STATEMENT OF CASH FLOWS					
Net cash provided (used) by:					
Operating activities	\$(43,781)	\$47,177	\$(118,106)	\$(55,673)	\$(170,383)
Noncapital financing activities	67,628	34,052	37,635	35,129	174,444
Investing activities	(24,333)	(86,891)	80,271	23,968	(6,985)
Net increase (decrease) in cash and cash equivalents	(486)	(5,662)	(200)	3,424	(2,924)
Cash and cash equivalents—June 30, 2011	2,969	67,931	1,469	31,851	104,220
Cash and cash equivalents—June 30, 2012	\$ 2,483	\$62,269	\$ 1,269	\$35,275	\$101,296

(in thousands of dollars)

	UNIVERSITY OF CALIFORNIA CAMPUS FOUNDATIONS				
	BERKELEY	SAN FRANCISCO	LOS ANGELES	ALL OTHER	TOTAL
<i>Year Ended June 30, 2011</i>					
CONDENSED STATEMENT OF NET POSITION					
Current assets	\$ 98,265	\$ 114,059	\$ 261,244	\$ 252,952	\$ 726,520
Noncurrent assets	1,292,901	858,396	1,787,518	1,315,156	5,253,971
Total assets	1,391,166	972,455	2,048,762	1,568,108	5,980,491
Current liabilities	27,477	68,057	243,184	67,260	405,978
Noncurrent liabilities	74,225	13,823	35,550	40,980	164,578
Total liabilities	101,702	81,880	278,734	108,240	570,556
Restricted	1,288,409	890,340	1,574,301	1,450,534	5,203,584
Unrestricted	1,055	235	195,727	9,334	206,351
Total net position	\$ 1,289,464	\$ 890,575	\$ 1,770,028	\$ 1,459,868	\$ 5,409,935
CONDENSED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION					
Operating revenues	\$ 168,439	\$ 190,808	\$ 351,611	\$ 173,616	\$ 884,474
Operating expenses	(102,487)	(118,454)	(152,315)	(139,996)	(513,252)
Operating income	65,952	72,354	199,296	33,620	371,222
Nonoperating revenues	170,971	95,781	171,142	198,860	636,754
Income before other changes in net position	236,923	168,135	370,438	232,480	1,007,976
Permanent endowments	52,878	25,873	77,015	62,543	218,309
Increase in net position	289,801	194,008	447,453	295,023	1,226,285
Net position—June 30, 2010	999,663	696,567	1,322,575	1,164,845	4,183,650
Net position—June 30, 2011	\$ 1,289,464	\$ 890,575	\$ 1,770,028	\$ 1,459,868	\$ 5,409,935
CONDENSED STATEMENT OF CASH FLOWS					
Net cash provided (used) by:					
Operating activities	\$ (33,994)	\$ 8,543	\$ 108,784	\$ (32,470)	\$ 50,863
Noncapital financing activities	44,559	24,270	59,611	59,338	187,778
Investing activities	(11,036)	(27,973)	(167,559)	(25,334)	(231,902)
Net increase (decrease) in cash and cash equivalents	(471)	4,840	836	1,534	6,739
Cash and cash equivalents—June 30, 2010	3,440	63,091	633	30,317	97,481
Cash and cash equivalents—June 30, 2011	\$ 2,969	\$ 67,931	\$ 1,469	\$ 31,851	\$ 104,220

18. COMMITMENTS AND CONTINGENCIES

Contractual Commitments

Amounts committed but unexpended for construction projects totaled \$3.1 billion and \$3.0 billion at June 30, 2012 and 2011, respectively.

The University and UCRS have also made commitments to make investments in certain investment partnerships pursuant to provisions in the various partnership agreements. These commitments at June 30, 2012 totaled \$4.1 billion: \$0.7 billion and \$3.4 billion for the University and UCRS, respectively.

The University leases land, buildings and equipment under agreements recorded as operating leases. Operating lease expenses for the years ended June 30, 2012 and 2011 were \$167.3 million and \$151.9 million, respectively. The terms of operating leases extend through May 2039.

Future minimum payments on operating leases with an initial or remaining non-cancelable term in excess of one year are as follows:

<i>(in thousands of dollars)</i>	
	MINIMUM ANNUAL LEASE PAYMENTS
<i>Year Ending June 30</i>	
2013	\$102,110
2014	84,972
2015	67,256
2016	51,857
2017	37,080
2018-2022	103,483
2023-2027	9,816
2028-2032	4,215
2033-2037	4,753
2038-2039	2,694
Total	\$468,236

Contingencies

Substantial amounts are received and expended by the University, including its medical centers, under federal and state programs and are subject to audit by cognizant governmental agencies. This funding relates to research, student aid, medical center operations and other programs. University management believes that any liabilities arising from such audits will not have a material effect on the University's financial position.

The University and the campus foundations are contingently liable in connection with certain other claims and contracts, including those currently in litigation, arising in the normal course of its activities. Although there are inherent uncertainties in any litigation, University management and general counsel are of the opinion that the outcome of such matters will not have a material effect on the University's financial position.

REQUIRED SUPPLEMENTARY INFORMATION

The University's schedule of funding progress for UCRP and the retiree health plan is presented below.

UCRP

(in thousands of dollars)

ACTUARIAL VALUATION DATE	ACTUARIAL VALUE OF ASSETS	ACTUARIAL ACCRUED LIABILITY	EXCESS (DEFICIT)	FUNDED RATIO	COVERED PAYROLL	EXCESS/(DEFICIT) COVERED PAYROLL
University of California						
July 1, 2011	\$42,757,271	\$51,831,306	(9,074,035)	82.5%	\$8,163,021	(111.2)%
July 1, 2010	41,195,318	47,504,309	(6,308,991)	86.7	7,995,421	(78.9)
July 1, 2009	42,685,564	45,041,066	(2,355,502)	94.8	7,853,419	(30.0)
Campuses and Medical Centers						
July 1, 2011	35,315,069	43,011,985	(7,696,916)	82.1	7,899,551	(97.4)
July 1, 2010	33,733,692	39,123,578	(5,389,886)	86.2	7,743,680	(69.6)
July 1, 2009	34,835,572	36,758,962	(1,923,390)	94.8	7,637,064	(25.2)
DOE National Laboratories						
July 1, 2011	7,442,202	8,819,321	(1,377,119)	84.4	263,470	(522.7)
July 1, 2010	7,461,626	8,380,731	(919,105)	89.0	251,741	(365.1)
July 1, 2009	7,849,992	8,282,104	(432,112)	94.8	216,355	(199.7)

Factors Significantly Affecting Trends

Based upon an actuarial experience study, The Regents approved changes to economic assumptions that decreased the range for salary increases to between 4.3 and 6.8 percent per year. Certain demographic assumptions were also modified, the most significant change being an increase in assumed life expectancies. These changes in assumptions increased the July 1, 2011 actuarial accrued liability as follows:

(in thousands of dollars)

	CAMPUSES AND MEDICAL CENTERS	DOE NATIONAL LABORATORIES	UNIVERSITY OF CALIFORNIA
Actuarial accrued liability	\$1,513,127	\$312,280	\$1,825,407

Retiree Health Plan

(in thousands of dollars)

ACTUARIAL VALUATION DATE	ACTUARIAL VALUE OF ASSETS	ACTUARIAL ACCRUED LIABILITY	(DEFICIT)	FUNDED RATIO	COVERED PAYROLL	(DEFICIT) PAYROLL	IMPLICIT SUBSIDY INCLUDED IN ACTUARIAL ACCRUED LIABILITY
University of California							
July 1, 2011	\$77,907	\$15,267,829	\$(15,189,922)	0.5%	\$8,163,021	(186.1)%	\$2,338,593
July 1, 2010	74,450	16,048,696	(15,974,246)	0.5	7,995,421	(199.8)	2,394,476
July 1, 2009	76,893	15,061,784	(14,984,891)	0.5	7,853,419	(190.8)	2,209,278
Campuses and Medical Centers							
July 1, 2011	77,907	14,726,665	(14,648,758)	0.5	7,899,551	(185.4)	2,259,855
July 1, 2010	74,450	15,493,742	(15,419,292)	0.5	7,743,680	(199.1)	2,309,189
July 1, 2009	76,893	14,541,529	(14,464,636)	0.5	7,637,064	(189.4)	2,129,031
DOE National Laboratories							
July 1, 2011		541,164	(541,164)	0.0	263,470	(205.4)	78,738
July 1, 2010		554,954	(554,954)	0.0	251,741	(220.4)	85,287
July 1, 2009		520,255	(520,255)	0.0	216,355	(240.5)	80,247

UNIVERSITY OF CALIFORNIA
Summary Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	<u>Total Expenditures</u>
Summary of Expenditures	
Student Financial Aid Cluster	363,998,072
ARRA-Student Financial Aid	<u>86,294</u>
Total Student Financial Aid Cluster	364,084,366
Cooperative Extension Service Cluster	15,878,821
Research and Development Cluster	
Federal Agency Direct Awards Expended	2,943,479,286
ARRA-Federal Agency Direct Awards Expended	168,136,264
Pass-Through Funds Expended	393,203,557
ARRA-Pass-Through Funds Expended	35,655,057
Partial Pass-Through Funds Expended	<u>18,258,686</u>
Total Research and Development Cluster	3,558,732,850
Cal Health & Welfare Social Service Program	
Partial Pass Through Funds Expended	23,791,279
Other programs	
Federal Agency Direct Awards Expended	193,561,308
ARRA-Federal Agency Direct Awards Expended	1,456,332
Pass-Through Funds Expended	78,515,666
ARRA-Pass-Through Funds Expended	4,872,250
Partial Pass-Through Funds Expended	<u>2,928,649</u>
Total Other Programs	281,334,205
Total Federal Awards Expended	<u><u>4,243,821,521</u></u>

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Student Financial Aid Cluster		
Department of Education		
Federal Supplemental Educational Opportunities Grant	84 007	6,748,552
Federal Work-Study Program	84 033	15,116,616
Federal Pell Grants	84 063	338,179,410
Federal Perkins Loan Program ACA	84 038	1,966,736
TEACH Grants Program	84 379	<u>199,415</u>
Total Department of Education		362,210,729
Department of Health And Human Services		
Scholarships for Disadvantaged Students	93 925	1,787,343
ARRA-Scholarships for Disadvantaged Students	93 925	<u>86,294</u>
Total Department of Health And Human Services		1,873,637
Total Student Financial Aid Cluster		364,084,366
Cooperative Extension Service Cluster		
Department of Agriculture	10 500	<u>15,878,821</u>
Total Cooperative Extension Service Cluster		15,878,821
Research And Development Cluster:		
Federal Agency Direct Awards Expended:		
Corporation for National and Community Service	94 005	70,599
Department of Agriculture	10 RD	49,259,015
ARRA-Department of Agriculture	10 RD	<u>30,900</u>
Total Department of Agriculture		49,289,915
Department of Commerce	11 RD	26,816,817
National Oceanic and Atmospheric Administration (NOAA)	11 RD	25,248
ARRA-Department of Commerce	11 RD	<u>6,275,807</u>
Total Department of Commerce		33,117,872
Department of Defense		
Department of the Air Force	12 RD	27,364,877
Department of the Army	12 RD	97,634,248
Department of the Navy	12 RD	69,263,621
ARRA-Department of the Navy	12 RD	39,592
Advanced Research Projects	12 RD	36,227,802
Defense Threat Reduction Agency	12 RD	3,103,247
Defense Research and Engineering	12 RD	661,824
Defense Nuclear Agency	12 RD	1,829
Defense Microelectronics Activity (DMEA)	12 RD	3,347,391
National Security Agency (NSA)	12 RD	660,565
National Reconnaissance Office (NRO)	12 RD	864,736
National Geospatial Intelligence Agency, NGA	12 RD	511,487
Separate agencies	12 RD	<u>1,278,400</u>
Total Department of Defense		240,959,619
Department of Education	84 RD	31,859,495
Department of Energy	81 RD	107,279,622

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development Direct (Continued)		
Federal Agency Awards Expended Direct (Continued)		
ARRA-Department of Energy	81 RD	12,021,684
Total Department of Energy		<u>119,301,306</u>
Department of Homeland Security	97 RD	4,921,831
Department of Interior	15 RD	21,436,388
ARRA-Department of Interior	15 RD	241,298
Total Department of Interior		<u>21,677,686</u>
Department of Justice	16 RD	1,473,049
Department of Labor	17 RD	209,389
Department of State	19 RD	19,522,077
Department of Transportation	20 RD	3,146,184
Environmental Protection Agency	66 RD	6,211,832
Department of Health and Human Services		
HHS Office of The Secretary	93 RD	1,533,307
ARRA-HHS Office of The Secretary	93 RD	5,687,750
NIH Aging, National Institute on	93 RD	91,862,932
ARRA-NIH Aging, National Institute on	93 RD	3,473,508
NIH Alcohol Abuse and Alcoholism, National Institute of	93 RD	11,753,313
ARRA-NIH Alcohol Abuse and Alcoholism, National Institute of	93 RD	647,566
NIH Allergy, Immunology and Transplantation Research	93 RD	1,129,147
NIH Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of	93 RD	25,143,286
ARRA-NIH Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of	93 RD	1,801,848
NIH Biomedical Imaging and Bioengineering, Natl Institute of (NIBIB)	93 RD	22,167,694
ARRA-NIH Biomedical Imaging and Bioengineering, Natl Institute of (NIBIB)	93 RD	556,109
NIH Center for Scientific Review	93 RD	3,602,954
ARRA-NIH Center for Scientific Review	93 RD	49,086
NIH Child Health & Human Development, National Institute of	93 RD	65,092,530
ARRA-NIH Child Health & Human Development, National Institute of	93 RD	6,519,894
NIH Deafness & Other Communication Disorders, Natl Institute on	93 RD	20,913,175
ARRA-NIH Deafness & Other Communication Disorders, Natl Institute on	93 RD	196,166
NIH Dental and Craniofacial Research, National Institute of (NIDCR)	93 RD	27,462,407
ARRA-NIH Dental and Craniofacial Research, National Institute of (NIDCR)	93 RD	1,778,846
NIH Diabetes, Digestive & Kidney Diseases, National Institute of	93 RD	103,057,128
ARRA-NIH Diabetes, Digestive & Kidney Diseases, National Institute of	93 RD	3,479,063
NIH Drug Abuse, National Institute of (NIDA)	93 RD	66,076,470
ARRA-NIH Drug Abuse, National Institute of (NIDA)	93 RD	4,558,626
NIH Environmental Health Sciences, National Institute of	93 RD	38,068,367
ARRA-NIH Environmental Health Sciences, National Institute of	93 RD	3,715,995
NIH General Medical Science, National Institute of	93 RD	209,411,597
ARRA-NIH General Medical Science, National Institute of	93 RD	5,034,233
NIH Heart, Lung & Blood, National Institute of	93 RD	155,056,707
ARRA-NIH Heart, Lung & Blood, National Institute of	93 RD	5,859,062
NIH John F. Fogarty International Center	93 RD	2,414,746
ARRA-NIH John F. Fogarty International Center	93 RD	674,657
NIH Medicine, Natl Library of	93 RD	3,009,280
ARRA-NIH Medicine, Natl Library of	93 RD	705,161
NIH Mental Health, National Institute of (NIMH)	93 RD	124,758,133
ARRA-NIH Mental Health, National Institute of (NIMH)	93 RD	4,080,902
NIH National Cancer Institute (NCI)	93 RD	170,630,205
ARRA-NIH National Cancer Institute (NCI)	93 RD	4,346,532
NIH National Center for Advancing Translational Sciences	93 RD	2,114,527
NIH Natl Ctr Complementary & Alternative Medicine	93 RD	7,081,087
ARRA-NIH Natl Ctr Complementary & Alternative Medicine	93 RD	119,206
NIH Natl Ctr on Minority Health and Health Disparities	93 RD	2,877,668

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development Direct (Continued)		
Federal Agency Awards Expended Direct (Continued)		
ARRA-NIH Natl Ctr on Minority Health and Health Disparities	93 RD	1,129,461
NIH Natl Ctr Research Resources	93 RD	101,401,533
ARRA-NIH Natl Ctr Research Resources	93 RD	10,329,142
NIH Natl Eye Institute	93 RD	55,077,104
ARRA-NIH Natl Eye Institute	93 RD	2,791,946
NIH Natl Human Genome Research Institute	93 RD	12,884,308
ARRA-NIH Natl Human Genome Research Institute	93 RD	747,043
NIH Natl Inst of Allergy and Infectious Diseases	93 RD	243,857,266
ARRA-NIH Natl Inst of Allergy and Infectious Diseases	93 RD	11,559,373
NIH Neurological Disorders & Stroke, Natl Institute of	93 RD	121,622,550
ARRA-NIH Neurological Disorders & Stroke, Natl Institute of	93 RD	11,852,018
NIH Nursing Research, National Institute of (NINR)	93 RD	4,769,333
ARRA-NIH Nursing Research, National Institute of (NINR)	93 RD	563,236
NIH Office of the Director	93 RD	13,840,082
ARRA-NIH Office of the Director	93 RD	37,297
NIH/Miscellaneous agencies & departments	93 RD	54,071,787
ARRA-NIH/Miscellaneous agencies & departments	93 RD	4,646,498
PHS Children & Families, Administration for (ACF)	93 RD	1,729,208
PHS Substance Abuse and Mental Health Services Administration (SAMHSA)	93 RD	7,760,341
PHS/Agency for HealthCare Research & Quality	93 RD	9,092,865
ARRA-PHS/Agency for Healthcare Research & Quality	93 RD	10,523,161
PHS/Center for Disease Control	93 RD	39,190,065
PHS/Food & Drug Administration	93 RD	3,798,101
PHS/Health Resources & Services Admin	93 RD	14,890,726
ARRA-PHS/Health Resources & Services Admin	93 RD	879,217
PHS/Other	93 RD	335,808
Total Dept. of Health and Human Services		<u>1,947,880,339</u>
Housing & Urban Development	14 RD	161,190
Library of Congress	42 RD	33,220
National Aeronautics & Space Administration	43 RD	98,904,390
ARRA-National Aeronautics & Space Administration	43 RD	<u>882,524</u>
Total National Aeronautics & Space Administration		99,786,914
National Foundation Arts & Humanities	45 RD	2,085,619
National Science Foundation	47 RD	473,331,812
ARRA-National Science Foundation	47 RD	<u>42,729,037</u>
Total National Science Foundation		516,060,849
Nuclear Regulatory Commission	77 RD	660,341
Smithsonian Institute	85 RD	1,598,255
Veterans Affairs	64 RD	11,056,245
Other Agencies	99 RD	531,724
Total Federal Agency Direct Awards Expended		<u>3,111,615,550</u>
Pass Through Funds Expended		
Aaron Diamond Aids Research Center (AI047033)	93 856	8,094
ARRA-Abastar Mdx, Inc. (AMI-50083-CYC1)	93 701	83,284
Abratech Corporation (UCSF#A116482)	93 859	(79,386)
Abt Associates Inc. (24344)	10 RD	141,825
Abt Associates Inc. (29217)	66 RD	6,797
Abt Associates Inc. (29217)	66 RD	51,170

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Academic Pediatric Association (UCSF#A118224)	99 RD	2,558
Academy for Educational Development (incl Pakistan Training Prog) (1848)	98 RD	222,380
Academy for Educational Development (incl Pakistan Training Prog) (4001UCD00TO	98 RD	185,062
Academy for Educational Development (incl Pakistan Training Prog) (4066-05-S-U	93 RD	27,874
Academy for Educational Development (incl Pakistan Training Prog) (4066-06-S-U	93 RD	81,210
Academy for Educational Development (incl Pakistan Training Prog) (84410)	98 RD	116,533
Academyhealth (SUB NONE (HS19564))	93 715	5,993
Accelerated Medical Diagnostics, LLC (201017288)	93 RD	7,767
Acoustic Medsystems, Inc. (UCSF#A113972)	93 RD	127,807
Acoustic Medsystems, Inc. (UCSF#A116157)	93 RD	243,213
Acoustic Medsystems, Inc. (UCSF#A116494)	93 RD	35,535
Acree Technologies Incorporated (ACREE-UCB-0004)	12 RD	18,762
Aculight Corporation (78496)	12 300	4
Addis Ababa University (SUB NONE (T8HA21124-01-00))	93 RD	111,979
Add-vision, Inc. (SC-09-26)	81 049	380
Admetech Foundation (UCSF#A113343)	12 RD	4,001
Advanced Bioscience Laboratories, Inc. (DGSOM-14005-0165)	99 RD	9,602
Advanced Brain Monitoring, Inc. (SUB NONE(MH097303))	93 242	48,618
Advanced Cooling Technologies, Inc. (14052)	81 049	80,773
Advanced Genetic Systems, Inc. (UCSF#A111606)	93 RD	(1,258)
ARRA-Advanced Genetic Systems, Inc. (UCSF#A114205)	93 701	9,483
Advanced Genetic Systems, Inc. (UCSF#A117112)	93 RD	7,115
Aeon Imaging, LLC (028949)	93 867	55,399
Aero Institute (AERO 556)	99 RD	26,516
Aerodyne Research, Inc. (ARI 10530-2)	81 RD	6,770
Aerodyne Research, Inc. (ARI106102)	81 049	37,916
Aerodyne Research, Inc. (SUB ARI-10667-2 (DE-SC0004577))	81 049	22,904
Aerophysics, Inc. (AI-51488-CYC1)	43 RD	16,783
Aerosol Dynamics, Inc. (2263)	81 RD	55,333
ARRA-Aerosol Dynamics, Inc. (2358)	93 701	20,569
Aerosol Dynamics, Inc. (2685)	81 RD	57,671
Aerospace Corporation (P.O#4600004626)	99 RD	35,436
African Agricultural Technology Foundation (Great Britain) (08001752)	98 RD	12,836
Agflex, Inc. (200911511)	10 912	15,156
Agile Materials and Technologies, Inc. (59003)	12 630	44
Agiltron, Inc. (SUB 323308 (W909MY-11-C-0080))	12 RD	71,289
Agri Analysis LLC (201015055)	93 RD	66,973
Agrofresh Inc. (201012989)	10 309	84,104
Akebia Therapeutics, Inc. (SUB 20111282 (W81XWH-11-2-0096)	12 420	154,564
Alaska Native Tribal Health Consortium (ANTHC-09-P-24431)	99 RD	20,553
Alaska, State of (COOP-10-078)	15 426	73,046
Alba-Technic LLC (2010-012)	99 RD	129,253
Alcatel Lucent Bell Labs (2011001A)	12 800	13,021
Allen Institute for Brain Science (08002394)	93 RD	238,977
ARRA-Allen Institute for Brain Science (20092316)	93 701	(1,793)
Alliance for Sustainable Energy, LLC (National Renewable Energy Lab) (004767)	81 087	6,685
Alliance for Sustainable Energy, LLC (National Renewable Energy Lab) (005486)	99 RD	60,485
ARRA-Alliance for Sustainable Energy, LLC (National Renewable Energy Lab) (ARR	81 RD	44,081
Alliance for Sustainable Energy, LLC (National Renewable Energy Lab) (AXH14045	81 RD	8,854
Alliance for Sustainable Energy, LLC (National Renewable Energy Lab) (SUB AXL-	81 RD	35,837
Alliance for Sustainable Energy, LLC (National Renewable Energy Lab) (SUB XFT-	81 RD	130,952
Allopartis Biotechnologies, Inc. (aka Allopartis) (030312)	81 049	15,328
ARRA-American Academy of Pediatrics (SUB 20095871 (U01P000375) ARRA)	93 712	59,041
American Alliance for Health, Physical Education, Recreation and Dance (CE67)	93 600	5,000
American Association for the Advancement of Science (111912-CYC1)	19 430	15,646
American Burn Association (200912769)	12 420	453,490
American Burn Association (200913674)	12 420	285,718

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
American Burn Association (201013675)	12 420	158,060
American Burn Association (201119157)	12 RD	44,001
American Burn Association (201120770)	12 RD	201,964
American College of Radiology (02030887)	93 395	107,128
American College of Radiology (149116-CYC1)	93 RD	(1,146)
American College of Radiology (20080299)	93 394	129,895
American College of Radiology (20082480)	93 394	132,663
American College of Radiology (20091895)	93 395	288
American College of Radiology (20112027)	93 394	3,671
American College of Radiology (2423)	93 RD	1,647
American College of Radiology (554-CYC1)	93 397	10,000
ARRA-American College of Radiology (565-CYC1)	93 701	20,326
ARRA-American College of Radiology (566-CYC1)	93 701	28,687
ARRA-American College of Radiology (567-CYC1)	93 701	167,076
American College of Radiology (7896-CYC1)	93 395	633
American College of Radiology (82594)	93 395	36,172
American College of Radiology (87928)	93 RD	91,229
American College of Radiology (ACR-50185-CYC3)	93 394	3,336
ARRA-American College of Radiology (ACR-51858-CYC1)	99 RD	5,676
ARRA-American College of Radiology (ACRIN 4701)	93 394	13,568
American College of Radiology (ACRIN#19)	99 RD	10,000
American College of Radiology (ACRIN-6654)	93 394	7,843
American College of Radiology (RTOG#2401)	93 RD	102,908
American College of Radiology (SUB 4240 (CA80098))	93 394	13,100
American College of Radiology (SUB 6690 (NCI))	93 RD	901
American College of Radiology (UCSF#A109202)	93 RD	177,970
American College of Radiology (UCSF#A109202)	93 RD	710,041
American College of Surgeons (UCSF#A108159)	93 395	21,557
American Council on Education (SUB 20103751/AEGA00050007)	98 RD	351,826
American Educational Research Association (201013479)	47 RD	1,912
American Society for Cell Biology (SUB 06152011 (GM008622))	93 859	131,257
Americaview, Inc. (Consortia of Univ on Satellite Remote Sensing Data) (AV08CA)	15 RD	34,552
Analog Devices, Inc. (45272108)	12 RD	394,192
Analog Devices, Inc. (45273913)	12 RD	312,715
Aneve Nanotechnologies (20100985)	99 RD	46,966
Animated Speech Corporation (SC-10-59)	47 041	25,513
Anp Technologies, Inc. (ANP-50096-CYC2)	91 212	9,188
Anser Analytic Services Inc. (101560)	97 RD	14,918
Antigen Discovery, Inc. (ITI-43559-CYC4)	93 855	7,281
ARRA-Antigen Discovery, Inc. (ITI-45803)	93 701	163,683
Applied Nanotech, Inc. (201122177)	12 RD	10,000
Applied Nanotech, Inc. (32375)	12 RD	5,916
Applied Nanotech, Inc. (84997)	12 RD	14
Applied Research Associates, Inc. (S-001061.00000.UCI-CYC1)	12 910	266,380
Appliflex LLC (030566)	81 036	197,997
Appliflex LLC (031871)	81 036	27,151
ARRA-Appliflex LLC (95006)	47 082	5,734
Arbor Vita Corporation (UCSF#A116790)	93 RD	106,189
Arcadia Bioscience, Inc. (201120291)	81 RD	130,311
Archcom Technology, Inc. (SUB 20102317 (FA8650-10-C-7002)	12 800	62,197
Archinoetics, LLC (1055-001-CYC1)	12 RD	24,000
Area 4 Agency on Aging (serv CA, NV,Placer,Sacto, Sierra, Sutter,etc.) (85516)	93 052	2,934
Area 4 Agency on Aging (serv CA, NV,Placer,Sacto, Sierra, Sutter,etc.) (85517)	93 052	9,550
Area 4 Agency on Aging (serv CA, NV,Placer,Sacto, Sierra, Sutter,etc.) (85597)	93 052	101,743
ARRA-Argonne National Laboratory (9F-32062)	81 RD	462,862
Argonne National Laboratory (DOE GOCO Lab Operated by Univ of Chicago) (0F-349)	81 RD	15,036
Argonne National Laboratory (DOE GOCO Lab Operated by Univ of Chicago) (1F3084)	81 RD	71,002

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Argonne National Laboratory (DOE GOCO Lab Operated by Univ of Chicago) (1F-324	81 RD	51,932
Argonne National Laboratory (DOE GOCO Lab Operated by Univ of Chicago) (8F0056	12 RD	41,309
Argonne National Laboratory (DOE GOCO Lab Operated by Univ of Chicago) (9F3176	81 RD	16,468
Argos Therapeutics, Inc. (201013996)	93 RD	108,500
Ariston Medical, Inc. (201014769)	93 846	5,650
Arizona Geological Survey, The (SUB NONE (EAR-0753154))	47 050	10,220
Arizona State University/Tempe (004269)	43 001	41,335
Arizona State University/Tempe (004460)	93 242	167,765
Arizona State University/Tempe (06-657)	93 866	47,329
Arizona State University/Tempe (08-874)	93 846	28,331
Arizona State University/Tempe (09-206)	81 122	137,495
Arizona State University/Tempe (10-232)	12 800	119,066
Arizona State University/Tempe (10-249)	12 351	129,182
Arizona State University/Tempe (11-459)	20 RD	157,359
Arizona State University/Tempe (11597)	93 279	25,111
Arizona State University/Tempe (12-811-CYC1)	93 853	184,474
Arizona State University/Tempe (12-819)	47 041	41
Arizona State University/Tempe (12-848)	93 859	5,055
Arizona State University/Tempe (58744)	93 242	46
Arizona State University/Tempe (SUB 10-228 (DMR-0902277))	47 049	122,593
Armagen Technologies, Inc. (2008-0645)	12 420	6,957
Armagen Technologies, Inc. (20080907)	99 RD	4,531
Armorworks, LLC (SUB RD3273-00 (NONE))	12 431	150,492
Array Information Technology, Inc. (SUB A012-2010)	81 RD	84,887
Aspen Systems Corporation (defunct) (201120629)	81 RD	37,973
Aspyrian Therapeutics, Inc. (SUB 20112084 (CA162766))	93 395	85,736
Associated Universities, Inc. (GSSP11-002)	47 RD	6,000
Associated Universities, Inc. (GSSP11-004)	47 RD	5,000
Association for Institutional Research (005153)	47 075	19,800
ARRA-Association of Asian Pacific Community Health Organizations (20121107)	93 420	10,613
Association of Occupational and Environmental Clinics (AOEC-43431-CYC3)	93 161	67,321
Association of Universities for Research in Astronomy (004433)	43 RD	92,482
Association of Universities for Research in Astronomy (005418)	43 RD	41,768
Association of Universities for Research in Astronomy (005553)	43 RD	38,408
Association of Universities for Research in Astronomy (005609)	43 RD	17,731
Association of Universities for Research in Astronomy (005610)	43 RD	14,236
Association of Universities for Research in Astronomy (0084699-GEM00433-07)	47 RD	303,217
Association of Universities for Research in Astronomy (0084699-GEM00436)	99 RD	164,932
Association of Universities for Research in Astronomy (32298)	43 RD	34,554
Association of Universities for Research in Astronomy (58261)	43 001	63,265
Association of Universities for Research in Astronomy (58266)	43 RD	16,201
Association of Universities for Research in Astronomy (58274)	43 001	10,340
Association of Universities for Research in Astronomy (58301)	43 001	43,254
Association of Universities for Research in Astronomy (HST-AR-10655.01-A)	43 RD	7,181
Association of Universities for Research in Astronomy (HST-AR-11248.01-A)	43 RD	31
Association of Universities for Research in Astronomy (HST-AR-12124.02-A)	99 RD	695
Association of Universities for Research in Astronomy (HST-AR-12126.01-A)	43 RD	28,008
Association of Universities for Research in Astronomy (HSTAR12135.01A)	43 RD	20,425
Association of Universities for Research in Astronomy (HST-AR-12140.01-A)	43 RD	14,999
Association of Universities for Research in Astronomy (HST-AR-12142.06-A)	43 RD	24,944
Association of Universities for Research in Astronomy (HST-AR-12151.01-A)	43 RD	20,716
Association of Universities for Research in Astronomy (HST-AR-12160.01-A)	43 RD	14,926
Association of Universities for Research in Astronomy (HST-AR-12633.02-A)	43 001	22,297
Association of Universities for Research in Astronomy (HST-AR-12645.01-A)	43 RD	38,661
Association of Universities for Research in Astronomy (HST-AR-12649.01-A(NONE))	43 RD	215
Association of Universities for Research in Astronomy (HST-EO-12060.96-A)	43 001	1,149
Association of Universities for Research in Astronomy (HSTGO1041301A)	43 RD	18,436

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Association of Universities for Research in Astronomy (HST-GO-10496.41-A)	43 RD	133,988
Association of Universities for Research in Astronomy (HSTGO1084202A)	43 RD	95,544
Association of Universities for Research in Astronomy (HST-GO-10924.06-A)	99 RD	6,797
Association of Universities for Research in Astronomy (HST-GO-11099.06-A)	43 RD	1,856
Association of Universities for Research in Astronomy (HST-GO-11150.01-A)	43 RD	50,604
Association of Universities for Research in Astronomy (HST-GO-11157.01-A)	99 RD	(1,167)
Association of Universities for Research in Astronomy (HST-GO-11202.01-A)	43 RD	49,509
Association of Universities for Research in Astronomy (HST-GO-11559.01-A)	43 RD	4,275
Association of Universities for Research in Astronomy (HST-GO-11563.01-A)	43 001	153,890
Association of Universities for Research in Astronomy (HST-GO-11570.03-A)	43 RD	26,755
Association of Universities for Research in Astronomy (HST-GO-11573.02-A)	43 RD	451
Association of Universities for Research in Astronomy (HST-GO-11594.04-A)	43 001	46,662
Association of Universities for Research in Astronomy (HST-GO-11595.03-A)	43 001	15,544
Association of Universities for Research in Astronomy (HSTGO1159701A)	43 RD	45,662
Association of Universities for Research in Astronomy (HST-GO-11598.05-A-(1))	43 001	31,086
Association of Universities for Research in Astronomy (HST-GO-11631.08-A)	43 RD	1,308
Association of Universities for Research in Astronomy (HST-GO-11633.01-A)	99 RD	12,901
Association of Universities for Research in Astronomy (HST-GO-11633.06-A)	99 RD	3,587
Association of Universities for Research in Astronomy (HST-GO-11634.04-A)	99 RD	2,682
Association of Universities for Research in Astronomy (HST-GO-11637.01-A)	43 RD	20,331
Association of Universities for Research in Astronomy (HST-GO-11655.01-A)	99 RD	44,470
Association of Universities for Research in Astronomy (HST-GO-11656.02-A)	43 RD	(918)
Association of Universities for Research in Astronomy (HSTGO1166305)	43 RD	7,393
Association of Universities for Research in Astronomy (HST-GO-11666.08-A)	43 RD	6,584
Association of Universities for Research in Astronomy (HST-GO-11671.01-A)	99 RD	68,507
Association of Universities for Research in Astronomy (HST-GO-11674.01-A)	43 RD	7,088
Association of Universities for Research in Astronomy (HST-GO-11676.01-A)	99 RD	32,097
Association of Universities for Research in Astronomy (HST-GO-11677.01-A)	99 RD	73,728
Association of Universities for Research in Astronomy (HST-GO-11677.07-A)	99 RD	12,430
Association of Universities for Research in Astronomy (HST-GO-11680.01-A-(1))	43 001	5,240
Association of Universities for Research in Astronomy (HST-GO-11684.02-A)	43 001	227
Association of Universities for Research in Astronomy (HST-GO-11696.01-A)	99 RD	52,369
Association of Universities for Research in Astronomy (HST-GO-11710.08-A)	43 001	37,174
Association of Universities for Research in Astronomy (HSTGO11710.12A)	43 RD	31,376
Association of Universities for Research in Astronomy (HST-GO-11717.11-A)	43 RD	27,075
Association of Universities for Research in Astronomy (HST-GO-11724.02-(1))	43 001	2,882
Association of Universities for Research in Astronomy (HST-GO-11727.02-A)	99 RD	(140)
Association of Universities for Research in Astronomy (HST-GO-11742.01-A)	43 001	29,172
Association of Universities for Research in Astronomy (HST-GO-11799.01-A)	43 RD	64,909
Association of Universities for Research in Astronomy (HST-GO-11818.01-A)	43 RD	39,780
Association of Universities for Research in Astronomy (HST-GO-11977.02-A)	43 RD	20
Association of Universities for Research in Astronomy (HST-GO-11978.01-A)	43 RD	42,287
Association of Universities for Research in Astronomy (HST-GO-11979.01-A)	43 RD	61,155
Association of Universities for Research in Astronomy (HST-GO-12045.03-A)	43 RD	18,006
Association of Universities for Research in Astronomy (HST-GO-12051.01-A)	43 RD	(227)
Association of Universities for Research in Astronomy (HST-GO-12055.03)	43 001	72,863
Association of Universities for Research in Astronomy (HST-GO-12060.10-A)	43 001	361,098
Association of Universities for Research in Astronomy (HST-GO-12060.12-A)	43 001	43,217
Association of Universities for Research in Astronomy (HST-GO-12077.01-A)	99 RD	13,481
Association of Universities for Research in Astronomy (HST-GO-12099.03-A)	43 RD	21,783
Association of Universities for Research in Astronomy (HST-GO-12119.03-A)	43 RD	704
Association of Universities for Research in Astronomy (HST-GO-12174.07-A)	99 RD	27,560
Association of Universities for Research in Astronomy (HST-GO-12177.05-A-001)	43 001	40,029
Association of Universities for Research in Astronomy (HST-GO-12182.02-A)	99 RD	2,627
Association of Universities for Research in Astronomy (HSTGO1220301A)	43 RD	75,317
Association of Universities for Research in Astronomy (HST-GO-12214.01-A)	99 RD	36,809
Association of Universities for Research in Astronomy (HST-GO-12225.01-A)	43 RD	3,384

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Association of Universities for Research in Astronomy (HST-GO-12226.01-A)	99 RD	21,641
Association of Universities for Research in Astronomy (HST-GO-12248.04-A)	99 RD	22,177
Association of Universities for Research in Astronomy (HST-GO-12251.05-A)	43 001	4,587
Association of Universities for Research in Astronomy (HSTGO1225306A)	43 RD	15,899
Association of Universities for Research in Astronomy (HST-GO-12254.08-A)	43 001	9,199
Association of Universities for Research in Astronomy (HST-GO-12272.03-A)	43 RD	43,232
Association of Universities for Research in Astronomy (HST-GO-12283.01-A)	99 RD	79,589
Association of Universities for Research in Astronomy (HST-GO-12289.02)	99 RD	60,411
Association of Universities for Research in Astronomy (HST-GO-12290.01-A)	99 RD	55,976
Association of Universities for Research in Astronomy (HST-GO-12290.03-A)	99 RD	987
Association of Universities for Research in Astronomy (HST-GO-12292.01-A)	43 RD	35,782
Association of Universities for Research in Astronomy (HST-GO-12298.07-A)	43 RD	22,445
Association of Universities for Research in Astronomy (HST-GO-12305.01-A)	99 RD	32,535
Association of Universities for Research in Astronomy (HST-GO-12307.01-A)	43 RD	25,824
Association of Universities for Research in Astronomy (HST-GO-12360.01-A)	43 RD	24,478
Association of Universities for Research in Astronomy (HSTGO12377.01A)	43 RD	27,127
Association of Universities for Research in Astronomy (HST-GO-12435.01-A)	99 RD	10,128
Association of Universities for Research in Astronomy (HST-GO-12437.01-A)	43 RD	15,964
Association of Universities for Research in Astronomy (HST-GO-12526.01-A)	43 RD	22,936
Association of Universities for Research in Astronomy (HST-GO-12555.02-A)	43 001	5,480
Association of Universities for Research in Astronomy (HST-GO-12568.12-A)	43 RD	18,248
Association of Universities for Research in Astronomy (HST-GO-12585.01-A)	99 RD	2,663
Association of Universities for Research in Astronomy (HST-GO-12597.01-A)	99 RD	10,479
Association of Universities for Research in Astronomy (HST-GO-12659.02-A)	99 RD	32,028
Association of Universities for Research in Astronomy (HSTGO12659.03A)	43 RD	14,240
Association of Universities for Research in Astronomy (HST-HF-51238.01-A)	43 RD	25,983
Association of Universities for Research in Astronomy (HST-HF-51254.01-A)	43 RD	96,494
Association of Universities for Research in Astronomy (HST-HF-51265.01-A)	43 RD	33,060
Association of Universities for Research in Astronomy (HST-HF-51272.01-A)	43 001	101,437
Association of Universities for Research in Astronomy (HST-HF-51276.01-A)	43 RD	86,625
Association of Universities for Research in Astronomy (HST-HF-51278.01-A)	99 RD	74,744
Association of Universities for Research in Astronomy (HST-HF-51294.01-A)	43 RD	97,396
Association of Universities for Research in Astronomy (N048220-N)	47 RD	16,888
Astronomical Society of the Pacific, The (NNX10AE71G)	43 RD	26,738
Astronomical Society of the Pacific, The (NNX10AE71G)	43 RD	9,683
Ata Engineering, Inc. (87100)	12 300	(74)
Ata Engineering, Inc. (SUB PO 4397 (N68335-09-C-0340))	12 300	44,089
Auburn University (12-EI-222887-UCLA)	15 615	4,933
Aurora Flight Sciences Corporation (57995)	99 RD	(11,562)
Aurora Flight Sciences Corporation (AFS11-0016)	99 RD	107,564
Aurrioin, LLC. (59010)	12 431	155,240
Aurrioin, LLC. (SB120043)	12 910	589,346
Avanti Tech, LLC (SUB 20102247 (F2-5568))	12 800	153,299
Avidbiotics Corp. (201016795)	93 RD	75,455
Avita Medical Americas, LLC (201119925)	12 RD	517
Axiom XCell, Inc. (20111632)	20 RD	14,994
Bae Systems (Great Britain) (083990)	12 910	27,151
Bae Systems (Great Britain) (316063)	12 800	1,242,858
Bae Systems (Great Britain) (32399)	12 RD	33,754
Bae Systems (Great Britain) (739534)	99 RD	11,229
Bae Systems (Great Britain) (739534)	99 RD	32,421
Bae Systems (Great Britain) (739630)	12 910	108,765
Bae Systems (Great Britain) (765526)	12 RD	100,000
Bahr Management, Inc. (UCSF#A110720)	93 RD	49,740
Bakeraecom (UCSD-PTS-001)	97 022	384,793
Bandwidth10 Inc. (20113374)	47 041	19,025
Banner Sun Health Research Institute (0432-02-29850-CYC1)	93 866	37,340

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Barich Business Services, LLC (SC-12-11)	59 006	14,271
Bates College (005615)	47 076	5,889
Battelle Memorial Institute (00080440)	81 RD	15,387
Battelle Memorial Institute (00088708)	81 RD	395,487
Battelle Memorial Institute (00090527)	81 RD	138,359
Battelle Memorial Institute (00090622)	81 RD	115,478
Battelle Memorial Institute (00093542)	81 RD	28,666
Battelle Memorial Institute (00094173)	81 RD	43,164
Battelle Memorial Institute (00101461)	81 RD	33,166
Battelle Memorial Institute (00102215)	81 RD	210,625
Battelle Memorial Institute (00107582)	81 RD	11,581
Battelle Memorial Institute (00107827-CYC1)	81 RD	66,433
Battelle Memorial Institute (00118203)	81 121	163,778
Battelle Memorial Institute (00118545)	81 RD	159,872
Battelle Memorial Institute (00119430)	81 RD	59,377
Battelle Memorial Institute (00120569-CYC1)	81 RD	71,264
Battelle Memorial Institute (00120925)	81 RD	10,106
Battelle Memorial Institute (120399)	81 RD	192,627
Battelle Memorial Institute (159133)	81 RD	272,892
Battelle Memorial Institute (236817)	93 800	349,546
Battelle Memorial Institute (31083)	93 226	170,781
Battelle Memorial Institute (42989)	81 RD	19,383
Battelle Memorial Institute (52183)	81 RD	95,625
Battelle Memorial Institute (90320)	81 RD	132,460
Battelle Memorial Institute (MC1005)	81 RD	6,042
Battelle Memorial Institute (SUB US001-0000239841 (W911NF-0)	93 064	11,155
Battelle Memorial Institute (US001-0000305296)	12 300	95,137
Bay Area Research Corporation (028703)	43 RD	64,829
Baylor College of Medicine (101235648)	93 837	49,086
Baylor College of Medicine (101378917)	93 867	304,210
Baylor College of Medicine (101529102-CYC1)	93 867	416,173
Baylor College of Medicine (101550201)	93 865	285,868
Baylor College of Medicine (101573172)	93 394	10,789
Baylor College of Medicine (101598921)	93 838	20,881
Baylor College of Medicine (32713)	93 389	10,803
Baylor College of Medicine (4600410470)	93 361	15,511
Baylor College of Medicine (5600271701)	93 398	8,015
Baylor College of Medicine (5600480795)	93 395	17,559
Baylor College of Medicine (5600482166)	93 837	17,702
Baylor College of Medicine (5600491721)	93 846	59,790
Baylor College of Medicine (5600557963)	93 395	8,430
Baylor College of Medicine (5600672751-CYC1)	93 867	26,761
Baylor College of Medicine (72204)	93 393	399,267
Baylor College of Medicine (83338)	93 867	(199)
Baylor College of Medicine (SA01602)	43 RD	(24,893)
ARRA-Baylor College of Medicine (SUB 100896203 (DK062434) ARRA)	93 701	112,560
Baylor College of Medicine (SUB 101380098 (EY016525))	93 867	364,194
Baylor College of Medicine (SUB 101525115 (DK062434))	93 847	121,437
Baylor College of Medicine (TD01301)	99 RD	54,785
Baylor College of Medicine (UCSF#A117119)	93 RD	96,632
ARRA-Baylor University Medical Center (41010001015-03)	93 701	(12,315)
ARRA-Baylor University Medical Center (41010001015-04)	93 701	121,055
Baylor University Medical Center (41500171100)	12 420	13,559
Bbn Technologies Corp. (004474)	99 RD	50,804
Bbn Technologies Corp. (13765124-004)	12 630	127,004
Bbn Technologies Corp. (13765171-011)	12 630	77,108
Bbn Technologies Corp. (13891)	12 RD	51,391

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Bbn Technologies Corp. (14075)	99 RD	303,708
ARRA-Bbn Technologies Corp. (1797)	47 082	28,880
Bbn Technologies Corp. (9500008987)	12 RD	137,969
ARRA-Bbn Technologies Corp. (ARRA1776)	47 070	70,066
ARRA-Bbn Technologies Corp. (ARRA1786)	47 RD	99,835
ARRA-Bbn Technologies Corp. (ARRA1792)	47 RD	79,207
Bbn Technologies Corp. (W911NF0920053)	12 RD	269,391
Bbn Technologies Corp. (W911NF-09-2-0053)	12 630	901,210
Becton, Dickinson and Company (33099)	47 RD	7,643
Benaroya Research Institute at Virginia Mason (SUB FY11.010.03346-01.A55905(N))	93 RD	61,251
ARRA-Berkeley Bionics, Inc. (024764-A)	47 082	99,300
Berkeley Bionics, Inc. (028902)	47 041	76,102
Berkeley Bionics, Inc. (030337)	47 041	20,082
Berkeley, City of (8944)	20 505	41,307
Bermuda Institute of Ocean Sciences (621188)	11 431	14,637
ARRA-Beth Israel Deaconess Medical Center (01023704)	93 701	37,695
ARRA-Beth Israel Deaconess Medical Center (01023704)	93 701	6,779
ARRA-Beth Israel Deaconess Medical Center (01023719)	93 701	137,397
ARRA-Beth Israel Deaconess Medical Center (01023719)	93 701	3,145
Beth Israel Deaconess Medical Center (01024601)	93 396	270,893
Beth Israel Deaconess Medical Center (71625)	93 853	1,914
ARRA-Beth Israel Deaconess Medical Center (SUB 01023686 (HL091874) ARRA)	93 701	2,467
Beth Israel Deaconess Medical Center (SUB NONE (DK080665))	93 847	322,326
Beth Israel Deaconess Medical Center (SUB NONE (HL094555))	93 839	125,016
ARRA-Bevilacqua Knight, Inc. (ARRA11161108)	81 RD	143,126
Biodata Innovation Systems, LLC (RHL102940A)	93 838	85,894
Biomedical Research Institute Of New Mexico (252-10-00)	93 213	30,838
Bionovo (031039)	93 213	57,563
Blood Systems, Inc. DBA Blood Systems Research Institute (10256MB01)	93 RD	105,693
Blood Systems, Inc. DBA Blood Systems Research Institute (10827-UC-01)	12 420	20,097
Blood Systems, Inc. DBA Blood Systems Research Institute (10841-UC-01)	93 RD	26,720
Blood Systems, Inc. DBA Blood Systems Research Institute (10843-UC-01)	93 RD	18,467
Blood Systems, Inc. DBA Blood Systems Research Institute (71706)	93 RD	(1,954)
Blood Systems, Inc. DBA Blood Systems Research Institute (8067-S-002)	93 RD	2,319
ARRA-Bob Lawrence & Associates, Inc. (ARRA2741002)	81 087	121,522
Boeing Company, The (415442)	99 RD	135,862
Booz Allen Hamilton Inc. (005224)	20 RD	280,329
Booz Allen Hamilton Inc. (005626)	20 RD	25,281
Booz Allen Hamilton Inc. (71894)	93 RD	272
Booz Allen Hamilton Inc. (89075CBS25 TASK ORDER 1)	20 600	383
Boston Medical Center Corporation (BMC ID 2145 (AA016059))	93 273	20,358
Boston Medical Center Corporation (SUB 0310101 (DA032082))	93 279	31,560
Boston Medical Center Corporation (SUB 20120600 (CA120950))	93 395	3,534
Boston University (2R01AG008122)	93 866	16,939
Boston University (33934)	93 866	11,122
Boston University (44-247-2411-7)	81 108	114,130
Boston University (4500000124)	12 630	238,291
Boston University (4500000129)	47 050	160,219
ARRA-Boston University (4500000130)	93 701	15,350
Boston University (4500000654)	47 074	114,437
Boston University (9500300288)	93 113	318,577
Boston University (9500300580)	12 420	105,087
Boston University (RA205800NGQ)	93 866	226,403
Boston University (UCSF#A106408)	93 846	6,871
ARRA-Brain Plasticity Institute (UCSF#A119152)	93 701	5,103
Brentwood Biomedical Research Institute (20064207)	93 855	139,464
Brentwood Biomedical Research Institute (20071559)	93 853	106,669

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Brentwood Biomedical Research Institute (20073876)	93 847	231,698
Brentwood Biomedical Research Institute (20083449)	93 855	(7)
Brentwood Biomedical Research Institute (20084197)	93 242	208,172
ARRA-Brentwood Biomedical Research Institute (20091721)	93 701	25,493
Brentwood Biomedical Research Institute (20102724)	93 847	43,175
Brentwood Biomedical Research Institute (20103090)	93 242	45,286
Brentwood Biomedical Research Institute (20103223)	93 856	36,838
Brentwood Biomedical Research Institute (20103475)	93 847	60,242
Brentwood Biomedical Research Institute (20110620)	93 853	79,240
Brentwood Biomedical Research Institute (20111179)	93 273	133,007
Brentwood Biomedical Research Institute (20111377)	93 853	94,882
Brentwood Biomedical Research Institute (20111879)	93 855	24,570
Brentwood Biomedical Research Institute (20111881)	93 273	57,790
Brentwood Biomedical Research Institute (20112574)	93 847	25,236
Brentwood Biomedical Research Institute (20113480)	93 273	101,815
Brentwood Biomedical Research Institute (20114265)	93 853	57,071
ARRA-Brentwood Biomedical Research Institute (58715)	93 701	(249)
Brentwood Biomedical Research Institute (59231)	93 853	52,914
Brentwood Biomedical Research Institute (59424)	93 855	(1,643)
Brentwood Biomedical Research Institute (79491)	93 849	(10,465)
Brentwood Biomedical Research Institute (80034)	93 855	(722)
Brentwood Biomedical Research Institute (B07-9162)	93 855	101,880
Brentwood Biomedical Research Institute (SUB NONE (AI075565))	93 RD	162,458
Brigham and Women's Hospital (101936)	93 242	84,365
Brigham and Women's Hospital (105057)	93 855	193,189
Brigham and Women's Hospital (105100)	93 846	94,878
Brigham and Women's Hospital (105963)	93 837	232,796
Brigham and Women's Hospital (106370)	93 286	159,878
Brigham and Women's Hospital (1P50MH082679)	93 242	35,494
Brigham and Women's Hospital (59673)	93 837	(30,474)
Brigham and Women's Hospital (80326)	93 286	12,082
Brigham and Women's Hospital (971425)	93 846	20,613
Brigham and Women's Hospital (PS#105087/CTOT-11)	93 855	1,309
Brigham and Women's Hospital (SUB 103252 (HL075419))	93 838	19,415
Brigham and Women's Hospital (SUB 103486 (HHS A290200810010))	93 RD	35,720
Brigham and Women's Hospital (SUB 106174 (HG005725))	93 172	183,009
Brigham and Women's Hospital (SUB 106355 (AI081949))	93 855	35,984
Brigham and Women's Hospital (SUB 106369 (EB005149) CORE 1B)	93 286	64,720
Brigham and Women's Hospital (SUB 106370 (EB005149) CORE 2)	93 286	13,043
Brigham and Women's Hospital (SUB NONE (CA037447))	93 395	10,787
Brigham and Women's Hospital (SUB NONE (HL098297))	93 839	129,574
Brigham and Women's Hospital (UCSF#A106686)	93 RD	21,806
Brigham and Women's Hospital (UCSF#A117662)	93 399	3,757
ARRA-Brigham and Women's Hospital (UCSF#A118640)	93 RD	29,516
Broad Institute Inc. (5410411-5500000414)	93 172	17,418
Broad Institute Inc. (87405)	93 172	(587)
Broad Institute Inc. (87903)	93 172	170,792
Broad Institute Inc. (SUB 5215810-55000000041 (HHSN2))	93 RD	13,804
Broad Institute Inc. (SUB 5410260-5500000126 (HG0050))	93 172	124,480
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (186731)	99 RD	150,987
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (191370-CYC1)	81 087	1,366,714
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (198731)	99 RD	88,248
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (200238)	81 RD	16,685
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (33248)	81 RD	33,300
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (39554)	81 RD	329,901
Brookhaven National Laboratory/Brookhaven Science Associates, LLC (63913)	81 RD	(2)
Brown University (00000456)	12 300	55,089

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Brown University (00000485)	12 300	48,697
Brown University (79703)	12 431	(12,450)
Buck Institute for Age Research (SUB 2031(AG029631))	93 866	78,260
ARRA-Cal H&W Office of Health Information Integrity (11-H9009)	93 719	160,853
California Association for Research in Astronomy (10001-003)	99 RD	215,372
California Association for Research in Astronomy (10002 MOD #4)	99 RD	122,062
California Association for Research in Astronomy (10126)	47 049	5,367
California Association for Research in Astronomy (24564)	99 RD	80,925
California Association of Nurseries and Garden Centers (frmly Can) (201118604)	10 170	26,095
California Center for Sustainable Energy (032140)	81 087	40,000
California Center for Sustainable Energy (201015178)	81 RD	90,000
California Coastal Commission (R/CONT-212EPD-TRAINEE)	11 417	11,550
California Department of Aging (83643)	93 778	2,403
California Department of Aging (MS111212)	93 778	1,074,069
California Department of Alcohol and Drug Problems (09-00115)	93 959	293,641
California Department of Education (18652)	10 200	(3)
California Department of Education (18976)	84 367	7,602
California Department of Education (NCLB8-CFLP-UCB)	84 367	14,649
California Department of Education (NCLB8-CFLP-UCB)	84 367	3,419
California Department of Education (NCLB8-CHSSP-UCB)	84 367	(1,943)
California Department of Education (NCLB8-CHSSP-UCB)	84 367	47,083
California Department of Education (SUB09-002776-UCI-CYC1)	84 287	129,857
California Department of Education Curriculum and Instruction (18280)	84 367	13,059
California Department of Education Curriculum and Instruction (18284)	84 367	171
California Department of Education Curriculum and Instruction (96708)	84 367	7,789
California Department of Education Curriculum and Instruction (NCLB8A-CSP-UCSC)	84 367	3,312
California Department of Education Curriculum and Instruction (NCLB8CHSSPUCD)	84 367	45,000
California Department of Education Curriculum and Instruction (NCLB8-CMP-UCSB)	84 RD	27,784
California Department of Education Curriculum and Instruction (NCLB8-CSP-UCSC)	84 367	34,250
California Department of Education Curriculum and Instruction (NCLB8-CWP-UCI-C)	84 367	42,468
California Department of Education Curriculum and Instruction (NCLB8-CWP-UCSB)	84 RD	42,300
California Department of Fish and Game (32921)	15 RD	9,084
California Department of Fish and Game (84054)	11 438	43,324
California Department of Fish and Game (84062)	15 RD	5,186
California Department of Fish and Game (P0780027)	10 RD	4,393
California Department of Fish and Game (P0982022)	15 RD	48,169
California Department of Fish and Game (P0982031)	15 RD	66,449
California Department of Fish and Game (P1181006)	15 605	38,679
California Department of Food and Agriculture (004572)	10 170	69,811
California Department of Food and Agriculture (004619)	10 170	63,245
California Department of Food and Agriculture (004640)	10 170	42,351
California Department of Food and Agriculture (004989)	10 170	106,151
California Department of Food and Agriculture (005132)	10 025	51,349
California Department of Food and Agriculture (005389)	10 025	17,198
California Department of Food and Agriculture (005504)	10 170	6,275
California Department of Food and Agriculture (005506)	10 170	51,861
California Department of Food and Agriculture (005507)	10 170	40,019
California Department of Food and Agriculture (005508)	10 170	3,982
California Department of Food and Agriculture (10-0048-SF)	10 200	49,252
California Department of Food and Agriculture (100090SF)	10 025	12,188
California Department of Food and Agriculture (100278)	10 200	3,834
California Department of Food and Agriculture (11-0415-SF)	10 025	96,288
California Department of Food and Agriculture (11-0448-SA)	10 025	16,146
California Department of Food and Agriculture (33249)	10 170	1,819
California Department of Food and Agriculture (33302)	10 170	4,387
California Department of Food and Agriculture (83482)	10 RD	(2)
California Department of Food and Agriculture (83609)	10 170	200,415

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
California Department of Food and Agriculture (83628)	10 170	(1,187)
California Department of Food and Agriculture (83628)	10 170	(282)
California Department of Food and Agriculture (83665)	10 025	(38)
California Department of Food and Agriculture (83859)	10 170	70,927
California Department of Food and Agriculture (83859)	10 170	24,236
California Department of Food and Agriculture (83860)	10 170	60,879
California Department of Food and Agriculture (SCB08006)	10 RD	(2,368)
California Department of Food and Agriculture (SCB08007)	10 RD	(1,685)
California Department of Food and Agriculture (SCB08007)	10 RD	(570)
California Department of Food and Agriculture (SCB08008)	10 RD	42,077
California Department of Food and Agriculture (SCB0900)	10 170	118,740
California Department of Food and Agriculture (SCB09011)	10 170	124,240
California Department of Food and Agriculture (SCB09012)	10 170	179,627
California Department of Food and Agriculture (SCB09017)	10 170	70,992
California Department of Food and Agriculture (SCB09017)	10 170	31,258
California Department of Food and Agriculture (SCB09036)	10 170	102,744
California Department of Food and Agriculture (SCB09041)	10 170	58,679
California Department of Food and Agriculture (SCB09041)	10 170	17,411
California Department of Food and Agriculture (SCB09044)	10 170	201,617
California Department of Food and Agriculture (SCB09046)	10 170	163,274
California Department of Food and Agriculture (SCB09047)	10 170	177,705
California Department of Food and Agriculture (SCB09049)	10 170	122,268
California Department of Food and Agriculture (SCB09051)	10 170	230,551
California Department of Food and Agriculture (SCB09053)	10 170	96,752
California Department of Food and Agriculture (SCB09054)	10 170	23,706
California Department of Food and Agriculture (SCB09055)	10 170	58,488
California Department of Food and Agriculture (SCB10012)	10 170	70,533
California Department of Food and Agriculture (SCB10014)	10 170	114,398
California Department of Food and Agriculture (SCB10030)	10 170	154,029
California Department of Food and Agriculture (SCB10030)	10 170	8,219
California Department of Food and Agriculture (SCB10037)	10 170	71,655
California Department of Food and Agriculture (SCB10043)	10 170	138,157
California Department of Food and Agriculture (SCB10055)	10 170	77,194
California Department of Food and Agriculture (SCB10056)	10 170	123,533
California Department of Food and Agriculture (SCB10057)	10 170	106,125
California Department of Food and Agriculture (SCB10058)	10 170	45,342
California Department of Food and Agriculture (SCB10059)	10 170	32,422
California Department of Food and Agriculture (SCB10060)	10 170	44,793
California Department of Food and Agriculture (SCB10061)	10 170	37,719
California Department of Food and Agriculture (SCB10062)	10 170	86,442
California Department of Food and Agriculture (SCB10063)	10 170	52,537
California Department of Food and Agriculture (SCB11001)	10 170	54,717
California Department of Food and Agriculture (SCB11013)	10 170	77,317
California Department of Food and Agriculture (SCB11017)	10 170	7,708
California Department of Food and Agriculture (SCB11017)	10 170	34,684
California Department of Food and Agriculture (SCB11018)	10 170	15,236
California Department of Food and Agriculture (SCB11020)	10 170	28,555
California Department of Food and Agriculture (SCB11030)	10 170	52,864
California Department of Food and Agriculture (SCB11054)	10 170	163,058
California Department of Food and Agriculture (SCB11055)	10 170	59,536
California Department of Food and Agriculture (SCB11058)	10 170	19,842
California Department of Food and Agriculture (SCB11063)	10 170	3,884
California Department of Food and Agriculture (SCB11064)	10 170	15,370
California Department of Food and Agriculture (SCB11065)	10 170	16,998
California Department of Food and Agriculture (SCB11066)	10 170	112
California Department of Food and Agriculture (SCB11067)	10 170	642
California Department of Food and Agriculture (SCB11069)	10 170	10,259

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
California Department of Food and Agriculture (SCB11071)	10 170	28,300
California Department of Food and Agriculture (UCSCMCA11011)	10 170	28,196
California Department of Forestry and Fire Protection (004731)	10 688	380,683
California Department of Forestry and Fire Protection (10DG11052021038)	10 680	7,105
California Department of Health Care Services (07-65656)	99 RD	129,450
California Department of Health Care Services (09-11208)	99 RD	3,312,965
California Department of Health Care Services (09-11208)	99 RD	324,619
California Department of Health Care Services (0986283)	93 251	(3,923)
California Department of Health Care Services (1110082)	66 458	169,298
California Department of Health Care Services (11-88280)	93 536	132,565
California Department of Health Care Services (18852)	93 RD	11,238
California Department of Health Care Services (83016)	93 RD	13,349
California Department of Health Care Services (83888)	93 251	213,275
California Department of Health Care Services (88188)	93 994	312
ARRA-California Department of Health Care Services (88258)	93 701	(2,996)
California Department of Industrial Relations (C8952515)	15 RD	6,000
California Department of Pesticide Regulation (005232)	10 170	47,918
California Department of Pesticide Regulation (005503)	66 700	23,745
California Department of Public Health (08-85379)	99 RD	135,214
California Department of Public Health (09-11183)	10 RD	1,490,308
California Department of Public Health (10-10396)	99 RD	352,444
California Department of Public Health (10-95213)	93 RD	1,088,794
California Department of Public Health (10-95221)	93 RD	2,187,738
California Department of Public Health (10-95221)	93 RD	474,206
California Department of Public Health (10-95229)	93 153	188,289
California Department of Public Health (11-10109)	99 RD	7,949,261
California Department of Public Health (11-10265)	93 723	14,967
California Department of Public Health (1110690)	93 940	99,962
California Department of Public Health (11-10743)	99 RD	25,970
California Department of Public Health (83824)	93 RD	43,311
California Department of Public Health (SUB 08-85181 (NONE))	10 RD	362,047
California Department of Rehabilitation (28316)	99 RD	105,426
California Department of Social Services (092041)	93 674	109,491
California Department of Social Services (092041)	93 674	7,370
California Department of Social Services (11-2021)	93 558	667,477
California Department of Social Services (112027)	93 RD	655,471
California Department of Social Services (83303)	93 RD	5,293
California Department of Transportation (18252)	20 RD	(841)
California Department of Transportation (65A0245)	20 RD	529,656
California Dept of Transportation Division of Research and Innovation (43A0256)	20 RD	43,539
California Dept of Transportation Division of Research and Innovation (59A0688)	20 RD	39,022
California Dept of Transportation Division of Research and Innovation (65A0264)	20 RD	135,234
California Education, Liaison, Office of (CN110236)	10 579	183,741
California Emergency Management Agency (20070008)	97 RD	(34,010)
California Emergency Management Agency (AT11081141)	16 575	168,792
California Emergency Management Agency (FEMA-1884-DR-CA, PROJECT #PL23)	97 039	5,827
California Emergency Management Agency (SUB 6036-1 (NONE))	97 067	147,415
California Emergency Management Agency (SUB 6061-9 (NONE))	97 067	18,328
California Employment Development Department (K181635-CYC1)	17 258	75,086
California Employment Development Department (K181636)	17 RD	181,433
California Energy Commission (00007651-CYC1)	81 119	27,000
California Energy Commission (18365)	84 367	(475)
California Energy Commission (50010032)	81 RD	67,056
California Energy Commission (FED-10-001)	81 RD	94,658
California Family Health Council, Inc. (UCSF#A119321)	93 974	9,652
California Fire Safe Council, Inc. (10USFS-ES306)	10 664	70,664
California Institute of Technology (005428)	47 041	125,813

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
California Institute of Technology (19-1089396)	93 859	122,404
California Institute of Technology (19GM1087680)	93 RD	479,898
California Institute of Technology (2-1086724)	43 RD	29,096
California Institute of Technology (2-1087573-02)	99 RD	85,509
California Institute of Technology (2-1090925)	43 RD	94,490
California Institute of Technology (21B-1088934)	93 395	1,815,929
California Institute of Technology (28D-1083986)	12 431	66,371
California Institute of Technology (43C-1081892)	47 074	69,461
California Institute of Technology (44A-1085101)	43 002	1,046,356
California Institute of Technology (44A-1088640)	43 RD	55
California Institute of Technology (44A-1088684-CYC2)	43 RD	64,764
California Institute of Technology (52-1092313)	12 800	43,624
California Institute of Technology (58145)	93 397	161,099
California Institute of Technology (59284)	93 395	(21,462)
California Institute of Technology (67F-1080868)	12 300	61,417
California Institute of Technology (67F-1080869)	12 300	8,655
California Institute of Technology (67L-1083781)	12 431	193,128
California Institute of Technology (68-1090126)	93 397	879,408
California Institute of Technology (68D1086057)	47 049	162,928
California Institute of Technology (68J-1090279-CYC1)	81 RD	113,980
California Institute of Technology (70-1089871)	47 049	36,451
California Institute of Technology (7E-1089348)	47 041	103,557
California Institute of Technology (7E1090192)	12 300	37,682
California Institute of Technology (82-1083298)	93 286	58,267
California Institute of Technology (DE-SC0004949)	81 049	292,684
California Institute of Technology (SUB 1089976 (GM094610))	93 859	29,552
California Institute of Technology (SUB NONE (DS-SC0004993))	81 049	277,006
California Office of Statewide Health Planning and Development (18562)	93 RD	1,746
ARRA-California Office of the State Fire Marshall (S-000427)	10 688	99,821
California Office of Traffic Safety (032004-002)	20 600	72,352
California Office of Traffic Safety (18856)	20 600	(112)
California Office of Traffic Safety (20685)	20 610	30,324
California Office of Traffic Safety (20693)	20 600	623,895
California Office of Traffic Safety (20699)	20 602	32,679
California Office of Traffic Safety (20700)	20 600	56,555
California Office of Traffic Safety (20702)	20 600	137,512
California Office of Traffic Safety (20703)	20 200	593,966
California Office of Traffic Safety (77891)	20 609	868
California Office of Traffic Safety (AL1194)	20 600	3,801,656
California Office of Traffic Safety (OP1106)	20 600	340,905
California Office of Traffic Safety (OP1108)	20 600	57,249
California Office of Traffic Safety (OP1110)	20 600	65,234
California Office of Traffic Safety (OP1113)	30 600	235,511
California Office of Traffic Safety (PS1111)	20 600	28,477
California Office of Traffic Safety (PS1112)	20 600	8,469
California Office of Traffic Safety (PS1112)	20 600	9,445
California Office of Traffic Safety (SUB 20522 (NONE))	20 600	225,030
California Office of Traffic Safety (TR1006)	20 610	20,238
California Office of Traffic Safety (TR1106)	20 600	44,322
California Pacific Med Ctr Research Inst. (2803209-S106)	93 233	76,142
California Pacific Med Ctr Research Inst. (2803210-S046)	93 866	133,046
California Pacific Med Ctr Research Inst. (2803211-S047)	93 866	10,896
California Pacific Med Ctr Research Inst. (2803212-S040)	93 866	86,852
California Pacific Med Ctr Research Inst. (2803213-S045)	93 846	7,606
California Pacific Med Ctr Research Inst. (2803226-S140)	93 866	88,445
California Pacific Med Ctr Research Inst. (2803227-S141)	93 866	46,382
California Pacific Med Ctr Research Inst. (2803264-S132)	93 867	36,204

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
California Pacific Med Ctr Research Inst. (2803305-S145)	93 853	6,313
California Pacific Med Ctr Research Inst. (SUB 113681 (AG030474))	93 866	31,884
California Pacific Med Ctr Research Inst. (SUB 2803209-S103 114441 (HL071))	93 839	241,102
California Parks and Recreation, Department of (18210)	15 904	3,755
California Parks and Recreation, Department of (18245)	15 904	1,663
California Polytechnic State University Foundation (58858)	10 200	(2,778)
ARRA-California Postsecondary Education Commission (CN110292)	93 713	10,293
California Postsecondary Education Commission (ITQ-07-400)	84 367	63,310
California Postsecondary Education Commission (ITQ07413)	84 367	11,811
California Postsecondary Education Commission (ITQ097600)	84 367	663,459
California Postsecondary Education Commission (ITQ10704)	84 367	132,058
California Postsecondary Education Commission (ITQ-10-710-CYC1)	84 367	403,739
California Postsecondary Education Commission (ITQ-11-808-CYC1)	84 367	106,112
California State Library (004847)	45 310	895
California State Library (004884)	45 310	549
California State Library (005346)	45 310	62,003
ARRA-California State University (F-08-2347-1.0/40013948UCLA)	47 082	25,760
California State University (F-10-2681-1.0/40014033)	99 RD	10,003
California State University (S10-0007)	93 RD	19,390
California State University (S10-0009)	93 242	37,590
California State University (S10-0010)	93 242	87,254
California State University Chico/CSU Chico Research Foundation (SUB11054)	84 367	33,840
California State University Fullerton/CSU Fullerton Auxiliary Services (201138)	10 217	289
California State University Fullerton/CSU Fullerton Auxiliary Services (S-4698)	93 859	36,083
California State University Fullerton/CSU Fullerton Auxiliary Services (S-4707)	47 082	13,955
California State University San Bernardino/University Enterprises Corporation	12 630	7,551
California State University San Marcos/University Auxiliary & Research Service	47 080	25
California State University, Fresno Foundation (11100713)	10 RD	54,099
California State University, Humboldt State University Sponsored (0009730230-H)	81 087	4,196
California State University, Long Beach Foundation (07-372810A-CYC1)	81 121	111,626
California State University, San Diego State University (201119315)	93 768	59,827
California State University, San Diego State University (53311G P1650 7804 211)	93 273	3,925
California State University, San Diego State University (54567A P2391)	84 RD	5,532
California State University, San Diego State University (56193A P3291 7805 004)	47 076	3,580
California State University, San Diego State University (56280AP38127802)	47 074	42,777
California State University, San Diego State University (56800A P3060 7803 211)	12 300	11,517
California State University, San Diego State University (56815A P1354 7802 211)	93 837	2,790
California State University, San Diego State University (56825AP16237804211)	47 076	13,307
California State University, San Diego State University (82424)	92 273	(15,280)
California State University, San Diego State University (87235)	93 394	3,824
California State University, San Diego State University (96122)	93 779	24,586
California State University, San Diego State University (E0008397)	84 116	19,384
California State University, San Diego State University (SUB 54051C P3531(HD04)	93 865	45,709
California State University, San Diego State University (SUB 54593E P3144 7802)	93 839	344,874
California State University, San Diego State University (SUB 54628A-P1366-7803)	93 RD	275,779
California State University, San Diego State University (SUB 55013D-P1661-7807)	93 873	1,711
California State University, San Diego State University (SUB 55135A-P1366-7802)	93 307	130,946
California State University, San Diego State University (SUB 55665C 7802 (HL09)	93 839	246,748
California State University, San Diego State University (SUB 55820A P3019 7803)	93 242	26,048
California State University, San Diego State University (SUB 55946A 7802 (N002)	12 030	44,933
California State University, San Diego State University (SUB 56360A P3348 7802)	12 300	11,721
California State University, San Diego State University (SUB 56586A P3013 7802)	93 859	33,790
California State University, San Diego State University (SUB 56878A P2806 7802)	93 865	64,675
California State University, San Diego State University (SUB560001-P1350-7802-	93 135	46,875
California State University, San Francisco State University (S110006)	11 419	12,616
California State University, San Jose State University Foundation (1002)	20 701	11,400
California State University, San Jose State University Foundation (21-1303-379)	47 041	18,090

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
California State University, San Jose State University Foundation (86087)	66 RD	356,737
California Sustainable Winegrowing Alliance (201014564)	10 170	33,665
California Tahoe Conservancy (CTA07010)	12 RD	32,750
California Transportation Commission (005682)	20 299	4,138
California Transportation Commission (SRTSLNI-6342(004))	20 RD	226,043
California Transportation Commission (SRTSLNI-6342(004))	20 RD	443,030
California Transportation, Operations, Division of (65A0267 A03)	20 515	16,458
Cambridge Systematics, Inc. (700)	20 200	40,109
Cancer Targeted Technology (UCSF#A117787)	99 RD	104,361
Capstone Turbine Corporation (400501283-CYC1)	81 RD	186,293
Care New England Health Systems (9094-8335 (NR012005))	93 361	3,531
Care New England Health Systems (9104 (DA023190))	93 279	16,960
Care New England Health Systems (9193 (AA020509))	93 273	12,970
ARRA-Care New England Health Systems (9202 (DA023190))	93 701	13,697
Care New England Health Systems (SUB 9088 (MH085762))	93 242	3,415
Care New England Health Systems (SUB 9090 (MH086513))	93 242	12,639
Caridianbct (UCSF#A116781)	12 RD	47,846
Carnegie Institution (4-3253-07)	81 112	72,513
Carnegie Institution (4-3253-18)	81 RD	38,859
Carnegie Institution (6-10031-01)	81 RD	21,732
Carnegie Institution (6-1093-01)	93 859	4,906
Carnegie Institution (DTM 3250-09)	43 RD	16,301
Carnegie Institution (SUB 6-2066-02 (MCB-0618402))	47 074	2,782
Carnegie Mellon University (004497)	81 049	138,303
Carnegie Mellon University (1041388248859)	12 RD	18,166
Carnegie Mellon University (1090231-224421-CYC3)	93 866	43,191
Carnegie Mellon University (1090231-226748)	93 866	25,216
Carnegie Mellon University (1100031-232428)	84 305	79,605
Carnegie Mellon University (1120855-186144)	47 041	97,242
Carnegie Mellon University (1120953207367)	47 RD	49,094
Carnegie Mellon University (SUB 1041388-237990 (2009-CT-20))	12 RD	263,421
Carnegie Mellon University (SUB 1120953-203966(OCI-749227))	47 080	9,553
Cary Institute of Ecosystem Studies (3131200201406)	47 074	533
ARRA-Case Western Reserve University (004509)	93 701	12,113
Case Western Reserve University (RES502672)	47 049	74,682
Case Western Reserve University (RES502960)	93 837	36,363
Case Western Reserve University (RES503449)	93 855	86,386
ARRA-Case Western Reserve University (RES504242)	47 082	132,165
Case Western Reserve University (RES504476)	47 049	101,429
Case Western Reserve University (RES504477)	47 049	6,181
Case Western Reserve University (RES506229)	93 121	52,096
Case Western Reserve University (SUB CWRU-N01-DK-6-2203 (DK3782))	93 847	(6,491)
Case Western Reserve University (SUB RES506705 (DK094157))	93 847	273,679
Catholic Healthcare West (20071128)	93 928	(33,466)
Catholic Healthcare West (87976)	93 RD	1,896
Cbrite Inc. (W15P7T-08-C-P410-01)	12 RD	13,905
Cbrite Inc. (W15P7T-08-C-P410-01-02)	12 RD	112,402
Cbrite Inc. (W15P7T-08-C-P410-04)	99 RD	84,095
Cbrite Inc. (W911QX-11C-0047)	99 RD	4,570
Cdc Foundation (393-11SC)	93 RD	29,095
Cedars-Sinai Medical Center (0000500746)	93 847	309,217
Cedars-Sinai Medical Center (0000728406)	12 420	40,554
Cedars-Sinai Medical Center (0000754626)	99 RD	19,426
Cedars-Sinai Medical Center (57731)	93 846	(8,381)
Cedars-Sinai Medical Center (711112)	93 226	13,716
Cedars-Sinai Medical Center (71698)	93 846	(747)
Cedars-Sinai Medical Center (789692)	93 865	53,992

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Cedars-Sinai Medical Center (79173)	93 865	(29)
Cedars-Sinai Medical Center (80491)	93 865	(21,578)
Cedars-Sinai Medical Center (80654)	93 226	(158)
Cedars-Sinai Medical Center (P.O.# 0000789702)	93 865	17,575
Cedars-Sinai Medical Center (PO#0000772671)	93 865	318,545
Celadon Laboratories, Inc. (201016426)	12 RD	23,327
Cellerant Therapeutics, Inc. (SUB CLT008-02 (HHSO10020100005)	93 RD	952
Center for Comprehensive Care and Diagnosis of Inherited Blood Disorder (33125)	93 184	22,370
Center for Comprehensive Care and Diagnosis of Inherited Blood Disorder (85594)	93 110	77,271
Center for International Rehabilitation (201120561)	12 RD	99,945
Central Coast Agriculture Network (33314)	10 170	4,095
Centre Hospitalier Universitaire de Quebec (ON095556-1-CYC2)	93 856	284,702
Centro de Investigacion Cientifica de Ensenada (004915)	12 800	120,085
Centro de Investigacion en Materiales Avanzados (Mexico) (SB110033)	12 RD	15,212
Cfd Research Corporation (1407)	12 RD	72,294
Chapman University (2011-2701)	10 310	63,421
Charles R. Drew University of Medicine and Science (11-12-JV-G007710F-UCLA-OD)	93 397	4,208
Charles R. Drew University of Medicine and Science (11-12-JV-G007710F-UCLA-SM)	93 397	22,239
Charles R. Drew University of Medicine and Science (11-12-KN-G0811JS1-UCI-CYC1)	93 389	172,651
Charles R. Drew University of Medicine and Science (11-12-KN-G0986A00-UCLA)	93 307	805,782
Charles R. Drew University of Medicine and Science (59561)	93 307	(65,937)
Charles R. Drew University of Medicine and Science (59930)	93 307	952
Charles R. Drew University of Medicine and Science (79431)	93 307	(260)
Charles River Analytics (SC1003701)	12 910	19,494
Charles Stark Draper Laboratory, Inc. (85923)	47 RD	42,107
Charles Stark Draper Laboratory, Inc. (SC001-0000000485)	12 431	135,841
Charlotte-Mecklenburg Hospital Authority, The (85574)	93 226	2,018
ChevronTexaco Corp. (CW793025 (FORMERLY 15019674))	89 089	102,247
Chicago Association for Research and Education in Science (CSP LUO 0001)	93 853	80,752
Chicago Association for Research and Education in Science (UCSF#A115379)	93 RD	320,296
Chicago Association for Research and Education in Science (Y1-NS-0002)	93 853	8,661
Chickasaw Nation Industries (83218)	93 RD	4,045,662
Children's Discovery Museum of San Jose (NSFLS-01-01)	47 076	100,624
Children's Discovery Museum of San Jose (NSFLS-02)	47 076	32,994
ARRA-Children's Hospital & Medical Center, Seattle (10106SUB)	93 701	7,123
ARRA-Children's Hospital & Medical Center, Seattle (10119SUB)	93 701	63,015
Children's Hospital & Medical Center, Seattle (10254SUB)	93 121	87,245
Children's Hospital & Medical Center, Seattle (10290SUB)	93 853	482,432
Children's Hospital & Medical Center, Seattle (10350SUB)	93 837	12,421
Children's Hospital & Medical Center, Seattle (10492SUB)	93 837	50,439
Children's Hospital & Medical Center, Seattle (413820230101)	93 846	798
Children's Hospital and Research Center at Oakland (12.7950-UCSF-1)	93 283	2,197
Children's Hospital and Research Center at Oakland (12.8022.A-CYC1)	93 855	41,644
Children's Hospital and Research Center at Oakland (12.8022A)	93 855	37,590
ARRA-Children's Hospital and Research Center at Oakland (12.8286AARRAUCD01)	93 701	1,796,584
Children's Hospital and Research Center at Oakland (128059A1)	12 RD	13,741
Children's Hospital and Research Center at Oakland (HF7828A-01)	93 838	64,651
Children's Hospital Corp. (The), Boston, Mass. (0000299804)	93 583	59,807
Children's Hospital Corp. (The), Boston, Mass. (000033950)	93 855	53,680
Children's Hospital Corp. (The), Boston, Mass. (0000369946)	93 855	30,301
Children's Hospital Corp. (The), Boston, Mass. (0000422498)	93 855	87,120
Children's Hospital Corp. (The), Boston, Mass. (20102338)	12 420	46,184
Children's Hospital Corp. (The), Boston, Mass. (57890)	93 867	92
Children's Hospital Corp. (The), Boston, Mass. (58745)	93 867	22
Children's Hospital Corp. (The), Boston, Mass. (70830)	93 865	11,702
ARRA-Children's Hospital Corp. (The), Boston, Mass. (71279)	93 701	(3,211)
ARRA-Children's Hospital Corp. (The), Boston, Mass. (71368)	93 701	(7,614)

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
ARRA-Children's Hospital Corp. (The), Boston, Mass. (77543)	93 726	141,329
Children's Hospital Corp. (The), Boston, Mass. (79135)	93 867	17,521
Children's Hospital Corp. (The), Boston, Mass. (85253)	93 855	3,777
Children's Hospital Corp. (The), Boston, Mass. (SUB NONE (FD003523))	93 103	63,477
Children's Hospital Medical Center of Cincinnati (106277)	93 310	14,056
Children's Hospital Medical Center of Cincinnati (106802)	99 RD	310,294
Children's Hospital Medical Center of Cincinnati (58871)	93 853	5,870
Children's Hospital Medical Center of Cincinnati (82303)	93 853	(31,516)
Children's Hospital Medical Center of Cincinnati (85979)	93 865	105,638
ARRA-Children's Hospital Medical Center of Cincinnati (ARRA107724)	93 701	40,716
Children's Hospital Medical Center of Cincinnati (SUB 107759 (NS045911))	93 853	123,560
ARRA-Children's Hospital of Los Angeles (005354)	93 701	38,243
Children's Hospital of Los Angeles (201120477)	93 847	810
Children's Hospital of Los Angeles (500)	93 286	69,416
Children's Hospital of Los Angeles (531)	93 865	48,877
Children's Hospital of Los Angeles (8020-RGF005705)	93 092	45,868
Children's Hospital of Los Angeles (CHLA#479)	93 395	302,756
Children's Hospital of Los Angeles (N2007-03)	93 395	7,029
ARRA-Children's Hospital of Los Angeles (PROJECT# 8036-RGR006033-00)	93 701	16,757
Children's Hospital of Los Angeles (SUB 8029-RGF007034-00 (MH08756)	93 242	10,441
Children's Hospital of Los Angeles (UCSF#A115951)	93 395	107,215
Children's Hospital of Orange County (08002818)	93 RD	(6,012)
Children's Hospital of Orange County (201118873)	93 RD	(12,059)
Children's Hospital of Orange County (5R01HD059967)	93 865	119,061
Children's Hospital of Orange County (85518)	93 110	3,682
Children's Hospital of Orange County (SUB NONE (DD000204))	93 283	2,723
Children's Hospital of Philadelphia (10008-05-01-CYC3)	93 865	(31,906)
ARRA-Children's Hospital of Philadelphia (320882-01-01-CYC2)	93 701	21,165
ARRA-Children's Hospital of Philadelphia (950530RSUB)	93 701	17,432
Children's Hospital of Philadelphia (950980RSUB)	93 213	4,578
Children's Hospital of Philadelphia (951124RSUB-CYC1)	93 865	473,477
Children's Hospital of Philadelphia (960211RSUB-CYC1)	93 865	144,839
Children's Hospital of Pittsburgh (0026567 1 19337-01 (HD064407))	93 865	51,630
Children's Hospital of Pittsburgh (SUB CE001561 (0025841-119862-0)	93 136	5,551
Children's Hospital of San Diego Research Center (82416)	93 853	(7,578)
Children's Hospital of San Diego Research Center (83204)	93 242	653
Children's Hospital of San Diego Research Center (87361)	93 242	(23,767)
Children's Hospital of San Diego Research Center (SUB 20090363 (90CA1768.01))	93 670	221,909
Children's Hospital of San Diego Research Center (SUB 662-94193560(MH074678))	93 242	183,734
Children's Hospital of San Diego Research Center (SUB NONE (662-9113556))	84 324	109,606
Children's Memorial Hospital (IL) (83754)	93 865	(18)
Children's Memorial Hospital (IL) (901460-UCLA)	93 853	108,728
Children's Mercy Hospital (The) (Kansas City, Mo) (05-0012)	93 849	34,703
ARRA-Children's Mercy Hospital (The) (Kansas City, Mo) (09-0026)	93 701	810
ARRA-Children's Mercy Hospital (The) (Kansas City, Mo) (09-0029)	93 701	7,009
Children's Mercy Hospital (The) (Kansas City, Mo) (1R01HD05855601A1)	93 865	123,658
Children's Mercy Hospital (The) (Kansas City, Mo) (OSR #06042472)	93 849	30,491
Children's Mercy Hospital (The) (Kansas City, Mo) (SUB 07-005 (DK066143))	93 849	13,822
ARRA-Children's Mercy Hospital (The) (Kansas City, Mo) (SUB 09-0027 (DK066143))	93 701	(15,582)
Children's Mercy Hospital (The) (Kansas City, Mo) (SUB 09-0027 (DK066143))	93 RD	2,782
Children's National Medical Center (3848-10-04)	93 865	97,481
Children's National Medical Center (40821104)	93 RD	55,027
Children's National Medical Center (5198-10-02)	12 420	87,217
Children's National Medical Center (6388-11-01)	99 RD	195,230
Children's National Medical Center (79074)	93 865	385
Children's National Medical Center (79580)	12 420	23,582
Children's National Medical Center (N01HD43393)	93 RD	74,070

The accompanying notes are an integral part of this schedule.

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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Children's Research Institute (08381202)	93 846	6,323
Chimeric Technologies, Inc. (77738)	93 395	146
Chinese Community Health Resource (UCSF#A116116)	93 307	124,907
Chromologic (20091488)	99 RD	172,965
City of Hope (21415.914829.6692)	93 395	97,114
ARRA-City of Hope (22019.910141.6692)	93 701	25,373
City of Hope (22524.915837.6695-CYC6)	93 393	613,390
City of Hope (22559.914849.6692-CYC3)	93 393	22,083
ARRA-City of Hope (22564.910175.6692-CYC1)	93 701	9,097
City of Hope (BRICOH#22102)	93 RD	9,302
City of Hope (SUB 22165/914989/6692 (CA13463)	93 398	5,062
City of Hope (SUB22746.914995.66922 (GM09332)	93 859	265,357
ARRA-City of Los Angeles (C-118530)	81 128	332,671
City of Los Angeles (C-118670)	14 218	36,696
City University of New York (47811-M)	81 849	71,772
City University of New York (47832 B)	81 135	121,642
City University of New York (49100-E-CYC1)	11 481	45,872
Clare Foundation, Inc. (20063816)	93 243	783
Clare Foundation, Inc. (20114621)	93 243	27,248
Claremont Colleges (20091157)	93 394	9,231
Claremont Colleges (SUB DT-2229-01 (CA150559))	93 393	25,682
Clark County, Nevada (601346-08)	15 235	24
Clarkson University (SUB 375-32785-1 (NONE))	66 509	(4,102)
Clemson University (08001763)	11 113	166,903
Clemson University (1171-7558-225-2006308)	12 300	3,486
Clemson University (15512062008582)	47 049	17,654
Cleveland Clinic Foundation (4487053)	12 420	41,752
ARRA-Cleveland Clinic Foundation (SUB 147 (HD060073) ARRA)	93 701	10,430
Coalition of Orange County Community Clinics, The (COCCC-53185-CYC1)	93 107	5,060
Coda Genomics Inc. (VERD-45083-CYC2)	93 855	127,777
Codar Ocean Sensors (59094)	11 RD	135
Codar Ocean Sensors (SB120053)	11 RD	41,842
Cold Spring Harbor Laboratory (54070412)	93 859	2,963
Cold Spring Harbor Laboratory (SUB 54570113 (MH087988))	93 242	68,840
College of the Desert (UCR-08073652)	10 223	12,419
Colorado School of Mines (005014)	12 431	24,614
Colorado School of Mines (400361)	47 049	7,485
Colorado State University (20103955721904)	10 200	4,870
Colorado State University (79278)	99 RD	191
Colorado State University (80139)	47 050	(675)
Colorado State University (82925)	47 050	30,487
ARRA-Colorado State University (ARRAG76461)	93 701	550
Colorado State University (G14201)	10 303	22,771
Colorado State University (G-1425-1)	10 206	5,183
Colorado State University (G14954)	10 309	36,872
Colorado State University (G-1921-1)	43 001	228,781
Colorado State University (G-3062-7)	47 076	462,454
Colorado State University (G-3232-2)	47 RD	785,384
Colorado State University (G35844)	47 074	3,064
Colorado State University (G35881)	47 074	3,081
Colorado State University (G-3624-10)	47 050	82,415
Colorado State University (G-3624-5)	47 050	95,052
Colorado State University (G-3624-9)	47 500	30,361
Colorado State University (G40232)	47 074	95,087
Colorado State University (G-7230-1)	12 351	22,513
Colorado State University (G965018)	98 RD	112,340
Colorado State University (G96507)	98 001	64,236

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Colorado State University (SUB 20101139 (ATM0425247))	47 050	183,410
Colorado State University (SUB G-2369-1 (HDTRA1-09-1-0036)	12 351	191,988
Colorado, State of (UC01)	90 403	10,863
Columbia University (1 (ACCT #5-27576))	84 305	150,365
Columbia University (1 (ACCT #5-28251))	93 846	103,167
Columbia University (1 (ACCT# 5-37656))	93 855	70,352
Columbia University (1 (ACCT#5-32290))	93 837	18,121
Columbia University (1(ACCT#5-30238)	93 837	74,132
Columbia University (1(ACCT.#5-39391))	93 847	9,993
Columbia University (11 (ACCT.#5-37761))	93 113	1,837
Columbia University (12-CYC14)	47 049	872,818
Columbia University (1-5-37171)	93 879	32,542
Columbia University (2 (ACCT #5-21623))	12 300	51,005
Columbia University (2 (ACCT #5-24851))	47 050	22,179
Columbia University (2 (ACCT. #5-29916))	93 846	65,280
Columbia University (2 (ACCT.#5-36361))	93 838	17,920
Columbia University (2(ACCT.#5-38833))	14 906	174,236
Columbia University (32728)	93 242	3,103
Columbia University (4 (ACCT. #5-30257))	93 837	93,704
Columbia University (5(ACCT#5-30261))	93 855	58,208
Columbia University (5-33496-CYC4)	93 848	17,243
Columbia University (6-CYC4)	93 113	1,763
Columbia University (79062)	93 837	(55,441)
Columbia University (82886)	12 300	(4,328)
ARRA-Columbia University (ARRA2539621)	93 701	105,006
Columbia University (CU-49461-CYC2)	93 865	2,386
Columbia University (CU-53010-CYC1)	47 049	391,784
Columbia University (G02781-CYC1)	93 865	14,932
Columbia University (PHY 06-12811(13)-013)	47 049	122,082
Columbia University (SUB 1 (DK061734))	93 847	292,627
Columbia University (SUB 1(ACCT. #5-30252) (MH08638)	93 242	152,559
Columbia University (SUB 2 (ACCT. #5-39431) (DE0212)	93 121	178,681
Columbia University (SUB 2 ACCT. # 5-30237 (CA15597)	93 395	92,192
Columbia University (SUB 5-39232 (DK-061734))	93 847	246,946
Columbia University (UCSF#A107030)	93 RD	4,786
Community Advocates (20080111)	93 243	54,759
Community Advocates (80399)	93 243	(1,910)
Computing Research Association (CIF-A-198-CYC1)	47 070	32,977
Computing Research Association (CIF-A-212)	47 070	26,175
Computing Research Association (CIF-B-127)	47 070	123,572
Computing Research Association (CIFB45)	47 070	39,186
Computing Research Association (CIF-B-68)	47 070	137,804
Computing Research Association (CIF-B-96)	47 070	30,312
Computing Research Association (CIF-C-106)	47 070	130,963
Computing Research Association (CIFC45)	47 070	94,920
Computing Research Association (CIF-C-96)	47 070	47,825
Computing Research Association (CIF-D-010)	47 070	60,094
Computing Research Association (SUB CIF-A-541 (0937060))	47 070	44,471
Concord Consortium, The (201.01.01)	47 076	146,412
Connecticut Children's Medical Center (UCSF#A116918)	93 847	(2,447)
Consejo Superior de Investigaciones Cientificas (029062)	12 RD	5,983
Consortium for Ocean Leadership (62022)	47 050	638
Consortium for Ocean Leadership (85804)	47 050	5,446
Consortium for Ocean Leadership (87183)	47 050	1,491,341
Consortium for Ocean Leadership (BA-22-T318A22)	47 050	3,108
ARRA-Consortium for Ocean Leadership (SA 9-08 ARRA)	47 050	588,400
Consortium for Ocean Leadership (SA 9-08)	47 050	7,716,400

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Consortium for Ocean Leadership (SAF-11-05)	47 050	22,418
Consortium for Ocean Leadership (T319A7-(1))	47 050	8,313
Consortium for Ocean Leadership (T320A38)	47 050	322
Consortium for Ocean Leadership (T320B7-02)	47 050	24,695
Consortium for Ocean Leadership (T322A7-01)	47 050	3,029
Consortium for Ocean Leadership (T323A7-003)	47 050	37,800
Consortium for Ocean Leadership (T325A7-002)	47 074	35,207
Consortium for Ocean Leadership (T327A7)	47 050	22,185
Consortium for Ocean Leadership (T330A22)	47 050	6
Consortium for Ocean Leadership (T330B22)	47 050	10,192
Consortium for Ocean Leadership (T334A7)	47 050	10,566
Consortium for Ocean Leadership (T339A38)	47 050	23,975
Consortium for Ocean Leadership (T340A22)	47 050	12,809
Consortium for Ocean Leadership (T340A95)	47 050	559
Consortium for Ocean Leadership (T340B22)	47 050	26,407
Consortium for Ocean Leadership (T342A22)	47 050	37,335
Consortium for Ocean Leadership (T342B22)	47 050	4,814
Consortium for Ocean Leadership (T343A7)	47 050	15,588
Consortium for Ocean Leadership (T343B7)	47 050	20,622
Consortium of Univ for Research in Earthquake Engineering (SUB 07 (UNR-08-12/C	47 074	90,225
Consortium of Univ for the Advancement of Hydrologic Science, Inc. (SUB (NONE)	47 050	9,658
Consortium of Univ for the Advancement of Hydrologic Science, Inc. (SUB 200959	47 050	156,671
Cornell University (10081142)	93 847	12,326
Cornell University (11081491-CYC1)	99 837	54,091
Cornell University (12040497)	93 847	235,775
Cornell University (33144)	93 867	35,169
Cornell University (44771-7475)	47 041	947,254
Cornell University (46514-8622)	47 RD	301,795
Cornell University (55179-8767)	12 431	258,461
Cornell University (55179-8771)	12 431	81,011
Cornell University (55668-8869)	93 242	104,632
Cornell University (557058822)	10 303	58,360
Cornell University (565169489)	47 070	113,186
Cornell University (58634-9147-CYC2)	47 074	112,279
Cornell University (5U01NS5032404EWOF2)	93 853	15,031
Cornell University (60348-9393-CYC2)	47 074	58,472
ARRA-Cornell University (60525-9182)	93 701	22,583
Cornell University (60606 9835)	93 846	5,990
Cornell University (61468 9517)	47 074	30,291
Cornell University (624159688)	98 001	11,570
Cornell University (63519-9682)	93 172	166,682
Cornell University (65291-9851)	12 300	22,212
Cornell University (83970)	93 853	(15,947)
Cornell University (84069)	47 070	3,171
Cornell University (CU-44890-CYC3)	93 853	526
Cornell University (CU-52902-CYC1)	93 837	9,183
Cornell University (SUB 55179-8770 (W911NF-08-2-0)	12 431	202,442
Cornell University (SUB NONE (NS50324))	93 853	12
Council of Graduate Schools (78317)	47 076	300
County of Solano (08002783)	84 215	5,412
Cpm Systems, Inc. (20112264)	99 RD	62,211
CPWR - The Center for Construction Research and Training (3002-020-01)	93 262	227,149
CPWR - The Center for Construction Research and Training (3002-020-01)	93 262	66,028
CPWR - The Center for Construction Research and Training (UCSF#A113803)	93 262	151,783
CPWR - The Center for Construction Research and Training (UCSF#A118425)	93 262	20,241
Creighton University (UCSF#A111182)	93 846	74,739
Curesearch for Children's Cancer (020791)	93 395	5,400

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Curesearch for Children's Cancer (021352)	93 395	389
Curesearch for Children's Cancer (17636 (FR))	93 395	6,552
Curesearch for Children's Cancer (17637)	93 RD	216,038
Curesearch for Children's Cancer (17692)	93 RD	2,784
Curesearch for Children's Cancer (17877)	93 395	4,210
Curesearch for Children's Cancer (18573)	93 395	12,418
ARRA-Curesearch for Children's Cancer (19310)	93 701	4,972
Curesearch for Children's Cancer (19828)	93 RD	13,504
Curesearch for Children's Cancer (19831)	93 RD	7,500
Curesearch for Children's Cancer (19902)	93 395	5,684
Curesearch for Children's Cancer (20354)	93 395	30,426
Curesearch for Children's Cancer (21142)	93 395	(89,056)
Curesearch for Children's Cancer (30956)	93 395	3,048
Curesearch for Children's Cancer (69254-1008)	93 839	640
ARRA-Curesearch for Children's Cancer (71510)	93 701	(253)
Curesearch for Children's Cancer (83162)	93 395	(7,913)
Curesearch for Children's Cancer (85501)	93 395	(15,148)
Curesearch for Children's Cancer (SUB 021051(CA098543))	93 395	29,865
ARRA-Curesearch for Children's Cancer (SUB COG19392 (CA98543) ARRA)	93 701	8,299
ARRA-Cytokinetics, Inc. (CY4023-CYC1)	93 271	13,967
ARRA-Daimler-Chrysler Ag (incl Mercedes-Benz USA LLC) (Germany) (SUBDEEE00027)	81 RD	253,676
Dairy Management Inc. (ADDEDUM13)	10 RD	24,746
Dana-Farber Cancer Institute (11000006)	93 853	22,180
Dana-Farber Cancer Institute (1136801)	93 853	173,498
Dana-Farber Cancer Institute (1139005)	93 394	31,191
Dana-Farber Cancer Institute (20061881)	93 394	8,009
ARRA-Dana-Farber Cancer Institute (2800902)	93 701	46
Dartmouth College (509)	43 001	376,993
Dartmouth College (511-(3))	43 001	201,945
Dartmouth College (5-30617)	93 859	195,457
Dartmouth College (58204)	93 859	1,308
ARRA-Dartmouth College (620-CYC4)	93 701	33,030
Dartmouth College (754)	93 855	92,792
Dartmouth College (757)	97 RD	40,634
Dartmouth College (82730)	47 070	2
Dartmouth College (85979)	97 001	(19,784)
Dartmouth College (941-CYC1)	93 853	76,969
Dartmouth College (DARTMOUTH#902)	93 393	36,145
Davis Energy Group Incorporated (ARBIUCD)	81 RD	2,921
Dcs Corporation (APX02-N001 TASK ORDER 0001)	12 RD	3,251
Dcs Corporation (APX02-N001 TASK ORDER 0002)	12 RD	29,322
Dcs Corporation (NONE (W911NF-10-2-0022))	12 431	2,655,709
Decode Genetics, Inc. (Iceland) (20062527)	93 242	61,008
Defense Capital Advisors LLC (HSHQDC11C00006)	97 RD	104,998
Denver Health and Hospital Authority (UCSF#A114816)	93 RD	1,501
Desert Research Institute (Nevada System of Higher Education) (86852)	10 RD	(1,488)
ARRA-Desert Research Institute (Nevada System of Higher Education) (ARRA646927)	47 082	70,509
Desert Star Systems, LLC (87308)	12 300	1,653
Diagnostic Consulting Network (DCN-50844-CYC1)	93 853	19,051
Directed Vapor Technologies International, Inc. (SB110038)	12 RD	71,553
ARRA-Doheny Eye Institute (SUB 20091219 (EY019270) ARRA)	93 701	4,977
ARRA-Donald Danforth Plant Science Center (28302-R)	81 087	247,387
Donald Danforth Plant Science Center (DDPSC20713A)	47 074	632
Dowling Associates, Inc. (P10064)	20 205	83,804
Drake University (sub 103220(AR060469))	93 846	13,920
Drexel University (incl College of Medicine, MCP Hahnemann) (06001727)	93 113	858,357
Drexel University (incl College of Medicine, MCP Hahnemann) (232448-3850)	93 846	10,106

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Drexel University (incl College of Medicine, MCP Hahnemann) (86263)	47 050	51,940
ARRA-Drexel University (incl College of Medicine, MCP Hahnemann) (87637)	93 701	7,890
ARRA-Drexel University (incl College of Medicine, MCP Hahnemann) (ARRA232428)	93 701	17,388
Drs Technologies, Inc. (incl Drs Systems, Inc.) (S99004725)	12 RD	260,840
Drugs for Neglected Diseases Initiative (UCSF#A116504)	93 855	48,525
Duke University (08-SC-NIH-1075)	93 866	22,593
Duke University (09-NIH-1068)	93 866	15,433
Duke University (09-NIH-1086)	93 866	11,192
Duke University (09-NSF-1013)	47 RD	1,494
Duke University (09-ONR-1114)	12 300	705,554
Duke University (09-ONR-1115)	12 300	531,172
Duke University (102970)	99 RD	1,369
Duke University (10-ARO-1049)	12 431	156,498
Duke University (10-DARPA-1106/HR0011-10C-0073)	12 RD	150,143
Duke University (10-DOE-1052-CYC2)	81 049	995
Duke University (10-IMQUEST-1069)	93 855	2,177
Duke University (10-NIH-1016-CYC2)	93 864	11,392
Duke University (10-NSF-1008)	47 049	80,852
Duke University (10-NSF-1065)	47 074	538,282
Duke University (116770/167/658UCSF)	93 866	94,869
Duke University (11-HDR-1052)	12 300	7,484
Duke University (11-HDR-1096)	12 300	42,201
Duke University (128355)	93 838	132,145
Duke University (12-DARPA-1042)	12 910	49,601
Duke University (12-NSF-1065)	47 050	3,794
ARRA-Duke University (161411/173530)	93 701	16,559
ARRA-Duke University (161411-CYC1)	93 701	1,344
ARRA-Duke University (165191/174373)	93 701	15,112
Duke University (20100872)	99 RD	34,815
Duke University (2030931)	99 RD	34,399
Duke University (203-1332)	93 394	15,216
Duke University (203-1359-CYC2)	93 395	28,179
Duke University (203-1595)	93 853	20,217
Duke University (2039157)	93 866	69,714
Duke University (3013)	93 838	1,422
Duke University (303-4499)	93 853	19,801
Duke University (303-5655)	93 855	8,962
Duke University (57505)	93 866	(149)
Duke University (58563)	93 838	450
Duke University (79460)	99 RD	(349)
Duke University (83310)	93 RD	4,100
ARRA-Duke University (ARRA164668)	93 701	173,385
ARRA-Duke University (ARRA2039939)	93 701	195
Duke University (C09-ARA02-CSF)	93 855	42,818
Duke University (DCRI-29488-CYC6)	93 395	45,162
ARRA-Duke University (RC2AR058934)	93 701	41,630
Duke University (SITE407)	93 839	2,639
Duke University (SUB 12-NSF-1101 (DMD-0635449))	47 049	19,002
Duke University (SUB 155582/ 145465 (HG004065))	93 172	30,522
ARRA-Duke University (SUB 173530 (HL098237) ARRA)	93 701	3,240
Duke University (SUB 303-1587 (HL091036))	93 839	51,156
Duke University (SUB 616 (CA076001))	93 395	(2,210)
ARRA-Duke University (SUB DUKE165191 (AR058934) ARRA)	93 701	(16,767)
Duke University (SUB178785 (HHSN275201000003L))	93 RD	31
Duke University (U19AI56363)	93 855	6,569
Dxray, Inc. (UCSF#P0034397)	93 853	48,292
ARRA-Dynamic Clinical Systems, Inc. (UCSF#A114371)	93 701	24,204

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Dyncorp System and Solutions (59568)	12 300	21,150
Earth and Space Research (10-96 2009-152)	43 RD	19,556
Ecological Society of America (DUE-1044359)	47 RD	93,826
ARRA-Ecotality North America (EVSRA1004)	81 RD	407,386
Edboost (R305A110809)	99 RD	139,686
Edcount LLC (EVEA-20100232.116.04)	84 368	39,052
Education, Training & Research Associates (P32250)	47 076	107,530
Educational Testing Service (UCLA-IES-305A)	84 305	217,310
Electric Power Research Institute (EP-P39749/C17641)	81 122	39,171
Electric Power Research Institute (EP-P39923/C17733)	81 136	17,926
Electric Power Research Institute (EP-P42069/C18398)	10 912	11,495
Electric Power Research Institute (EP-P42266/C18443)	10 912	44,536
Eltron Research and Development Inc. (08003062)	43 RD	(2,483)
Emmes Corporation, The (1568GNA643)	93 RD	111,654
Emmes Corporation, The (1568GNA643-CLASS CS)	93 395	238,744
Emmes Corporation, The (201014435)	93 RD	1,000
ARRA-Emmes Corporation, The (20101609)	93 701	10,304
Emmes Corporation, The (82507)	93 RD	(14,477)
Emmes Corporation, The (SUB (HHS-N-260-2005-00007-C))	93 RD	17,494
Emmes Corporation, The (SUB EMMES (HHSN260200700001C))	93 RD	14,437
Emmes Corporation, The (SUB NONE (CA121947))	93 395	181,423
ARRA-Emmes Corporation, The (SUB NONE (NS062835))	93 701	3,452
Emmes Corporation, The (UCSF#A115525)	93 RD	189,215
Emory University (20113628)	93 RD	18,118
Emory University (528246)	93 853	28,152
ARRA-Emory University (71370)	93 701	(293)
ARRA-Emory University (87596)	93 701	2,503
ARRA-Emory University (9366647)	93 701	44,151
ARRA-Emory University (S341745-002)	93 701	107,759
Emory University (S383526-CYC2)	93 853	132,016
Emory University (S574813)	93 855	244,880
Emory University (S642368)	93 855	116,965
Emory University (S662646)	43 001	10,106
Emory University (S664033-CYC1)	93 853	69,813
Emory University (SUB S697330 (AI090023))	93 855	96,348
Emory University (SUB S726754 (DK094346))	93 847	7,888
Emory University (UCSF#A116155)	93 855	229,809
Endocrine Society, The (SUB 10001 (GM095349))	93 859	13,906
Energy Solutions (09027)	81 RD	35,697
Engineering Acoustics Inc. (20104014)	99 RD	32,921
Environmental Defense Fund (36630)	10 RD	14,112
Eon Research Corporation (09001289)	12 RD	79,965
Epir Technologies, Inc. (G16P-12-SUCSC-0001)	99 RD	8,687
Erc, Incorporated (RS101344)	99 RD	12,934
Erc, Incorporated (RS111000)	99 RD	71,733
Erc, Incorporated (RS120043)	99 RD	4,497
Ernest Gallo Clinic and Research Center (350-219)	93 273	32,370
Ernest Gallo Clinic and Research Center (44-18)	99 RD	31,765
European Bioinformatics Institute/European Molecular Biology Lab (1)	93 RD	355,066
European Bioinformatics Institute/European Molecular Biology Lab (SC-09-64-006)	93 172	125,716
Evergreen State College, The (06001171)	47 074	3,499
Evolved Machines, Inc. (029488)	12 910	80,287
Evolved Machines, Inc. (SUB 20095888 (HR0011-10-C-0032))	12 910	422,469
Exploratorium (201122018)	47 076	16,308
Eye-com Corporation (2010-05)	12 RD	85,908
Eyes of the World Media Group, Inc. (20111112)	93 279	7,758
Feinstein Institute for Medical Research (500373)	93 855	2,000

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (005494)	81 RD	25,043
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (005561)	81 RD	21,835
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (32280)	81 RD	47,592
Fermi Research Alliance, LLC (FRA) (incl Fermilab-DOE GOCO) (594506)	99 RD	3,750
Fhi 360 (32590)	98 RD	178,781
Fhi 360 (4001UCDS00TO4)	98 RD	1,193,532
Fhi 360 (79495)	93 855	(2,400)
Fhi 360 (817/0080.0062)	93 936	307
Fhi 360 (84486)	98 RD	489,981
Fhi 360 (84607)	93 856	(24,246)
Fhi 360 (8659)	93 855	35,386
Fhi 360 (SUB ID 0080.0141/952 (AI068619))	93 855	13,684
Fibron Technologies, Inc. (20112124)	47 041	33,308
First Point Scientific, Inc. (UCR-08063495)	12 RD	69,283
Florida State University (R01268)	47 074	85,676
Florida State University (R01314)	43 RD	10,824
Florida State University (SUB R01240 (AI074805))	93 856	283,150
Fluential (UCSF#A116775)	93 RD	23,380
Fordham University (SUB 20121926 (DA031608))	92 279	5,775
Fordham University (SUB 20122208 (DA031608))	92 279	3,004
Foundation Fighting Blindness, The (incl Natl Neurovision Resch Inst.) (SUB NN)	12 431	6,712
Fox Chase Cancer Center (15021-01)	93 395	55,411
Fred Hutchinson Cancer Research Center (0000691767)	93 859	17,767
Fred Hutchinson Cancer Research Center (0000695268)	93 393	6,622
Fred Hutchinson Cancer Research Center (0000695869)	93 172	1,759
ARRA-Fred Hutchinson Cancer Research Center (0000699835)	93 701	80,876
Fred Hutchinson Cancer Research Center (0000701013)	93 393	40,650
Fred Hutchinson Cancer Research Center (0000708766-CYC1)	93 855	44,995
Fred Hutchinson Cancer Research Center (0000714068)	93 395	223,876
Fred Hutchinson Cancer Research Center (0000718098)	93 855	202,311
Fred Hutchinson Cancer Research Center (0000722407)	93 394	94,793
Fred Hutchinson Cancer Research Center (0000722690)	93 172	1,654
Fred Hutchinson Cancer Research Center (0000722967)	93 393	32,404
Fred Hutchinson Cancer Research Center (0000726264)	93 113	25,909
Fred Hutchinson Cancer Research Center (33926)	93 393	4,268
Fred Hutchinson Cancer Research Center (58996)	93 855	65
Fred Hutchinson Cancer Research Center (78504)	93 855	19,886
Fred Hutchinson Cancer Research Center (85361)	93 393	44,058
Fred Hutchinson Cancer Research Center (87828)	93 RD	3,443
Fred Hutchinson Cancer Research Center (87985)	93 393	(2,301)
Freedom Photonics LLC (N08-T012 PHASE II)	12 RD	59,635
Freedom Photonics LLC (ST081-014)	12 910	179,487
Freeform Wave Technology LLC (SUB 20114221(N00014-11-M-0303))	12 300	35,000
Fresenius Management Services, Inc. (PA-CL-05A)	99 RD	221,006
Fritz Thyssen Stiftung (UCSD-53547-CYC1)	99 RD	8,232
Functional Genetics, Inc. (201119266)	93 RD	922
Functional Genetics, Inc. (33436)	12 RD	223,704
Functional Genetics, Inc. (UCSF#A116077)	93 RD	169,920
Functional Genetics, Inc. (UCSF#A116126)	93 RD	235,257
Gaia Medical Institute (2011-1218 (W81XWH-10-DMRDP-BRA))	12 420	96,507
Gallaudet University (016655)	47 075	123,182
Gallaudet University (33233)	47 075	57,822
Gallaudet University (SUB 0000018429 (SBE-0541953))	47 075	41,345
Gallaudet University (SUB NONE (SBE-1041725))	47 075	126,894
ARRA-Gas Technology Inst. (83647)	81 134	32
Gem Power, LLC (UCR-080113976)	12 RD	33,680
Gene Therapy Systems, Inc. (AI052636)	93 856	38,978

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
General Atomics (4500024860)	12 002	12,916
General Atomics (4500027197-CYC1)	81 RD	146,604
General Atomics (SUB 4500014166 (DE-FC02-04ER54))	81 RD	25,271
General Atomics (SUB 4500014742 (DE-FG-02ER5483))	81 RD	486,070
General Atomics (SUB 4500019801 (DE-AC52-06NA27))	81 RD	130,767
General Atomics (SUB 4500021105 (DE-SC0001265))	81 RD	173,565
ARRA-General Atomics (SUB 4500026353 (DE-AR0000124))	81 049	216,640
General Atomics (SUB 4500030522 (DE-FG02-95ER54))	81 049	138,129
General Atomics (SUB 4500031528(NONE))	81 049	114,178
General Atomics (SUB 4500034316 (DE-NA0000870))	81 121	22,176
General Atomics (SUB 4500034489 (DE-FC02-04ER54))	81 049	60,919
General Dynamics Corporation (SUB 20114546 (NONE))	12 RD	175,191
General Electric Company (incl GE Healthcare, GE Lighting Inst.) (004829)	99 RD	29,316
General Electric Company (incl GE Healthcare, GE Lighting Inst.) (GE-47234-CYC)	12 RD	36,671
General Technical Services LLC (GTS-S-09-1-056)	12 RD	53,591
General Technical Services LLC (GTS-S-09-1-196)	12 RD	155,244
General Technical Services LLC (GTS-S-11-321)	12 RD	333,845
Geneva Foundation (201014679)	12 420	17,207
Geneva Foundation (33901)	12 RD	11,090
Geneva Foundation (S-1241-01)	12 420	5,053
George Mason University (E2013471)	93 853	116,741
George Washington University (10S16)	47 070	9,367
George Washington University (11-M62)	93 855	140,809
George Washington University (11-M73)	93 855	46,894
George Washington University (OSR #03033876)	93 847	141,248
George Washington University (S-DPP1112-MR07)	93 847	14,514
George Washington University (SUB GWU (DK061230))	93 RD	725
George Washington University (SUB SDPP112-MR03(DK048489))	93 849	13,789
George Washington University (UCSF#A119172)	93 865	143,272
ARRA-Georgetown University (4222-091)	93 701	466,485
Georgetown University (71070)	93 395	(57)
Georgetown University (RX 4442-012-UCLA)	93 393	306,562
Georgetown University (RX4222-078-UCI-CYC5)	93 866	117,223
Georgetown University (UCSF#A112158)	93 395	52,854
Georgia Health Sciences University (20312-1)	93 865	88,481
Georgia Health Sciences University (22429-1)	93 865	4,415
Georgia Health Sciences University (22432-1)	93 838	103,784
Georgia Health Sciences University (23069-19)	93 855	76,498
ARRA-Georgia Health Sciences University (23231)	93 701	50,767
ARRA-Georgia Health Sciences University (SUB 24958-2 (DK076169) ARRA)	93 701	2,316
Georgia Institute of Technology (004583)	93 989	23,939
Georgia Institute of Technology (87162)	12 630	(2,380)
Georgia Institute of Technology (G-32-6HH-G2)	93 989	166,764
Georgia Institute of Technology (R0848-G1)	47 RD	7,820
Georgia Institute of Technology (R7565-G1)	43 RD	24,950
Georgia Institute of Technology (R7570-G2)	12 300	598,558
Georgia Institute of Technology (R9758-61)	47 049	146,468
Georgia Institute of Technology (R9867-G1-02)	43 RD	59,485
Georgia Institute of Technology (R9867-G3)	43 RD	23,234
Georgia Institute of Technology (RA972-G1)	43 RD	16,987
Georgia Institute of Technology (RB011-G6)	47 049	57,611
Georgia Institute of Technology (RB220-G1)	12 910	216,690
Georgia Institute of Technology (RB267-G4)	12 800	190,225
Georgia Institute of Technology (RB267-G5)	12 800	213,131
Georgia Institute of Technology (RB307S2)	93 RD	170,888
Georgia Institute of Technology (RC051-S9)	15 812	71,145
Georgia Institute of Technology (RC137-G1)	93 855	24,390

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Giner, Inc. (82630)	12 800	112
Giner, Inc. (SUB 20094824(FA9550-10-C-0011))	12 800	148,764
ARRA-Ginkgo Bioworks (030180)	81 135	38,964
ARRA-Ginkgo Bioworks (030180)	81 135	196,243
Global Viral Forecasting Initiative (20100108)	99 RD	150,865
ARRA-Global Viral Forecasting Initiative (UCSF#A114638)	93 701	245,982
Global Viral Forecasting Initiative (UCSF#A118296)	99 RD	83,842
Grassroots Biotechnology, Inc. (200910894)	47 RD	55,789
Greenville Hospital System (UCSF#A113468)	12 RD	4,358
Greenwood Resources, Inc. (09000494)	10 212	18,346
ARRA-Group Health Cooperative incl Center for Community Health & Evaluation (2	93 701	85,759
Group Health Cooperative incl Center for Community Health & Evaluation (CA1542	93 393	175,634
ARRA-Group Health Cooperative incl Center for Community Health & Evaluation (S	93 701	54,616
Grt, Inc. (57504)	47 RD	(3,075)
Gynecologic Oncology Group (2010-2526)	93 395	912
Gynecologic Oncology Group (27469-130)	99 RD	107,285
Gynecologic Oncology Group (27469-46-CYC31)	93 395	255,962
Gynecologic Oncology Group (57650)	93 395	122,974
Gynecologic Oncology Group (79005)	93 395	(98)
Gynecologic Oncology Group (GOG-46471-CYC3)	93 393	23,421
Gynecologic Oncology Group (GOG-50319-CYC1)	93 395	1,614
Gynecologic Oncology Group (GOG-51609-CYC1)	93 855	12,325
Gynecologic Oncology Group (GOG-51875-CYC1)	93 395	34,916
Gynecologic Oncology Group (GOG-53902-CYC1)	93 RD	3,661
Gynecologic Oncology Group (GOG-54013-CYC1)	93 395	15,506
Harris Corporation (A000127441)	12 RD	184,311
Hartnell College (SC-12-28)	84 031	50,622
Harvard Pilgrim Health Care (HPHC-44623-CYC5)	93 226	289,183
Harvard Pilgrim Health Care (HPHC-47851-CYC2)	93 226	62,724
Harvard Pilgrim Health Care (HPHC-50423-CYC1)	93 283	12,273
Harvard Pilgrim Health Care (PH000391A-CYC1)	93 855	18,250
Harvard Pilgrim Health Care (SUB 150052.0020 (AG031093))	93 866	39,291
Harvard University (01-123365)	47 RD	40,509
Harvard University (108095-5038631-CYC1)	84 305	74,551
Harvard University (114074.0679)	93 865	15,764
Harvard University (114074.0782)	93 865	103,527
Harvard University (123559)	93 121	115,339
Harvard University (123572)	12 800	88,682
Harvard University (123633-5033511)	12 431	90,246
Harvard University (123641)	93 837	45,192
ARRA-Harvard University (131922)	47 082	38,226
Harvard University (133522)	12 431	334,178
Harvard University (14424401)	47 074	129,423
ARRA-Harvard University (149064)	93 701	323,531
ARRA-Harvard University (149064.0208)	93 701	14,375
Harvard University (1511280107)	93 855	155,746
Harvard University (1511630002)	93 855	136,952
Harvard University (152175.0106)	93 310	129,916
Harvard University (23480.1111002.UCSF)	93 393	55,044
Harvard University (23680-116200)	93 307	12,959
Harvard University (23695-116519)	93 866	17,944
Harvard University (5030334)	93 121	88,285
Harvard University (5051645-108822)	96 007	71,218
Harvard University (5054879-114855)	93 135	36,861
Harvard University (79914)	93 121	19,488
Harvard University (83107)	93 242	80
Harvard University (83132)	93 839	27

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Harvard University (86663)	93 286	181
Harvard University (BIDMC-43820-CYC6)	93 396	7,587
ARRA-Harvard University (SUB 114050.0016 (HD052102)ARRA)	93 701	2,860
Harvard University (SUB 114074.0628 (HD052102))	93 865	388,803
Harvard University (SUB 114074.0630 (HD052102))	93 865	420,901
Harvard University (SUB 131268(ANT-1044978))	47 078	261
Harvard University (SUB 148893 (HG005550))	93 172	223,421
Harvard University (UCSF#A106554)	93 866	13,305
Harvey Mudd College (004512)	47 070	1,533
H-Cubed, Inc. (UCSF#A118249)	99 RD	138,223
Health Effects Institute (4787-RFA09-4/10-3-CYC1)	97 108	51,116
Health Research, Inc. (55-1001-01)	99 RD	8,223
Health Research, Inc. (55-7803-01)	93 393	15,641
Health Research, Inc. (SUB55-0979-01(CA132951))	93 393	199,533
Hemcon Medical Technologies, Inc. (SUB 201 L-I-LYP-L (W81XWH-08-2))	12 RD	1,588
Henry Ford Health System (20091399)	93 395	160,962
Henry M. Jackson Foundation for the Advancement of Military Medicine (20072475)	12 420	126,129
Henry M. Jackson Foundation for the Advancement of Military Medicine (2196 / P)	93 242	321,470
Henry M. Jackson Foundation for the Advancement of Military Medicine (2266)	12 420	64,398
Henry M. Jackson Foundation for the Advancement of Military Medicine (32822)	12 420	72,256
Henry M. Jackson Foundation for the Advancement of Military Medicine (683948-0)	12 420	27,478
Henry M. Jackson Foundation for the Advancement of Military Medicine (708961)	12 420	125,160
ARRA-Henry M. Jackson Foundation for the Advancement of Military Medicine (SUB	93 701	100,858
Henry M. Jackson Foundation for the Advancement of Military Medicine (SUB 7011	12 420	76,906
Hewlett-Packard (026908)	12 RD	168,772
Hewlett-Packard (SUB 2009-3020(1779B))	47 070	163,697
High Performance Technologies, Inc. (2007-CAL-2273)	12 RD	189,492
High Performance Technologies, Inc. (HPTI-PETTT-UCB)	39 RD	15,465
High Precision Devices, Inc. (SB110086)	12 RD	209,766
High-test Laboratories, Inc. (SUB 2103-001 (NONE))	12 300	17,565
Hispanic-Serving Health Professions Schools, Inc. (SUB CCU325128 (MN325128))	93 283	(1,146)
Hispanic-Serving Health Professions Schools, Inc. (SUB MPC2010000786-UCSD-01(M	93 004	16,894
Hitron Technologies Inc. (005482)	99 RD	33,000
Hi-Z Technology, Inc. (87395)	12 431	623
Hi-Z Technology, Inc. (SUB 20094286 (DE-PS02-09ER09-0)	81 RD	(1,133)
Hi-Z Technology, Inc. (SUB 20100175 (DE-EE0003492))	81 049	6,639
Hi-Z Technology, Inc. (SUB 7500-2 (W9132T-12-C-0001))	12 431	61,832
Hls Research, Inc. (Heat, Light & Sound) (SUB HLS2009-102(N00014-10-C-04)	12 910	105,403
Honeywell, Inc. (94840)	12 RD	5,573
Honeywell, Inc. (NON11008)	12 RD	85,036
Honeywell, Inc. (PO4203850099E)	20 109	270,593
House Ear Institute (20100971)	93 173	31,102
ARRA-Howard University (0006219-1000016380)	93 701	18,903
Howard University (87164)	93 113	(40,000)
Hrl Laboratories, LLC (000709DS)	12 RD	311,945
Hrl Laboratories, LLC (100036-100470)	99 RD	160,749
Hrl Laboratories, LLC (10008-002328-CYC1)	12 910	130,085
Hrl Laboratories, LLC (10043-002937-CYC1)	93 RD	389,115
Hrl Laboratories, LLC (702086-BS)	99 RD	134,581
Hrl Laboratories, LLC (801888-BS-CYC13)	12 630	158,322
Hrl Laboratories, LLC (9049-001085)	99 RD	168,233
Hrl Laboratories, LLC (9060-200242)	99 RD	478,615
Hrl Laboratories, LLC (PO#702085-BS)	99 RD	94,964
Hrl Laboratories, LLC (SUB 10088-PO10126-BS (W91CRB-1)	12 910	155,014
Hrl Laboratories, LLC (SUB 11014-101836 (FA8650-07-C-)	12 910	42,418
Hrl Laboratories, LLC (SUB 11014-101837 (FA8650-07-C-)	12 910	35,583
Hrl Laboratories, LLC (SUB 801363-BS (N66001-08-C-204)	12 910	58,479

The accompanying notes are an integral part of this schedule.

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For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Hrl Laboratories, LLC (SUB 901819-BS(HR0011-09-C-0126)	12 910	6,533
Hrl Laboratories, LLC (SUB PCA # 11-215 (NONE))	12 910	19,648
Hrl Laboratories, LLC (UCLA-47712-CYC3)	12 910	55,024
Hydrogeologic, Inc. (SC-11-66)	99 RD	4,475
Hydrogeologic, Inc. (SC-12-50)	99 RD	3,778
Hypercomp, Incorporated (20094800)	99 RD	26,917
Hypres, Inc. (029068)	12 RD	24,468
Hypres, Inc. (031862)	12 800	49,975
Hypres, Inc. (SUB 20121081(FA9550-12-C-0054))	12 800	2,869
Icelandic Heart Association (Iceland) (UCSF#A109945)	93 RD	991
ARRA-Icf Incorporated (10DS00063)	17 RD	98,366
Icf Macro (633430-9S-1334)	99 RD	22,115
ARRA-Icf Macro (635278-11S-1620)	99 RD	168,879
Ichor Medical Systems, Inc. (IMSI-48544)	93 853	94,217
Idacorp (100403)	81 RD	22,983
Idaho National Laboratory (00119253)	81 RD	123,233
Idaho National Laboratory (120907-CYC1)	81 001	1,316
Ige Therapeutics, Inc. (08004350)	93 855	22,165
Imagecat, Inc. (SUB 11-22-04:02 (CMMI-1138640))	47 RD	9,032
Immune Deficiency Foundation (UCSF#A115629)	93 855	33,059
Impact Carbon (FY11-G09-6990)	98 001	20,288
Incorporated Research Institutions for Seismology (09-GSN/DMS)	47 050	378,158
Incorporated Research Institutions for Seismology (37-GSN)	47 050	2,900
Incorporated Research Institutions for Seismology (43-GSN/DMS)	47 050	2,664,113
Incorporated Research Institutions for Seismology (71-TA)	47 050	1,292,156
Incorporated Research Institutions for Seismology (72-TA)	47 050	348,003
Incorporated Research Institutions for Seismology (78722)	47 RD	(7)
ARRA-Incorporated Research Institutions for Seismology (82-TA)	47 082	57,205
Incorporated Research Institutions for Seismology (86-DMS)	47 050	24,236
Incorporated Research Institutions for Seismology (89-TA)	47 050	14,701
Indian Health Council, Inc. (83325)	93 866	(50,528)
Indian Health Council, Inc. (SUB 20121474(HS300292))	93 866	62,608
Indian Health Council, Inc. (SUB HS300292 (NONE))	93 866	22,044
Indian Health Council, Inc. (SUB NONE (HS300292))	93 933	57,203
Indian Health Council, Inc. (SUB NONE(HS300292))	93 866	64,346
Indiana University (20050655)	93 865	31,846
Indiana University (BL-4330948-UCSF)	99 RD	6,404
Indiana University (BL-4631382-UCI-CYC3)	93 279	129,120
Indiana University (BL4635304UCD)	93 859	27,315
Indiana University (DBI0820451)	47 074	732,318
Indiana University (IN-4685211-UCSF)	93 855	420,719
Indiana University (PO # 311840)	12 431	174,036
Indiana University (SUB IUB-4812439-UCSD (OCI-0910)	47 RD	259,362
Indiana University (SUB356184(OCI-0721656))	47 080	5,002
Indiana University (UCSF#A110899)	93 242	23,133
ARRA-Indiana University (UCSF#A113552)	93 701	833
Industrial Economics, Inc. (5600-RUC)	15 RD	23,397
Information Systems Laboratories, Inc. (6052;P.O. 1130130)	12 300	27,899
Infralase, Inc. (II-52473-CYC1)	93 286	30,034
Innosense LLC (78887)	93 394	(132)
Innovative Biologics, Inc. (87824)	93 RD	(93)
Innovative Biotherapies, Inc. (UCSF#A113000)	12 420	14,951
Innovative Scientific Solutions, Inc. (82633)	12 800	9
Innovative Scientific Solutions, Inc. (F3361503D2329)	12 RD	11,474
Institute for Advanced Study (The) (7454-3110)	47 049	10,681
ARRA-Institute for Healthcare Improvement (IHI-49308-CYC1)	93 726	36,758
Institute for Neurodegenerative Disorders, Inc. (20072493)	12 420	60

The accompanying notes are an integral part of this schedule.

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Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Institute for Systems Biology (2009.0013/PO27835)	93 855	2,492
Institute for Systems Biology (SUB 2009.0017 (272200800058C))	93 RD	208,898
Institute of EcoSystem Studies Inc. (2919200200914)	47 074	665
Integrated Ocean Drilling Program (82850)	47 050	20
Integrated Ocean Drilling Program (IODP-MI-05-04)	47 050	311,520
Intel Corporation (2010-4085 (HR0011-10-3-0007))	12 910	315,543
Intelligent Optical Systems, Inc. (3218-UCI-CYC1)	12 RD	10,000
Intelligent Optical Systems, Inc. (IOS #3188-UCLA)	99 RD	1,550
International Aids Vaccine Initiative Inc. (SUB NONE (AI090970))	93 856	77,652
International Aids Vaccine Initiative Inc. (UCSF#A116505)	98 RD	68,994
International Business Machines Corporation (4911027561-CYC1)	97 044	8,326
International Business Machines Corporation (SUB 4911020072 (FA8750-11-C-00))	12 910	166,500
International Business Machines Corporation (W145790/PO#5003601732)	99 RD	215,928
International Computer Science Institute (141-CYC1)	12 910	189,879
International Inst. of Tropical Agriculture (IITA) (International) (201118532)	98 RD	33,421
International Maize and Wheat Center (016258)	98 RD	226,642
International Maize and Wheat Center (201119202)	10 RD	32,650
International Potato Center (SB100085)	98 RD	8,431
Iowa State University (31188)	93 242	(3)
Iowa State University (33325)	81 135	13,742
Iowa State University (4164079A)	10 310	106,048
Iowa State University (416-43-37B)	10 206	71,123
Iowa State University (420-60-02)	47 041	21,833
Iowa State University (420-72-25-CYC4)	47 041	273,118
Iowa State University (4301706)	93 242	39,742
Iowa State University (430-21-14A)	93 859	1,589
Iowa State University (4302331)	93 103	41,227
Iowa State University (430-40-01A)	93 855	1,024
ARRA-Iowa State University (440-40-01A)	93 701	94,856
Iowa State University (MCB08208234204071D)	47 074	9,538
Iss, Inc. (ISS-50224-CYC1)	12 431	152,697
Iti Health, Inc. (UCSF#A119389)	93 394	21,967
J. Craig Venter Institute (JCVI-10-008)	93 859	88,130
ARRA-J. Craig Venter Institute (SUB JCVI-10-004 (AI090847) ARR)	93 701	42,338
J. David Gladstone Institutes (20105403)	93 853	57,730
J. David Gladstone Institutes (71802)	93 853	(638)
J. David Gladstone Institutes (83089)	93 RD	5,978
J. David Gladstone Institutes (87968)	93 855	309,536
J. David Gladstone Institutes (AG022074)	93 RD	277,447
J. David Gladstone Institutes (R00695-E)	93 855	301,608
J. David Gladstone Institutes (R00757A)	93 859	35,542
J. David Gladstone Institutes (R01070)	93 855	636,685
J. David Gladstone Institutes (R01072)	93 855	489,405
J. David Gladstone Institutes (R01218-A)	93 279	10,782
J. David Gladstone Institutes (R2132-Q)	93 856	255,867
J. David Gladstone Institutes (R2192-A)	93 837	399,487
J. David Gladstone Institutes (SUB R00706-A (AG011385))	93 866	100,482
J. David Gladstone Institutes (UCSF#A111787)	93 837	129,214
Jackson State University (633108)	47 080	5,619
Jackson State University (DMR-0611539)	47 049	41,348
Jaeb Center for Health Research, Inc. (20848)	93 867	2,373
Jaeb Center for Health Research, Inc. (ATS15)	93 867	974
Jaeb Center for Health Research, Inc. (EY11751)	93 867	3,200
Jaeb Center for Health Research, Inc. (EY-12358)	93 867	603
Jaeb Center for Health Research, Inc. (JAEB-N-48482-CYC1)	93 867	78
Jaeb Center for Health Research, Inc. (JCHR-42099-CYC2)	93 RD	105
Jaeb Center for Health Research, Inc. (U10 EY11751)	93 867	3

The accompanying notes are an integral part of this schedule.

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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
James Madison University (V08-138-02/#529155)	43 001	17,788
Jazz Semiconductor (SUB 20104645 (HR0011-10-3-0006)	12 910	337,825
Jet Propulsion Laboratory (005246)	43 RD	4,689
Jet Propulsion Laboratory (005261)	43 RD	37,848
Jet Propulsion Laboratory (005525)	43 RD	32,000
Jet Propulsion Laboratory (1278932)	99 RD	2,492
Jet Propulsion Laboratory (1316298)	99 RD	6,091
Jet Propulsion Laboratory (1342991)	99 RD	1,680
Jet Propulsion Laboratory (1346587)	99 RD	11,486
Jet Propulsion Laboratory (1347122)	99 RD	319
Jet Propulsion Laboratory (1351654)	43 RD	14,136
Jet Propulsion Laboratory (1351971)	43 RD	234,255
Jet Propulsion Laboratory (1355302)	43 RD	4,846
Jet Propulsion Laboratory (1356151)	43 RD	69,529
Jet Propulsion Laboratory (1356389)	43 RD	157,177
Jet Propulsion Laboratory (1356636)	99 RD	20,986
Jet Propulsion Laboratory (1356968)	43 RD	116,377
Jet Propulsion Laboratory (1356970)	43 RD	257
Jet Propulsion Laboratory (1359449)	47 RD	8,326
Jet Propulsion Laboratory (1359452)	43 RD	2,862
Jet Propulsion Laboratory (1360271)	43 RD	11,312
Jet Propulsion Laboratory (1360781)	43 RD	72,666
Jet Propulsion Laboratory (1362993)	43 RD	12,688
Jet Propulsion Laboratory (1364821)	99 RD	9,907
Jet Propulsion Laboratory (1364830)	99 RD	12,862
Jet Propulsion Laboratory (1366614)	43 RD	74,489
Jet Propulsion Laboratory (1367008)	43 RD	16,679
Jet Propulsion Laboratory (1367520)	43 RD	88,625
Jet Propulsion Laboratory (1367693)	43 RD	4,028
Jet Propulsion Laboratory (1368138)	43 RD	8,783
Jet Propulsion Laboratory (1368202)	99 RD	11,171
Jet Propulsion Laboratory (1368424)	43 RD	65,716
Jet Propulsion Laboratory (1368580)	43 RD	297,098
Jet Propulsion Laboratory (1371718)	43 RD	18,168
Jet Propulsion Laboratory (1373199-CYC5)	43 001	47,414
Jet Propulsion Laboratory (1377918)	43 RD	32,263
Jet Propulsion Laboratory (1377925)	43 RD	1,160
Jet Propulsion Laboratory (1378780)	99 RD	140,247
Jet Propulsion Laboratory (1383090)	99 RD	(10,453)
Jet Propulsion Laboratory (1383645)	99 RD	31,500
Jet Propulsion Laboratory (138470)	99 RD	117,149
Jet Propulsion Laboratory (1385250)	99 RD	55,578
Jet Propulsion Laboratory (1388398)	43 RD	59,684
Jet Propulsion Laboratory (1388404)	43 RD	26,673
Jet Propulsion Laboratory (1388406)	43 RD	81,596
Jet Propulsion Laboratory (1388828)	99 RD	126,850
Jet Propulsion Laboratory (1389016)	43 RD	7,291
Jet Propulsion Laboratory (1390313)	99 RD	10,498
Jet Propulsion Laboratory (1391535)	99 RD	12,042
Jet Propulsion Laboratory (1394126)	43 002	43,773
Jet Propulsion Laboratory (1394295)	99 RD	20,688
Jet Propulsion Laboratory (1394645)	99 RD	119,335
Jet Propulsion Laboratory (1397420)	43 RD	1,611
Jet Propulsion Laboratory (1398866)	99 RD	14,557
Jet Propulsion Laboratory (1401213)	43 RD	17,017
Jet Propulsion Laboratory (1401886)	99 RD	10,271
Jet Propulsion Laboratory (1402480)	99 RD	50,747

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Jet Propulsion Laboratory (1406860)	43 RD	27,422
Jet Propulsion Laboratory (1407299)	99 RD	62,153
Jet Propulsion Laboratory (1409806)	99 RD	111,075
Jet Propulsion Laboratory (1409809)	99 RD	246,484
Jet Propulsion Laboratory (1414838)	99 RD	2,900
Jet Propulsion Laboratory (1416286)	43 RD	17,500
Jet Propulsion Laboratory (1416294)	43 RD	17,000
Jet Propulsion Laboratory (1416297)	43 RD	17,107
Jet Propulsion Laboratory (1416679-CYC1)	43 222	4,186
Jet Propulsion Laboratory (1416822)	99 RD	3,486
Jet Propulsion Laboratory (1417183)	43 RD	102,648
Jet Propulsion Laboratory (1419372)	99 RD	4,442
Jet Propulsion Laboratory (1419715)	99 RD	86,331
Jet Propulsion Laboratory (1420041)	99 RD	5,942
Jet Propulsion Laboratory (1420806-CYC1)	43 RD	206,306
Jet Propulsion Laboratory (1421569)	99 RD	34,334
Jet Propulsion Laboratory (1422276)	99 RD	78,527
Jet Propulsion Laboratory (1423103-CYC1)	43 001	148,338
Jet Propulsion Laboratory (1424022)	99 RD	93,726
Jet Propulsion Laboratory (1427184-CYC1)	43 082	59,908
Jet Propulsion Laboratory (1427921)	43 RD	77,090
Jet Propulsion Laboratory (1428057)	99 RD	47,143
Jet Propulsion Laboratory (1428059)	99 RD	88,879
Jet Propulsion Laboratory (1428587)	99 RD	43,309
Jet Propulsion Laboratory (1428853)	99 RD	40,812
Jet Propulsion Laboratory (1428856)	99 RD	67,393
Jet Propulsion Laboratory (1430404)	43 RD	19,300
Jet Propulsion Laboratory (1430606)	99 RD	16,681
Jet Propulsion Laboratory (1430620)	99 RD	34,119
Jet Propulsion Laboratory (1430716)	99 RD	51,672
Jet Propulsion Laboratory (1431095)	43 RD	11,293
Jet Propulsion Laboratory (1431750)	99 RD	43,722
Jet Propulsion Laboratory (1434782)	43 RD	128
Jet Propulsion Laboratory (1434991-CYC2)	43 RD	14,111
Jet Propulsion Laboratory (1436227)	99 RD	209,100
Jet Propulsion Laboratory (1436963)	99 RD	1,401
Jet Propulsion Laboratory (1437103)	99 RD	5,317
Jet Propulsion Laboratory (1438930)	99 RD	2,487
Jet Propulsion Laboratory (1438944)	99 RD	1,433
Jet Propulsion Laboratory (1439211)	43 RD	24,944
Jet Propulsion Laboratory (1439324)	43 RD	9,166
Jet Propulsion Laboratory (1439333)	43 RD	14,367
Jet Propulsion Laboratory (1439520)	99 RD	109,106
Jet Propulsion Laboratory (1441098)	99 RD	74,951
Jet Propulsion Laboratory (1441406)	99 RD	104,440
Jet Propulsion Laboratory (1441822)	99 RD	16,263
Jet Propulsion Laboratory (1442396)	43 RD	24,919
Jet Propulsion Laboratory (1442942-CYC2)	43 001	5,670
Jet Propulsion Laboratory (1442993)	99 RD	75,000
Jet Propulsion Laboratory (1444335-CYC1)	43 RD	46,841
Jet Propulsion Laboratory (1446040)	99 RD	2,035
Jet Propulsion Laboratory (1446263)	99 RD	9,704
Jet Propulsion Laboratory (1446882)	99 RD	9,165
Jet Propulsion Laboratory (1448366)	99 RD	20,157
Jet Propulsion Laboratory (1450059)	43 RD	113,662
Jet Propulsion Laboratory (1450249-CYC1)	43 RD	59
Jet Propulsion Laboratory (1456374)	99 RD	15,713

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Jet Propulsion Laboratory (1456948)	99 RD	14,466
Jet Propulsion Laboratory (1457047)	99 RD	5,819
Jet Propulsion Laboratory (1457051)	99 RD	4,988
Jet Propulsion Laboratory (1459600)	99 RD	12,124
Jet Propulsion Laboratory (33240)	43 RD	39,734
Jet Propulsion Laboratory (57508)	99 RD	458
Jet Propulsion Laboratory (59355)	43 RD	5,742
Jet Propulsion Laboratory (59767)	43 RD	20,337
Jet Propulsion Laboratory (59907)	43 001	696
Jet Propulsion Laboratory (59908)	43 001	2,241
Jet Propulsion Laboratory (59909)	43 001	997
Jet Propulsion Laboratory (59913)	43 001	9,094
Jet Propulsion Laboratory (59915)	43 RD	1,179
Jet Propulsion Laboratory (59932)	43 001	3,859
Jet Propulsion Laboratory (59968)	43 001	12,278
Jet Propulsion Laboratory (59969)	43 001	4,352
Jet Propulsion Laboratory (63000)	43 001	23,202
Jet Propulsion Laboratory (63011)	43 001	50,895
Jet Propulsion Laboratory (79933)	43 RD	(3,705)
Jet Propulsion Laboratory (82068)	43 RD	12,684
Jet Propulsion Laboratory (82093)	43 RD	12
Jet Propulsion Laboratory (RSA NO. 1343699)	43 RD	201
Jet Propulsion Laboratory (RSA NO. 1371600)	99 RD	54,386
Jet Propulsion Laboratory (SUB 1395059 (NM0711101 A DO-C9))	43 RD	12,207
Jet Propulsion Laboratory (SUB 1429049 (NONE))	43 RD	55,502
Jet Propulsion Laboratory (SUB 1436169(NASA 711043))	43 RD	8,945
Jet Propulsion Laboratory (SUB 1455933 (NONE))	43 RD	27,642
Jet Propulsion Laboratory (SUB RSA 1419615)	43 RD	3,372
Jmsi, Inc. (09002288)	12 800	151,855
John Wayne Institute for Cancer Treatment and Research (1039A)	93 395	424,231
ARRA-John Wayne Institute for Cancer Treatment and Research (58562)	93 395	(1,945)
ARRA-John Wayne Institute for Cancer Treatment and Research (ARRA200912768)	93 701	10,000
Johns Hopkins University (05061801)	93 867	5,924
Johns Hopkins University (08003877)	93 113	18,678
Johns Hopkins University (2000011388)	93 866	6,814
Johns Hopkins University (2000011432)	93 867	78,026
Johns Hopkins University (2000205550)	93 286	154,399
Johns Hopkins University (2000275794)	93 859	50,499
Johns Hopkins University (2000362576)	93 865	44,952
Johns Hopkins University (2000441242-CYC4)	93 859	18,333
Johns Hopkins University (2000488563-03)	93 113	43,079
Johns Hopkins University (2000540077)	93 867	310,622
Johns Hopkins University (2000613432)	47 049	1,595
Johns Hopkins University (2000723100)	93 395	80,290
Johns Hopkins University (2000767379)	93 853	50,300
ARRA-Johns Hopkins University (2000776981)	93 701	37,023
Johns Hopkins University (2000780383)	93 866	53,139
ARRA-Johns Hopkins University (2000786037)	93 701	205,617
Johns Hopkins University (2000794696)	93 853	189,109
Johns Hopkins University (2000814691)	93 855	11,557
Johns Hopkins University (2000819835)	47 080	62,717
ARRA-Johns Hopkins University (2000824375-CYC2)	93 701	22,431
Johns Hopkins University (2000884974-CYC2)	47 049	3,611
ARRA-Johns Hopkins University (2000927072)	93 701	463
Johns Hopkins University (2001007644)	93 837	163,758
Johns Hopkins University (2001040575)	47 049	71,575
Johns Hopkins University (2001049549)	93 989	41,858

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Johns Hopkins University (2001049618)	47 070	82,279
Johns Hopkins University (2001159964)	93 865	34,614
ARRA-Johns Hopkins University (2001195221)	93 701	14,559
Johns Hopkins University (2001253025)	12 910	22,472
Johns Hopkins University (2001273933)	99 RD	35,823
Johns Hopkins University (2001291071)	99 RD	25,183
Johns Hopkins University (2001318908)	93 855	115,705
Johns Hopkins University (2001343608)	47 049	39,836
Johns Hopkins University (2001356027)	93 396	14,582
Johns Hopkins University (2001377399)	47 050	2,452
Johns Hopkins University (2001387212)	99 RD	20,081
ARRA-Johns Hopkins University (2001419273-CYC1)	93 701	218,595
ARRA-Johns Hopkins University (2001448659)	84 411	66,558
Johns Hopkins University (200961778)	93 855	87,281
Johns Hopkins University (20110582)	93 395	7,688
Johns Hopkins University (2012-0171(FD003898))	93 103	2,808
Johns Hopkins University (22000387817)	93 286	31,662
Johns Hopkins University (59074)	93 867	(2,659)
Johns Hopkins University (72450)	99 RD	1,534
Johns Hopkins University (78919)	93 867	109,233
Johns Hopkins University (79137)	93 867	22,714
Johns Hopkins University (83462)	93 RD	(12,446)
Johns Hopkins University (951272)	43 RD	(2)
Johns Hopkins University (975268)	43 RD	1,295,365
ARRA-Johns Hopkins University (ABTC0903)	93 701	770
ARRA-Johns Hopkins University (ABTC0904)	93 701	9,645
Johns Hopkins University (ABTC1002)	93 395	1,002
Johns Hopkins University (EY014660)	93 867	53,255
Johns Hopkins University (NABTC0701)	93 395	126,872
Johns Hopkins University (OSR #04038024)	93 867	76,083
Johns Hopkins University (PO# 2001433026)	99 RD	25,084
Johns Hopkins University (SUB 2000011935 (HSA2902006000))	93 RD	1,359
Johns Hopkins University (SUB 2000011943 (HSA2902006000))	93 RD	64,812
Johns Hopkins University (SUB 2000146609 (MH079784))	93 242	35,128
Johns Hopkins University (SUB 2000442368 (GM084332))	93 862	43,242
Johns Hopkins University (SUB 2001027151 (GM072024))	93 859	160,219
Johns Hopkins University (SUB 2001053335 (NS046309))	93 853	7,787
Johns Hopkins University (SUB 2001298882 (HG003233))	93 172	170,398
ARRA-Johns Hopkins University (SUB 2001359570 (AI069918) ARRA)	93 701	29,763
Johns Hopkins University (SUB NONE (DK061730))	93 847	160,549
Johns Hopkins University (SUB NONE (EY 08057))	93 867	204,477
Johns Hopkins University (UCSF#A110232)	93 867	293,990
Johns Hopkins University (UCSF#A113997)	12 RD	124,989
ARRA-Johns Hopkins University (UCSF#A117371)	93 RD	61,849
Johns Hopkins University (UCSF#A117877)	93 855	79,310
Joint Oceanographic Institutions, Inc. (59399)	47 050	973
Joint Oceanographic Institutions, Inc. (82962)	47 050	4,035
Joslin Diabetes Center (SUB NONE (DK074556))	93 849	113
Jumpstart for Young Children, Inc. (490200-CYC17)	94 006	27,878
Jumpstart for Young Children, Inc. (492012-CYC2)	94 006	124,801
Juvaris Biotherapeutics, Inc. (1U01AI07451201)	93 855	996,059
Juvenile Diabetes Research Foundation International (6851SC-CYC1)	93 396	2,201
Kab Laboratories Inc. (SUB 2012-0454 (NONE))	12 300	25,422
Kaiser Permanente Division of Research (08CCHAO-05-KPNC)	93 394	16,724
Kaiser Permanente Division of Research (08CCHAO-05-UCLA)	93 394	178,855
Kaiser Permanente Division of Research (115-9107-04)	99 RD	70,504
ARRA-Kaiser Permanente Division of Research (115-9112-02)	93 701	36,365

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
ARRA-Kaiser Permanente Division of Research (115-9112-03)	93 701	49,140
Kaiser Permanente Division of Research (115-9188-01/KR021034)	99 RD	11,889
Kaiser Permanente Division of Research (115923801)	93 847	41,509
Kaiser Permanente Division of Research (115-9309-01)	93 849	54,078
Kaiser Permanente Division of Research (115-9340-01)	93 242	863,853
Kaiser Permanente Division of Research (115-9362-01)	93 273	71,056
Kaiser Permanente Division of Research (115-9366-01)	93 847	77,194
Kaiser Permanente Division of Research (115-9545-01)	93 RD	6,684
Kaiser Permanente Division of Research (115958502)	93 113	365,240
Kaiser Permanente Division of Research (115-9585-03)	93 113	166,104
Kaiser Permanente Division of Research (115-9632-01)	93 279	7,146
Kaiser Permanente Division of Research (115-9769)	93 RD	10,409
Kaiser Permanente Division of Research (115-9825-01-M1)	93 226	28,335
ARRA-Kaiser Permanente Division of Research (115-9993-02)	93 701	30,727
Kaiser Permanente Division of Research (5962-UCB)	93 853	75,296
Kaiser Permanente Division of Research (87812)	93 RD	395
Kaiser Permanente Division of Research (9098)	93 113	10,429
ARRA-Kaiser Permanente Division of Research (ARRA115960701)	93 RD	63,227
Kaiser Permanente Division of Research (CN-04CSOMK-02)	93 395	39,560
Kaiser Permanente Division of Research (OSR #03033223)	93 RD	117,072
ARRA-Kaiser Permanente Division of Research (UCSF#A114165)	93 701	987,880
Kaiser Permanente Division of Research (UCSF#A114641)	93 273	121,166
Kaiser Permanente Division of Research (UCSF#A115810)	93 273	(7,149)
Kaiser Permanente Division of Research (UCSF#A117140)	93 113	6,977
Kent State University (443136-P050747-CYC7)	93 866	14,685
Kent State University (443166-UCSB)	93 121	29,957
Kent State University (SUB 444286-P8061719 (FA9550-06)	12 800	3
Kinemed, Inc. (82395)	93 RD	1,995
ARRA-Kineta Inc. (3291-CYC2)	93 701	46,668
Kineta Inc. (KINETA-46709-CYC1)	93 855	119,588
Kitware, Inc. (004916)	99 RD	65,661
Kitware, Inc. (HR011-08-C-0135-S4)	12 910	17,520
Kitware, Inc. (K000135-S06)	12 910	187,018
Kitware, Inc. (K000193-S15-CYC1)	12 900	62,635
Kootenai Tribe of Idaho (16333)	81 RD	57,106
Krell Institute (30731)	81 RD	13,890
Kuakini Medical Center (SC031507UCD)	93 866	28,613
L-3 Communications (45SC000062)	12 RD	81,426
L-3 Communications (85831)	12 RD	54
L-3 Communications (86877)	12 RD	(165)
ARRA-La Jolla Institute for Allergy and Immunology (004485)	93 701	96,920
La Jolla Institute for Allergy and Immunology (83149)	93 856	10
La Jolla Institute for Allergy and Immunology (SUB 25081-44-381 (HHSN27220122)	93 RD	22,215
La Jolla Institute for Allergy and Immunology (SUB LIAI #25069-44-381 (HHSN27)	93 RD	41,784
La Jolla Institute for Allergy and Immunology (SUB LIAI (HHSN272200700048C))	93 RD	89,387
La Jolla Institute for Allergy and Immunology (SUB LIAI-02-381 (HHSN266200400)	93 856	155,176
Laval University (ON087008)	93 242	183,412
Lawrence Livermore National Security, LLC (10C0096)	81 RD	14,228
Lawrence Livermore National Security, LLC (32724)	93 RD	33,437
Lawrence Livermore National Security, LLC (33227)	81 RD	21,998
Lawrence Livermore National Security, LLC (33234)	81 RD	11,694
Lawrence Livermore National Security, LLC (83756)	81 RD	10,582
Lawrence Livermore National Security, LLC (83757)	81 RD	37
Lawrence Livermore National Security, LLC (87267)	81 RD	5,815
Lawrence Livermore National Security, LLC (94763)	81 RD	(5,411)
Lawrence Livermore National Security, LLC (B570319)	81 RD	30,935
Lawrence Livermore National Security, LLC (B572727)	81 RD	47,585

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Lawrence Livermore National Security, LLC (B579219)	81 RD	2,478
Lawrence Livermore National Security, LLC (B580363)	81 RD	67,677
Lawrence Livermore National Security, LLC (B580921)	81 RD	(2,528)
Lawrence Livermore National Security, LLC (B581340)	81 RD	(84)
Lawrence Livermore National Security, LLC (B583905)	93 867	41,132
Lawrence Livermore National Security, LLC (B586434)	81 RD	105,364
Lawrence Livermore National Security, LLC (B590580)	81 RD	41,059
Lawrence Livermore National Security, LLC (B591394)	81 RD	64,663
Lawrence Livermore National Security, LLC (B592817)	81 RD	18,513
Lawrence Livermore National Security, LLC (B592905)	81 RD	13,287
Lawrence Livermore National Security, LLC (B593220)	81 RD	65,517
Lawrence Livermore National Security, LLC (B593614)	81 RD	36,154
Lawrence Livermore National Security, LLC (B593615)	81 RD	15,063
Lawrence Livermore National Security, LLC (B593689)	99 RD	105,980
Lawrence Livermore National Security, LLC (B593781)	81 RD	15,086
Lawrence Livermore National Security, LLC (B594322)	81 RD	122,319
Lawrence Livermore National Security, LLC (B594990)	99 RD	32,271
Lawrence Livermore National Security, LLC (B595000)	81 RD	69,879
Lawrence Livermore National Security, LLC (B595034)	81 RD	16,875
Lawrence Livermore National Security, LLC (B596506)	81 RD	7,101
Lawrence Livermore National Security, LLC (B596793)	81 RD	13,791
Lawrence Livermore National Security, LLC (B596887)	81 RD	12,371
Lawrence Livermore National Security, LLC (B598283)	81 RD	121,216
Lawrence Livermore National Security, LLC (B598372)	81 RD	69,622
Lawrence Livermore National Security, LLC (B598691)	81 RD	40,385
Lawrence Livermore National Security, LLC (B599261)	81 RD	16,731
Lawrence Livermore National Security, LLC (B599753)	81 RD	7,884
Lawrence Livermore National Security, LLC (SUB B595843 (NONE))	81 RD	34,354
Lawrence Livermore National Security, LLC (SUB B595959 (NONE))	81 RD	15,000
Lawrence Livermore National Security, LLC (SUB B596751 (B570250))	81 RD	34,904
Lgs Innovations (France) (Deactive Code) (59828)	99 RD	63,655
Lgs Innovations LLC (LGS111085G)	12 RD	24,999
Librede, Inc. (2011-0725)	93 859	163,774
Life Lab Science Program (Santa Cruz, Ca) (33311)	10 170	13,710
Life Sciences Research Foundation (LSRF-STEPHAN)	81 049	48,521
Lifecel Technology, LLC (SB100073)	12 RD	21,678
Liquilume Diagnostics, Inc. (SC-12-31)	47 041	20,000
LLC Tech Solutions (20104896)	99 RD	46,556
Lockheed Martin Corporation (4100117177)	12 RD	136,186
Lockheed Martin Corporation (DR3410710)	99 RD	118,728
Lockheed Martin Corporation (SUB 4100106615 (FA8750-11-C-0))	12 800	(1,516)
Lockheed Martin Corporation (SUB BBM52640H (HR0011-10-C-00))	12 910	528,113
Lockheed Martin Corporation (SUB PO 41001498229(W91CRB-10-C)	12 RD	27,107
ARRA-Logos Technologies, Inc. (ARRASUB242UCD1)	81 087	78,762
Logos Technologies, Inc. (Great Britain) (004924)	81 087	1,389
Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (08-NSCOR08)	99 RD	262,261
Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (LLU-48391-	43 RD	253,868
Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (SC-12-01)	93 286	307,210
Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (SUB 201039	43 RD	13,711
Loma Linda University (incl Natl Medical Technology Testbed, Inc.) (UCSF#A1146	43 RD	210,340
Los Alamos National Security, LLC (004677)	81 RD	120,762
Los Alamos National Security, LLC (004998)	99 RD	47,661
Los Alamos National Security, LLC (113144-1)	81 RD	655,513
Los Alamos National Security, LLC (114631-001-11)	81 123	22,824
Los Alamos National Security, LLC (120501)	81 RD	133,052
Los Alamos National Security, LLC (124318-1)	81 RD	17,411
Los Alamos National Security, LLC (127997-001-11)	99 RD	471,933

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Los Alamos National Security, LLC (130463-1)	99 RD	29,072
Los Alamos National Security, LLC (1389461)	81 RD	117,503
Los Alamos National Security, LLC (142454-001)	99 RD	306,680
Los Alamos National Security, LLC (158126-1)	81 RD	21,193
Los Alamos National Security, LLC (160739-1)	99 RD	10,948
Los Alamos National Security, LLC (162501-1)	81 RD	28,839
Los Alamos National Security, LLC (25453-002-06-MOD 12)	81 RD	159,694
Los Alamos National Security, LLC (26849)	81 RD	(20,774)
Los Alamos National Security, LLC (32753)	81 RD	48,195
Los Alamos National Security, LLC (57893)	81 RD	(2,237)
Los Alamos National Security, LLC (70549-001-09)	81 RD	118,710
Los Alamos National Security, LLC (70552-001-08)	81 RD	3,432
Los Alamos National Security, LLC (71370-001-09)	81 RD	32,453
Los Alamos National Security, LLC (73593-001-09)	81 123	16,777
Los Alamos National Security, LLC (73704-001-09-(2))	81 RD	31,529
Los Alamos National Security, LLC (73720-001-09)	81 RD	24,408
Los Alamos National Security, LLC (7578200109)	81 RD	626,100
Los Alamos National Security, LLC (76088-001-09)	81 123	266,562
Los Alamos National Security, LLC (78504-001-10)	81 RD	230,760
Los Alamos National Security, LLC (8008100110)	81 RD	32,415
Los Alamos National Security, LLC (82215-001-10)	99 RD	25,365
Los Alamos National Security, LLC (87115-001-10)	81 RD	92,698
Los Alamos National Security, LLC (87925-001-11)	81 123	155,741
Los Alamos National Security, LLC (LANL-51896-CYC1)	81 123	14,589
Los Alamos National Security, LLC (SUB 119320-1 (DE-AC52-06NA2539))	81 RD	56,039
Los Alamos National Security, LLC (SUB 145509-1 (77137))	81 409	54,977
Los Alamos National Security, LLC (SUB 166892-1 (77137))	81 RD	22,335
Los Alamos National Security, LLC (SUB 187956 (DE-AC52-06NA25396))	81 RD	38,673
Los Alamos National Security, LLC (SUB 70612-001-09 (66087-001-08))	81 RD	136,498
Los Alamos National Security, LLC (SUB 72682-001-09 (NONE))	81 RD	(6,988)
Los Alamos National Security, LLC (SUB 77137-001-11 (DE-AC52-06NA))	81 RD	1,247,763
Los Alamos National Security, LLC (SUB 80227-001-10 (DE-AC52-06NA))	81 RD	59,260
Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (4000928)	93 879	36,711
Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Ctr (4006112)	93 242	88,406
Los Angeles County Department of Health Services (20639)	93 959	5,043
Los Angeles County Department of Health Services (H-704168-CYC1)	93 889	161,560
Los Angeles County Department of Health Services (PH-001392)	93 069	18,168
Los Angeles County Department of Health Services (PH-001516)	93 069	42,354
Los Angeles County Department of Health Services (PH-001568)	93 069	59,676
Los Angeles County Department of Health Services (PH-001733)	93 959	927,941
Los Angeles County Department of Health Services (PH-001967)	93 069	91,405
Los Angeles County Department of Health Services (T40100/PO# DPO-PH-12361094)	93 941	14,573
ARRA-Los Angeles Department of Water and Power (20101392)	99 RD	158,183
ARRA-Los Angeles Department of Water and Power (20686)	99 RD	623,457
Los Angeles Gay and Lesbian Community Services Center Inc. (2132012)	93 648	210,753
Los Angeles Unified School District (1000219-CYC1)	84 366	66,792
Los Angeles Unified School District (1100405)	84 367	65,096
Los Gatos Research, Inc. (2050-1)	43 RD	56,631
Louisiana Public Health Institute (LPHI#297)	93 727	135,581
Louisiana State University and Agricultural and Mechanical College (1 R01 DK09)	93 847	19,482
Lovelace Biomedical Inhalation Toxicology Research Institute (N01-HV-78201)	99 RD	56,445
Lowy Medical Research Institute Limited (20050584)	99 RD	58,398
Loyola University of Chicago (31471)	93 396	5,351
Loyola University of Chicago (82463)	93 837	(5,908)
Loyola University of Chicago (82483)	93 879	(2,541)
ARRA-Loyola University of Chicago (ARRA1)	93 701	(214)
Lsst Corporation (Large Synoptic Survey Telescope) (C44020L)	47 RD	3,852

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Lsst Corporation (Large Synoptic Survey Telescope) (C44045L)	47 049	100,415
Ludwig Institute for Cancer Research (SUB 23291-07-360 (ES017166))	93 113	467,467
Luminit, LLC (20111404)	99 RD	5,819
Luna Innovations Incorporated (59440)	81 RD	3,000
M.C. Dean, Inc. (105082ATG)	12 300	195,011
Mack Trucks, Inc. (PO 188116-NA1)	99 RD	58,839
Magee-Womens Hospital, Research Institute and Foundation (26.635.653.3301.8806)	93 865	297,833
Magee-Womens Hospital, Research Institute and Foundation (4353)	93 855	17,653
Magee-Womens Hospital, Research Institute and Foundation (59827)	93 865	82,541
Magee-Womens Hospital, Research Institute and Foundation (7224)	93 855	91,805
Magee-Womens Hospital, Research Institute and Foundation (7226)	99 RD	80,541
Magee-Womens Hospital, Research Institute and Foundation (7230)	93 865	1,289
Magee-Womens Hospital, Research Institute and Foundation (7265)	93 855	314,976
Magee-Womens Hospital, Research Institute and Foundation (7267)	93 855	188,947
Magee-Womens Hospital, Research Institute and Foundation (7269)	93 865	4,806
Magee-Womens Hospital, Research Institute and Foundation (80127)	93 855	5,560
Magee-Womens Hospital, Research Institute and Foundation (9208)	93 855	59,731
Magee-Womens Hospital, Research Institute and Foundation (9210)	93 855	3,422
Magnesensors, Inc. (83214)	93 395	(1,133)
Maine Medical Center (111-004)	93 847	9,121
Mandala Biosciences LLC (SUB NONE (DK085871))	96 847	40,631
Manpower Demonstration Research Corporation (20081954)	99 RD	2,430
Manpower Demonstration Research Corporation (78754)	93 865	(2,325)
Mapp Biopharmaceutical, Inc. (701501)	93 RD	74,361
Marin Services for Women (UCSF#A119086)	93 243	21,453
Marine Biological Laboratories (35557)	47 078	80,186
Maritime Applied Physics Corporation (201124-001)	99 RD	31,181
Marshfield Clinic Research Foundation (11606-6500-60610)	93 262	4,191
Massachusetts General Hospital (012209)	93 853	3,933
Massachusetts General Hospital (2003A009773/214300)	93 853	(77,095)
Massachusetts General Hospital (214779)	93 394	144,568
ARRA-Massachusetts General Hospital (215328)	93 701	242
Massachusetts General Hospital (216662)	93 242	299,389
Massachusetts General Hospital (217120)	93 242	395,506
Massachusetts General Hospital (219324)	93 848	(9,046)
Massachusetts General Hospital (79651)	93 242	225,064
Massachusetts General Hospital (UCSF#A112842)	93 853	47,507
Massachusetts Institute of Technology (21783)	47 074	2,635
Massachusetts Institute of Technology (5710001913)	81 049	38,414
Massachusetts Institute of Technology (5710002309)	99 RD	82,267
Massachusetts Institute of Technology (5710002359)	93 395	200
Massachusetts Institute of Technology (5710002379)	93 390	8,399
Massachusetts Institute of Technology (5710002393)	12 431	(979)
Massachusetts Institute of Technology (5710002516)	43 RD	45,484
Massachusetts Institute of Technology (5710002646)	12 300	331,347
Massachusetts Institute of Technology (5710002656)	47 049	160,112
Massachusetts Institute of Technology (5710002687)	12 800	10,567
Massachusetts Institute of Technology (5710002692)	93 397	554,831
Massachusetts Institute of Technology (5710002765)	81 049	120,733
ARRA-Massachusetts Institute of Technology (5710002899)	93 701	166,636
Massachusetts Institute of Technology (5710002996)	12 300	239,546
Massachusetts Institute of Technology (5710002998)	12 300	37,039
Massachusetts Institute of Technology (5710003006)	47 041	34,539
Massachusetts Institute of Technology (5710003020)	99 RD	131,525
Massachusetts Institute of Technology (5710003024)	93 865	328,565
Massachusetts Institute of Technology (5710003028)	12 910	134,407
ARRA-Massachusetts Institute of Technology (5710003065)	11 809	102,736

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Massachusetts Institute of Technology (5710003102)	93 172	143,522
Massachusetts Institute of Technology (5710003155)	47 041	264,749
Massachusetts Institute of Technology (7000136206)	99 RD	98,975
Massachusetts Institute of Technology (SUB 5710002094 (CA0124427))	93 394	121
Maxentric Technologies LLC (SUB 20104270 (W15P7T-10-C-C213))	12 431	11,370
Maxentric Technologies LLC (SUB 20114613 (NONE))	12 910	40,864
ARRA-Maxwell Sensors (MSI-46135)	47 RD	784
Mayachitra, Inc. (004969)	99 RD	79,932
Mayachitra, Inc. (59457)	93 859	171
Mayo Clinic/Mayo Foundation for Medical Education & Research (1R01AT006515-01)	93 213	15,424
Mayo Clinic/Mayo Foundation for Medical Education & Research (1U10CA149950-01A)	93 399	3,527
Mayo Clinic/Mayo Foundation for Medical Education & Research (1U54CA153605-01)	93 397	46,296
Mayo Clinic/Mayo Foundation for Medical Education & Research (2R01AR027065-31)	93 846	12,686
Mayo Clinic/Mayo Foundation for Medical Education & Research (2R01DK034238-21A)	93 848	28,455
Mayo Clinic/Mayo Foundation for Medical Education & Research (5R01AG034676)	99 RD	27,807
ARRA-Mayo Clinic/Mayo Foundation for Medical Education & Research (63124)	93 701	17,170
Mayo Clinic/Mayo Foundation for Medical Education & Research (PRIME:1R01CA1545)	93 393	62,882
Mayo Clinic/Mayo Foundation for Medical Education & Research (PRIME:2R01AR0270)	93 846	66,066
Mayo Clinic/Mayo Foundation for Medical Education & Research (PRIME:2UL1RR0241)	93 389	27,638
Mayo Clinic/Mayo Foundation for Medical Education & Research (PRIME:R01CA12771)	93 393	70,399
Mayo Clinic/Mayo Foundation for Medical Education & Research (PRIME1U01HG00637)	93 172	27,828
ARRA-Mayo Clinic/Mayo Foundation for Medical Education & Research (PRIME5R01DA)	93 701	84,717
Mayo Clinic/Mayo Foundation for Medical Education & Research (R01 CA140286)	93 393	235,573
Mayo Clinic/Mayo Foundation for Medical Education & Research (SC-12-26)	93 855	117,739
ARRA-Mayo Clinic/Mayo Foundation for Medical Education & Research (SUB 90TR00)	93 728	144,447
Mayo Clinic/Mayo Foundation for Medical Education & Research (SUB 5U01CA11844-	93 395	8,480
Mayo Clinic/Mayo Foundation for Medical Education & Research (SUB MAYO CLINIC	93 853	256,591
Mayo Clinic/Mayo Foundation for Medical Education & Research (SUB NONE (HD0314	93 865	26,248
Mayo Clinic/Mayo Foundation for Medical Education & Research (SUB NONE (NS0630	93 853	(866)
Mayo Clinic/Mayo Foundation for Medical Education & Research (UCSF#A119104)	93 855	17,312
Mbio Diagnostics, Inc. (SUB 20120488 (AI07052))	93 855	39,733
Mbio Diagnostics, Inc. (SUB NONE (AI068543))	93 855	307,056
Mbio Diagnostics, Inc. (SUB NONE (AI070052))	93 856	(19,294)
Mbio Diagnostics, Inc. (SUB NONE (AI96189))	93 855	171,893
Mcgill University (Canada) (215686)	93 RD	5,298
Mcgill University (Canada) (215686_PRIME-06RENEWAL)	93 847	62,224
Mcgill University (Canada) (OCC#2008-263)	93 RD	5,000
Mclaughlin Research Institute for Biomedical Sciences (62-06-6121)	93 853	422,409
Mclaughlin Research Institute for Biomedical Sciences (62-06-6124)	93 853	130,771
Mclean Hospital (87349)	93 242	(79)
McMaster University (8-49462)	93 856	16,615
Medical College of Wisconsin (06003138)	93 837	71,339
ARRA-Medical College of Wisconsin (20074038)	93 701	15,366
Medical College of Wisconsin (20102467)	93 853	17,376
Medical College of Wisconsin (20110221 ARRA)	93 701	169,095
ARRA-Medical College of Wisconsin (59965)	93 701	43,213
Medical College of Wisconsin (MCW#1)	93 837	70,578
Medical College of Wisconsin (SUB NONE (GM094503))	93 859	115,236
Medical College of Wisconsin (SUB NONE (HL096647))	93 837	28,373
Medical College of Wisconsin (UCSF#A112693)	93 838	33,816
Medical Physics (89585)	93 838	12,508
Medical University of Ohio at Toledo (N2009-52-CYC3)	93 389	237,287
Medical University of South Carolina (MUSC09-114)	93 839	32,026
Memorial Hospital of Rhode Island (108-864MARCUS)	93 847	41,123
ARRA-Memorial Sloan-Kettering Cancer Center (ARRABD513229)	93 701	46,334
Memorial Sloan-Kettering Cancer Center (BD513517)	93 394	29,184
Memorial Sloan-Kettering Cancer Center (BD514671-CYC1)	93 395	27,313

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Memorial Sloan-Kettering Cancer Center (SK#18456)	99 RD	4,377
Mental Health Systems, Inc. (83020)	93 RD	32,779
Meso Scale Discovery (MSD002525)	99 RD	79,585
Metabolic Nutritionals (UCSF#A118553)	93 865	68,307
Metabolic Solutions Development Company (SUB 20083208 (DK081298))	93 879	146,498
Metafold Therapeutics, Inc. (UCSF#A117402)	93 RD	74,160
Methodist Hospital Research Institute, The (001-9068)	93 701	19,872
ARRA-Methodist Hospital Research Institute, The (6744-002)	93 701	297,968
Metis Design Corporation (SUB 20103689 (N00014-10-M-0301))	12 300	9,494
Metis Design Corporation (SUB 2011-3553 (N00014-11-C-049))	12 300	9,102
Metro Laser (UCI20AM09-CYC2)	12 910	45,416
Metropolitan Transportation Commission (030593)	20 200	524,392
Michigan State University (20098560605673)	10 310	233,626
Michigan State University (612009UCD)	47 074	4,115
Michigan State University (61-3212C)	81 049	92,088
Michigan State University (61-3383E)	66 509	87,274
Michigan State University (614244E)	10 RD	464
Michigan State University (614254A)	10 309	2,283
Michigan State University (614262B)	10 RD	576
Michigan State University (614296L)	10 309	79,255
Michigan State University (RC100173UCI-CYC1)	93 395	55,076
Michigan Technological University (59981)	81 049	92,834
Microbiotix, Inc. (032804)	93 855	25,682
Microbiotix, Inc. (5 R43 AI088854-02)	93 855	39,361
Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (004526)	99 RD	35,770
Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (2009-N)	12 910	127,757
Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (2009-N)	99 RD	3,396,153
Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (59447)	12 910	113,646
Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (79952)	12 RD	(2,679)
Microelectronics Advanced Research Corporation (MARCO)(SRC Subsidiary) (SUB SA)	12 RD	469,472
Microlink Devices, Inc. (20104503)	47 041	18,412
Microlink Devices, Inc. (PR0033-1)	12 910	134,408
Micron Optics Inc. (MO-48985-CYC2)	93 867	75,448
Microskia, Inc. (20112768)	93 286	45,150
Microxact Inc. (02-02_AFOSRIP/UCI-CYC1)	12 800	23,567
Microxact, Inc. (02-02 AFOSRIP/UCLA)	99 RD	44,196
Microxact, Inc. (59469)	12 800	17,881
Midwest Research Inst. (ZGB-0-40593-01)	81 RD	126,303
Minneapolis Medical Research Foundation (UCSF#A116842)	93 RD	42,160
ARRA-Miriam Hospital, The (a Lifespan Partner) (710-7142005)	93 701	12,898
Miriam Hospital, The (a Lifespan Partner) (710-9820)	93 847	12,954
Miriam Hospital, The (a Lifespan Partner) (710-9823)	93 394	20,257
Miriam Hospital, The (a Lifespan Partner) (SUB 710-9259(HL064342))	93 837	12,081
Miriam Hospital, The (a Lifespan Partner) (SUB 710-9279(DA021729))	93 279	30,243
Miriam Hospital, The (a Lifespan Partner) (SUB 710-9868 (HL109116))	93 837	35,232
Miriam Hospital, The (a Lifespan Partner) (SUB 710-9894 (CA162985))	93 393	3,002
Mission Economic Development Agency (ED-001)	84 215	21,495
Mississippi State University (201016616)	10 200	37,333
Mitre Corporation, The (Bedford, Ma & Mclean, Va) (SUB NONE (69858))	12 910	15,000
Molecular Express (Ames, Iowa) (4R42CA110222-02)	93 RD	43,865
Molecular Express (Rancho Dominguez, Ca) (MEI-42881-CYC1)	93 394	59,811
Molecular Imaging Corporation (acquired) (78809)	93 389	491
Molecular Imaging Corporation (acquired) (MI-48579-CYC2)	93 389	26,651
Molecular Imaging Corporation (acquired) (MI-50912-CYC1)	93 859	272,328
Montana State University (G16311W3270)	81 049	22,669
Montana State University (G21908W1577)	81 049	58,406
Montefiore Medical Center (UCSF#A112555)	93 RD	17,058

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Monterey Bay Aquarium Research Institute (0811146)	11 473	10,381
Monterey Bay Aquarium Research Institute (0811210)	11 473	54,069
Monterey Bay Aquarium Research Institute (1010543)	12 300	53,067
Monterey Bay Aquarium Research Institute (1110725-001)	81 049	144,792
Monterey Bay Aquarium Research Institute (1111340)	11 012	144,163
Monterey Bay Aquarium Research Institute (PO 1111252)	11 012	85,847
Monterey Bay Aquarium Research Institute (PO#1111249)	11 012	53,786
Monterey Bay Aquarium Research Institute (PO-0811147-03)	11 473	78,729
Monterey Bay Aquarium Research Institute (PO-0911694)	99 RD	81,370
Morgridge Institute for Research (D12-J0053)	93 837	141,953
Mount Sinai School of Medicine (New York) (025498914609)	93 866	498,879
Mount Sinai School of Medicine (New York) (025499214609)	93 866	294,463
Mount Sinai School of Medicine (New York) (0255-1355-4609)	93 855	38,478
Mount Sinai School of Medicine (New York) (0255-1356-4609)	93 855	69
Mount Sinai School of Medicine (New York) (025523014609)	93 855	64,191
Mount Sinai School of Medicine (New York) (0255-3341-4609-CYC2)	93 866	7,266
Mount Sinai School of Medicine (New York) (0255-3831-4609)	93 847	186,004
Mount Sinai School of Medicine (New York) (0255-4391-4609)	93 113	225,084
ARRA-Mount Sinai School of Medicine (New York) (0256-9131-4609)	93 701	376,705
Mount Sinai School of Medicine (New York) (025835614609)	93 RD	590,126
Mount Sinai School of Medicine (New York) (31350)	93 866	579
Mount Sinai School of Medicine (New York) (58052)	93 855	44,915
Mount Sinai School of Medicine (New York) (79641)	93 847	11,201
Mount Sinai School of Medicine (New York) (AG10606)	93 866	77,584
Mount Sinai School of Medicine (New York) (SUB 0255-4221-4609(CA158914))	93 395	81,687
Mpr Associates, Inc. (UCOP-TES-3475-16)	84 RD	14,466
Mt. San Antonio College (78880)	47 076	(93)
Mt. San Antonio College (MSAC-52066-CYC1)	43 RD	10,866
Mtpv, LLC (1113125)	47 041	49,848
Multiplex, Inc. (SUB 20104675 (FA9435-10-C-0002))	12 800	49,259
Museum of Sci & Indus Tampa, Florida (59728)	47 076	69,055
Museum of the Moving Image (20102231)	45 312	234,985
Nanocomposix, Inc. (20095159)	99 RD	108,930
Nanocomposix, Inc. (20112402)	99 RD	18,370
Nanocomposix, Inc. (83330)	93 RD	1,569
Nanosort LLC (20113506 (RR 032225))	93 389	110,862
Nanosort LLC (20120716)	93 395	36,132
National Academies (58662)	19 501	42
National Academies (SUB PGA-P210946 (S-LMAQM-08GR-))	19 RD	148,185
National Academy of Sciences (93326)	47 076	(1,977)
National Academy of Sciences (PGAP210947)	98 RD	113,396
National Association of County and City Health Officials (MRC111393)	93 008	4,679
National Bureau of Economic Research, Inc. (30-3498-00-0-79-688-7700)	47 075	17,423
National Bureau of Economic Research, Inc. (33238)	47 075	12,202
National Bureau of Economic Research, Inc. (343509000794227700)	47 RD	17,959
National Bureau of Economic Research, Inc. (343519060799817700)	47 075	5,425
National Bureau of Economic Research, Inc. (40-5063-00-0-24-997-770)	84 305	64,773
National Bureau of Economic Research, Inc. (84-4008-01-4/UCLA)	93 866	86,564
ARRA-National Bureau of Economic Research, Inc. (ARRA-33-6706-28-0-80-537)	93 701	47,312
National Bureau of Economic Research, Inc. (UCSF-33-4118-08)	93 RD	14,519
National Center for Defense Manufacturing and Machining (94821)	12 RD	(11,229)
National Council for Science and the Environment (0929109)	47 076	1,511
National Council for Science and the Environment (200910577)	47 RD	39,689
ARRA-National Development and Research Institutes, Inc. (612B)	93 701	57,596
National Development and Research Institutes, Inc. (NDRI#626A)	93 RD	144,775
National Fish and Wildlife Foundation (2008-0073-043)	11 463	5,965
National Fish and Wildlife Foundation (2010-0073-002)	11 439	35,142

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
National Fish and Wildlife Foundation (20100087000)	15 608	16,019
National Fish and Wildlife Foundation (20100094000)	10 683	75,690
National Fish and Wildlife Foundation (2011008700025793)	15 633	149,308
National Fish and Wildlife Foundation (63081)	11 008	8,993
National Fish and Wildlife Foundation (SC-11-55)	15 658	5,663
National Institute of Aerospace Associates (6302-UCI-CYC1)	43 RD	44,416
National Institute of Aerospace Associates (X10-8049-C)	12 RD	76,903
National Jewish Health (20093678)	99 RD	59,599
National Jewish Medical and Research Center (SUB (HHSN272201000020C))	93 RD	412,523
National Jewish Medical and Research Center (SUB NONE (HL089897))	93 839	311,831
National Marrow Donor Program (17341)	12 RD	12,495
National Marrow Donor Program (200062)	99 RD	18,301
National Marrow Donor Program (83633)	93 839	(21,515)
National Marrow Donor Program (SUB NONE (HL069273))	93 839	55,103
National Marrow Donor Program (UCSF#A111930)	93 RD	(70,438)
National Science Teachers Association (59569)	47 076	(177)
National Security Technologies, LLC (124560)	99 RD	40,758
National Space Biomedical Research Institute (59446)	99 RD	(3,379)
National Space Biomedical Research Institute (82036)	43 RD	(213)
National Space Biomedical Research Institute (82087)	43 RD	52,385
National Space Biomedical Research Institute (BL01301)	43 RD	67,232
National Space Biomedical Research Institute (MA01601-CYC5)	43 RD	187,598
National Space Biomedical Research Institute (MA01603)	99 RD	37,496
National Space Biomedical Research Institute (MA01701)	43 RD	76,573
National Space Biomedical Research Institute (MA02501-CYC1)	43 002	29,998
National Space Biomedical Research Institute (NBPF01605)	99 RD	380,590
National Space Biomedical Research Institute (PF01901-CYC4)	43 RD	16,214
National Space Biomedical Research Institute (PF02104-CYC2)	43 RD	16,096
National Space Biomedical Research Institute (SUB HFP01604 (NCC 9-58-127))	43 RD	291,666
National Space Biomedical Research Institute (SUB NONE (CA00001))	43 RD	21,538
National Space Grant Foundation (005020)	43 RD	2,991
National Space Grant Foundation (ESMD/Anderson)	43 RD	1,200
National Trauma Institute (NTI-NCH-10-016)	12 420	14,661
National Trauma Institute (NTI-NCH-10-033)	12 420	1,673
National Trauma Institute (NTI-TRA-09-034)	12 420	70,386
National Trauma Institute (SUB NTI-NCH-10-020B (W81XWH-11))	12 420	12,944
Nationwide Children's Hospital (incl Research Inst.) (Columbus, Ohio) (0700454)	93 173	89,650
Nationwide Children's Hospital (incl Research Inst.) (Columbus, Ohio) (719111-	93 173	8,395
Nationwide Children's Hospital (incl Research Inst.) (Columbus, Ohio) (UCSF#A1	93 847	80,393
Nature Conservancy (59872)	12 RD	4,668
Nature Conservancy (WA-S-110309-112-0-002)	12 RD	30,385
Nei Corporation (SUB 20102596 (NNX10CB59C))	48 RD	79,308
ARRA-Nemours Foundation (83277)	93 701	(3,508)
Neuren Pharmaceuticals, Inc. (200911460)	12 RD	89,607
Neuren Pharmaceuticals, Inc. (78529)	99 RD	(252)
Neuroindx, Inc. (20083242)	99 RD	45,418
Nevada Institute for Renewable Energy Commercialization (DEFG3608GO88161)	81 RD	76,401
New England Medical Center, Inc. (HHS-290-2007-10055-I-EPC3)	93 RD	70,346
New England Medical Center, Inc. (PRIME:R01AR054938)	93 846	28,854
New England Research Institute, Inc. (200911431)	93 RD	345
New England Research Institute, Inc. (SUB 141 (DK58234))	93 849	78,426
New England Research Institute, Inc. (SUB NONE (DK058229))	93 847	34,683
ARRA-New England Research Institute, Inc. (SUB NONE (HL62870))	93 837	105,782
New England Research Institute, Inc. (UCSF#A114555)	93 847	19,444
New Mexico Consortium, Inc. (NSF0001)	99 RD	17,792
New Mexico State University (004680)	10 200	9
New Mexico State University (005375)	10 200	9,855

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
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For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
New York University (004972)	47 082	26,989
New York University (005163)	93 865	419
New York University (09-0497)	43 RD	777,888
New York University (09-1760_PROJECT#000881)	93 RD	33,403
New York University (10-00903)	99 RD	108,290
New York University (10-00904_PROJECT#01094)	12 420	65,580
New York University (11-01935)	12 420	26,908
New York University (83094)	93 242	(1,343)
New York University (83257)	93 242	(6,164)
New York University (F6489-01-CYC3)	93 855	33,814
New York University (SUB 09-0281 (AI084119))	93 856	139,146
New York University (SUB F6533 (MCB-0929338))	47 074	92,524
ARRA-New York University (SUB09-1340;PRJ1146(LM010994)AR)	93 701	84
Nextgen Aeronautics (SUB PO 09-23 3035 (N68335-09-C)	12 300	60,839
North Carolina State University (2008-0592-01-CYC4)	93 286	126,148
North Carolina State University (2009-0595-01-CYC4)	47 074	15,922
North Carolina State University (2009-1380-01-CYC3)	11 440	204,360
North Carolina State University (2010145401)	47 074	130,638
North Carolina State University (SUB NONE (GM093939))	93 859	184,782
North Dakota University System (154442)	47 RD	664,248
North Dakota University System (FAR0014507)	81 RD	297,719
North Dakota University System (FAR00155259)	10 310	118,938
North Pacific Research Board (79021)	11 472	(2,724)
North Pacific Research Board (918)	11 472	29,304
North Shore-Long Island Jewish Health System (500374)	93 173	13,699
North Shore-Long Island Jewish Health System (UCL1-01)	99 RD	34,595
North Shore-Long Island Jewish Health System (UCL1-01-NON-ARRA)	99 RD	67,443
ARRA-North Shore-Long Island Jewish Health System (UCL2-01)	99 RD	10,524
Northern California Cancer Center (formerly Program) (132660-01)	93 RD	72,429
Northern California Institute for Research and Education, Inc. (1362-001)	93 866	114,702
ARRA-Northern California Institute for Research and Education, Inc. (1454)	93 701	458,180
ARRA-Northern California Institute for Research and Education, Inc. (1455)	93 701	288,874
ARRA-Northern California Institute for Research and Education, Inc. (1457)	93 701	693,691
ARRA-Northern California Institute for Research and Education, Inc. (1465-CYC2)	93 701	68,103
Northern California Institute for Research and Education, Inc. (1521)	93 866	21,375
Northern California Institute for Research and Education, Inc. (1569)	93 866	58,085
Northern California Institute for Research and Education, Inc. (1577)	93 866	61,758
Northern California Institute for Research and Education, Inc. (82342)	93 RD	(5,396)
ARRA-Northern California Institute for Research and Education, Inc. (ARRA1451)	93 701	301,044
ARRA-Northern California Institute for Research and Education, Inc. (ARRA1452)	93 701	2,898
Northern California Institute for Research and Education, Inc. (FORD1304)	93 242	29,363
ARRA-Northern California Institute for Research and Education, Inc. (PUL1430)	93 701	71,492
ARRA-Northern California Institute for Research and Education, Inc. (SUB 1448)	93 701	5,874,452
Northern California Institute for Research and Education, Inc. (SUB 1566 (AG02)	93 866	3,185,948
ARRA-Northern California Institute for Research and Education, Inc. (SUB GUC14)	93 701	7,215
ARRA-Northern California Institute for Research and Education, Inc. (UCSD-4967)	93 701	(86)
ARRA-Northern California Institute for Research and Education, Inc. (UCSF#A115)	93 701	162,711
Northern California Institute for Research and Education, Inc. (VIN1245)	93 242	(209)
Northern California Institute for Research and Education, Inc. (VIN1245B)	93 242	1,543
Northern California Institute for Research and Education, Inc. (WONG1643)	93 855	15,027
Northern Illinois University (79112)	93 853	2,708
Northrop Grumman Corporation (7500035517)	93 RD	23,365
Northrop Grumman Corporation (7600005024)	12 910	81,917
Northrop Grumman Corporation (8140000703)	99 RD	181,034
Northrop Grumman Corporation (8140000711)	12 RD	4,836
Northrop Grumman Corporation (PO 7600007220)	99 RD	87,555
Northrop Grumman Corporation (SUB 2736616)	12 910	40,749

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Northshore University Healthsystem Research Inst. (57893)	93 242	(12,755)
Northshore University Healthsystem Research Inst. (EH06-201-S8)	99 RD	67,820
Northwestern University (0600-370-F383-UCSF)	93 846	39,942
Northwestern University (60024957 UCLA)	93 855	36,328
ARRA-Northwestern University (60025081 UCLA)	93 701	15,565
Northwestern University (60025081 UCLA/60029442 UCLA)	93 846	59,540
Northwestern University (60027857UCSF)	93 847	69,669
Northwestern University (60028536 UCLA)	93 837	23,892
Northwestern University (60029591UCSF)	93 859	38,626
Northwestern University (63006)	12 910	181,560
ARRA-Northwestern University (ARRA60023822UCD)	93 701	69,475
Northwestern University (CNV0055224/PROJ0000253)	81 049	76,816
Northwestern University (DESC0001271)	81 049	510,141
Northwestern University (PROJ0001730-CYC4)	93 865	4,429
Northwestern University (SP0003300/PROJ0001850)	81 087	108,343
Northwestern University (SP0009801-PROJ0002728)	47 076	178,033
Northwestern University (SP10719/PROJ0002996-CYC1)	93 286	213,796
Northwestern University (SUB 60013758UCSD(DE019587))	93 121	45,808
Nova Scientific, Inc. (UCB 041310)	81 RD	116,618
Novaflora Inc. (33232)	10 212	3,032
Novartis Research Foundation (AI06628701A1)	93 RD	(143)
Novo Nordisk Co. (83158)	93 847	(16,884)
Nsabp Foundation, Inc. (20104756)	93 395	3,471
Nsabp Foundation, Inc. (PFED22A-STA-03)	93 399	709
Nsabp Foundation, Inc. (PFED26-UCL-01)	93 399	22,271
Nsabp Foundation, Inc. (TFED41)	93 395	3,124
Nsabp Foundation, Inc. (TFED41-710-CYC1)	93 395	2,579
Nvidia Corporation (54140518)	12 RD	269,669
Oak Ridge National Laboratory (32838)	81 RD	54,913
Oak Ridge National Laboratory (4000019437)	81 049	27,676
Oak Ridge National Laboratory (4000063616)	81 087	433,163
Oak Ridge National Laboratory (4000068439)	81 RD	120,320
Oak Ridge National Laboratory (4000069422)	81 RD	175,979
Oak Ridge National Laboratory (4000069952)	99 RD	11,376
Oak Ridge National Laboratory (4000077731)	81 RD	50,999
Oak Ridge National Laboratory (4000089378)	81 RD	123,235
Oak Ridge National Laboratory (4000090817)	81 RD	157,835
Oak Ridge National Laboratory (4000091400)	99 RD	14,632
Oak Ridge National Laboratory (4000092367)	81 RD	(5,721)
Oak Ridge National Laboratory (4000092665)	99 RD	478,188
Oak Ridge National Laboratory (4000095024)	81 RD	3,000
Oak Ridge National Laboratory (4000096183-03)	99 RD	24,960
Oak Ridge National Laboratory (4000100283)	81 RD	45,668
Oak Ridge National Laboratory (4000101858-CYC1)	81 RD	28,140
Oak Ridge National Laboratory (4000102126-CYC1)	81 121	5,689
Oak Ridge National Laboratory (4000102856)	81 RD	169,964
Oak Ridge National Laboratory (4000103205)	81 RD	94,014
Oak Ridge National Laboratory (4000104333)	81 RD	33,029
Oak Ridge National Laboratory (4000106477)	81 RD	24,900
Oak Ridge National Laboratory (94632)	81 RD	(6,151)
Oak Ridge National Laboratory (SUB 4000063938(DE-AC05000R2272))	81 RD	180,289
Oak Ridge National Laboratory (SUB 4000087891 (DE-AC05-000R2))	81 RD	59,188
Objectvideo, Inc. (20111767)	99 RD	107,702
Ocean Associates (SUB PO T03053 (NONE))	14 437	8,660
Oct Medical Imaging, Inc. (OCT-45650-CYC4)	12 420	73,985
Oct Medical Imaging, Inc. (OCT-49580-CYC2)	93 389	41,364
Ohio State University (32910)	12 431	11,262

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Ohio State University (60015822)	10 RD	22,234
Ohio State University (60023182)	98 001	16,278
Ohio State University (60025704/RF01195592)	43 002	51,263
Ohio State University (60028080)	99 RD	133,506
Ohio State University (60028980)	99 RD	13,005
Ohio State University (60029134 UCLA)	93 859	53,419
Ohio State University (60032246(GM092655))	93 859	101,377
Ohio State University (78017)	20 205	17,879
Ohio State University (N660011114090)	12 910	88,588
Ohio State University (PREP131607)	93 RD	2,000
Ohio State University (PROJECT NO. 60018554)	47 049	93,068
Ohio State University (RF01170429)	47 074	38,925
Ohio State University (RF01207236)	10 680	21,680
Ohio State University (RF01234341)	47 079	46,229
Ohio State University (SUB RF01 206121 (CA134232))	93 395	121,687
Ohio State University (UCSF#A114043)	47 041	93,490
Oklahoma Medical Research Foundation (20083092)	93 855	153,893
Oklahoma Medical Research Foundation (20094032)	93 847	60,299
Oklahoma Medical Research Foundation (59928)	93 855	11,396
Oklahoma Medical Research Foundation (87707)	93 RD	1,170
Oklahoma Medical Research Foundation (UCSF#A113499)	93 855	95,644
Oklahoma State University (AA-5-30220)	47 082	35,974
Oklahoma State University (AA-5-31560)	47 082	22,710
Oklahoma State University (AB-5-67090.UCR)	10 303	114,165
Oklahoma State University (AB567090UCD)	10 303	349
Old Dominion University Research Foundation (09-165-371981)	47 079	139,453
Olive View-UCLA Education & Research Institute, Inc. (71185)	93 283	3,284
Omega-P, Inc. (20091555 (DE-SC000-1676))	81 RD	237,294
Oncoimmune, Inc. (201118952)	93 855	31,971
Open Source Medical Software Corporation (SUB HHSN268201100035C-S3 (HHSN))	93 839	52,925
Optivia Biotechnology Inc. (UCSF#A115445)	93 389	29,861
Optivia Biotechnology Inc. (UCSF#A117619)	93 859	195,862
Opto-knowledge Systems, Inc. (20110644)	43 002	3,043
Oracle Corporation (SUB US1104778 (NONE))	12 910	73,738
Orange County (MA-042-120-10831-CYC1)	93 940	55,869
Orange County Department of Education (36275-CYC1)	84 215	134,077
Orbital Technologies Corporation (58622)	12 RD	41,421
Oregon Health Sciences University (58449)	93 837	39,478
Oregon Health Sciences University (72418)	93 RD	15,879
Oregon Health Sciences University (72459)	99 RD	11,160
Oregon Health Sciences University (79553)	93 837	(3,594)
Oregon Health Sciences University (9006761UCD)	93 855	210,997
Oregon Health Sciences University (ABNEU0202-CYC2)	93 279	195,411
Oregon Health Sciences University (APULM0142-UCSF)	93 RD	75,673
Oregon Health Sciences University (CGROE0137A)	93 113	46,059
Oregon Health Sciences University (GMEDG0111AUC)	93 395	70,103
Oregon Health Sciences University (GMEDG0149A_UCB)	93 394	100,000
Oregon Health Sciences University (GPEDC0036A SAHN)	93 837	189,081
Oregon Health Sciences University (GPEDC0036ASAHN)	93 837	112,335
Oregon Health Sciences University (GPHPM0183A_UCSF)	93 279	54,825
Oregon Health Sciences University (GPHYP0191A)	93 859	4,264
Oregon Health Sciences University (GSMMI0118A UCSF)	93 226	1,671
Oregon Health Sciences University (GVGT10103R1)	99 RD	118,468
Oregon Health Sciences University (GVGTI0136A2)	93 855	143,306
Oregon Health Sciences University (SUB GEDCN02441A (DK083675))	93 847	53,677
Oregon Social Learning Center (004495)	93 865	129,916
Oregon State University (005410)	20 781	90,191

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Oregon State University (C0358AD)	10 303	6,357
Oregon State University (C0414CC)	10 310	34,503
Oregon State University (C0423BD)	10 309	35,414
Oregon State University (C0423B-E)	10 309	159,011
Oregon State University (C0428AB)	10 200	28,368
Oregon State University (NA2233-C)	11 417	50,452
Oregon State University (NL123A-A)	43 002	992
Oregon State University (NS225A-A)	43 RD	19,094
Oregon State University (RM100A-A)	12 RD	184,502
Oregon State University (S1315A-B)	47 079	18,670
Oregon State University (S1343H-A)	47 050	19,009
Oregon State University (S1364A-A)	47 050	125,381
Oregon State University (S1412A-B)	47 049	28,633
Oregon State University (S1412AC)	47 049	5,690
Oregon State University (SUB NONE (CA084225))	93 395	105,178
Osel Inc. (UCSF#A114109)	93 855	141,634
Out of the Fog Research LLC (032578)	12 RD	40,000
ARRA-Outcome Sciences, Inc. (DECIDE ID:62-EHC)	93 715	35,867
Outcome Sciences, Inc. (DECIDE:62-EHC)	93 715	27,402
Oxford University (Great Britain) (R13579/CN005)	93 847	68,906
Pacific Development and Technology, LLC (20110828)	99 RD	97,258
Pacific Health Research and Education Institute (PHREI-21001-03)	93 847	47,074
Pacific Institute for Research and Evaluation (0102.02.01 A)	93 273	9,565
Pacific Institute for Research and Evaluation (0102.02.01 A)	93 273	35
Pacific Institute for Research and Evaluation (0586)	93 242	26,278
Pacific Institute for Research and Evaluation (201026842)	93 273	23,699
Pacific Institute for Research and Evaluation (59623)	93 273	(45)
Pacific Northwest National Laboratories (00072621)	99 RD	237,302
Pacific Northwest National Laboratories (110321-CYC5)	81 RD	815
Pacific Northwest National Laboratories (128261)	81 064	15,357
Pacific Northwest National Laboratories (142939)	81 RD	2,433
Pacific Northwest National Laboratories (143949)	81 RD	98,588
ARRA-Pacific Northwest National Laboratories (154504)	81 049	22,308
Pacific Northwest National Laboratories (33629)	84 RD	3,532
Pacific Northwest National Laboratories (34167)	81 RD	270,216
Pacific Northwest National Laboratories (85280)	81 RD	34,473
Pacific Northwest National Laboratories (85281)	81 RD	5,735
Pacific Northwest National Laboratories (85432)	81 RD	(10,075)
Pacific Northwest National Laboratories (87411)	93 279	136,696
Pacific Northwest National Laboratories (87482)	93 279	92,061
Pacific Northwest National Laboratories (87493)	93 279	570
Pacific Northwest National Laboratories (SUB 152804(DE-AC05-76RL01830))	81 RD	52,890
Pacific Northwest National Laboratories (SUB 79689 BATTELE PNL (NIAID))	93 RD	291,645
Pacific States Marine Fisheries Commission (1252)	11 437	9,895
Pacific States Marine Fisheries Commission (59948)	11 454	82,063
Pacific States Marine Fisheries Commission (59952)	11 454	44,852
Pacific States Marine Fisheries Commission (59958)	11 454	74,580
Palo Alto Institute for Research and Education, Inc. (SUB WYS0015-02 (NS057496)	93 853	2,303
Pangaea Global Aids Foundation (2011-002)	93 310	23,985
Panorama Research Incorporated (71315)	99 RD	1,122
Panorama Research Incorporated (UCSF#A116439)	93 837	67,033
Partners Healthcare System, Inc. (207916)	93 389	118,650
Partners Healthcare System, Inc. (208409-CYC3)	93 864	83,202
Partners Healthcare System, Inc. (215287-CYC2)	93 389	217,088
Partners Healthcare System, Inc. (82384)	93 RD	(7,725)
Partners Healthcare System, Inc. (MGH-39225)	93 393	4,939
Partners Healthcare System, Inc. (PS-216785-CYC2)	93 853	54,130

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Partners Healthcare System, Inc. (PSA-032408-CYC4)	93 213	28,464
Partners Healthcare System, Inc. (PSA-219314-CYC5)	93 853	20
Partners in Hope (Malawi) (674-A-00-10-00035-00)	98 001	789,860
Pelagique, LLC (2010-3980)	99 RD	186,014
Pennsylvania State University (incl Penn State Research Foundation) (004516)	12 630	90,418
Pennsylvania State University (incl Penn State Research Foundation) (005552)	93 855	35,229
Pennsylvania State University (incl Penn State Research Foundation) (3661-UCS)	93 865	76,226
Pennsylvania State University (incl Penn State Research Foundation) (3702UCDU)	10 200	14,828
Pennsylvania State University (incl Penn State Research Foundation) (3757-UCB)	47 049	51,258
Pennsylvania State University (incl Penn State Research Foundation) (3816UCDU)	10 309	(2,050)
Pennsylvania State University (incl Penn State Research Foundation) (3816UCDU)	10 309	3,143
Pennsylvania State University (incl Penn State Research Foundation) (3854-UCB)	12 300	92,862
Pennsylvania State University (incl Penn State Research Foundation) (3902-UCL)	43 001	91,766
Pennsylvania State University (incl Penn State Research Foundation) (3964-UCS)	47 074	18,585
ARRA-Pennsylvania State University (incl Penn State Research Foundation) (401)	93 701	201,808
Pennsylvania State University (incl Penn State Research Foundation) (4038-UCS)	93 279	44,184
Pennsylvania State University (incl Penn State Research Foundation) (4216-UCL)	47 049	61,636
Pennsylvania State University (incl Penn State Research Foundation) (4242-UCL)	93 865	38,896
Pennsylvania State University (incl Penn State Research Foundation) (4251-UC-	43 RD	13,762
Pennsylvania State University (incl Penn State Research Foundation) (4281-UCI)	93 855	960,871
Pennsylvania State University (incl Penn State Research Foundation) (4403-UCL)	12 431	77,336
Pennsylvania State University (incl Penn State Research Foundation) (4495-UCI)	81 049	13,905
Pennsylvania State University (incl Penn State Research Foundation) (4497-UCI)	93 866	7,118
Pennsylvania State University (incl Penn State Research Foundation) (58766)	12 630	30,693
Pennsylvania State University (incl Penn State Research Foundation) (58868)	12 630	154,862
Pennsylvania State University (incl Penn State Research Foundation) (82987)	47 074	584
ARRA-Pennsylvania State University (incl Penn State Research Foundation) (ARR	47 082	160,643
Pennsylvania State University (incl Penn State Research Foundation) (SUB 3712	12 431	100,084
Pennsylvania State University (incl Penn State Research Foundation) (SUB 4496	81 049	32,774
Pennsylvania State University (incl Penn State Research Foundation) (UCA-SFHL	93 837	51,073
Pennsylvania State University (incl Penn State Research Foundation) (UCSF#A11	93 837	105,475
People in Progress, Inc. (20071053)	93 243	18,330
Perceptronics (20112724)	99 RD	32,463
Perceptronics (20120002)	99 RD	10,454
Perceptronics (JOB#1031, ISDM)	99 RD	17,807
Phoenix Biosystem, Inc. (UCSF#A117586)	99 RD	39,679
Phoenix Houses of Los Angeles, Inc. (20104731)	93 243	36,822
Phoenix Houses of Los Angeles, Inc. (57625)	93 243	15,000
ARRA-Phononic Devices, Inc. (SC-10-49)	81 122	25,934
Photonic Systems, Inc. (SUB SC-10-101 (W91260-09-C-002)	12 431	72,879
Photoswitch Biosciences, Inc. (PB-001)	93 867	(336)
Physical Optics Corporation (20100612)	93 867	45,159
Physical Optics Corporation (POC-53829-CYC1)	12 431	12,644
Physical Sciences Inc. (SC 55352-1734)	12 431	22,023
Pine Street Foundation (UCSF#A109951)	12 420	12,498
Planetary Science Institute (32386)	43 001	10,480
Plasma Processes Inc. (59581)	81 RD	1,868
Plug Power Inc. (PP-47515)	81 RD	75,578
Point Loma Nazarene University (SUB NONE (IOS-1052561))	47 074	191,963
Polar Onyx, Inc. (09002225)	12 RD	111,624
Polyradiant Technology (20111571)	99 RD	29,642
ARRA-Porifera, Inc. (95018)	81 RD	65,930
Portland State University (200MOO207)	99 RD	14,025
Pranalytica, Inc. (20104522)	99 RD	30,022
Pranalytica, Inc. (20104588)	99 RD	165,387
Praxis Biosciences, LLC (PB-50904-CYC1)	93 173	23,746
Precision Photonics Corporation (83282)	93 856	(16,544)

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Precision Photonics Corporation (83284)	93 856	16,533
Precision Photonics Corporation (87177)	11 609	(27)
Predictive Science Inc. (PSI-48581-CYC2)	43 RD	28,529
Predictive Science Inc. (PSI-49953-CYC1)	43 RD	43,470
Prevention Institute (Bay Area, California) (20101971)	93 136	17,410
Prince William Sound Science Center (incl Oil Spill Recovery Inst.) (12-81-01)	11 472	7,870
Princeton Plasma Physics Laboratory (DOE GOCO Lab Oper. by Princeton) (PE01049)	81 RD	14,697
Princeton Plasma Physics Laboratory (DOE GOCO Lab Oper. by Princeton) (S001027)	99 RD	94,149
Princeton Plasma Physics Laboratory (DOE GOCO Lab Oper. by Princeton) (S010182)	81 RD	5,032
Princeton Plasma Physics Laboratory (DOE GOCO Lab Oper. by Princeton) (S010845)	99 RD	280,200
Princeton University (00001713)	12 800	173,762
Princeton University (00001732)	47 049	365,944
Princeton University (00001771)	12 910	499
Princeton University (00001786-001)	93 397	173,900
Princeton University (00001804)	93 397	40,995
Princeton University (00001805)	93 397	250,319
Princeton University (00001806)	93 397	37,598
Princeton University (00001807)	93 397	25,565
Princeton University (00001808)	93 397	277,361
Princeton University (00001876-CYC1)	47 070	91,679
Princeton University (00001889)	81 049	97,349
Princeton University (00001949)	99 RD	94,595
Princeton University (00001964-CYC2)	93 397	58,189
Princeton University (00001990)	12 800	49,526
Princeton University (00002024)	93 397	62,213
Princeton University (1120138)	47 049	401,578
Princeton University (S008905-R)	99 RD	15,245
Princeton University (SUB 00001661 (FA9550-09-1-031))	12 800	530,213
Princeton University (SUB 00001755 (2009-DT-2049))	12 RD	129,947
Princeton University (SUB 00001947 (CA143803))	93 397	57,616
Progeny Systems (PSC 0201)	99 RD	22,102
Progeny Systems (PSC 0204)	12 300	22,750
Proteus, Inc. (SUB C27548 (NONE))	93 856	62,762
Public Health Foundation Enterprises, Inc. (201016479)	93 283	130,265
Public Health Foundation Enterprises, Inc. (2186.004.001ECHO)	93 941	27,791
Public Health Foundation Enterprises, Inc. (2186.004.901)	99 RD	13,404
Public Health Foundation Enterprises, Inc. (2192.003_ARIPIPRAZOL)	93 279	18,342
Public Health Foundation Enterprises, Inc. (2278.001PUMA)	93 855	23,458
Public Health Foundation Enterprises, Inc. (2298.001.001)	93 974	82,505
Public Health Foundation Enterprises, Inc. (2303.001 CAT A)	93 RD	219,134
Public Health Foundation Enterprises, Inc. (2304 002 SFDPH APC)	93 283	96,233
Public Health Foundation Enterprises, Inc. (2304 002 SFDPH APC)	93 283	45,784
Public Health Foundation Enterprises, Inc. (235.012.920)	93 283	20,094
Public Health Foundation Enterprises, Inc. (2369.002.001ECHPP PHASE II)	93 523	14,499
Public Health Foundation Enterprises, Inc. (2372.001)	93 521	5,211
Public Health Foundation Enterprises, Inc. (2414.001.001)	93 242	25,274
Public Health Foundation Enterprises, Inc. (2417.001.001EPIC)	93 242	11,825
Public Health Foundation Enterprises, Inc. (86002)	10 RD	(1,649)
Public Health Foundation Enterprises, Inc. (PFHE#2278.003.001)	99 RD	24,707
Public Health Foundation Enterprises, Inc. (PHFE-51657-CYC1)	93 069	55,512
Public Health Foundation Enterprises, Inc. (UCSF#A109344)	93 RD	27,195
Public Health Foundation Enterprises, Inc. (UCSF#A114123)	93 RD	268,074
Public Health Foundation Enterprises, Inc. (UCSF#A116411)	93 242	1,353
Public Health Foundation Enterprises, Inc. (UCSF#A119120)	93 941	11,549
Public Health Institute (1011866)	84 RD	(11,817)
Public Health Institute (1014460R)	93 273	51,696
Public Health Institute (1016590)	93 283	63,833

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Public Health Institute (1017272 R-3-CYC1)	93 938	1,672
Public Health Institute (1017483-CYC1)	93 938	4,999
Public Health Institute (33927)	93 RD	18,727
Public Health Institute (71840)	93 RD	(179)
Purdue University (4101-19918)	47 RD	243,929
Purdue University (4101-38048)	47 070	774,891
Purdue University (4101-42784)	47 041	6,439
ARRA-Purdue University (4102-31660 ARRA)	93 701	5,788
ARRA-Purdue University (4102-35033)	93 701	973
Purdue University (531-0896-01)	12 431	35,911
Purdue University (82004)	43 RD	(57,988)
Purdue University (MC1200)	41 041	13,077
Purdue University (NEES 4101-45661)	47 041	153,950
Purdue University (NEES-4101-31870)	47 041	146,229
Purdue University (NEES-4101-31870)	47 041	734,816
Purdue University (NEES410131873)	47 RD	1,051,624
Purdue University (NEES-4101-31902)	47 041	460,871
ARRA-Purdue University (SUB 4102-31810 (AI055672)ARRA)	93 701	189,104
Purdue University (SUB 4101-38049 (CCF-0939370))	47 070	268,985
Purdue University (SUB NEES-4101-31881 (CMMI-0927)	47 041	1,150,045
Purdue University (SUB NEES-4101-34566 (CMMI-0927)	47 041	6,694
Q-Chem, Inc. (1R43GM096678-01A1)	93 RD	33,001
Q-Chem, Inc. (94389)	93 800	(434)
Qed Group, LLC (71608)	99 RD	(114)
Quantitative Morphology Consulting (SUB 2009-JUL-15-2001)	12 300	21,783
Quantitative Morphology Consulting (SUB 2012-FEB-1-3000 (NONE))	12 300	26,652
Queensland, State of (incl Queens Inst. Med Res) (Australia) (QIMR 08-01-5163)	93 865	112,347
Radiabeam Technologies, LLC (20112365)	81 049	31,222
Radiation Monitoring Devices, Inc. (09001437)	81 RD	25,280
Radiation Monitoring Devices, Inc. (200910864)	93 RD	140,852
Radiation Monitoring Devices, Inc. (20092126)	81 049	(16)
Radiation Monitoring Devices, Inc. (201014842)	81 RD	154,243
Radiation Monitoring Devices, Inc. (71076)	93 RD	(6,961)
Radiation Monitoring Devices, Inc. (C1136)	93 389	95,085
Radiation Monitoring Devices, Inc. (C1203)	81 RD	19,994
ARRA-Radiological Society of North America (HHSN268200900060C)	93 701	23,868
ARRA-Radiological Society of North America (HHSN268200900060C-SOFTWARE)	93 701	104,690
ARRA-Radiological Society of North America (HHSN268201000050C (15A))	99 RD	14,158
ARRA-Radiological Society of North America (HHSN268201000050C (16A))	99 RD	23,210
ARRA-Radiological Society of North America (HHSN268201000050C (1A))	99 RD	16,686
ARRA-Radiological Society of North America (HHSN268201000050C (2A))	99 RD	8,069
Rancho Santa Ana Botanic Garden (57975)	47 074	30,770
Rand Corp (59035)	99 RD	(5,523)
ARRA-Rand Corp (77934)	93 701	18,165
Rand Corp (79556)	93 243	101,001
Rand Corp (80411)	93 837	(3,014)
Rand Corp (9920080019)	93 242	206,392
Rand Corp (9920080040)	99 RD	17,520
Rand Corp (9920080082)	93 866	15,402
Rand Corp (9920100039)	93 279	93,716
Rand Corp (9920100040)	99 RD	61,950
ARRA-Rand Corp (9920100048)	93 701	12,837
Rand Corp (9920100055)	93 226	116,789
Rand Corp (9920100096)	99 RD	71,232
Rand Corp (9920110003)	93 865	62,197
Rand Corp (9920110014)	93 RD	66,309
Rand Corp (9920120001)	93 307	7,784

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Rand Corp (9920120017)	99 RD	84,143
Rand Corp (9920120024)	99 RD	60,011
Rand Corp (9920120031)	93 242	68,229
Rand Corp (9920120072)	93 307	49,231
Raytheon (82891)	12 300	(3,248)
Raytheon (EJCLC09002)	12 RD	11,179
Raytheon (SUB NONE (D10PC20023))	97 RD	141,185
ARRA-Red Hill Studios (UCSF#A114284)	93 701	41,278
Rehabilitation Institute of Chicago (03.80940-CYC4)	84 133	42,009
Rensselaer Polytechnic Institute (A12014)	93 286	2,297
ARRA-Research Foundation Cuny, City University of New York (40005-A)	47 082	87,493
Research Foundation for Mental Hygiene (96556)	93 242	83,105
Research Foundation for Mental Hygiene (PO#96553)	93 242	52,129
Research Foundation for Mental Hygiene (SUB 80180 (AG016381))	93 866	209,569
Research Foundation for Mental Hygiene (UCSF#A112209)	93 242	46,557
Research Foundation of State University of New York (The) (R572933-CYC3)	20 RD	29,420
Resource Consvtn Dist Monterey Cnty (Resource Conservation District) (SC20090)	10 RD	3,206
Rhode Island Hospital (7011531)	93 837	27,130
Rhode Island Hospital (SUB 701-1513 (AI066050))	93 856	50,116
Rice University, Texas (William Marsh Rice) (R16545)	12 431	37,585
Rice University, Texas (William Marsh Rice) (R16991)	66 509	125,295
Rice University, Texas (William Marsh Rice) (R3B594)	47 041	57,841
Rice University, Texas (William Marsh Rice) (SUB ADVANCE APPROVAL (GM044557))	93 859	129,872
Riverside Community College District (C-0002404)	10 223	2,500
Rochester Institute of Technology (146728)	66 717	2,537
Rochester Institute of Technology (30641-01)	47 041	104
Rockefeller University (2U54RR022220-06)	93 310	450,735
Rockefeller University (PRIME:U01GM098256)	99 RD	56,912
Rockefeller University (UCSF#A118457)	93 859	111,090
Rockwell Collins, Inc. (SUB 4503496682 (N00173-06-C-20))	12 910	36,016
Rockwell Collins, Inc. (SUB 4504326648 (FA8650-11-C-71))	12 910	65,241
Roi Consulting LLC (SUB TA110202 (N00178-05-D-4538))	12 300	242,060
Roi Consulting LLC (SUB TA120303 (N00178-05-D-4538))	12 300	75,422
Royal Philips Electronics (81847)	12 RD	(3,224)
Royal Philips Electronics (PRNACLTC1101)	12 RD	27,540
Royal Philips Electronics (PRNA-UCB-1001)	12 910	76,049
Rti International (021245601)	93 865	14,368
Rti International (1-312-0210850)	93 RD	12,530
Rti International (1-340-0212654-CYC2)	93 242	71,467
Rti International (6-312-0212512-CYC1)	93 865	28,473
Rti International (79497)	93 865	(1,039)
Rti International (82510)	93 279	89,006
Rti International (SUB 1-312-0211684 (HD057753))	93 279	122
Rti International (SUB 1-312-0212177 (GM087704))	93 862	36,921
Rti International (UCSF#A115897)	93 RD	5,649
ARRA-Rush University (incl Rush-Presbyterian-St. Luke's Medical Center) (ARRA2)	93 701	26,816
Rush University (incl Rush-Presbyterian-St. Luke's Medical Center) (SUB 2R56AI)	93 286	72,870
Rush University (incl Rush-Presbyterian-St. Luke's Medical Center) (SUB RUSH U)	93 846	107,068
Rutgers University (005529)	10 200	5,409
Rutgers University (2004CA001)	10 RD	790
Rutgers University (2004CA001)	10 RD	20,010
Rutgers University (20082663)	47 074	2,334,682
Rutgers University (2010CA001ARS)	10 200	29,008
Rutgers University (2010CA001BIOPEST)	10 200	50,000
Rutgers University (2011CA001ARS)	10 200	18,659
Rutgers University (32923)	10 200	7,108
Rutgers University (33226)	10 200	9,656

The accompanying notes are an integral part of this schedule.

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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Rutgers University (3473)	10 RD	38,774
Rutgers University (3515)	93 859	30,297
Rutgers University (3848)	11 472	33,624
Rutgers University (3977)	10 310	53,832
Rutgers University (4239)	81 049	74,709
Rutgers University (4-34227)	99 RD	47,394
Rutgers University (4509)	15 423	28,650
Rutgers University (63026)	11 472	27,078
Rutgers University (SUB S1538029(DBI0829586))	47 076	5,758
Saddleback Valley Unified School District (SVUSD-49369-CYC2)	84 215	16,431
Saic (10XS176)	93 RD	30,752
ARRA-Saic (11ST1015)	99 RD	3,204,839
ARRA-Saic (12ST1002)	93 701	172,243
Saic (20110568)	81 049	72,452
Saic (29XS133TO08)	93 RD	293,406
Saic (29XS133TO13)	99 RD	151,114
Saic (29XS133TO15)	99 RD	1,026,949
Saic (29XS144)	93 RD	772,134
ARRA-Saic (84721)	93 701	57,672
Saic (87796)	12 300	65,639
Saic (87879)	12 300	54,953
Saic (P010068769-CYC2)	12 910	257,825
Saic (P010068772)	12 RD	167,755
Saic (P010071555)	12 RD	326,623
Saic (SUB P010097056 (N66001-11-C-41))	12 910	223,022
Salk Institute for Biological Studies (004639)	93 853	147,122
Salk Institute for Biological Studies (63049)	93 867	56,087
Salk Institute for Biological Studies (P0079660)	93 866	2,176
Salk Institute for Biological Studies (PO#P0040100)	93 855	444,062
Salk Institute for Biological Studies (SUB (NONE) (HD033113))	93 865	109,336
Salk Institute for Biological Studies (SUB P0000334 (AG032755))	93 866	132,331
Salk Institute for Biological Studies (SUB P0021899 (AI076852))	93 856	220,813
Salk Institute for Biological Studies (SUB P0037374 (MH091407))	93 242	243,050
Salk Institute for Biological Studies (SUB P0040247 (AI090935))	93 855	271,947
Salk Institute for Biological Studies (SUB P0040249 (AI090935))	93 855	455,391
Salk Institute for Biological Studies (SUB P0052446 (HD033113))	93 865	207,647
Salk Institute for Biological Studies (SUB P00052440 (HD033113))	93 865	74,793
Sally Ride Science (SUB EK3000 (NONE))	43 RD	225,314
San Diego Community College District (incl Mesa College) (SUB NONE (GM073590))	93 862	52,551
ARRA-San Diego County (20695)	93 712	4,253
ARRA-San Diego County (532635)	93 724	35,679
San Diego Unified School District (SV-11-0788-15-CYC1)	84 351	171,916
San Diego, City of (H094679)	11 473	237,408
San Francisco, City and County (87162)	93 940	(506)
Sanaria Inc. (SUB NONE (AI085740))	93 855	51,269
Sandia Corporation (861096)	81 RD	23,427
Sandia National Laboratories (1002169)	81 RD	45,327
Sandia National Laboratories (1028934)	81 RD	102,938
Sandia National Laboratories (1070209)	81 RD	37,692
Sandia National Laboratories (1078141, 0)	99 RD	10,968
Sandia National Laboratories (1106166)	81 RD	49,988
Sandia National Laboratories (1111359)	99 RD	187,246
Sandia National Laboratories (1120911)	81 RD	14,443
Sandia National Laboratories (32382)	81 RD	10,131
Sandia National Laboratories (32392)	81 RD	135
Sandia National Laboratories (32396)	81 RD	2,591
Sandia National Laboratories (32755)	81 RD	73,767

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Schedule of Expenditures of Federal Awards
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Sandia National Laboratories (826008)	81 RD	118,370
Sandia National Laboratories (85400)	81 RD	(5,393)
Sandia National Laboratories (929885)	81 RD	48,045
Sandia National Laboratories (938661)	81 RD	47,667
Sandia National Laboratories (96066)	81 036	(77)
Sandia National Laboratories (PO#1230107)	99 RD	21,204
Sandia National Laboratories (PO#979058)	99 RD	174,653
Sandia National Laboratories (PO1125677)	81 RD	69,639
Sandia National Laboratories (PO1127619)	81 RD	70,000
Sandia National Laboratories (PO1187336)	99 RD	28,017
Sandia National Laboratories (PO1198504)	99 RD	5,379
Sandia National Laboratories (SUB 1187806 (NONE))	81 RD	14,616
Sandia National Laboratories (SUB 1190425-0 (776622))	20 RD	18,946
Sandia National Laboratories (SUB PO1072148 (NONE))	81 RD	1,926
Sandia National Laboratories (SUB PO1158884(NONE))	81 RD	56,523
Sandia National Laboratories (SUB PO1170183 (NONE))	81 RD	37,738
Sanford-Burnham Medical Research Institute (54992-11459-UCSB-03)	12 420	41,608
Sanford-Burnham Medical Research Institute (54994-11308-UCLA1)	93 853	39,908
Sanford-Burnham Medical Research Institute (56663-11825-UCSF-01)	93 393	254,720
Sanford-Burnham Medical Research Institute (56774-11852-UCSD-01 (HL113601))	93 837	160
ARRA-Sanford-Burnham Medical Research Institute (57126)	93 113	(4,040)
Sanford-Burnham Medical Research Institute (82491)	93 862	(25,059)
Sanford-Burnham Medical Research Institute (87289)	93 113	49,868
Sanford-Burnham Medical Research Institute (SUB 54335-11056-UCSD-04 (ES016))	93 113	11,711
Sanford-Burnham Medical Research Institute (SUB 54339-11067-UCSD-04 (CA135))	93 396	247,654
Sanford-Burnham Medical Research Institute (SUB 55631-115-2-UCSD-02(GM0946))	93 859	94,761
Sanford-Burnham Medical Research Institute (SUB 56344-11738-UCSD-01 (GM098))	93 859	110,720
Sanford-Burnham Medical Research Institute (SUB 56344-11964 UCSD-01 (GM098))	93 859	37,604
Sanford-Burnham Medical Research Institute (SUB 566002-11995-UCSD-01(NS076))	93 853	185,099
Sanford-Burnham Medical Research Institute (SUB 56642-11822-UCSD-01 (NS074))	93 853	120,454
Sanford-Burnham Medical Research Institute (SUB NONE (AI070494))	93 856	26,632
Sanford-Burnham Medical Research Institute (SUB NONE (AI081128))	93 855	29,500
Sanford-Burnham Medical Research Institute (SUB NONE (DK080263))	93 847	7,049
Sanford-Burnham Medical Research Institute (SUB NONE (GM094727))	93 859	88,870
Santa Barbara, County of (20623)	93 RD	44,751
Santa Barbara, County of (20624)	93 RD	28,961
Santa Barbara, County of (20625)	93 243	42,791
Santa Barbara, County of (20641)	93 243	43,943
Santa Barbara, County of (20642)	93 243	47,576
Santa Barbara, County of (20957)	93 RD	395
Santa Barbara, County of (20958)	93 RD	3,215
Santa Barbara, County of (20959)	93 243	1,367
Santa Barbara, County of (20960)	93 243	446
Santa Clara University (SUB NSF072-01 (DEB-0844406))	47 074	23,337
Sc Solutions, Inc. (82862)	12 300	89
Scalable Network Technologies (A072-074-1669)	99 RD	24,609
Schafer Corporation (SC-1133A-01)	81 036	11,937
Scientific Applications & Research Associates Inc. (SUB ARMY47.SC1 (W911SR-12-Scimetrika, LLC (20111834))	12 RD	11,195
Scitor Corporation (UCSD-05-D-0302 TASK ORDER 49)	99 RD	71,273
Scitron Corporation (UCSD-05-D-0302 TASK ORDER 49)	12 630	162,796
Scripps Health (SUB GSM103111001 (HG005747))	93 172	19,611
Scripps Research Institute (20113775)	93 RD	49,864
ARRA-Scripps Research Institute (5-23056-CYC1)	93 701	68,916
Scripps Research Institute (5-23231)	93 855	32,930
Scripps Research Institute (5-23270 (RR025774))	93 371	310,092
Scripps Research Institute (5-23317)	93 855	397,312
Scripps Research Institute (523573)	93 859	72,920

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Scripps Research Institute (5-23817)	93 310	154,496
Scripps Research Institute (5-24429-CYC1)	93 853	305,175
Scripps Research Institute (78889)	93 853	6,165
Scripps Research Institute (82978)	47 049	(4,997)
Scripps Research Institute (83124)	93 839	(255)
Scripps Research Institute (83224)	93 279	(201)
ARRA-Scripps Research Institute (83235)	93 701	(235)
Scripps Research Institute (83249)	93 839	20,183
Scripps Research Institute (83310)	93 862	(18,396)
Scripps Research Institute (83356)	93 371	(6,814)
Scripps Research Institute (87271)	93 279	1,646
Scripps Research Institute (87402)	93 862	(7,998)
Scripps Research Institute (87853)	93 RD	(32,389)
Scripps Research Institute (P.O.#5-23332)	93 859	42,374
Scripps Research Institute (SUB 5-20024 (AA013517))	93 273	6,457
Scripps Research Institute (SUB 5-20105 (DA031566))	93 279	80,484
Scripps Research Institute (SUB 5-21765 (GM094586))	93 862	4,299
ARRA-Scripps Research Institute (SUB 5-23210 (AG007996) ARRA)	93 701	64,157
Scripps Research Institute (SUB 5-23255 (AG31097))	93 866	141,334
Scripps Research Institute (SUB 5-23311 (HL031950))	93 RD	409,286
Scripps Research Institute (SUB 5-23372 (TR000109))	93 371	11,371
Scripps Research Institute (SUB 5-23387 (AG007996))	93 866	18,159
Scripps Research Institute (SUB 5-23544 (GM062116))	93 859	118,786
ARRA-Scripps Research Institute (SUB 5-23558 (CA143906) ARRA)	93 701	54,782
Scripps Research Institute (SUB 5-23814 (CA143906))	93 395	70,257
Scripps Research Institute (SUB 5-23853 (EY022025))	93 867	123,879
Scripps Research Institute (SUB 5-23854 (EY022025))	93 867	41,520
Scripps Research Institute (SUB 5-24269 (AI081982))	93 862	35,353
Scripps Research Institute (SUB 5-24317 (GM080301))	93 859	12,249
Scripps Research Institute (SUB 5-24386 (AI081982))	93 862	58,863
Scripps Research Institute (SUB 5-24442 (GM094586))	92 862	796,434
Scripps Research Institute (SUB 5-75499 (MCB-1121959))	47 074	51,908
Seacoast Science, Inc. (SUB 09-1215SC (FA9550-10-C-001))	12 800	168,584
Seashell Technology LLC (83254)	93 242	(298)
Seattle Biomedical Research Institute (UCS-1031)	93 855	52,046
ARRA-Seeo, Inc. (20110555)	81 049	70,679
Semiconductor Research Corporation (2007-VJ-1631)	47 RD	41,112
Semiconductor Research Corporation (2008-NE-1462F)	99 RD	248,990
Semiconductor Research Corporation (2011-TJ-2178)	12 910	59,462
Sener Engineering and Systems, Inc. (HEL-SR-CA-0001)	81 087	73,729
ARRA-Sepulveda Research Corporation (H-UCLA-2)	93 701	9,198
Sepulveda Research Corporation (SZ003-UC04)	93 242	4,741
Sequoia Foundation (UCSF-9041-02)	93 RD	(1,857)
Seti Institute (08-SC-1044-002)	43 001	9,152
Seti Institute (NNX08AO19G08001)	43 RD	10,860
ARRA-Siena College (OGFR 09-09-004)	47 082	151,431
Sigma Space Corporation (S09112-01)	43 RD	25,149
Sigma Space Corporation (S09112-01)	43 RD	14,630
Silent Spring Institute (029746)	93 113	32,006
Sitka Sound Science Center (20114438)	11 454	63,612
Slac National Accelerator Laboratory (33529)	81 RD	36,200
ARRA-Slippery Rock University Foundation, Inc. (UCSC-001)	47 074	10,390
ARRA-Smiths Detection (Great Britain) (4800019968)	93 701	90,788
Social and Scientific Systems, Inc. (001825)	93 855	33,083
Social and Scientific Systems, Inc. (57706)	93 856	(1,429)
Social and Scientific Systems, Inc. (72008)	93 242	(13,329)
Social and Scientific Systems, Inc. (72087)	93 855	5,320

The accompanying notes are an integral part of this schedule.

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Schedule of Expenditures of Federal Awards
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Social and Scientific Systems, Inc. (77794)	93 855	(28,503)
Social and Scientific Systems, Inc. (82547)	93 856	(4,403)
Social and Scientific Systems, Inc. (82548)	93 856	(10,228)
Social and Scientific Systems, Inc. (82573)	93 856	197,777
Social and Scientific Systems, Inc. (82582)	93 856	381,719
Social and Scientific Systems, Inc. (83008)	93 856	(4,844)
Social and Scientific Systems, Inc. (83207)	93 856	(12,076)
Social and Scientific Systems, Inc. (83211)	93 855	(72)
Social and Scientific Systems, Inc. (83302)	93 856	(127)
ARRA-Social and Scientific Systems, Inc. (83334)	93 701	88
Social and Scientific Systems, Inc. (84518)	93 RD	37,541
Social and Scientific Systems, Inc. (84804)	93 RD	(664)
Social and Scientific Systems, Inc. (87569)	93 242	277,403
Social and Scientific Systems, Inc. (87615)	93 242	12,072
Social and Scientific Systems, Inc. (89650)	93 242	197,669
Social and Scientific Systems, Inc. (BRSACUREQ0600137T002)	93 855	(3,911)
Social and Scientific Systems, Inc. (BRS-ACURE-Q-06-00138-T001)	93 855	268,503
Social and Scientific Systems, Inc. (BRS-ACURE-Q-06-00138-T003)	93 855	427,178
Social and Scientific Systems, Inc. (BRS-ACURE-Q-06-00138-T006)	93 855	27,802
ARRA-Social and Scientific Systems, Inc. (BRS-ACURE-Q-06-00167-T006)	93 701	19,985
Social and Scientific Systems, Inc. (BRSACURES11000034001216)	93 855	314,938
Social and Scientific Systems, Inc. (BRSACURES11000034001316)	93 855	43,834
Social and Scientific Systems, Inc. (BRS-ACURE-S-11-000035-001312)	99 RD	30,427
Social and Scientific Systems, Inc. (BRS-ACURE-S-11-000035-001322)	99 RD	38,137
Social and Scientific Systems, Inc. (BRS-ACURE-S-11-000035-001664)	93 855	24,680
Social and Scientific Systems, Inc. (BRS-ACURE-S-11-000065-001209)	93 855	270,703
Social and Scientific Systems, Inc. (BRS-ACURE-S-11-000065-001658)	93 855	22,387
Social and Scientific Systems, Inc. (BRS-ACURE-S-11-000065-002059)	93 855	1,547
Social and Scientific Systems, Inc. (BRS-ACURE-S-12-000035-002384)	93 855	14,207
Social and Scientific Systems, Inc. (BRS-ACURE-S-12-000065-002305)	93 855	315
Social and Scientific Systems, Inc. (BRSACURES-12-35-2513)	93 855	166
Social and Scientific Systems, Inc. (BRS-IMPCT-Q-06-00119-T001)	93 RD	104,728
Social and Scientific Systems, Inc. (BRS-IMPCT-Q-06-00119-T002)	93 855	156,518
Social and Scientific Systems, Inc. (BRS-IMPCT-Q-06-00119-T006)	93 242	(1,185)
Social and Scientific Systems, Inc. (BRS-IMPCT-S-11-000015-001426)	93 242	26,305
Social and Scientific Systems, Inc. (BRS-IMPCT-S-11-000015-001682)	93 242	2,876
Social and Scientific Systems, Inc. (BRS-IMPCT-S-11-000015-001983)	93 242	7,658
Social and Scientific Systems, Inc. (BRS-IMPCT-S-11-000016-001257(A))	93 242	244
Social and Scientific Systems, Inc. (BRS-IMPCT-S-11-000016-001668 ())	93 242	40,420
Social and Scientific Systems, Inc. (BRS-IMPCT-S-11-000016-002012(A))	93 242	18,794
Social and Scientific Systems, Inc. (BRS-IMPCT-S-11-000016-002140(A))	93 242	14,878
Social and Scientific Systems, Inc. (BRS-IMPCT-S-11-00017-001494)	99 RD	68,433
Social and Scientific Systems, Inc. (BRS-IMPCT-S-11-00017-001685)	99 RD	138,705
Social and Scientific Systems, Inc. (BRS-IMPCT-S-12-000016-002257 ())	93 242	23,996
Social and Scientific Systems, Inc. (CRB-AR500-S-10-00166)	93 RD	60,171
ARRA-Social and Scientific Systems, Inc. (CRB-DCR01-S-00295 TASK ORDER 0)	99 RD	770
ARRA-Social and Scientific Systems, Inc. (CRB-DCR-01-S-09-00295)	99 RD	18,155
ARRA-Social and Scientific Systems, Inc. (CRB-SSS-S-12-00237002)	99 RD	45,439
Social and Scientific Systems, Inc. (CRB-UCLA1-S-10-00026)	93 837	7,462
Social and Scientific Systems, Inc. (CRB-UCLA1-S-10-00046)	93 RD	1,013
Social and Scientific Systems, Inc. (CRB-UWAS1-S-11-00019)	93 RD	1,145
Social and Scientific Systems, Inc. (SUB 11-000057-002078 (AI068636))	93 855	11,574
Social and Scientific Systems, Inc. (SUB BRA-ACURE-S-11-000057-0014)	93 855	200,000
Social and Scientific Systems, Inc. (SUB BRS-ACURE-S-11-000057-0012)	93 855	57,241
ARRA-Social and Scientific Systems, Inc. (SUB BRS-IMPACT-Q-00118-TO18AR)	93 701	42,644
Social and Scientific Systems, Inc. (SUB BRS-IMPCT -S-11-000016-001)	93 242	33,740
Social and Scientific Systems, Inc. (SUB BRS-IMPCT-S-11-000016-0012)	93 242	182,282

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Social and Scientific Systems, Inc. (SUB BRS-IMPCT-S-11-000016-0015)	93 242	11,844
Social and Scientific Systems, Inc. (SUB BRS-IMPCT-S-11-000016-0017)	93 242	5,487
Social and Scientific Systems, Inc. (SUB CRB-DCR01-S-09-00299 (HHSN)	93 856	(3,174)
Social and Scientific Systems, Inc. (SUB CRB-DCR01-S-09-00299(HHSN2)	93 856	3,076
ARRA-Social and Scientific Systems, Inc. (SUBCRB-DCR01-S-09-00299(HHSN2A)	93 RD	13,450
Society of Hospital Medicine (UCSF#A117731)	99 RD	593
Sofie Biosciences, Inc. (20104649)	99 RD	34,420
Soil Born Farms Urban Agriculture Project (200911364)	10 225	4,072
Solarmer Energy, Inc. (77758)	99 RD	(22,347)
Solidica, Inc. (78599)	12 RD	109
Solidica, Inc. (87170)	12 RD	349
ARRA-Solidus Biosciences, Inc. (20091928)	47 RD	2,495
Sonoma Ecology Center (20110725CALCCSUBUCDICE)	15 669	17,078
South Carolina Research Authority (82894)	12 300	23,653
Southern California Association of Governments (09-031-C1)	20 RD	412,311
Southern California Coastal Water Research Project (8236)	66 456	375
Southern California Edison Company (4500477552-CYC1)	81 122	72,107
Southern Medical University (SMU-52201-CYC1)	93 855	6,254
Southern Methodist University (AS108 PINON)	12 431	72,740
Southern Methodist University (SUB G000986-7515 (DA027533))	93 279	41,383
Southwest Research Institute (699046X)	99 RD	5,434
Southwest Research Institute (B99021LM)	47 RD	13,871
Southwest Research Institute (D99005L)	43 RD	130,215
Southwest Research Institute (E62145J)	43 001	11,112
Southwest Sciences, Inc. (018622-004)	12 RD	38,911
Southwest Sciences, Inc. (026193)	12 RD	8,457
Space Micro Inc. (SUBPO3162 (06132011-015))	12 300	22,842
Special Services for Groups (4550)	93 243	55,860
Spectral Sciences, Inc. (3250-001-47-CYC1)	12 431	13,336
Spectral Sciences, Inc. (33532)	12 RD	2,496
Spi Surgical, Inc. (SC-11-81)	99 RD	78,383
ARRA-Sri International (03-000077)	93 701	51,432
Sri International (107000052)	12 RD	38,874
Sri International (109-000002)	43 001	26,866
Sri International (124-000008)	81 RD	83,172
Sri International (19-000200)	12 910	111,937
Sri International (27-001276)	93 859	144,016
Sri International (51-001136)	84 324	231,445
Sri International (51-001228)	84 324	100,447
Sri International (58705)	99 RD	(72)
Sri International (59-001631)	43 RD	25,412
Sri International (59-001631)	43 RD	77,625
Sri International (69-000531)	99 RD	73,454
Sri International (69-000539-CYC1)	15 RD	164,365
Sri International (76000011)	93 394	22,583
Sri International (SUB 124-000018(NONE))	93 RD	5,471
St. Joseph's Hospital and Medical Center (Phoenix, AZ) (20092736)	93 855	35,301
St. Jude Children's Research Hospital (110068110-7328180)	93 395	28,376
St. Jude Children's Research Hospital (11068120-7374721-CAPITATION BU)	93 395	58,715
St. Jude Children's Research Hospital (111287180-7466335)	93 395	555
St. Jude Children's Research Hospital (111401040-7429621)	99 RD	185,400
St. Jude Children's Research Hospital (111401050-7448285)	93 856	48,201
St. Jude Children's Research Hospital (111594010-7388219)	93 859	20,307
ARRA-St. Jude Children's Research Hospital (111710010-7404307)	93 701	118,009
ARRA-St. Jude Children's Research Hospital (71431)	93 701	(1,956)
ARRA-St. Jude Children's Research Hospital (71502)	93 701	(303)
ARRA-St. Jude Children's Research Hospital (71503)	93 701	(301)

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
St. Jude Children's Research Hospital (7219556)	93 847	36,132
St. Jude Children's Research Hospital (7245916)	93 855	235,994
St. Lukes-Roosevelt Institute for Health Sciences (20094844)	93 867	3,320
ARRA-St. Lukes-Roosevelt Institute for Health Sciences (80241)	93 867	(1,658)
ARRA-St. Lukes-Roosevelt Institute for Health Sciences (87980)	93 701	(793)
ARRA-St. Lukes-Roosevelt Institute for Health Sciences (ARRA200912084)	93 701	11,685
Stanford University (005382)	93 847	78,631
Stanford University (105193)	99 RD	29,224
Stanford University (105193-001)	99 RD	15,475
Stanford University (16165880-26967-G)	99 RD	87,334
Stanford University (19961890-26345-D)	93 279	104,592
Stanford University (20031990-36644-A)	12 RD	(78)
Stanford University (20385970-38185-A)	47 074	298,770
Stanford University (21054650-8167-D-006)	93 172	245,764
Stanford University (22034000-30572-B)	93 394	182,865
Stanford University (22178970-41070-F)	12 800	330,627
Stanford University (22250400-42533-B)	47 041	97,359
Stanford University (23152270-38985-A)	93 396	150,000
Stanford University (2358773041773C)	93 399	45,236
Stanford University (23788970-45510-B)	93 866	(14,420)
Stanford University (23971010-40005-A)	93 855	192,479
Stanford University (24065240-43790-A-004)	43 001	59,235
Stanford University (24095120-43024-A)	93 394	20,649
Stanford University (2414290043993A)	47 041	92,776
Stanford University (2432011045900A)	81 049	79,886
Stanford University (24405690-45324-B)	93 242	33,903
Stanford University (2440664045324ACB)	93 242	7,132
Stanford University (24421840-44895-B)	12 800	354,250
Stanford University (24493120-41077-C-02)	93 867	48,545
Stanford University (24811440-43744-A)	93 172	168,823
Stanford University (25002140-30501-L)	93 859	162,307
Stanford University (2545377039411D)	12 800	111,167
Stanford University (25479840-47135-A)	12 RD	1,419,984
Stanford University (25515020-46281-B)	43 RD	348,798
Stanford University (25530830-48810-A (N00014-10-1-))	12 300	45,382
Stanford University (25638380-46204-A)	93 855	3,397
Stanford University (25847520-45119-A)	93 853	51,577
Stanford University (25971330-50204-B)	12 910	90,832
Stanford University (26409830-44915-A)	43 RD	15,378
Stanford University (26438140)	47 074	420,576
Stanford University (26590470-44290-A)	93 286	126,487
Stanford University (26675770-49878-B)	93 822	248,897
Stanford University (26697290-47281-A)	93 397	209,581
Stanford University (26723560-47281-D)	93 397	140,211
Stanford University (26990970-48060-A)	93 847	119,327
ARRA-Stanford University (27542080-18591-C)	99 RD	7,228
Stanford University (27917990-51987-8)	99 RD	204,830
Stanford University (27935290-47935-A)	20 200	39,315
Stanford University (28139880-50542-C)	47 041	422,909
Stanford University (28255440-46600-A)	12 RD	92,529
Stanford University (28291250-45510-E)	93 866	156,132
Stanford University (28361770-3222-E)	93 853	219,951
Stanford University (28906850-105205A)	47 070	51,092
Stanford University (28915360-48844-B)	93 262	134,114
Stanford University (29017650-51649-B)	12 800	8,364
Stanford University (29182280-51677-C)	12 800	2,982
Stanford University (29200920-50890-B)	93 184	31,895

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Stanford University (57960)	93 849	(2,649)
Stanford University (58179)	93 397	49,401
Stanford University (59722)	93 859	12,159
Stanford University (59723)	93 395	233
Stanford University (60002619-51643-A)	93 394	6,095
Stanford University (60013110-46433-A)	93 286	7,064
Stanford University (83138)	93 849	(99)
Stanford University (94814)	93 242	395
Stanford University (PY-1775-19)	43 RD	288,837
Stanford University (SUB 22179560-41070-D (FA9550-0))	12 800	172,950
Stanford University (SUB 24813100-43926-A (DE-SC000))	81 049	65,186
Stanford University (SUB 27918460-51987-C (N6601-11))	12 910	117,795
Stanford University (SUB NONE (HL086879))	93 RD	54,381
Stanford University (UCSF#A115710)	93 855	62,995
ARRA-State of Washington (ARRAF1152112007)	81 RD	3,305
State of Washington (K518)	10 RD	51,473
State University of New York (004979)	10 025	45,220
State University of New York (110108252451792)	93 847	189,594
State University of New York (54064)	12 910	129,155
State Water Resources Control Board (32926)	66 454	4,675
Stemrd Inc. (UCSF#A117551)	12 RD	10,140
Steward Research and Specialty Projects Corporation (029689)	43 RD	169,492
Stone Aerospace/PSC, Inc. (SC-12-32)	43 001	11,346
Stony Brook University (a State University of New York Campus) (SUB 1087677-2-	12 800	62,254
Stony Brook University (a State University of New York Campus) (SUB 51055 (DE-	81 049	176,778
Stony Brook University (a State University of New York Campus) (SUB 55414 (AA	93 273	553,895
Structured Materials Industries, Inc. (41876)	99 RD	87,824
Structured Materials Industries, Inc. (41889-001)	99 RD	30,515
Sun MicroSystems Laboratories, Inc. (2008-2937)	12 910	159,178
Superconductor Technologies, Inc. (Santa Barbara, Ca) (SUB 0050-UCSD (FA9550-1	12 800	35,022
Superconductor Technologies, Inc. (Santa Barbara, Ca) (SUB 0065-UCSD (NONE))	12 800	51,052
Swog (012258)	93 395	400,579
Swog (SWOG-41803-CYC3)	93 395	9,475
Symplectic Engineering Corporation (026838)	12 RD	97,120
Synthia LLC (200910971)	93 113	59,189
Syracuse University (83888)	84 133	(281)
Tahoe Institute for Rural Health Research (33051)	47 041	83,035
Tahoe Regional Planning Agency (TRPA) (11C00036)	15 RD	46,983
Tahoe Rf Semiconductor, Inc. (SUB 20104042 (N66001-11-C-5204)	12 910	45,453
Tanner Research, Inc. (UCLA AF0349)	99 RD	27,196
Tanner Research, Inc. (UCSB FA9550-09-C-0161)	12 800	11,123
Targeson, LLC (SUB 20104043(DK083142))	93 847	79,163
Targeson, LLC (SUB NONE (HL110496))	93 837	48,235
Tda Research, Inc. (GA.2610.UCI.11.01-CYC1)	81 089	3,060
Tda Research, Inc. (UCI-09.1-CYC2)	81 089	36,274
Techniscan Medical Systems, Inc. (SUB NONE (CA110203))	93 395	23,816
Tech-X Corporation (SUB NONE (DE-SC0000832))	81 RD	63,412
Telcordia Technologies, Inc. (20007919)	12 RD	207
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (5973	12 RD	2,438
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (8711	12 910	5,989
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (9479	12 300	821
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (B6U5	12 RD	278,900
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (B9U5	12 RD	140,270
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (B9U5	12 RD	522,855
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (G8U5	12 RD	718,466
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (G8U5	12 RD	74,692
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (G9U5	12 RD	493,663

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (SUB	12 910	33,130
Teledyne Technologies Inc. (incl Rd instruments,microwave,wireless,etc.) (SUB	12 910	70,812
Temple University (330162-18110)	47 047	11,280
Temple University (360502723CC0141411360415047200)	93 389	44,945
Temple University (360525-04800-02)	93 865	1,051
Temple University (58293)	93 865	17,548
Temple University (CC0162875/P0087280)	12 351	221,954
Terrafore, Inc. (TRFR-UCR-TES-08-02)	81 087	20,144
Terralog Technologies, Inc. (TT-52433-CYC1)	81 087	73,738
Texas A&M Research Foundation (570313)	93 RD	112,101
Texas A&M Research Foundation (87236)	93 859	20,585
Texas A&M Research Foundation (S110049)	20 701	70,000
ARRA-Texas A&M Research Foundation (SUB S090074 (NS039546))	93 853	7,042
Texas A&M Research Foundation (UCSF#A118672)	12 420	2,132
Texas A&M University - College Station (033015)	81 087	8,767
Texas A&M University - College Station (570315)	93 855	953
Texas A&M University - College Station (570705)	97 061	38,000
Texas A&M University - College Station (87155)	97 061	17,925
Texas A&M University - College Station (A5933)	12 800	198,267
Texas A&M University - College Station (C10-00216)	81 RD	144,570
Texas A&M University - College Station (S100043-CYC1)	10 310	6,356
Texas A&M University - College Station (S110075)	10 310	762,203
Texas A&M University - College Station (S110097)	99 RD	27,753
Texas Agrilife Research (004539)	10 309	82,558
Texas Agrilife Research (570706)	97 061	76,341
Texas Biomedical Research Institute (Texas Biomed) (10-1994.003)	93 242	(1,679)
Texas Southern University (32860)	10 RD	4,433
Texas Southern University (SC-10-05-005)	99 RD	102,877
Texas Technical University (21A24203)	10 206	43,855
The College of New Jersey (F1224UCI-CYC1)	47 074	17,281
The Hope Foundation (CA37429)	93 399	24,867
The University of Alabama (Tuscaloosa) (000189671-211)	99 RD	770
ARRA-The University of Alabama (Tuscaloosa) (000259927-010)	93 701	86,911
The University of Alabama (Tuscaloosa) (000264989-003)	93 855	(3)
The University of Alabama (Tuscaloosa) (000331232-001)	93 837	17,548
ARRA-The University of Alabama (Tuscaloosa) (000341581-001-CYC2)	93 701	91,878
The University of Alabama (Tuscaloosa) (000342391-002-CYC2)	93 865	78,925
The University of Alabama (Tuscaloosa) (000388010-002)	99 RD	262,622
The University of Alabama (Tuscaloosa) (000388010-008)	93 865	31,161
The University of Alabama (Tuscaloosa) (000388010-017)	93 242	74,597
The University of Alabama (Tuscaloosa) (000388010-019 (HD040533))	93 865	63,327
The University of Alabama (Tuscaloosa) (000401487-001)	93 855	70,817
The University of Alabama (Tuscaloosa) (000419712-001-CYC1)	43 002	81,260
The University of Alabama (Tuscaloosa) (20092709)	99 RD	(151)
The University of Alabama (Tuscaloosa) (82597)	93 242	(15,836)
The University of Alabama (Tuscaloosa) (DE-AR0000189)	81 049	102,970
The University of Alabama (Tuscaloosa) (P01ES011617COREB)	93 RD	52,734
The University of Alabama (Tuscaloosa) (SUB 000274520-001(HD053074))	93 279	24,348
ARRA-The University of Alabama (Tuscaloosa) (SUB 000318181 (DK079337))	93 849	384,520
The University of Alabama (Tuscaloosa) (SUB 000342391-014 (HD061222))	93 865	80,184
The University of Alabama (Tuscaloosa) (SUB 000356969-001 (HL092624))	93 389	1,356
The University of Alabama (Tuscaloosa) (SUB 000357678-002 (AR057954))	93 846	138,395
The University of Alabama (Tuscaloosa) (SUB 000380191-002 (AG021927))	93 866	15,932
The University of Alabama (Tuscaloosa) (SUB 000397076-006 (AI067039))	93 855	311,875
The University of Alabama (Tuscaloosa) (SUB 000397076-015 (AI067039))	93 855	13,848
ARRA-The University of Alabama (Tuscaloosa) (SUB NONE (AI067039) ARRA)	93 701	(11,188)
The University of Alabama (Tuscaloosa) (SUB NONE (AI067039))	93 855	74,614

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
ARRA-The University of Alabama (Tuscaloosa) (SUB000259927-017(AI067039)ARRA)	93 701	29,236
The University of Alabama (Tuscaloosa) (SUBCODE:005)	93 855	345,552
The University of Alabama (Tuscaloosa) (UA12004)	93 865	15,533
The University of Alabama (Tuscaloosa) (UCSF#A110719)	93 279	5,562
The Xerces Society (20092280)	10 RD	8,861
Think Together (TT-45862-CYC3)	84 RD	37,375
Thomas Jefferson University (SUB 080-30000-R99001 (CA140339)	93 394	48,270
Thomson Reuters (83261)	93 RD	(5)
Tourette Syndrome Association, Inc. (20074101)	93 853	426
Tourette Syndrome Association, Inc. (80550)	93 853	25,829
Tourette Syndrome Association, Inc. (UCSF#A108428)	93 853	60,668
ARRA-Tourette Syndrome Association, Inc. (UCSF#A113969)	93 701	26,624
Touro College (1R01DK089216-01-PEDIATRICS END)	93 847	371,014
Touro College (1R01DK089216-01-RADIOLOGY-UCSF)	93 847	47,872
Touro College (R01DK07833-01-SFGH-UCSF)	93 RD	40,976
Touro College (TOUROUNIV00002)	99 RD	45,390
Toyon Research Corporation (SB120122)	12 300	5,391
Toyon Research Corporation (SC11-5774-1)	12 300	40,993
Toyon Research Corporation (SUB SC11-6761-2 (N00014-11-C-0)	12 300	22,193
Translational Genomics Research Institute (80090)	93 172	1,454
Transphorm, Inc. (SB110193)	12 RD	138,450
ARRA-Triple Ring Technologies Inc. (NIH01-UCSF1)	93 701	15,139
Trius Therapeutics Inc. (HDTRA1-10-C-0004)	12 300	432,752
Trius Therapeutics Inc. (SUB 20110266(HDTRA1-10-C-0004))	12 RD	49,248
ARRA-Trudeau Institute, Inc. (20092370)	93 701	32,310
Trudeau Institute, Inc. (201119407)	93 RD	24,032
ARRA-Trudeau Institute, Inc. (80635)	93 701	(2,740)
Tuba City Regional Health Care Corporation (UCSF#A114399)	93 RD	9,517
Tufts University (incl Medical Center) (HS2800)	93 853	7,103
Tufts University (incl Medical Center) (SUB 5005009-SERV (AG027002))	93 866	18,376
Tufts University (incl Medical Center) (SUB NONE (NS0365424))	93 853	20,861
Tulane University (544888)	93 865	21,665
Tulane University (SUB TUL-HSC-189-09/10(HHSN2722)	93 RD	295,822
ARRA-Tulane University (SUBTUL-HSC-238-10/11(HD05210A)	93 701	409,726
Tulane University (TUL-589-09/10)	12 431	451,135
Tunitas Therapeutics (20104491)	93 855	25,206
Tunitas Therapeutics (20104495)	93 855	(4,141)
Turner Consulting Group, Inc. (SUB 20123138 (HHSN268201100090)	93 RD	14,605
Turner Consulting Group, Inc. (SUB NONE(HHSN-268-2006-7-4281C)	93 RD	19,424
Turner Consulting Group, Inc. (SUB UCSD-09202008-02-TCG (NIH))	93 RD	41,901
U.S. Civilian Research & Development Foundation (59946)	99 RD	6,367
U.S. Civilian Research & Development Foundation (GTR-G7-044-01)	99 RD	36,279
U.S. Civilian Research & Development Foundation (RUG1-2977-NN-10)	47 079	10,015
U.S. Civilian Research & Development Foundation (UKE2-7035-KV-11)	47 079	83
U.S. Civilian Research & Development Foundation (USX0-20613-US-08-03)	99 RD	21,373
U.S. Israel Binat'l Agricultural Research and Dev Fund (26704)	99 RD	(14)
Ues, Inc. (P845)	12 RD	15,532
Ues, Inc. (S-875-070-003)	12 800	70,005
Ues, Inc. (SUB S-932-17-MR004 (FA8650-11-)	12 800	19,305
Ues, Inc. (SUBS-875-110-007(FA8650-09-D-5)	12 800	38,017
Uganda Virus Research Institute (UCSF#A118776)	93 067	21,173
ARRA-Ultramet (PO 6827)	99 RD	81,713
Unavco, Inc. (CA-NNX10AF0070A-S2)	43 RD	102,244
Unavco, Inc. (EAR-0732947-01)	47 050	350,091
Unavco, Inc. (EAR-0732947-07)	47 RD	145,927
Unavco, Inc. (EAR-0735156-02)	47 050	52,383
Unavco, Inc. (GEO-0914704-S2-002)	47 050	1,967

The accompanying notes are an integral part of this schedule.

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	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Unavco, Inc. (SUB CA10 (NNX10AF12A-S2))	43 RD	247,788
Uncf Special Programs Corporation (SC-12-17)	43 RD	8,500
Unison Resource Company (33545)	10 912	33,896
ARRA-United Technologies Corp (Intl Fuel Cells & United Tech Res Ctr, Sikorsky	12 910	45,308
United Technologies Corp (Intl Fuel Cells & United Tech Res Ctr, Sikorsky) (11	12 910	124,137
Universal Technology Corporation (87486)	12 RD	55,744
Universal Technology Corporation (94928)	12 RD	11,312
Universidade Eduardo Mondlane (Mozambique) (SUB CC002FUEM2010 (TW008908))	93 989	799,404
Universidade Eduardo Mondlane (Mozambique) (SUB CC003FUEM2010 (TW008910))	93 989	169,511
ARRA-Universities Research Association (operates Fermilab) (592520)	99 RD	15,851
Universities Research Association (operates Fermilab) (604071)	99 RD	43,371
Universities Research Association (operates Fermilab) (PO #596112)	99 RD	45,299
Universities Research Association (operates Fermilab) (PO #601656)	99 RD	164,143
Universities Research Association (operates Fermilab) (PO#603654)	99 RD	106,643
Universities Research Association (operates Fermilab) (PO#605974)	99 RD	48,798
Universities Space Research Association (08042-01)	99 RD	10,710
Universities Space Research Association (08521-014)	99 RD	27,327
Universities Space Research Association (09940-072-02)	43 RD	10,806
Universities Space Research Association (09960-03)	43 RD	5,061
Universities Space Research Association (59881)	43 RD	22,528
Universities Space Research Association (URSA-8500-05)	99 RD	434,404
University and Community College System of Nevada (004607)	10 310	3,473
University and Community College System of Nevada (08-74-CYC2)	20 200	30,342
University and Community College System of Nevada (09-12)	93 398	35,277
University and Community College System of Nevada (10-693C-00)	77 006	17,561
University and Community College System of Nevada (32939)	98 RD	9,266
University and Community College System of Nevada (656.9011)	43 RD	13,364
University and Community College System of Nevada (SUB 1320-117-13JX/ (DTFH61-	20 200	20,991
University and Community College System of Nevada (UNR-06-28 / PO 16BB150676)	47 041	12,248
University and Community College System of Nevada (UNR-10-13 PO-10BP171552)	47 074	1,086
University and Community College System of Nevada (UNR1034)	10 652	9,542
University and Community College System of Nevada (UNR-10-70)	15 807	18,088
University and Community College System of Nevada (UNR1119)	93 RD	10,302
University and Community College System of Nevada (UNR-11-67)	47 041	90,997
University at Buffalo (a State University of New York Campus) (R566186)	47 041	100,547
University Corporation for Atmospheric Research (S0867884)	11 431	47,155
University Corporation for Atmospheric Research (Z11-92258)	43 RD	1,451
University Corporation for Atmospheric Research (Z11-94988)	47 050	22,348
University Corporation for Atmospheric Research (Z12-91844)	99 RD	28,316
University Health Network (Canada) (1)	93 847	11,519
University of Alaska (incl Anchorage, Fairbanks, & Southeast Campuses) (09-002	12 431	144,819
University of Alaska (incl Anchorage, Fairbanks, & Southeast Campuses) (09-002	12 431	267,635
University of Alaska (incl Anchorage, Fairbanks, & Southeast Campuses) (63115)	11 430	5,405
University of Alaska (incl Anchorage, Fairbanks, & Southeast Campuses) (UAF 10	11 430	12,784
University of Alaska (incl Anchorage, Fairbanks, & Southeast Campuses) (UAF 10	11 432	3,781
University of Alaska (incl Anchorage, Fairbanks, & Southeast Campuses) (UAF100	12 431	67,960
University of Arizona (004563)	10 303	24,722
University of Arizona (004666)	12 RD	13,589
University of Arizona (004682)	10 200	24,574
University of Arizona (1R01AA02027001A1)	93 273	50,810
University of Arizona (86298)	10 303	(2)
University of Arizona (SUB Y600235(EF-0735191))	47 074	123,699
University of Arizona (SUB (NONE) EMW-2009-FP-00343)	97 044	30,500
University of Arizona (SUB 2011 3430 (CMMI 1135033))	47 041	6,360
University of Arizona (SUB 27590 (CA153086))	93 393	37,515
University of Arizona (SUB Y502629 (EEC-0812072))	47 041	1,010,235
University of Arizona (SUB Y550113 (RA231-G4))	12 910	86,387

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Arizona (SUB Y603212 (1032468))	47 080	41,136
University of Arizona (Y410677-14)	47 076	4,050
University of Arizona (Y501118)	47 041	169,581
University of Arizona (Y502538)	93 855	180,504
University of Arizona (Y503105-4)	43 002	12,205
University of Arizona (Y503161)	47 071	319,771
University of Arizona (Y503223-CYC7)	97 061	107,544
University of Arizona (Y550560)	47 074	1,573
University of Arizona (Y551863)	47 074	80,033
University of Arizona (Y553520)	47 074	384,636
University of Arizona (Y553697)	11 440	11,097
University of Arizona (Y554540)	93 866	12,292
University of Arizona (Y560260)	93 172	215,783
University of Arizona (Y561460-CYC2)	12 RD	191,817
University of Arizona (Y561461)	15 820	75,049
University of Arizona (Y561462)	15 820	39,461
University of Arizona (Y561548)	93 866	382,361
University of Arizona (Y561946)	15 820	36,341
University of Arizona (Y561985)	47 RD	73,664
University of Arizona (Y562139)	93 173	60,568
University of Arizona (Y562553)	47 074	132,116
University of Arkansas (UAAES9095702)	10 200	30,164
University of Auckland (New Zealand) (20062048)	93 837	113,628
University of Bern (032503)	93 262	9
University of British Columbia (Canada) (59846)	12 420	686
University of British Columbia (Canada) (F09-05204)	93 853	5,756
University of British Columbia (Canada) (SUB F09-05198 (NS038529))	93 853	54,833
University of Central Florida (004518)	81 049	172,781
University of Central Florida (108603)	12 431	41,535
University of Central Florida (24086093-01)	43 001	17,912
University of Central Florida (24086097-01)	47 049	1,725
University of Central Florida (83081)	93 850	(904)
University of Chicago (31738)	47 075	19,256
University of Chicago (34252-A)	47 078	365,271
University of Chicago (34252D)	47 078	64,830
University of Chicago (36878-3-CYC1)	93 865	90,405
University of Chicago (37774)	93 393	431,248
University of Chicago (39633)	81 112	82,336
University of Chicago (40692)	43 RD	2,131
University of Chicago (42038A)	93 866	123,659
University of Chicago (42038-B)	93 866	18,374
ARRA-University of Chicago (42938-E)	93 701	43,960
University of Chicago (46407-A)	93 647	107,543
University of Chicago (47695-A)	99 RD	19,035
University of Chicago (5764-EUREKAYR1)	93 866	24,000
University of Chicago (79054)	93 859	3,291
University of Chicago (80494)	93 866	222,673
ARRA-University of Chicago (83205)	93 701	(1,359)
University of Chicago (FP047994-A)	93 310	508,629
University of Chicago (R01MH093605)	93 RD	86,857
University of Chicago (SUB 34588 (HG004264))	93 172	28,175
University of Chicago (SUB 39778-5-30823 (AI57153))	93 855	32,521
University of Chicago (SUB 41994-J (OCI-932251))	47 080	1,638,929
University of Cincinnati (incl College of Medicine, Emcreg Intl) (005887-CYC2)	93 213	12,077
ARRA-University of Cincinnati (incl College of Medicine, Emcreg Intl) (006467)	93 701	17,209
University of Cincinnati (incl College of Medicine, Emcreg Intl) (006883)	93 853	3,115
University of Cincinnati (incl College of Medicine, Emcreg Intl) (72423)	99 RD	16,765

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Cincinnati (incl College of Medicine, Emcreg Intl) (COEUS # 7753	93 853	11,561
University of Cincinnati (incl College of Medicine, Emcreg Intl) (COEUS# 00352	93 853	50,975
University of Cincinnati (incl College of Medicine, Emcreg Intl) (COEUS# 00569	93 853	3,704
University of Cincinnati (incl College of Medicine, Emcreg Intl) (SUB COEUS 00	93 583	49,334
University of Colorado System (System Parent Code) (0000066154)	43 002	235,943
University of Colorado System (System Parent Code) (0000073256)	43 RD	32,549
University of Colorado System (System Parent Code) (0000073256)	43 RD	96,745
ARRA-University of Colorado System (System Parent Code) (004519)	93 701	11,414
University of Colorado System (System Parent Code) (1000013136)	43 RD	4,019
University of Colorado System (System Parent Code) (154-5145)	93 172	88,684
University of Colorado System (System Parent Code) (154-5648)	99 RD	55,454
University of Colorado System (System Parent Code) (1547149)	12 910	106,542
University of Colorado System (System Parent Code) (1547176-CYC1)	43 002	105,485
University of Colorado System (System Parent Code) (1548027)	47 074	29,180
University of Colorado System (System Parent Code) (1548376)	12 300	108,401
University of Colorado System (System Parent Code) (1548642)	99 RD	5,632
University of Colorado System (System Parent Code) (15488351)	99 RD	3,606
University of Colorado System (System Parent Code) (1U01DE02005401)	93 121	46,019
University of Colorado System (System Parent Code) (68485-CYC4)	43 002	39,439
ARRA-University of Colorado System (System Parent Code) (ARRA0000070168)	47 082	44,174
ARRA-University of Colorado System (System Parent Code) (ARRAFY10220023)	93 701	5,867
University of Colorado System (System Parent Code) (FY08.064.003)	93 838	323,757
University of Colorado System (System Parent Code) (FY08.354.001-CYC4)	93 867	98,391
University of Colorado System (System Parent Code) (FY10.266.001-CYC3)	93 847	138,287
University of Colorado System (System Parent Code) (FY11.262.002)	93 855	95,204
University of Colorado System (System Parent Code) (FY11.294.006)	93 399	89,421
University of Colorado System (System Parent Code) (FY11.504.001)	93 279	22,727
University of Colorado System (System Parent Code) (FY11.523.014)	99 RD	6,938
University of Colorado System (System Parent Code) (FY12.083.006-CYC1)	93 242	264,487
University of Colorado System (System Parent Code) (SUB 000005437 (NNA09DB30A)	43 RD	24,281
University of Colorado System (System Parent Code) (SUB FY11.315.002 (2-5-2272	12 420	26,089
University of Colorado System (System Parent Code) (SUB1546321 (EAR-0724960))	47 050	557
University of Connecticut (000110)	93 847	9,039
University of Connecticut (004906)	93 242	103,515
University of Connecticut (SUB 7012 (AT006466))	93 213	74,628
University of Delaware (14630)	47 074	378,540
University of Delaware (17645)	43 RD	44,094
University of Delaware (20508)	99 RD	7,029
University of Delaware (20772)	47 074	267,166
University of Delaware (25987)	43 RD	1,995
ARRA-University of Delaware (87833)	93 701	532
ARRA-University of Delaware (SUB 22039(0724971) ARRA)	47 082	21,525
University of Florida (004576)	10 309	3,988
University of Florida (005472)	47 074	11,796
University of Florida (005502)	10 500	116
University of Florida (005524)	10 303	16,891
University of Florida (200951181005915)	10 309	16,435
University of Florida (201119302)	98 RD	24,962
University of Florida (63252)	12 910	573
University of Florida (78101)	93 853	(33,266)
University of Florida (R01GM081714)	93 859	5,849
University of Florida (SUB 20090630 (NIDDK/NIAID/NICH)	93 RD	58,455
University of Florida (SUB UF 10223 (DA031017))	93 279	5,753
University of Florida (UF07103)	93 867	2,829
University of Florida (UF07104)	96 867	24,236
University of Florida (UF09127)	93 103	7,703
University of Florida (UF09142)	93 279	7,359

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Florida (UF09226)	10 309	10,183
ARRA-University of Florida (UF10176)	93 701	3,955
University of Florida (UF11033-CYC1)	10 310	9,981
University of Florida (UF11117)	93 853	33,284
University of Florida (UF12018)	81 135	269,617
University of Florida (UF-EIES-1005016-CSC)	12 910	40,075
University of Georgia (incl Skidaway Institute of Oceanography) (005212)	10 310	115
University of Georgia (incl Skidaway Institute of Oceanography) (21496-1)	93 837	73,750
University of Georgia (incl Skidaway Institute of Oceanography) (58246)	93 394	(12)
University of Georgia (incl Skidaway Institute of Oceanography) (83120)	93 395	(3,604)
University of Georgia (incl Skidaway Institute of Oceanography) (RC284-551/489)	10 310	4,067
University of Georgia (incl Skidaway Institute of Oceanography) (RD31709135036)	10 303	6,281
University of Georgia (incl Skidaway Institute of Oceanography) (RE677-415/489)	10 680	1,515
University of Georgia (incl Skidaway Institute of Oceanography) (RR100-555/478)	47 078	11,564
University of Georgia (incl Skidaway Institute of Oceanography) (RR166-585/350)	47 074	58,669
University of Georgia (incl Skidaway Institute of Oceanography) (RR16754046948)	47 074	138,763
University of Georgia (incl Skidaway Institute of Oceanography) (RR185-427/478)	47 049	5,647
ARRA-University of Georgia (incl Skidaway Institute of Oceanography) (SUB 2378)	93 701	5,846
University of Georgia (incl Skidaway Institute of Oceanography) (SUB RR722-084)	93 371	21,207
University of Hawaii at Manoa (GM083158)	93 RD	54,812
University of Hawaii at Manoa (HI 110003)	15 RD	5,259
University of Hawaii at Manoa (MA110024)	43 001	43,364
University of Hawaii at Manoa (MA110025)	99 RD	558
University of Hawaii at Manoa (NS056883)	93 853	115,640
University of Hawaii at Manoa (PO Z977064)	11 417	35,719
University of Hawaii at Manoa (Z792090)	47 074	265,321
ARRA-University of Hawaii at Manoa (Z925933 ARRA)	47 082	65,724
ARRA-University of Hawaii at Manoa (Z927814-1)	47 082	188,397
University of Hawaii at Manoa (Z935708)	93 853	7,122
ARRA-University of Hawaii at Manoa (Z944859 ARRA)	47 082	12,772
University of Hawaii at Manoa (Z956797)	93 853	41,438
University of Hawaii at Manoa (Z959944)	99 RD	5,899
University of Houston (58609)	12 910	(53,296)
University of Houston (R-10-0077)	12 910	57,651
University of Houston (SUB R-10-0179 (DMR-1006876))	47 RD	2,064
University of Illinois (2003-00972-4)	47 041	28,056
University of Illinois (2005-04292-01)	93 394	33,206
University of Illinois (2007-00602)	93 853	2,034
University of Illinois (2007-06015-02-CYC3)	47 041	64,477
University of Illinois (2008-02016-02)	12 800	128,315
University of Illinois (2008-02125-02/A3385)	93 859	51,288
University of Illinois (2008-04092-01)	10 206	19,576
University of Illinois (2008-04984-01)	93 394	61,962
University of Illinois (2009-01053-02)	47 050	15,556
University of Illinois (2009-01303-03)	93 859	567,399
University of Illinois (2010-00365-05)	93 859	1,522,100
University of Illinois (20100125102)	81 122	211,711
ARRA-University of Illinois (2010-03958-01)	93 728	255,017
University of Illinois (2010-04699-01)	12 420	41,596
University of Illinois (2010-04904-03)	12 800	255,738
University of Illinois (20100720002)	98 RD	32,842
University of Illinois (20105006)	47 080	155,384
University of Illinois (2010N06582N05-002)	47 050	232,047
University of Illinois (2011-00318-07)	47 080	82,426
University of Illinois (2011-01617-01-00)	93 286	64,492
University of Illinois (2011-01632-02)	47 041	56,383
University of Illinois (2011-05857-01)	12 300	82,127

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Illinois (2012-01105-01-00)	93 RD	15,350
University of Illinois (32374)	20 RD	1,400
University of Illinois (63245)	47 050	23,061
University of Illinois (SUB 2007-01077-09 (OCI-072507))	47 080	177,936
University of Illinois (SUB 2008-04943-01 (CNS-0831653))	47 070	33,783
University of Illinois (SUB 2010-06811-01-00 (HL107345))	93 837	180,798
University of Illinois (SUB 2011-00318-13 (OCI-1053575))	47 080	1,624,648
University of Illinois (SUB 2011-1309-01-00 (HL066012))	93 838	37,038
University of Illinois (SUB NONE (CA154254))	93 393	107,085
University of Illinois (UCSF#A117554)	93 242	83,558
University of Innsbruck (Austria) (030378)	12 RD	480,273
University of Iowa (02031533)	93 853	(17,988)
University of Iowa (1000603847)	93 847	204,407
University of Iowa (1000642681)	12 431	116,004
University of Iowa (1000724797)	93 393	27,194
University of Iowa (1000766242)	93 113	211,631
University of Iowa (1000822415)	93 242	16,492
University of Iowa (1000838493)	93 838	91,082
University of Iowa (1000838495)	93 838	66,977
University of Iowa (1000846891)	93 393	16,112
University of Iowa (1000912713)	93 121	139,585
University of Iowa (1-11626-00)	93 855	28,205
University of Iowa (1117750006)	93 853	25,222
University of Iowa (30964)	93 853	28,618
University of Iowa (57312)	93 853	(25,125)
University of Iowa (57858)	93 853	4,306
University of Iowa (58472)	93 853	(1,759)
University of Iowa (63035)	93 121	(56,010)
University of Iowa (63089)	93 121	(2,670)
University of Iowa (63237)	93 121	17,295
University of Iowa (79562)	93 853	16,133
University of Iowa (83319)	93 853	(45)
University of Iowa (87304)	93 837	11,729
ARRA-University of Iowa (ARRA1000708932)	93 701	5,825
ARRA-University of Iowa (SUB 1000750631 (AR053509) ARRA)	93 701	70,494
ARRA-University of Iowa (SUB 1000811895 (HL091841))	93 701	14,677
University of Iowa (SUB 1000818650 (NS040068))	93 853	45,864
University of Iowa (SUB 1000943446 (NS040068))	93 853	212,850
University of Iowa (W000207972-CYC2)	93 866	12,030
University of Iowa (W000210763-CYC1)	93 866	11,866
University of Iowa (W000309549)	99 RD	38,885
University of Kansas/Ku Center for Research, Inc. (FY2009-002-CYC4)	93 389	139,683
University of Kansas/Ku Center for Research, Inc. (FY2011-031)	47 041	135,606
University of Kansas/Ku Center for Research, Inc. (QB847050)	93 103	4,597
University of Kansas/Ku Center for Research, Inc. (QB847050-CYC3)	93 103	33,801
University of Kansas/Ku Center for Research, Inc. (QF841520)	93 393	792
University of Kansas/Ku Center for Research, Inc. (QL814631-CYC1)	93 859	29,670
University of Kentucky/University of Kentucky Research Foundation (3048107090-)	93 853	5,983
University of Kentucky/University of Kentucky Research Foundation (SUB 3048107)	93 853	25,207
University of Louisville (05-1523 Z10B)	93 866	9,019
University of Maryland (004757)	47 074	91,153
University of Maryland (20100342)	93 121	319,494
University of Maryland (20877)	43 RD	(73)
University of Maryland (78907)	93 855	(239)
University of Maryland (H98230-11-1-0334)	12 900	81,749
University of Maryland (H98230-11-1-0334)	12 900	15,960
University of Maryland (SP00001877)	93 242	18,822

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Maryland (SR000000615)	93 242	169,756
University of Maryland (SR00000781)	93 847	1,385
University of Maryland (SR00001645)	93 855	46,356
University of Maryland (SR00001756-CYC1)	93 855	125,082
University of Maryland (SUB 0000009644 (NNX09AT26G))	43 001	26,679
University of Maryland (SUB NONE (NNX09A090G))	43 001	3,616
University of Maryland (SUB SR00001438 (MH086356))	93 242	103,802
University of Maryland (SUB SR00001680 (DK074828))	93 847	22,952
University of Maryland (SUB Z355901 (IOS 1025837))	47 074	264,592
University of Maryland (SUB Z646401 (NN09AF10G))	43 RD	34,541
University of Maryland (SUB Z806902 (FA9550-09-1-0603))	12 800	167,304
University of Maryland (SUB Z885203 (W911NF-09-1-0406))	12 431	108,874
University of Maryland (SUB Z887103 (N00014-08-1-0638))	12 300	205,045
University of Maryland (Z311702-CYC6)	47 049	189
University of Maryland (Z364601-CYC1)	47 049	120,318
University of Maryland (Z364602)	47 049	191,861
University of Maryland (Z546704)	10 309	6,332
University of Maryland (Z639401)	99 RD	10,702
University of Maryland (Z707301)	81 049	407,776
University of Maryland (Z843301)	12 431	92,444
University of Maryland (Z847703)	12 431	14,575
University of Maryland (Z847704)	12 431	79,569
University of Maryland (Z847706)	12 431	85,447
University of Maryland (Z848502)	12 431	77,231
University of Maryland (Z882801)	12 300	80,536
University of Maryland (Z918801)	12 431	292,422
University of Maryland (Z918806)	12 RD	110,033
University of Maryland (Z939701)	12 800	85,781
University of Maryland (Z939702)	12 800	116,753
University of Maryland (Z987502)	20 108	35,700
University of Maryland (Z987601)	20 108	20,443
University of Maryland (Z987801)	20 108	30,877
University of Maryland (Z988202)	20 108	13,752
University of Maryland (Z989601)	20 108	61,818
University of Maryland (Z990101)	20 108	52,290
University of Massachusetts (06003599C01)	47 049	69,275
University of Massachusetts (09-0052 33 E 00)	47 049	48,862
University of Massachusetts (09-005334 C 00)	12 RD	52,907
University of Massachusetts (09-005344A00)	93 113	87,282
University of Massachusetts (09005344B00)	93 113	84,676
University of Massachusetts (10 005646 B 02)	93 855	176,313
University of Massachusetts (11006323C00)	10 500	424
University of Massachusetts (6100541/RFS900048)	93 085	(339)
ARRA-University of Massachusetts (6114512/RFS900209)	93 701	1,840
University of Massachusetts (79095)	93 855	12,405
University of Massachusetts (80659)	93 855	60
University of Massachusetts (SUB (03-003721 G 00) DE-FC02-0)	81 049	1,994
University of Massachusetts (SUB 09-005177 A 00 (DE-FG02-08))	81 049	28,592
University of Massachusetts (SUB 11-006347 (DE-SC0004485))	81 049	298,104
ARRA-University of Massachusetts (SUB10-006153A00-DE-AR0000087AR)	81 135	233,776
University of Medicine and Dentistry of New Jersey (20093693)	93 855	24,505
University of Medicine and Dentistry of New Jersey (79258)	93 855	365
University of Medicine and Dentistry of New Jersey (PO#561-D95016)	99 RD	3,636
University of Medicine and Dentistry of New Jersey (UCSF#A105001)	93 145	304,109
University of Miami (20082419)	93 864	27,689
University of Miami (66171M)	47 050	3,506
University of Miami (66437T)	93 286	168,054

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Miami (77772)	93 853	(6,039)
University of Miami (7R01GM08520502)	93 859	67,175
University of Miami (M154267-001)	12 420	27,956
University of Miami (P148130)	47 050	390,079
University of Miami (P148822)	47 050	107,376
University of Miami (S110005)	43 RD	18,871
University of Miami (SUB NONE (NR012885))	93 361	9,590
ARRA-University of Miami (UCSF#A114311)	93 701	30,783
University of Miami (UCSF#A115014)	93 121	11,999
University of Michigan (incl William Davidson Institute) (1H34MC193530100)	93 127	43,823
University of Michigan (incl William Davidson Institute) (201015375)	93 395	10,589
University of Michigan (incl William Davidson Institute) (3000584656)	47 076	85,083
University of Michigan (incl William Davidson Institute) (3000676233)	93 853	55,517
University of Michigan (incl William Davidson Institute) (3000691491-RPT)	93 310	414,875
University of Michigan (incl William Davidson Institute) (3000770489-ALIAS)	99 RD	21,882
University of Michigan (incl William Davidson Institute) (3000849789)	93 865	114,001
University of Michigan (incl William Davidson Institute) (3001023051-004)	12 800	90,596
University of Michigan (incl William Davidson Institute) (3001062376)	47 075	9,765
University of Michigan (incl William Davidson Institute) (3001075955)	93 866	15,749
University of Michigan (incl William Davidson Institute) (3001130178)	93 393	115,597
University of Michigan (incl William Davidson Institute) (3001300368-PIII)	93 853	76,731
University of Michigan (incl William Davidson Institute) (3001308401)	47 076	119,126
University of Michigan (incl William Davidson Institute) (3001346295)	81 049	145,765
University of Michigan (incl William Davidson Institute) (3001397038)	81 RD	119,467
ARRA-University of Michigan (incl William Davidson Institute) (3001413298-PNT)	93 701	11,130
ARRA-University of Michigan (incl William Davidson Institute) (3001441840)	93 701	65,712
University of Michigan (incl William Davidson Institute) (3001458737)	12 800	37,111
University of Michigan (incl William Davidson Institute) (3001508393-CYC3)	93 855	95,919
University of Michigan (incl William Davidson Institute) (3001515799)	93 837	193,410
University of Michigan (incl William Davidson Institute) (3001549712)	12 901	128,462
ARRA-University of Michigan (incl William Davidson Institute) (3001575124)	93 701	13
University of Michigan (incl William Davidson Institute) (3001599217)	93 837	20,788
ARRA-University of Michigan (incl William Davidson Institute) (3001633259)	93 701	2,035
University of Michigan (incl William Davidson Institute) (3001651976)	93 866	4,396
University of Michigan (incl William Davidson Institute) (3001670680)	47 049	2,950
University of Michigan (incl William Davidson Institute) (3001679225-CYC1)	93 859	106,973
ARRA-University of Michigan (incl William Davidson Institute) (3001682729)	93 701	74,286
ARRA-University of Michigan (incl William Davidson Institute) (3001689705)	93 701	55,840
ARRA-University of Michigan (incl William Davidson Institute) (3001740443)	93 701	64,399
University of Michigan (incl William Davidson Institute) (3001789420)	12 800	38,188
University of Michigan (incl William Davidson Institute) (3001793564)	93 831	23,894
University of Michigan (incl William Davidson Institute) (3001908687)	43 001	8,606
University of Michigan (incl William Davidson Institute) (3001922362-BPT)	99 RD	223
University of Michigan (incl William Davidson Institute) (3001996186)	12 431	118,381
University of Michigan (incl William Davidson Institute) (3001996235)	12 431	23,998
University of Michigan (incl William Davidson Institute) (3002043726UM12-07-CY)	96 007	44,065
University of Michigan (incl William Davidson Institute) (3002112002-SHN)	93 853	3,265
University of Michigan (incl William Davidson Institute) (3002180410)	93 393	45,024
University of Michigan (incl William Davidson Institute) (3002193571)	47 049	33,582
University of Michigan (incl William Davidson Institute) (32844)	93 866	3,996
University of Michigan (incl William Davidson Institute) (59780)	81 121	(310)
University of Michigan (incl William Davidson Institute) (80309)	93 837	(2,413)
University of Michigan (incl William Davidson Institute) (82493)	93 395	(82)
University of Michigan (incl William Davidson Institute) (82550)	93 865	388,655
University of Michigan (incl William Davidson Institute) (F017430)	93 859	657
University of Michigan (incl William Davidson Institute) (F021095-CYC7)	93 399	355,639
University of Michigan (incl William Davidson Institute) (F025193)	93 395	76,324

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Michigan (incl William Davidson Institute) (SUB 3001070733 (PHY-	47 049	4,222
University of Michigan (incl William Davidson Institute) (SUB 3001078880 (W911	12 431	17,852
University of Michigan (incl William Davidson Institute) (SUB 3001689311 (GM09	93 859	186,161
University of Michigan (incl William Davidson Institute) (SUB 3002011326 (PHY-	47 049	49,610
University of Michigan (incl William Davidson Institute) (UCSF#A118566)	93 389	79,684
University of Minnesota (018843)	84 RD	54,792
University of Minnesota (79004)	93 837	(7,006)
University of Minnesota (79282)	93 361	(1,385)
University of Minnesota (A0000602701)	47 049	32,227
ARRA-University of Minnesota (A000211552)	81 122	4,297
University of Minnesota (A000213101)	93 838	148,065
University of Minnesota (A001768901)	12 800	52,059
University of Minnesota (A002069701)	12 910	118,609
University of Minnesota (A002611301)	93 286	198,005
University of Minnesota (A485621503)	93 279	59,706
ARRA-University of Minnesota (ARRAN001124302)	93 701	127,862
University of Minnesota (B6367633801-RENEWAL)	93 849	12,968
University of Minnesota (D002520602)	47 074	850
University of Minnesota (H000575201)	93 172	36,783
University of Minnesota (H000972001)	10 310	1,296
University of Minnesota (H001930701)	93 865	31,695
University of Minnesota (M6616285701)	93 855	97,241
University of Minnesota (N000067401-CYC3)	93 855	13,787
University of Minnesota (N000188530-CYC2)	93 846	2,742
University of Minnesota (N002311201 (GM100310))	93 859	12,293
University of Minnesota (N002516457)	93 307	38,034
University of Minnesota (P00000028803-CYC6)	93 853	289,572
University of Minnesota (P001344002)	93 838	4,811
University of Minnesota (P001344003)	93 838	182,414
University of Minnesota (P001435602)	93 837	48,691
University of Minnesota (P661628540)	93 855	13,259
University of Minnesota (P661628545)	93 855	186,334
University of Minnesota (Q3036031101)	84 324	1,677
University of Minnesota (SUB N000936910 (NS062091))	93 853	(970)
University of Minnesota (T5166251101 (AST-0706980))	47 049	53
University of Minnesota (T5366216005)	47 080	165,392
University of Minnesota (T5366216006)	47 050	62,085
University of Minnesota (X5336545101)	43 RD	583,773
University of Minnesota (X5336545102)	99 RD	6,303
University of Minnesota (X5336565201)	43 RD	35,057
University of Mississippi (07-08-013)	12 431	75,305
University of Mississippi (09-08-015)	81 089	8,401
University of Mississippi (09-09-023)	11 468	38,396
University of Mississippi (11-01-039)	15 428	108,598
University of Mississippi (67271-01)	93 242	53,140
University of Mississippi (82885)	15 423	35
University of Mississippi (83239)	93 395	(10)
University of Missouri System (Columbia/Kansas City/Rolla/St Louis) (00015697/	47 074	69,254
University of Missouri System (Columbia/Kansas City/Rolla/St Louis) (C00020062	10 217	4,482
University of Missouri System (Columbia/Kansas City/Rolla/St Louis) (C00025421	12 630	39,848
University of Missouri System (Columbia/Kansas City/Rolla/St Louis) (C00029256	10 310	1,086
University of Missouri System (Columbia/Kansas City/Rolla/St Louis) (C00031686	47 074	351,282
University of Missouri System (Columbia/Kansas City/Rolla/St Louis) (SUB C0001	93 847	33,931
University of Montana (PG11-64314-01)	93 853	63,699
University of Nebraska (25-0550-0001-021)	47 078	74,593
University of Nebraska (25-0550-0001-146)	47 078	21,876
University of Nebraska (25-0550-0004-003)	47 082	40,149

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Nebraska (71592)	93 866	(34)
University of Nebraska (83348)	93 242	(3)
University of Nebraska (87276)	93 279	(49)
University of Nebraska (87977)	93 279	16,357
ARRA-University of Nebraska (ARRA2511100047002)	47 082	33,082
University of Nebraska (SUB 34-5160-2063-001 (MH094160))	93 242	3,793
University of Nebraska (SUB34-5160-2057-111 (MH062261))	93 242	277,059
University of New Hampshire (06-001)	99 RD	1,211,702
University of New Hampshire (12-006)	43 RD	40,229
University of New Hampshire (12-024)	47 074	8,601
University of New Mexico (063014-873R)	47 080	908,998
University of New Mexico (3R28L-CYC7)	93 393	(7,444)
ARRA-University of New Mexico (3R85Y)	93 701	66,974
University of New Mexico (59833)	47 074	2,062
ARRA-University of New Mexico (ARRA3R88Z)	93 701	106,569
University of New Mexico (P0113451)	47 RD	6,965
University of North Carolina at Chapel Hill (20100588-01-UCS)	47 041	13,856
University of North Carolina at Chapel Hill (486A00080004300)	98 RD	27,085
University of North Carolina at Chapel Hill (530046)	93 121	160,830
University of North Carolina at Chapel Hill (530448)	93 242	31,476
University of North Carolina at Chapel Hill (5-30642/5-31202-CYC2)	93 393	19,931
University of North Carolina at Chapel Hill (5-31339-04)	93 172	193,749
University of North Carolina at Chapel Hill (5-31343)	93 172	214,070
University of North Carolina at Chapel Hill (5-31534)	99 RD	288,726
University of North Carolina at Chapel Hill (531551)	93 855	248,066
University of North Carolina at Chapel Hill (5-31553)	93 855	320,835
University of North Carolina at Chapel Hill (5-31865)	93 242	96,054
University of North Carolina at Chapel Hill (5-34323)	93 837	32,696
University of North Carolina at Chapel Hill (5-34753)	93 226	3,536
University of North Carolina at Chapel Hill (536803)	12 351	147,324
University of North Carolina at Chapel Hill (5-51264)	93 837	29,157
University of North Carolina at Chapel Hill (5-51779-CYC3)	93 172	83,768
University of North Carolina at Chapel Hill (554459)	84 325	281,934
University of North Carolina at Chapel Hill (5-55751)	20 614	3,407
University of North Carolina at Chapel Hill (82335)	93 RD	(270)
ARRA-University of North Carolina at Chapel Hill (ARRA530363)	93 701	31,331
University of North Carolina at Chapel Hill (DMS0968714)	47 RD	12,976
University of North Carolina at Chapel Hill (SUB 20102774 (OCI-1032732))	47 074	215,874
University of North Carolina at Chapel Hill (SUB 2-32555 (HG002647))	93 172	1,786
University of North Carolina at Chapel Hill (SUB 5-30791 (HG002647))	93 172	9,865
University of North Carolina at Chapel Hill (SUB 5-30810 (HG004803))	93 172	53,680
University of North Carolina at Chapel Hill (SUB 5-37146 (OCI-0940841))	47 080	187,846
University of North Carolina at Chapel Hill (SUB 5-54730 (OCI-0848296))	47 080	15,950
University of North Carolina at Chapel Hill (UCSF#A111593)	93 853	19,105
University of North Dakota (SUB 1308 (DE017102))	93 121	23,078
University of North Texas (GP6135-1)	47 RD	63,717
University of Notre Dame (incl Gem) (201722-CYC1)	47 080	14,963
University of Notre Dame (incl Gem) (201839)	12 300	48,087
University of Notre Dame (incl Gem) (208003)	12 RD	822,482
ARRA-University of Notre Dame (incl Gem) (ARRA209008)	81 049	827,346
ARRA-University of Notre Dame (incl Gem) (ARRA2090081)	81 049	152,855
University of Notre Dame (incl Gem) (SC-11-84)	47 049	11,108
University of Notre Dame (incl Gem) (SC-12-02)	47 049	2,016
University of Oklahoma (2011-22)	93 989	494,886
University of Oklahoma (2011-24)	11 432	61,637
University of Oklahoma (SUB RS20092371-02 (CE001334))	93 061	(59,800)
University of Oklahoma (TS20101488-30)	93 110	17,916

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Oregon (208991C)	47 050	9,498
University of Oregon (234151H-008)	81 049	18,632
University of Oregon (234171B-002)	81 049	105,303
University of Oregon (234171E)	81 049	37,054
University of Oregon (63023)	47 049	(355)
University of Oregon (SUB 212631A (MH087214))	93 242	28,599
University of Oregon (SUB 251101A(W81XWH-11-1-0717))	12 420	21,530
University of Pennsylvania (08003007)	93 838	59,027
University of Pennsylvania (544252-B)	12 431	87,789
University of Pennsylvania (544252-C)	12 431	8,341
University of Pennsylvania (547810)	93 838	(4,086)
University of Pennsylvania (54897405)	93 865	29,986
University of Pennsylvania (549842)	93 838	8,963
University of Pennsylvania (550162)	12 300	153,828
University of Pennsylvania (550213)	93 839	10,449
University of Pennsylvania (550740)	12 300	237,655
University of Pennsylvania (551224)	93 185	91,643
University of Pennsylvania (553152)	93 396	59,655
ARRA-University of Pennsylvania (553418)	47 082	85,287
University of Pennsylvania (554289)	93 866	1,940
University of Pennsylvania (554671)	93 279	39,414
University of Pennsylvania (554679)	47 075	22,093
University of Pennsylvania (554968)	12 RD	56,344
University of Pennsylvania (554995)	12 910	257,175
University of Pennsylvania (555487)	93 846	74,139
ARRA-University of Pennsylvania (555844)	93 701	19,833
University of Pennsylvania (556016)	12 800	180,055
University of Pennsylvania (556407)	93 396	162,940
University of Pennsylvania (557172-UCSF#A118127)	93 838	122,793
University of Pennsylvania (557199)	93 855	74,496
University of Pennsylvania (557412)	93 846	164,108
University of Pennsylvania (557804)	93 RD	26,274
University of Pennsylvania (557821)	93 859	61,333
University of Pennsylvania (71970)	93 865	(2,476)
University of Pennsylvania (83002)	93 866	5
University of Pennsylvania (83887)	93 855	3
University of Pennsylvania (SUB 548484 (AI072106))	93 855	29,776
University of Pennsylvania (SUB 548874 (AI068730))	93 856	49,073
University of Pennsylvania (SUB 549727(AI052845))	93 856	39,677
University of Pennsylvania (SUB 550162 (N00014-08-1-0747))	12 300	62,402
University of Pennsylvania (SUB 552082 (FA9550-09-1-0900))	12 800	158,261
University of Pennsylvania (SUB 552499 (AI082020))	93 856	64,047
ARRA-University of Pennsylvania (SUB 553463 (HL101834) ARRA)	93 701	95,409
University of Pennsylvania (SUB 556786 (AG030644))	93 866	627,341
University of Pennsylvania (SUB 557804 (AG037679))	93 866	4,438
University of Pennsylvania (UCSF#A112454)	93 RD	12,432
University of Pennsylvania (UCSF#A119315)	93 838	128
University of Pittsburgh (0000677 (113050-1))	93 242	18,657
University of Pittsburgh (0001933)	93 838	181,242
University of Pittsburgh (0001933/113402-8)	93 838	83,181
University of Pittsburgh (0002912)	93 310	584
University of Pittsburgh (0003186PROJECT1159871)	93 855	160,491
University of Pittsburgh (0004757-CYC4)	93 361	9,558
University of Pittsburgh (0005070)	93 242	75,183
University of Pittsburgh (0005432 (112885-5))	93 866	115,526
University of Pittsburgh (0006436 (115965-17))	93 847	2,363
University of Pittsburgh (0008871)	93 279	41,458

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Pittsburgh (0009195)	93 866	11,250
University of Pittsburgh (0009195/114123-1)	93 866	73,015
University of Pittsburgh (0010745 (404453-8))	12 431	102,544
University of Pittsburgh (0010745)	12 420	285,791
University of Pittsburgh (0011424 (118245-9)-CYC1)	93 865	109,149
University of Pittsburgh (0011424(1182458))	93 865	115,593
ARRA-University of Pittsburgh (00122001183701)	93 RD	9,246
University of Pittsburgh (0013027 (118414-03))	93 866	51,747
University of Pittsburgh (0013027(118414-02))	93 866	83,148
University of Pittsburgh (0013539(119868-01))	93 859	48,604
University of Pittsburgh (0013599)	93 837	50,457
University of Pittsburgh (0013993)	93 855	52,063
University of Pittsburgh (0014226)	93 838	(18,035)
University of Pittsburgh (0014426 (119323-1))	93 838	110,788
University of Pittsburgh (0014426(118315-1))	93 838	85,652
ARRA-University of Pittsburgh (0014925PROJECT116839-1)	93 701	44,753
University of Pittsburgh (0015374)	93 847	6,784
University of Pittsburgh (0016623(116438-5))	93 838	3,221
University of Pittsburgh (0016623)	93 838	61,658
University of Pittsburgh (0017503 (119113-1))	93 865	17,900
University of Pittsburgh (0017881 (119725-2))	93 242	142,913
University of Pittsburgh (0019358(119075-2))	99 RD	80,349
University of Pittsburgh (0019692 (119569-01))	93 865	249,194
University of Pittsburgh (0019713 (406200-1))	12 800	570,719
University of Pittsburgh (0019927(118536-5))	93 847	39,316
University of Pittsburgh (0024031-5)	99 RD	2,041
University of Pittsburgh (0025841(11986201))	93 136	59,795
University of Pittsburgh (0026567(11933702))	93 865	8,161
University of Pittsburgh (007084)	93 393	17,869
ARRA-University of Pittsburgh (117697-2)	93 701	5,005
University of Pittsburgh (57665)	93 393	13,238
University of Pittsburgh (71036)	93 866	2
University of Pittsburgh (84719)	93 866	42,394
University of Pittsburgh (85538)	93 389	6,309
University of Pittsburgh (9000613)	93 859	34,141
University of Pittsburgh (9002952)	93 242	13,935
ARRA-University of Pittsburgh (9004438)	93 701	11,676
ARRA-University of Pittsburgh (9006004)	93 701	5,360
ARRA-University of Pittsburgh (9006177)	93 701	10,822
University of Pittsburgh (9007196 (118812-01) (MH090333))	93 242	29,988
University of Pittsburgh (9007403 (119356-1))	93 389	3,994
ARRA-University of Pittsburgh (ARRA9004641)	93 701	22,525
University of Pittsburgh (SUB 0002882(113365-4) (CA12797)	93 396	5,285
University of Pittsburgh (SUB 0006436(115965-13)(DK08134)	93 847	1,801
ARRA-University of Pittsburgh (SUB 0015809 (AR058929) ARRA)	93 701	(25,594)
University of Pittsburgh (SUB 9007201(118817-01) (MH0903)	93 242	12,526
University of Pittsburgh (SUB0019809 118655-1(GM065188))	93 859	102,121
University of Puerto Rico (DEB-0620910)	47 074	9,211
University of Puerto Rico (UCSF#A109681)	93 RD	19,532
ARRA-University of Rochester (000008D)	93 701	12,929
ARRA-University of Rochester (1000016-D)	93 701	2,599
ARRA-University of Rochester (100041-D)	93 701	18,175
University of Rochester (413753-G)	93 853	5,245
University of Rochester (413860-G-CYC8)	93 226	14,219
University of Rochester (414157-G-004)	93 172	71,880
University of Rochester (414466-G)	93 867	156,589
University of Rochester (414467-G)	93 867	50,870

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Rochester (414575-G-CYC3)	93 361	119,777
University of Rochester (414616G)	93 867	94,879
University of Rochester (414845-G-CYC2)	93 866	117,071
University of Rochester (415010-G)	93 113	(28,214)
University of Rochester (415025-G)	81 049	175,578
University of Rochester (415195-G)	93 853	4,378
University of Rochester (415415-G)	93 RD	48,346
University of Rochester (415597G)	93 393	145,827
ARRA-University of Rochester (58151)	93 701	(4,128)
University of Rochester (78016)	93 853	255
University of Rochester (80542)	93 172	(1,199)
University of Rochester (83031)	93 172	4,738
University of Rochester (83092)	93 853	(260)
University of Rochester (86784)	93 837	837
ARRA-University of Rochester (ARRA100034)	93 701	24,068
University of Rochester (PO#415072-G)	93 865	2,082
University of Rochester (SUB 414943-G (DE-SC-0001063))	81 410	36,678
University of Rochester (SUB 415024-G (DE-FC02-04ER5478))	81 049	129,986
University of Rochester (SUB 415433-G (N00014-07-1-0937))	12 300	10,836
University of Rochester (SUB 415505-G(AI094511))	93 855	110,903
University of Rochester (SUB 415635-G (DE-FC52-08NA2830))	89 049	67,410
University of Rochester (SUB PO#414006-G (N00014-07-1-0))	12 300	253,737
University of San Diego (F11084-U2011-07)	47 078	28,536
University of South Carolina (005304)	47 082	98
University of South Carolina (07-1412-CYC6)	12 RD	103,126
University of South Carolina (07-1413)	12 431	45,382
University of South Carolina (09-1582)	47 049	70,830
University of South Carolina (10-1723)	81 049	133,564
University of South Carolina (12-2005)	93 233	130,409
University of South Carolina (12-2030)	12 420	13,231
University of South Florida (09000015)	93 RD	2,640
ARRA-University of South Florida (6119-1211-00-D)	93 701	3,673
University of South Florida (UCSF#A111732)	93 847	242,205
University of Southern California (004392)	47 050	4,255
University of Southern California (004575)	47 050	3,762
University of Southern California (004669)	93 846	91,048
University of Southern California (004886)	47 050	16,129
University of Southern California (004891)	47 050	21,051
University of Southern California (004893)	47 050	4,515
University of Southern California (004895)	47 050	15,000
University of Southern California (004896)	47 050	24,607
University of Southern California (004897)	47 050	42,233
University of Southern California (004959)	47 050	15,890
University of Southern California (005075)	93 866	40,697
University of Southern California (005215)	47 050	15,361
University of Southern California (005332)	47 050	10,000
University of Southern California (005333)	47 050	8,729
University of Southern California (005334)	47 050	9,190
University of Southern California (005335)	47 050	21,232
University of Southern California (005522)	99 RD	3,504
University of Southern California (005591)	15 RD	4,807
University of Southern California (07002267)	93 866	32,342
University of Southern California (07028, PO 119942)	47 050	101
University of Southern California (1102)	99 RD	108,117
University of Southern California (111697-CYC10)	93 865	167,689
University of Southern California (119523)	47 050	27,888
University of Southern California (119525)	47 505	15,058

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Southern California (119934-CYC10)	15 807	68,970
University of Southern California (12004)	47 050	267,056
University of Southern California (124361)	47 050	163,466
University of Southern California (124866)	66 509	11,196
University of Southern California (127561-CYC6)	15 807	9,760
University of Southern California (129620)	93 867	103,490
University of Southern California (130303)	15 807	92,730
University of Southern California (131534)	93 867	115,845
University of Southern California (133355)	93 389	768,720
University of Southern California (136754)	66 509	2,690
University of Southern California (141845)	93 855	133,470
University of Southern California (141848)	93 855	64,980
University of Southern California (143320)	93 866	(572)
University of Southern California (146069)	12 910	191,859
University of Southern California (146302)	15 807	15,985
University of Southern California (147762-CYC1)	47 050	32,001
University of Southern California (149116-CYC2)	93 286	77,432
University of Southern California (149118)	47 050	10,364
University of Southern California (149703-002)	47 050	115,666
University of Southern California (149731)	47 050	15,457
University of Southern California (149740)	93 866	61,898
University of Southern California (150262)	93 389	738,605
ARRA-University of Southern California (151338-CYC1)	93 701	12,650
University of Southern California (151341)	97 061	6,550
University of Southern California (153304)	93 866	368,246
University of Southern California (153313)	93 242	53,156
University of Southern California (156246)	47 050	3,594
University of Southern California (156249)	93 866	360,756
University of Southern California (157452)	81 122	59,844
University of Southern California (157567)	12 557	12,422
University of Southern California (157941)	12 910	84,582
University of Southern California (158857)	12 300	271,429
University of Southern California (158860-CYC1)	12 300	66,174
University of Southern California (160012)	93 242	311,238
University of Southern California (2010-ST-061-RE0001)	12 RD	99,492
University of Southern California (30646)	93 242	33,574
University of Southern California (33928)	93 866	24,616
University of Southern California (33929)	93 866	25,134
University of Southern California (33930)	93 866	12,749
University of Southern California (33931)	93 RD	1,246
ARRA-University of Southern California (57975)	93 701	(1,460)
University of Southern California (58524)	93 855	20,319
University of Southern California (58827)	47 050	4,356
ARRA-University of Southern California (59452)	93 701	(1,141)
University of Southern California (59565)	93 866	1,950
University of Southern California (59810)	47 050	1,363
University of Southern California (59860)	93 847	(1,830)
University of Southern California (59868)	47 RD	7,208
University of Southern California (63014)	47 050	1,439
University of Southern California (63101)	47 050	3,486
University of Southern California (63102)	47 050	282
University of Southern California (63112)	47 050	143
University of Southern California (63113)	47 049	646
University of Southern California (78114)	97 061	4,514
University of Southern California (79058)	12 910	3,961
University of Southern California (80035)	93 847	183
University of Southern California (85514)	93 866	(216)

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Southern California (87540)	93 273	92,257
University of Southern California (87846)	93 859	1,719
University of Southern California (87940)	93 242	62
ARRA-University of Southern California (ARRAH43203)	93 701	6,533
University of Southern California (DBI0820846)	47 074	174,179
University of Southern California (H31512-08)	47 041	167,687
University of Southern California (H39713)	93 865	14,002
University of Southern California (H39719)	93 394	62,000
University of Southern California (H39726)	93 242	128,630
University of Southern California (H40302)	93 866	128,060
University of Southern California (H43602)	93 393	321,291
University of Southern California (H43620)	93 394	5,373
University of Southern California (H46397)	93 393	44,162
ARRA-University of Southern California (H47019)	93 701	158,408
University of Southern California (H47213)	93 397	3,722
University of Southern California (H47396)	93 866	84,346
University of Southern California (H47451)	93 866	174,570
University of Southern California (H47773)	93 273	123,296
University of Southern California (H47774)	93 273	931
University of Southern California (H48482)	93 866	65,420
University of Southern California (H48483)	93 RD	43,018
University of Southern California (H48521)	93 397	89,316
University of Southern California (H49955)	93 121	10,772
University of Southern California (H50445)	93 213	65,655
University of Southern California (H50450)	93 847	60,558
University of Southern California (H50584)	93 113	31,528
University of Southern California (H50594)	93 397	56,360
University of Southern California (H50595)	93 397	82,687
University of Southern California (H50596)	93 866	61,199
University of Southern California (H51481-CYC1)	93 242	2,855
University of Southern California (P.O. 127758)	47 050	9,243
University of Southern California (SC-11-89)	47 050	24,974
University of Southern California (SC-11-90)	99 RD	15,631
University of Southern California (SC-11-91)	47 050	14,000
University of Southern California (SC-11-92)	47 050	21,905
ARRA-University of Southern California (SUB 138823 (OCI-0943725) ARRA)	47 082	74,718
University of Southern California (SUB 149706 (EAR 0949443))	47 050	198,435
University of Southern California (SUB 157575 (EB012058))	93 286	87,965
University of Southern California (SUB 2010-ST-061-RE0001 (160001))	97 061	49,495
University of Southern California (SUB H44244 (AA011999))	93 273	36,313
University of Southern California (SUB H47827 (AA11999))	93 273	17,437
University of Southern California (SUB H47828 (AA011999))	93 273	12,141
University of Southern California (SUB H51478 (AA011999))	93 273	10,519
ARRA-University of Southern California (SUB NONE (OCI-0905019) ARRA)	47 082	16,854
University of Southern California (Y81712)	47 050	741
University of Sydney, The (031745)	43 RD	47,234
University of Tennessee (8500012912)	47 074	36,150
University of Tennessee (8500017667)	10 303	85,350
University of Tennessee (A110141S001)	93 113	6,276
University of Tennessee (A110221S002)	84 305	137,456
ARRA-University of Tennessee (ARRAR073037277)	93 701	22,235
ARRA-University of Tennessee (ARRAR073037319)	93 701	479,988
University of Tennessee (OR1235700102)	43 RD	152
University of Tennessee (OR1366600104)	43 RD	20,609
University of Tennessee (OR-A11-0263-001.01)	81 089	110,594
University of Tennessee (R073223438)	93 103	1,124
University of Tennessee (SUB (NONE) NTI-ICU-08-027)	12 420	17,400

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Tennessee (SUB 8500026858 (NONE))	47 049	120,721
University of Texas System (10-025)	93 113	104,896
University of Texas System (11-077)	99 RD	10,873
University of Texas System (11-078)	99 RD	11,933
University of Texas System (26350/98010571)	93 393	(348)
University of Texas System (87924)	66 509	3,385
University of Texas System (SUB 09-030 (GM066170))	93 862	43,342
University of Texas-Austin (82938)	47 041	54
University of Texas-Austin (88516)	47 049	2,825
ARRA-University of Texas-Austin (ARRAOCI0906379)	47 082	48,100
University of Texas-Austin (SUB UTA07-078(EAR-0622374))	47 050	388,146
University of Texas-Austin (UTA07707)	10 206	33,201
University of Texas-Austin (UTA08-487-CYC6)	93 394	877
University of Texas-Austin (UTA10-000939)	93 242	159,549
University of Texas-Austin (UTA10-001065)	47 078	19,193
University of Texas-Austin (UTA11-000572)	12 300	31,524
University of Texas-Austin (UTA11-000645)	12 300	58,412
University of Texas-Austin (UTA11-000810-CYC1)	93 865	24,773
University of Texas-Austin (UTA11-001081)	47 050	3,988
University of Texas-Dallas (100265)	47 070	19,891
University of Texas-Dallas (79306)	93 242	(100)
University of Texas-Dallas (79343)	93 242	8,131
University of Texas-El Paso (26-1408-0161)	93 855	8,330
University of Texas-El Paso (26-1408-89-61)	93 588	20,981
University of Texas-Houston (0007120-0007264B)	12 RD	139,259
University of Texas-Houston (0007266A)	93 879	20,969
University of Texas-Houston (0007783A)	84 324	42,414
University of Texas-Houston (0008027C)	93 RD	455,551
University of Texas-Houston (0008295B)	84 324	31,292
University of Texas-Houston (10100032 / 98110654)	93 393	46,066
University of Texas-Houston (12042582/98110548)	93 393	28,309
University of Texas-Houston (12052705/98710704)	93 393	46,941
University of Texas-Houston (12052720/98010669)	93 393	57,068
University of Texas-Houston (17506/98125311)	93 RD	6,775
University of Texas-Houston (25275/98215283)	93 121	54,190
University of Texas-Houston (27064/98710590)	93 393	80,671
University of Texas-Houston (29837/98018441)	93 399	40,937
University of Texas-Houston (58656)	93 393	(21)
University of Texas-Houston (6059SC)	93 777	24,980
University of Texas-Houston (71278)	12 RD	46,000
University of Texas-Houston (UCSF#A106725)	93 393	25,293
ARRA-University of Texas-M.D. Anderson Cancer Center (SUB 28682/98013850 (CA14	93 395	975,652
University of Texas-San Antonio (005260)	47 074	1,250
University of Texas-San Antonio (125970/125704)	12 RD	(11,570)
University of Texas-San Antonio (131534/131477)	93 398	146
University of Texas-San Antonio (131534/131477)	93 398	11,735
University of Texas-San Antonio (131932/131929)	93 397	267,688
University of Texas-San Antonio (132007/131245)	93 822	41,265
University of Texas-San Antonio (150554/131201)	93 394	28,481
University of Texas-San Antonio (151258/151249-CYC1)	93 393	8,228
University of Texas-San Antonio (3100-02BERKELEY)	98 001	65,718
University of Texas-San Antonio (83147)	93 853	1,456
University of Texas-San Antonio (SUB 152236 152126 (AI070412)	93 855	20,476
University of Texas-San Antonio (UTSA PRIGGGE)	47 RD	1,250
University of Texas-Southwestern Medical Center at Dallas (79059)	93 848	(23,535)
University of Texas-Southwestern Medical Center at Dallas (79145)	99 RD	42,747
University of Texas-Southwestern Medical Center at Dallas (GMO-111127)	93 847	107,394

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Texas-Southwestern Medical Center at Dallas (GMO-111128)	93 848	91,573
University of Texas-Southwestern Medical Center at Dallas (GMO-120903)	93 213	305,597
University of Texas-Southwestern Medical Center at Dallas (GMO-901012)	93 395	123,496
University of the Pacific (UCSF#A117666)	93 RD	65,000
University of the State of New York, The (SUB RR-MOVEBANK-01(DBI-0756920)	47 074	101,666
University of Toronto (Canada) (UOFT-49606-CYC2)	12 910	339,638
University of Utah (10004246)	12 630	58,419
University of Utah (10005037)	93 172	121,082
University of Utah (10006338)	93 853	90,243
ARRA-University of Utah (10009050-15)	99 RD	45,454
ARRA-University of Utah (10009050-15ARRA)	99 RD	20,520
University of Utah (10009050-15CAPITATED5)	99 RD	99,068
University of Utah (10011240)	47 049	31,234
University of Utah (10015453)	93 867	150,708
University of Utah (10015453-02)	93 867	96,871
University of Utah (10019040-S2-CYC1)	81 049	39,631
University of Utah (10020594-1)	93 172	28,403
University of Utah (2102090)	93 RD	94,026
University of Utah (2506064S2)	47 049	374
University of Utah (33130)	93 RD	197,264
University of Utah (85550)	93 RD	6,102
ARRA-University of Utah (ARRA 10015177)	47 082	8,716
ARRA-University of Utah (ARRA100162380UCD)	93 701	49,134
ARRA-University of Utah (SUB 10016238 (NS069066) ARRA)	93 701	24,472
University of Virginia (956300)	20 RD	100
University of Virginia (GA10753131899)	47 076	51,642
University of Virginia (GA10791-133816)	47 049	114,673
University of Virginia (GC11617-136719)	93 859	750
University of Virginia (GC11617137420)	93 859	750
University of Virginia (GC12015.136203-CYC1)	93 859	4,108
University of Virginia (GC12015136213)	93 RD	36,177
University of Virginia (GC12056-138846)	93 838	10,271
University of Virginia (GC12114-139293)	93 113	9,450
University of Virginia (GC12130-139092)	93 859	55,357
University of Virginia (GG10919-127974)	12 300	107,664
University of Virginia (GG10931-128298)	12 300	383,566
University of Virginia (GG10959128686)	12 800	463,655
University of Virginia (GG10959-128687)	12 800	34,194
University of Virginia (GG11083-134569)	12 300	149,749
University of Virginia (GG11186-132917)	12 300	33,436
University of Virginia (GG11371-136702)	12 910	205,878
University of Virginia (GG11432-138191)	12 910	21,044
University of Virginia (GM10087-125784)	84 305	119,043
University of Virginia (GP10147-133003)	43 RD	25,501
University of Virginia (GQ10044-133942)	81 049	1,219
University of Virginia (OSR #05040571)	99 RD	15,693
University of Virginia (SUB 140281 (AI070491))	93 855	65,825
University of Virginia (SUB GC11969-136363 (HL048908))	93 837	72,316
University of Virginia (SUB GC12015.136202 (GM064346))	93 862	24,327
University of Virginia (SUB GC12015.136210 (GM064346))	93 862	65,645
ARRA-University of Virginia (SUBZC10049-134192(HL096447)ARR)	93 701	2,937
University of Virginia (VUMC35084)	45 149	42,614
University of Washington (005159)	93 143	6,323
University of Washington (005733)	93 143	42,905
University of Washington (20103850021758)	10 200	11,020
University of Washington (245931)	12 300	46,363
University of Washington (323100)	99 RD	26,923

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Washington (33133)	93 837	102,227
University of Washington (33531)	10 200	143
University of Washington (431055)	47 049	192,919
University of Washington (432797)	66 509	452,508
University of Washington (448422)	93 837	275,864
University of Washington (448569)	93 RD	116,553
University of Washington (465784)	93 866	67,705
University of Washington (522188)	93 393	68,302
University of Washington (541997)	93 864	129,390
University of Washington (554336)	12 910	75,389
University of Washington (556159)	93 837	81,435
University of Washington (556179)	93 837	6,493
University of Washington (59844)	47 049	16,553
University of Washington (601457)	43 RD	13,097
University of Washington (654215)	93 837	98,308
University of Washington (655935)	93 866	30,186
University of Washington (661074)	47 070	62,300
University of Washington (663674)	47 049	105,644
University of Washington (664724)	93 113	42,536
ARRA-University of Washington (665903Z)	93 701	25,749
University of Washington (667084)	93 838	17,347
ARRA-University of Washington (668761Z)	93 701	117,971
University of Washington (682873)	47 050	83,629
University of Washington (688009)	10 200	115
University of Washington (694146)	93 361	13,573
University of Washington (699010-CYC1)	47 080	3,970
University of Washington (699847)	47 076	2,255
University of Washington (701474-CYC1)	93 273	140,783
University of Washington (702248)	93 853	567
University of Washington (703331)	99 RD	968
University of Washington (709917-CYC2)	93 172	57,220
University of Washington (715141)	93 113	25,126
University of Washington (717725)	10 200	19,148
ARRA-University of Washington (718853Z)	93 701	28
University of Washington (720069-CYC1)	93 865	49,207
University of Washington (721200)	10 200	750
University of Washington (721201)	10 200	762
University of Washington (721203)	10 200	11,465
University of Washington (721784)	93 866	62,509
University of Washington (721785-CYC1)	93 866	25,000
University of Washington (721786)	93 866	24,647
University of Washington (725445)	47 074	32,643
University of Washington (726916)	47 074	4,703
University of Washington (727152)	10 310	357,176
University of Washington (727195)	43 001	39,954
University of Washington (727449)	93 853	7,121
University of Washington (727574)	47 049	90,530
University of Washington (727977)	93 135	22,332
University of Washington (728255)	81 049	64,304
University of Washington (729081)	93 067	275,518
University of Washington (730506)	93 865	42,771
University of Washington (739640)	93 172	16,753
University of Washington (79969)	93 866	(1,885)
University of Washington (83159)	93 849	2
University of Washington (83199)	93 839	3
University of Washington (83349)	93 853	(13,727)
University of Washington (84115)	93 239	318

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Washington (85519)	93 866	(322)
University of Washington (85593)	93 865	673,990
University of Washington (85923)	93 837	119,186
University of Washington (87432)	93 865	(1,781)
University of Washington (87435)	93 853	17,301
University of Washington (AI078229572298)	93 855	77,385
ARRA-University of Washington (ARRA718852Z)	93 701	4,487
University of Washington (SB110188)	15 RD	4,802
University of Washington (SUB 697080 (AG016976))	93 866	25,955
ARRA-University of Washington (SUB 703066Z (HL103416) ARRA)	93 701	6,167
University of Washington (SUB 712012 (NS065070))	93 853	32
University of Washington (SUB 713253 (NONE))	93 838	10,625
University of Washington (SUB 713472 (HL077863))	93 839	354,220
ARRA-University of Washington (SUB 718854Z (AG036538) ARRA)	93 701	2,158
University of Washington (SUB 718985 (HA06801))	93 RD	22,291
University of Washington (SUB 719201 (NS058302))	93 853	31,792
University of Washington (SUB 731913 (DK082325))	93 847	10,453
University of Washington (SUB 731915 (DK082325))	93 847	38,826
University of Washington (SUB 736194 (NR012841))	93 361	3,340
University of Washington (UCSF#A113910)	93 837	510,054
University of Wisconsin-Madison (042K372)	98 RD	17,229
University of Wisconsin-Madison (076K266)	93 865	10,620
University of Wisconsin-Madison (091K044)	93 838	28,356
University of Wisconsin-Madison (124K692)	12 800	24,833
University of Wisconsin-Madison (12-8901-CYC1)	93 389	22,950
University of Wisconsin-Madison (163K704)	98 RD	232,380
University of Wisconsin-Madison (188K565)	98 001	626,137
University of Wisconsin-Madison (235K841)	93 859	39,021
University of Wisconsin-Madison (252F162)	47 074	231,577
University of Wisconsin-Madison (265K941)	81 121	57,440
University of Wisconsin-Madison (272K031)	47 078	79,159
University of Wisconsin-Madison (300K215)	98 012	108,209
University of Wisconsin-Madison (319K266)	99 RD	39,023
University of Wisconsin-Madison (330K396)	93 866	17,378
University of Wisconsin-Madison (330K400)	93 866	38,340
University of Wisconsin-Madison (331K251)	93 859	44,428
University of Wisconsin-Madison (339K485)	93 837	3,812
University of Wisconsin-Madison (352K785)	93 859	111,076
University of Wisconsin-Madison (363K716)	93 855	117,427
University of Wisconsin-Madison (375K233)	81 049	40,897
University of Wisconsin-Madison (388K975)	84 368	49,778
University of Wisconsin-Madison (57074)	93 866	(140)
University of Wisconsin-Madison (59837)	93 837	1,185
University of Wisconsin-Madison (79775)	47 RD	(3)
University of Wisconsin-Madison (EFRI0937847)	47 041	18,543
University of Wisconsin-Madison (F309960-CYC4)	12 431	24,288
University of Wisconsin-Madison (G068132)	47 RD	51,296
University of Wisconsin-Madison (P686151)	10 001	992
University of Wisconsin-Madison (P699322)	98 001	17,220
University of Wisconsin-Madison (SUB 00007132(EDH-A-00-06-00003)	98 001	13,665
ARRA-University of Wisconsin-Madison (SUB 180K143 (DE-SC0002298) ARR)	81 049	185,009
University of Wisconsin-Madison (SUB 200912248-02 (188K565))	98 001	149,041
University of Wisconsin-Madison (SUB 252F151 (MCB-0929100))	47 074	87,493
University of Wisconsin-Madison (SUB 647F290 (DE-FC02-06ER41436)	81 049	413,784
University of Wisconsin-Madison (UCSF#A113916)	93 RD	444,528
University of Wisconsin-Madison (X279425)	93 866	24,203
University of Wyoming (1001116)	47 074	52,599

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
University of Wyoming (NSF40391)	47 074	764,722
Utah State University (080019043)	10 215	3,077
Utah State University (080861006)	10 215	17,303
Utah State University (080861051)	10 215	15,773
Utah State University (100893003)	10 215	45,886
Utah State University (100893034)	10 215	8,135
Utah State University (110892007)	10 215	30,779
Utah State University (20093864019711)	10 215	14,555
Utah State University (63111)	10 215	4,948
Utopiacompression Corporation (UCLA20102803-2010-108-000)	99 RD	1,862
Vala Sciences, Inc. (2R42DK082087-02A1-CYC1)	93 847	89,237
Vanderbilt University (Tennessee) (18727-S2)	12 RD	30,138
Vanderbilt University (Tennessee) (21951-S2)	93 242	203,056
Vanderbilt University (Tennessee) (21951S4)	93 242	208,817
Vanderbilt University (Tennessee) (78834)	84 RD	(147)
Vanderbilt University (Tennessee) (79821)	93 394	201
Vanderbilt University (Tennessee) (SUB NONE (NS065736))	93 853	405
Vanderbilt University (Tennessee) (SUB VUMC 37644 (DK078473))	93 847	18,356
Vanderbilt University (Tennessee) (SUB VUMC 38186 (DK072473))	93 847	316,873
Vanderbilt University (Tennessee) (SUB VUMC 38441)	93 242	103,108
Vanderbilt University (Tennessee) (SUB VUMC36123 (DK072473))	93 849	37,715
Vanderbilt University (Tennessee) (SUB VUMC36149 (DK072473))	93 849	14,194
Vanderbilt University (Tennessee) (SUB VUMC37645 (DK072473))	93 847	164,216
Vanderbilt University (Tennessee) (SUB VUMC38644)	93 847	145,724
Vanderbilt University (Tennessee) (SUB VUMC38646(DK072473))	93 847	435,465
Vanderbilt University (Tennessee) (UCSF#A118261)	99 RD	107,499
Vanderbilt University (Tennessee) (VUMC 38642)	93 398	150,091
Vanderbilt University (Tennessee) (VUMC34120)	93 838	37,606
Vanderbilt University (Tennessee) (VUMC35084)	93 847	7,130
Vanderbilt University (Tennessee) (VUMC35997)	93 847	2,562
ARRA-Vanderbilt University (Tennessee) (VUMC37036-CYC1)	93 714	139,936
ARRA-Vanderbilt University (Tennessee) (VUMC37037)	93 715	428,741
Vanderbilt University (Tennessee) (VUMC37250)	93 853	23,779
Vanderbilt University (Tennessee) (VUMC38098)	99 RD	86,728
Vanderbilt University (Tennessee) (VUMC38113)	93 847	30,853
Vanderbilt University (Tennessee) (VUMC38168)	93 226	23,231
Vanderbilt University (Tennessee) (VUMC38411 (TW007988))	93 989	20,257
Vanderbilt University (Tennessee) (VUMC38802)	93 389	22,046
Vanderbilt University (Tennessee) (VUMC39262)	93 837	38,994
Ventura County (SUB PC 53300000124(90MA0042/01)	93 048	54,757
Ventura County Community College District (P031C110025)	84 013	34,723
Ventura County Community College District (SB090086)	84 031	36,840
Venture Gain LLC (VG-UCB-0003)	12 420	24,577
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (07841-	99 RD	3,967
Veterans Medical Research Foundation of San Diego (VA Foundation) (12058)	93 866	(117)
Veterans Medical Research Foundation of San Diego (VA Foundation) (81898)	93 853	(149)
Veterans Medical Research Foundation of San Diego (VA Foundation) (82498)	93 242	58
Veterans Medical Research Foundation of San Diego (VA Foundation) (82657)	93 242	(141,127)
Veterans Medical Research Foundation of San Diego (VA Foundation) (83414)	93 242	(94)
Veterans Medical Research Foundation of San Diego (VA Foundation) (83442)	93 242	1,818
Veterans Medical Research Foundation of San Diego (VA Foundation) (83447)	93 848	(4,850)
Veterans Medical Research Foundation of San Diego (VA Foundation) (83462)	93 866	(147)
Veterans Medical Research Foundation of San Diego (VA Foundation) (83474)	93 849	(462)
Veterans Medical Research Foundation of San Diego (VA Foundation) (83493)	93 839	(4,914)
Veterans Medical Research Foundation of San Diego (VA Foundation) (83494)	93 839	(6,821)
Veterans Medical Research Foundation of San Diego (VA Foundation) (83499)	93 866	(1,389)
Veterans Medical Research Foundation of San Diego (VA Foundation) (83505)	93 837	(1,595)

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Veterans Medical Research Foundation of San Diego (VA Foundation) (83516)	93 837	(7,573)
Veterans Medical Research Foundation of San Diego (VA Foundation) (83540)	93 855	(61,679)
Veterans Medical Research Foundation of San Diego (VA Foundation) (83553)	93 866	20
Veterans Medical Research Foundation of San Diego (VA Foundation) (83566)	12 RD	149
Veterans Medical Research Foundation of San Diego (VA Foundation) (83590)	93 389	42
Veterans Medical Research Foundation of San Diego (VA Foundation) (83591)	93 855	(10,786)
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (87421)	93 701	(5,057)
Veterans Medical Research Foundation of San Diego (VA Foundation) (87455)	93 839	1,924
Veterans Medical Research Foundation of San Diego (VA Foundation) (87614)	93 838	14,304
Veterans Medical Research Foundation of San Diego (VA Foundation) (HL66941)	93 839	6,720
Veterans Medical Research Foundation of San Diego (VA Foundation) (JPA EYLER 3)	93 242	7,854
Veterans Medical Research Foundation of San Diego (VA Foundation) (JPA RESOVSK)	12 420	91,745
Veterans Medical Research Foundation of San Diego (VA Foundation) (SUB 287759)	93 839	56,764
Veterans Medical Research Foundation of San Diego (VA Foundation) (SUB NONE(HL	93 839	54,644
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BRYAN	93 856	137,919
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GOLSHA	93 242	4,379
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA T	93 701	3,410
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TERKEL	93 837	54,077
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA 301387	93 242	7,605
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA AARONS	93 242	45,511
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ALDERN	93 855	7,291
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ALDERN	93 855	19,735
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BANGEN	93 866	5,850
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BARNARD	93 834	16,451
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BARRETT	93 839	13,314
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BEADLE	93 855	25,276
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BEADLE	93 856	17,192
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BELIAKO	93 855	24,793
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BHARGAV	93 839	10,717
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BISWAS	12 340	10,391
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BLAIR 2	93 856	69,929
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BLAIR 2	93 RD	41,991
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BLANCHA	93 837	10,398
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BLANTZ	93 849	98,374
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BUCK 29	93 847	74,782
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BUCK 30	93 847	56,580
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA BURTON	93 855	10,878
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA CH	93 701	24,542
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA CHALBER	93 855	13,619
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA CHOJKIE	93 848	210,380
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA COREY-B	93 866	27,772
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA CUMMINS	93 837	12,442
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DALE 30	93 866	13,123
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DELANO-	93 866	4,438
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DILIP 3	93 242	44,947
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DR	93 701	35,642
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA DRUMMON	47 075	(408)
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA EYLER 2	93 242	40,743
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA FERAMIS	93 837	4,083
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA FILOTEO	93 866	1,910
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA FITZGER	93 855	6,440
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA FOLSOM	93 242	14,187
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA FRANK 2	12 420	13,921
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GEYER 3	12 340	6,269
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GINSBER	93 846	21,316
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GOLSHAN	93 242	9,268
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GOLSHAN	93 242	9,268

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GR	93 701	574
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GRANHOL	93 242	84,457
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GRETHE	12 420	32,130
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GUATELL	93 856	87,422
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA GUATELL	93 856	83,885
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HAHN 29	93 371	3,046
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HALTERM	12 420	9,045
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HALTERM	12 420	9,044
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HAMMOND	93 837	85,637
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HAMMOND	93 837	49,717
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HAMMOND	93 837	61,373
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HAMMOND	93 837	64,365
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HARMELL	93 242	1,348
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HEAD 30	93 859	3,550
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HEMAL 3	93 859	19,892
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HOANG 2	93 242	5,604
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HOSTETL	93 855	54,127
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HOSTETL	93 855	54,148
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA HUANG 2	93 837	9,901
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA IX 2996	93 837	53,768
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA JA	93 701	15,180
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA JAIN 29	12 420	25,438
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA JAK 300	93 866	13,453
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA KELSOE	93 242	104
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA KELSOE	93 242	11,490
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LANDSBE	93 856	3,545
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LANG 29	12 420	34,134
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LANG 29	12 420	14,508
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LANOJET	93 242	25,914
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LAUGHLI	93 839	41,523
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LEWINSK	93 855	7,062
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LINDAME	93 242	11,964
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LITTLE	93 855	21,639
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LIU 295	12 RD	11,755
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LIU 297	93 242	12,585
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LIU 300	93 866	13,646
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA LOONEY	93 856	14,973
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA MAHMOOD	93 279	15,087
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA MAISEL	93 837	23,145
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA MIYANOH	93 837	6,796
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NA	93 701	52,469
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NAPPI 2	12 420	2,498
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NAPPI 2	12 RD	(793)
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NAPPI 3	47 075	10,267
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NEE 299	93 837	41,501
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA NIESMAN	93 859	40,416
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA OZYURT	93 389	3,440
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PALMER	93 866	49,055
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PANNEER	93 859	32,242
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PATEL 3	93 859	53,379
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PAULUS	47 075	1,759
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PAYUMO	12 420	9,559
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PENNY 2	93 839	36,392
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA POLITIS	12 420	5,508
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA POND 29	93 856	11,240
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA POWELL	93 279	3,015
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA PRICE 3	93 839	9,832

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RAMAN 2	12 420	25,434
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RE	93 701	17,245
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA REARDON	93 855	4,243
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RESOVSK	47 075	672
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RESOVSK	99 RD	4,914
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RI	93 856	6,993
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RICHMAN	93 855	6,857
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RISBROU	12 340	26,701
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RISBROU	12 420	26,486
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RIVERA-	93 847	134,017
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROBINSO	12 420	41,098
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROBINSO	12 420	23,485
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RODGERS	12 420	11,671
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA RODGERS	12 420	4,669
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROSS 29	93 837	30,098
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROSS 30	93 837	28,247
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROTH 29	93 837	33,844
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROTH 29	93 837	22,988
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROTH 29	93 837	13,532
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ROTH 29	93 839	10,833
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SALMON	93 866	15,097
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SATRIAN	93 847	13,499
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SATRIAN	93 849	6,229
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SC	93 701	34,362
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SCHIEHS	93 866	590
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SCHRIER	93 855	11,983
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SCHULTE	93 270	30,458
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SCHULTE	93 859	7,824
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SCOTT 2	93 849	32,456
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SIMMONS	12 420	2,938
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SINGH 3	93 855	59,708
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SMITH 2	93 856	36,410
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SMITH 3	93 855	14,144
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SMITH 3	93 856	20,739
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SOMMERF	93 242	8,794
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SOTO 30	93 855	43,339
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SP	93 856	16,450
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPADONI	12 RD	26,582
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPELLMA	93 837	46,993
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPINA 2	93 847	9,050
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPINA 2	93 856	23,126
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPINA 3	93 855	9,049
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA SPINA 3	93 855	53,945
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA STEPNOW	93 866	3,848
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA STRIGO	12 420	68,669
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA STRIGO	12 RD	19,718
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TERKELT	93 837	35,845
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TERKELT	93 855	27,068
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA THEILMA	93 242	10,288
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TSan 29	12 420	29,780
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA TSan 30	12 340	10,894
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA VALIAEV	93 856	39,540
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA VALLON	93 837	58,906
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA VALLON	93 849	25,903
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA VALLON	93 RD	60,552
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WA	93 701	96,136
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WASSEL	93 837	28,420

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WEBSTER	93 397	2,221
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WETHERE	12 420	15,591
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WETHERE	47 075	34,019
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WETHERE	93 242	21,122
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WIERENG	93 866	4,161
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WITKE 3	93 856	102,972
ARRA-Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WO	93 701	15,321
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WOELK 2	93 855	53,629
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WOELK 3	93 855	23,773
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA WOELK 3	93 856	18,432
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA YOUNG 2	93 846	54,432
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ZHANG 3	12 340	34,458
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ZISOOK	93 242	38,554
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ZURAW 2	93 855	122,659
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA ZURAW 2	93 RD	105,537
Virginia Commonwealth University (005406)	47 041	58,909
Virginia Commonwealth University (PD301817-SC102331)	93 865	49,537
Virginia Commonwealth University (PT100978-SC100661-CYC8)	93 393	44,820
ARRA-Virginia Commonwealth University (PT104691-SC101726)	93 701	11,267
Virginia Commonwealth University (SUB PT107094-SC103492 (DE-AR00)	81 135	61,520
Virginia Polytechnic Institute (19326425904)	98 001	14,322
Virginia Polytechnic Institute (42597619326)	98 001	31,650
Virginia Polytechnic Institute (42597619326A)	98 001	19,865
Virginia Polytechnic Institute (430345-19097)	12 300	83,208
Virginia Polytechnic Institute (431602-19905-CYC1)	93 855	116,450
Virginia Polytechnic Institute (431633-19905-CYC2)	93 855	31,167
Virginia Polytechnic Institute (450124-19905-CYC1)	12 910	31,930
Virginia Polytechnic Institute (478348-19910)	47 074	5,704
Virginia Polytechnic Institute (688A00100001500)	98 001	91,489
ARRA-Virginia Polytechnic Institute (ARRA47812119326)	47 082	23,901
Virginia Polytechnic Institute (SUB 432844-19A28 (D12PC00337))	97 001	3,283
Vistagen Therapeutics, Inc. (SUB NONE (DA018515))	93 279	417,717
Vitalea Science, Inc. (87901)	93 848	7,531
Vpdiagnostics, Inc. (SBIR 2 R44 HL070576)	99 RD	3,561
Wake Forest University (82598)	93 859	(216)
Wake Forest University (SUB WFUHS 10385 (HL-104199))	93 837	43,678
Wake Forest University (WFUHS 14501)	93 389	43,825
ARRA-Wake Forest University (WFUHS/UC-66662)	93 701	30,802
ARRA-Wake Forest University (WFUHS/UCLA-66631)	93 701	26,452
Wake Forest University (WFUHS11200)	93 866	7,639
Wake Forest University (WFUHS14111)	93 847	16,363
Wake Forest University (WFUHS14130(REVISED))	93 847	96,184
ARRA-Wake Forest University (WFUHS66661)	93 701	18,631
Walden House, Inc. (20114625)	93 243	55,966
Washington State University (104099_G002809)	93 113	173,221
Washington State University (104536G002848)	93 865	103,576
Washington State University (1088150G002901)	10 500	18,668
Washington State University (1088150G002901)	10 500	12,088
Washington State University (108936_G002304)	10 206	781
Washington State University (111343_G002436)	10 309	36,252
Washington State University (111602 G002687)	93 394	143,381
Washington State University (115320 G002931)	10 310	74,157
Washington State University (115761G002874)	81 RD	9,363
Washington State University (UCSF #A119355)	93 RD	19,460
Washington University (St Louis, Mo) (004368)	81 049	195,585
Washington University (St Louis, Mo) (82442)	93 853	(1,455)
Washington University (St Louis, Mo) (85529)	93 395	12,585

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
ARRA-Washington University (St Louis, Mo) (ARRAWU10125)	93 701	14,074
Washington University (St Louis, Mo) (SUB WU-00-49 (AR 33097))	93 846	27,988
Washington University (St Louis, Mo) (SUB WU-09-287 (NS03228))	93 853	46,080
ARRA-Washington University (St Louis, Mo) (SUB WU-10-144 (AG036045) ARRA)	93 701	30,632
Washington University (St Louis, Mo) (SUB WU-11-115 (CA141549))	93 396	9,954
Washington University (St Louis, Mo) (SUB WU-11-226 (AR057836))	93 846	63,710
Washington University (St Louis, Mo) (SUB WU-11-255(N01-HR-76196))	93 RD	18,052
Washington University (St Louis, Mo) (SUB WU-11-32 (AG032438))	93 866	481,727
Washington University (St Louis, Mo) (SUB WU-11-52 (EB012284))	93 286	137,943
Washington University (St Louis, Mo) (SUB WU-12-127 (CA141549))	93 396	306,927
Washington University (St Louis, Mo) (SUB WU-15-128 (CA141549))	93 396	123,833
Washington University (St Louis, Mo) (SUB WU-99-80 (NS32228))	93 853	52,469
Washington University (St Louis, Mo) (WU-11-103)	93 837	91,239
ARRA-Washington University (St Louis, Mo) (WU-11-207)	93 701	102,830
Washington University (St Louis, Mo) (WU-11-40)	93 866	103,676
Washington University (St Louis, Mo) (WU-11-69)	93 837	466,012
Washington University (St Louis, Mo) (WU-11-70)	93 RD	137,256
Washington University (St Louis, Mo) (WU1173)	93 396	214,753
Washington University (St Louis, Mo) (WU-12-101)	93 242	21,490
Washington University (St Louis, Mo) (WU12124)	93 389	54,992
Washington University (St Louis, Mo) (WU-12-20)	93 853	30,556
Washington University (St Louis, Mo) (WU-12-211)	93 838	9,233
Washington University (St Louis, Mo) (WU-HT-12-15)	93 859	1,225
Water Environment Research Foundation (INFR2R12-CYC1)	66 511	2,121
Wayne State University (30976)	93 853	9,569
Wayne State University (5U01NS061264)	93 853	47,487
Wayne State University (WSU07076)	47 049	50,558
ARRA-Wayne State University (WSU09096)	93 701	10,015
Wayne State University (WSU11032)	93 865	28,939
Weidlinger Associates, Inc. (Cambridge, Ma) (57941)	99 RD	10,618
Wellcome Trust, The (0244-03-007)	93 172	281,385
Wellesley College (25626-1)	99 RD	23,332
ARRA-West Valley Vector Control District, San Bernardino County (00006754)	93 701	7,433
ARRA-West Valley Vector Control District, San Bernardino County (71226)	93 701	258
ARRA-West Valley Vector Control District, San Bernardino County (71377)	93 701	(5,628)
ARRA-West Valley Vector Control District, San Bernardino County (UCSF#A115504)	93 701	56,517
West Valley Vector Control District, San Bernardino County (UCSF#A119661)	99 RD	532
West Virginia University (DC007695)	93 173	8,194
Westat (8182-S09)	93 865	110,388
Westat (82545)	93 279	8,571
Westat (82546)	93 279	36,481
Westat (8489)	93 RD	879
Westat (8530-S034)	99 RD	73,546
Westat (8530-S039)	93 RD	533,895
ARRA-Westat (8771-S09)	93 701	180,235
Westat (8848-S-001)	99 RD	55,039
Westat (8967.01-S02)	99 RD	37,308
Westat (SUB S8954 (HHSN271201100027C))	93 RD	85,958
Westat (UCSF#A105994)	93 RD	30,068
Wested (5387 S07-091)	84 305	170,194
Wested (S11089)	84 305	110,214
Wested (S11-097)	84 368	28,793
Wested (S11-208-CYC1)	47 076	2,140
Wichita State University (SUB3152)	20 109	24,726
Wildlife Trust (now Eco Health Alliance) (63066)	93 989	31,935
Williams College (SUB2008-02-COMPSCI (NONE))	47 RD	3,551
Wistar Institute, The (24622-04-314)	93 396	262,203

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Pass Through Funds Expended (Continued)		
Women & Infants Hospital of Rhode Island (58985)	93 279	(1,739)
Women & Infants Hospital of Rhode Island (9515)	93 279	30,981
Women's Dermatologic Society (88997)	47 RD	25
Woods Hole Oceanographic Institution (87254)	47 050	367,293
Woods Hole Oceanographic Institution (A100530)	12 300	33,169
Woods Hole Oceanographic Institution (A100608)	11 460	103,121
ARRA-Woods Hole Oceanographic Institution (A100657 ARRA)	47 050	128,792
Woods Hole Oceanographic Institution (A100657)	47 050	1,460,189
Woods Hole Oceanographic Institution (A100716)	47 050	43,815
Woods Hole Oceanographic Institution (A100753)	12 RD	196,788
Woods Hole Oceanographic Institution (SUB NONE (A100749))	12 300	80,543
Woods Hole Oceanographic Institution (WHRC-UCI2008-CYC4)	43 RD	1,038
World Learning for International Development (WL-50657-CYC2)	19 402	2,639
Wright State University (032216)	93 855	38,938
Wyle Laboratories (ELS0022482)	12 RD	20,878
Wyle Laboratories (P.O. DD8489-002)	99 RD	74,722
Wyle Laboratories (T72213)	43 001	2,426
Xoma Corporation (UCSF#A111083)	93 RD	293,303
Xoma Corporation (UCSF#A118702)	93 RD	378,640
Yale University (492012-CYC1)	93 855	162,069
Yale University (83036)	93 853	38
Yale University (83130)	93 853	20,950
Yale University (A06509)	93 847	16,339
Yale University (A06800)	93 RD	(20,422)
Yale University (A07146-CYC4)	93 837	6,309
Yale University (A07164)	93 837	217,827
Yale University (A07330(M09A10333))	93 864	162,475
Yale University (A07593)	93 853	11,051
Yale University (A07626)	93 113	10,647
Yale University (A07956(M11A10846))	99 RD	188,669
Yale University (A08100 (M08A00696))	93 853	239,492
Yale University (A08154-CYC1)	93 855	15,977
ARRA-Yale University (AS0192)	93 701	6,348
Yale University (C09P10246-CYC3)	45 312	34,358
Yale University (M11A11120(A08303))	93 RD	122,602
Yale University (M12A11112(A08266))	99 RD	445,805
Yale University (M12A11145(A08367))	93 853	70,683
Yale University (M12A11208(A08273)-CYC1)	93 862	124,284
ARRA-Yale University (M12A11307(AS0201))	93 701	16,876
Yale University (OSR #05039318)	93 853	29,489
Yale University (SUB M10A10554 (NS044876))	93 853	80,954
Yeshiva University (79437)	93 866	(1,210)
Yeshiva University (9-526-2515)	93 866	33,929
Yeshiva University (9-526-3218)	93 837	21,000
Yeshiva University (9-526-4875-DARRAGH)	93 393	10,713
Yeshiva University (9-526-4875-MCCUNE)	93 393	132,068
Yeshiva University (9-526-5266)	93 859	256,412
Yeshiva University (9-526-5736)	93 866	17,448
Yeshiva University (OSR #05040746)	93 393	146,800
Yeshiva University (SUB 9526-4424 (HL095856))	93 837	158,809
Ziva Corporation (59903)	12 910	65
Ziva Corporation (SUB 20095388 (W31P4Q-10-C-0063))	12 910	37,624
Zona Technology, Inc. (82079)	43 RD	18,888
Total Pass Through Funds Expended		428,858,614

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Partial Pass Through Funds Expended		
Aeon Imaging, LLC (031912)	93 867	185,396
Argonne National Laboratory (DOE Goco Lab Operated by Univ of Chic) (1F31622)	81 RD	49,946
Argonne National Laboratory (DOE Goco Lab Operated by Univ of Chic) (IF31821)	81 RD	29,655
Arizona State University/Tempe (12-762)	47 076	21,322
Avon Foundation, Inc. (02-2010-078)	99 RD	283,325
Baylor College of Medicine (100484328)	93 173	132,671
Brigham and Women's Hospital (33157)	93 855	10,000
Cal Humanities (21704)	45 RD	2,033
California Delta Stewardship Council (1051)	66 606	74,707
California Department of Corrections and Rehabilitation (CSA 366-10)	16 RD	99,954
California Department of Education Curriculum and Instruction (96710)	84 367	5,749
California Department of Education Curriculum and Instruction (96712)	84 367	4,084
California Department of Education Curriculum and Instruction (NCLB8-CWP-UCB)	84 367	10,045
California Department of Education Curriculum and Instruction (NCLB8-CWP-UCB)	84 367	18,027
California Department of Food and Agriculture (004587)	10 170	109,074
California Department of Food and Agriculture (SCB10002)	10 169	28,273
California Department of Food and Agriculture (SCB10003)	10 170	205,679
California Department of Food and Agriculture (SCB10044)	10 170	73,367
California Department of Public Health (1110698)	93 RD	88,160
California Department of Social Services (08-000296-02-UCB)	93 658	14,966
California Department of Social Services (15575)	93 RD	(6,635)
California Department of Social Services (77733)	93 558	17,767
California Department of Transportation (18220)	20 RD	140,623
California Department of Transportation (65A0275)	20 RD	1,415,813
California Department of Transportation (65A0333)	20 RD	207,816
California Dept of Transportation Division of Research and Innovat (87615)	20 RD	1,086
California Emergency Management Agency (AT10071141)	97 RD	62,207
California Emergency Management Agency (EM10 14 1141)	97 067	732,968
California Energy Commission (40010013)	81 RD	116,516
California Energy Commission (MR-045)	81 RD	2,147,187
California Office of Traffic Safety (18876)	20 600	(279)
California Postsecondary Education Commission (09-14349-3001-6A)	84 287	1,242,466
California Postsecondary Education Commission (09-14535-3001-6A)	84 287	926,271
California Postsecondary Education Commission (09-14603-3001-6A)	84 287	39,484
California Postsecondary Education Commission (09-14604-3001-6A)	84 287	43,093
California Postsecondary Education Commission (09-14765-3001-6A)	84 287	222,245
California Postsecondary Education Commission (09-14788-3001-6A)	84 287	136,220
Computing Research Association (CIF-D-018)	47 070	92,902
Dartmouth College (829)	97 001	166,220
Educational Testing Service (UCB-IES-305D)	84 RD	70,283
Erc, Incorporated (RS120245)	99 RD	8,915
Evolved Machines, Inc. (029182)	12 910	167,041
First 5 Alameda County (25927)	84 395	(1,772)
Georgia Institute of Technology (004262)	47 RD	1,029
Georgia Institute of Technology (RA306-S5-002)	99 RD	107,920
Internews Network (F5003-UCB-00)	19 RD	106,396
Internews Network (F5047-UCB-00)	19 RD	97,461
Jumpstart for Young Children, Inc. (BB PROJ #880200)	94 006	75,489
Lawrence Livermore National Security, LLC (33127)	81 RD	50,000
Lawrence Livermore National Security, LLC (B597718)	81 RD	155,383
Lawrence Livermore National Security, LLC (B598797)	81 049	11,943
Michigan State University (58654)	98 001	66,456
Michigan State University (58655)	98 001	118,425
Michigan State University (61-2349UCB)	47 076	200,461
Michigan State University (61-2946)	98 001	42,232

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Partial Pass Through Funds Expended (Continued)		
Microelectronics Advanced Research Corporation (Marco)(Src Subsidiary) (004508)	12 910	35,049
National Fish and Wildlife Foundation (20090037003)	15 231	69,491
Northern California Institute for Research and Education, Inc. (59193)	92 242	75,747
Northern California Institute for Research and Education, Inc. (59487)	93 846	124,121
Northern California Institute for Research and Education, Inc. (59900)	93 846	118,634
Northern California Institute for Research and Education, Inc. (59951)	93 847	6,709
Northern California Institute for Research and Education, Inc. (82801)	99 RD	153,699
Northern California Institute for Research and Education, Inc. (82847)	93 864	6,777
Northern California Institute for Research and Education, Inc. (82853)	99 RD	147,588
Northern California Institute for Research and Education, Inc. (82859)	93 837	158,209
Northern California Institute for Research and Education, Inc. (82873)	93 859	129,647
Northern California Institute for Research and Education, Inc. (82876)	99 RD	193,525
Northern California Institute for Research and Education, Inc. (82877)	93 394	39,119
Northern California Institute for Research and Education, Inc. (82900)	93 853	61,563
Northern California Institute for Research and Education, Inc. (82902)	93 396	49,539
Northern California Institute for Research and Education, Inc. (82909)	99 RD	3,237
Northern California Institute for Research and Education, Inc. (82925)	99 RD	39,362
Northern California Institute for Research and Education, Inc. (82940)	93 837	77,877
Northern California Institute for Research and Education, Inc. (82943)	93 837	11,268
Northern California Institute for Research and Education, Inc. (82948)	93 286	227
Northern California Institute for Research and Education, Inc. (82984)	99 RD	57,148
Northern California Institute for Research and Education, Inc. (82987)	99 RD	(8)
Northern California Institute for Research and Education, Inc. (82996)	93 929	95,040
Northern California Institute for Research and Education, Inc. (83214)	93 856	99,601
Northern California Institute for Research and Education, Inc. (83248)	93 866	21,389
Northern California Institute for Research and Education, Inc. (83280)	99 RD	109,431
Northern California Institute for Research and Education, Inc. (83361)	93 847	58,843
Northern California Institute for Research and Education, Inc. (83362)	99 RD	124,092
Northern California Institute for Research and Education, Inc. (83366)	99 RD	64,686
Northern California Institute for Research and Education, Inc. (84008)	93 859	43,999
Northern California Institute for Research and Education, Inc. (84009)	93 866	196,518
Northern California Institute for Research and Education, Inc. (84014)	93 866	81,545
Northern California Institute for Research and Education, Inc. (84015)	93 866	38,754
Northern California Institute for Research and Education, Inc. (84016)	93 866	228,158
Northern California Institute for Research and Education, Inc. (84020)	93 866	10,029
Northern California Institute for Research and Education, Inc. (84026)	93 866	17,306
Northern California Institute for Research and Education, Inc. (84032)	99 RD	149,392
Northern California Institute for Research and Education, Inc. (84033)	93 375	127,083
Northern California Institute for Research and Education, Inc. (84041)	99 RD	110,150
Northern California Institute for Research and Education, Inc. (84051)	99 RD	17,643
Northern California Institute for Research and Education, Inc. (84053)	99 RD	100,981
Northern California Institute for Research and Education, Inc. (84055)	99 RD	147,111
Northern California Institute for Research and Education, Inc. (84057)	93 853	156,370
Northern California Institute for Research and Education, Inc. (84062)	99 RD	(3,285)
Northern California Institute for Research and Education, Inc. (84063)	99 RD	3
Northern California Institute for Research and Education, Inc. (84067)	99 RD	82,575
Northern California Institute for Research and Education, Inc. (84068)	99 RD	46,652
Northern California Institute for Research and Education, Inc. (84070)	99 RD	45,850
Northern California Institute for Research and Education, Inc. (84073)	99 RD	19,371
Northern California Institute for Research and Education, Inc. (84076)	99 RD	154,651
Northern California Institute for Research and Education, Inc. (84077)	99 RD	39,464
Northern California Institute for Research and Education, Inc. (84078)	99 RD	8,178
Northern California Institute for Research and Education, Inc. (84080)	99 RD	20,249
Northern California Institute for Research and Education, Inc. (84082)	99 RD	71,606
Northern California Institute for Research and Education, Inc. (84086)	99 RD	49,179
Northern California Institute for Research and Education, Inc. (84087)	99 RD	91,655
Northern California Institute for Research and Education, Inc. (84088)	99 RD	(5,107)

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Partial Pass Through Funds Expended (Continued)		
Northern California Institute for Research and Education, Inc. (84089)	99 RD	3,680
Northern California Institute for Research and Education, Inc. (84090)	99 RD	48,050
Northern California Institute for Research and Education, Inc. (84091)	99 RD	34,750
Northern California Institute for Research and Education, Inc. (84092)	99 RD	199,039
Northern California Institute for Research and Education, Inc. (84093)	99 RD	172,131
Northern California Institute for Research and Education, Inc. (84095)	99 RD	126,256
Northern California Institute for Research and Education, Inc. (84096)	99 RD	45,831
Northern California Institute for Research and Education, Inc. (84097)	99 RD	108,755
Northern California Institute for Research and Education, Inc. (84098)	99 RD	82,403
Northern California Institute for Research and Education, Inc. (84103)	99 RD	9,148
Northern California Institute for Research and Education, Inc. (84106)	99 RD	22,532
Northern California Institute for Research and Education, Inc. (84110)	99 RD	70,677
Northern California Institute for Research and Education, Inc. (84116)	99 RD	32,082
Northern California Institute for Research and Education, Inc. (84117)	99 RD	9,529
Northern California Institute for Research and Education, Inc. (84120)	99 RD	52,586
Northern California Institute for Research and Education, Inc. (84122)	99 RD	33,624
Northern California Institute for Research and Education, Inc. (84123)	99 RD	48,263
Northern California Institute for Research and Education, Inc. (84126)	99 RD	124,021
Northern California Institute for Research and Education, Inc. (84127)	99 RD	132,893
Northern California Institute for Research and Education, Inc. (84128)	99 RD	81,907
Northern California Institute for Research and Education, Inc. (84129)	99 RD	53,507
Northern California Institute for Research and Education, Inc. (84131)	99 RD	7,220
Northern California Institute for Research and Education, Inc. (84132)	99 RD	32,821
Northern California Institute for Research and Education, Inc. (84137)	99 RD	81,511
Northern California Institute for Research and Education, Inc. (84138)	99 RD	(169)
Northern California Institute for Research and Education, Inc. (84140)	99 RD	30,891
Northern California Institute for Research and Education, Inc. (84142)	99 RD	43,090
Northern California Institute for Research and Education, Inc. (84144)	99 RD	1,118
Northern California Institute for Research and Education, Inc. (84147)	99 RD	(62)
Northern California Institute for Research and Education, Inc. (84149)	99 RD	28,168
Northern California Institute for Research and Education, Inc. (84151)	99 RD	7,983
Northern California Institute for Research and Education, Inc. (84153)	99 RD	51,213
Northern California Institute for Research and Education, Inc. (84154)	99 RD	78,705
Northern California Institute for Research and Education, Inc. (84155)	99 RD	37,202
Northern California Institute for Research and Education, Inc. (84157)	99 RD	5,278
Northern California Institute for Research and Education, Inc. (84159)	99 RD	15,110
Northern California Institute for Research and Education, Inc. (84160)	99 RD	28,755
Northern California Institute for Research and Education, Inc. (84161)	99 RD	14,042
Northern California Institute for Research and Education, Inc. (84162)	99 RD	172,863
Northern California Institute for Research and Education, Inc. (84163)	99 RD	14,806
Northern California Institute for Research and Education, Inc. (84165)	99 RD	8,685
Northern California Institute for Research and Education, Inc. (84166)	99 RD	18,929
Northern California Institute for Research and Education, Inc. (84168)	99 RD	23,733
Northern California Institute for Research and Education, Inc. (84170)	99 RD	13,714
Northern California Institute for Research and Education, Inc. (84171)	99 RD	272
Northern California Institute for Research and Education, Inc. (84172)	99 RD	21,042
Northern California Institute for Research and Education, Inc. (84173)	99 RD	9,341
Northern California Institute for Research and Education, Inc. (84174)	99 RD	12,177
Northern California Institute for Research and Education, Inc. (84175)	99 RD	62,856
Northern California Institute for Research and Education, Inc. (84176)	99 RD	70,214
Northern California Institute for Research and Education, Inc. (84177)	99 RD	6
Northern California Institute for Research and Education, Inc. (84178)	99 RD	16,332
Northern California Institute for Research and Education, Inc. (84179)	99 RD	22,498
Northern California Institute for Research and Education, Inc. (84180)	99 RD	13,794
Northern California Institute for Research and Education, Inc. (84181)	99 RD	35,859
Northern California Institute for Research and Education, Inc. (84182)	99 RD	18,008
Northern California Institute for Research and Education, Inc. (84183)	99 RD	100,447

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Research and Development (Continued)		
Partial Pass Through Funds Expended (Continued)		
Northern California Institute for Research and Education, Inc. (84184)	99 RD	117,352
Northern California Institute for Research and Education, Inc. (84185)	99 RD	32,889
Northern California Institute for Research and Education, Inc. (84186)	99 RD	2,734
Northern California Institute for Research and Education, Inc. (84187)	99 RD	27,147
Northern California Institute for Research and Education, Inc. (84188)	99 RD	61,150
Northern California Institute for Research and Education, Inc. (84189)	99 RD	40,636
Northern California Institute for Research and Education, Inc. (84190)	99 RD	41,901
Northern California Institute for Research and Education, Inc. (84191)	99 RD	42,950
Northern California Institute for Research and Education, Inc. (84192)	99 RD	4,220
Northern California Institute for Research and Education, Inc. (84193)	99 RD	86,855
Northern California Institute for Research and Education, Inc. (84195)	99 RD	6,851
Pacific Northwest National Laboratories (125752)	81 RD	24,649
Rti International (4-340-0211720)	99 RD	2,258
Sri International (85674)	12 RD	(44)
Tahoe Resource Conservation District (201016026)	15 RD	146,755
Terrafore, Inc. (005170)	81 087	72,119
University of Colorado System (System Parent Code) (1548306)	84 305	38,402
University of Illinois (2009-04657-01-00)	47 076	83,050
University of Kentucky/University of Kentucky Research Foundation (UKRF-30481051)	47 050	549
University of Pittsburgh (9003846)	93 865	49,952
University of Southern California (07124, P.O 119942)	47 050	108
University of Southern California (08083, P.O 119942)	47 050	10,903
University of Southern California (PROJ. 07027, PO 119942)	47 050	10,047
Vanderbilt University (Tennessee) (22441-S1)	84 305	21,013
Vanderbilt University (Tennessee) (22511-S1)	47 076	60,744
Veterans Medical Research Foundation of San Diego (VA Foundation) (VPA THOMSON 3)	93 849	14,170
Westat (AG-3198-S-11-0009)	20 200	63,455
Total Partial Pass Through Funds Expended		18,258,686
Total Research And Development Cluster		3,558,732,850
Cal Health & Welfare Social Services Program		
Partial Pass Through Funds Expended		
Cal H&W Social Services, Department of (08-2030)	93 unknown	128,380
Cal H&W Social Services, Department of (11-2036)	93 658	15,163,573
Cal H&W Social Services, Department of (09-2008)	93 unknown	76,744
Cal H&W Social Services, Department of (10-2031)	93 unknown	8,422,582
Total Cal Health & Welfare Social Services Program		23,791,279
Other Programs:		
Federal Agency Direct Awards Expended		
Corporation for Nat'l & Community Service	94 013	304,740
Department of Agriculture	10 001	398,320
Department of Agriculture	10 025	470,161
Department of Agriculture	10 206	3,790
Department of Agriculture	10 210	65,040
Department of Agriculture	10 303	932
Department of Agriculture	10 307	23,228
Department of Agriculture	10 309	19,438
Department of Agriculture	10 310	5,535
Department of Agriculture	10 311	139,234
Department of Agriculture	10 443	40,819

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

Other Programs Direct (Continued) Federal Agency Awards Expended (Continued)	Federal CFDA #	Total Expenditures
Department of Agriculture	10 455	70,060
Department of Agriculture	10 456	(1,743)
Department of Agriculture	10 459	4,885
Department of Agriculture	10 500	328,434
Department of Agriculture	10 652	40,923
Department of Agriculture	10 680	240,317
Department of Agriculture	10 777	12,515
Department of Agriculture	10 902	18,384
Department of Agriculture	10 912	88,165
Department of Agriculture	10 960	33,356
Department of Agriculture	10 962	11,797
Department of Agriculture	10 unknown	<u>2,849,770</u>
Total Department of Agriculture		4,863,360
Department of Commerce	11 417	10,160
Department of Commerce	11 420	41,085
Department of Commerce	11 429	1,730,021
Department of Commerce	11 469	311,666
Department of Commerce	11 473	370
Department of Commerce	11 609	8,281
Department of Commerce	11 618	1,894,738
Department of Commerce	11 unknown	<u>313,753</u>
Total Department of Commerce		4,310,074
Department of Defense		
Advanced Research Projects Agency	12 unknown	228,191
Department of the Air Force	12 800	(3,566)
Department of Army	12 420	1,354,227
Department of Army	12 431	21,494
Department of Army	12 unknown	<u>1,771,814</u>
Total Department of Army		3,147,535
Department of Navy	12 300	265,636
Department of Navy	99 unknown	<u>9,142,833</u>
Total Department of Navy		9,408,469
National Security Agency (NSA)	12 900	32,151
National Security Agency (NSA)	12 unknown	<u>5,833</u>
Total National Security Agency (NSA)		37,984
Separate agencies	12 unknown	115,939
Total Department of Defense		<u>12,934,552</u>
Department of Education	45 024	90,000
Department of Education	47 049	187,266
Department of Education	84 007	121,347
Department of Education	84 015	3,321,444
Department of Education	84 017	136,703
Department of Education	84 021	45,379
Department of Education	84 022	176,359
Department of Education	84 031	996,698
Department of Education	84 033	647,318
Department of Education	84 042	1,039,655

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs Direct (Continued)		
Federal Agency Awards Expended (Continued)		
Department of Education	84 044	2,726,762
Department of Education	84 047	2,385,772
Department of Education	84 063	4,371
Department of Education	84 087	264,183
Department of Education	84 116	770,001
Department of Education	84 159	17,280
Department of Education	84 170	1,924,251
Department of Education	84 184	207,424
Department of Education	84 195	484,558
Department of Education	84 200	1,460,690
Department of Education	84 217	636,538
Department of Education	84 220	220,003
Department of Education	84 229	222,161
Department of Education	84 305	1,629,047
Department of Education	84 334	7,664,704
Department of Education	84 335	496,049
Department of Education	84 336	1,497,077
Department of Education	84 341	(9,610)
Department of Education	84 367	326,639
Department of Education	84 407	321,169
Department of Education	84 unknown	5,616,241
Department of Education	95 008	288,891
Total Department of Education		<u>35,916,370</u>
Department of Energy	81 036	272,852
Department of Energy	81 049	962,988
Department of Energy	81 057	5,833
Department of Energy	81 121	185,312
Department of Energy	81 135	2,542
Department of Energy	81 136	314,537
Department of Energy	81 unknown	2,297,533
Total Department of Energy		<u>4,041,597</u>
Department of Interior	15 236	1,061
Department of Interior	15 507	71,386
Department of Interior	15 657	21,456
Department of Interior	15 808	6,008
Department of Interior	15 945	28,035
Department of Interior	15 656	284,296
Total Department of Interior		<u>412,242</u>
Department of Justice	16 014	9,645
Department of Justice	16 525	224,293
Department of Justice	16 560	223,467
Department of Justice	16 unknown	122,379
Total Department of Justice		<u>579,784</u>
Department of Labor	17 502	269,690
Department of State	19 021	21,365
Department of State	19 402	24,543
Department of State	19 424	5,038
Department of State	19 unknown	126,092
Total Department of State		<u>177,038</u>
Department of Transport	20 200	98,852
Department of Transport	20 701	134,311

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs Direct (Continued)		
Federal Agency Awards Expended (Continued)		
Department of Transport	20 unknown	599,252
Total Department of Transport		832,415
Environmental Protection Agency	66 458	530,289
Environmental Protection Agency	66 509	13,917
Environmental Protection Agency	66 514	43,431
Environmental Protection Agency	66 607	(1,340)
Environmental Protection Agency	66 714	925
Environmental Protection Agency	66 716	464,032
Environmental Protection Agency	66 unknown	16,843
Total Environmental Protection Agency		1,068,097
Department of Health & Human Services		
PHS Children & Families, Administration for (ACF)	93 600	1,279,351
PHS Children & Families, Administration for (ACF)	93 631	136,186
PHS Children & Families, Administration for (ACF)	93 632	537,815
ARRA-PHS Children & Families, Administration for (ACF)	93 709	206,193
PHS Children & Families, Administration for (ACF)	93 887	1,698
Total Office of Human Development Service		2,161,243
PHS (SAMHSA) Mental Health - Center for Mental Health Services	93 243	1,262,481
PHS (SAMHSA) Treatment - Center for Substance Abuse Treatment	93 230	780,309
PHS Substance Abuse and Mental Health Services Administration (SAMHSA)	93 243	770,607
PHS Substance Abuse and Mental Health Services Administration (SAMHSA)	93 unknown	83,173
Total PHS/Samhsa		2,896,570
PHS Aging, Administration on (AOA)	93 051	152,377
PHS/Agency for HealthCare Research & Quality	93 225	331,084
PHS/Agency for HealthCare Research & Quality	93 226	981,350
PHS/Agency for HealthCare Research & Quality	93 226	19,033
Total PHS/Agency for Health Care Research & Quality		1,331,467
PHS/Center for Disease Control	93 067	976,539
PHS/Center for Disease Control	93 068	145,807
PHS/Center for Disease Control	93 262	1,572,781
PHS/Center for Disease Control	93 283	1,036,218
PHS/Center for Disease Control	93 541	515,514
PHS/Center for Disease Control	93 939	981,305
PHS/Center for Disease Control	93 unknown	78,716
Total PHS/Center for Disease Control		5,306,880
PHS/Food & Drug Administration	93 448	439,942
PHS/Food & Drug Administration	99 unknown	3,112
Total PHS/Food & Drug Administration		443,054
PHS/Health Resources & Services Admin	93 107	1,297,854
PHS/Health Resources & Services Admin	93 110	2,473,108
PHS/Health Resources & Services Admin	93 117	199,628
PHS/Health Resources & Services Admin	93 145	992,306
PHS/Health Resources & Services Admin	93 153	537,042
PHS/Health Resources & Services Admin	93 156	1,092,620
PHS/Health Resources & Services Admin	93 157	582,488
PHS/Health Resources & Services Admin	93 186	310,727
PHS/Health Resources & Services Admin	93 224	834,444
PHS/Health Resources & Services Admin	93 247	791,371

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs Direct (Continued)		
Federal Agency Awards Expended (Continued)		
PHS/Health Resources & Services Admin	93 250	301,273
PHS/Health Resources & Services Admin	93 253	2,883,184
PHS/Health Resources & Services Admin	93 358	302,297
PHS/Health Resources & Services Admin	93 359	1,175,167
ARRA-PHS/Health Resources & Services Admin	93 403	113,266
ARRA-PHS/Health Resources & Services Admin	93 404	268,111
ARRA-PHS/Health Resources & Services Admin	93 411	68,813
PHS/Health Resources & Services Admin	93 510	633,983
PHS/Health Resources & Services Admin	93 515	522,916
PHS/Health Resources & Services Admin	93 516	599,632
PHS/Health Resources & Services Admin	93 822	1,018,082
PHS/Health Resources & Services Admin	93 884	983,380
PHS/Health Resources & Services Admin	93 888	124,025
PHS/Health Resources & Services Admin	93 918	721,758
PHS/Health Resources & Services Admin	93 928	83,802
PHS/Health Resources & Services Admin	93 964	88,696
PHS/Health Resources & Services Admin	93 969	731,874
PHS/Health Resources & Services Admin	93 unknown	2,483,162
Total PHS/Health Resource & Service Admin (HRSA)		22,215,009
ARRA-NIH Aging, National Institute on	93 701	124,857
NIH Aging, National Institute on	93 846	5,740
ARRA-NIH Aging, National Institute on	93 866	8,328
NIH Aging, National Institute on	93 866	3,452,032
NIH Aging, National Institute on	93 unknown	805,900
NIH Alcohol Abuse and Alcoholism, National Institute of	92 273	43,639
NIH Alcohol Abuse and Alcoholism, National Institute of	93 272	334,620
NIH Alcohol Abuse and Alcoholism, National Institute of	93 273	311,329
NIH Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of	93 461	(10,493)
NIH Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of	93 846	2,556,309
NIH Arthritis, Musculoskeletal & Skin Diseases, Natl Institute of	93 unknown	109,713
NIH Biomedical Imaging and Bioengineering, Natl Institute of (NIBIB)	93 286	1,579,199
NIH Biomedical Imaging and Bioengineering, Natl Institute of (NIBIB)	93 unknown	31,157
NIH Child Health & Human Development, National Institute of	93 282	48,729
ARRA-NIH Child Health & Human Development, National Institute of	93 701	40,640
NIH Child Health & Human Development, National Institute of	93 864	115,588
NIH Child Health & Human Development, National Institute of	93 865	3,729,966
NIH Child Health & Human Development, National Institute of	93 unknown	168,108
NIH Deafness & Other Communication Disorders, Natl Institute on	93 173	451,483
ARRA-NIH Dental and Craniofacial Research, National Institute of (NIDCR)	93 701	13,597
NIH Dental and Craniofacial Research, National Institute of (NIDCR)	93 121	2,009,062
NIH Dental and Craniofacial Research, National Institute of (NIDCR)	93 unknown	237,588
ARRA-NIH Diabetes, Digestive & Kidney Diseases, National Institute of	93 701	15,743
NIH Diabetes, Digestive & Kidney Diseases, National Institute of	93 847	4,810,520
NIH Diabetes, Digestive & Kidney Diseases, National Institute of	93 848	344,278
NIH Diabetes, Digestive & Kidney Diseases, National Institute of	93 849	502,452
NIH Diabetes, Digestive & Kidney Diseases, National Institute of	93 947	63,056
NIH Diabetes, Digestive & Kidney Diseases, National Institute of	93 unknown	866,006
NIH Drug Abuse, National Institute of (NIDA)	93 277	154,971
NIH Drug Abuse, National Institute of (NIDA)	93 278	459,706
NIH Drug Abuse, National Institute of (NIDA)	93 279	3,267,060
NIH Drug Abuse, National Institute of (NIDA)	93 310	176,939
ARRA-NIH Drug Abuse, National Institute of (NIDA)	93 701	462
NIH Drug Abuse, National Institute of (NIDA)	93 729	2,215
NIH Drug Abuse, National Institute of (NIDA)	93 unknown	35,750
NIH Environmental Health Sciences, National Institute of	93 113	539,748
NIH Environmental Health Sciences, National Institute of	93 142	1,503,630

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs Direct (Continued)		
Federal Agency Awards Expended (Continued)		
ARRA-NIH Environmental Health Sciences, National Institute of	93 701	49,541
ARRA-NIH Environmental Health Sciences, National Institute of	93 unknown	2,363
NIH Environmental Health Sciences, National Institute of	93 unknown	256,311
ARRA-NIH General Medical Science, National Institute of	93 701	214,994
NIH General Medical Science, National Institute of	93 862	(42,368)
NIH General Medical Science, National Institute of	93 unknown	1,443,384
NIH Heart, Lung & Blood, National Institute of	93 233	132,426
ARRA-NIH Heart, Lung & Blood, National Institute of	93 701	(4,307)
NIH Heart, Lung & Blood, National Institute of	93 837	5,645,446
NIH Heart, Lung & Blood, National Institute of	93 838	1,519,389
NIH Heart, Lung & Blood, National Institute of	93 839	896,865
NIH Heart, Lung & Blood, National Institute of	93 unknown	149,051
NIH Heart, Lung & Blood, National Institute of	98 837	33,997
ARRA-NIH John F. Fogarty International Center	93 701	39,007
NIH John F. Fogarty International Center	93 989	2,359,844
NIH John F. Fogarty International Center	93 unknown	61,502
ARRA-NIH Medicine, Natl Library of	93 701	20,796
NIH Medicine, Natl Library of	93 879	475,224
NIH Medicine, Natl Library of	93 unknown	1,038,968
NIH Medicine, Natl Library of	93 unknown	5,434
NIH Mental Health, National Institute of (NIMH)	93 242	2,527,863
NIH Mental Health, National Institute of (NIMH)	93 281	2,854,949
NIH Mental Health, National Institute of (NIMH)	93 282	4,884,810
ARRA-NIH Mental Health, National Institute of (NIMH)	93 701	5,024
NIH Mental Health, National Institute of (NIMH)	93 unknown	42,486
NIH National Cancer Institute (NCI)	93 393	589,153
NIH National Cancer Institute (NCI)	93 395	599,501
NIH National Cancer Institute (NCI)	93 398	8,303,687
ARRA-NIH National Cancer Institute (NCI)	93 701	148,188
NIH National Cancer Institute (NCI)	93 unknown	300,762
NIH Natl Ctr Complementary & Alternative Medicine	93 213	1,409,402
NIH Natl Ctr on Minority Health and Health Disparities	93 307	455,513
NIH Natl Ctr on Minority Health and Health Disparities	93 375	252,872
NIH Natl Ctr on Minority Health and Health Disparities	93 unknown	103,283
NIH Natl Ctr Research Resources	93 350	1,704
NIH Natl Ctr Research Resources	93 389	1,184,695
ARRA-NIH Natl Ctr Research Resources	93 701	(2,339)
NIH Natl Ctr Research Resources	93 853	18,830
NIH Natl Ctr Research Resources	93 unknown	42,660
ARRA-NIH Natl Eye Institute	93 701	29,652
NIH Natl Eye Institute	93 867	1,613,173
NIH Natl Human Genome Research Institute	93 172	1,120,901
NIH Natl Human Genome Research Institute	93 310	653,006
NIH Natl Human Genome Research Institute	93 unknown	9,942
ARRA-NIH Natl Inst of Allergy and Infectious Diseases	93 701	93,404
NIH Natl Inst of Allergy and Infectious Diseases	93 855	5,591,301
NIH Natl Inst of Allergy and Infectious Diseases	93 856	203,809
NIH Natl Inst of Allergy and Infectious Diseases	93 unknown	70,009
NIH Neurological Disorders & Stroke, Natl Institute of	93 853	4,537,499
NIH Neurological Disorders & Stroke, Natl Institute of	93 unknown	38,820
NIH Neurological Disorders & Stroke, Natl Institute of	93 unknown	4,045
NIH Nursing Research, National Institute of (NINR)	93 361	1,404,237
NIH Nursing Research, National Institute of (NINR)	93 unknown	32,175
NIH Office of the Director	93 351	83,610
NIH/Miscellaneous agencies & departments	93 113	330,799
NIH/Miscellaneous agencies & departments	93 262	(191)
NIH/Miscellaneous agencies & departments	93 286	102,727

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs Direct (Continued)		
Federal Agency Awards Expended (Continued)		
NIH/Miscellaneous agencies & departments	93 350	112,747
NIH/Miscellaneous agencies & departments	93 389	425,334
NIH/Miscellaneous agencies & departments	93 837	(10,734)
NIH/Miscellaneous agencies & departments	93 846	170,874
NIH/Miscellaneous agencies & departments	93 859	1,933
NIH/Miscellaneous agencies & departments	93 989	198
NIH/Miscellaneous agencies & departments	93 unknown	395,118
Total PHS/Natl Inst Health		83,944,925
HHS/Miscellaneous agencies & departments	93 unknown	137,215
Total Department of Health & Human Services		118,588,740
Department of Housing & Urban Development	14 516	6,250
Library of Congress	42 unknown	481,515
National Aeronautics & Space Administration	43 001	235,931
National Aeronautics & Space Administration	43 002	89,320
National Aeronautics & Space Administration	43 009	50,719
National Aeronautics & Space Administration	43 unknown	1,173,681
Total National Aeronautics & Space Administration		1,549,651
National Foundation Arts & Humanities	45 024	476,755
National Foundation Arts & Humanities	45 075	22,531
National Foundation Arts & Humanities	45 149	166,336
National Foundation Arts & Humanities	45 160	50,347
National Foundation Arts & Humanities	45 161	155,835
National Foundation Arts & Humanities	45 163	93,315
National Foundation Arts & Humanities	45 169	128,584
National Foundation Arts & Humanities	45 301	36,305
National Foundation Arts & Humanities	45 303	43,018
National Foundation Arts & Humanities	45 307	178,635
National Foundation Arts & Humanities	45 312	589,498
National Foundation Arts & Humanities	45 313	463,831
Total National Foundation Arts & Humanities		2,404,990
Nuclear Regulatory Commission	77 008	50,000
Small Business Administration	59 037	2,063,199
Veterans Affairs	64 unknown	3,402,835
Smithsonian Institute	85 unknown	10,000
FEMA - Department of Homeland Security	97 047	521,617
Action Peace Corps	99 unknown	33,047
Central Intelligence Agency (CIA)	99 unknown	145,536
Other Agencies	99 unknown	50,301
Total Federal Agency Direct Awards Expended		195,017,640
Pass Through Funds Expended		
Academy for Educational Development (incl Pakistan Training Prog) (3711-01-UCSF-	93 unknown	11,158
Academy of Applied Science (20112391)	12 431	2,600
Academy of Applied Science (AAS-50580-CYC1)	12 630	2,554
Adler Planetarium & Astronomy Museum (1500IBEX01)	43 unknown	21,269
Aids Project Los Angeles (C-111142)	93 939	298,747
Alliance for Sustainable Energy, LLC (National Renewable Energy Lab) (XXL2119360	81 unknown	22,469
Alpine, County of (EW201101)	93 658	6,043

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Pass Through Funds Expended (Continued)		
Amador, County of (EW201102)	93 558	12,085
American Academy of Pediatrics (719100-UCLA)	93 600	260,531
American Burn Association (201015946)	12 unknown	112,275
American College of Radiology (20080731)	93 394	52,685
American College of Radiology (HST-HF-01226.01-A)	99 unknown	11,377
American College of Radiology (UCSF#A115305)	93 395	12,415
American Education Solutions, Inc. (20110692)	99 unknown	251,473
American Institute for Research (merged with New American Schools) (00783-L3001-	84 283	53,566
American International Health Alliance (83931)	99 unknown	5,465
American International Health Alliance (H-F5-ETH-09-P-PTR-ARAT-00)	93 unknown	22,511
American Land Conservancy (84373)	15 608	12,059
American Physical Society (200912851)	47 unknown	5,884
American Psychiatric Association (83278)	93 unknown	8,267
Applied Pavement Technology, Inc. (30654)	20 unknown	8,385
Association of American Medical Colleges (UCSF#A113558)	93 283	136,766
Association of Occupational and Environmental Clinics (UCSF#A116312)	93 unknown	64,607
Association of Science Technology Center (DRL-0638981)	47 076	152,891
Association of Universities for Research in Astronomy (79729)	99 unknown	100,658
Association of Universities for Research in Astronomy (HST-HF-51244.01-A)	99 unknown	7,621
Battelle Memorial Institute (306763)	93 unknown	230,214
Blh Technologies Inc. (GENT2011BLH)	93 558	11,371
Brigham and Women's Hospital (UCSF#A118513)	93 310	43,869
Brigham Young University (94584)	45 149	2,626
Butte, County of (EW201103)	93 558	10,272
Butte, County of (GENT201103)	93 558	7,251
Butte, County of (X17443)	93 unknown	1,574
Butte, County of (X18947)	93 243	39,552
C.A. Olive Council (84467)	10 unknown	116,470
Calif Assoc of Resource Conservation and Development Council Inc (201118625)	10 unknown	41,669
California Center for Sustainable Energy (SUB 20104756 (NONE))	81 049	86,559
California Child Development Division (CCTR-1185-CYC1)	93 896	219,005
California Child Development Division (CN090433)	93 unknown	1,992
California Child Development Division (CSPP-1355-CYC1)	93 596	126,100
California Child Support Services, Department of (20033210)	93 unknown	6,644
California Children and Families Commission (CFF7248)	93 unknown	84,086
California Commission on Teacher Credentialing (18320)	84 017	85,920
California Community Colleges & Chancellor's Office (F10-0066)	93 512	48,449
California Department of Alcohol and Drug Problems (09-00135)	93 959	(5,589)
California Department of Alcohol and Drug Problems (10-00130)	93 959	38,059
California Department of Alcohol and Drug Problems (11-00120)	99 unknown	168,530
California Department of Alcohol and Drug Problems (18503)	93 959	110,232
California Department of Alcohol and Drug Problems (88216)	93 unknown	(21)
California Department of Education (1493-5A)	10 558	32,407
California Department of Education (18233)	10 558	58,042
California Department of Education (18315)	84 367	(63)
California Department of Education (18333)	99 unknown	37,570
California Department of Education (18335)	84 367	(512)
California Department of Education (18335)	99 unknown	1,086,931
California Department of Education (18344)	99 unknown	1,541,621
California Department of Education (18553)	10 559	10,991
California Department of Education (18847)	93 575	29,096
California Department of Education (18848)	93 596	55,835
California Department of Education (18852)	93 575	30,597
California Department of Education (18853)	93 596	51,049
California Department of Education (18860)	93 596	(63,237)
California Department of Education (18863)	93 575	22,742
California Department of Education (18973)	10 559	12,204

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

Other Programs (Continued)	Federal CFDA #	Total Expenditures
Pass Through Funds Expended (Continued)		
California Department of Education (301466-1A-CYC26)	10 558	17,139
California Department of Education (301466-1A-CYC27)	10 558	29,785
ARRA-California Department of Education (83545)	84 unknown	14,667
California Department of Education (CCTR-1334)	93 596	136,099
California Department of Education (CN088622)	84 unknown	293,686
California Department of Education (CN100137-STATE)	99 unknown	975
California Department of Education (CN110085)	93 575	657,325
California Department of Education Curriculum and Instruction (005518)	84 367	42,468
California Department of Education Curriculum and Instruction (005526)	84 367	42,464
California Department of Education Curriculum and Instruction (005550)	84 367	3,821
California Department of Education Curriculum and Instruction (18658)	84 367	280
California Department of Education Curriculum and Instruction (18990)	84 367	33,611
California Department of Education Curriculum and Instruction (83458)	84 367	(4)
California Department of Education Curriculum and Instruction (83853)	84 367	(117)
California Department of Education Curriculum and Instruction (83856)	84 367	495
California Department of Education Curriculum and Instruction (96709)	84 367	2,254
California Department of Education Curriculum and Instruction (NCLB8ACHSSPSO)	84 367	52,119
California Department of Education Curriculum and Instruction (NCLB8A-CSP-UCI-CY)	84 367	3,916
California Department of Education Curriculum and Instruction (NCLB8CHSSPSO)	84 367	99,294
California Department of Education Curriculum and Instruction (NCLB8-CHSSP-UCI-C)	84 367	45,000
California Department of Education Curriculum and Instruction (NCLB8CMPUCD)	84 367	27,461
California Department of Education Curriculum and Instruction (NCLB8-CMP-UCI-CYC)	84 367	28,000
California Department of Education Curriculum and Instruction (NCLB8-CRLP-UCI-CY)	84 367	55,477
California Department of Education Curriculum and Instruction (NCLB8-CRLP-UCSC)	84 367	57,045
California Department of Education Curriculum and Instruction (NCLB8-CSP-UCI-CYC)	84 367	40,477
California Department of Education Curriculum and Instruction (NCLB8CWPUCD)	84 367	42,479
California Department of Education Curriculum and Instruction (NCLB8-CWP-UCSC)	84 367	31,889
California Department of Education Curriculum and Instruction (UCLA-41506-CYC10)	84 367	6,500
California Department of Food and Agriculture (32010)	10 170	13,415
California Department of Food and Agriculture (32084)	10 170	3,617
California Department of Food and Agriculture (32092)	10 unknown	15,426
California Department of Food and Agriculture (32094)	10 unknown	27,442
California Department of Food and Agriculture (33800)	10 170	2,602
California Department of Food and Agriculture (83288)	10 170	142,896
California Department of Food and Agriculture (83296)	10 170	1,018
California Department of Food and Agriculture (83465)	10 unknown	53
California Department of Food and Agriculture (83601)	10 170	17,543
California Department of Food and Agriculture (83690)	10 170	2,490
ARRA-California Department of Food and Agriculture (84091)	10 688	93,428
ARRA-California Department of Food and Agriculture (84092)	10 688	21,709
California Department of Food and Agriculture (SCB11010)	10 170	17,250
ARRA-California Department of Forestry and Fire Protection (84097)	10 688	74,484
ARRA-California Department of Forestry and Fire Protection (84098)	10 688	160,501
California Department of Health Care Services (05-46184)	93 unknown	304,291
California Department of Health Care Services (07-65440)	93 unknown	118,969
California Department of Health Care Services (88194)	93 unknown	(311)
California Department of Health Care Services (88199)	93 unknown	(142)
California Department of Pesticide Regulation (09-C0080)	99 unknown	84,285
California Department of Pesticide Regulation (32013)	10 170	5,626
California Department of Public Health (0885383)	93 283	453,393
California Department of Public Health (08-85655)	99 unknown	751,738
California Department of Public Health (09-11193)	99 unknown	591,372
California Department of Public Health (09-11245)	99 unknown	298,607
California Department of Public Health (09-11246)	99 unknown	929,141
California Department of Public Health (09-11255)	99 unknown	227,786
California Department of Public Health (09-11393)	99 unknown	219,914
California Department of Public Health (09-11439)	93 unknown	1,591,890

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

Other Programs (Continued)	Federal CFDA #	Total Expenditures
Pass Through Funds Expended (Continued)		
California Department of Public Health (09-11612)	93 136	13,215
California Department of Public Health (09-11712)	99 unknown	65,904
ARRA-California Department of Public Health (09-11741)	93 701	108,557
California Department of Public Health (10-100006)	93 unknown	171,040
California Department of Public Health (10-10110)	93 110	139,189
California Department of Public Health (10-10111)	93 262	626,533
California Department of Public Health (10-10112)	93 994	168,215
California Department of Public Health (10-10113)	93 994	375,814
California Department of Public Health (10-10114)	93 unknown	62,284
California Department of Public Health (10-10332)	93 unknown	1,853,577
California Department of Public Health (1010403)	93 unknown	2,977,794
California Department of Public Health (10-95225)	93 unknown	372,174
California Department of Public Health (10-95460)	93 unknown	25,473
California Department of Public Health (1095463)	93 296	2,242
California Department of Public Health (11-10004)	99 unknown	313,505
California Department of Public Health (11-10023)	99 unknown	291,169
California Department of Public Health (11-10368)	93 136	27,369
California Department of Public Health (1110674)	93 521	129,342
California Department of Public Health (1110729)	10 588	782,521
California Department of Public Health (18410)	99 unknown	48,329
California Department of Public Health (18458)	93 940	6
California Department of Public Health (83366)	93 unknown	(420)
California Department of Public Health (83440)	10 561	143,091
California Department of Public Health (83582)	10 561	72,381
California Department of Public Health (83942)	10 561	414,476
ARRA-California Department of Public Health (84708)	93 unknown	(6,216)
California Department of Public Health (88236)	99 unknown	3,873
California Department of Public Health (88268)	93 778	(2,022)
California Department of Public Health (88286)	10 unknown	212
ARRA-California Department of Public Health (ARRA1110029)	93 unknown	141,026
California Department of Public Health (UCSF#A115117)	99 unknown	151,350
California Department of Rehabilitation (27737)	84 126	195,546
ARRA-California Department of Rehabilitation (96500)	84 390	(2)
California Department of Social Services (00007814)	93 658	803,832
California Department of Social Services (082048)	93 unknown	8,935
California Department of Social Services (092034)	10 561	699
California Department of Social Services (102048)	93 658	807,714
California Department of Social Services (102049)	93 658	179,520
California Department of Social Services (112028)	93 658	132,273
California Department of Social Services (112034)	93 unknown	61,391
California Department of Social Services (112039)	93 658	1,488,867
California Department of Social Services (11-2040)	93 658	1,049,273
California Department of Social Services (112042)	93 658	1,683,365
California Department of Social Services (18267)	10 561	(90,690)
California Department of Social Services (18486)	93 658	20,792
California Department of Social Services (32440)	10 561	4,545,591
California Department of Social Services (81835)	10 unknown	1,367,074
California Department of Social Services (81835)	10 unknown	204,420
California Department of Social Services (83355)	93 unknown	(293,619)
California Department of Social Services (83377)	93 unknown	(63,579)
California Department of Social Services (83478)	93 unknown	(7,477)
California Department of Social Services (83499)	93 unknown	6,922
California Department of Social Services (83500)	93 unknown	(78)
California Department of Transportation (83340)	20 unknown	(177)
California Emergency Management Agency (15970)	97 111	451
California Emergency Management Agency (20100085)	97 067	524,427
California Emergency Management Agency (77709)	83 unknown	5,166

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Pass Through Funds Expended (Continued)		
California Emergency Management Agency (RC 10 26 1141)	16 588	53,787
California Emergency Management Agency (RC 11 27 1141)	16 575	278,511
California Emergency Medical Services Authority (EMS-1021)	99 unknown	8,033,741
California Employment Development Department (18330)	17 258	3,291
California Employment Development Department (K078126)	17 258	(91,166)
California Employment Development Department (K181632)	17 258	263,437
California Employment Development Department (K181634)	17 258	495,074
California Family Health Council, Inc. (1324-5320-71209-11)	93 217	73,044
California Family Health Council, Inc. (2495-5320-71209-11)	93 217	131,930
California Family Health Council, Inc. (57596)	93 217	(92)
California Family Health Council, Inc. (79028)	93 217	(682)
California Grape and Tree Fruit League (85760)	10 604	2,767
California Medical Center (20111273)	99 unknown	140,231
California Military Department (83594)	97 unknown	(34)
ARRA-California Office of Statewide Health Planning and Development (09-9482)	93 unknown	44,291
ARRA-California Office of the State Fire Marshall (84094)	10 688	151,990
California Office of Traffic Safety (18421)	20 600	(8,250)
California office of Traffic Safety (20360-CYC1)	20 601	166,001
California office of Traffic Safety (AL10113)	20 601	65,247
California office of Traffic Safety (SUB 20112569 (AL10113))	20 601	7,555
California Polytechnic State University Foundation (84112)	10 200	391
California Postsecondary Education Commission (004440)	84 367	61,065
California Postsecondary Education Commission (004806)	84 367	304,779
California Postsecondary Education Commission (101102)	84 367	40,628
California Postsecondary Education Commission (18230)	84 367	41,995
California Postsecondary Education Commission (18231)	84 367	55,713
California Postsecondary Education Commission (18256)	84 367	(1,950)
California Postsecondary Education Commission (18813)	84 367	197,922
California Postsecondary Education Commission (18941)	84 367	88,570
California Postsecondary Education Commission (18967)	84 unknown	(552)
California Postsecondary Education Commission (ITQ-03-360)	84 367	183,540
California Postsecondary Education Commission (ITQ-07-418)	84 367	28,406
California Postsecondary Education Commission (ITQ-08-528)	84 367	280,069
California Postsecondary Education Commission (ITQ-08-534)	84 367	307,830
California Postsecondary Education Commission (ITQ-09-608)	84 367	169,264
California Postsecondary Education Commission (ITQ-10-705-CYC1)	84 367	358,078
California Postsecondary Education Commission (ITQ-10-708)	84 367	101,369
California Postsecondary Education Commission (ITQ10711)	84 367	264,992
California Postsecondary Education Commission (ITQ11802)	84 367	69,940
California Postsecondary Education Commission (ITQ-11-804)	84 367	73,934
California Service Corps, The (004952)	94 006	36,543
California Service Corps, The (005476)	94 006	246,814
California Service Corps, The (11AFHY18-F61)	94 006	200,522
California Service Corps, The (18231)	94 006	(1,651)
California Service Corps, The (18441)	94 006	3,671
California State Library (18340)	99 unknown	24,106
California State Library (407829)	45 310	33,708
California State Library (NCLB8A-CSP-UCLA)	45 310	10,928
California State University (S10-0023)	94 005	9,702
California State University, San Diego State University (33442)	93 667	9,990
California State University, San Diego State University (55147A P1623 7803 211)	47 076	67,026
California State University, San Diego State University (E0008328)	93 768	45,078
California State University, San Francisco State University (005074)	94 005	5,161
California State University, San Francisco State University (94678)	94 unknown	1,006
ARRA-California State University, San Jose State University Foundation (80323)	17 275	27,000
ARRA-California State University, San Jose State University Foundation (SUB NONE)	17 275	11,359
California Student Aid Commission (30643)	84 378	14,294

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Pass Through Funds Expended (Continued)		
California Student Aid Commission (SUB P378A110034 (NONE))	84 378	359,824
California Sustainable Winegrowing Alliance (86098)	10 unknown	230
California Transportation Commission (RPSTPLE-6342(003))	99 unknown	252,920
Cancer Research Institute, Inc. (UCSF#A119068)	99 unknown	26,447
Case Western Reserve University (79035)	99 unknown	63,322
Case Western Reserve University (HSH230200732011C)	99 unknown	72,459
Center for Comprehensive Care and Diagnosis of Inherited Blood Disorder (20111641)	93 110	111,554
Center for Comprehensive Care and Diagnosis of Inherited Blood Disorder (CIBDIX20)	93 184	29,044
Center for Comprehensive Care and Diagnosis of Inherited Blood Disorder (CIBDIX20)	99 unknown	3,643
Center for Comprehensive Care and Diagnosis of Inherited Blood Disorder (SUB (NON	93 110	50,599
Center for Comprehensive Care and Diagnosis of Inherited Blood Disorder (SUB CIBD	93 283	5,394
Center for Comprehensive Care and Diagnosis of Inherited Blood Disorder (UCSF#A11	99 unknown	66,727
Center for Land-based Learning (201118698)	10 170	13,625
Center for Plant Conservation (L08AC14716-0002)	15 unknown	5,575
Center for Plant Conservation (L08AC14716-0005)	15 unknown	22,209
Central California Child Development Services, Inc. (33094)	93 600	2,417
Central California Child Development Services, Inc. (GENT201199)	93 600	2,417
Central Valley Health Network (85771)	10 unknown	(1,401)
Chemonics International Consulting Division (AID-114-C-09-00001-UCLA)	99 unknown	131,258
Child Trends Incorporated (HHSP23337002T)	93 unknown	26,040
Children's Hospital and Research Center at Oakland (12.8028DUCD01)	93 172	484,534
Children's Hospital Medical Center of Cincinnati (108863 TRAN)	93 865	107,021
Children's Hospital Medical Center of Cincinnati (108863HOWARD)	93 865	103,054
Children's Hospital Medical Center of Cincinnati (108863-LELKES)	99 unknown	99,757
Children's Hospital Medical Center of Cincinnati (57792)	93 310	1,696
Children's Hospital Medical Center of Cincinnati (71845)	93 865	1,440
Children's Hospital of Los Angeles (20113020)	93 847	810
Children's Hospital of Los Angeles (20113045)	93 847	749
Children's Hospital of Los Angeles (20113127)	93 847	810
Children's Hospital of Orange County (20063477)	93 110	35,246
Children's Hospital of Orange County (201016171)	93 283	(80)
Children's Hospital of Orange County (80025)	93 110	(539)
Children's Hospital of Orange County (83258)	93 110	(20,551)
Children's Hospital of Orange County (83456)	93 110	(2,216)
Children's Hospital of Orange County (87454)	93 110	5,743
Children's Hospital of Orange County (UCSF#A113821)	93 283	14,820
Chinese Center for Disease Control and Prevention (China) (20082771)	93 989	118,918
City of Anaheim (ANA-47107-CYC1)	97 067	1,708
City of Los Angeles ((T4976) C-119143)	17 259	1,023,772
City of Los Angeles ((T4977)C-119142)	17 259	502,139
ARRA-City of Los Angeles (20700)	17 258	17,699
City of Los Angeles (20702)	17 267	(997)
City of Los Angeles (20703)	17 267	41,959
City of Los Angeles (77015)	17 267	(182)
City of Los Angeles (77069)	17 263	42,671
City of Santa Ana (SANTA ANA-49260-CYC1)	97 067	5,568
Clergy and Laity United for Economic Justice-California (20114539)	17 502	18,160
Cna Corporation, The (Cnac) (incl Ctr Naval Analyses, Inst Public Res) (09-BMI-5	99 unknown	61,588
Colorado State University (84116)	10 200	25,709
Colorado State University (84885)	10 200	26,688
Columbia University (10ACCT537761)	93 113	4,173
Colusa, County of (EW201105)	93 558	73,273
Community Redevelopment Agency of the City of Los Angeles (503049)	14 227	104,809
Computing Research Association (77797)	47 070	47,529
Computing Research Association (79838)	47 070	93,613
Computing Research Association (CIF-D-019)	47 070	61,009
Consumer Self Help Center (201016039)	93 unknown	31,386

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Pass Through Funds Expended (Continued)		
Contra Costa County (192514)	93 658	7,322
Contra Costa County (EW201143)	93 658	80,148
Cornell University (56184-8812)	10 217	2,903
Council of Graduate Schools (SUB 17692 (HRD-1138814))	47 076	4,557
County of Los Angeles (H300159)	93 889	489,543
County of Los Angeles (H-701039)	93 889	121,590
ARRA-County of Los Angeles (PH-001545)	93 724	494,441
County of Marin (CW201117)	93 658	7,996
County of Marin (EW201117)	93 558	23,465
County of Solano (08001298)	84 215	85,370
County of Solano (201014134)	84 215	184,339
County of Solano (WK201132)	93 558	31,421
Curesearch for Children's Cancer (87975)	93 395	2,683
Del Norte County (CW201106)	96 unknown	19,194
Del Norte County (EW201106)	93 558	26,587
Duke University (116457)	99 unknown	(153)
Education, Training & Research Associates (SC-10-65)	47 076	13,834
El Dorado, County of (84588)	93 658	619
El Dorado, County of (EW201107)	93 558	36,255
Elizabeth Glaser Pediatric Aids Foundation (CA-06-8-900-01727-5-00MASTER)	93 unknown	297,268
Emmes Corporation, The (260200500007C)	93 unknown	16,615
Fairfield-Suisun Unified School District (08145127074)	84 363	49,611
Fhi 360 (0080.0142/953)	93 936	16,861
Fresno County (84518)	93 558	(2,003)
Fresno County (EW201108)	93 658	95,177
Glenn, County of (84502)	93 658	107
Glenn, County of (CMS201109)	93 658	193,321
Glenn, County of (EW201109)	93 unknown	24,937
Global Aids Interfaith Alliance (UCSF#A115894)	98 unknown	189,340
Health Research, Inc. (4309-01)	93 917	27,199
Henry M. Jackson Foundation for the Advancement of Military Medicine (SUB 701155)	12 420	67,908
High Sierra Resource and Conservation Development District (84351)	10 769	2,287
High Sierra Resource and Conservation Development District (84352)	10 769	719
High Sierra Resource and Conservation Development District (84353)	10 769	7,861
Humboldt County (33414)	93 645	7,683
Humboldt County (CW201110)	93 658	14,929
Humboldt County (EW201110)	93 558	55,591
Icf Macro (11067-11S-1628)	93 unknown	57,333
Imperial County (CW201144)	93 658	2,133
Imperial County (ICOE-41312-CYC5)	84 334	83,174
Imperial County (S201144)	93 041	9,668
Imperial County (WK201144)	93 558	14,502
Indian Health Council, Inc. (87668)	93 933	101,718
Indian Health Council, Inc. (NARCH 5)	93 933	89,920
Institute of International Education (20103157)	19 401	15,437
Institute of International Education (201119295)	19 unknown	160,874
Institute of International Education (201120304)	19 010	234,338
Institute of International Education (20112158)	19 401	49,165
Institute of International Education (33465)	84 unknown	54,805
Institute of International Education (84825)	19 010	18
Institute of International Education (NSEP-U631033-UCLA-RUS)	12 550	390,705
Institute of International Education (NSEP-U631063-UCLA-R12-C)	12 550	14,323
International Inst of Tropical Agriculture (IITA) (International) (031335)	98 unknown	14,096
Inyo, County of (EW201111)	93 658	24,170
Jet Propulsion Laboratory (86664)	12 800	394
Jet Propulsion Laboratory (86665)	12 800	2
Jet Propulsion Laboratory (87529)	43 unknown	90

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Pass Through Funds Expended (Continued)		
Johns Hopkins University (200912039)	93 853	14,778
Johns Hopkins University (UCSF#A117051)	98 unknown	83,348
ARRA-Jumpstart for Young Children, Inc. (59724)	94 006	63,701
Kansas State University (32236)	10 500	95,171
Kansas State University (32241)	10 500	40,455
Kansas State University (32242)	10 500	45,668
Kansas State University (33802)	10 500	4,306
Kansas State University (33803)	10 500	66,117
Kansas State University (84334)	10 500	44,709
Kansas State University (84892)	10 500	38,623
Kansas State University (84893)	10 500	43,463
Kern County (EW201112)	93 558	109,974
Kings, County of (33453)	93 558	19,336
La Clinica de la Raza Fruitvale Health Project, Inc. (1329)	93 unknown	41,139
Lake, County of (EW201114)	93 558	20,103
Lassen, County of (CW201115)	93 658	12,796
Lassen, County of (EW201115)	93 667	29,004
Lawrence Livermore National Security, LLC (B581489)	93 unknown	76,575
Lawrence Livermore National Security, LLC (B589409)	99 unknown	(76)
Los Alamos National Security, LLC (73617-001-09-CYC3)	81 unknown	2
Los Alamos National Security, LLC (8487700110)	81 unknown	60,993
ARRA-Los Angeles County Department of Health Services (PH-001168)	93 724	176,142
Los Angeles County Department of Health Services (PH-001522)	93 069	20,179
Los Angeles County Department of Health Services (PH-002153)	93 531	1,401
Los Angeles County, Department of Children and Family Services (77011)	93 556	(1,576)
Los Angeles County, Department of Children and Family Services (77020)	93 556	28,982
Los Angeles Unified School District (1000068)	84 287	1,071,253
Los Angeles Unified School District (1100394)	84 165	93,222
ARRA-Los Angeles Unified School District (77071)	84 391	(147)
Louisiana State University and Agricultural and Mechanical College (85845)	10 309	3,128
Madera, County of (CW201116)	93 658	23,485
Madera, County of (EW201116)	93 558	54,916
Marin Resource Conservation District (84875)	66 460	11,050
Mariposa, County of (EW201118)	93 558	19,336
Massachusetts General Hospital (215287)	93 389	186,154
Massachusetts General Hospital (80157)	93 389	41,546
Merced, County of (CW201119)	93 658	31,602
Merced, County of (EW201119)	93 558	105,131
Modoc, County of (CW201120)	93 645	4,550
Modoc, County of (EW201120)	93 558	12,085
Mono, County of (CW201121)	93 658	6,398
Mono, County of (EW201121)	93 558	7,251
Montana State University (G14509W1945)	10 210	18,524
Monterey County (84538)	93 unknown	5,000
Monterey County (S201122)	93 667	4,834
Mount Sinai School of Medicine (New York) (017041)	93 853	52,441
Mount Sinai School of Medicine (New York) (0253-6141-4609)	93 242	10,239
Mpr Associates, Inc (94623)	84 unknown	400
Mpr Associates, Inc (UCOP-TES-3475-05)	84 unknown	22,669
Museum of Science (4549-LHS-01)	47 076	73,754
Museum of Science (4549-LHS-06)	47 076	121,900
Napa, County of (32284)	66 126	22,345
Napa, County of (CW201123)	93 558	6,398
Napa, County of (EW201123)	93 558	19,194
National 4-H Council (32205)	47 unknown	87,257
National 4-H Council (32274)	16 726	62,165
National 4-H Council (84173)	16 726	20,275

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Pass Through Funds Expended (Continued)		
National 4-H Council (88160)	10 500	14,702
National Area Health Education Center Organization (UCSF#A119323)	99 unknown	4,000
National Association of Chronic Disease Directors (1702011)	93 283	25,350
National Childrens Alliance (SANT-CA-PI11)	16 758	11,025
National Council for Science and the Environment (201120572)	47 076	1,458
National Council on the Aging (04074199)	93 048	45,208
National Fish and Wildlife Foundation (85706)	11 unknown	57,990
National Security Agency (NSA) (H98230-11-1-0343)	12 900	79,264
National Security Agency (NSA) (H98230-11-1-0354)	12 901	71,625
National Security Agency (NSA) (H98230-11-1-0355)	12 901	50,899
National Security Agency (NSA) (H98230-11-1-0356)	12 901	75,734
National Writing Project (57545)	84 928	8,949
National Writing Project (57550)	84 928	23,283
National Writing Project (59716)	84 928	6,855
National Writing Project (86048)	84 unknown	7,014
National Writing Project (92-CA03)	84 928	55,939
National Writing Project (92CA05)	84 unknown	45,001
National Writing Project (92-CA06-CYC27)	84 928	56,934
National Writing Project (92-CA07)	84 928	39,248
National Writing Project (92-CA09)	84 928	39,118
National Writing Project (92-CA14)	84 928	25,904
National Writing Project (92-CA15 AMEND 26)	99 unknown	37,001
Nevada, County of (EW201124)	93 558	12,852
New Mexico State University (84880)	10 500	3,543
New Profit, Inc. (UCB 2011 - 2012)	94 019	229,991
Northeast Valley Health Corporation (20070496)	93 224	442,591
Northeast Valley Health Corporation (58387)	93 224	(233)
Nsabp Foundation, Inc. (UCLA-YR. 41)	93 395	39,854
Objectvideo, Inc. (79439)	99 unknown	(1,381)
Ohio State University (85732)	10 307	2,149
Oregon Health Sciences University (82943)	47 050	48
Oregon State University (32254)	10 307	7,997
Oregon State University (80701)	93 866	42,152
Oregon State University (84135)	10 309	29,468
Oregon State University (87510)	11 417	(559)
Pacific Institute for Research and Evaluation (03122201)	93 273	11,115
Pacific Institute for Research and Evaluation (SUB 555-01-01 (AA012516))	93 273	9,513
Partners Healthcare System, Inc (08002271)	93 853	19,640
Partners Healthcare System, Inc (08003674)	93 213	9,198
Partners Healthcare System, Inc (09001301)	93 853	18,398
Pasadena Unified School District (0000060001)	84 351	34,388
Pennsylvania State University (incl Penn State Research Foundation) (3915UCDUSDE)	84 116	2,804
Pennsylvania State University (incl Penn State Research Foundation) (84383)	10 310	64,776
Placer, County of (CW201125)	93 658	14,929
Placer, County of (EW201125)	93 558	19,336
Plumas, County of (84578)	93 658	(350)
Plumas, County of (EW201126)	93 558	17,350
Population Council - New York (SH1112C-17)	93 unknown	30,599
Prevention Institute (Bay Area, California) (UCSF#A113911)	93 unknown	48,060
Program for Appropriate Technology in Health (AID.1470-08586-CRT)	99 unknown	663,303
Public Broadcasting Service (20114720)	84 295	28,525
Public Health Foundation Enterprises, Inc. (0054.017.901.001.01.01)	99 unknown	6,475
Public Health Foundation Enterprises, Inc. (0054.018.901.001.01.01)	93 914	95,043
Public Health Foundation Enterprises, Inc. (0123.019.001)	93 914	53,106
Public Health Foundation Enterprises, Inc. (2278.003.001)	93 855	2,243
Public Health Foundation Enterprises, Inc. (33463)	93 283	14,070
Public Health Institute (1013139)	93 unknown	143,959

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Pass Through Funds Expended (Continued)		
Public Health Institute (1016436)	10 001	68,509
Public Health Institute (1017138)	99 unknown	32,097
Public Health Institute (1017273 R-1)	93 398	6,138
Public Health Institute (1017341)	10 001	110,848
Public Health Institute (1017725)	93 531	44,714
Public Health Institute (58808)	99 unknown	4,633
Purdue University (33801)	10 500	11,604
Purdue University (84335)	10 500	56,215
Purdue University (NEES-4101-31876)	47 041	865,406
Rancho Santiago Community College District (DO-10-018-CYC2)	84 334	18,106
Rancho Santiago Community College District (DO-10-025-CYC1)	84 334	102,808
Rancho Santiago Community College District (DO-11-026-CYC1)	84 unknown	78,343
Rancho Santiago Community College District (DO-11-033-CYC1)	84 334	311,320
Rti International (21-312-0210637)	99 unknown	53
Rti International (7-312-0211448)	99 unknown	35,340
Rutgers University (2010CAFVCGPR10529)	10 200	(162)
Rutgers University (30645)	10 025	17,814
Sacramento City Unified School District (SA0900249)	84 215	21,122
Sacramento City Unified School District (SA0900250)	84 215	340,219
Sacramento City Unified School District (SA1000201)	84 215	183,425
Sacramento, County of (85837)	66 041	28,165
Saic (82845)	12 300	(37)
San Benito, County of (CW201127)	93 658	2,133
San Benito, County of (EW201127)	93 558	14,502
San Bernadino Community College District (004289)	17 269	57,231
ARRA-San Bernardino, County of (84137)	66 unknown	49
San Diego County (20857)	14 870	1,863
ARRA-San Diego County (SUB 532635 (NONE) ARRA)	93 724	2,800,216
San Diego Unified School District (SUB PS-90-554-20 (HE1254-09-1-))	12 030	26,268
San Diego Workforce Partnership (395-03)	93 unknown	188,887
San Diego Workforce Partnership (SUB 395-02 (NONE))	93 093	66,963
San Francisco, City and County (87180)	99 unknown	(148)
San Francisco, City and County (87180)	99 unknown	9,407
San Francisco, City and County (87180)	99 unknown	(11,056)
San Francisco, City and County (87185)	93 unknown	30,524
San Francisco, City and County (87185)	93 unknown	86,008
San Francisco, City and County (87185)	93 unknown	13,984
San Francisco, City and County (87186)	93 940	42,084
San Francisco, City and County (87186)	93 940	5,394
San Francisco, City and County (87186)	93 940	2,870
San Francisco, City and County (87205)	93 116	156,478
San Francisco, City and County (87205)	93 116	1,294,200
San Francisco, City and County (87226)	14 unknown	193,548
San Francisco, City and County (87226)	14 unknown	4,839
San Francisco, City and County (87239)	93 unknown	13,668
San Francisco, City and County (87239)	93 unknown	151,863
San Francisco, City and County (87251)	99 unknown	381,037
San Francisco, City and County (87251)	99 unknown	164,888
San Francisco, City and County (87251)	99 unknown	1,425,061
San Francisco, City and County (87251)	99 unknown	36,642
San Francisco, City and County (87251)	99 unknown	97,409
San Francisco, City and County (87251)	99 unknown	25,992
ARRA-San Francisco, City and County (87255)	93 701	45,636
ARRA-San Francisco, City and County (87255)	93 701	380,300
San Francisco, City and County (87257)	93 917	45,542
San Francisco, City and County (87257)	93 917	443,958
San Francisco, City and County (87257)	93 917	62,058

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Pass Through Funds Expended (Continued)		
San Francisco, City and County (87262)	99 unknown	66,961
San Francisco, City and County (87262)	99 unknown	(6,279)
San Francisco, City and County (87272)	93 940	46
San Francisco, City and County (87272)	93 940	6
San Francisco, City and County (87274)	93 unknown	43,733
San Francisco, City and County (87274)	93 unknown	355,611
San Francisco, City and County (87274)	93 unknown	257,730
San Francisco, City and County (87274)	93 unknown	130,312
San Francisco, City and County (87275)	99 unknown	230,477
San Francisco, City and County (87275)	99 unknown	27,657
San Francisco, City and County (87277)	99 unknown	132,530
San Francisco, City and County (87277)	99 unknown	11,928
San Francisco, City and County (87278)	99 unknown	103,781
San Francisco, City and County (87278)	99 unknown	37,514
San Francisco, City and County (87278)	99 unknown	191,370
San Francisco, City and County (87278)	99 unknown	225,451
San Francisco, City and County (87279)	93 unknown	27,497
San Francisco, City and County (87279)	93 unknown	305,518
San Francisco, City and County (87280)	99 unknown	46,982
San Francisco, City and County (87280)	99 unknown	4,228
San Francisco, City and County (87287)	93 940	674,893
San Francisco, City and County (87287)	93 940	77,883
San Joaquin County (CW201128)	93 658	21,327
San Joaquin County (EW201128)	93 558	55,471
San Joaquin Valley Unified Air Pollution Control District (84331)	66 unknown	2,813
San Jose Cal-SOAP Consortium (Student Opportunity & Access)(UCSC Admin) (G-10-00)	99 unknown	54,351
San Jose Cal-SOAP Consortium (Student Opportunity & Access)(UCSC Admin) (G-11-00)	84 378	429,439
San Juan Unified School District (09001037)	84 215	85,510
San Luis Obispo, County of (C0092011)	93 558	21,753
Sandia National Laboratories (1221730)	99 unknown	21,820
Sandia National Laboratories (1229173)	99 unknown	37,824
Sandia National Laboratories (1232282)	99 unknown	40,781
Sandia National Laboratories (33735)	81 unknown	17,500
Sandia National Laboratories (749666)	81 unknown	23,336
Sandia National Laboratories (88486)	81 unknown	11,036
Santa Barbara, County of (S201154)	93 778	4,834
Santa Cruz, County of (84520)	93 658	(857)
Santa Cruz, County of (84521)	93 558	(2,646)
Santa Cruz, County of (CW201156)	93 558	19,003
Santa Cruz, County of (EW201156)	93 558	172,212
Santa Monica College (A121646NC)	84 031	36,805
Santa Monica College (P382B090011)	84 382	113,329
Segura Partners LLC (201120618)	98 unknown	13,621
Shasta, County of (201014129)	84 215	107,706
Shasta, County of (84524)	93 558	1,849
Shasta, County of (84529)	93 558	1,849
Shasta, County of (84533)	93 658	(172)
Shasta, County of (84549)	93 558	1,849
Shasta, County of (CW201129)	93 558	13,763
Shasta, County of (EW201129)	93 558	5,601
Shasta, County of (GENT201129)	93 558	7,251
Sierra, County of (84564)	93 658	(564)
Sierra, County of (EW201130)	93 558	12,069
Siskiyou, County of (87888)	93 558	(2,084)
Siskiyou, County of (87897)	93 unknown	(450)
Siskiyou, County of (CS201131)	93 558	16,919
Siskiyou, County of (EW201131)	93 558	66,980

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Pass Through Funds Expended (Continued)		
ARRA-Social and Scientific Systems, Inc. (BRS-ACURE-Q-06-00138-T005)	93 701	17,987
Social and Scientific Systems, Inc. (BRS-ACURE-S-11-000035-001351)	99 unknown	57,084
Social and Scientific Systems, Inc. (BRS-IMPCT-S-10-000015-000624)	93 242	323
Sonoma, County of (84501)	93 unknown	1,167
Sonoma, County of (84550)	93 558	(250)
Sonoma, County of (CW201133)	93 658	25,876
Sonoma, County of (S201133)	93 044	17,442
Sonoma, County of (WK201133)	93 658	71,983
Sri International (128-000001)	47 070	24,791
Sri International (88885)	47 076	30,747
Stanislaus, County of (WK201134)	93 558	39,881
State Water Resources Control Board (84013)	66 unknown	1,231
Sutter County (87892)	93 558	1,064
Sutter County (EW201135)	93 558	31,421
Sweetwater Union High School District (20855)	84 unknown	73
Tehama, County of (30648)	84 044	91,361
Tehama, County of (84500)	93 558	(1,466)
Tehama, County of (EW201136)	93 558	27,728
Tehama, County of (PQCR201136)	93 unknown	10,455
Texas A&M University - College Station (20074866203752)	10 500	53,023
Texas Agrilife Research (85948)	97 unknown	45,147
Texas Agrilife Research (88979)	97 unknown	42,871
The University of Alabama (Tuscaloosa) (000385512-002)	19 415	101,080
The University of Alabama (Tuscaloosa) (86301)	93 853	(508)
Trinity, County of (GENT201137)	93 558	9,668
Tulane University (UCSF#A117152)	93 unknown	10,916
Tulare County Office of Education (09001027)	84 215	233,995
Tuolumne, County of (CW201139)	10 561	4,265
Tuolumne, County of (EW201139)	93 558	16,919
U.S. Civilian Research & Development Foundation (CO831110)	19 017	26,449
Uaw Labor Employment and Training Corporation (57697)	99 unknown	(1,141)
United Negro College Fund (JFPF-50384-CYC1)	43 unknown	8,500
United Negro College Fund (SB120044)	43 unknown	18,500
University and Community College System of Nevada (85718)	10 664	47,612
University Corporation for Atmospheric Research (Z12-63878)	47 076	35,673
University of Arizona (Y561910)	10 305	26,881
University of Colorado System (System Parent Code) (1548422)	47 060	26,881
University of Florida (33244)	47 unknown	5,000
University of Florida (UF10196)	10 303	47,862
University of Georgia (incl Skidaway Institute of Oceanography) (83892)	99 unknown	(432)
University of Hawaii at Manoa (SUB MA11046 (DE-EE0005553))	81 086	17,800
University of Houston (SUB 07-01 0636352 (OCI-0636352)	47 080	13,533
University of Idaho (GRK997SB006)	10 217	28,042
University of Iowa (1117750007)	93 853	15,190
University of Kansas/Ku Center for Research, Inc. (FY2012-002)	47 074	17,113
University of Maryland (32297)	10 310	64,219
University of Massachusetts (5360-890)	93 362	15,259
University of Medicine and Dentistry of New Jersey (UCSF#A118760)	93 145	108,409
University of Medicine and Dentistry of New Jersey (UCSF#A119118)	93 unknown	37,198
ARRA-University of Minnesota (84704)	10 315	855
University of Missouri System (Columbia/Kansas City/Rolla/St. Louis) (30667/0003)	93 243	23,943
University of Nebraska (32285)	10 500	4,412
University of Nebraska (85788)	10 500	9,984
University of New Mexico (57044)	47 080	19,291
University of Pennsylvania (08001923)	93 867	17,886
University of Pennsylvania (2U10EY10782305)	93 unknown	1,860
University of Pennsylvania (557172-UCSF#A118890)	93 838	12,053

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Pass Through Funds Expended (Continued)		
University of Pittsburgh (0011424/114692-2)	93 865	70,112
University of Pittsburgh (0026510(119618-2))	93 928	17,083
University of Rochester (415160-G)	93 242	(258)
University of Southern California (123332)	47 050	255,247
University of Southern California (149112)	84 015	87,679
University of Southern California (59248)	84 015	201,568
University of Southern California (59733)	84 015	(5,167)
University of Southern California (PO #159283)	12 556	23,007
University of Tennessee (84332)	10 303	4,344
University of Utah (2309114-27)	93 865	119,661
ARRA-University of Vermont (23261)	47 082	32,580
University of Washington (719595)	93 145	1,158,257
University of Washington (722322)	47 074	16,191
University of Washington (82423)	93 145	(8,705)
University of Wyoming (85801)	10 500	10,390
University of Wyoming (88727)	10 500	4,205
Utah State University (84828)	10 500	21,281
Utah State University (88122)	10 215	15,181
Vanderbilt University (Tennessee) (58466)	93 989	(7,391)
Vanderbilt University (Tennessee) (87311)	93 989	10,172
Vanderbilt University (Tennessee) (87413)	93 989	6,630
Ventura County (EW201158)	93 658	14,502
Ventura County Community College District (OC-UCSB1)	84 031	5,061
Washington State University (32208)	10 500	49,716
West Hills Community College (57542)	84 031	72,298
West Hills Community College District (incl Coalinga & Lemoore) (86984)	84 unknown	2,588
Wested (4956 S05-093)	84 283	532,002
Wested (5448 S08-069)	47 076	72,182
Westside Community Services (San Francisco, California) (71697)	93 unknown	4,845
Women's Community Clinic (UCSF#A117581)	93 515	62,638
Yale University (72153)	99 unknown	11,960
Yale University (A08082)	93 853	6,425
Yolo County (201014388)	97 067	28,529
Yolo County (EW201040UCD1101941)	93 unknown	12,085
Yuba County (CW201141)	93 658	5,332
Yuba County (EW201141)	93 558	21,753
Yuba County (S201141)	93 778	4,834
Total Pass Through Funds Expended		83,387,916
Partial Pass Through Funds Expended		
Alameda County Health Care Services Agency (87047)	99 unknown	195,425
Andrew W. Mellon Foundation (The) (58094)	99 unknown	193,396
California Department of Education (CN090200)	84 287	692,399
California Department of Education (CSPP-1595)	93 596	107,343
California Department of Education Curriculum and Instruction (18361)	84 367	97
California Department of Education Curriculum and Instruction (18889)	84 367	109,219
California Department of Education Curriculum and Instruction (18891)	84 367	440
California Department of Education Curriculum and Instruction (18893)	84 367	37,127
California Department of Education Curriculum and Instruction (83855)	84 367	97
California Department of Education Curriculum and Instruction (NCLB8-CRLP-UCB)	84 367	86,907
California Department of Education Curriculum and Instruction (NCLB8-CWP-UCSD)	84 367	42,468
California Department of Education Curriculum and Instruction (SUB NCLB8-CSP-UCS)	84 367	37,137
California Department of Food and Agriculture (32078)	10 170	17,479
California Department of Public Health (33134)	93 unknown	94,704
California Department of Social Services (18551)	10 unknown	(288)

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

	Federal CFDA #	Total Expenditures
Other Programs (Continued)		
Partial Pass Through Funds Expended (Continued)		
California Emergency Management Agency (32025)	97 unknown	176,156
California Emergency Management Agency (77710)	83 unknown	1,121
California Postsecondary Education Commission (18854)	84 unknown	1,772
California Postsecondary Education Commission (CN100150)	84 048	137,684
California Postsecondary Education Commission (ITQ-11-806)	84 367	72,759
California Postsecondary Education Commission (NCLB8A-CWP-SO)	84 367	106,206
Center for Research Libraries (57046)	99 unknown	359,606
Institute of International Education (33460)	84 unknown	4,422
Microsoft Corporation (57045)	99 unknown	65,992
National Writing Project (92-CA11)	84 928	37,358
Northern California Institute for Research and Education, Inc. (83318)	99 unknown	131,967
Northern California Institute for Research and Education, Inc. (84148)	99 unknown	21,895
Public Health Foundation Enterprises, Inc. (0005017001)	93 unknown	8,931
Santa Clara County (32230)	10 561	129,427
Santa Clara County (88147)	10 561	59,401
Yolo County (20618)	16 unknown	2
Total Partial Pass Trough Funds Expended		2,928,649
Total Other Programs		281,334,205
Total Federal Awards Expended		4,243,821,521

The accompanying notes are an integral part of this schedule.

UNIVERSITY OF CALIFORNIA
Notes to Schedule of Expenditures of Federal Awards
For the Fiscal Year Ended June 30, 2012

1. Basis of accounting

The accompanying Schedule of Expenditures of Federal Awards includes the federal grant activity of the University and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the University financial statements. Negative amounts represent adjustments in the normal course of business to amounts reported in a prior year.

Consistent with the provisions of OMB Circular A-133, the accompanying Schedule of Expenditures of Federal Awards does not include the expenditures of the Lawrence Berkeley National Laboratory – a major Department of Energy National Laboratory operated and managed by the University under contracts directly with DOE - as it represents government-owned, contractor operated (GOCO) facility.

2. Loan programs administered by the University

The University participates in the following federal loan programs:

	CFDA Number	2011-2012 Amount Authorized or Advanced (in thousands)	Outstanding Balance as of June 30, 2012 (in thousands)
U. S. Department of Education			
Federal Perkins Loan Program	84.038	\$ 32,188	\$ 209,251
Federal Direct Student Loan Program	84.268	\$ 1,171,677	N/A
Federal Perkins Loan Principal Cancellation	84.037	\$1,156	N/A
U.S. Department of Health and Human Services			
Health Professions Student Loan			
Program/Loans for Disadvantaged Students/Primary Care Loan Program	93.342	\$4 ,980	\$ 44,336
Nursing Student Loan Program	93.364	\$ 107	\$ 1,214
Nursing Faculty Loan Program	93.264	\$ 336	\$ 1,141
ARRA-Nursing Faculty Loan Program	93.408	\$ 0	\$ 277

3. CFDA Numbers

Research and Development programs included in the Schedule of Expenditures of Federal Awards are presented by federal agency and major subdivision within the federal agency. Pass-through and partial pass-through awards have been presented by pass-through entity, CFDA number, sponsor's award number, when available, or by the University assigned identifier. In accordance with instructions distributed by the Federal Audit Clearinghouse, when CFDA numbers are not available, the federal awards are presented by agency number and the suffix "unknown" for the

federal identification number. When the federal agency and the federal identification number are not available, "99.unknown" is used.

4. Partial pass-through

The University has included, within the Schedule of Expenditures of Federal Awards, amounts received from pass-through entities which include funds from both federal and nonfederal sources because the pass-through entity is unable to determine the portion that is federal.

5. Amount provided to subrecipients

The amount of Federal Direct Research and Development funds expended by sub-awardees in fiscal year 2012 totaled \$409,004,298. The amount of Federal Direct other program funds expended by sub-awardees in the same fiscal year were \$12,433,042.

Federal Direct Other Funds Expended by Sub-Awardees									
CFDA #	Amount	CFDA #	Amount	CFDA #	Amount	CFDA #	Amount	CFDA #	Amount
10001	118,066	81036	27,010	93067	812,913	93281	14,999	93855	46,564
10025	5,420	81136	-51,143	93107	978,949	93283	155,872	93859	104,759
10303	8,233	84015	1,481	93110	195,187	93307	406,738	93865	9,321
10311	19,267	84031	13,650	93142	659,155	93310	415,624	93866	24,172
10unknown	138,628	84116	36,533	93145	2,020,765	93389	155,864	93884	55,775
11469	64,719	84184	137,773	93153	237,957	93398	38,421	93939	35,784
12unknown	106,345	84217	37,713	93157	11,558	93411	67,151	93969	160,140
17502	143,136	84229	24,188	93213	97,864	93516	115,210	93989	316,507
43000	247,369	84305	43,892	93242	20,056	93541	46,729	93unknown	382,480
43001	44,223	84334	338,622	93243	605,037	93701	229,786		
45312	70,798	84336	273,803	93247	15,239	93822	390,944		
45313	161,306	84341	-9,610	93262	17,316	93837	30,904		
59037	1,454,971	93051	78,620	93279	12,845	93847	9,444		
Total									\$12,433,042

6. Robert C. Byrd Honors Scholarship Program (CFDA 84.185A)

The Robert C. Byrd Honors Scholarship Program is federally funded, state-administered program that recognizes exceptionally able high school seniors. The awardees are selected by the state education agencies in the states in which those high school students reside; the state education agencies then send the funds on behalf of those students to the institutions of higher education in which they enroll. In fiscal year 2012, University students received \$145,469 from the Robert C. Byrd Honors Scholarship Program administered by various state education agencies.



**Report of Independent Auditors on Internal Control
Over Financial Reporting and on Compliance and Other
Matters Based on an Audit of Financial Statements
Performed in Accordance with *Government Auditing Standards***

To The Regents of the University of California:

We have audited the financial statements listed in the accompanying index, which collectively comprise the financial statements of the University of California (the "University"), a component unit of the State of California, as of and for the year ended June 30, 2012, and have issued our report thereon dated October 11, 2012. Our report includes reference to the report of other auditors of the financial statements of the UC Berkeley Foundation, a component unit of the University. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. The financial statements of the University's component units were not audited in accordance with *Government Auditing Standards*.

Internal Control Over Financial Reporting

In planning and performing our audits, we considered the University's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion.



The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to management of the University in a separate letter dated October 11, 2012.

This report is intended solely for the information and use of the University's Board of Regents, its audit committee, management, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

PricewaterhouseCoopers LLP

October 11, 2012



**Report of Independent Auditors on Compliance with Requirements
That Could Have a Direct and Material Effect on Each Major Program and on Internal
Control over Compliance in Accordance with OMB Circular A-133**

To The Regents of the University of California:

Compliance

We have audited the compliance of the University of California (the "University") with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012, except as described in the second paragraph of this report. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

We did not audit the University's compliance with the requirements governing Student Loan Billing and Collection compliance requirements specified by the Federal Perkins Loan Program and described in the *OMB Circular A-133 Compliance Supplement*. Compliance with these requirements was audited by other auditors whose report thereon has been furnished to us, and our opinion expressed herein, insofar as it relates to the University's compliance with those requirements, is based solely on the report of the other auditors.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the University's compliance with those requirements.

In our opinion, based on our audit and the report of other auditors, the University complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items 2012-01 through 2012-09.

Internal Control over Compliance

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal



programs. In planning and performing our audit, except as noted in the following paragraph, we considered the University's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

We did not consider internal control over compliance with the Student Loan Billing and Collection compliance requirements specified by the Federal Perkins Loan Program and described in the *OMB Circular A-133 Compliance Supplement*. Internal control over these compliance requirements was considered by the other auditors referred to above; and our report, insofar as it relates to the University's internal control over those compliance requirements, is based solely upon the report of the other auditors.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration and the other auditors' consideration of the internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. Also, the report of the other auditors did not identify any deficiencies in internal control over compliance that they consider to be material weaknesses, as defined above.

The University's responses to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the University's responses and, accordingly, we express no opinion on the responses.

This report is intended solely for the information and use of the University's Board of Regents, its audit committee, management, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

PricewaterhouseCoopers LLP

February 11, 2013

**University of California
 Schedule of Findings and Questioned Costs
 For the Year Ended June 30, 2012**

Part I – Summary of Auditors’ Results

Financial Statements

Type of auditors' report issued: Unqualified

Internal control over financial reporting:
 Material weakness(es) identified? No

Significant deficiencies identified that are not considered
 to be material weakness(es)? None reported

Noncompliance material to financial statements noted? No

Federal Awards

Type of auditors' report issued on compliance
 for major programs: Unqualified

Internal control over major programs:
 Material weakness(es) identified? No

Significant deficiencies identified not considered
 to be material weakness(es)? None reported

Any audit findings disclosed that are required to be
 reported in accordance with OMB Circular A-133,
 Section 510(a)? Yes

Programs Subjected to Audit Procedures as Major Programs

Name of Federal Program	CFDA Number
Research and Development Cluster	Various
Student Financial Aid Cluster	Various
California Department of Social Services – Food Stamp Nutrition Education Program	10.561
Dollar threshold used to distinguish between Type A and Type B programs:	\$17,018,022
Auditee qualified as low-risk auditee?	Yes

**University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012**

Part II – Financial Statement Findings

None reported

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs

Finding 2012-01: Conduct a Biennial Physical Inventory

Research and Development Cluster

Federal Awarding Agency: Various as listed on the accompanying SEFA

Program Name and specific award name: Various as listed on the accompanying SEFA

CFDA #: Various as listed on the accompanying SEFA

Award #: Various as listed on the accompanying SEFA

Award Year: Various as listed on the accompanying SEFA

Condition

A full physical inventory of equipment was not performed within the last two years at two campuses. For the first campus, in July 2011 after the implementation of the new Campus Asset Management System (CAMS), the Equipment Management Team began a series of department inventory cycle counts at that campus.

At the second campus, physical inventories are due to be performed by October 31 of every other year and sufficient evidence within the Equipment Management system could not be provided to support that the counts had been completed.

Citation

2 CFR Section 215.34 (f)(ix)(3)

A-21, Paragraph J.14(g)

Questioned Cost

There are no questioned costs associated with this finding. However, the net book value of Federally Funded equipment at the first campus is \$104,332,482 and \$49,059,765 at the second. This includes the full value of equipment that was funded solely from Federal sources and the Federal contribution for items that were funded from a combination of Federal and campus sources, net of accumulated depreciation.

Criteria

Per 2 CFR Section 215.34, "A physical inventory of equipment shall be taken and the results reconciled with the equipment records at least once every two years. Any differences between quantities determined by the physical inspection and those shown in the accounting records shall be investigated to determine the causes of the difference. The recipient shall, in connection with the inventory, verify the existence, current utilization, and continued need for the equipment. "

Per A-21, Para. J.14(g), "Charges for use allowances or depreciation must be supported by adequate property records, and physical inventories must be taken at least once every two years to ensure that the assets exist and are usable, used, and needed. Statistical sampling techniques may be used in taking these inventories. In addition, when the depreciation method is used, adequate depreciation records showing the amount of depreciation taken each period must also be maintained."

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-01: Conduct a Biennial Physical Inventory, continued

Cause

For the first campus, from 2009 through early 2011, the Equipment Management Team and leadership of the General Accounting Division were engaged in implementing a new, state-of-the-art system known as CAMS. The original project plan called for completion of a physical inventory prior to the conversion from the old system to CAMS. This inventory would have satisfied the requirements of the University Policy and OMB A-21 for a physical inventory every two years. Because the scope of the new system implementation was expanded, and because of resource reductions due to state budget cuts, management had to suspend the physical inventory so that CAMS could be implemented as soon as possible.

For the second campus, due to either staff turnover, large amounts of equipment to be counted within a department, and/or multiple certifications and signatures needed to document the completion of inventory counts within the system; there was a lack of formal evidence that the count had been completed timely.

Effect

The lack of physical inventory counts does not allow management or employees to detect and correct any issues with existence, location, or description of the assets on a timely basis. In addition, the depreciation component of the campus F&A rate could be adversely impacted.

Recommendation

For the first campus, we recommend that management complete the physical inventory, which began in July 2011, as soon as is reasonably possible and ensure that going forward the inventory is completed every two years.

For the second campus, we recommend that management perform an evaluation of the current process and related controls to ensure that the inventory counts can be performed and evidenced in a timely manner to comply with the CFR requirement.

Management's Views and Corrective Action Plan

Management's responses are reported in "Management's Views and Corrective Action Plan" and are considered part of this report.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-02: Compliance with Davis-Bacon Act

Research and Development Cluster

Federal Awarding Agencies: National Institute of Health (NIH), Department of Commerce, and National Science Foundation (NSF)

Program Name and specific award name: National Center for Research Resources/California National Primate Research Center, Ocean Science Education Building, Phase 1, Laboratory Modernization at the Sierra Nevada Aquatic Research Laboratory

CFDA #: 93389, 11429 and 47074

Award #: 82449, 22562, 21721

Award Year: 2011-2012

Condition

As part of our audit, we tested 45 certified payroll records and related contractor employee wage rates to determine compliance with the Davis Bacon Act (the “Act”). There were 6 instances of non-compliance with the Act where contractors paid an amount lower than the minimum prevailing wage required by the Department of Labor.

Additionally, there was one instance of non-compliance related to the non-submission of certified payroll records.

Citation

29 CFR sections 5.5(a)(1)(i) and 5.5(a)(ii)(A)

Questioned Cost

Amounts paid and charged to the grants were lower than the prevailing minimum wage for this finding. At the first affected campus, the amount paid by the contractor and charged to the grant was approximately \$40,905. If the correct minimum prevailing wage had been paid, the amount charged to the grant would have been approximately \$52,501 for a difference of \$11,596. The second affected campus is still in the process of assessing the difference between the amount paid and the prevailing wage related to this finding, however, the campus has represented to us that on a preliminary basis, the difference is expected to be less than \$10,000.

Criteria

Per CFR sections 5.5(a)(1)(i), “All laborers and mechanics employed or working upon the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.”

Per CFR sections 5.5(a)(ii)(A), “The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the (write in name of appropriate federal agency) if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit the payrolls to the applicant, sponsor, or owner, as the case may be, for transmission to the (write in name of agency).”

Cause

Appropriate controls were not in place to ensure the contractors were in compliance with the Act.

**University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012**

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-02: Compliance with Davis-Bacon Act, continued

Effect

The lack of appropriate controls to monitor compliance with the Act results in the Federal government paying for work where people were underpaid.

Recommendations

We recommend that management develop and implement appropriate control procedures around minimum prevailing wage and certified payroll requirements to ensure contractor compliance with the Act and provide appropriate training and resources internally to ensure compliance in the future. We also recommend management discuss an appropriate remedy to these specific exceptions found with the awarding Federal agencies and contractors.

Management's Views and Corrective Action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-03: Transparency Act Reporting Requirements

Research and Development Cluster

Federal Awarding Agencies: National Institute of Health (NIH), National Science Foundation (NSF),
Department of Defense (DoD)

Program Name and specific award name: Biomedical Research and Research Training/Study of
Chloroplast Stromules during PCD and Inter-Organellar Communication, Biological Sciences/Fast
Breeding for Slow Crops: Doubled Haploids in Cassava and Banana/Plantain, Biological
Sciences/GEPR: Genomics of the Zygotic Transition in Rice, and Military Medical Research and
Development/Treatment of Fragile X Syndrome with a Neuroactive Steroid

CFDA #: 93859, 47074, 12420

Award #: 82758, 32108, 32300, 82477

Award Year: 2011-2012

Condition

As part of our audit, we tested 41 subawards across all campuses to validate compliance with the Federal Funding Accountability and Transparency Act (“FFATA”) reporting requirements, of which one campus was not in compliance where 4 reports had not been submitted into the FFATA Subaward Reporting System (FSRS).

Citation

2 CFR part 170.200 and Appendix A

Questioned Cost

There are no questioned costs associated with this finding.

Criteria

Per 2 CFR part 170.200, “(a) Each agency that makes awards of Federal financial assistance subject to the Transparency Act must include the requirements described in paragraph (b) of this section in each program announcement, regulation, or other issuance containing instructions for applicants:

(1) Under which awards may be made that are subject to Transparency Act reporting requirements; and
(2) That either: (i) Is issued on or after the effective date of this part; or (ii) Has application or plan due dates after October 1, 2010.

(b) The program announcement, regulation, or other issuance must require each entity that applies and does not have an exception under § 170.110(b) to ensure they have the necessary processes and systems in place to comply with the reporting requirements should they receive funding.

(c) Federal agencies that obtain post-award data on subaward obligations outside of this policy should take the necessary steps to ensure that their recipients are not required, due to the combination of agency-specific and Transparency Act reporting requirements, to submit the same or similar data multiple times during a given reporting period.”

Per 2 CFR part 170 Appendix A, “i. You must report each obligating action described in paragraph a.1. of this award term to <http://www.frs.gov>.

ii. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010.)”

Cause

Appropriate controls were not in place to ensure proper monitoring of compliance with FFATA.

Effect

The lack of appropriate controls to monitor timely reporting resulted in non-compliance with FFATA.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-03: Transparency Act Reporting Requirements, continued

Recommendations

We recommend that management for this particular campus develop and implement appropriate control procedures around FFATA reporting to ensure compliance with the Transparency Act and provide appropriate training and resources to ensure compliance in the future.

Management's Views and Corrective Action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-04: Cost Transfers

Research and Development Cluster and California Health and Welfare Social Services

Federal Awarding Agencies: National Institute for Food and Agriculture / US Department of Agriculture ,
National Science Foundation, and California Health and Welfare Social Services

Program Name and specific award name: Agriculture and Food Research Initiative/ Preventing

Childhood Obesity in Mexican-Heritage Children in Rural California, Biological Sciences / Elucidating
Gene Networks Regulating Development in Tomato, and Food Stamp Nutrition Education Program

CFDA #: 10310, 47074, 10561

Award #: 82705, 80811, 81835

Award Year: 2011-2012

Condition

Cost transfer testing was performed at four campuses. Out of a total of 170 valid cost transfers selected for testing, we noted 6 instances at one campus in which the transfers were completed more than 120 days from the close of the month in which the original charge was posted to the ledger. We noted the transfers occurred on average 187 days late. The number of days late was calculated based on the University's policy. We could not determine the date of discovery of the error. However, even though the 6 transfers were completed more than 120 days from the close of the month in which the original charge was posted to the ledger, all of them were properly approved and included full explanations, including well-documented accounts of all the events leading to the late adjustments, as required by University policy.

Citation

2 CFR section 220 Appendix A part C.4.d.(4)

Questioned Costs

There are no questioned costs associated with this finding.

Criteria

The NIH Grants Policy Statement states: "Cost transfers to NIH grants by grantees, consortium participants, or contractors under grants that represent corrections of clerical or bookkeeping errors should be accomplished within 90 days of when the error was discovered." The University expects to discover errors within 120 days from the close of the month in which the original charge posts to the ledger. Thus, the University has established a policy to require cost transfers to be recorded within 120 days of the end of the month in which the original charge posts to the ledger. While NIH policy does not restrict the time period for cost transfers until the error has been discovered, the University has elected to limit cost transfers to within a total of 120 days of the end of the month in which the original charge posts to the ledger to provide a more systematic control over cost transfers and in recognition of University financial policy that expects timely reconciliation of ledgers. If, because of unavoidable circumstances, an adjustment must be made beyond the 120-day period, a full explanation, including a well-documented account of all the events leading to the tardy adjustment, must be provided, reviewed and approved.

Cause

Various causes were noted.

Effect

The untimely cost transfers allowed costs not ultimately determined for a particular award, to remain on that award for longer than is prescribed by University policy.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-04: Cost Transfers, continued

Recommendations

We recommend that the campus enhance its focus on achieving timely cost transfers to ensure compliance with the University and agency guidelines.

Management's Views and Corrective Action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-05: Subrecipient Monitoring

Research and Development Cluster

Federal Awarding Agencies: Department of Commerce, US Agency for International Development

Program Name and specific award name: Broadband Technology Opportunities Program / California

Telehealth Network eHealth Broadband Adoption, Avian and Pandemic Influenza and Zoonotic Disease Program

CFDA #: 11557, 98000

Award #: 81977, 81294

Award Year: 2011-2012

Condition

Out of 86 subrecipients tested for proper monitoring across four campuses, there was one campus in which the proper monitoring procedures had not been performed for two out of seven subrecipients tested. The first subrecipient monitoring error was related to the lack of monitoring subrecipients receiving advances made with University funds. Federal funds are not drawn or invoiced until expenditures reports have been received from the subawardees. Management determined that the subrecipient tracking schedule used to perform the control was not complete and that any subrecipients receiving advances made with University funds were not included on the listing. At this campus, the population of advanced subrecipients for FY12 totaled \$2.5m compared to the total for reimbursement subrecipients of \$50.8m. Management has represented to us that the proper controls were in place when the subrecipient was first accepted by the campus, but these subrecipients were not properly monitored on an on-going basis.

For the second monitoring error, there was one subrecipient where the A133 audit was in progress and the audit report had not yet been received.

Citation

Circular A-133, Sec. 3-M

Questioned Costs

There are no questioned costs associated with this finding.

Criteria

Per Circular A-133, Sec. 3-M, "A pass-through entity is responsible for monitoring the subrecipient's use of Federal awards through reporting, site visits, regular contact, or other means to provide reasonable assurance that the subrecipient administers Federal awards in compliance with laws, regulations, and the provisions of contracts or grant agreements and that performance goals are achieved. Ensuring that subrecipients expending \$500,000 or more in Federal awards during the subrecipient's fiscal year for fiscal years ending after December 31, 2003 as provided in OMB Circular A-133 have met the audit requirements of OMB Circular A-133 and that the required audits are completed within 9 months of the end of the subrecipient's audit period; (2) issuing a management decision on audit findings within 6 months after receipt of the subrecipient's audit report; and (3) ensuring that the subrecipient takes timely and appropriate corrective action on all audit findings.

The campus' policy for subrecipient monitoring is to collect information about subrecipient institutions as well as the specific projects they will be working on with using subrecipient monitoring forms (annual certifications). If the institution is subject to A-133 audits, they will be asked to provide information about the institution's most recent A-133. If the institution is not subject to A-133 audits, they are asked to complete a financial questionnaire.

**University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012**

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-05: Subrecipient Monitoring, continued

Cause

The subrecipient tracking schedule used to perform the control was not complete as subrecipients receiving advances were not included on the listing. Further, there is currently no control in place to ensure A-133 reports or financial questionnaires are received timely if the subrecipient's reporting is in progress.

Effect

Monitoring of all subrecipients was not completed in compliance with Circular A-133.

Recommendations

Management has represented to us that the listing of subrecipients used for monitoring purposes now includes all advanced subrecipients and that this population, which was previously excluded from the monitoring control will be included going forward, however, we recommend that management continuously assess the subrecipient amounts subject to the A-133 requirements and that controls are validated for any changes in the process or related circumstances to ensure there are no deficiencies with the current control in place. We further recommend that management develop and implement a control to ensure there is proper follow up for any awards where the monitoring information was not initially received from the subrecipient.

Management's Views and Corrective Action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-06: Timeliness of Travel Costs

Research and Development Cluster and California Health and Welfare Social Services
Federal Awarding Agencies: National Science Foundation, National Institute for Food and Agriculture /
US Department of Agriculture, and California Health and Welfare Social Services
Program Name and specific award name: Biological Sciences / Elucidating Gene Networks Regulating
Development in Tomato, Agriculture and Food Research Initiative/ Preventing Childhood Obesity in
Mexican-Heritage Children in Rural California, and Food Stamp Nutrition Education Program
CFDA #: 47074, 10310, 10561
Award #: 80811, 82705, 81835
Award Year: 2011-2012

Condition

Out of 25 travel costs tested across four campuses, there was one campus where five out of the six samples tested were not in compliance with the campus policy to submit expenses within 21 days from the date incurred. The amounts included in these expense reports ranged from \$266 to \$14,650 with an average of 69 days between the date the expense was incurred to the date the expense report was submitted and recorded as an award expenditure in the campus general ledger.

Citation

Circular A-110, Sec. 21 (b) (6)

Questioned Costs

There are no questioned costs associated with this finding.

Criteria

In accordance with Circular A-110, Sec. 21 (b) (6), "Recipients' financial management systems shall provide for written procedures for determining the reasonableness, allocability and allowability of costs in accordance with the provisions of the applicable Federal cost principles and the terms and conditions of the award." Per campus policy, expense reports should be submitted within 21 days from the date the travel expense was incurred.

Cause

Campus policy is not adhered to by employees and not enforced by management.

Effect

Expense reports were not submitted within the timeframe defined under the campus policy.

Recommendations

We recommend that management enforce its policy for timely submission of expense reports within 21 days from the date that the expense is incurred to ensure timely recording of expenditures.

Management's Views and Corrective Action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-07: Timely Return of Title IV Funds

Student Financial Aid Cluster, Department of Education

Pell Grant #84063

Federal Direct Student Loan Programs (FDL) #84268

Federal Supplemental Educational Opportunity Grant (FSEOG) #84007

Federal Perkins Loan (FPL) #84038

Award year: 2011-2012

Condition

In our sample of 59 students receiving financial aid across four campuses, where the student withdrew from the University before beginning attendance, an issue was identified at one campus where Title IV funds for 6 students (out of 19 selected for testing, which was 100% of the population of students that withdrew before beginning attendance at this campus) were returned on average, 115 days after the student withdrew from the University, which is not within the required timeframe of 30 days.

Additionally, in our sample of 109 students receiving financial aid across four campuses, where the student withdrew from the University after beginning attendance, an issue was identified at one campus where Title IV funds for one student out of 25 tested was returned 79 days after the student withdrew from the University, which is not within the required timeframe of 45 days.

Citation

34 CFR section 668.21(a)(1) and (b)

34 CFR section 668.22(a)

Questioned Cost

Questioned costs associated with the 6 students who did not begin attending school are approximately \$54,000. There were no questioned costs related to the one student who withdrew after beginning attendance as these funds were returned, but not in a timely manner.

Criteria

According to 34 CFR section 668.21 (a)(1) and (b), the institution must return all Title IV funds that were credited to the student's account or disbursed to the student when a student does not begin attendance in a period of enrollment. Such funds must be returned no later than 30 days after the institution becomes aware that the student has not begun attendance.

In conjunction with 34 CFR section 668.22(a), when a recipient of title IV grant or loan assistance withdraws from an institution during a payment period or period of enrollment in which the recipient began attendance, the institution must determine the amount of title IV grant or loan assistance that the student earned as of the student's withdrawal date and return the funds no later than 45 calendar days after the student ceased attending.

Cause

The first error occurred due to transmission issues between the Office of the Registrar's system and the system utilized by the Financial Aid and Scholarships Office for a certain period of time during the award year under audit as further described below.

A student cancels his/her enrollment through the Office of the Registrar and the Financial Aid and Scholarships Office ("FASO") identifies students whose enrollment has been cancelled when its system interfaces on a nightly basis with the Registrar's database. FASO's "Cancel Program" is a stand-alone program that changes the student's planned enrollment to "No", cancels aid awards to zero, then invoices the student's account (CARS) for any funds credited to the student's account.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-07: Timely Return of Title IV Funds, continued

Cause, continued

In Fall 2011, the campus' FASO discovered an issue with its Cancel Program. Due to the coding in the Cancel Program, the student's change to his/her planned enrollment ("No") was over-written when the Cancel Program interfaced with the admission system (which says that the student is admitted). This would cause the student's planned enrollment to change back to "Yes." As the planned enrollment is "Yes," there would be no trigger for the Cancel Program to return the students' financial aid to Title IV. With the knowledge of this issue, FASO decided to turn off the Cancel Program in January and the Cancel Program was turned back on in May when the coding was corrected. The effect of having the Cancel Program turned off in January was that the Cancel Program did not invoice the 6 Spring students' CARS account when the students cancelled their spring enrollment until the Cancel Program was turned back on in May. This resulted in an untimely return of Title IV funds for these 6 students.

The second error was due to a delay in timing of when the control was performed by the Student Financial Aid office and when the Office of the Registrar updated student enrollments as further described below.

The Officer of Undergraduate Financial Aid produces a weekly report of all of the students who withdrew from the University during the past week as reported by the Registrar's Office; however, after the report is produced, the Registrar's Office can and did update the system with additional students who withdrew and therefore the Financial Aid department was using an incomplete and inaccurate report to perform the control to ensure timely return of Title IV funds.

Effect

Title IV funds for 7 students were not returned within the guidelines prescribed by the CFR.

Recommendations

Regarding the system issue, management has represented to us that this deficiency has been remediated and that the error within the system has been fixed; however, we recommend that management consider periodically monitoring this automated application control to ensure it is working properly in the future given the high level of reliance placed by management upon this control.

Regarding the manual control issue, management has also represented to us that the controls have been updated to ensure the weekly reports are run on a cumulative basis in order to capture any gaps in reporting between the Office of the Registrar and the Student Financial Aid department to ensure timely return of Title IV funds, however, we recommend that these controls be evaluated periodically for any changes that may have occurred in the process to ensure the control continues to operate effectively.

We also recommend that the management team at each of these campuses perform a full assessment of the refunds for the year under audit to ensure there are no other students for whom the aid has not been refunded appropriately.

Management's Views and Corrective Action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-08: Student Loan Repayment Exit Interviews

Student Financial Aid Cluster, Department of Education, Federal Perkins Loans
CFDA #84038
Award year: 2011-2012

Condition

As part of our testing across four campuses, 106 students who ceased to study at the institution during the period under audit were selected for testing and there were 2 students for whom an exit interview was not conducted. Upon further investigation, there were approximately 900 students who had entered into repayment during the period in question, of which 77 students did not complete exit interviews.

Citation

34 CFR section 674.42 (b)(1)

Questioned Cost

There are no questioned costs associated with this finding.

Criteria

34 CFR section 674.42 (b) (1), "An institution must ensure that exit counseling is conducted with each borrower either in person, by audiovisual presentation, or by interactive electronic means. The institution must ensure that exit counseling is conducted shortly before the borrower ceases at least half-time study at the institution. As an alternative, in the case of a student enrolled in a correspondence program or a study-abroad program that the institution approves for credit, the borrower may be provided with written counseling material by mail within 30 days after the borrower completes the program. If a borrower withdraws from the institution without the institution's prior knowledge or fails to complete an exit counseling session as required, the institution must ensure that exit counseling is provided through either interactive electronic means or by mailing counseling materials to the borrower at the borrower's last known address within 30 days after learning that the borrower has withdrawn from the institution or failed to complete exit counseling as required."

Cause

Appropriate controls were not in place to ensure compliance with Federal Perkins Loan exit interview requirements.

Effect

The lack of appropriate controls to ensure the completion of exit interviews with students resulted in non-compliance with the CFR.

Recommendations

We recommend that management review the current process and controls in place and ensure that there are appropriate procedures around exit interview requirements to ensure full compliance in the future.

Management's Views and Corrective Action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-09: Student Eligibility, Satisfactory Academic Progress

Student Financial Aid Cluster, Department of Education

Pell Grant #84063

Federal Direct Student Loan Programs #84268

Federal Supplemental Educational Opportunity Grant #84007

Federal Work-Study Program #84033

Award year: 2011-2012

Condition

We tested student eligibility at four campuses for a total of 160 students. At one campus, out of 40 students tested, there was one student not meeting satisfactory academic progress (“SAP”) requirements for continuing to receive financial aid and no appeal was requested or approved. Upon further investigation by management, it was determined that the error population was limited to Spring 2010 admits with transfer units and one more student was also found to be out of compliance with the SAP requirements.

Citation

34 CFR sections 668.16(e) and 668.34

Questioned Costs

Questioned costs totaled \$26,307.

Criteria

Per 34 CFR section 668.16(e), “For purposes of determining student eligibility for assistance under a title IV, HEA program, establishes, publishes, and applies reasonable standards for measuring whether an otherwise eligible student is maintaining satisfactory academic progress in his or her educational program. The Secretary considers an institution's standards to be reasonable if the standards are in accordance with the provisions specified in § 668.34.”

Per 34 CFR section 668.34, “An institution must establish a reasonable satisfactory academic progress policy for determining whether an otherwise eligible student is making satisfactory academic progress in his or her educational program and may receive assistance under the title IV, HEA programs.”

Specifically, the University has established the following requirements to determine satisfactory academic progress, as follows:

- Student must not have already been enrolled for more than the maximum specified number of years;
- Student must receive passing grades in a minimum number of units each year;
- Student must keep your overall grade point average (GPA) at or above 2.000.

Cause

There were two circumstances causing the students not to be identified within the system for proper SAP monitoring: 1) For the 2010-11 award year, the campus converted to ProSAM, which was used to make SAP determinations; and 2) The Registrar's system often experiences a lag receiving information and updating transcripts for student's transfer units. Untimely receipt of information, combined with the ProSAM conversion, resulted in a timing difference that caused incomplete transfer unit information to be included in ProSAM in Fall 2011, when the 2011 - 2012 SAP determination was made.

University of California
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2012

Part III – Federal Award Findings and Questioned Costs, continued

Finding 2012-09: Student Eligibility, Satisfactory Academic Progress, continued

Effect

The system utilized by the campus to monitor SAP did not appropriately identify these two students as not meeting SAP, and therefore, the students did not go through the appeal process and were improperly awarded financial aid.

Recommendations

Management has represented to us that this deficiency has been remediated and that the error within the system was isolated to this one time period in the past and would not occur again, however, we recommend that management consider periodically monitoring this automated application control to ensure it is working properly in the future given the high level of reliance placed by management upon this control.

Management's Views and Corrective Action Plan

Management's response is reported in "Management's Views and Corrective Action Plan" and is considered part of this report.

University of California
Summary Schedule of Prior Findings and Questioned Costs
For the Year Ended June 30, 2012

Finding 2011-01: Improve Control and Compliance Procedures

At one of the campus locations PwC visited in 2011, they noted certain exceptions, including cost of attendance calculation errors for 2 students, lack of document retention for 6 monthly Pell Grant reconciliations, failure to complete monthly AIS to COD reconciliations on a regular basis and delayed performance of loan limit reviews for one quarter.

Status:

The corrective action was completed by the affected campus. In particular, reports have been developed, produced, and consistently reviewed to detect potential errors and instances of non-compliance. In addition, oversight of key reconciliation and review processes has been improved to ensure their regular and timely conduct.

Finding 2011-02: Conduct a Biennial Physical Inventory

A full physical inventory of equipment was not performed within the last two years at one campus.

Status:

With the new Campus Asset Management System (CAMS) implemented, the full physical inventory using this new system is expected to be fully completed by Spring 2013. Controls have also been put in place to ensure biennial physical inventory requirements are met on an ongoing basis. As this process had not yet been completed as of June 30, 2012, PwC has also included this as a finding in the 2012 report. Please refer to Finding 2012-01 for further details.

Finding 2011-03: Enrollment Reporting Errors

At one campus, there were at least 548 students who were erroneously reported as attending the summer term when in fact, they did not. There were three summer NSLDS submissions were corrupt due to errors in the system configuration. The errors included wrongly calculated units and half time information, as well as improperly including students who were not enrolled in the summer term.

The campus sent a corrected summer submission file once the system error was fixed.

Status:

The configuration error was promptly corrected, and all subsequent reporting of enrollment data has been accurate. An additional control procedure was implemented to review data so that system configuration changes are identified and, as necessary, corrected prior to the production and submission of data to the National Student Clearinghouse (NSC), which reports to the National Student Loan Data System (NSLDS). This quarterly review process has been effective in ensuring the accurate submission of data.



OFFICE OF THE EXECUTIVE VICE PRESIDENT—
CHIEF FINANCIAL OFFICER

OFFICE OF THE PRESIDENT
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February 8, 2013

MANAGEMENT'S RESPONSE AND CORRECTIVE ACTION PLAN

REPORT ON AUDIT OF FINANCIAL STATEMENTS AND ON FEDERAL AWARD PROGRAMS IN ACCORDANCE WITH OMB CIRCULAR A-133 FOR THE YEAR ENDED JUNE 30, 2012

Finding 2012-01: Conduct a Biennial Physical Inventory

The first affected campus already initiated its biennial physical inventory in July 2011 after the implementation of the new Campus Asset Management System (CAMS). This campus has since been working aggressively with all available resources to complete a full physical inventory using its new system and expects this to be fully accomplished by the Spring 2013. Thereafter, campus will ensure that biennial physical inventory requirements are met on an ongoing basis.

At the second affected campus, there were instances where completion of the physical inventories was not recorded in the equipment management system by the campus defined deadline of October 31, 2012. To ensure timely completion of this activity in the future, by June 2013 campus will enhance communications with the leadership of the schools and colleges responsible for completing the inventories about the importance of the timely completion of inventory and provide additional guidance on best practices for timely completing the physical inventory and properly recording it in the system. Additionally, the campus is currently monitoring the completion of the physical inventories by the schools and colleges.

Finding 2012-02: Compliance with Davis-Bacon Act

The six instances of non-compliance affected 2 campuses. For the first affected campus, the contractors were notified that the University will need their certified payroll reports. A full audit was completed in January 2013. Effective September 2011, this campus has instituted a formalized Labor Compliance Program (LCP) exercising oversight to Davis-Bacon compliance requirements. The LCP secures and audits certified contractor payrolls to determine compliance with prevailing wages; conducts site audits and interviews to verify site wage and labor classification conditions; assesses the severity of any deficiencies or violations found and if necessary, determines an appropriate course of action; reporting all findings to the requisite state or federal agency as directed. Certified payroll is gathered and documented weekly using the web-based LCP Tracker system and reported in accordance with code. Training for University staff and for contractors and subcontractors subject to the Davis Bacon and state labor acts is conducted at the inception of the project and monitoring of regulated conditions occurs weekly. In addition, this campus is participating in the wider UC system LCP coordinated through the Office of the President Construction Counsel.

The other affected campus took steps to evaluate and rectify the wage discrepancy. The federal prevailing wages issue was brought to the attention of the new General Contractor by the Labor Compliance Officer. To ensure compliance on future projects, campus has hired Contractor Compliance & Monitoring, Inc. to audit the current Davis Bacon projects. Additionally, the Labor Compliance Officer is implementing changes to include federal wage rates into the University's automated Labor Compliance Program Tracker web application to track and ensure compliance with prevailing wage requirements. Campus expects this process to be completed in Spring 2013.

Finding 2012-03: Transparency Act Reporting Requirements

In addition to communicating and training on the relatively new FFATA reporting and compliance requirements, a new control mechanism has been implemented at this campus where a monthly report of all executed subawards is generated using Sponsored Program Contracts & Grants Tracking system. This report is reviewed and checked against FSRS.gov to verify and ensure that FFATA required reports have been completed, and any missing reports are submitted timely to FSRS.gov. As a part of this process, a list of non-compliant reports, should there be any, will be provided to the Associate Director of Sponsored Programs, as well as to the Executive Director of Research Administration for appropriate follow-up.

Finding 2012-04: Cost Transfers

The University continues to strive to achieve complete timeliness of processing cost transfers. While the noted 6 instances of transfers were not processed in a timely manner, they were justified, well documented and approved in accordance with established University policy. None of these transfers resulted in unallowable costs charged to federal awards.

Nonetheless, the affected campus is enhancing the financial systems to ensure the 120 day policy limit is properly applied, preventing late transfers onto federal funds. The redesign will allow the system to accurately determine the 120 day limit. This system enhancement is scheduled to be completed by 5/1/13. Additionally, communications to campus cost transfer initiators and approvers are being delivered electronically and at campus research administration forums to ensure there is a clear understanding of the time limitation specific to transfers on federal funds and to reinforce the importance of completing transfers in a timely manner.

Finding 2012-05: Subrecipient Monitoring

At the affected campus, changes have been made in the monitoring process to include advance funded subawards in the population for subrecipient monitoring. The process now includes all subawards subject to the subrecipient monitoring requirement. Additionally, beginning in 2013, an annual review of subrecipient monitoring processes will be performed to ensure that any changes to other business processes are evaluated to determine any potential impact to the subrecipient monitoring process.

Finding 2012-06: Timeliness of Travel Costs

Changes evolving in the current business environment indicate that the University policy strictly requiring submission of all expense reports within 21 days may not be reasonable. For example, the support for certain travel expense report charges is a credit card statement, which often times may take more than 21 days to receive. Therefore, the University is in the process of clarifying these requirements.

Nonetheless, management also believes in the importance of timely submission of travel expenses reports and therefore, this campus is increasing communications with leadership of the schools, colleges and business offices responsible for completing travel expense report to emphasize the importance of timely submission in line with the University's travel policy, and additional training will be rolled out by the end of 2013. Thereafter, based upon the University's updated policy and ongoing compliance, the campus will determine if additional steps are necessary.

Finding 2012-07: Timely Return of Title IV Funds

At the first affected campus, the systems issue with the cancellation process and integration with the Office of the Registrar's system was fixed. In addition, management performed a thorough review of all refunds which may have been refunded inappropriately. In the case of any discrepancies, students' awards were manually adjusted. In addition, beginning in February 2013 the Financial Aid and Scholarships Office will conduct a monthly review of the cumulative report of students leaving the University to ensure all records are captured and addressed in a timely manner.

The second affected campus has instituted an additional process to ensure the timely return of Title IV funds. Beginning Spring 2012, the Financial Aid Office runs a weekly cumulative report beginning with the first day of instruction through the end of the period of enrollment. The report captures all students who are no longer actively enrolled. The data are cumulative to ensure that the report captures any gaps in reporting between the Office of the Registrar and the Student Financial Aid Office. Also, within a few weeks of the end of each period, the reports are updated to ensure no other changes have occurred. Additionally, management will annually review these controls for any changes that may have occurred to ensure that the process continues to operate effectively. At the end of the financial aid year, a full assessment of the refunds will be completed to ensure there are no other students for whom the aid has not been refunded appropriately.

Finding 2012-08: Student Loan Repayment Exit Interviews

The affected campus has replaced the interface from the student aid management system and the student information system, and implemented controls to ensure such errors do not reoccur. The new system put in place by Administrative Computing and Telecommunication automatically applies long-term loan documentation (LCOD) holds for students who have failed to complete their exit interview.

Finding 2012-09: Student Eligibility, Satisfactory Academic Progress

Campus believes this to be an isolated incident. This one-time issue was related to the transition to a new financial aid system and isolated to students who entered in the Spring 2010 semester. Thus, this one-time issue will not reoccur on the current financial aid system. Federal student aid funds for these two student cases have been returned to the government. The computer program that measures Satisfactory Academic Progress will be tested annually as part of the start of each award year to ensure accuracy and prevent future measurement issues.



Joao Pires
Director
Costing Policy & Analysis

Appendix A

University of California

Report to The Regents' Committee on Compliance and Audit

June 30, 2012





October 11, 2012

To The Regents' Committee on Compliance and Audit
of the University of California

We recently completed the audit of the financial statements of the University of California (the "University") for the year ended June 30, 2012 and reported on them, as well as on the separately issued financial statements of related entities, including the University's five medical centers and the University of California Retirement System. In planning and performing our audits of the financial statements, in accordance with auditing standards generally accepted in the United States of America, we considered internal controls over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on internal control over financial reporting. Accordingly, we do not express an opinion on internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses and therefore, there can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified.

AU 325, *Communicating Internal Control Related Matters Identified in an Audit*, of the AICPA Professional Standards includes the following definitions of a control deficiency, a significant deficiency and a material weakness:

- *Control Deficiency* – a deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct misstatements on a timely basis
- *Significant Deficiency* - a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance
- *Material Weakness* - a deficiency or combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

We have completed our evaluation on whether any identified audit adjustments or control deficiencies, individually or in aggregate, are to be classified as material weaknesses. We identified no deficiencies involving internal control over financial reporting that we consider to be material weaknesses as defined above. We are providing you with a report of certain control deficiencies that are of a lesser magnitude.



We have considered the deficiencies we identified, both individually and in the aggregate, and included summaries of those observations which generally represent common themes across the Office of the President, the campuses and academic medical centers, or are considered to be of significance that require communication to The Regents' Committee on Compliance and Audit. Management at the Office of the President, the campuses and academic medical centers have responded to each of the observations and where appropriate, are taking corrective action to address our comments. The full text of all our comments is contained in separately issued letters to the Chancellors and available under separate cover.

Our comments reflect our desire to be of continuing assistance to the University. We look forward to discussing these matters with you at the October 31, 2012 meeting. Please contact Joan Murphy at (415) 498-7690 if you have any questions regarding this report.

This letter is intended solely for the information and use of The Regents' Committee on Compliance and Audit, management and others within the University.

Sincerely,

PricewaterhouseCoopers LLP

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Current Year Comments

1. Logical Security Access Controls

Observation

As part of our testing over Information Technology General Controls (ITGC) across eight in-scope locations including the Office of the President, two campuses and five academic medical centers, we evaluated the design and operating effectiveness of a variety of logical security access controls. In particular, we evaluated whether:

1. IT professional and application users are assessed on a periodic basis to ensure that access rights are commensurate with existing roles and responsibilities;
2. Terminated user access is de-provisioned in a timely manner; and
3. Management performs monitoring of direct access to data to ensure that changes were consistent with their job responsibilities and appropriate.

A total of 21 findings were noted across the eight in-scope locations which were related to these three aforementioned IT logical security access controls. Of the 21 findings, two were noted at a single campus; four noted at Office of the President and the remaining 15 were attributable to the medical centers, excluding UCLA medical center which had no findings. Of the aforementioned findings, nine were recurring from prior years' examinations and 12 were first identified during the 2012 audit.

While many locations have policies and procedures in place, findings continue to be related to the absence of formal documentation of the access review, inconsistent performance of the controls, formalized evidence of direct data access to data monitoring, the completeness and accuracy of users reviewed, and the timeliness of terminated user access de-provisioning. We also noted that documentation is not retained consistently where there were people, processes and/or technology changes.

The absence of formally performed and documented periodic user access review, direct data access monitoring, and untimely de-provisioning of user access may increase the risk of unauthorized access to data and systems. Unauthorized or inappropriate access may allow users to have improper access levels at the transaction level which may have an impact on transaction processing and financial reporting. In each of these situations, our audit procedures allowed us to focus on compensating or mitigating business process transaction level controls to reduce the IT related risks to financial reporting. However, there continues to be an opportunity to adhere to continuous and consistent control performance across the organization.

Recommendation

As indicated above, during our testing of business process transaction level controls, we identified certain compensating key controls which, if operating effectively, would mitigate the financial reporting risk posed by the aforementioned issues. For the year ended June 30, 2012, these controls were tested and concluded to be operating effectively. While compensating controls were present, we propose the following recommendations to reinforce the importance of having all key internal controls over financial reporting, both systems-

related and business process transaction level, operate effectively throughout the fiscal year, to mitigate financial reporting risks.

In regards to IT professional users, we recommend IT management at each location continue to conduct a formal, periodic review of privileged system users to ensure that access is commensurate with job responsibility and that incidents of IT privileged users accessing the system(s) is appropriately supported with evidence documenting the reason and approval. Additionally, IT management must retain documentation of the reviews including documenting any and all appropriate follow up activities resulting from the review. In addition, for any instance where IT management has identified inappropriate users, IT should determine if these inappropriate users performed any unauthorized sensitive transactions and document the resolution.

In regards to application users and user access de-provisioning, we recommend that functional owners or business management continue to perform a formal periodic review to ensure that these individuals' access rights are commensurate with their current roles and responsibilities. The functional owners or business management should also ensure that the information used during the review includes all application users (i.e., ensure completeness of population of users being reviewed). The functional owners or business management must retain documentation of the reviews, including documenting any and all appropriate follow up activities from the review. In addition, business management should work together with IT management, and consider whether inappropriate users identified through this review have performed unauthorized transactions during the interim period that may need to be investigated and resolved.

In regards to direct data access monitoring, we recommend that IT management continue or begin to perform a direct access to data risk assessment to determine whether the risks necessitate database logging and periodic review of direct access to data. As part of the assessment, IT and business management should collaborate to assess the risks at each business processes (and respective application supporting each process); as well as assess the individuals with direct access to financial data. Documentation evidencing the assessment and/or periodic review of direct data access should be retained and the assessment should be updated on a periodic basis.

Lastly, we also recommend that IT management continue to emphasize the importance of continued and consistent control performance in light of changes to people, processes, and technology.

Management's Response

The auditors noted that many of the findings from last year were corrected; however, new findings related to security access controls were noted this year. At the locations where findings were noted, management indicated that it will continue to adhere to the processes to provision and de-provision user access, and conduct formal, periodic reviews of users with privileged access to key systems, as well as application users of these systems, to ensure that access rights are appropriate. Management has indicated that documentation to evidence these reviews will be retained.

2. Improve Timeliness and Documentation of Control Procedures Performed

Observation

For the past five years we have continued to observe at various locations certain control procedures that are not performed timely or not documented as reviewed. Again, in 2012, we found control testing exceptions involving reconciliations of certain cash, business performance reviews, revenue and receivables, purchases and payables, and other general ledger accounts. The reconciliation preparation and review process are critical in ensuring the appropriate and timely identification and resolution of potential errors particularly in regards to key reconciliations. While certain campuses improved in this area, other locations did not. We encourage the University to continue to focus on improvement in this area.

Recommendation

We recommend that management at the Office of the President, the campus locations and academic medical centers, continue to evaluate the effectiveness of internal control procedures, identify those procedures which are most critical to maintaining an effective internal control environment, and ensure the timeliness of when and documentation of how a reconciliation is performed and reviewed.

Management's Response

The exceptions identified during the audit included bank reconciliations that were not reviewed timely, missing evidence of certain reviews and a late review of one quarterly variance analysis at two campuses. Even though certain reconciliations and reviews were prepared late, all of them were completed prior to the fiscal year close. Both campuses reported that the delays or missing documentation occurred due to employee turnover. Due to the budget cuts, many of the campus finance offices have been reduced to minimum staffing levels. Therefore, sufficient resources are not always available to continue business processes when turnover occurs. Both campuses plan to implement additional procedures to ensure reviews and reconciliations are performed and documented on a timely basis. Other campuses will be reminded of the importance of performing timely reconciliations and reviews.

Status of Prior Year Comments

Management takes responsibility for tracking the implementation status of prior year management letter comments. We are informing you of management's progress in implementing the previous recommendations.

Comments	Status
1. Improve Timeliness and Documentation of Control Procedures Performed (originating in FY 2007)	In-process (Comment 2)
2. Perform Periodic Reviews of IT Professional and Application User Access (originating in FY 2007)	In-process (Comment 1)
3. Provision and De-Provision User Access and Perform Periodic Reviews of User Access— Control Deficiency (originating in FY 2011)	In-process (Comment 1)
4. Improve Accounting for Private and Capital Gifts and Grants – Control Deficiency (originating in FY 2011)	Completed

