The Regents of the University of California

COMMITTEE ON GOVERNANCE
July 18, 2012

The Committee on Governance met on the above date at UCSF–Mission Bay Community Center, San Francisco.

Members present: Regents Makarechian, Reiss, Ruiz, Schilling, and Wachter

In attendance: Regents De La Peña, Gould, Island, Kieffer, Lansing, Lozano, Mendelson, Newsom, Rubenstein, Stein, Torlakson, Varner, Yudof, and Zettel, Regents-designate Feingold and Flores, Faculty Representatives Anderson and Powell, Secretary and Chief of Staff Kelman, Associate Secretary Shaw, General Counsel Robinson, Chief Investment Officer Berggren, Provost Dorr, Executive Vice President Brostrom, Chief Financial Officer Taylor, Senior Vice Presidents Dooley and Stobo, Vice Presidents Lenz, Mara, and Sakaki, Chancellors Birgeneau, Block, Blumenthal, Desmond-Hellmann, Drake, Fox, Katehi, Leland, White, and Yang, and Recording Secretary McCarthy

The meeting convened at 4:00 p.m. with Committee Chair Wachter presiding.

1. **APPROVAL OF MINUTES OF PREVIOUS MEETING**

   Upon motion duly made and seconded, the minutes of the meeting of March 28, 2012 were approved.

2. **AMENDMENT OF THE SCHEDULE OF REPORTS TO THE REGENTS**

   The President recommended that the Schedule of Reports be amended, as shown in Attachment 1.

   [Background material was mailed to Regents in advance of the meeting, and a copy is on file in the Office of the Secretary and Chief of Staff.]

   Upon motion duly made and seconded, the Committee approved the President’s recommendation and voted to present it to the Board.

3. **APPOINTMENT OF REGENTS-DESIGNATE AND FACULTY REPRESENTATIVES AS ADVISORY MEMBERS TO STANDING COMMITTEES**

   The Chair of the Committee on Governance recommended that:
A. Regent-designate Feingold be appointed as an Advisory Member to the Committees on Compensation, Compliance and Audit, Educational Policy, Grounds and Buildings, and Health Services, effective immediately through June 30, 2013.

B. Regent-designate Schultz be appointed as an Advisory Member to the Committees on Finance, Grounds and Buildings, Investments, Long Range Planning, and Oversight of the Department of Energy Laboratories, effective immediately through June 30, 2013.

C. Contingent upon her appointment as student Regent for 2013-14, Regent-designate Flores be appointed as an Advisory Member to the Committees on Educational Policy, Finance, Long Range Planning, and Health Services, effective immediately through June 30, 2013.

D. Faculty Representative Jacob be appointed as an Advisory Member to the Committees on Educational Policy, Grounds and Buildings, Long Range Planning, and Investments, effective September 1, 2012 through August 30, 2013.

E. Faculty Representative Powell be appointed as an Advisory Member to the Committees on Compensation, Compliance and Audit, Health Services, Finance, and Oversight of the Department of Energy Laboratories, effective September 1, 2012 through August 30, 2013.

[Background material was mailed to Regents in advance of the meeting, and a copy is on file in the Office of the Secretary and Chief of Staff.]

Upon motion duly made and seconded, the Committee approved the Chairman’s recommendation and voted to present it to the Board.

The meeting adjourned at 4:05 p.m.

Attest:

Secretary and Chief of Staff
**SCHEDULE OF REPORTS TO THE REGENTS**

[Pursuant to Bylaw 16.8(a)]

Amended January July 2012

<table>
<thead>
<tr>
<th>BOARD</th>
<th>Month(s) Presented or Mailed to Regents</th>
</tr>
</thead>
<tbody>
<tr>
<td>Report of the President Concerning University Activities and Individuals (the President’s Report)</td>
<td>January, March, May, July, September, November</td>
</tr>
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<table>
<thead>
<tr>
<th>COMMITTEE ON COMPENSATION</th>
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<tbody>
<tr>
<td>Annual Reports on Executive Compensation for Calendar Year ___: (a) Incumbents in Senior Management Positions and (b) Deans and Certain Faculty Administrators (mbm¹)</td>
<td>July</td>
</tr>
<tr>
<td>Annual Report on Compensated Outside Professional Activities for Calendar Year ___: Incumbents in Senior Management Positions (mbm*)</td>
<td>July</td>
</tr>
<tr>
<td>Annual Report on Compensated Outside Professional Activities for Calendar Year ___: Deans and Faculty Administrators (mbm*)</td>
<td>January</td>
</tr>
<tr>
<td>Annual Report on Health Sciences Compensation Plan Participants’ Compensation that Exceed the Reporting Threshold (mbm*)</td>
<td>November</td>
</tr>
<tr>
<td>Annual Report on Academic Personnel Salaries Above the Indexed Compensation Level (mbm*)</td>
<td>November</td>
</tr>
<tr>
<td>Annual Report on Adjustment of the Indexed Compensation Level (mbm*)</td>
<td>September</td>
</tr>
<tr>
<td>Biannual Compensation Monitoring Reports: (a) Incumbents in Certain Senior Management Positions; and (b) Deans and Full-Time Faculty Administrators (mbm*)</td>
<td>May, November</td>
</tr>
</tbody>
</table>

¹ mbm: Report is sent to all Regents as a mailing between meetings (mbm)
**SCHEDULE OF REPORTS TO THE REGENTS**  
[Pursuant to Bylaw 16.8(a)]  
Amended January July 2012

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<th>Report Description</th>
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<td>Annual Compensation Monitoring Report for Calendar Year __: Actions for Certain Athletic Positions and Coaches Systemwide (<em>mbm</em>)</td>
<td>July</td>
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**COMMITTEE ON COMPLIANCE AND AUDIT**

- Annual Report on Internal Audit Plans                                           July
- Annual Review of External Audit of Hastings College of the Law (*mbm*)            March
- Annual Report on Ethics and Compliance                                            September
- Annual Report of External Auditors for the Year Ended June 30, ____              November
- Annual Report on Internal Audit Activities                                         November

**COMMITTEE ON EDUCATIONAL POLICY**

- Annual Report on Private Support, Major Donors, and Namings and Endowed Chairs (*mbm*) November
- Statistical Summary of Students and Staff (*mbm*)                                  March
- Annual Report on Student Financial Support (*mbm*)                                 March
- Annual Report on Undergraduate Admissions Requirements [effective 2013] (*mbm*)     July
- Annual Report on Proposals Seeking Research Funding from the Tobacco Industry (*mbm*) September
- Annual Report on Self-Supporting Professional Degree Programs (*mbm*)             August
- Annual Accountability Sub-Report on Diversity at the University of California     January
## SCHEDULE OF REPORTS TO THE REGENTS

**[Pursuant to Bylaw 16.8(a)]**

*Amended January July 2012*

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<tr>
<th>Report</th>
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<tr>
<td>Annual Accountability Sub-Report on the University of California Admissions and Enrollments</td>
<td>March</td>
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**COMMITTEE ON FINANCE**

- Annual Report on Risk Management (*mbm*)
  - January

- Annual Report on Use of Outside Counsel (*mbm*)
  - January

- Annual Report on Settlements and Separation Agreements
  - January

- Annual Report on University Housing Assistance Programs (*mbm*)
  - January

- Annual Report on Expenditures of Associates to the President and Chancellors (*mbm*)
  - September

- University of California Financial Reports
  - November

- Annual University of California Retirement Plan-Actuarial Valuation Report
  - November

- Annual Report on Debt Capital and External Finance Approvals (*mbm*)
  - January

- Annual Report on the University of California Technology Transfer Program (*mbm*)
  - May

- Annual Report on Administrative Efficiencies
  - May

- Annual Report on Newly Approved Indirect Costs (*mbm*)
  - November

- Bi-Monthly Report on New Litigation (*mbm*)
  - January
  - March
  - May
  - July
  - September
  - November
## SCHEDULE OF REPORTS TO THE REGENTS

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<td>Annual Report on the Net Fee Income Received as Owner of a Limited Liability Company Managing a Department of Energy National Laboratory and Expenditures Made Therefrom (<em>mbm</em>)</td>
<td>November</td>
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<tr>
<td>Annual Report on the Commission on the Future Recommendations</td>
<td>March</td>
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### COMMITTEE ON GROUNDS AND BUILDINGS

- Annual Report on Sustainable Practices (*mbm*)                                    | January                                 |
- Annual Report on Chancellor’s Residence and Office Capital Projects (*mbm*)       | September                               |
- Annual Report on Major Capital Projects Implementation (*mbm*)                   | October                                 |
- Ten Year Consolidated State and Non-State Capital Financial Plan                 | November                                |

### COMMITTEE ON HEALTH SERVICES

- Biannual Activity and Financial Status Report on Hospitals and Clinics (*mbm*)   | March                                   | November                                |

### COMMITTEE ON INVESTMENTS

- Annual Endowment Investment Report (*mbm*)                                       | February                                |
- Annual Report of the Treasurer (*mbm*)                                            | November                                |

### COMMITTEE ON LONG RANGE PLANNING

- Annual University of California Accountability Report                             | July                                    |