

The Regents of the University of California

COMMITTEE ON GOVERNANCE

July 18, 2012

The Committee on Governance met on the above date at UCSF–Mission Bay Community Center, San Francisco.

Members present: Regents Makarechian, Reiss, Ruiz, Schilling, and Wachter

In attendance: Regents De La Peña, Gould, Island, Kieffer, Lansing, Lozano, Mendelson, Newsom, Rubenstein, Stein, Torlakson, Varner, Yudof, and Zettel, Regents-designate Feingold and Flores, Faculty Representatives Anderson and Powell, Secretary and Chief of Staff Kelman, Associate Secretary Shaw, General Counsel Robinson, Chief Investment Officer Berggren, Provost Dorr, Executive Vice President Brostrom, Chief Financial Officer Taylor, Senior Vice Presidents Dooley and Stobo, Vice Presidents Lenz, Mara, and Sakaki, Chancellors Birgeneau, Block, Blumenthal, Desmond-Hellmann, Drake, Fox, Katehi, Leland, White, and Yang, and Recording Secretary McCarthy

The meeting convened at 4:00 p.m. with Committee Chair Wachter presiding.

1. APPROVAL OF MINUTES OF PREVIOUS MEETING

Upon motion duly made and seconded, the minutes of the meeting of March 28, 2012 were approved.

2. AMENDMENT OF THE SCHEDULE OF REPORTS TO THE REGENTS

The President recommended that the Schedule of Reports be amended, as shown in Attachment 1.

[Background material was mailed to Regents in advance of the meeting, and a copy is on file in the Office of the Secretary and Chief of Staff.]

Upon motion duly made and seconded, the Committee approved the President's recommendation and voted to present it to the Board.

3. APPOINTMENT OF REGENTS-DESIGNATE AND FACULTY REPRESENTATIVES AS ADVISORY MEMBERS TO STANDING COMMITTEES

The Chair of the Committee on Governance recommended that:

- A. Regent-designate Feingold be appointed as an Advisory Member to the Committees on Compensation, Compliance and Audit, Educational Policy, Grounds and Buildings, and Health Services, effective immediately through June 30, 2013.
- B. Regent-designate Schultz be appointed as an Advisory Member to the Committees on Finance, Grounds and Buildings, Investments, Long Range Planning, and Oversight of the Department of Energy Laboratories, effective immediately through June 30, 2013.
- C. Contingent upon her appointment as student Regent for 2013-14, Regent-designate Flores be appointed as an Advisory Member to the Committees on Educational Policy, Finance, Long Range Planning, and Health Services, effective immediately through June 30, 2013.
- D. Faculty Representative Jacob be appointed as an Advisory Member to the Committees on Educational Policy, Grounds and Buildings, Long Range Planning, and Investments, effective September 1, 2012 through August 30, 2013.
- E. Faculty Representative Powell be appointed as an Advisory Member to the Committees on Compensation, Compliance and Audit, Health Services, Finance, and Oversight of the Department of Energy Laboratories, effective September 1, 2012 through August 30, 2013.

[Background material was mailed to Regents in advance of the meeting, and a copy is on file in the Office of the Secretary and Chief of Staff.]

Upon motion duly made and seconded, the Committee approved the Chairman's recommendation and voted to present it to the Board.

The meeting adjourned at 4:05 p.m.

Attest:

Secretary and Chief of Staff

Additions shown by underscoring; deletions shown by strikethrough

SCHEDULE OF REPORTS TO THE REGENTS
[Pursuant to Bylaw 16.8(a)]
Amended ~~January~~ July 2012

	Month(s) Presented or Mailed to Regents
BOARD	
Report of the President Concerning University Activities and Individuals (the President's Report)	January March May July September November
COMMITTEE ON COMPENSATION	
Annual Reports on Executive Compensation for Calendar Year ____: (a) Incumbents in Senior Management Positions and (b) Deans and Certain Faculty Administrators (<i>mbm</i> ¹)	July
Annual Report on Compensated Outside Professional Activities for Calendar Year ____: Incumbents in Senior Management Positions (<i>mbm</i> [*])	July
Annual Report on Compensated Outside Professional Activities for Calendar Year ____: Deans and Faculty Administrators (<i>mbm</i> [*])	January
Annual Report on Health Sciences Compensation Plan Participants' Compensation that Exceed the Reporting Threshold (<i>mbm</i> [*])	November
Annual Report on Academic Personnel Salaries Above the Indexed Compensation Level (<i>mbm</i> [*])	November
Annual Report on Adjustment of the Indexed Compensation Level (<i>mbm</i> [*])	September
Biannual Compensation Monitoring Reports: (a) Incumbents in Certain Senior Management Positions; and (b) Deans and Full-Time Faculty Administrators (<i>mbm</i> [*])	May November

¹ mbm: Report is sent to all Regents as a mailing between meetings (mbm)

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Amended ~~January~~ July 2012

	Month(s) Presented or Mailed to Regents
Annual Compensation Monitoring Report for Calendar Year ____: Actions for Certain Athletic Positions and Coaches Systemwide (<i>mbm*</i>)	July
COMMITTEE ON COMPLIANCE AND AUDIT	
Annual Report on Internal Audit Plans	July
Annual Review of External Audit of Hastings College of the Law (<i>mbm*</i>)	March
Annual Report on Ethics and Compliance	September
Annual Report of External Auditors for the Year Ended June 30, ____	November
Annual Report on Internal Audit Activities	November
COMMITTEE ON EDUCATIONAL POLICY	
Annual Report on Private Support, Major Donors, and Namings and Endowed Chairs (<i>mbm*</i>)	November
Statistical Summary of Students and Staff (<i>mbm*</i>)	March
Annual Report on Student Financial Support (<i>mbm*</i>)	March
Annual Report on Undergraduate Admissions Requirements [effective 2013] (<i>mbm*</i>)	July
Annual Report on Proposals Seeking Research Funding from the Tobacco Industry (<i>mbm*</i>)	September
Biennial Report on Comprehensive Review (<i>mbm*</i>) [effective 2012]	July <u>September</u>
Annual Report on Self-Supporting Professional Degree Programs (<i>mbm*</i>)	August
Annual Accountability Sub-Report on Diversity at the University of California	January

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Annual Accountability Sub-Report on the University of California Admissions and Enrollments	March
COMMITTEE ON FINANCE	
Annual Report on Risk Management (<i>mbm*</i>)	January
Annual Report on Use of Outside Counsel (<i>mbm*</i>)	January
Annual Report on Settlements and Separation Agreements	January
Annual Report on University Housing Assistance Programs (<i>mbm*</i>)	January
Annual Report on Expenditures of Associates to the President and Chancellors (<i>mbm*</i>)	September
University of California Financial Reports	November
Annual University of California Retirement Plan-Actuarial Valuation Report	November
Annual Report on Debt Capital and External Finance Approvals (<i>mbm*</i>)	January
Annual Report on the University of California Technology Transfer Program (<i>mbm*</i>)	May
Annual Report on Administrative Efficiencies	May
Annual Report on Newly Approved Indirect Costs (<i>mbm*</i>)	November
Bi-Monthly Report on New Litigation (<i>mbm*</i>)	January March May July September November

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Annual Report on the Net Fee Income Received as Owner of a Limited Liability Company Managing a Department of Energy National Laboratory and Expenditures Made Therefrom (<i>mbm*</i>)	November
Annual Report on the Commission on the Future Recommendations	March
COMMITTEE ON GROUNDS AND BUILDINGS	
Annual Report on Sustainable Practices (<i>mbm*</i>)	January
Annual Report on Chancellor’s Residence and Office Capital Projects (<i>mbm*</i>)	September
Annual Report on Major Capital Projects Implementation (<i>mbm*</i>)	October
Ten Year Consolidated State and Non-State Capital Financial Plan	November
COMMITTEE ON HEALTH SERVICES	
Biannual Activity and Financial Status Report on Hospitals and Clinics (<i>mbm*</i>)	March November
COMMITTEE ON INVESTMENTS	
Annual Endowment Investment Report (<i>mbm*</i>)	February
Annual Report of the Treasurer (<i>mbm*</i>)	November
COMMITTEE ON LONG RANGE PLANNING	
Annual University of California Accountability Report	July