

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

July 12, 2017

The Regents of the University of California met on the above date at UCSF–Mission Bay Conference Center, San Francisco.

Members present: Regents Anguiano, De La Peña, Elliott, Kieffer, Lemus, Lozano, Makarechian, Mancina, Monge, Napolitano, Ortiz Oakley, Park, Pérez, and Sherman

In attendance: Regents-designate Anderson and Morimoto, Faculty Representatives Chalfant and White, Secretary and Chief of Staff Shaw, General Counsel Robinson, Interim Chief Compliance and Audit Officer Lohse, Provost Dorr, Executive Vice President Stobo, Vice Presidents Brown, Budil, and Duckett, Chancellors Blumenthal, Christ, Gillman, Hawgood, Khosla, Wilcox, and Yang, Interim Chancellor Hexter, and Recording Secretary McCarthy

The meeting convened at 8:35 a.m. with Chair Kieffer presiding.

1. **APPROVAL OF MINUTES OF PREVIOUS MEETING**

Upon motion duly made and seconded, the minutes of the meeting of May 17, 2017 were approved.

2. **PUBLIC COMMENT**

Chair Kieffer explained that the public comment period permitted members of the public an opportunity to address University-related matters. The following persons addressed the Board concerning the items noted.

- A. Ms. Rachel Charime, UC Santa Cruz student, urged the Regents to include more student voices in Regents meetings so that student concerns about housing, tuition increases, and other experiences can be heard. She also recommended increased transparency about Regents' visits to campuses.
- B. Ms. Ifechukwu Okeke, UC Berkeley student, expressed support for adoption of temporary measures to assist nonresident students who would be helped by changes to nonresident tuition policy that could be adopted after they have to pay tuition. She said the Regents should issue a statement against hate speech on UC campuses, noting the ill effects it can have on already marginalized students.
- C. Mr. Eugene Baah, founder of Resoltz, an online fitness platform for college communities, asked the Regents to help sponsor this program.

- D. Ms. Anna Whitney, UC Berkeley student, urged the Regents to divest holdings in the fossil fuel industry.

3. **REMARKS OF THE CHAIR OF THE BOARD**

Chair Kieffer welcomed new UC Berkeley Chancellor Christ, and four new Regents, Anguiano, Guber, Park, and Tauscher, new alumni Regents-designate Anderson and Morimoto, Staff Advisor Main, and Student Advisor Sands. He also welcomed former Regents-designate Monge, Lemus, and Mancina as voting members of the Board. Chair Kieffer expressed appreciation to Regent Lozano for her exceptional work as Chair of the Board. He also thanked Board members for their volunteer work as Regents.

Chair Kieffer recounted that 149 years prior, in 1868, California Governor Henry Haight presided over the first meeting of the Board of Regents, less than three months after he signed legislation that created the University of California, reflecting what would be a continuing partnership among the Board and the executive and legislative branches of California government. These Regents were founders, with energy, spirit, and nervous confidence. Their models may have been the private universities of the East Coast and Germany, but they set about to build something distinctively Californian. Their work and that of their successors has stood the test of time, resulting in the finest system of public higher education in the world.

From its beginning, the University has sometimes experienced critical audits, difficult times, and occasional disputes with the Legislature and the Governor. Various and sometimes serious issues arise on UC campuses, for no University is perfect and UC is not one single campus but ten large, multidimensional campuses, each a complex universe of its own. UC serves California with more than 260,000 students and more than 200,000 employees across the system's ten campuses, five medical centers, three affiliated National Laboratories, and a statewide Division of Agriculture and Natural Resources.

Chair Kieffer acknowledged that the University's challenges are great and anyone who seeks to find missteps will certainly find them. Still, the University must address its missteps. The recent State audit pointed out important improvements that can be made in budgeting processes. Chair Kieffer commended President Napolitano for her swift acceptance and implementation of the audit report's recommendations. He expressed the Regents' commitment to fulfilling their responsibilities in the recommendations of the audit report. Chair Kieffer said it would be a high priority of his as Chair of the Regents to develop a stronger partnership with the State Legislature, by listening more carefully and engaging more actively with legislators.

Taking a longer view, Chair Kieffer expressed pride that the University of California had, over the years and under the leadership of an independent Board of Regents, become the finest system of higher education in the world. Its renowned faculty at its top-ranked campuses educate more first-generation students than any other research university system in the nation. UC research has conquered disease, launched some of the most

important discoveries and companies in the world, and helped grow an agriculture industry that feeds the nation. The UC health system serves enormous numbers of economically disadvantaged Californians. UC's work has radically improved the environment in California, supporting a state that leads the nation in protecting its environment. At the same time, UC campuses are deeply involved in their local communities each day.

Following changes implemented under Regent Lozano's leadership, the Regents' committees would continue to explore details of their responsibilities. The following year would bring the 150th anniversary of the University, offering an opportunity for reassessment and celebration. Most assessment would take place appropriately on UC campuses through the chancellors and the Academic Senate, and Chair Kieffer expressed his hope that they would use the anniversary as a chance for such reassessment. As the Regents partner with the Governor and the Legislature to preserve UC's quality, access, and competitiveness, he urged the Regents to view themselves as continuing founders as well as preservers, reassessing and recommitting to the welfare of Californians of the present and the future, with the same energy, openness, and confidence as those first Regents.

4. **REMARKS OF THE PRESIDENT OF THE UNIVERSITY**

President Napolitano thanked Regent Lozano for her leadership of the Board of Regents over the past two years. She had strengthened the Regents' ability to make strategic decisions during a period when the University greatly expanded opportunities for California residents at UC. President Napolitano welcomed the new Regents and Chancellor Christ.

President Napolitano emphasized the University's goals: to educate the next generation of Californians, to create new knowledge, to serve the public, and to expand opportunity at the world's leading public research university system. She shared stories of individual UC graduates. The prior year, 42 percent of UC graduates were first-generation college graduates, nearly half were Pell Grant recipients, slightly more than half received financial aid through UC's Blue and Gold Opportunity Plan, 30 percent transferred to a UC campus from a California Community College (CCC), and 86 percent were California residents.

With its fall 2017 entering class, UC was on track to reach its goal of enrolling 10,000 additional California undergraduates by the 2018-19 academic year. Already, more Californians are registered at UC than at any point in its history. In the fall 2017 class, 70,000 incoming California freshmen and more than 21,000 transfer students were offered admission on at least one UC campus. There were increases in the proportions of students from historically underrepresented groups, as well as from low-income families. Since fall 2015, the number of California resident freshmen offered admission had grown by 13.2 percent and admissions of California resident CCC transfer students had increased by 18 percent.

UC campuses dominated the *New York Times* College Access index, based on commitment to economic diversity and degree completion. The top five schools in the nation were UC campuses: Irvine, Santa Barbara, Davis, San Diego, and UCLA. UC Berkeley was in the top ten.

Two weeks prior, UC announced new systemwide procedures for investigating, adjudicating, and determining sanctions in sexual violence and sexual harassment cases involving faculty or staff. Steady progress was being made in expanding student on-campus housing, and UC was implementing the 33 recommendations the State Auditor made to the Office of the President (UCOP), on schedule and in some cases ahead of the Auditor's timeline. Even before the State audit, UCOP had been implementing a number of measures to ensure the careful management of resources and transparency of UCOP.

5. **REMARKS OF THE CHAIR OF THE ACADEMIC SENATE**

Faculty Representative Chalfant acknowledged the distinguished service of Provost Dorr, and expressed appreciation for her close collaboration with the Academic Senate. He noted the importance of a close relationship between the Regents and UC's faculty and urged the Regents to visit UC campuses to learn the experiences of UC faculty.

Mr. Chalfant noted improvements that had been made, such as the 21 transfer pathways and the website pairing any pathway with any community college to show students which courses fulfill the pathway expectations. UC faculty in the 21 majors created the pathways, but the successful implementation was the result of the work of UC staff in admissions at the Office of the President (UCOP), and their campus admissions colleagues and staff. Work was continuing to develop Associate of Science degrees based on the transfer pathways.

Mr. Chalfant reported that he and Faculty Representative White had been working with UC administration on new policies governing appointment and review of Academic Senate faculty known as teaching professors or lecturers with security of employment.

Mr. Chalfant expressed faculty's hope that both UC and the State would be able to focus on real issues facing UC. The Legislature's decision to directly fund UCOP's budget and systemwide programs and initiatives, and then expect UC to fund enrollment growth from cuts to programs would have direct consequences for every State-funded unit on every campus. By preventing use of campus assessments to fund UCOP, the budget bill would not leave more money on the campuses. It would instead create unnecessary work to protect State-funded programs critical to UC's mission. Mr. Chalfant noted the essential work of UCOP staff.

Mr. Chalfant stated that the accounting recommendations of the State audit report were constructive and welcomed, but distorted allegations of hidden UCOP reserves were not. He and Mr. White were pleased to be part of the effort to respond to the audit by examining the UCOP budget. That effort should be broad. A program should not be protected only because it is on a campus, and not considered unnecessary only because it

is central at UCOP. He expected the Academic Senate to advocate for more investment in the best programs, for cuts only where appropriate, and protection of programs that contribute to UC's mission and enhance UC's quality.

Mr. Chalfant commented that the real problem faced by UC was the steady decline in State funds per student since 1990. The State administration should listen to the Regents, and to UC faculty, staff, and students who have experienced the slow erosion of what it means to be the University of California, which was in danger of being converted from the world's preeminent public university system to just another state university system. If stakeholders work together, the course of the University could be changed.

6. NOTABLE HONORS AND ACHIEVEMENTS

President Napolitano reported highlights of recent UC accomplishments. The U.S. Department of Education named UC Irvine a Hispanic-Serving Institution (HSI), joining UC Merced, UC Riverside, UC Santa Barbara, and UC Santa Cruz, meaning that at least one-quarter of its undergraduates are Latino/a. UC Irvine and UC Santa Barbara were the only two members of the Association of American Universities to earn the HSI designation.

In a recent evaluation of participants in its Green Power Partnership, the U.S. Environmental Protection Agency commended UC as a national leader in the use of clean, renewable energy. UC ranked sixth among national clean energy leaders for its on-site generation of renewable energy and ninth among the top 30 colleges and universities.

UC medical centers were again recognized for providing exceptional care to children in the latest *U.S. News and World Report* rankings. UC Davis Children's Hospital was ranked among the nation's best in five pediatric specialties. UCLA's Mattel Children's Hospital was named among the best in the nation in all ten pediatric specialties ranked. UCSF Benioff Children's Hospitals were ranked among the top in the nation in nine specialties.

President Napolitano thanked Interim Chancellor Hexter for his strong, principled service and looked forward to his continued work as UC Davis Provost. She also thanked Interim Chief Compliance and Audit Office Lohse. President Napolitano expressed appreciation for the dedication and notable achievements of Provost Dorr. The President also thanked Mary Croughan for her work as Executive Director of the Research Grants Program Office.

President Napolitano recognized Regent Lozano for being named 2017 Business Person of the Year by the Los Angeles Business Journal.

Astronomer and UC Santa Cruz Professor Emerita Sandra Faber won the 2017 Gruber Foundation Cosmology Prize for her lifetime contributions to the understanding of galaxies and foundational questions in astronomy. *Time Magazine* named UC Santa Cruz and UC Berkeley alumna Natalie Batalha, project scientist for NASA's Kepler Mission, as one of the 100 most influential people on earth in 2017 for her work searching for

planets that may harbor life. Three UC alumni are members of NASA's 2017 astronaut candidate class, chosen from a record number of applicants: Jessica Watkins, Robb Kulin, and Warren Hoburg, who together represent one-quarter of the 2017 class.

7. **COMMITTEE REPORTS INCLUDING APPROVAL OF RECOMMENDATIONS FROM COMMITTEES**

Report of the Special Committee to Select a Student Regent

Appointment of 2018-19 Student Regent

The Special Committee recommended that Devon L. Graves, a graduate student at the University of California, Los Angeles, be appointed a Regent of the University of California to serve for the period July 1, 2018 through June 30, 2019, and that he serve as Regent-designate, effective immediately, until the appointment becomes effective.

Regent Ortiz Oakley commented that the quality of its students provides strength to the University of California. Mr. Graves is a UCLA Ph.D. student in Education, having received his bachelor's degree in political science at California State Polytechnic University, Pomona and his master's degree in education from UCLA. Mr. Graves was active in student government as an undergraduate and was appointed by Governor Brown as a member of the California Student Aid Commission.

Upon motion of Regent Ortiz Oakley, duly seconded, the recommendation of the Special Committee to Select a Student Regent was approved.

Mr. Graves thanked the Special Committee and the Regents for their confidence in him. He would continue to work for an accessible and affordable University. His focus would be on important issues affecting UC students: the cost of an education, the cost of living, access to mental health services, and enhancing diversity.

The meeting adjourned at 9:30 a.m.

Attest:

Secretary and Chief of Staff