Alphabetical Listing

Jeremy M. Berk

Jeremy Berk, Research Associate, is responsible for assisting in studies of industry groups and specific securities, developing and maintaining standardized investment reports and quantitative decision-making models, managing data sources, compiling research and statistical data and disseminating the information.

Prior to joining the Treasurer's Office in 1998, Mr. Berk received a BS in Management and Finance from the AB Freeman School of Business at Tulane University. Mr. Berk is a Level III Candidate in the CFA program.

Nelson S. Chiu

Nelson Chiu, Assistant Manager Banking and Treasury Services, is responsible for bank relations, including projects and analysis with respect to the University's banking and related financial service vendors. Mr. Chiu performs feasibility analysis, cost/benefit studies, process design, contract negotiation and other projects associated with the management of the University's banking.

Prior to joining the Treasurer's Office in 1999, Mr. Chiu was a Senior Analyst in the treasury area at Crowley Maritime Corporation, Oakland; a Senior Treasury and Cash Management Analyst at Ingres Corporation, Alameda; and a Supervisor of Cash Management at Mervyns, Hayward. Mr. Chiu received his BS degree in Business Administration from California State University, Hayward.

James S. Cottle, CFA

Jim Cottle, Investment Officer, is responsible for evaluating the investment potential of companies in the computer hardware, data networking, software, services, connectors and semiconductor industries. Mr. Cottle recommends additions to or deletions from The Regents' equity portfolios.

Prior to re-joining the Treasurer's Office in 1991, Mr. Cottle was Vice President of Siebal Capital Management, Inc.; and Manager of pension fund investments for Kaiser Aluminum & Chemical Corporation. Prior to that, Mr. Cottle was an Investment Officer with the Treasurer's Office. Mr. Cottle received his MBA degree in Finance from the University of California, Berkeley and his BA degree from Whitman College. Mr. Cottle has his CFA designation..

Ellen K. Davies, CFA

Ellen Davies, Senior Investment Officer, is responsible for evaluating the investment potential of companies in the electric utility, chemical and retail industries. Ms Davies recommends additions to or deletions from The Regents' equity portfolios.

Prior to joining the Treasurer's Office in 1981, Ms Davies was an Equity Analyst for Dodge & Cox, Inc., San Francisco; Office Manager, Altex Scientific, Inc., Berkeley; and Research Associate, Donaldson, Lufkin, Jenrette, Inc., New York and Brussels. Ms Davies earned her BA degree from Smith College and has her CFA designation..

Gary S. DeWeese, MAI

Gary DeWeese, Investment Officer, is responsible for evaluating the investment potential of companies in the engineering and construction, building products, hotels and agribusiness industries, as well as REITs. Mr. DeWeese recommends additions to or deletions from The Regents' equity portfolios.

Mr. DeWeese has been with the University for more than 23 years serving as Assistant Treasurer—Real Estate, Real Estate Officer, Assistant Real Estate Officer and Assistant to the Real Estate Officer. Mr. DeWeese received his MBA degree and AB degree in Economics from the University of California, Berkeley. Mr. DeWeese is a member of the Appraisal Institute (MAI).

Jeffrey S. Donahue

Jeff Donahue, Senior Treasury Analyst, is responsible for campus relations, including projects and analysis with respect to servicing University sites' banking needs. Mr. Donahue performs end-user support, service implementation, troubleshooting, system analysis and related control oversight.

Prior to joining the Treasurer's Office in 1999, Mr. Donahue was a Senior Account Administrator and Customer Service Specialist with Bank of America Global Payment Operations for nine years an accountant with Chevron. Mr. Donahue received his Masters in Public Administration and his BS degree in Business Administration from California State University, Hayward.

Kim B. Evans

Kim Evans, Investment Officer, is responsible for fixed-income research, including analysis of domestic and international companies, across all industry sectors and for foreign country analysis. Ms Evans recommends additions to or deletions from The Regents' fixed-income portfolios.

Prior to joining the Treasurer's Office in 1991, Ms Evans worked in investment banking at Robertson, Colman & Stephens, San Francisco; Associate Director Financial Services at Dataquest, Inc., San Jose; Securities Analyst with Sutro & Company, Inc., San Francisco; and Civil Engineer for U.S. Army Corps of Engineers, San Francisco. Ms Evans received her MBA degree in Finance and a double BS degree in Civil Engineering and Materials Science from the University of California, Berkeley.

Jerome S. Frantz, CCM

Jerry Frantz, Manager Banking and Treasury Services, is responsible for major projects and analysis with respect to the University system's banking and related financial services.

Prior to joining the Treasurer's Office in 1999, Mr. Frantz spent 22 years with the California State Automobile Association (CSAA) in San Francisco, most recently as Manager of Treasury Operations. Prior to that, Mr. Frantz was an auditor with Yosemite Great Falls Insurance Company and Coopers and Lybrand, both in San Francisco. Mr. Frantz received a BS in Accounting from Pennsylvania State University, and he is a Certified Cash Manager.

Linda Fried

Linda Fried, Head of Trading, is responsible for all trading activities within the Treasurer's Office and for assisting in managing the long-term bond portfolio. Ms Fried analyzes economic fundamentals, as well as technical and credit qualities of a wide range of securities. Ms. Fried is also responsible for management oversight of portfolio analytics and reporting.

Prior to joining the Treasurer's Office in 1982, Ms Fried was Manager, Statistical Department/Editorial Assistant and Statistical/Administrative Assistant for Keystone Massachusetts, Inc., Boston; and Technical Assistant/Office Manager for MFI Associates, Bala Cynwyd, Pennsylvania. Ms Fried earned her BA degree from the University of Pennsylvania.

Jean W. Ham

Jean Ham, Senior Finance Officer, is responsible for managing the portfolio of bank loans and commercial paper of The Regents, which includes negotiating, documenting and coordinating all advances, as well as keeping records related to those loans. Ms Ham also manages bank relations, including overall commitment levels and the development of new lending relationships.

Prior to joining the Treasurer's Office in 1995, Ms Ham was associated with Levi Strauss & Co., San Francisco and Walnut Creek; Security Pacific National Bank, San Francisco Corporate Office; and Bank of America, San Francisco. Ms Ham earned her BA degree in Economics from the University of California, Berkeley.

Connie R. Harrington

Connie Harrington, Director Treasury & Investment Operations, is responsible for managing the back office operations of the investment office and is the main interface with the custodian banks. Ms Harrington oversees investment accounting, performance measurement, cash and banking, and management for the nine-campus system gifts and endowments matters.

Ms Harrington has been with the University for more than 35 years, 25 of which have been with the Treasurer's Office where she has served as Director of Operations, Finance Officer and Short-Term Investment Officer, and Senior Accountant for the Corporate Accounting Office. Ms Harrington majored in Finance at the California State University in Hayward, California.

Robert Hatheway

Bob Hatheway, Real Estate Officer, is responsible for managing the University's endowment real estate portfolio.

Prior to joining the Treasurer's Office in 1987, Mr. Hatheway's background encompasses more than 17 years experience in the real-estate investment field with real-estate consulting and development firms, including more than ten years experience in the Treasurer's Office. Prior to joining the Treasurer's Office in 1987, Mr. Hatheway was Vice President with The Aspen Group, Inc., San Francisco, and Senior Associate for Questor Associates, San Francisco. Mr. Hatheway earned his MBA degree from the University of California, Berkeley, his JD degree from the University of California, Hastings College of Law and his BS degree from California State University, Hayward.

Jeffrey E. Heil, CFA

Jeff Heil, Head of Equity Investments, is responsible for the strategic focus and management of the equity portfolio. He is also responsible for evaluating the investment potential of companies in the cable television, communications equipment, internet, cellular/wireless, long distance and local telecommunications industries. Mr. Heil recommends additions to or deletions from The Regents' equity portfolios.

Prior to joining the Treasurer's Office in 1997, Mr. Heil was Vice President of Equity Research for Key Asset Management, Cleveland; Corporate Finance Consultant with McKinsey & Company, Cleveland; and Investment Banker for Asset Growth Partners, New York. Mr. Heil received his MBA degree in Finance from New York University and his BA degree in Government from Ohio University. Mr. Heil has his CFA designation.

Arild Holm

Arild Holm, Investment Officer, is responsible for evaluating the investment potential of companies in energy-related industries. Mr. Holm recommends additions to or deletions from The Regent's equity portfolios.

Prior to joining the Treasurer's Office in 2000, Mr. Holm was a Portfolio Manager with Colorado PERA, Denver; and an Oil and Gas Analyst at Hanifen, Imhoff Inc. Mr. Holm received his MBA degree from The University of Colorado and his BS degree with honors in Management Sciences from the University of Manchester Institute of Science and Technology, England.

Michael John

Michael John, Senior Financial and Systems Analyst, is responsible for a wide range of analytical and system support to the various groups in the Treasurer's Office, including developing new systems and providing feasibility and cost-benefit analysis. Mr. John is also responsible for analyzing current practices within the Treasurer's Office and recommending new and revised policies as needed. Mr. John also prepares project plans, proposals and associated analysis.

Prior to joining the Treasurer's Office in 1999, Mr. John was a Financial Analyst II with the Mortgage Finance Group at Federal Home Loan Bank of San Francisco, and a Senior Financial Analyst with Bank of America's Risk and Capital Analysis Department, San Francisco. Mr. John earned his BS degree in Mathematics and Applied Science from the University of California, Los Angeles. Mr. John is a Level III Candidate in the CFA program.

Elizabeth Beach Mills

Elizabeth Beach, Information Specialist, is responsible for summarizing research findings and writing investment materials, marketing packets and various documents for the Treasurer's Office, including the Treasurer's Office Annual Report, the Foundation Annual Report and annual marketing brochures. Ms Beach is also responsible for the Treasurer's Office web site.

Prior to joining the Treasurer's Office in 1997, Ms Beach was an Associate Analyst with Montgomery Securities, San Francisco; and an Associate Analyst with Alex. Brown & Sons Incorporated, San Francisco and New York. Ms Beach earned her BA degree from Duke University with a double major in Economics and History.

Gregory A. Schwartz, CCM

Greg Schwartz, Chief Treasury Officer, is responsible for the management of all treasury functions, as well as for the oversight and implementation of controls designed to assure the best business practices in the Treasurer's Office.

Prior to joining the Treasurer's Office in 1997, Mr. Schwartz was Manager of Finance for Charles Schwab & Co. Inc., San Francisco; Cash and Investment Manager for City of Dallas; Financial Analyst for Lomas Financial Corporation, Dallas; Cash Manager/Investment Analyst for Dallas Community Colleges; and Escrow Investment Officer for Hexter Fair Title Company, Dallas. Mr. Schwartz earned his BBA degree in Finance from Wichita State University, Kansas and is a CCM.

Matthew R. Scoble

Matt Scoble, Trading/Research Associate, is responsible for assisting in the equity and fixed-income trading functions; creating and maintaining databases for and performing a variety of portfolio analytics; and fundamental company analysis.

Prior to joining the Treasurer's Office in 1999, Mr. Scoble was an Equity Trader with Insight Capital Research & Management, Inc., Walnut Creek, CA; and a Portfolio Administrator with Fisher Investments, Woodside, CA. Mr. Scoble received a BS in Finance from Saint Mary's College, Moraga, CA. Mr. Scoble is a Level III Candidate in the CFA program.

Alison F. Shimada

Alison Shimada, Investment Officer, is responsible for evaluating the investment potential of companies in the healthcare industry. Ms Shimada recommends additions to or deletions from The Regent's equity portfolios.

Prior to joining the Treasurer's Office in 1999, Ms Shimada was an Equity Fund Manager at Commerce Asset Fund Managers, Kuala Lumpur, Malaysia; a Senior Research Analyst, Fidelity Management and Research Inc., Tokyo and Boston; and an Equity Trader/Research Assistant, Pacific Equity Management, Oakland. Ms Shimada received her MBA degree from Harvard Business School and her BA degree in Political Economies of Industrial Societies from the University of California, Berkeley.

Patricia A. Small

Patricia Small, Treasurer of The Regents, is responsible for the management of the investable assets of the University of California system, according to the investment policies of The Regents' Committee on Investments. In addition, the Treasurer is responsible for the external financing and cash and banking activities of the corporation.

Ms Small has been with the Treasurer's Office for more than 25 years, serving as the Associate Treasurer for 15 years prior to her appointment as Treasurer in 1996. Before joining the Treasurer's Office, Ms Small was Assistant Portfolio Manager in the Pension Trust Unit at Bank of America, San Francisco. She earned her BA degree in Economics from Marymount College, Tarrytown, New York.

Cynthia A. Spain

Cynthia Spain, Quantitative Analyst, is responsible for conducting quantitative and qualitative analysis of The Regents' portfolios, analyzing investment returns, risk and portfolio characteristics for various asset classes, and producing investment performance and attribution reports.

Prior to joining the Treasurer's Office in 1998, Ms Spain was a Consulting Associate at Wilshire Associates, Santa Monica; Economic Analyst for the Central Intelligence Agency, Langley, Virginia; Equity Research Assistant for Prudential-Bache Securities, Inc. New York; and in the Information Services Training Program for Morgan Stanley & Company, Inc., New York. Ms Spain earned her MAIA degree from The George Washington University, specializing in International Economics and Development Economics, and her BA degree in Economics and Latin American Studies from the University of California, Los Angeles.

Melvin L. Stanton

Mel Stanton, The Assistant Treasurer of The Regents, along with the Treasurer, is responsible for the overall management of the Treasurer's Office.

Prior to joining the Treasurer's Office in 1989, Mr. Stanton had more than 20 years experience as a financial executive in portfolio management and securities trading, including Director of Sales for Midland Montagu Securities, Inc., San Francisco; First Vice President and Manager with Crocker National Bank, San Francisco; and Vice President and Regional Sales Manager with Bankers Trust Company, Los Angeles. Mr. Stanton received his MS and BS degrees from California State University, Northridge.

David D. Stewart, CFA

David Stewart, Investment Officer, is responsible for evaluating the investment potential of companies in the computer and semiconductor industries. Mr. Stewart recommends additions to or deletions from The Regent's equity portfolios.

Prior to joining the Treasurer's Office in 1999, Mr. Stewart was an Analyst at Fidelity Management and Research Inc., Tokyo; and an Assistant Manager, Aircraft Business Division at ITC Aerospace, Inc., Tokyo. Mr. Stewart received his MBA degree in Finance from The Wharton School, University of Pennsylvania, with a concentration in investment management, and his BA degree in Accounting from the University of California, Berkeley. Mr. Stewart has his CFA designation.

Satish Swamy

Satish Swamy, Risk Management/Fixed-Income Officer, is responsible for identifying and executing appropriate risk management strategies for the equity and fixed-income portfolios. Mr. Swamy also performs systems analysis and quantitative analysis as required. He also assists in managing the long- and short-term fixed-income portfolios, with an emphasis on evaluating relative value; formulating and executing foreign currency hedging strategies.

Prior to joining the Treasurer's Office in 1998, Mr. Swamy was Business Manager/Risk Consultant for Reuters America; Fixed-Income Research Analyst/Strategist for Lincoln Investment Management; and Fixed-Income/Derivatives Analyst for Analytic Investment Management. Mr. Swamy earned his MBA in Finance from the University of Southern California, his MS from the University of Houston and his BE from the College of Engineering in India. Mr. Swamy is a Level III Candidate in the CFA program.

William D. Van Arnum, CFA

Bill Van Arnum, Equity Research Director, is responsible for overseeing all equity research and for evaluating the investment potential of companies in the entertainment, food, beverage, tobacco and financial industries. Mr. Van Arnum recommends additions to or deletions from The Regents' equity portfolios.

Prior to joining the Treasurer's Office in 1992, Mr. Van Arnum was an Investment Officer with Merus Capital Management, San Francisco; Securities Analyst for Transamerica Investment Services, Inc., San Francisco; Senior Programmer/Analyst and Financial Analyst for Equitec Financial Group, Inc., Oakland; and Financial Analyst for Getty Oil Company, Ventura. He earned his MBA degree in Finance from San Francisco State University and his BS degree from California Polytechnic State University, San Luis Obispo. Mr. Van Arnum has his CFA designation.

Randolph E. Wedding

Randy Wedding, Head of Fixed Income, is responsible for the strategic focus and management of the long- and short-term fixed-income portfolios. Mr. Wedding has a special emphasis on foreign markets, developing foreign currency hedging strategies and analyzing economic fundamentals and technical and credit qualities of a wide range of fixed-income investment vehicles.

Prior to joining the Treasurer’s Office in 1998, Mr. Wedding was Manager of Currency Options and Derivatives Trading for Bank of America, NT&SA, New York; Managing Director, Commodities and Derivative Sales for Bear Stearns & Co., New York; and Principal, Manager of Fixed-Income Derivative Sales for Morgan Stanley & Co., New York. Mr. Wedding earned his MBA degree in Finance from the University of California, Berkeley and his BA degree in Mathematics from the University of California, San Diego.

Kenneth M. Wisdom

Ken Wisdom, Private Equity Officer, is responsible for conducting quantitative analysis of top-tier private equity funds and making recommendations for both domestic and international investment opportunities for The Regents' portfolios.

Prior to joining the Treasurer's Office in 1999, Mr. Wisdom was a Senior Investment Analyst in Alternative Investments for the Commonwealth of Massachusetts—Pension Reserves Investment Management (PRIM), Boston; a Summer Associate with RCM Capital Management, San Francisco; and a Product Manager with Baseline Financial Services, New York. Mr. Wisdom earned his BA degree in Government from Cornell University, his MPA degree from Cornell Institute of Public Affairs and his MBA degree from The Amos Tuck School of Business Administration.

Robert O. Yastishak

Bob Yastishak, Director Treasury Operations, is responsible for the direction of the back office operations of the investment office. Mr. Yastishak supervises and manages a specialized staff who perform investment accounting and reporting, including: settlement of security transactions, investment income collection, gift processing and endowment matters.

Prior to joining the Treasurer's Office in 1997, Mr. Yastishak was the Manager of Endowment and Investment Accounting for the Office of the President of the University of California for 16 years (nine years as the manager) and Field Auditor III for the Commonwealth of Pennsylvania-Department of the Auditor General. Mr. Yastishak earned his BS degree in Accounting from The Pennsylvania State University.

Alice L. Yee

Alice Yee, Short-Term Investment Officer, is responsible for the investment of the cash of the University system in short-term money market and fixed-income securities. This includes analyzing investment alternatives, forecasting cash needs and monitoring cash balances.

Prior to joining the Treasurer's Office in 1980, Ms Yee was a Financial Analyst and Investment Analyst for Southern Pacific Transportation Company and a Systems Analyst with Bank of America, Bankamericard Systems, San Francisco. Ms Yee earned her MBA degree in Quantitative Methods from the University of Washington and her BA degree in Applied Mathematics from the University of California, Berkeley.

Randall B. Young

Randy Young, Assistant Treasurer—External Finance, is responsible for the management of the external finance activities of The Regents. His primary focus is strategic planning and implementation of The Regents' debt structure to meet the short- and long-term financing requirements for the University.

Prior to joining the Treasurer's Office in 1985, Mr. Young had more than 7 years experience in corporate lending as Vice President and Team Leader with First Interstate Bank of California and Vice President and Senior Corporate Lender with Wells Fargo Bank, San Francisco. He received his MBA (double major in Finance and Applied Economics) and BA degrees from the University of California, Berkeley.