

COMPLIANCE AND AUDIT COMMITTEE

July 13, 2017

TO THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

A. APPROVAL OF ETHICS AND COMPLIANCE PROGRAM PLAN FOR 2017-18

The Committee reports its approval of the Ethics and Compliance Program Plan for 2017-18.

Committee vote: Regents De La Peña, Elliott, Lemus, Makarechian, Sherman, and Zettel voting “aye.”

Board vote: Regents Anguiano, Blum, De La Peña, Elliott, Guber, Kieffer, Lansing, Lemus, Lozano, Makarechian, Mancina, Monge, Napolitano, Ortiz Oakley, Park, Pérez, Sherman, and Tauscher voting “aye.”

B. APPROVAL OF INTERNAL AUDIT PLAN FOR 2017-18

The Committee reports its approval of the Internal Audit Plan for 2017-18.

Committee vote: Regents De La Peña, Elliott, Lemus, Makarechian, Sherman, and Zettel voting “aye.”

Board vote: Regents Anguiano, Blum, De La Peña, Elliott, Guber, Kieffer, Lansing, Lemus, Lozano, Makarechian, Mancina, Monge, Napolitano, Ortiz Oakley, Park, Pérez, Sherman, and Tauscher voting “aye.”