

The Regents of the University of California

**COMMITTEE ON OVERSIGHT OF THE  
DEPARTMENT OF ENERGY LABORATORIES**

March 20, 2014

The Committee on Oversight of the Department of Energy Laboratories met on the above date at UCSF–Mission Bay Conference Center, San Francisco.

Members present: Regents De La Peña, Gould, Island, Newsom, Pattiz, Reiss, Schultz, and Zettel; Ex officio members Lansing, Napolitano, and Varner; Advisory member Gilly

In attendance: Regents Feingold, Flores, Kieffer, Makarechian, and Sherman, Regents-designate Engelhorn, Leong Clancy, and Saifuddin, Faculty Representative Jacob, Secretary and Chief of Staff Kelman, Associate Secretary Shaw, General Counsel Robinson, Chief Compliance and Audit Officer Vacca, Provost Dorr, Executive Vice President Brostrom, Chief Financial Officer Taylor, Senior Vice President Stobo, Vice Presidents Andriola, Beckwith, Duckett, Lenz, Mara, and Sakaki, Chancellors Block, Blumenthal, Desmond-Hellmann, Drake, and Wilcox, and Recording Secretary McCarthy

The meeting convened at 11:10 a.m. with Committee Chair Pattiz presiding.

**1. APPROVAL OF MINUTES OF PREVIOUS MEETING**

Upon motion duly made and seconded, the minutes of the meeting of January 22, 2014 were approved.

**2. UPDATE ON THE DEPARTMENT OF ENERGY LABORATORIES**

[Background material was provided to Regents in advance of the meeting, and a copy is on file in the Office of the Secretary and Chief of Staff.]

Committee Chair Pattiz reported that progress had been made in the search for the director of the Lawrence Livermore National Laboratory (LLNL). The search committee had met the prior week and agreed upon a candidate, the selection of whom would be made with the concurrence of the Chairman of the Board, the President of the University, the Board of Governors of the LLC, and the Department of Energy. A separate search committee led by Provost Dorr and including Committee Chair Pattiz was working to recommend a candidate for Vice President for Laboratory Management, who President Napolitano will bring to the Regents for approval.

Committee Chair Pattiz commended retiring Vice President for Laboratory Management Mara for his long, outstanding service to the University. Mr. Mara is held in exceptional esteem by those at UC, the National Laboratories, and by officials at the highest levels of the federal government.

Mr. Mara said that it had been a privilege to serve the University and the National Laboratories for the past 40 years, giving him the opportunity to work with some of the nation's finest scientists in the true spirit of public service. He recalled that the Board had seen presentations of some of the National Laboratories' scientific achievements such as the discovery of new elements added to the periodic table, the expanded use of supercomputers to understand climate and model the electronics of the human heart, and developments in measurement science and detectors important to the mission of nuclear nonproliferation as well as exploration of the cosmos. The National Laboratories along with the UC campuses are at the forefront of discovery science. During a trip to LLNL, the Regents gained insight into the role the Laboratory and its personnel play in the community. He noted that the Laboratories thrive on vigorous, respectful debate to arrive at scientific truth that moves society forward.

Mr. Mara stated that the UC-affiliated National Laboratories have maintained UC's standards of scientific excellence, values, and trust, allowing them to play a significant role with the federal and State governments. After providing resources for effective oversight of the Laboratories, UC uses the remainder of its fee from the DOE to support campus and laboratory research as part of the University's important public service mission of advancing basic science and understanding. Mr. Mara commended the University's maintenance of that relationship with the National Laboratories.

Mr. Mara updated the Board on the status of the federal funding of the UC Retirement Plan for LLNL and Los Alamos National Laboratory, whose pension obligations became the federal government's responsibility when they became limited liability companies. The federal government had been in arrears \$80 million in its payments for fiscal year 2013. Mr. Mara reported that the total payment of \$370 million for fiscal year 2014 had been made and \$70 million for fiscal year 2013 had been paid. The remaining \$10 million due from 2013 was going through reprogramming in the Congress and he had been assured that full payment would be made shortly.

President Napolitano stated that Mr. Mara's assistance had been invaluable in her gaining an understanding of UC's public service mission through the National Laboratories. She expressed gratitude for his excellent work.

3. **RESOLUTION TO EXCLUDE ACCESS TO FEDERAL CLASSIFIED INFORMATION**

The President recommended that the resolution pertaining to the University's Department of Energy and Department of Defense Facility Security Clearances be approved, as shown in Attachment 1.

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Committee Chair Pattiz stated that this routine matter to conform to Regents' policy on security clearance for access to federal classified information proposed adoption of an exclusion resolution for Regent Lozano, who may apply for clearance if she chooses.

With the Board's approval, Regent Lozano would be excluded from all access to classified information and special nuclear material and would not participate in any decisions or matters pertaining to the protection of classified information and/or special nuclear material.

Upon motion duly made and seconded, the Committee approved the President's recommendation and voted to present it to the Board.

The meeting adjourned at 11:25 a.m.

Attest:

Secretary and Chief of Staff

**ATTACHMENT 1**

**RESOLUTION**

Pursuant to the *Policy on Security Clearance for Access to Federal Classified Information* adopted on March 29, 2012 and this Resolution, the following named member of the Board of the University of California shall not require, shall not have, and can be effectively excluded from access to all classified information and/or special nuclear material released to the Regents of the University of California until such individual is granted the required access authorization from the cognizant security agency. And, as a consequence of this Resolution, such individual does not occupy a position that would enable her to adversely affect the policies or practices of the University of California, or its subsidiary, regarding the performance of classified contracts for the United States Government.

<b>NAME</b>	<b>TITLE</b>
Monica Lozano	Regent