

The Regents of the University of California

**COMMITTEE ON GOVERNANCE**

January 20, 2011

The Committee on Governance met on the above date at Price Center, San Diego campus.

Members present: Regents Blum, Lansing, Lozano, Pattiz, Ruiz, Schilling, and Varner

In attendance: Regents Cheng, Crane, DeFreece, De La Peña, Gould, Hime, Island, Kieffer, Makarechian, Marcus, Newsom, Yudof, and Zettel, Regents-designate Hallett, Mireles, and Pelliccioni, Faculty Representatives Anderson and Simmons, Secretary and Chief of Staff Griffiths, Associate Secretary Shaw, General Counsel Robinson, Chief Investment Officer Berggren, Chief Compliance and Audit Officer Vacca, Provost Pitts, Executive Vice Presidents Brostrom and Taylor, Senior Vice Presidents Dooley and Stobo, Vice Presidents Darling, Lenz, and Sakaki, Chancellors Block, Blumenthal, Desmond-Hellmann, Drake, Fox, Kang, Katehi, White, and Yang, and Recording Secretary McCarthy

The meeting convened at 9:50 a.m. with Committee Chair Lozano presiding.

**1. APPROVAL OF MINUTES OF PREVIOUS MEETING**

Upon motion duly made and seconded, the minutes of the meeting of November 17, 2010 were approved.

**2. CONSENT AGENDA**

**A. *Dates of Regents Meetings for 2012***

The Chairman of the Board recommended that the following dates of Regents meetings for 2012 be approved:

2012  
January 17-19  
March 27-29  
May 15-17  
July 17-19  
September 11-13  
November 13-15

B. *Amendment of the Schedule of Reports to the Regents*

The President recommended that the Schedule of Reports be amended, as shown in Attachment 1.

[Background material was mailed to Regents in advance of the meeting, and a copy is on file in the Office of the Secretary and Chief of Staff.]

Upon motion duly made and seconded, the Committee approved the Chairman of the Board's and the President's recommendations and voted to present them to the Board.

The meeting adjourned at 9:55 a.m.

Attest:

Secretary and Chief of Staff

Additions shown by underscoring; deletions shown by strikethrough

<b>SCHEDULE OF REPORTS TO THE REGENTS</b> <b>[Pursuant to Bylaw 16.8(a)]</b> <b>Amended <del>July 2010</del> <u>January 2011</u></b>	
	<b>Month(s) Presented or Mailed to Regents</b>
<b>BOARD</b>	
Report of the President Concerning University Activities and Individuals (the President's Report)	January March May July September November
<b>COMMITTEE ON COMPENSATION</b>	
Annual Reports on Executive Compensation for Calendar Year ____: (a) Incumbents in Senior Management Positions and (b) Deans and Certain Faculty Administrators ( <i>mbm*</i> )	July
Annual Reports on Compensated Outside Professional Activities for Calendar Year ____: (a) Incumbents in Senior Management Positions and (b) Deans and Certain Faculty Administrators ( <i>mbm*</i> )	July
Annual Report on Health Sciences Compensation Plan Participants' Compensation that Exceed the Reporting Threshold ( <i>mbm*</i> )	November
<u>Biannual Report on Academic Personnel Salaries Above the Indexed Compensation Level (<i>mbm*</i>)</u>	<u>May</u> <u>November</u>
Annual Report on Adjustment of the Indexed Compensation Level ( <i>mbm*</i> )	September

**SCHEDULE OF REPORTS TO THE REGENTS**

**[Pursuant to Bylaw 16.8(a)]**

**Amended ~~July 2010~~ January 2011**

	<b>Month(s) Presented or Mailed to Regents</b>
Bi-Monthly <u>Compensation</u> Monitoring Reports: (a) Incumbents in Certain Senior Management Positions; (b) Deans and <del>Exceptions to Policy for Certain Full-Time</del> <u>Faculty Administrators</u> ; and (c) Actions for Certain Athletic Positions and Coaches Systemwide ( <i>mbm*</i> )	January March May July September November
<b>COMMITTEE ON COMPENSATION and COMMITTEE ON LONG RANGE PLANNING</b>	
Biennial Accountability Sub-Report on Faculty Competitiveness [Biennial cycle begins 2011]**	January
Biennial Accountability Sub-Report on Staff [Beginning 2010]	September
<b>COMMITTEE ON COMPLIANCE AND AUDIT</b>	
Annual Report on Internal Audit Plans	July
Annual Review of External Audit of Hastings College of the Law ( <i>mbm*</i> )	March
Annual Report on <u>Ethics and Compliance</u>	September
Annual Report of External Auditors for the Year Ended June 30, ____	November
Annual Report on Internal Audit Activities	November
<b>COMMITTEE ON EDUCATIONAL POLICY</b>	
Annual Report on Private Support, Major Donors, and Namings and Endowed Chairs ( <i>mbm*</i> )	November
Statistical Summary of Students and Staff ( <i>mbm*</i> )	March
<u>Annual Report on Time to Degree</u> ( <i>mbm*</i> )	<u>September</u>

\*mbm: Report is sent to all Regents as a mailing between meetings (mbm)

\*\* Modified from annual to biennial report in January 2010

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**[Pursuant to Bylaw 16.8(a)]**

**Amended ~~July 2010~~ January 2011**

	<b>Month(s) Presented or Mailed to Regents</b>
<u>Annual Report on Nonresident Undergraduate Students (mbm*)</u>	<u>November</u>
Annual Report on Student Financial Support (mbm*)	March
Annual Report on Undergraduate Admissions Requirements [effective 2013; will include Report on Comprehensive Review] (mbm*)	July
Annual Report on Proposals Seeking Research Funding from the Tobacco Industry (mbm*)	September
Report on Comprehensive Review (mbm*) [Consolidate with the Annual Report on Undergraduate Admissions Requirements, 2013]	April
<b>COMMITTEE ON EDUCATIONAL POLICY and COMMITTEE ON FINANCE</b>	
Annual Report on Self-Supporting Professional Degree Programs (mbm*)	August
<b>COMMITTEE ON EDUCATIONAL POLICY and COMMITTEE ON LONG RANGE PLANNING</b>	
Biennial Accountability Sub-Report on Graduate Academic and Professional Degree Students [Beginning 2010]	July
Biennial Accountability Sub-Report on Student Success [Beginning 2011]**	July
Annual Accountability Sub-Report on Diversity at the University of California	September
Annual Accountability Sub-Report on the University of California Admissions and Enrollments	March

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<b>COMMITTEE ON FINANCE</b>	
Annual Report on Risk Management ( <i>mbm*</i> )	January
Annual Report on Use of Outside Counsel ( <i>mbm*</i> )	January
Annual Report on Settlements and Separation Agreements	January
Annual Report on University Housing Assistance Programs ( <i>mbm*</i> )	January
Annual Report on Expenditures of Associates to the President and Chancellors ( <i>mbm*</i> )	September
University of California Financial Reports	November
Annual University of California Retirement Plan Actuarial Valuation Report	November
Annual Report on Debt Capital and External Finance Approvals ( <i>mbm*</i> )	<del>November</del> <u>January</u>
Annual Report on the University of California Technology Transfer Program ( <i>mbm*</i> )	May
<u>Annual Report on Administrative Efficiencies</u>	<u>May</u>
<u>Annual Report on Advocacy</u>	<u>January</u>
Annual Report on Newly Approved Indirect Costs ( <i>mbm*</i> )	November

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<b>COMMITTEE ON FINANCE and COMMITTEE ON OVERSIGHT OF THE DEPARTMENT OF ENERGY LABORATORIES</b>	
Annual Report on the Net Fee Income Received as Owner of a Limited Liability Company Managing a Department of Energy National Laboratory and Expenditures Made Therefrom ( <i>mbm*</i> )	November
<b>COMMITTEE ON GROUNDS AND BUILDINGS</b>	
Annual Report on Sustainable Practices ( <i>mbm*</i> )	January
Annual Report on Chancellor's Residence and Office Capital Projects ( <i>mbm*</i> )	September
Annual Report on Major Capital Projects Implementation ( <i>mbm*</i> )	October
<del>Budget for State Capital Improvements</del>	<del>November</del>
<del>University of California Five Year Capital Program State Funds</del>	<del>November</del>
<del>Annual Report on Campus' Ten Year Capital Financial Plans</del>	<del>November</del>
<u>Ten Year Consolidated State and Non-State Capital Financial Plan</u>	<u>November</u>
<b>COMMITTEE ON HEALTH SERVICES</b>	
Biannual Activity and Financial Status Report on Hospitals and Clinics ( <i>mbm*</i> )	February <del>August</del> <u>November</u>

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<b>COMMITTEE ON HEALTH SERVICES and COMMITTEE ON LONG RANGE PLANNING</b>	
Biennial Accountability Sub-Report on Health Sciences and Services [Biennial cycle begins 2011]**	March
<b>COMMITTEE ON INVESTMENTS</b>	
Annual Endowment Investment Report	February
Annual Report on Divestment Policies ( <i>mbm</i> *)	September
Annual Report of the Treasurer ( <i>mbm</i> *)	November
<b>COMMITTEE ON LONG RANGE PLANNING</b>	
Biennial Accountability Sub-Report on University Private Support [Biennial cycle begins 2010]**	January
Annual University of California Accountability Report	May
Biennial Accountability Sub-Report on the Research Enterprise [Biennial cycle begins 2010]**	January

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